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# Ir nanoparticles with ultrahigh dispersion as oxygen evolution reaction (OER) catalyst: synthesis and activity benchmarking

Francesco Bizzotto<sup>a</sup>, Jonathan Quinson<sup>b</sup>, Alessandro Zana<sup>a</sup>, Jacob J.K. Kirkensgaard<sup>c</sup>, Alexandra Dworzak<sup>d</sup>, Mehtap Oezaslan<sup>d</sup>, Matthias Arenz<sup>a,\*</sup>

<sup>a</sup> Department of Chemistry and Biochemistry, University of Bern, Freiestrasse 3, CH-3012 Bern, Switzerland

<sup>b</sup> Chemistry Department, University of Copenhagen, 5 Universitetsparken, 2100 Copenhagen Ø, Denmark

<sup>c</sup> Department of Food Science, University of Copenhagen, Rolighedsvej 26, 1958 Frederiksberg C, Denmark

<sup>d</sup> School of Mathematics and Science, Department of Chemistry, Carl von Ossietzky Universität, 26111 Oldenburg, Germany

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\*Corresponding author: [matthias.arenz@dcb.unibe.ch](mailto:matthias.arenz@dcb.unibe.ch)

## Abstract

In this work, we present a facile and straightforward approach to synthesize, activate and benchmark small, i.e. 1.6 nm in diameter, Ir nanoparticles (NP) as oxygen evolution reaction (OER) catalysts. It is shown that the Ir NP, although oxidized after synthesis and drying can be electrochemically reduced allowing a determination of the electrochemically active surface area by CO stripping. Subsequently an activation protocol is applied forming catalytically active Ir-oxide NP. This oxide formation is shown to be largely irreversible. It is then demonstrated that the *activated* Ir NP synthesized *via* our recently introduced colloidal method exhibit extremely high OER activities when normalized to their Ir mass. This high OER activity is related to the superior dispersion as compared to state-of-the-art OER catalysts reported in literature.

## 1 Introduction

The worldwide increasing energy demand requires alternative and renewable energy sources, like photovoltaic (PV) and wind power to overcome our dependence from fossil fuels. As PV and wind power are intrinsically intermittent they cannot provide a constant energy flow.<sup>1</sup> In order to eliminate the inherent mismatch between energy consumption and production, it is necessary to find efficient ways to store excess energy which, in turn, could be re-converted when the demand is high or when the user is mobile. In this respect, storage of hydrogen produced via water splitting is the most promising approach due to the high energy density and the fact that H<sub>2</sub> can be readily used to produce electricity by fuel cells.<sup>1-4</sup>

Water electrolysis is characterized by a high total overpotential, mainly due to the sluggish kinetics of the anodic reaction, i.e. the O<sub>2</sub> evolution. Therefore, most of the efforts in research and development are directed to improving the oxygen evolution reaction (OER). To date, the most efficient catalysts for the OER are based on Ni and its alloys for alkaline conditions<sup>5-9</sup>, and

Ir and Ru for acidic media.<sup>1,7,8,10,11</sup> In acidic media, Ir displays the best compromise between activity and stability since Ru, despite its remarkable activity, readily degrades under the harsh conditions of operation. Unfortunately, Ir is one of the least abundant elements in the Earth's crust and is only produced as a by-product of Pt extraction.<sup>12</sup> Moreover, commercial anodes employ around  $2 \text{ mg}_{\text{Ir}} \text{ cm}^{-2}$  or more, due to the low surface area of these catalysts.<sup>13,14</sup> Therefore, a major breakthrough is needed to enhance the OER performances, for instance by increasing the active surface area of Ir-based catalysts. A possible strategy for improving the Ir utilization is its dispersion onto a suitable support. Given the extremely harsh conditions of operation, no support material has been proven to be highly conductive and completely stable. However, Sb-, F- and In- tin oxides present decent stability and conductivity and are considered promising candidates.<sup>15-19</sup> Titania is stable during operation thanks to its semiconducting nature and has already been tested.<sup>14,19,20</sup> However, high Ir loading is required to allow electron conduction via an  $\text{IrO}_2$  film.<sup>14</sup>

In this paper we fully exploit a concept recently introduced in our work from Quinson et al.<sup>21</sup> We discuss in detail the synthesis of small, monodisperse Ir nanoparticles (NP) with ultrahigh dispersion for the preparation of efficient catalysts for the OER. Our approach does not require surfactants, high boiling point alcohols or further precipitation steps (typically performed with HCl in alternative approaches) to remove the as synthesized NP from the reaction medium.<sup>22</sup> Instead, the as prepared stable colloidal nanoparticle suspension can be directly applied, e.g. by spray coating, for the preparation of electrodes for the OER.

In addition, literature lacks uniform approaches of how to benchmark the performance of OER catalysts. In particular, the determination of the electrochemically active surface area (ECSA) is a crucial challenge that is required to track the actual behavior of the active sites and to pinpoint

the underlying reason for the observed performance. Activity measurements based on mass related considerations alone do not allow for a thorough catalyst characterization. Indeed, the mass activity (MA) can be influenced either by changes in the specific activity (SA) of the active sites or by improvements in the active phase dispersion (surface to bulk ratio) on the support/substrate.

In this work, we present a facile and straightforward approach to benchmark Ir NP as OER catalysts based on ECSA, SA and MA. It is shown how the surface area of the as synthesized Ir NP can reliably be determined by CO stripping measurements at the beginning of the electrochemical characterization, followed by a subsequent activation protocol forming the active Ir-oxide phase. It is highlighted that care has to be taken not to confuse oxidation currents correlated with the formation of the active phase with the actual OER activity of the catalyst as otherwise the latter is easily overestimated. With the presented approach it is demonstrated that the Ir NP display a remarkable ECSA as well as when activated SA and MA of the OER ,which outperform reported state-of-the-art catalysts by a factor up to ten.<sup>16,20,23,24</sup> It is highlighted that the performance enhancement achieved by synthesizing the Ir NP via our recently introduced colloidal approach<sup>21</sup> is due to their extremely high dispersion laying the foundation for supported OER catalysts.

## **2 Experimental**

### **2.1 Synthesis of Ir nanoparticles**

The synthesis of Ir NP was carried out in a 100 mL round bottom flask connected to a water-cooled condenser. Typically, 6 mL of a 20 mM solution of IrCl<sub>3</sub> (Sigma Aldrich, > 99.8 %) in methanol (HPLC grade, Sigma Aldrich) were mixed with 21 mL of a 57 mM solution of NaOH

(Suprapur®, Merck) in methanol. All chemicals have been used as received without further purification. The final concentration of the reaction solution was 4.4 mM of IrCl<sub>3</sub> and 44.0 mM of NaOH. The solution was yellow at this stage. The flask was then placed into a microwave reactor (CEM, Discover SP). The reaction was performed for 30 min while power was kept at 100 W throughout the synthesis. As soon as the solution approached the boiling point it turned dark, indicating the colloidal NP formation. Once the reaction was completed, the solution looked dark brown. The as prepared colloidal dispersion was extremely stable and could be stored for several months without particle sedimentation.

## 2.2 High Resolution Transmission Electron Microscope (HR-TEM)

HR-TEM images were acquired with a JEOL 3000F operated at 300 kV equipped with a field-emission gun. The software CrystalMatch was used to assess that the measured lattice planes and their intersection angles were consistent with the Ir *fcc* zone axis within an error of 10 % for measured distances and 5 % for measured angle. The samples were prepared for analysis by dropping the NP dispersion or the supported NP re-dispersed in methanol onto carbon coated copper TEM grids (Quantifoil). The size and morphology were also estimated by using a Jeol 2100 operated at 200 kV by recording images at three different magnifications (at least x300 000, x400 000, and x500 000) in at least three randomly selected areas. The particle size and size distribution analysis were performed by measuring the size of typically between 700 and 1000 NP (at least 200) using the software ImageJ. The mean diameter (in nm) and the associated standard deviation ( $\sigma$ , in nm) for each sample are reported.

### 2.3 Z-Potential

The Z-potential of the colloidal Ir NP was measured using a Litesizer<sup>TM</sup> 500 (Anton-Paar). Measurements were performed in a quartz cuvette at 25 °C. 20 µL of the colloidal Ir NP suspension was transferred into the cuvette and 1 mL of methanol added to obtain a transmittance of 80 – 90 %. The applied voltage (up to 200 V) as well as the number of runs (up to 1000) were automatically adjusted by the instrument. A refractive index of 1.3238, a viscosity of 0.0005514 Pa·s and a relative permittivity of 33,1 were used as parameters in the software (Anton Paar Kalliope Professional, version 2.0.1). Prior to the measurement the waiting time was around 1 min to stabilize the diluted dispersion.

### 2.4 Small-angle X-ray scattering (SAXS)

Small-angle X-ray scattering was performed at the Niels Bohr Institute at the University of Copenhagen with a SAXSLab instrument as previously detailed.<sup>22,25</sup> This instrument, equipped with a 100XL + micro-focus sealed X-ray tube from Rigaku, produces a photon beam with 1.54 Å wavelength. A 2D 300 K Pilatus detector from Dectris was used to record the scattering patterns. The two-dimensional scattering data were azimuthally averaged, normalized by the incident radiation intensity, the sample exposure time and the transmission and corrected for background and detector inhomogeneities using standard reduction software. The background measurement was on a pure methanol. The radially averaged intensity  $I(q)$  is given as a function of the scattering vector  $q = 4\pi \cdot \sin(\theta)/\lambda$ , where  $\lambda$  is the wavelength and  $2\theta$  is the scattering angle. The background corrected scattering data was fitted with a model of polydisperse spheres described by a volume-weighted log-normal distribution. The model expression for the intensity is:

$$I(q) = C \int P_s^2(q, R) V(R) D(R) dR$$

where C is an overall scaling constant,  $P_s$  is the sphere form factor, V the particle volume and D the log-normal size distribution. The sphere form factor is given by:

$$P_s(q, R) = 4\pi R^3 \frac{\sin(qR) - qR \cos(qR)}{(qR)^3}$$

and the log-normal distribution by:

$$D(R) = \frac{1}{R\sigma\sqrt{2\pi}} \exp\left(-\frac{\left[\ln\left(\frac{R}{R_0}\right)\right]^2}{2\sigma^2}\right)$$

The fitting was done using home written MATLAB code invoking a least-squares  $\chi^2$ -minimisation to optimise agreement between data and model. Thus, the free parameters in the model are the radius and variance of the polydisperse size distribution as reported in the paper. The scattering data and corresponding fits can be seen in Figure 1a and Figure S5.

## 2.5 Catalyst film preparation

Homogeneous thin films consisting of Ir NP were prepared on a glassy carbon (GC) disk of a rotating disk electrode (RDE) using the as synthesized alkaline NP suspension. The theoretical loading was calculated from the concentration of the Ir NP suspension. Different amounts of Ir NP were pipetted on the surface of the GC to investigate the loading effect. In order to pipette always the same volume of Ir NP suspension on the GC disk, the stock dispersion of as synthesized NP was diluted to different concentrations with pure methanol as reported in Table 1:



Table 1. Theoretical concentration of Ir NP dispersion in methanol and calculated Ir amounts pipetted onto the surface of the GC expressed as total metal loading normalized to the geometric surface area of the GC electrode.

<b>Concentration of the Ir NP dispersion [<math>\mu\text{g mL}^{-1}</math>]</b>					
26	51	101	198	397	795
<b>Total Ir loading on the GC electrodes [<math>\mu\text{g}</math>]</b>					
0.18	0.36	0.70	1.39	2.78	5.56
<b>Ir loading on the GC electrodes normalized by the geometric surface area [<math>\mu\text{g cm}^{-2}</math>]</b>					
0.9	1.8	3.6	7.1	14.3	28.6

For each electrode preparation, 7  $\mu\text{L}$  from the diluted solutions were taken and drop cast onto a GC disk. The suspension was then dried in air by rotating the electrode at 500 rpm. To check the quality of the Ir NP films, pictures were taken with an optical microscope (Keyence VHX-6000).

## 2.6 *In-situ* electrochemical X-ray Absorption Spectroscopy (XAS)

Synchrotron-based *in-situ* electrochemical XAS experiments were conducted at the ROCK beamline of the SOLEIL light source (France). The beam current was 450 mA. The incident beam was collimated by a bending magnet ( $E_c = 8.65$  keV) and a toroidal Si mirror with 50 nm of Ir coating and monochromatized by a Si(111) monochromator. Two mirrors for the harmonic rejection (1<sup>st</sup> flat, 2<sup>nd</sup> bendable) were tilted at 3 mrad with the stripe of Pd. The XAS measurements at the Ir  $L_3$  edge (transition:  $2p_{3/2}$  to 5d) were performed in transmission mode. The ionization chambers were filled with pure nitrogen for the Ir  $L_3$  edge. The length of the ionization chamber for the incident X-ray intensity ( $I_0$ ) was 188 mm, while for the transmitted X-ray intensity after the sample and reference foil ( $I_1$  and  $I_{\text{ref}}$ ) the ionization chambers were both 328 mm long, respectively. The reference Ir foil was probed simultaneously with the sample

under investigation. This procedure allows a comparison between spectra from different samples recorded under different electrochemical conditions.

All XAS data were processed and evaluated by the IFEFFIT software package.<sup>26</sup> For the data processing, all raw data were aligned, averaged, background corrected and normalized by the edge jump. The energy units (eV) were converted to photoelectron wave number  $k$  units ( $\text{\AA}^{-1}$ ) by assigning the photoelectron energy origin,  $E_0$ , corresponding to  $k = 0$ , to the first inflection point of the absorption edge.

## 2.7 Preparation of carbon supported Ir NP catalyst for *in-situ* XAS

For *in-situ* XAS investigations, the as-synthesized Ir NP were deposited on a commercially available Vulcan (XC72R). The carbon concentration was  $1 \text{ mg}_C \text{ mL}^{-1}_{\text{methanol}}$ . The mixture was then sonicated with a probe sonicator (QSONICA sonicator, 500 W, 50 kHz) operated with an amplitude of 20 %. The sonication was performed with 10 steps of 1 min. Each of these steps was carried out alternating sonication (1 s) with resting (1 s).

The mixture of Ir NP and carbon suspension was then transferred to a round bottom flask and the methanol was evaporated with a rotary evaporator (KNF, RC 600) keeping the flask in a sonication bath until the material was completely dry. At the end, the powder was transferred into a vial and stored in air.

The electrodes for the *in-situ* XAS experiments were prepared by dispersing the catalyst powder in acetone at a concentration of  $3.5 \text{ mg}_{\text{Ir}} \text{ mL}^{-1}$ . Aliquots of 10  $\mu\text{l}$  of the catalyst suspension were pipetted several times onto a gas diffusion layer (GDL) (Sigracet, 39 BC) to obtain a loading of around  $700 \text{ }\mu\text{g}_{\text{Ir}} \text{ cm}^{-2}$  distributed on an area of  $\sim 5 \text{ mm}$ . As counter electrode a graphite foil was employed. The electrodes were then mounted on a custom-made PEEK spectro-electrochemical

flow cell. The counter and working electrodes were contacted by gold coated pins. The cell design is the one reported by Binniger et al.<sup>27</sup> Only minor modifications of the cell design were performed to optimize the signal-noise ratio and the connection between working and reference electrodes. The Ar-saturated electrolyte (0.1 M HClO<sub>4</sub>, Suprapur®, Merck) was pumped through the cell at 1 mL min<sup>-1</sup> using a syringe pump. The electrochemical measurements were performed using a potentiostat (ECi-200, Nordic Electrochemistry controlled with the software EC4DAQ, version 2.44) recording the solution resistance (iR drop) online by superimposing a 5 kHz, 5 mV AC signal and compensating it by an analogue positive feedback scheme. Solution resistance was as low as 10 Ω throughout the experiment. To avoid system instability due to overcompensation, the iR drop was not adjusted to lower values.

## 2.8 Electrochemical characterization

The electrochemical OER activity measurements of the unsupported Ir NP were carried out as we have reported in a previous work.<sup>21</sup> In short, an electrochemical glass cell equipped with a three-electrode configuration was employed for all experiments. A 5 mm GC disk embedded in a Teflon tip was used as working electrode (WE) and a platinum wire as counter electrode (CE), respectively. All potentials were measured with respect to a reversible hydrogen electrode (RHE). The measurements were performed using a potentiostat (ECi-200, Nordic Electrochemistry controlled with the software EC4DAQ version 2.44). Electrochemical results have been exported and analyzed with the software EC4View (version 1.2.55). The effective solution resistance was adjusted to ca. 3 Ω. The electrolyte was 0.1 M HClO<sub>4</sub> prepared by diluting the concentrated HClO<sub>4</sub> (Suprapur®, Merck Germany) with Millipore Milli-Q water (18.2 MΩ cm at 25 °C, Total Organic Content (TOC) of < 2 ppb). Ar (99.999 %, Carbagas AG,

Switzerland) was continuously purged through the electrolyte during the electrochemical experiment. The ECSA was determined *via* CO stripping method at the beginning of each experiment. The electrode was held at 0.15 V<sub>RHE</sub> in a CO-saturated electrolyte. Subsequently, the electrolyte was purged with Ar for 20 min to remove the excess of CO in the solution. The adsorbed CO as a monolayer was then oxidized to CO<sub>2</sub> by scanning the potential from 0.15 V<sub>RHE</sub> to 1.4 V<sub>RHE</sub> at 20 mV s<sup>-1</sup>. The ECSA was calculated by integration of the oxidation peak and by dividing the as calculated charge by the reference value for polycrystalline Ir of 358 μC cm<sup>-2</sup>.<sup>28</sup> The integrated charge density normalized by the reference value of polycrystalline Ir was used to determine the roughness factor (RF) which is defined as the total active Ir surface area (in cm<sup>2</sup>) divided by the geometric surface area (in cm<sup>2</sup>) of the working electrode. The RF is thus related to the ECSA.

Catalyst activation was performed under potentiostatic (PS) and potentiodynamic (PD) conditions. During the PD, the potential was cycled 90 times from 1.2 V<sub>RHE</sub> up to the (indicated) different upper potential limits ranging from 1.4 to 1.7 V<sub>RHE</sub>. PS experiments were carried out by holding the potential for 300 s at deposition potentials (E<sub>D</sub>) which were the same as the upper potential limits in the PD protocol.

The effect of the “activation” protocol on the OER performance was evaluated by measuring the current density during an anodic scan from 1.20 to 1.55 V<sub>RHE</sub> at 10 mV s<sup>-1</sup> using linear sweep voltammetry (LSV). The current density at 1.5 V<sub>RHE</sub> was taken to establish the OER activity of the Ir NP a function of the loading. During the activation and OER activity measurements the electrode was rotated at 3600 rpm.

The ECSA of metallic Ir retained after the “activation” protocol was measured by performing an additional CO stripping measurement, as described above, and calculated from the  $ECSA_{Final}$  measured after the activation protocols and the initial  $ECSA_0$ :

$$ECSA_{Retained} = \frac{ECSA_{Final}}{ECSA_0} \cdot 100 \% \quad (1)$$

To investigate the electrochemical behavior of the unsupported Ir NP as a function of the metal loading, all electrodes were activated by holding the potential at 1.6 V<sub>RHE</sub> using the PS protocol. The OER activity was then measured at 1.5 V<sub>RHE</sub> during the positive going scan up to 1.55 V<sub>RHE</sub> as described above.

### 3 Results and discussion

#### 3.1 Ir NP characterization

Ir NP synthesized by the colloids for catalysis (Co4Cat) approach<sup>21</sup> show a very high colloidal stability against agglomeration and sedimentation. Even after extended storage over several months and/or after centrifugation at 5000 rpm for 10 min no aggregation, sedimentation, or color change was observed (see Figure S1). In addition, the colloidal stability was confirmed in the Z-potential measurements on the as prepared NP suspensions. We determined a Z-potential as high as  $43.0 \pm 0.7$  mV, typical for a suspension with good stability.<sup>29–31</sup>

The as-prepared colloidal Ir NP were further characterized by SAXS and HR-TEM, see Figure 1. The NP are crystalline and exhibit a narrow size distribution with a mean particle size around 1.6 nm as determined by HR-TEM; 80 % of the particles are in the range 1.3 – 1.9 nm. The particle size distribution determined by SAXS is slightly shifted to even smaller sizes with a mean

particle size around 1.3 nm. Such very small, systematic shifts in the particle size distributions determined by TEM and SAXS have been observed previously and are most likely related to difficulties determining the exact particle size in TEM due to limitation in contrast.<sup>32–34</sup> With the help of the HR-TEM data, the Polydispersity Index (PDI) was determined as:

$$D_n = \frac{\sum d_i}{n} = 1.6 \text{ nm} \quad (2)$$

$$D_w = \frac{\sum (d_i)^4}{(d_i)^3} = 1.8 \text{ nm} \quad (3)$$

$$PDI = \frac{D_w}{D_n} = 1.1 \quad (4)$$

where  $D_n$  is the number-average diameter and  $D_w$  weight-average diameter.<sup>35</sup> Thus, the obtained PDI of the Ir NP is very close to 1, demonstrating the extremely narrow size distribution. This is a remarkable achievement for a surfactant-free synthesis in line with previous observations for Pt NP produced by the Co4Cat technology.<sup>21,31</sup>

## 3.2 Electrochemical OER performance

### 3.2.1 Determination of the ECSA

In literature different characterization and benchmarking protocols can be found for comparing the catalytic OER performance of Ir-based materials.<sup>24,36</sup> Galvanostatic experiments are often applied for bulk Ir electrodes, but they can be misleading if the active surface area (or RF) is undefined. A comparison between different catalysts is therefore difficult.<sup>36–38</sup> These challenges are even more pronounced for NP-based OER catalysts since the measured OER activity depends on the catalyst loading on the electrode as well as the active surface area. This is demonstrated in Figure 1b where the potentials recorded under galvanostatic conditions are plotted as a function of the Ir loading on the GC electrode. With increasing Ir loading, the

electrode potential required to achieve a current density of  $10 \text{ mA cm}^{-2}$  decreases significantly from ca.  $1.64 \text{ V}_{\text{RHE}}$  to below  $1.56 \text{ V}_{\text{RHE}}$ .  $10 \text{ mA cm}^{-2}$  has been chosen as current density because this value is the expected current density for a solar to fuels device with an efficiency of 10 %.<sup>3,7-9</sup> The dependency of the electrode potential from the Ir loading is pronounced at lower loadings, whereas it becomes independent of the Ir loading at values higher than  $14 \mu\text{g}_{\text{Ir}} \text{ cm}^{-2}$ . This is a clear indication for the formation of a 3-D layer without complete catalyst utilization as can also be seen from the determined RF (see also below) that stays relatively constant at high Ir loadings (Figure 1b). The measurements demonstrate that a catalyst evaluation based on the observed electrode potential at constant current density is not suitable for Ir NP and should only be applied if a full utilization of the catalyst is guaranteed; otherwise, a fair comparison will not be possible. Furthermore, an increase in OER activity mainly based on improved dispersion is difficult to rationalize in view of the limited techniques that can be applied to determine the active surface area.

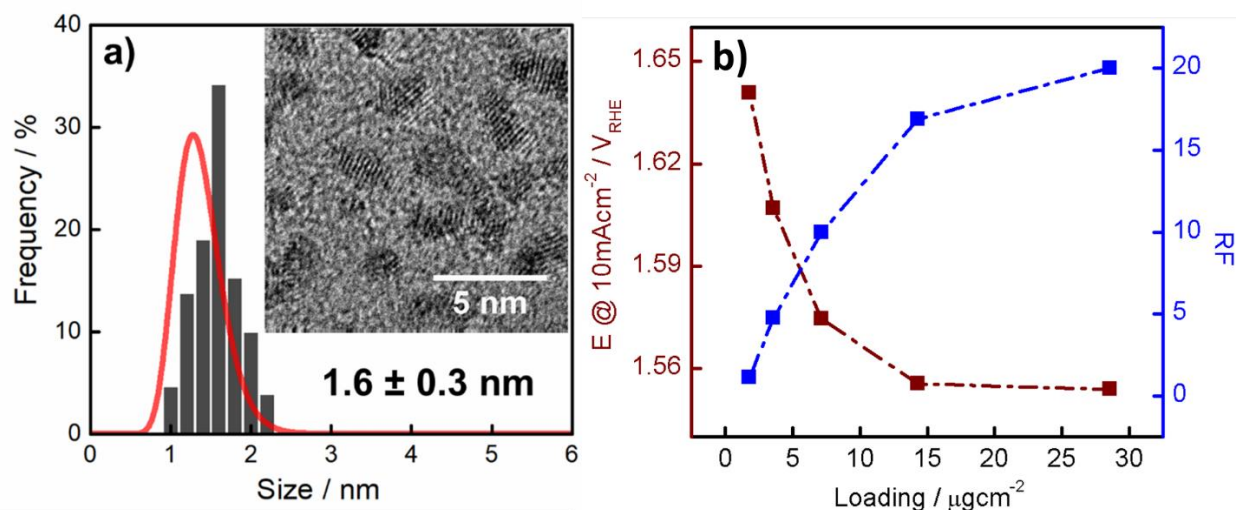


Figure 1. (a) Particle size distribution of the Ir NP determined from the HR-TEM micrographs and SAXS data. The insert displays a HR-TEM micrograph of the as synthesized Ir NP. (b) potential at a constant current density of  $10 \text{ mA cm}^{-2}$  as a function of the theoretical Ir loading (- -■- -) and the RF established from the CO stripping data (- -■- -).

In the presented work, we therefore pursued an approach for the electrochemical characterization of OER catalysts based on the determination of the ECSA, Ir-based surface area specific activity (SA) and Ir-based mass activity (MA) at a fixed electrode potential. The evaluation of the combination of the two is crucial to determine the efficiency in the use of the precious metal (MA) and the activity of the active sites (SA). The applied electrode potential should be sufficient to establish a “real” OER current, but not too high to avoid excessive oxygen bubble formation, which is detrimental for catalyst film stability and thus for the electrochemical measurements. Furthermore, a distinction between OER and catalyst activation (oxidation) currents should be made, for instance by applying catalyst activation procedures before the OER testing.

The determination of the SA requires a reliable determination of the ECSA, which is not straightforward for Ir-based catalysts. For the ECSA determination of Ir based catalysts for the OER, metal underpotential deposition ( $M_{UPD}$ ) methods, primarily based on metals like mercury have successfully been employed.<sup>28,39</sup> But metal underpotential deposition has drawbacks such as possible cell contaminations. Another successful approach is based on the ion exchange of  $Zn^{2+}$  on  $IrO_2$ . The change in  $Zn^{2+}$  concentration determined by UV-Vis before and after the exchange treatment allows to evaluate the number of sites over the surface and can then be correlated to the pseudocapacitance.<sup>40</sup> However, this method can only be applied to unsupported particles where the contribution from the substrate to the capacitive current is negligible and is thus not suitable for a more general approach to Ir-based catalysts.

Here we demonstrate how a protocol based on the electrochemical CO-stripping method can be applied to determine the ECSA of Ir NP, which is a standard approach for Pt-based catalysts but



not established yet for Ir-based catalysts.<sup>41,42</sup> This approach requires the catalyst material to chemisorb CO as monolayer, which is only the case if Ir is in the fully reduced, metallic state; under applied electrochemical conditions CO very poorly adsorbs on the surface of Ir-oxide and no CO stripping is feasible.<sup>28,43</sup> It is therefore crucial to consider that Ir easily oxidizes as soon as exposed to potentials higher than 0.5 – 0.6 V<sub>RHE</sub>. Prior to the determination of the ECSA the potential must be kept in the H<sub>UPD</sub> region to avoid underestimation of the surface area. In order to test if the as-synthesized Ir NP can be fully reduced and to establish the range of Ir loading on the GC disk where full utilization is ensured, we performed a series of CO stripping experiments. Figure S2 displays three CO stripping linear scan voltammetric (LSV) curves recorded with three different Ir loadings on the GC disk. The LSV curves demonstrate that indeed CO chemisorbs on the as prepared Ir NP surface under potential control (0.15 V<sub>RHE</sub>). Furthermore, the integrated charge from the CO oxidation peak scales with the Ir loading (also see later ECSA values in Figure 4a for the discussion at which loadings the integrated charges do not scale), proving that at these loadings the established ECSA is independent of the amount of catalyst on the GC disk and thus the surface of the Ir NP is fully utilized. The possibility to perform CO adsorption suggests that either the Ir NP are in a reduced state after synthesis or that the surface oxide can be reduced by cycling the potential in the hydrogen under potential deposition (H<sub>UPD</sub>) region prior to CO adsorption (see paragraph 3.3).<sup>10</sup>

It is important to stress that IrO<sub>x</sub> and not the metallic Ir is the active phase for the OER. As a consequence, the established ECSA does not represent the actual number of active sites during the OER; instead it accounts for the number of sites that are available for the formation of Ir-oxide. Once oxidized, it is likely that the surface morphology of the NP changes. Due to the extremely small size of the NP a significant percentage of the Ir atoms are surface and not bulk

atoms.<sup>44</sup> Therefore, potential surface roughening of the NP is not expected to dramatically enhance the number of surface atoms. In addition, surface roughening is usually the consequence of the reduction of an oxide.<sup>45,46</sup> If surface roughening would occur, the fact that the Ir NP are all taken from the same batch, it would affect all catalysts in the same way. Consequently, the calculated RF can be used as normalization factor which allows comparison between different electrodes.

### 3.2.2 Activation of Ir NP and OER activity

Since the as-prepared Ir catalyst is metallic at low electrode potentials, the active Ir-oxide phase for the OER needs to be formed before the performance evaluation. This activation should be done separately from the activity determination to avoid an overlap between the oxidation current forming Ir-oxide and the OER current. We tested two different catalyst activation strategies to form the active catalyst phase based on potentiostatic (PS) and potentiodynamic (PD) conditioning. In the PS protocol the electrode potential was held for 300 s at different values  $E_D$ , whereas the PD protocol consisted of 90 scans between 1.2 and the respective upper potential limit  $E_D$ . The number of scans and the length of the potential hold have been chosen to reach a steady state condition, meaning that at the end of the activation the cyclic voltammetry profiles (CVs) of the activated Ir NP did not change anymore (PD) and the oxidation current dropped to zero (PS), respectively.

We also performed CO stripping before and after the catalyst activation to determine the degree of irreversible oxidation of the Ir NP using equation (1). These measurements allowed us to evaluate the potential which is necessary to apply in order to reliably evaluate the OER activity without superimposed Ir oxidation currents. Figure 2a displays the retained ECSA after

activation which follows a similar trend for the PD and PS activation protocols: the retained ECSA significantly drops from values as high as 25 % at  $E_D = 1.4 V_{RHE}$  to 0 % at  $E_D = 1.7 V_{RHE}$ , i.e. at the latter the surface is irreversibly oxidized. No  $H_{UPD}$  or CO stripping peak can be detected anymore in subsequent measurements. Oxidation at lower potentials, by comparison, seems to lead to a metastable oxide within the time frame involved<sup>47</sup>.

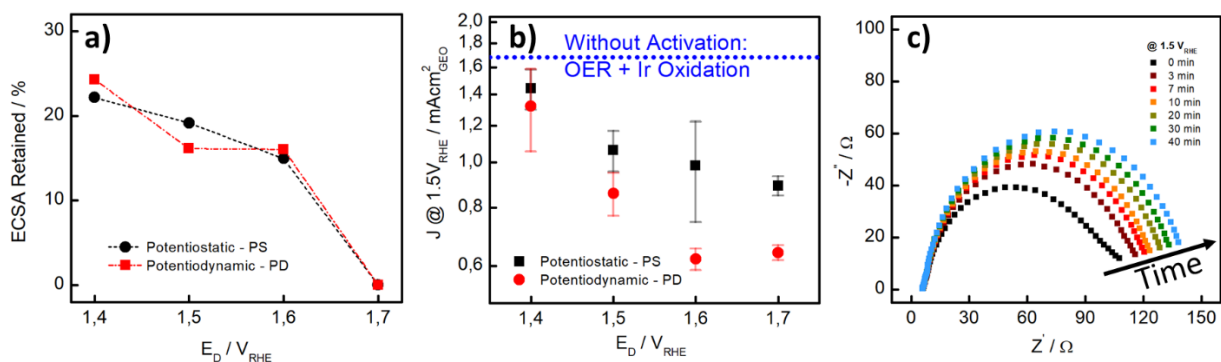


Figure 2. a) Percentage of ECSA retained as function of  $E_D$  (hold potential and upper potential limit, respectively) for the different activation protocols (potentiostatic (■) and potentiodynamic (●)) as determined by CO stripping. b) Geometric current density  $J$  measured at  $1.5 V_{RHE}$ , during a cyclic voltammetry recorded at  $10 mV s^{-1}$  in  $0.1 M HClO_4$ . Each data point is the average of three independent measurements. The current density  $J$  is reported as a function of  $E_D$ . The dotted blue line (.....) represents the current density at  $1.5V_{RHE}$  without pre-activation of the Ir NP. c) Nyquist plots measured at different times recorded at  $1.5 V_{RHE}$  from 10 kHz to 0.5 Hz with a potential amplitude of 5 mV. All measurements were carried out at room temperature.

In Figure 2b, the influence of the different activation procedures on the apparent (measured) OER activity is summarized. As apparent OER activity we thereby defined the current density measured at  $1.5 V_{RHE}$  ( $J_{1.5V}$ ) while sweeping the potential at  $10 mV s^{-1}$  from 1.2 to  $1.55 V_{RHE}$ . The Ir loading on the GC disk was kept constant at  $7.1 \mu g cm^{-2}$  in all measurements. First, it is seen that higher OER activities are recorded when the catalyst is not pre-activated (blue dotted line in Figure 2b as compared to current densities after different activation protocols). Secondly, although the extent to which the Ir-oxide can be reduced again after activation is similar for PS

and PD activation (Figure 2a), the OER activities strongly differ. For the PS activation protocols higher OER activities are recorded than those for the respective PD activation protocols. We investigated the PS activation process of the Ir NP by electrochemical impedance spectroscopy (EIS). With EIS, the change in the (apparent) OER performance during the activation step can be well studied at a potential of  $1.5 V_{\text{RHE}}$ . In Figure 2c it is seen that the Nyquist plots and consequently the charge transfer resistance ( $R_{\text{CT}}$ ) increase with time during the potential hold. The increase is most striking during the first 7 minutes, when  $R_{\text{CT}}$  increases around 50 %, whereas the increase is rather limited for the subsequent 30 minutes. This behavior is in line with the higher (apparent) OER activity recorded when the catalyst is not pre-activated. As the OER activity should not be time-dependent in this time period, we assign the initial currents and the Nyquist plot recorded at time “0” mainly to Ir oxidation currents, whereas the subsequent curves display a contribution from either OER or surface oxidation. Unfortunately, it is not possible to unambiguously disentangle the contributions of the two processes in the observed total  $R_{\text{CT}}$  since their respective  $R_{\text{CT}}$  values are expected to be reciprocally dependent.

Our results show that careful pre-activation is necessary to avoid recording artificially high OER activities: if Ir oxidation is not complete, the OER activity can be significantly overestimated. Activation at potentials of  $\geq 1.5 V_{\text{RHE}}$  guarantees that the majority of the Ir NP is “irreversibly” oxidized and in subsequent OER tests only minor contributions from oxidation process to the overall current occur. But also the type of pre-activation matters. Considering the similar  $\text{ECSA}_{\text{Retained}}$  for the two protocols as well as the obtained OER activity, PS activation protocols are superior to PD protocols. A PS protocol enables a continuous growth of the Ir-oxide, whereas during a PD procedure Ir dissolution cannot be excluded.<sup>38</sup>

### 3.3 Oxidation state of Ir probed by *in-situ* XANES

In the previous paragraphs we showed that, within the potential window of interest, two phenomena occur on the surface of as-prepared Ir NP, i.e. Ir oxidation and oxygen evolution. To have a more complete understanding, we probed the changes in oxidation state of the Ir NP as a function of the electrode potential by *in-situ* electrochemical X-ray absorption near edge structure (XANES) at the Ir L<sub>3</sub> edge, see Figure 3. We collected XANES spectra at conditions similar to the ones used during the CO adsorption and Ir oxidation processes. To probe steady-state conditions, we always let the system stabilize for 5 minutes before starting with the data collection. We determined the energy of the L<sub>3</sub> edge (E<sub>0</sub>) from the maximum of the first derivative of the XANES spectra (see Figure S6) to determine the position of the edge jump and the white line (WL) intensity, which corresponds closely to the density of unoccupied states. Generally, the adsorbed oxide species change the d-band of the metal: more vacancies are created as charge is withdrawn, with a consequential increase in the WL magnitude. It has already been shown that at high electrode potentials the formation of oxides on Pt and Ir leads to an increase in the WL intensity.<sup>48–54</sup> A fresh Ir NP sample, metallic Ir foil and IrO<sub>2</sub> (Figure S7) were used as references. Every measurement was carried out by simultaneously recording XAS spectra on a reference Ir foil placed after the sample and the first ionization chamber. The E<sub>0</sub> of the reference spectra was calibrated to the energy of 11215 eV. The XAS spectra recorded for the catalyst samples have been aligned according to the respective simultaneously recorded reference allowing a valid comparison between the different spectra. We also probed the reproducibility of the measurement by collecting additional spectra at the limits of the investigated potential range, i.e. 0.1 and 1.5 V<sub>RHE</sub>. The results are reported in Figure S8

demonstrating that for both potentials, the spectra do not change over the duration of the experiment.

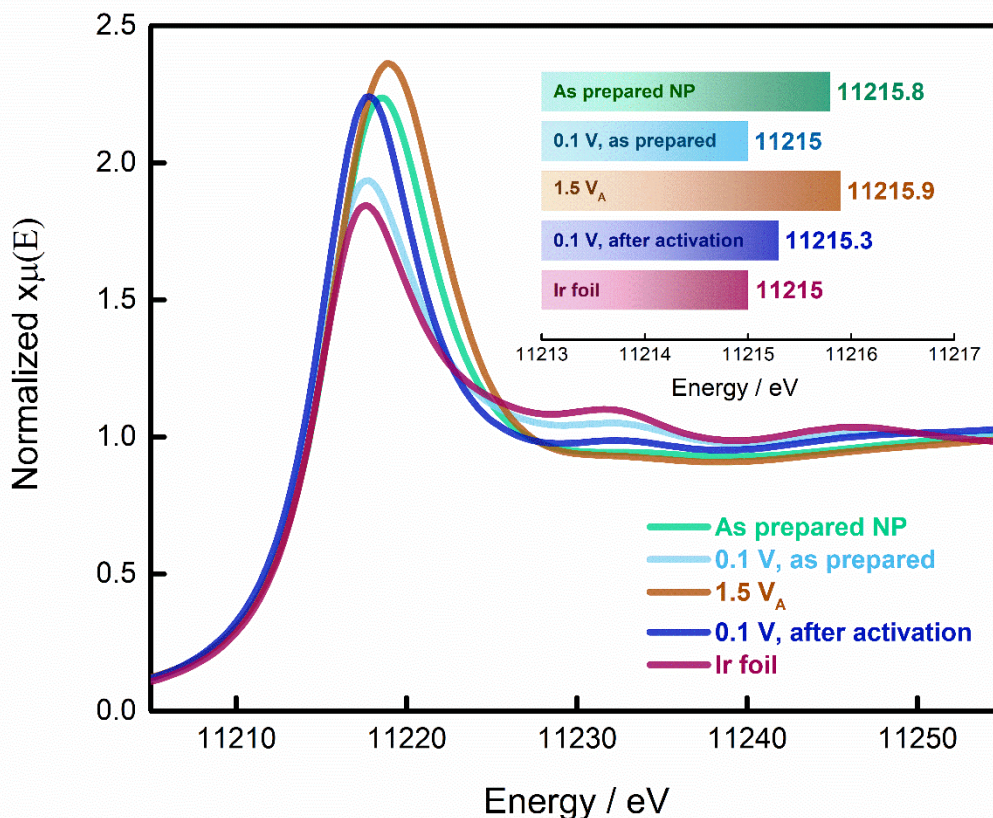


Figure 3. Normalized Ir  $L_3$ -edge XANES spectra for the Ir NP recorded at different potentials under steady state conditions.  $V_A$  stands for “anodic”. The insert displays the energy at the maximum of the first derivative of the XANES spectra for the different samples. The density of the datapoints in the plots is 5 points/eV.

As demonstrated in the Ir  $L_3$  XANES spectrum in Figure 3, the as-prepared Ir NP exhibit a relative high WL intensity, comparable to Ir NP oxidized at potentials in the OER region, i.e. 1.5  $V_{RHE}$ . This high WL intensity indicates that the Ir NP are significantly oxidized after the synthesis and drying in air. However, subjecting the as prepared Ir NP to reducing electrochemical conditions (electrode potential of 0.1  $V_{RHE}$ ), the WL intensity significantly decreases matching the one of the reference Ir foil. This finding confirms that the as prepared Ir

NP are completely reduced at this low electrode potential and justifies that the ECSA of the Ir NP can be determined in CO stripping measurements. By comparison, exposing the Ir NP to high electrode potential (up to 1.5 V<sub>RHE</sub>) leads to an irreversible increase in the WL intensity, see Figure 3. The WL intensity of the activated Ir NP recorded at 0.1 V<sub>RHE</sub> is significantly higher than the as prepared Ir NP at 0.1 V<sub>RHE</sub> and reaches almost the WL intensity of Ir NP recorded at 1.5 V<sub>RHE</sub>. This is a significant finding and indicates that the activation leads to a largely irreversible oxide formation. Even though the as-prepared (ex-situ) and the activated (at 1.5 V<sub>RHE</sub>) Ir NP display a very similar WL intensities and the same E<sub>0</sub>, the “nature” of the oxide clearly must be different. In the first case, the oxide is reversible, and a complete reduction is possible. Contrarily, the electrochemically grown oxide during activation can only be partially reduced in agreement with the progressive drop of the ECSA<sub>retained</sub> when the potential is increased.

### 3.4 Dependence of ECSA and OER on Ir loading

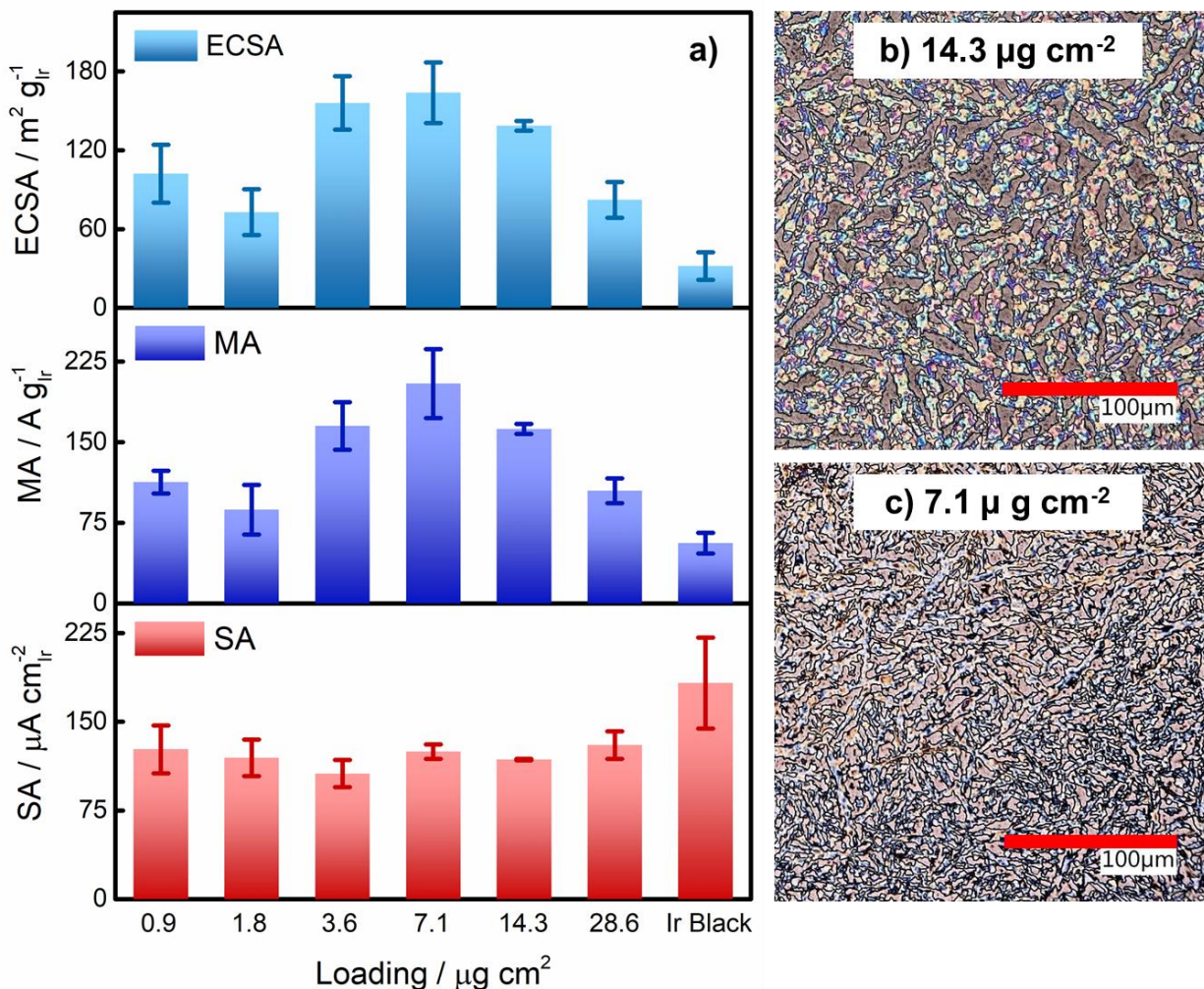


Figure 4. a) *ECSA*, Mass activity (*MA*) and specific activity (*SA*) as a function of Ir loading on the electrode. *ECSA* was determined by *CO stripping*. Loading for commercial Ir black is 7.1  $\mu\text{g cm}^{-2}$ . All experiments were performed at room temperature. *MA* and *SA* were measured at 1.5  $V_{\text{RHE}}$  during the positive going scan. b) and c) images taken with an optical microscope show NP deposited on the glassy carbon disks. The brown regions belong to the glassy carbon whereas the colorful network is produced by the NP.

Based on our results from the activation procedures, we decided to employ PS activation at 1.6  $V_{\text{RHE}}$  as standard in all subsequent measurements because the irreversible oxide formation is almost complete (only a very small amount of metallic Ir can be retained upon re-reduction at low potentials, i.e. 15 %) and at the same time harsh conditions can be avoided that might lead to



undesired catalyst degradation (especially in the RDE configuration). Moreover, a PS activation seems more suitable for OER catalysts, bearing in mind that Polymer Electrolyte Membrane Water Electrolyzers (PEMWE) are expected to work under continuous operations in galvanostatic mode.<sup>55-57</sup>

Having defined the activation procedure, we investigated the influence of the metal loading (Ir NP deposited on the GC tip) on the electrocatalytic OER behavior. Many applied OER catalysts are unsupported due to the lack of suitable supports that are highly conducting and at the same time able to withstand the harsh conditions of PEMWE without corroding.<sup>1,13</sup> Consequently, Ir dispersion over membranes is quite poor and high loadings of Ir are necessary in order to meet the current density requirements ( $J_{\text{GEO}} > 2 \text{ A cm}^{-2}$ ).<sup>13,58</sup> Therefore, we investigated how efficiently we can utilize the Ir colloid in a catalyst layer. For this we prepared RDE tips with different Ir loadings and determined the ECSA to track possible particle agglomeration. Moreover, determining the ECSA is crucial to probe if the activity of individual active sites changes with the Ir loading (metallic vs. oxidized, interparticle and particle size effects<sup>59,60</sup>). Figure 4a summarizes the ECSA calculated by CO stripping as function of the Ir loading. It is seen that the ECSA follows an unexpected trend, i.e. at low and high loadings the ECSA is rather low. This indicates particle aggregation at a “lack” as well as at an “excess” of Ir NP. The observed behavior may be related to the GC structure that does not present proper anchoring sites and a morphology able to keep the nanoparticles apart.<sup>61,62</sup> Therefore, when low loadings are used, it seems that particles cannot distribute evenly over the entire GC disk and, instead, they tend to form islands due to reciprocal affinity (see Figure S3 for images taken with an optical microscope). In contrast, at high loadings the limited area of the GC disk cannot host the high amount of Ir, which, therefore, starts to agglomerate into thick 3D branches (Figure 4b).

The behavior is different at intermediate loadings, i.e. 3.6 and 7.1  $\mu\text{g}_{\text{Ir}} \text{cm}^{-2}$ . It seems that the repulsion between particles due to the negative surface charge (as determined in Zeta potential measurements) of the Ir NP overcomes the lack of anchoring sites of GC and the tendency of NP to interact between each other. Consequently, the NP rearrange into a 2D/3D network characterized by thin branches widespread over the entire surface (Figure 4c). Under such conditions the measured ECSAs are very high, i.e. 160 and 140  $\text{m}^2\text{g}^{-1}$  for 7.1 and 14.3  $\mu\text{gcm}^{-2}$  respectively. This is a significant improvement over state-of-the-art catalysts reported in literature where values ranging between 15 and 60  $\text{m}^2\text{g}^{-1}$  have been reported.<sup>20,23,24,63</sup> The main reason has to be found in the synthetic process which produces very small and surfactant-free NP as well as remarkably stable colloidal dispersions. Nevertheless, it is important here to stress that in all cases the NP seem to aggregate on the GC disk, and they cannot be considered as isolated particles. For comparison, we measured the ECSA of a commercial Ir black catalyst. The loading was chosen to be the same as the best performing homemade catalyst, i.e. 7.1  $\mu\text{g}_{\text{Ir}} \text{cm}^{-2}$ . We determined an ECSA of 32  $\text{m}^2 \text{g}^{-1}$ , a significantly lower value. This apparent low Ir utilization can in part be attributed to a larger particle size of the commercial sample (TEM images in Figure S4). In addition, the surface area determination might be obscured due to irreversible surface oxidation, see discussion about the SA. After the surface area determination, we applied the PS activation protocol at 1.6  $V_{\text{RHE}}$  and investigated the influence of the Ir loading on the OER rate based on MA and SA, see Figure 4a. It is found that the MA follows the same trend as determined for the ECSA with a maximum OER activity of 205  $\text{A g}^{-1}$  at 1.5  $V_{\text{RHE}}$  for an Ir loading of 7.1  $\mu\text{g cm}^{-2}$ . The achieved MAs are around a factor of four times higher than the one of the commercial Ir black catalyst (56  $\text{A g}^{-1}$ ). The SA of the Ir NP stays within the experimental accuracy constant between 110 – 130  $\mu\text{A cm}^{-2}$ , whereas the commercial Ir black catalyst exhibits

a higher SA of  $183 \mu\text{A cm}^{-2}$ . The high SA might indicate a particle size effect; however, in this case the SA of the synthesized Ir NP should not be independent of the loading. In addition, a higher MA would be expected. Thus, the results strongly suggest an underestimation of surface area by CO stripping due to an irreversibly pre-oxidized surface.

## 4 Conclusion

In our work we introduced a new, reproducible and scalable method for the synthesis and benchmarking of very small and monodisperse Ir NP that exhibit excellent performance as OER catalysts. The small nanoparticle size leads to an extremely efficient utilization of the rare and expensive Ir metal. The lack of a pronounced particle size effect such as seen for Pt, i.e. a reduction of the SA with decreasing particle size, guarantees that the ultrahigh dispersion of up to  $160 \text{ m}^2 \text{ g}^{-1}$  as compared to ca.  $15 - 60 \text{ m}^2 \text{ g}^{-1}$  in commercial catalysts can be fully utilized for the OER. As a consequence, the prepared electrodes exhibit a remarkable OER activity even without the help of a support. MAs higher than  $200 \text{ A g}^{-1}$  at  $1.5 \text{ V}_{\text{RHE}}$  are measured excluding any artificial current contributions due to oxide formation. The Ir utilization and the resulting MA represent a significant improvement as compared to the state-of-the-art electrodes. The small Ir NP size alongside the stability of the colloidal suspension make this catalyst a promising candidate for the preparation of supported catalysts with extremely high ECSA.

## Conflict of interest

The authors declare that the general synthesis method presented is subject to a patenting process (EP 3 329 990 A1, WO 2018/099958 A1).

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