



## Microenvironmental characteristics and physiology of biofilms in chronic infections of CF patients are strongly affected by the host immune response

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1 **Paper Title Page**

2 **Title:** Microenvironmental characteristics and physiology of biofilms in chronic infections of CF  
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20 **Abstract**

21 *In vitro* studies of *P. aeruginosa* and other pathogenic bacteria in biofilm aggregates have yielded  
22 detailed insight to their potential growth modes and metabolic flexibility under exposure to  
23 gradients of substrate and electron acceptor. However, the growth pattern of *P. aeruginosa* in  
24 chronic lung infections of cystic fibrosis (CF) patients is very different from what is observed *in*  
25 *vitro* e.g. in biofilms grown in flow chambers. Dense *in vitro* biofilms of *P. aeruginosa* exhibit  
26 rapid O<sub>2</sub> depletion within <50-100 μm due to their own aerobic metabolism. In contrast, *in vivo*  
27 investigations show that *P. aeruginosa* persists in the chronically infected CF lung as relatively  
28 small cell aggregates that are surrounded by numerous PMNs, where the activity of PMN's is the  
29 major cause of O<sub>2</sub> depletion rendering the *P. aeruginosa* aggregates anoxic. High levels of nitrate  
30 and nitrite enable *P. aeruginosa* to persist fueled by denitrification in the PMN-surrounded biofilm  
31 aggregates. This configuration creates a potentially long-term stable ecological niche for *P.*  
32 *aeruginosa* in the CF lung, which is largely governed by slow growth and anaerobic metabolism  
33 and enables persistence and resilience of this pathogen even under the recurring aggressive  
34 antimicrobial treatments of CF patients. As similar slow growth of other CF pathogens has recently  
35 been observed in endobronchial secretions, there is now a clear need for better *in vitro* models that  
36 simulate such *in vivo* growth patterns and anoxic microenvironments in order to help unraveling the  
37 efficiency of existing or new antimicrobials targeting anaerobic metabolism in *P. aeruginosa* and  
38 other CF pathogens. We also advocate that host immune responses such as PMN-driven O<sub>2</sub>  
39 depletion play a central role in the formation of anoxic microniches governing bacterial persistence  
40 in other chronic infections such as chronic wounds.

41

42

43 **Keywords:** microenvironment, growth, chronic infection, biofilm, immune response

## 44 1. Introduction

45

46 The biofilm physiology of pathogenic bacteria has mostly been studied *in vitro* using flow-chamber  
47 setups, where a continuous flow of media has maintained the external chemical microenvironment  
48 constant (1), (2) resulting in vertically and laterally stratified distributions of nutrients and  
49 metabolites, i. e., the formation of concentration gradients, due to i) mass transfer impedance  
50 between fluid and the exopolymeric biofilm matrix, and ii) heterogeneity in biomass distribution (2-  
51 5). In presence of such gradients, the bacteria can adapt their physiology according to the actual  
52 chemical microenvironment in the biofilm resulting in distinct growth zones and modes of  
53 metabolism (2). Thus, the growth of such *in vitro* biofilms creates internal chemical and  
54 physiological gradients, which are largely governed by solute exchange with the medium and the  
55 diffusive properties and restricted bacterial mobility in the biofilm exopolymeric matrix. In biofilms  
56 associated with chronic infections CF patients, however, direct evidence of physiological gradients  
57 within *in vivo* biofilms is lacking. In fact, the finding of low and uniformly distributed growth  
58 inside biofilm aggregates of the important pathogenic bacterium *Pseudomonas aeruginosa* in the  
59 chronically infected lungs of cystic fibrosis (CF) patients (6) points to the absence of physiological  
60 differentiation inside such cell aggregates. In addition, the low *in vivo* growth rates of pathogens,  
61 the hypoxic or anoxic conditions in infected CF endobronchial mucus (7), and the accumulation of  
62 numerous polymorphonuclear leukocytes (PMNs) around bacterial biofilm aggregates (8) imply  
63 that the majority of O<sub>2</sub> is not consumed by the biofilm but rather by the host immune-response  
64 outside the biofilm. In particular, PMNs that accumulate around *P. aeruginosa* biofilms *in vivo* (8)  
65 can cause intense O<sub>2</sub> depletion during their respiratory burst (9) and the formation of nitric oxide  
66 (NO) (10) in endobronchial secretions from CF patients with chronic *P. aeruginosa* lung infection.  
67 This PMN-imposed restriction of O<sub>2</sub> availability for the pathogens *in vivo* is unlike most *in vitro*

68 biofilm studies, where normoxic media are typically supplied continuously. In this review we  
69 discuss current evidence for a new working model of chronic infections in CF patients, proposing  
70 that it mainly is the interaction between PMNs and *P. aeruginosa* biofilm aggregates that imposes  
71 physiological constraints on the *in vivo* biofilm, and modulates the biofilm microenvironment in CF  
72 lungs. We also discuss important implications of this revised view on infectious biofilms for the  
73 antibiotic treatment of chronic lung infections.

74

### 75 **The host immune-response changes the chemical microenvironment during chronic lung** 76 **infection in CF lungs**

77 Cystic fibrosis (CF) is caused by mutations in the cystic fibrosis transmembrane conductance  
78 regulator gene affecting apical ion transport (11). The defective ion transport results in the  
79 formation of thick viscous mucus, which makes the lungs susceptible to chronic respiratory  
80 infections by preventing mucociliary clearance (12), (13) and impeding solute mass transfer and  
81 penetration of antibiotics in the mucus (14-16). Chronic lung infection is the most severe  
82 complication in CF and *P. aeruginosa* is the major bacterial pathogen causing such infection (17),  
83 (18). In the chronic lung infection, *P. aeruginosa* exists in small biofilm cell aggregates that are  
84 persistently surrounded by PMNs in the endobronchial mucus (8), (19), (20). According to O<sub>2</sub>  
85 measurements directly in the lungs of CF patients, the infected endobronchial mucus is subject to  
86 severe hypoxia or even anoxia (7). Besides aerobic respiration by the lung epithelium (7), the  
87 depletion of O<sub>2</sub> is predominantly caused by host immune cells, i.e., PMNs that inflict a strong local  
88 O<sub>2</sub> consumption for their production of superoxide (O<sub>2</sub><sup>-</sup>) (9) and to a lesser extent for production of  
89 nitric oxide (NO) (10). The O<sub>2</sub> consumption by microbial aerobic respiration thus appears  
90 diminutive under such *in vivo* conditions in the CF lung (9).

91 Accelerated O<sub>2</sub> consumption by activated PMNs has long been recognized (21) and is due to a one-  
92 electron step reduction of O<sub>2</sub> to O<sub>2</sub><sup>-</sup> (22) by a NADPH-oxidase (23) named NOX-2 (24) that leads to  
93 a process known as the respiratory burst (25). In spite of this name, PMNs are barely engaging in  
94 aerobic respiration for acquiring ATP, and <3% of provided glucose is oxidized through the TCA in  
95 PMNs (26). The PMNs mainly produce ATP via anaerobic glycolysis (27), and inhibition of their  
96 terminal cytochrome C oxidase neither decrease O<sub>2</sub> consumption nor production of O<sub>2</sub><sup>-</sup> in PMNs  
97 (28), (9). Thus O<sub>2</sub> consumption by PMNs is devoted for the production of reactive oxygen species  
98 (ROS) that are essential for the antimicrobial host response; patients with defective ROS  
99 production, such as patients with chronic granulomatous disease (29) are therefore very susceptible  
100 to bacterial and fungal infections (30).

101 Most infectious biofilms are characterized by a stimulation of an inflammatory response that is  
102 typically dominated by PMNs (32). Increased ROS production and thus O<sub>2</sub> consumption by PMNs  
103 is a stereotypical response that can be activated by both fungal intruders, Gram-positive and Gram-  
104 negative planktonic bacteria (32), (9), by bacterial biofilms (33), as well as by sterile tissue damage  
105 (34). Therefore, a variety of stimuli can strongly affect the O<sub>2</sub> availability for infectious microbial  
106 biofilms and consequently, we propose that O<sub>2</sub> depletion in infected endobronchial CF mucus is  
107 primarily due to O<sub>2</sub> consumption by activated PMNs. Sputum samples from CF patients with *P.*  
108 *aeruginosa* chronic lung infection generally contain PMNs with ongoing respiratory burst (9), (35)  
109 and NO production (10). In accordance, sputum samples from adult CF patients with *P. aeruginosa*  
110 chronic lung infection exhibit steep O<sub>2</sub> concentration gradients and very thin oxygenated surface  
111 zones (36). Similar O<sub>2</sub> gradients have also been measured in fresh sputum from pediatric CF  
112 patients with lung infections involving various bacterial species (37). During biofilm infections,  
113 activated PMNs may thus expand the O<sub>2</sub> depleted zones in the lung to an extent that favors

114 pathogenic adaptation to anaerobic physiology and such adaptation has actually been confirmed *in*  
115 *vivo* by several biomarkers (see below).

116 Activated PMNs may also decrease extracellular pH (38), (39) and secrete lactate (40), and acidic  
117 conditions of pH<6.2 have been measured in endobronchial mucus (41) and in freshly expectorated  
118 sputum from CF patients with lung infection (37). Additional host responses also affect the  
119 availability of potential alternative electron acceptors for anaerobic microbial metabolism. In CF  
120 sputum, high levels of nitrate (NO<sub>3</sub><sup>-</sup>) and nitrite (NO<sub>2</sub><sup>-</sup>) of ~0.05-1 mM have been measured (42-44),  
121 (36), and increased levels of NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub><sup>-</sup> in the blood have also been observed during  
122 experimental *P. aeruginosa* lung infection (45) that may be linked to the host response. Activated  
123 PMNs in infected CF sputum have thus been shown to liberate NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub><sup>-</sup> (46) probably  
124 resulting from the degradation of peroxynitrite generated from the rapid reaction between O<sub>2</sub><sup>-</sup> and  
125 NO produced by activated NOX-2 and nitric oxide synthase (47), (48).

126 **Growth and biofilm structure:** *In vitro* biofilms grown in flow cells and drip-flow reactors,  
127 exhibit formation of steep chemical gradients (2), (49). These result in heterogeneous growth  
128 patterns forming a complex structural and chemical landscape (3-5), (49). In such *in vitro* biofilms,  
129 bacterial growth rate has been estimated to rapidly drop with distance from the biofilm surface  
130 reaching quasi-static growth at 40-50 μm depth (49), (50). As illustrated in Figure 1, such decline of  
131 aerobic growth can be attributed to electron acceptor limitation due to rapid *in vitro* O<sub>2</sub> depletion by  
132 bacterial biomass coupled with mass transfer limitation of the diffusive O<sub>2</sub> supply from the  
133 surrounding medium (3), (4), (51), (52).

134 However, we note that the presence of large surface attached biofilms with pronounced intra-biofilm  
135 gradients as seen *in vitro* remain to be demonstrated in chronic biofilm infections of CF lungs. *In vivo*  
136 biofilms in most chronic infections are typically found as small, suspended small cell aggregates that are  
137 surrounded by a high concentration of PMNs (6), (19). A meta-analysis of the size of such biofilm  
138 aggregates in various chronic infections showed a biofilm aggregate diameter range of 4 – 200 μm with a

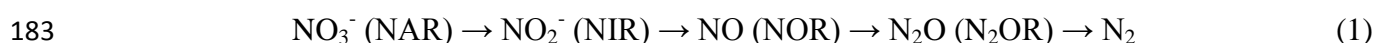
139 median diameter of 50  $\mu\text{m}$  in chronic CF lung infections, chronic wounds, and implant-associated and Otitis  
140 media infections (19) (Figure 2). These *in vivo* biofilm dimensions are thus in strong contrast to large area  
141 surface-attached *in vitro* biofilms typically ranging from  $\sim 50 \mu\text{m}$  to several hundred  $\mu\text{m}$  in thickness (53, 54).

142 Growth rates of *P.aeruginosa* within different biofilm aggregates in lung tissue from chronically  
143 infected CF patients showed significant variability among individual aggregates throughout the  
144 lungs (6). However, growth across individual biofilm aggregates, i. e., a comparison of growth  
145 rates of bacteria in the periphery and more central parts of individual aggregates, showed no  
146 significant differences (6). Thus, the heterogeneous growth patterns driven by chemical gradients in  
147 biofilms grown *in vitro* could not be demonstrated *in vivo* in biofilm aggregates characteristic of  
148 chronic CF lung infection. Instead, *in vivo* growth rate heterogeneity between individual biofilm  
149 aggregates showed a statistically significant correlation to the local concentration of PMNs  
150 surrounding the bacterial biofilm aggregates, where a higher concentration of PMNs lead to slower  
151 growth within the biofilms (6). High consumption of  $\text{O}_2$  by the PMNs can thus have a bacteriostatic  
152 effect on cells within the biofilms as a whole. In this way, the surrounding inflammation can be  
153 viewed as a secondary matrix through which chemical gradients may build towards the periphery of  
154 the biofilm and not through the biofilm itself (6). Several studies have investigated the growth  
155 pattern of bacteria in the lungs of patients with CF. It is interesting that these studies have shown  
156 that species frequently classified as obligate aerobes such as *Staphylococcus aureus*,  
157 *Stenotrophomonas maltophilia* and *Achromobacter xylosoxidans* exhibit virtually zero growth,  
158 which is in line with depletion of  $\text{O}_2$  in infected parts of the CFs lungs, whereas the facultative  
159 anaerobe *P.aeruginosa* exhibits slow growth under these conditions (6), (55-58). We conclude that  
160 PMNs apparently play a major role in modifying the chemical microenvironment thereby imposing  
161 growth restriction upon pathogens in biofilm aggregates associated with chronic lung infections of  
162 CF patients. As susceptibility to several types of antibiotics may be decrease by low availability of



163 O<sub>2</sub> (59-61) and slow growth (62-66), PMNs may also play a major role in rendering *in vivo* biofilms  
164 resistant to antibiotics (Figure 1E,F; Figure 3). In the following, we discuss how *P. aeruginosa*  
165 might operate and adapt to biofilm life in an ecological niche in CF lungs, where O<sub>2</sub> is largely  
166 absent due to PMN activity.

167 **Metabolic flexibility in *P. aeruginosa*:** The ability of microorganisms to exploit a wide range of  
168 electron acceptors for ATP generation provides metabolic flexibility in transient environments  
169 enabling the population of a variety of terrestrial and aquatic habitats (67). Such metabolic  
170 flexibility may also be an important trait in pathogens causing chronic infections. The opportunistic  
171 pathogen *P. aeruginosa* can grow under anoxic conditions by denitrification (68) or arginine  
172 fermentation (69), (70), while anaerobic pyruvate fermentation can support long-term survival of *P.*  
173 *aeruginosa*, but does not enable growth (71), (72). The intensive depletion of O<sub>2</sub> caused by  
174 activated PMNs in infected endobronchial secretions (9) may thus impose a necessary shift from  
175 aerobic to anaerobic life-styles of microorganisms in biofilm aggregates. Accordingly, anoxic zones  
176 in freshly expectorated sputum from CF patients with *P. aeruginosa* lung infections exhibit  
177 production of nitrous oxide (N<sub>2</sub>O) (Figure 4) (36), (37), which is a signature of denitrification (68).  
178 This metabolic shift to anaerobic respiration may reflect adaptation as a consequence of O<sub>2</sub>  
179 restriction since several genes involved in denitrification in *P. aeruginosa* are upregulated by O<sub>2</sub>  
180 depletion as a result of O<sub>2</sub> sensing by Anr (73), (74). Complete bacterial denitrification is performed  
181 by the four enzymes nitrate reductase (NAR), nitrite reductase (NIR), nitric oxide reductase (NOR)  
182 and nitrous oxide reductase (N<sub>2</sub>OR) that catalyzes the four step reduction of NO<sub>3</sub><sup>-</sup> to N<sub>2</sub> (68):



184 The CF pathogens *P. aeruginosa*, *A. xylooxidans*, *B. multivorans* and *S. maltophilia* all exhibit  
185 biofilm growth associated with chronic lung infections (75-77). During anoxia, clinical isolates of

186 these four pathogens responded to supplemental  $\text{NO}_3^-$  by increased growth and were apparently  
187 capable of  $\text{NO}_3^-$  depletion, while only *P. aeruginosa* and *A. xylosoxidans* displayed the formation of  
188  $\text{N}_2\text{O}$  (56). The genetic set-up for complete denitrification from  $\text{NO}_3^-$  to  $\text{N}_2$  is found in *P. aeruginosa*  
189 (78), (79) as well as in *A. xylosoxidans* (79). However, formation of  $\text{N}_2$  from  $\text{NO}_3^-$  via  
190 denitrification has so far only been demonstrated in cultures of *P. aeruginosa* (68) and remains to  
191 be firmly verified in cultures of *A. xylosoxidans*.

192

### 193 **Response of *P. aeruginosa* to hypoxia:**

194 Aerobic respiration in *P. aeruginosa* involves a four-electron reduction of  $\text{O}_2$  to  $\text{H}_2\text{O}$  via five  
195 terminal oxidases (80-85). The *cbb*<sub>3</sub>-1 oxidase, the *ccb*<sub>3</sub>-2 oxidase and the *aa*<sub>3</sub> oxidase, are all  
196 cytochrome *c* oxidases, while the *bo*<sub>3</sub> oxidase and the cyanide-insensitive oxidase (CIO) are quinol  
197 oxidases. Each oxidase has a specific affinity for  $\text{O}_2$ , efficiency of proton translocation and  
198 tolerance to stress imposed by e.g. reactive nitrogen species and cyanide (86). While the *cbb*<sub>3</sub> are  
199 oxidases with high affinity for  $\text{O}_2$  (87) the *aa*<sub>3</sub>, *bo*<sub>3</sub> and CIO oxidases have low affinity to  $\text{O}_2$  (86-  
200 88).

201 The four reductase enzymes involved in denitrification are induced by low  $\text{O}_2$  tension and the  
202 presence of  $\text{NO}_3^-$  (89). The anaerobic regulator of arginine and nitrate reductase (Anr) (belonging to  
203 the Fnr-Crp regulator family) is on top of the regulatory network controlling the activity of the four  
204 central denitrification enzymes and thereby anaerobic energy metabolism (90-93). However, the  
205 additional transcriptional regulators Dnr and NarX-NarL are also needed for denitrification. NarX  
206 detects  $\text{NO}_3^-$  and activates NarL, that down-regulates arginine fermentation (94-96), while Dnr is  
207 highly dependent on the activation of Anr to activate the cascade of genes encoding the Nar, Nir,  
208 Nor and Nos reductases (95). In addition, Dnr responds to the presence of NO (97-99).

209 Furthermore, the *P. aeruginosa* quorum sensing regulator RhlR can repress the expression of the  
210 four reductase-coding genes (100) together with the quinolone signal (PQS) (101).

211 The Anr regulator is also a main regulatory factor controlling the five terminal oxidases involved in  
212 aerobic respiration by *P. aeruginosa* as it monitors the O<sub>2</sub> concentration, and at low O<sub>2</sub>  
213 concentrations activates the expression of the *cbb<sub>3</sub>-1* and the *cbb<sub>3</sub>-2* oxidase as well as represses the  
214 expression of CIO (86). Regulation of *aa3* and *bo3* appears to depend on nutrient and iron  
215 starvation (86).

216 Even though the citric acid cycle is fully operative in bacteria under denitrifying conditions (102)  
217 more energy is preserved during aerobic respiration (103). Recently, the NO<sub>x</sub> reductases has been  
218 proposed to contribute to the proton motive force by only six protons per 2 electrons from one  
219 molecule of NADH, and considering that half of the generated ATP during denitrification is  
220 available for growth, this suggests that the growth yield per oxidized NADH by denitrification is  
221 only 30 % of the growth yield during aerobic respiration (103). Accordingly, the growth of *P.*  
222 *aeruginosa* is expected to be lower with nitrogen oxides as electron acceptors than under aerobic  
223 conditions, and the observation of slow growth in *P. aeruginosa* biofilm in the lungs (6) along with  
224 the N<sub>2</sub>O formation in expectorated sputum supports the hypothesis that denitrification is an  
225 important metabolic pathway for *P. aeruginosa* biofilms during lung infection in CF patients.

## 226

### 227 **Bacterial response to O<sub>2</sub> depletion during chronic CF lung infection**

228 First evidence for anaerobic growth of *P. aeruginosa* in CF lungs was provided by the  
229 demonstration of O<sub>2</sub> depletion and presence of OprF, a biomarker of denitrification in the  
230 endobronchial mucus from chronically infected CF patients (7), (104). Denitrification by *P.*  
231 *aeruginosa* has been further confirmed by the production of N<sub>2</sub>O in anoxic parts of sputum samples  
232 from CF patients with chronic *P. aeruginosa* lung infection (36), (Figure 4). The absence of O<sub>2</sub> in

233 parts of the CF airways has been further confirmed by the isolation of obligate anaerobes from CF  
234 sputum and bronchoalveolar lavage fluids (105), by the demonstration of anoxic zones in CF  
235 sinuses (106), as well as by the presence of anoxic zones in CF sputum (36), (37). Several other  
236 biomarkers of *P. aeruginosa* engaged in anaerobiosis during chronic lung infection in CF have been  
237 isolated from sputum. These include; antibodies against OprF and Nar in sera (104), (107), the  
238 upregulation of the denitrification reductases in CF sputum (108) and CF isolates (109), (110), and  
239 the increased transcription of the anaerobic regulator gene *anr* and up-regulation of Anr-dependent  
240 genes (109). Additionally, after antimicrobial treatment the infected sputum content of  $\text{NO}_3^-$   
241 increases (42) indicating a reduction in the activity of denitrifying cells.

242 The effect of anaerobiosis on the pathogenicity of *P. aeruginosa* may be highly relevant since  
243 production of the viscous matrix component alginate is increased when  $\text{O}_2$  is absent (111), (7).  
244 Alginate is linked with decreased lung function (112), possibly due to the ability of alginate to  
245 provide protection against antibiotics (113), (114) and phagocytic killing (115). Additionally,  
246 components of the anaerobic respiration pathway are immunogenic as evidenced by the presence in  
247 sera of antibodies against OprF and Nar (104), (107), and the activity of the nitrite reductase is  
248 required for type III secretion resulting in prolonged survival in human monocytes (116) and  
249 enhanced virulence (117). Moreover, the  $\text{N}_2\text{O}$  production in infected CF sputum (36) indicates that  
250 NOR is active in *P. aeruginosa*, which is associated with higher tolerance against NO produced by  
251 macrophages (118) and has been shown to cause increased virulence during infection in silkworms  
252 (119).

253 In CF patients with chronic *P. aeruginosa* lung infection, the existence of pulmonary niches with  
254 low  $\text{O}_2$  levels has been demonstrated directly in the bronchial mucus (7) and in sputum samples  
255 (36), (37), and evidence for growth in such niches comes from observation of the increased  
256 expression of genes involved in microaerobic respiration such as the high affinity oxidase *cbb3*

257 (109). Furthermore, *P. aeruginosa* CF PAO1 cultures kept at O<sub>2</sub> levels resembling the hypoxic  
258 pulmonary niches exhibit slow growth corresponding to *in vivo* pulmonary growth rates of *P.*  
259 *aeruginosa* reported in CF lungs (6), (88).

260

261 **Effects of the chemical microenvironment on bacterial susceptibility to antimicrobials:**

262 Most studies of antimicrobial tolerance have not focussed on the hypoxic or anoxic conditions  
263 experienced *in vivo* by *P. aeruginosa* in their biofilm micro-niche surrounded by PMNs in the  
264 chronically infected CF lung. Yet, tolerance toward antibiotics in biofilm is recognized as a major  
265 cause of therapeutic failure during chronic infection and the mechanisms of antimicrobial tolerance  
266 *in vivo* are not completely understood (120).

267 Physiological stratification in biofilms grown *in vitro* confers tolerance to several commonly used  
268 antibiotics due to limited O<sub>2</sub> availability and nutrient supply to deeper biofilm layers (120), (121).  
269 Several bactericidal antibiotics such as ciprofloxacin target aerobic respiration and induce lethal  
270 cellular damage by redox-related physiological modifications resulting in formation of ROS (60),  
271 (61), (123-125). In fact, several common types of antibiotics such as aminoglycosides, beta-lactams  
272 and quinolones target processes linked to the TCA cycle in metabolically active bacteria leading to  
273 formation of toxic ROS that contribute to the bactericidal activity of the antibiotic during aerobic  
274 respiration (60), (123). Accordingly, the bactericidal activity of ciprofloxacin and tobramycin was  
275 decreased when the availability of O<sub>2</sub> was reduced (59), (60). The slow growth associated with low  
276 levels of O<sub>2</sub> (88) may also contribute to tolerance against tobramycin and ciprofloxacin in biofilm  
277 as well as in planktonic cultures (62), (63), (65).

278 To overcome antibiotic tolerance in biofilms, alleviation of O<sub>2</sub> limitation may activate aerobic  
279 respiration and thus increase the susceptibility of pathogens to several antibiotics targeting  
280 metabolic active bacteria. As an example, hyperbaric O<sub>2</sub> treatment (HBOT) may significantly

281 enhance the efficacy of antibiotic treatment *in vitro* (126-128) and HBOT has the potential to  
282 enhance the antibiotic activity during experimental *in vivo* biofilm infections (129-132). Enhanced  
283 antibiotic activity against *in vitro* biofilm may also be achieved by supplying pure O<sub>2</sub> at  
284 normobaric levels (133).  
285 In contrast, the bactericidal activity of colistin on *P. aeruginosa* does not require the formation of  
286 toxic levels of ROS from O<sub>2</sub> (60), and the bactericidal activity of colistin is actually enhanced in the  
287 absence of O<sub>2</sub> (134). The bactericidal activity of colistin mainly depends on its interaction with  
288 lipopolysaccharide (LPS) within the outer bacterial membrane (135), (136). Decreased tolerance of  
289 anaerobic biofilm against colistin may thus be due to limited ability to establish tolerance by  
290 actively modifying LPS (137-139) due to the reduced production of ATP during anaerobic  
291 respiration as discussed above. A better understanding of the effects of anoxia and re-oxygenation  
292 on the susceptibility of biofilms to various antimicrobials may facilitate optimized selection of  
293 antimicrobials against biofilm during chronic infections. There is thus a strong need for further  
294 studies focusing on relating the *in vivo* susceptibility of biofilms to antibiotics to the chemical  
295 microenvironment in chronic infections, and how it is shaped by the host immune response.

296

#### 297 **Chemical microenvironment during biofilm infection in non-CF patients:**

298 Albeit this review focusses on how immune-responses change the growth landscape for pathogenic  
299 bacteria causing chronic lung infections in CF patients, we also advocate that similar effects could  
300 be relevant in other chronic infections. The involvement of bacterial biofilms in the poor healing of  
301 chronic wounds has lately received increased attention (140), (141), and it has been demonstrated in  
302 experimental wounds that infection with *P. aeruginosa* biofilms impairs wound closure rates (142),  
303 (143). It was also shown that steep O<sub>2</sub> gradients are present in the wound scab of diabetic mice with  
304 *P. aeruginosa* biofilm infection in their dorsal wound (144). Such hypoxic conditions may

305 contribute significantly to delayed wound healing (145-147). The source of O<sub>2</sub> depletion in infected  
306 wounds is far from clarified, but the finding of enhanced expression of bacterial genes associated  
307 with O<sub>2</sub> limitation and anaerobic growth in infected wounds of diabetic mice (144) indicate a  
308 significant consumption of O<sub>2</sub> outside the biofilm. Increased accumulation of PMNs in human and  
309 mouse wounds with biofilm infection (148), (149) also indicate that PMNs may dominate local O<sub>2</sub>  
310 consumption around bacterial aggregates in wounds similar to patterns observed in the infected CF  
311 lung, but this proposal awaits further experimental investigation.

312

### 313 **Conclusion and outlook.**

314 *In vitro* studies of *P. aeruginosa* and other pathogenic bacteria have yielded detailed insight to their  
315 potential growth modes and metabolic flexibility when switching between planktonic and biofilm  
316 habitats, and under exposure to gradients of substrate and electron acceptor. However, in chronic  
317 lung infections of CF patients the growth pattern of *P. aeruginosa* is very different from what is  
318 observed *in vitro*. Dense *in vitro* biofilms of *P. aeruginosa* exhibit rapid O<sub>2</sub> depletion within <50-  
319 100 μm due to their own aerobic metabolism. In contrast, *in vivo* investigations show that *P.*  
320 *aeruginosa* persists in the chronically infected CF lung as relatively small cell aggregates that are  
321 surrounded by many PMNs, where the activity of PMN's is the major cause of O<sub>2</sub> depletion  
322 rendering the *P. aeruginosa* aggregates anoxic. High levels of nitrate and nitrite enable *P.*  
323 *aeruginosa* to persist fueled by denitrification in the PMN-surrounded biofilm aggregates. This  
324 configuration creates a potentially long-term stable ecological niche for *P. aeruginosa* in the CF  
325 lung, which is largely governed by slow growth and anaerobic metabolism and enables persistence  
326 and resilience of this pathogen even under the recurring aggressive antimicrobial treatments of CF  
327 patients. There is now a clear need for better *in vitro* models that simulate such *in vivo* growth  
328 patterns and anoxic microenvironments and that can help unravel e.g. the efficiency of existing or

329 new antimicrobials targeting anaerobic metabolism in *P. aeruginosa*. Host immune responses such  
330 as PMN-driven O<sub>2</sub> depletion may also play a central role in the formation of anoxic microniches  
331 governing bacterial persistence in other chronic infections such as chronic wounds.

332



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702

703 **Figure legends**

704

705 Figure 1: Model of growth and activity in a surface-attached *in vitro* biofilm. (A) Cross-section of  
706 structured biofilm consisting of bacterial cells embedded in an exopolymeric matrix. (B) The  
707 chemical conditions in an *in vitro* biofilm, going from high concentration of substrate/nutrients/O<sub>2</sub>  
708 in the bulk medium surrounding the biofilm and depletion with depth in the biofilm. (C) Spatial  
709 heterogeneity in growth rate as a result of chemical gradients. Cells close to the surface of the  
710 biofilm grow fast, while cell growth becomes increasingly limited with depth in the biofilm. (E)  
711 Hypothetical result of treatment with colistin. The outer layer of actively growing cells survives the  
712 treatment, while the slow-growing cells deeper in the biofilm are killed. (F) Hypothetical result of  
713 treatment with ciprofloxacin. Actively growing cells in the outer biofilm layer are killed, while the  
714 slow-growing cells in deeper biofilm layers survive ciprofloxacin treatment.

715

716 Figure 2: Fluorescence microscopy (A – B) images (x 170 magnification) of mucosal *P.*  
717 *aeruginosa* biofilm stained with PNA-FISH (red) and PMNs stained with DAPI (blue) in lungs  
718 from CF patient with chronic *P. aeruginosa* biofilm (Kragh et al., 2014).

719

720 Figure 3: Proposed effect of PMN accumulation on the *in vivo* susceptibility of biofilm aggregates  
721 to antibiotics in the CF lung. (A) The bronchial lumen with two non-attached biofilm aggregates  
722 surrounded by PMN-infiltrated mucus. (B) Oxygen concentration gradient in the mucus towards the  
723 biofilm aggregates. High concentration of PMNs results in stronger local O<sub>2</sub> depletion and thus  
724 steeper concentration gradients due to the PMN respiratory burst. (C) High concentration of PMNs  
725 around a biofilm results in local anoxia with no or very slow aerobic growth of bacteria in the  
726 biofilm aggregate, while absence or lower abundance of PMNs enables higher growth due to better

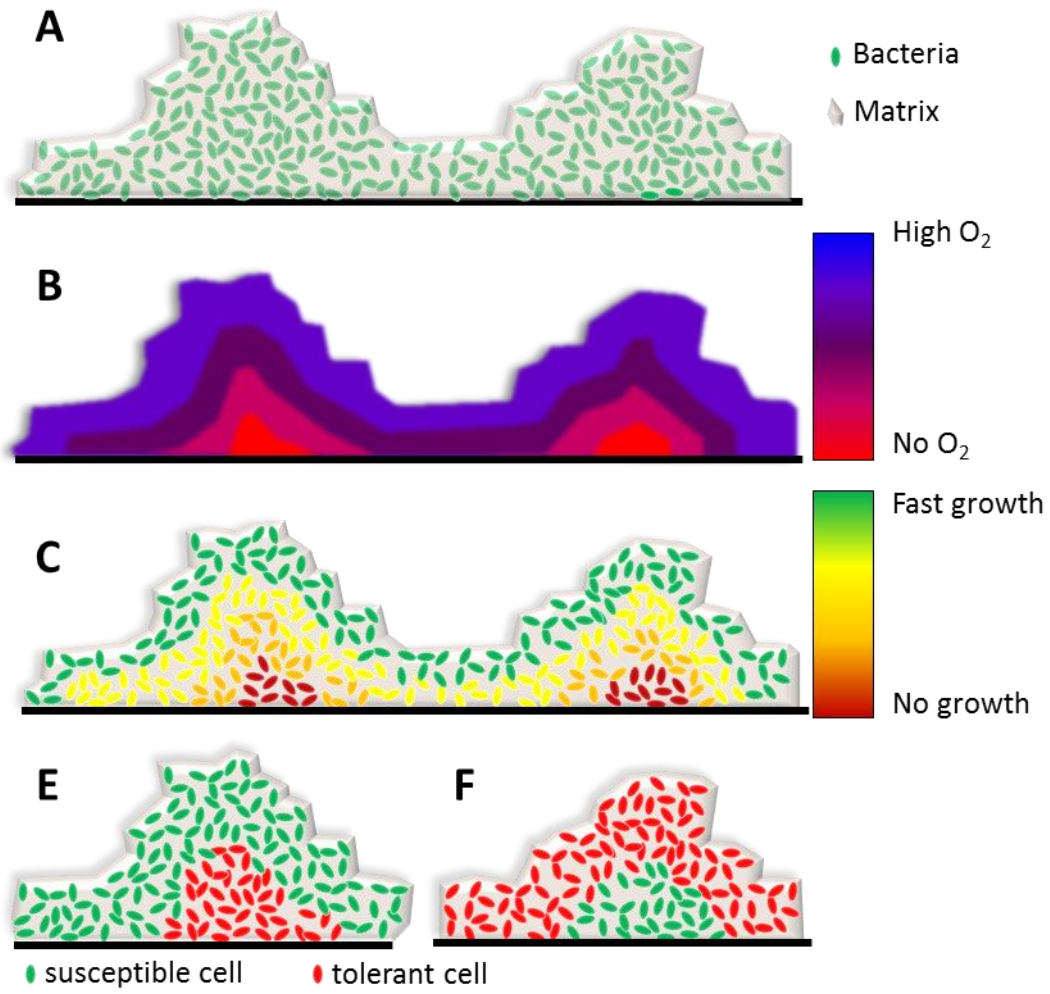
727 O<sub>2</sub> availability. (D) Differences in apparent growth rate of pathogenic bacteria in biofilm aggregates  
728 as modulated by PMN activity could also lead to different susceptibility to antibiotic treatment.

729

730 Figure 4: Figure 4: A) Close up of a sputum sample from a cystic fibrosis patient with chronic *P.*  
731 *aeruginosa* lung infection with an inserted microsensor. (B) Representative microprofiles of N<sub>2</sub>O  
732 and O<sub>2</sub> in a CF sputum sample. O<sub>2</sub> profiles are shown as the mean and SD of three microprofiles  
733 recorded in the beginning of the experiment and did not change significantly throughout the  
734 experimental period, while the N<sub>2</sub>O profile represents the maximal N<sub>2</sub>O levels measured about 6-7  
735 h after beginning. (C) A schematic model of the involved PMN and biofilm processes in CF  
736 sputum explaining the microprofiles. With permission from (36).

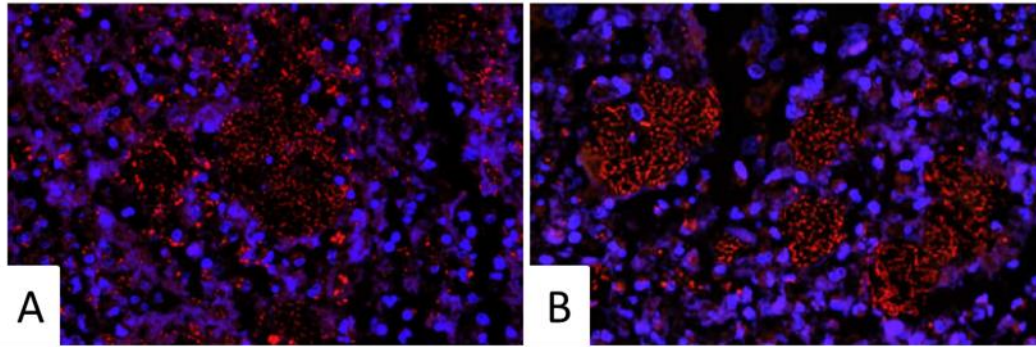
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738 Fig 1



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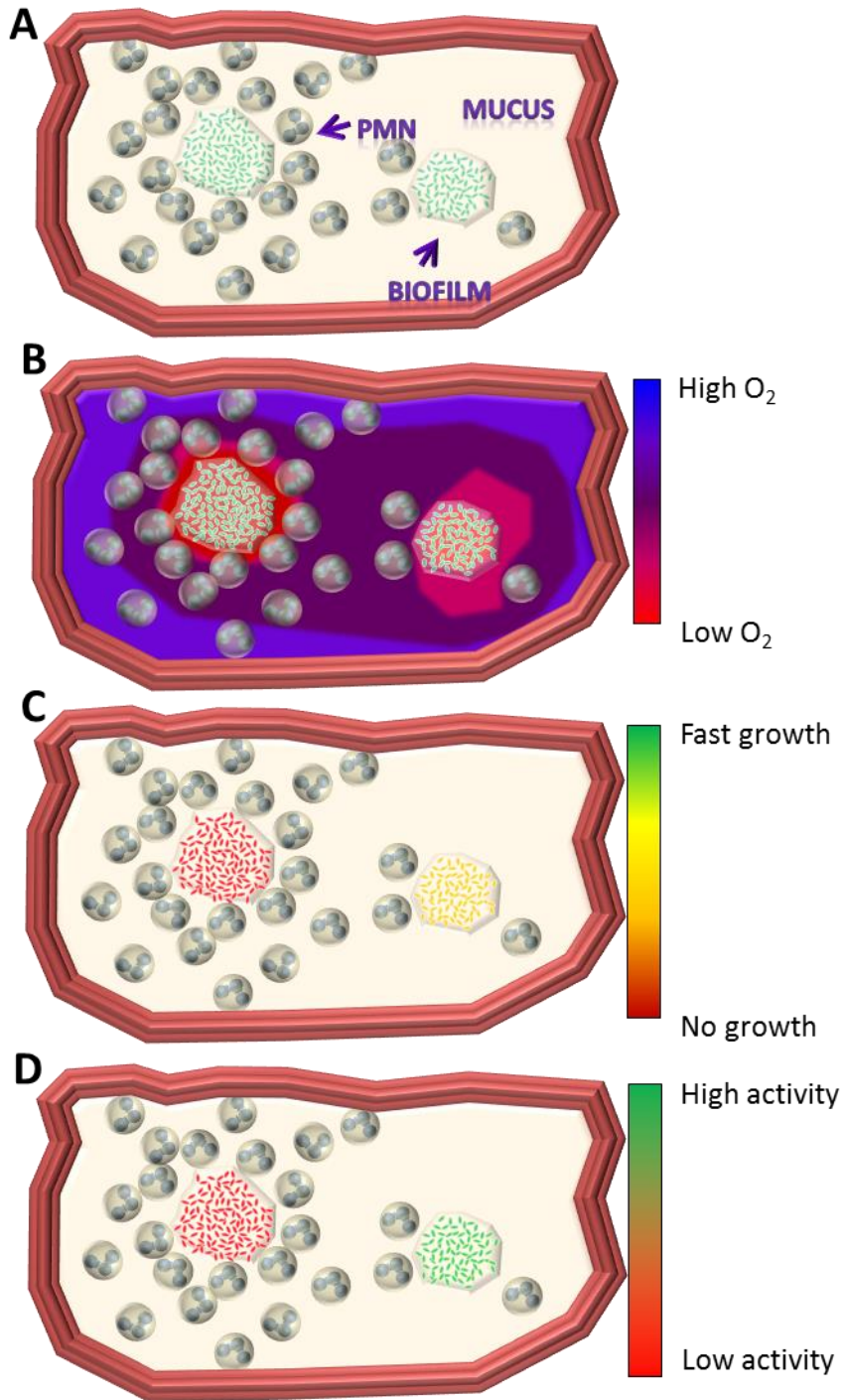
740 Fig 2



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742 Fig 3



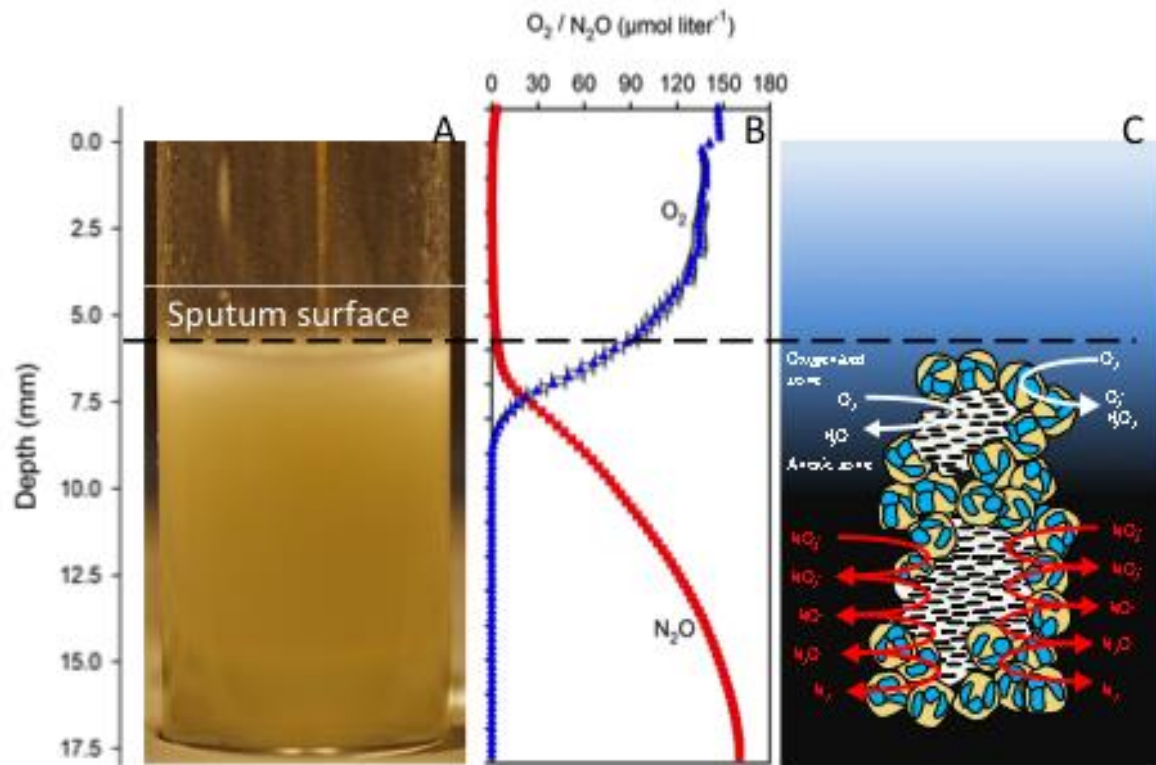
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747 Fig 4



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