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**RESEARCH PAPER** 

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# Gas film retention and underwater photosynthesis during field submergence of four contrasting rice genotypes

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# Abstract

Floods can completely submerge some rice (*Oryza sativa* L.) fields. Leaves of rice have gas films that aid  $O_2$  and  $CO_2$  exchange under water. The present study explored the relationship between gas film persistence and underwater net photosynthesis ( $P_N$ ) as influenced by genotype and submergence duration. Four contrasting genotypes (FR13A, IR42, Swarna, and Swarna-Sub1) were submerged for 13 days in the field and leaf gas films, chlorophyll, and the capacity for underwater  $P_N$  at near ambient and high  $CO_2$  were assessed with time of submergence. At high  $CO_2$  during the  $P_N$  assay, all genotypes initially showed high rates of underwater  $P_N$ , and this rate was not affected by time of submergence in FR13A. This superior photosynthetic performance of FR13A was not evident in Swarna-Sub1 (carrying the *SUB1* QTL) and the declines in underwater  $P_N$  in both Swarna-Sub1 and Swarna were equal to that in IR42. At near ambient  $CO_2$  concentration, underwater  $P_N$  declined in all four genotypes and this corresponded with loss of leaf gas films with time of submergence. FR13A retained leaf gas films moderately longer than the other genotypes, but gas film retention was not linked to *SUB1*. Diverse rice germplasm should be screened for gas film persistence during submergence, as this trait could potentially increase carbohydrate status and internal aeration owing to increased underwater  $P_N$ , which contributes to submergence tolerance in rice.

**Key words:** Aerenchyma, flooding stress, leaf gas films, leaf air layer, leaf hydrophobicity, *Oryza sativa*, submergence tolerance, *SUB1*, leaf chlorophyll, survival, FR13A, IR42, Swarna, Swarna-Sub1.

# Introduction

Flooding severely impedes gas exchange between plants and the environment owing to the  $10^4$ -fold slower diffusion of gases in water compared with in air (Armstrong, 1979). Rain-fed lowland rice is a semi-aquatic plant that often becomes submerged, but genotypes differ markedly in tolerance (Colmer *et al.*, 2014; Ram *et al.*, 1999). FR13A is a submergence-tolerant landrace and much of this tolerance is conferred by a major QTL (quantitative trait locus) called 'SUB1' (Xu and Mackill, 1996). The SUB1 QTL controls several traits contributing to submergence tolerance, including reduced shoot elongation, maintenance of higher soluble carbohydrate concentration, and less chlorophyll degradation during submergence, as well as less oxidative stress post-submergence (Ella *et al.*, 2003a; Ella *et al.*, 2003b). Rice genotypes with SUB1 therefore show better survival and recovery post-submergence than those lacking this QTL (Bailey-Serres

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#### 3226 | Winkel et al.

et al., 2010; Ismail et al., 2013; Mackill et al., 2012). SUB1A-1 is an ERF transcriptional regulator that blocks ethylene responsiveness during submergence and thus also downstream targets. It maintains the expression of the gibberellic acid (GA) signalling repressors SLENDER RICE1 (SLR1) and SLR1-like-1 (SLRL1) and their proteins during submergence. Expression of these repressors is associated with inhibition of GA induction of expansins required for cell wall expansion, and  $\alpha$ -amylase and sucrose synthase required for starch and sucrose catabolism, respectively (Bailey-Serres et al., 2010: Fukao and Bailey-Serres, 2008: Fukao et al., 2006). More recently, Schmitz et al. (2013) reported that SUB1 differentially regulates genes associated with brassinosteroids (BR) synthesis, and BR induces a GA catabolic gene, GA2ox7, under submergence. Together these processes lead to suppression of GA-induced underwater elongation growth and conserve carbohydrates for maintenance metabolism and survival.

In addition to the importance placed on conserving carbohydrates during submergence (Bailey-Serres and Voesenek, 2008; Voesenek et al., 2006), many wetland plants can also produce carbohydrates through underwater photosynthesis (Colmer et al., 2011; Mommer et al., 2004). Rice, in particular, has been shown to photosynthesize under water (Raskin and Kende, 1983; Setter et al., 1989) and rice grew well when submerged in water enriched with CO<sub>2</sub> to levels above air equilibrium to simulate some floodwaters (Pedersen et al., 2009; Setter et al., 1989). Like several other terrestrial wetland plants (Colmer and Pedersen, 2008b), rice possesses superhydrophobic, self-cleansing leaf surfaces that retain a thin gas film when immersed into water (Pedersen et al., 2009; Raskin and Kende, 1983; Setter et al., 1989). Leaf gas films markedly enhance gas exchange between leaf and floodwater so that underwater net photosynthesis  $(P_N)$  is greater for leaves with gas films present, than when these are removed (Pedersen et al., 2009; Verboven et al., 2014; Winkel et al., 2013). In addition to carbohydrate production, underwater  $P_N$  also results in better root aeration as much of the  $O_2$  produced in the leaves diffuses via the aerenchyma down to the roots (Colmer and Pedersen, 2008a; Pedersen et al., 2009; Waters et al., 1989; Winkel et al., 2013). As O<sub>2</sub> production in underwater P<sub>N</sub> ceases at dusk, leaf gas films then also facilitate O<sub>2</sub> uptake from the floodwater resulting in some internal aeration during darkness, but this is likely to be insufficient for the entire root system as root  $O_2$  decreases to very low levels and fermentation occurs during dark periods (Pedersen et al., 2009; Waters et al., 1989; Winkel et al., 2013).

SUB1 genotypes show less chlorophyll degradation during submergence (Ella *et al.*, 2003b), but the possible benefit of this to underwater  $P_N$  has not previously been evaluated. Furthermore, whether the leaves of submergence-tolerant FR13A or SUB1 lines differ from sensitive rice genotypes in formation and/or maintenance of leaf gas films should be evaluated. The issue of underwater  $P_N$  in FR13A and SUB1 genotypes is important to evaluate as the SUB1 QTL accounts for 70% of the variation in submergence tolerance leaving 30% unexplained variation (Xu and Mackill, 1996). We assessed the submergence tolerance of 4 selected genotypes of rice during 13 d of complete submergence. The four genotypes were (i) FR13A (the tolerant donor of *SUB1A*), (ii) IR42 (submergence intolerant and lacking *SUB1A*), (iii) Swarna (submergence intolerant and lacking *SUB1A*), and (iv) Swarna-Sub1 (Swarna with *SUB1A*). Over the period of 13 d of complete submergence in an experimental field, we followed with time underwater  $P_N$ , leaf chlorophyll concentrations, and leaf gas film thickness for the four contrasting genotypes in order to elucidate: (a) relationships between loss of chlorophyll and/or gas film persistence with underwater  $P_N$ capacity (i.e. at near-saturated CO<sub>2</sub>) and at near-ambient CO<sub>2</sub> (i.e. field-relevant), as influenced by time of submergence; and (b) if FR13A is superior in its capacity for underwater  $P_N$  whether this trait is also expressed in Swarna-Sub1.

## Materials and methods

#### Experimental design and harvest procedures

The submergence experiment was conducted in the wet season (Oct to Nov) in the submergence field facilities at the International Rice Research Institute at Los Baños, the Philippines, with field and soil type described previously (Singh et al., 2009). Rice genotypes (Oryza sativa L.; FR13A, IR42, Swarna and Swarna-Sub1) were sown in a seedbed in September 2011 and 21-d-old seedlings were transplanted at  $20 \times 20$  cm spacing into a waterlogged paddy field surrounded by bunds to enable submergence to be imposed. FR13A is a landrace from eastern India with exceptional submergence tolerance and is the donor of SUB1, a major QTL associated with submergence tolerance on chromosome 9; IR42 is a submergence-intolerant variety (Mackill et al., 2012). Swarna is a dwarf rain-fed lowland Indian variety and Swarna-Sub1 is Swarna with the SUB1 QTL introgressed through marker assisted backcrossing for improvement of submergence tolerance (Xu et al., 2006). Experiments commenced 14 d after transplanting, so that plants were 5 weeks old. Plants were completely submerged with about 1.25 m of water head and remained inundated through to the end of the experiment.

Plants were sampled at various times after submergence (see Figures) for analyses of underwater net photosynthesis ( $P_N$ ), leaf (lamina) chlorophyll concentrations, and lamina gas film thickness. Measurements were also taken of lamina sugar and starch concentrations, tissue porosity, and of whole shoot dry mass (DM); these supporting data are in the Supplementary Materials. A floating airfilled mattress was used to access plants in the submergence pond as this avoided disturbance of the soil that would have resulted in suspended particles and murky water; plants were gently pulled out of the soil and immediately submerged in floodwater from the same field in a plastic container to prevent air contact. This procedure did not capture all root material and thus roots were not included in any tissue analyses. Immediately after collection, plants were brought to the laboratory for analyses.

#### Environmental conditions

Water used to submerge the paddy field came from an adjacent reservoir; see Winkel *et al.* (2013) for key water chemical parameters. Morning water temperature in the paddy field was measured between 9.00 h and 10.00 h each day and ranged from 28–30 °C; the average O<sub>2</sub> concentration (for the 12 mornings) was 195 mmol m<sup>-3</sup> (17 kPa); air-equilibrium at 30 °C is 254 mmol m<sup>-3</sup> or 20.6 kPa. Average alkalinity in the water was 5.4 mol m<sup>-3</sup> and pH was 7.9, resulting in an average dissolved CO<sub>2</sub> concentration of 130 mmol m<sup>-3</sup> for the 12 mornings of the experiment. The CO<sub>2</sub> concentration in the study of Winkel *et al.* (2013) declined, relative to the morning value, to 71% by midday and then further to 53% by dusk. Light extinction in the water ranged from 1.1–1.9 m<sup>-1</sup> with an average of 48% of surface

light remaining at 50 cm of depth (depth of floodwater was approximately 1.25 m, average initial plant height varied from 37 to 77 cm). During the 13 d of submergence, the average air temperature was 26.7 °C, and varied from 23.3–32.7 °C. Average incident radiation was 403 W m<sup>-2</sup> in the period from 10.00 h–14.00 h for the 13 days of submergence.

#### Net photosynthesis under water and in air

Underwater P<sub>N</sub> was measured on excised leaf (lamina) segments at 0.2 and 5 mol  $m^{-3}$  of CO<sub>2</sub>. These two CO<sub>2</sub> concentrations were chosen based on: (i)  $0.2 \text{ mol m}^{-3}$  represents a reasonable near-ambient CO<sub>2</sub> concentration in rice floodwaters—these waters typically contain CO<sub>2</sub> above air-equilibrium concentrations during early mornings owing to night-time CO2 production, although CO2 can be depleted below air-equilibrium by the afternoon (summarized in Colmer et al. (2011), dynamics in Winkel et al. (2013)); (ii) five mol  $m^{-3}\ \text{CO}_2$  saturates underwater  $P_N$  of rice, irrespective of leaf gas films presence or absence (Swarna-Sub1; Winkel et al., 2013) and so these measurements enabled the evaluation of the maximum capacity for underwater P<sub>N</sub> in the present system, and how this changed with time. Although  $5 \mod m^{-3} CO_2$  would be regarded as a very high level of CO<sub>2</sub> (possibly with some adverse effects on cellular metabolism) if in a gas phase (viz.  $5 \text{ mol m}^{-3}$  is equivalent to  $17.2 \text{ kPa CO}_2$ in equilibrium with air at 30 °C), the CO<sub>2</sub>-response curve for underwater  $P_N$  did not show any adverse effects of this high  $CO_2$  (Winkel et al., 2013). The resistance of transversing an aqueous diffusive boundary layer (DBL) is 10 000 times that of an equivalent gaseous DBL and so the CO<sub>2</sub> concentration experienced by the cells of photosynthesizing leaves (consuming CO<sub>2</sub>) would be substantially lower when submerged than if in a gas phase of equivalent  $CO_2$ .

Four replicate leaves (the second youngest fully expanded from four different plants) were taken from each of the four genotypes. Twenty mm-long leaf segments (projected area of approximately 200 mm<sup>2</sup>) were excised from the top third of the lamina. Underwater  $P_N$  (n=4) was measured at 30 °C using 25 ml glass vials with two glass beads added to ensure mixing according to the method of Pedersen et al. (2013) with PAR inside the vials of  $760 \pm 60 \,\mu\text{mol}\,\text{m}^{-2}$  $s^{-1}$  (mean ±SE, *n*=10). The incubation medium was artificial floodwater based on a general purpose culture medium of Smart and Barko (1985) modified by Colmer and Pedersen (2008a), with initial  $O_2$  near half air-equilibrium. To prepare artificial floodwater with a final concentration of 0.2 or  $5 \text{ mol m}^{-3} \text{ CO}_2$  and an alkalinity of  $5 \text{ mol m}^{-3}$  (mostly bicarbonate and carbonate), we added KHCO<sub>3</sub> at  $5.2 \text{ or } 10.0 \text{ mol m}^{-3}$  in the general purpose medium. We subsequently added known volumes of 0.1 M HCl to convert the desired portion of the  $HCO_3^-$  into  $CO_2$ , resulting in pH values of 7.7 and 6.3 for the 0.2 and 5 mol  $CO_2$  m<sup>-3</sup>, respectively (Mackereth *et al.*, 1978). Vials without leaf segments served as blanks.

Following incubations of known durations (30–50 min), the dissolved O<sub>2</sub> concentration in each vial was measured using an O<sub>2</sub> minielectrode (OX-500, Unisense A/S, Aarhus, Denmark) connected to a multimeter (MicroSensor Multimeter, Unisense A/S, Aarhus, Denmark). Fresh mass (FM) was then taken before samples were flash frozen in liquid N2 and freeze-dried and DM recorded. A relationship between DM and area, and also for FM and area, was established for segments from the same type of leaves for each individual genotype, for plants when waterlogged with leaves in air and also when submerged, using digital photos and ImageJ (Schneider et al., 2012), so that the projected area of each leaf segment used in underwater P<sub>N</sub> could be calculated from its DM. Using the differences between DM to area ratio from the field plants in waterlogged soil with shoots in air or when completely submerged, a linear correction was calculated to estimate the change in DM to area ratio during the submergence.

 $P_N$  in air by plants in waterlogged soil with shoots that always remained in air was measured on each of the four genotypes on the second youngest fully expanded leaf using an IRGA (LI-6400, Li-Cor) at PAR of 750 µmol m<sup>-2</sup> s<sup>-1</sup> and CO<sub>2</sub> (380 µL L<sup>-1</sup>) at 30 °C between 10 and 11 am in the adjacent waterlogged paddy field; for details see Winkel *et al.* (2013).

#### Gas film thickness

Gas film volume was measured by determining buoyancy of lamina samples before and after gas film removal. Measurements were taken on three segments of 50 mm length of the lamina from the top third of the youngest fully expanded leaf of 3 tillers. After the first measurement of buoyancy (gas films intact) segments were brushed with a dilute solution of Triton X (0.01% v/v of Triton X-100 in artificial floodwater, composition given above) to eliminate hydrophobicity so that gas films were removed (c.f. Colmer and Pedersen, 2008b; Pedersen et al., 2009) and thereafter buoyancy was again measured. The samples were then vacuum infiltrated with water and again measured for buoyancy, to enable calculation of tissue porosity (gas-filled volume per unit tissue volume; Raskin, 1983). Segment area was calculated from the area to FM ratio, which was established for similar tissues (described above). Mean gas film thickness was calculated by dividing gas film volume (mm<sup>3</sup>) with the two-sided area (mm<sup>2</sup>), i.e. rice leaves possess gas films on both the adaxial and abaxial sides (Pedersen et al., 2009). In the present study, the detection limit of gas film thickness was approximately 2 µm and so measurements giving values below 2 µm were classified as "gas films absent".

#### Chlorophyll

Chlorophyll concentration was measured on the middle portion of the 2nd youngest fully expanded leaf of individual plants harvested from the submerged field. The samples were flash frozen in liquid N<sub>2</sub>, freeze-dried for 48 h, stored at -80 °C and then ground. Chlorophyll was extracted in 80% acetone at 5 °C for 12h in darkness and then absorbance in extracts was measured at 645, 652, and 663 nm on a spectrophotometer (UV-VIS 1800, Shimadzu, Nishinokyo, Kyoto, Japan). Chlorophyll concentrations were calculated using equations of Mackinney (1941).

#### Statistical analyses

GraphPad Prism 6 (GraphPad Software Inc., http://www.graphpad.com) was used for data analysis and two-way ANOVA with Bonferroni *post hoc* test to compare means of the differences in sugar, starch (in Supplementary Data, only), underwater  $P_N$ , gas film thickness, and chlorophyll of the leaves of the four genotypes. Analyses of two-way ANOVA were performed separately for FR13A versus IR42 and Swarna versus Swarna-Sub1 to enable better interpretation of potential factorial interactions. Correlations between underwater  $P_N$  at the two CO<sub>2</sub> concentrations and gas film thickness and tissue chlorophyll concentration were also performed using GraphPad Prism 6 (Spearman non-parametric correlation).

#### Results

#### Capacity for underwater net photosynthesis; measurements at high dissolved $CO_2$ (5 mol m<sup>-3</sup>)

Measurements of underwater  $P_N$  with 5 mol CO<sub>2</sub> m<sup>-3</sup>, a level that saturates underwater  $P_N$  of Swarna-Sub1 (irrespective of leaf gas films presence or absence) in the present system (Winkel *et al.*, 2013), was used to evaluate changes in capacity for underwater  $P_N$  with time after submergence. All four genotypes had initial maximal underwater  $P_N$  values between 4.0 and 5.3 µmol O<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> (no significant difference; Fig. 1a, b). Capacity for underwater  $P_N$  by FR13A and IR42 was significantly affected by time of submergence but



**Fig. 1.** Underwater net photosynthesis (P<sub>N</sub>) of four genotypes of 5–7 weeks old rice (*Oryza sativa*) with time of submergence. (a) FR13A (submergence tolerant and donor of *SUB1*) and IR42 (submergence intolerant) and (b) Swarna (submergence intolerant) and Swarna-Sub1 (submergence tolerant with SUB1 QTL introgressed). Lamina segments of ~ 200 mm<sup>2</sup> were incubated in rotating glass vials with 5 mol CO<sub>2</sub> m<sup>-3</sup> and PAR of 760 µmol photons m<sup>-2</sup> s<sup>-1</sup> at 30 °C and P<sub>N</sub> was measured as O<sub>2</sub> evolution (mean±SE, *n*=4). Underwater P<sub>N</sub> decreased significantly with time of submergence (Table 1); asterisk denotes significant differences between the two genotypes in each panel (Bonferroni test). Photosynthetic rates in air by FR13A, IR42, Swarna-Sub1 and Swarna, were 32.9±2, 40.3±3.4, 33.8±2.3, and 37.0±1.3 µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>, and were not significantly different (1-way ANOVA, means±SE, *n*=3–9).

maximal underwater P<sub>N</sub> of IR42 declined faster during the second week of submergence so that by the 13th day the rate was only 9% of the initial capacity (Fig. 1a; Table 1). Thus, during the latter part of the submergence treatment, capacity for underwater P<sub>N</sub> by FR13A was 6.7-fold higher than in IR42 (Fig. 1a). This superior performance of FR13A for retention of underwater photosynthetic capacity was not evident in Swarna-Sub1, which contains the SUB1 QTL from FR13A (Fig. 1b). The declines in capacity for underwater P<sub>N</sub> with time of submergence, in both Swarna-Sub1 and Swarna were equal to that in IR42 (Fig. 1a, b and Table 1). With high external  $CO_2$  in the floodwater,  $P_N$  under water was 13.4–19.5% of ambient rates in air (rates of  $P_N$  in air are given in the caption of Fig. 1). The lower  $P_N$  rates under water than in air probably results from a combination of high resistance to gas exchange even in the presence of leaf gas films (Verboven et al., 2014) impeding O<sub>2</sub> exit that is further reduced by the relatively low solubility of  $O_2$  in water, which would result in O<sub>2</sub> build-up inside the tissues, and thus high photorespiration under water, as previously discussed for rice by Setter et al. (1989).

Declines in leaf chlorophyll concentrations with time of submergence (Fig. 2a, b), as well as other possible changes in the photosynthetic apparatus (not studied here), presumably contributed to the decline in photosynthetic capacity (Fig. 1a, b). Genotypes did not differ significantly in initial chlorophyll concentration. In all four genotypes, leaf chlorophyll declined with time of submergence but the patterns of these declines differed (Fig. 2a, b). Similar with the pattern for underwater photosynthetic capacity, FR13A and IR42 did not differ in chlorophyll concentrations during the first 8 days of submergence, but later in the submergence period the values in IR42 fell well below those in FR13A (Fig. 2a and Table 1). Interestingly, the superior chlorophyll retention of FR13A was conferred by the SUB1 QTL when in the Swarna background (Fig. 2b; i.e. Swarna-Sub1). The decline in leaf chlorophyll with time of submergence in Swarna did not differ from that in IR42 (Fig. 2a, b), whereas in Swarna-Sub1 it was more similar to FR13A.

**Table 1.** Key-results of 2-way ANOVA tests related to data shown in Figures 1, 2, 4, and 6. Analyses were performed for each parameter studied (underwater  $P_N$  at 5 and 0.2 mol  $CO_2 m^{-3}$ , gas film persistence, and leaf chlorophyll) with two genotypes (FR13A versus IR42 or Swarna versus Swarna-Sub1). P- and F-values are given for "genotype", "time" and "genotype × time". A P-level of 0.05 was used, but P-values for P<0.1 are also shown in italics; n.s.=not significant. Abbreviations: UW=underwater;  $P_N$ =net photosynthesis; Chl=total chlorophyll.

Parameters and genotype pairs in comparisons	"genotype"		"time"		"genotype × time"		Data in Figure
	P-value	F-value	P-value	F-value	P-value	F-value	number
UW P <sub>N</sub> 5 FR13A vs. IR42	n.s.	0.1	<0.0001	11.7	0.0003	5.0	1
UW P <sub>N</sub> 5 Swarna vs. Swarna-Sub1	n.s.	1.2	< 0.0001	60.6	n.s.	1.4	1
Chl FR13A vs. IR42	0.0009	12.1	< 0.0001	52.9	< 0.0001	17.4	2
Chl Swarna vs. Swarna-Sub1	0.030	4.9	< 0.0001	69.9	0.0003	4.3	2
UW P <sub>N</sub> 0.2 FR13A vs. IR42	0.058	8.3	< 0.0001	46.1	< 0.0001	5.7	4
UW P <sub>N</sub> 0.2 Swarna vs. Swarna-Sub1	n.s.	0.8	< 0.0001	45.3	n.s.	0.4	4
Gas film FR13A vs. IR42	0.071	3.9	< 0.0001	50.5	< 0.0001	5.9	6
Gas film Swarna vs. Swarna-Sub1	0.069	3.4	< 0.0001	62.3	0.052	2.0	6



**Fig. 2.** Total chlorophyll concentration of four genotypes of 5–7 weeks old rice (*Oryza sativa*) with time of submergence. (a) FR13A (submergence tolerant and donor of *SUB1*) and IR42 (submergence intolerant) and (b) Swarna (submergence intolerant) and Swarna-Sub1 (submergence tolerant with *SUB1* QTL introgressed). Chlorophyll concentration was measured on the middle portion of the 2nd youngest fully expanded leaf (mean $\pm$ SE, *n*=4). Chlorophyll concentration decreased significantly with time of submergence for all four genotypes (Table 1); asterisk denotes significant differences between the two genotypes in each panel (Bonferroni test).

Correlation analyses were used to evaluate the relationships between leaf chlorophyll concentrations and capacity for underwater  $P_N$  (Fig. 3). Underwater  $P_N$  was positively correlated with leaf chlorophyll concentration for IR42, Swarna-Sub1, and Swarna, but not for FR13A. FR13A, in contrast with the other three genotypes, did not show a decline in underwater  $P_N$  (Fig. 1a) despite that leaf chlorophyll decreased to 68% of its initial concentration on day 11 and to 40% on day 13 (Fig. 2a). If the submergence period was extended, so FR13A suffered greater declines in chlorophyll similar to those already apparent in the other three genotypes, then underwater  $P_N$  would presumably decline and also result in a positive correlation between chlorophyll and underwater  $P_N$  in FR13A.

Although changes in leaf chlorophyll concentration, and possibly other changes in the photosynthetic machinery, presumably were the major factors contributing to declines in capacity for underwater  $P_N$  (Fig. 3), it should also be noted that towards the end of the submergence period (day 10 onwards), the previously gas-filled volume of the tissue had been infiltrated by water in three of the four genotypes (Supplementary Fig. S1 available at *JXB* online), the exception was FR13A. Water infiltration of the leaf tissue is an indication of structural degradation; any such tissue degradation



**Fig. 3.** Total chlorophyll concentration versus underwater net photosynthesis (P<sub>N</sub>) measured at 5 mol CO<sub>2</sub> m<sup>-3</sup> of four genotypes of 5–7 weeks old rice (*Oryza sativa*). Genotypes were: FR13A (submergence tolerant and donor of *SUB1*; solid circle), IR42 (submergence intolerant; open circle), Swarna (submergence intolerant; open square), and Swarna-Sub1 (submergence tolerant with *SUB1* QTL introgressed; solid square). Spearman rank correlation analyses (one-tailed) of chlorophyll concentration versus underwater P<sub>N</sub> showed: all genotypes pooled, *P*<0.0001; FR13A *P*=0.3517; IR42 *P*=0.0054; Swarna *P*=0.0140, and Swarna-Sub1 *P*=0.0023. Means±SE, *n*=5.

would also have contributed to the low chlorophyll concentrations (Fig. 2) and very low rates of underwater  $P_N$  (even at 5 mol CO<sub>2</sub> m<sup>-3</sup>) of IR42, Swarna, and Swarna-Sub 1 at the end of the treatment period (Fig. 1a, b).

# Underwater net photosynthetic rates at near-ambient dissolved $CO_2$ (0.2 mol m<sup>-3</sup>)

Measurements of underwater  $P_N$  with 0.2 mol CO<sub>2</sub> m<sup>-3</sup>, a near ambient concentration in a similar field situation (Winkel *et al.*, 2013), was used to evaluate field relevant rates of underwater  $P_N$  with time after submergence. At this  $CO_2$ concentration, underwater  $P_N$  is limited by  $CO_2$  entry owing to the high resistance to diffusion from the bulk medium into the submerged leaf (Pedersen et al., 2009; Winkel et al., 2013). Therefore, gas film presence, a feature which reduces gas exchange resistance of submerged leaves (Colmer and Pedersen, 2008b; Raskin and Kende, 1983; Verboven et al., 2014), is of importance. Thus, the relationship of gas film persistence with underwater P<sub>N</sub>, and decline in leaf chlorophyll concentrations, both as influenced by time of submergence, are of importance to characterize for contrasting genotypes. To facilitate comparison with non-limiting CO<sub>2</sub> conditions, we first consider the photosynthetic rates at near-ambient dissolved  $CO_2$  as related to the decline in leaf chlorophyll (Fig. 2a, b) and then followed by consideration of the role of leaf gas films.

All four genotypes had initial underwater  $P_N$  rates of 3.6–4.8 µmol  $O_2 m^{-2} s^{-1}$  (no significant difference) when supplied with 0.2 mol  $CO_2 m^{-3}$ , and these rates all declined significantly with time of submergence (Fig. 4a, b and Table 1). On the last day of submergence, underwater  $P_N$  by FR13A was 3.3-fold higher than in IR42 (Fig. 4a). This higher rate in



**Fig. 4.** Underwater net photosynthesis ( $P_N$ ) of four genotypes of 5–7 weeks old rice (*Oryza sativa*) with time of submergence. (a) FR13A (submergence tolerant and donor of *SUB1*) and IR42 (submergence intolerant) and (b) Swarna (submergence intolerant) and Swarna-Sub1 (submergence tolerant with *SUB1* QTL introgressed). Lamina segments of ~ 200 mm<sup>2</sup> were incubated in rotating glass vials with 0.2 mol CO<sub>2</sub> m<sup>-3</sup> and PAR of 760 µmol photons m<sup>-2</sup> s<sup>-1</sup> at 30 °C and P<sub>N</sub> was measured as O<sub>2</sub> evolution (mean±SE, *n*=4). Underwater P<sub>N</sub> decreased significantly with time of submergence for all four genotypes (Table 1); asterisk denotes significant differences between the two genotypes in each panel (Bonferroni test).

FR13A was again not evident in the *SUB1* introgression line in Swarna background (Fig. 4b; i.e. Swarna-Sub1). Although underwater  $P_N$  in FR13A was significantly higher than in the three other genotypes, even in FR13A towards the end of the submergence treatment the rate had declined to 40% of the initial rate (the other three genotypes had 11–19% of their initial rates). There was a positive relationship between leaf chlorophyll concentration and underwater  $P_N$  for three of the genotypes, but less so for Swarna (Fig. 5). As in the CO<sub>2</sub> saturated condition, leaf chlorophyll concentration was positively correlated with underwater  $P_N$ , but closer examination of the dynamics in the changes in chlorophyll as compared with changes in underwater  $P_N$  indicate there must also be an additional factor(s); here we assessed the potential influence of leaf gas films.

All four genotypes initially possessed gas films on both leaf sides when submerged. These gas films were maintained near the initial thickness for the first 4 days in FR13A and IR42, and then declined with time of submergence (Fig. 6a,



**Fig. 5.** Total chlorophyll concentration versus underwater net photosynthesis (P<sub>N</sub>) measured at 0.2 mol CO<sub>2</sub> m<sup>-3</sup> of four genotypes of 5–7 weeks old rice (*Oryza sativa*). Genotypes were: FR13A (submergence tolerant and donor of *SUB1*; solid circle), IR42 (submergence intolerant; open circle), Swarna (submergence intolerant; open square), and Swarna-Sub1 (submergence tolerant with *SUB1* QTL introgressed; solid square). Spearman rank correlation analyses (one-tailed) of chlorophyll concentration *versus* underwater P<sub>N</sub> showed: all genotypes pooled, *P*<0.0001; FR13A *P*=0.0077; IR42 *P*=0.0006; Swarna *P*=0.0575, and Swarna-Sub1 *P*=0.0347. Means±SE, *n*=5.

Table 1). The decline, however, was initially faster for IR42 than FR13A, so that gas films were lost by the 5th day in IR42 and by the 7th in FR13A. The dynamics in the reductions in thickness of the gas films were, with exception of day 4, essentially the same for Swarna-Sub1 and Swarna (Fig. 6b, "genotype  $\times$  time" interactions listed in Table 1); these declines resembled those of IR42. Fig. 7 evaluates the relationship between leaf gas films thickness and underwater  $P_{N}$ using the data up to day 7 by which time gas films had been lost for all genotypes but leaf chlorophyll had not yet significantly declined; this ensures that the effect of gas films is not confounded at this stage by changes in chlorophyll concentrations. This analysis shows that the initial declines in leaf gas film thickness hardly influenced underwater P<sub>N</sub> whereas underwater P<sub>N</sub> was markedly lower when gas films were no longer present (Fig. 7).

#### Growth, leaf sugars/starch, and survival

The present study was in a field with simulated flash-flooding causing complete submergence of 13 days. In addition to our focus here to fill the knowledge gap on underwater  $P_N$  and gas film persistence for these contrasting genotypes, growth during submergence, leaf sugars/starch, and survival were also evaluated. As earlier work has focused on these aspects (Mackill *et al.*, 2012), here we relegate those data to the supplementary materials (Supplementary Figs S2 and S3 available at *JXB* online).

### Discussion

FR13A has high tolerance of submergence (Singh *et al.*, 2001) and a large proportion of this tolerance is associated with the



**Fig. 6.** Leaf gas film thickness of four genotypes of 5–7 weeks old rice (*Oryza sativa*) with time of submergence. (a) FR13A (submergence tolerant and donor of *SUB1*) and IR42 (submergence intolerant) and (b) Swarna (submergence intolerant) and Swarna-Sub1 (submergence tolerant with *SUB1* QTL introgressed). Gas film volume was measured by determining tissue buoyancy before and after gas film removal using the method of Raskin (1983) and then divided by two-sided leaf area to obtain mean thickness (mean±SE, n = 4). Gas film thickness decreased significantly with time of submergence (Table 1); asterisk denotes significant differences between the two genotypes in each panel (Bonferroni test).

SUBI QTL (Mackill et al., 2012). The SUBI QTL confers submergence tolerance in rice, assessed as survival and recovery of growth and/or yield following transient complete submergence (Jagadish et al., 2012). This tolerance is associated with less elongation during submergence, higher soluble carbohydrates in shoots, and less oxidative damage post-submergence (Fukao et al., 2009; Xu and Mackill, 1996; Xu et al., 2006). These traits are well studied in FR13A and SUBI genotypes, whereas the known ability of FR13A to retain chlorophyll when submerged (Ella et al., 2003b) and its influence on underwater P<sub>N</sub> had not previously been evaluated. The present study shows that when submerged, FR13A retains its capacity for underwater P<sub>N</sub> (CO<sub>2</sub> saturated rate), whereas this capacity declined markedly in sensitive genotypes (IR42 and Swarna, Fig. 1). Nevertheless, at near ambient CO<sub>2</sub> levels in floodwater, underwater P<sub>N</sub> had declined in all genotypes during the second week of submergence, as leaf gas films only persisted for the first several days (Fig. 6). Regarding the SUB1 QTL, Swarna-Sub1 also showed improved chlorophyll retention, but its capacity for underwater P<sub>N</sub> was not



**Fig. 7.** Leaf gas film thickness *versus* underwater net photosynthesis ( $P_N$ ) measured at 0.2 mol CO<sub>2</sub> m<sup>-3</sup> of four genotypes of 5–7 weeks old rice (*Oryza sativa*). Genotypes were: FR13A (submergence tolerant and donor of *SUB1*; solid circle), IR42 (submergence intolerant; open circle), Swarna (submergence intolerant; open square), and Swarna-Sub1 (submergence tolerant with *SUB1* QTL introgressed; solid square). Spearman rank correlation analyses (one-tailed) of gas film thickness *versus* underwater  $P_N$  showed no significant correlations of neither all genotypes pooled nor for each individual genotype when excluding gas film thicknesses below 2 µm (the detection limit of the present method of gas film quantification). Means±SE, *n*=5.

improved, indicating that other components of the photosynthetic machinery must have been compromised. The changes in gas film presence and leaf chlorophyll concentration (and presumably other components of the photosynthetic machinery) with duration of submergence both contribute to the decline in rates of underwater  $P_N$  of submerged rice.

The impressive maintenance by FR13A of capacity for underwater P<sub>N</sub> (CO<sub>2</sub> saturated rate) during 13 days of submergence adds to the list of known traits associated with submergence tolerance in this genotype, being much higher than in Sub1 introgression lines (Neeraja et al., 2007; Singh et al., 2009). FR13A is known to possess four more, but minor, QTLs associated with submergence tolerance (Nandi et al., 1997). Submerged rice can suffer leaf chlorosis, a condition triggered by ethylene accumulation, but chlorosis is less in tolerant (e.g. FR13A) as compared with sensitive (e.g. IR42) genotypes (Ella et al., 2003b; Jackson et al., 1987). The present underwater P<sub>N</sub> measurements add functional data to extend the previous observation of better chlorophyll retention in FR13A as compared with IR42 (Ella et al., 2003b). An earlier study had indicated a significant decline in photosynthetic capacity already after 1 day (IR42) and 3 days (FR13A) of submergence (Smith et al., 1988), but this earlier work used an IRGA to measure leaves soon after return to air. By contrast, the present study measured photosynthesis under water (Pedersen et al., 2013) and IR42 declined in photosynthetic capacity (i.e. CO<sub>2</sub> saturated rate) only during the second week of submergence (Fig. 1). The fast declines in photosynthetic rates observed by Smith et al. (1988) were not associated with changes in leaf chlorophyll, whereas in our longer term study there were strong positive correlations between reductions in leaf chlorophyll concentrations and reduced capacity for underwater  $P_N$  (Fig. 3).

Underwater P<sub>N</sub> at 0.2 mol CO<sub>2</sub> m<sup>-3</sup> (representative of ambient in submergence situations) was not, however, preserved as well as underwater  $P_N$  at high CO<sub>2</sub> (5 mol CO<sub>2</sub> m<sup>-3</sup>) for the leaves of submerged rice. The declines with time in underwater  $P_N$  of the various genotypes at 0.2 mol CO<sub>2</sub> m<sup>-3</sup> were probably due to the loss of leaf gas films after 4-6 days of submergence; loss of gas films would decrease the uptake of CO<sub>2</sub> from the floodwater (c.f. Pedersen et al., 2009). Gas films persisted on the submerged leaves for 4–6 days depending on genotype and the loss of leaf gas films were strongly linked to a steep decline in underwater  $P_N$  at 0.2 mol  $CO_2$  m<sup>-3</sup> for all four genotypes (Figs 4 and 6). By contrast, lamina chlorophyll concentration did not significantly decrease until after the leaf gas films had disappeared and so the substantial declines in underwater P<sub>N</sub> during the initial 5 days of submergence were therefore unlikely to have been caused by chlorophyll degradation. Leaf gas films increase underwater gas exchange and thus CO<sub>2</sub> entry to sustain rates of underwater P<sub>N</sub> (Colmer and Pedersen, 2008b; Pedersen et al., 2009; Winkel et al., 2011). Moreover, modelling of O<sub>2</sub> entry during darkness into respiring rice leaves with or without gas films has further demonstrated that the resistance to  $O_2$  exchange with the floodwater is reduced by the presence of gas films, with assessments also of the various resistance components in the pathway(s) (Verboven et al., 2014).

Leaf gas films have been shown to enhance internal aeration of belowground tissues during complete submergence (Pedersen et al., 2009; Winkel et al., 2013; Winkel et al., 2011). It was recently shown that even low rates of underwater  $P_N$  greatly influence root  $O_2$  status during daytime for Swarna-Sub1 during 2 days of submergence in a field (Winkel et al., 2013). Thus, retention and persistence of leaf gas films by submerged plants is likely to be beneficial, but factors involved in the degradation of leaf gas films during prolonged submergence require additional study. Leaf gas films might also be an effective barrier against infections and we speculate when lost this will facilitate contact and colonization by microorganisms in the floodwater. It can be hypothesized that once the leaf gas films have been lost the process of tissue deterioration speeds up, eventually leading to tissue death. Superhydrophobic leaf surfaces are hypothesized to be an adaptation for leaves to self-clean and facilitate water to roll off leaves in air when it rains to prevent covering of leaves by a film of water (Neinhuis and Barthlott, 1997), as a water layer on a leaf surface would reduce gas exchange and thus photosynthesis, and also enhance the likelihood of bacteria and fungi infecting leaves (Koch et al., 2009). The leaf gas film persistence was moderately longer in FR13A and our data show that underwater  $P_N$  at a near ambient  $CO_2$  concentration was strongly enhanced by leaf gas film presence. Thus, we wonder if there is larger diversity of gas film retention and persistence in lowland rice than documented in the present study.

Pedersen *et al.* (2009) demonstrated the essential role of leaf gas films on sugar status of completely submerged rice and Winkel *et al.* (2013) showed the importance of underwater  $P_N$ 

for internal aeration in roots of submerged rice. The mechanisms determining longevity of leaf gas films should be further elucidated and rice germplasm screened for longer leaf gas film persistence during submergence, as this trait could potentially increase carbohydrate status and internal aeration owing to increased underwater  $P_N$  during prolonged submergence. Furthermore, studies are needed to investigate the extent of gas films persistence as related to various weather and floodwater characteristics that affects survival in the field e.g. conditions as noted in Das *et al.* (2009) and in Colmer *et al.* (2014).

# Supplementary data

Supplementary data are available at JXB online

Figure S1. Leaf lamina porosity

Figure S2. Leaf lamina sugars and starch

Figure S3. Relative growth rate and survival

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