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1	The effects of yeasts involved in fermentation of Coffea arabica in East Africa
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1 Abstract

2 The effects of Pichia anomala, P. kluyveri and Hanseniaspora uvarum predominant 3 during coffee processing on growth of Aspergillus ochraceus and production of 4 ochratoxin A (OTA) on malt extract agar (MEA) and on coffee agar (CA) were 5 studied. The three yeasts were able to inhibit growth of A. ochraceus when co-6 cultured in MEA and CA. Growth inhibition was significantly higher on MEA than 7 on CA. Furthermore, P. anomala and P. kluyveri were found to have a stronger 8 effect on growth of A. ochraceus than H. uvarum. The three yeasts were able to 9 prevent spore germination of A. ochraceus in yeast glucose peptone (MYGP) broth. 10 In yeasts free supernatant of MYGP broth after an incubation period of 72 h, spores 11 of A. ochraceus were able to germinate with very short germ tubes, but further 12 development of the germ tubes was inhibited. The three yeasts decreased the pH of 13 MYGP broth from 5.6 to a range of 4.4 to 4.7, which was found to have no effect on 14 spore germination of A. ochraceus.

Pichia anomala, P. kluyveri and *H. uvarum* were able to prevent production of OTA
by *A. ochraceus* when co-cultured on MEA. On CA medium, *P. anomala* and *P. kluyveri* prevented *A. ochraceus* from producing OTA. *Hanseniaspora uvarum* did
not affect production of OTA by *A. ochraceus* on CA medium.

Key words: Coffee, Pichia anomala, Pichia kluyveri, Hanseniaspora uvarum,
Aspergillus ochraceus, OTA.

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1 1. Introduction

2 Wet processing of coffee is mainly used for *arabica* coffee, where the ripe coffee 3 cherries are pulped followed by fermentation and drying (Fowler et al., 1998). The 4 main goal of fermentation is to degrade the slimy mucilage adhering firmly to coffee 5 beans by pectolytic enzymes produced by natural occurring microbiota (IIIy and 6 Viani, 1995). At all steps of coffee processing, Gram-negative and Gram-positive 7 bacteria, yeasts and filamentous fungi are present at high levels (Silva et al., 2000). 8 In a previous study (Masoud et al., 2004) on the yeasts community of arabica coffee in East Africa, we found that the total yeasts counts were in a range of 4.0×10^4 to 5 9 $x 10^7$ cfu / g with an increase during fermentation. *Pichia anomala*, *P. kluyveri* and 10 11 Hanseniaspora uvarum were the three predominant yeasts during the different stages 12 of processing (Masoud et al., 2004).

13 Ochratoxin A (OTA) is a secondary metabolite of toxigenic species of Aspergillus 14 and *Penicillium* which has been detected in foods such as cereal products, wine, 15 beer, coffee, spices and grape juice (EC No 472 / 2002). In a literature survey, Varga 16 et al. (2001) reported that in temperate regions OTA is mainly produced by 17 *Penicillium* species, whereas in tropical and subtropical areas OTA is produced by 18 Aspergillus species. Several studies have reported the occurrence of both OTA 19 producing fungi and OTA in green coffee beans (Levi et al. 1974; Levi, 1980; 20 Mislivic et al., 1983; Micco et al., 1989; Studer-Rohr et al., 1995; Nakajima et al., 21 1997; Romani et al., 2000; Ottender and Majerus, 2001; Pittet and Royer, 2002). 22 Taniwaki et al. (2003) isolated A. ochraceus, A. carbonarius and A. niger from 23 Brazilian coffee cherries and beans and found that 3, 75 and 77 % of A. niger, A.

ochraceus and *A. carbonarius* isolates produced OTA, respectively. A survey on
stored green coffee beans from various origins has shown that coffee samples from
African origin have significantly higher levels of OTA than those from America and
Asia (Pardo et al., 2004). Little is known about the origin of OTA in coffee and
when exactly it is produced along the coffee processing chain.

6 Growth of yeasts and moulds together on same substrate can lead to positive or 7 negative interaction. During ripening of the blue mould cheese Danablu, growth of 8 P. roqueforti has been found to be stimulated by Debaryomyces hansenii; while 9 Candidum geotricum and Yarrowia lipolytica were found to inhibit growth of P. 10 roqueforti under same conditions (van den Tempel and Nielsen, 2000; van den 11 Tempel and Jakobsen, 2000). Furthermore, D. hansenii, Candida sake and P. 12 anomala were reported to control growth of some plant pathogenic fungi (Droby et 13 al., 1989; Vinas et al., 1998; Walker et al., 1995; Masih et al., 2000). Biological 14 control of OTA producing fungi during the different stages of coffee processing 15 might help to reduce the accumulation of OTA in green coffee beans. Petersson et al. 16 (1998) found that *P. anomala* significantly reduced growth and production of OTA 17 by *P. verrucosum* in malt extract agar as well as in wheat.

18 The aim of the present study was to investigate the effects of *P. anomala*, *P.*19 *kluyveri* and *H. uvarum* predominant during coffee processing on growth and OTA
20 production by *A. ochraceus* in laboratory substrates including coffee based agar
21 medium.

22

23 **2. Materials and methods**

1 **2.1. Cultures**

2 Yeasts used in this study were obtained from coffee samples collected from Arusha 3 region, Tanzania (Masoud et al., 2004). They included six strains of P. anomala 4 (S12, S13, S14, S15, S16, S17), four strains of P. kluvveri (S4Y3, S7Y1, S8Y4, 5 S13Y4) and two strains of *H. uvarum* (S3Y8, S15Y2). In addition, two strains of *A.* 6 ochraceus (B677, B722) with the potential to produce OTA were studied. They were 7 also isolated from coffee samples collected from Arusha region, Tanzania (Institute 8 of Hygiene and Toxicology, Federal Research Centre for Nutrition and Food, 9 Karlsruhe, Germany).

10

11 **2.2. Culture media**

12 Malt yeast glucose peptone medium (MYGP) was prepared by dissolving 3 g malt 13 extract (Difco, Detroit, MI, USA), 3 g yeast extract (Difco), 5 g Bactopeptone 14 (Difco) and 10 g D(+)-Glucose monohydrate (Merck, Darmstadt, Germany) in 1 l 15 distilled water and the medium was adjusted to pH 5.6 by 1 M NaOH (Merck). For 16 malt extract agar (MEA), 20 g of malt extract (Difco), 10 g D(+)-Glucose 17 monohydrate (Merck), 5 g Bactopeptone (Difco) and 20 g of agar (Difco) were 18 dissolved in 1 l distilled water and the medium was adjusted to pH 5.6 by 1 M 19 NaOH (Merck). Diluent saline peptone (SPO) was prepared by dissolving 8.5 g 20 NaCl (Merck), 0.3 g disodium hydrogen phosphate (Na₂HPO₄.12H₂O) (Merck) and 21 1 g bactopeptone (Difco) in 1 l distilled water. SPO was adjusted to pH 5.6 by the 22 addition of 1 M HCl and 1 M NaOH. Coffee agar (CA) was prepared by adding 20 g of grounded green coffee beans (Levi Farm, Arusha, Tanzania) and 20 g of agar
 (Difco) to 11 distilled water.

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4 2.3. Effect of yeasts predominant in coffee on growth of A. ochraceus

5 Strains of P. anomala, P. kluyveri and H. uvarum were propagated in 25 ml of MYGP broth at 25 °C for 48 hours. After propagation cells were harvested by 6 7 centrifugation at 3000 x g for 10 min, and resuspended in SPO. Cell concentrations 8 were estimated by microscopy using a counting chamber (Neubauer) and the suspensions were diluted to final concentrations of 10^4 and 10^6 cells / ml. 9 10 Suspensions of yeasts were mixed with 20 ml of melted MEA and poured in Petri 11 dishes, which were left for 2 h to solidify. Spores of A. ochraceus were harvested 12 from MEA plates and suspended in SPO. Spore concentration was estimated by microscopy as described above and the suspension was diluted in SPO to 10^6 spores 13 14 / ml. After solidification of the MEA plates inoculated with yeasts, spots of 10 μ l of A. ochraceus spore suspension $(10^6 \text{ spore / ml})$ were placed on three sites of each 15 16 plate. Spots of A. ochraceus spore suspension were also placed on three sites of 17 yeast free MEA plate, which was used as a control. The plates were incubated at 30 18 ^oC for 7 days where after, growth of fungi was determined by measuring the fungal 19 colony diameter. The experiment was done in triplicates. The same experiment was 20 done on CA medium.

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22 2.4. Effects of yeasts cells and yeasts free supernatant on germination of A.
 23 ochraceus spores

1 In this assay, the effects of the six strains of *P. anomala*, the four strains of *P.* 2 kluyveri and the two strains of H. uvarum on spore germination of A. ochraceus B722 were investigated. Spores of A. ochraceus B722 (10^6 spores / ml) were 3 inoculated together with 10^6 cells / ml of each yeast in 10 ml MYGP broth (pH 5.6) 4 and incubated at 30 °C. Spores of A. ochraceus were also inoculated in yeasts cells 5 6 free supernatant, which was obtained by propagation of each yeast in 25 ml of 7 MYGP broth at 25 °C for 24 hours. Where after yeasts cultures were centrifuged at 8 3000 x g for 10 min and the supernatant was filtered through a 0.22 µm nitro-9 cellulose filter (Osmonics, Minnetonka, MN, USA). The pH of supernatant was 10 determined. Spores of A. ochraceus inoculated in MYGP broth (pH 5.6) was used as 11 a control. Furthermore, spores of A. ochraceus were inoculated in MYGP broth 12 adjusted to pH 4.4, 4.5, 4.6 and 4.7. After 24, 48 and 72 h of incubation at 30 °C, 13 germination of the fungal spores was inspected by microscopy where five regions of 14 each sample with about 10 to 20 spores in each region were inspected. The 15 experiment was carried out in triplicates.

16

17 **2.5.** Effects of yeasts on production of OTA by *A. ochraceus*

The ability of the two strains of *A. ochraceus* B722 and B677 to produce OTA when co-cultured with the six strains of *P. anomala*, the four strains of *P. kluyveri* and the two strains of *H. uvarum* on MEA and CA plates was investigated. Yeasts were cocultured with *A. ochraceus* on MEA and CA plates as described above. *Aspergillus ochraceus* was also inoculated in yeast free MEA and CA plates, which were used as controls. After an incubation period of 7 days at 30 °C, production of OTA was

1 estimated by thin layer chromatography (TLC) (Samson et al., 2002). Agar plugs 2 were aseptically removed from mould colonies on MEA and CA plates and one drop 3 of chloroform / methanol mixture (1:2) was added to each plug. The plug was placed 4 onto a TLC plate silica gel 60 (Merck Art 5721) with mycelium side towards the gel. 5 OTA of 10 μ g / 1 in toluene / acetic acid (99:1) was used as a standard. Then the 6 TLC plates were developed in toluene / acetone / methanol (5:3:2) and left to dry in 7 a fume hood for 10 min. The TLC plates were examined visually under UV light at 8 366 nm wave length.

9

10 **3. Results and Discussion**

11 **3.1.** Effect of yeasts predominant in coffee on growth of *A. ochraceus*

12 The effect of six strains of P. anomala, four strains of P. kluyveri and two strains of *H. uvarum* at 10^4 cells / ml on growth of *A. ochraceus* B722 on MEA and CA media 13 14 is shown in Fig. 1. The three yeasts were found to inhibit growth of A. ochraceus 15 when grown together. On both MEA and CA media, strains of *P. anomala* and *P.* 16 kluyveri were found to have stronger effect on growth of A. ochraceus compared to 17 H. uvarum. On CA medium, the levels of growth inhibition of A. ochraceus by the 18 two strains of H. uvarum was extremely low. The two strains of P. anomala S12 and 19 S17 were found to have the highest percentages of inhibition against A. ochraceus. 20 The percentage of fungal growth inhibition caused by *P. kluyveri* S13Y4 was lower 21 than those caused by *P. anomala* and the other three strains of *P. kluyveri*. Small 22 differences in the degree of inhibition among the remaining strains of *P. anomala* and *P. kluyveri* were observed. Increasing concentration of yeasts to 10^6 cells / ml 23

increased growth inhibition of *A. ochraceus* (results not shown). Same findings on
the effects of yeasts on growth of *A. ochraceus* B677 were obtained (results not
shown).

4 Pichia anomala was reported to inhibit a number of fungi like Botrytis cinerea 5 (Masih et al., 2000), P. roqueforti, A. candidus (Petersson and Schnürer, 1995) and 6 P. verrucosum (Petersson et al., 1998). P. kluyveri and H. uvarum were found to 7 produce killer toxins against other yeasts (Zorg et al., 1988; Abranches et al., 1997). However, the antagonist activities of those two yeasts against filamentous fungi have 8 9 not been investigated. A good understanding of the mode of action of the antagonist 10 activity will help to clarify the mechanism behind it. In the present study, the degree 11 of inhibition was found to be dependent on the yeast species and the substrate used. 12 On both MEA and CA media, strains of *P. anomala* and *P. kluyveri* were found to 13 have stronger effect on growth of A. ochraceus compared to H. uvarum. On CA 14 medium, the levels of growth inhibition of A. ochraceus by the two strains of H. 15 *uvarum* was significantly lower. For all yeasts, inhibition of fungal growth was 16 significantly higher on MEA compared to that on CA medium. The three yeasts 17 showed less growth on CA compared to MEA medium (results not shown), which 18 might explain the lower inhibition of fungal growth by the three yeasts on CA. The 19 CA medium may contain less specific nutrients essential for growth of yeasts. On 20 the other hand, A. ochraceus showed very good and equal growth in both yeasts free 21 plates of CA and MEA (results not shown).

3.2. Effects of yeasts cells and yeasts free supernatant on germination of A. *ochraceus* spores

3 Germination of A. ochraceus B722 spores when co-cultured with P. anomala S12 in 4 MYGP broth and when inoculated in the cell free supernatant of *P. anomala* S12 is 5 shown in Fig 2. Co-culture of *P. anomala* S12 with *A. ochraceus* B722 totally 6 inhibited fungal spore germination after 24, 48 and 72 h of incubation (Fig 2 D, E, 7 F). Similar results were obtained for the effect of the other strains of *P. anomala*, *P.* 8 kluyveri and H. uvarum on spore germination of A. ochraceus B722 (results not 9 shown). In yeast free supernatant, spores of A. ochraceus did not germinate after 24 10 h (Fig 2 G). Spores of A. ochraceus started to swell after 48 h, but germ tubes were 11 not observed (Fig 2 H). After 72 h, some spores germinated with very short germ 12 tubes (Fig 2 I) compared to the control (Fig 2 A, B, C). The same observations were 13 obtained for the other five strains of P. anomala, the four strains of P. kluyveri and 14 the two strains of *H. uvarum* (results not shown). The pH of the yeasts free 15 supernatant was determined; it was found that the pH decreased from 5.6 to a range 16 of 4.4-4.7 by the three yeasts species. Germination of A. ochraceus spores in MYGP 17 broth at pH values 4.4-4.7 was not affected (results not shown). It seems that 18 inhibition of spore germination in yeasts free supernatant was not due to changes in 19 the pH of medium caused by the yeasts.

Depletion of the amounts of glucose in MYGP broth by the investigated yeasts might result in reduction of spore germination of *A. ochraceus*. The three yeasts might also produce extra cellular metabolites toxic to *A. ochraceus* which cause reduction of spore germination in yeasts free supernatant. Spadaro and Gullino

1 (2004) reported that the mechanisms behind the antagonist activity of yeasts against 2 fungi responsible for fruit diseases can be competition for nutrients and space, 3 adhesion of the antagonist cells to the mycelium of the fungi or by inducing 4 resistance in the host tissue. Droby et al. (1989) suggested that the mechanism of the 5 antagonist activity of D. hansenii against P. digitatum in grapefruit might be due to 6 competition for nutrients because the antagonist activity was overcome by the 7 addition of exogenous nutrients to grapefruit. The yeast Metschnikowia pulcherrima 8 was found to inhibit growth of postharvest pathogenic fungi of apple fruit; it was 9 suggested that the antagonist activity seems to be due to a combination of 10 competition for nutrients and production of toxic metabolites in virto (Spadaro et al., 11 2002). Strains of P. anomala, P. kluyveri and H. uvarum used in this study have 12 been found to be strong producers of some volatile compounds, mainly ethyl acetate, 13 acetate, 2-phenlethyl acetate, ethyl propionate and isoamyl alcohol (unpublished 14 results). The effect of ethyl acetate on growth of P. roqueforti was studied by 15 Fedlund et al. (2004), who found that only high concentrations of ethyl acetate 16 reduced fungal growth. It has been reported that growth of a number of plant 17 pathogenic fungi can be inhibited by volatile compounds produced by the 18 endophytic fungi Muscodor albus (Strobel et al., 2001, Mercier and Jiménez, 2004) 19 and by Gliocladium spp. (Stinson et al., 2003). Other non volatile metabolites toxic 20 to A. ochraceus might also be produced by the three investigated yeasts.

21

22 **3.3.** Effects of yeasts on production of OTA by *A. ochraceus*

1	Of the most important aspects during coffee processing is to prevent production of
2	OTA. Aspergillus ochraceus B722 was found to produce OTA when grown on yeast
3	free MEA and CA plates (Fig 3 and 4). When the six strains of <i>P. anomala</i> were co-
4	cultured with A. ochraceus B722, OTA was not detected on both MEA and CA
5	plates (Fig 3). P. kluyveri also prevented OTA production by A. ochraceus on both
6	MEA and CA media (Fig 4). However, the two strains of H. uvarum did not prevent
7	OTA production on CA medium; it was only prevented on MEA (Fig 4). The same
8	observations were made for A. ochraceus B677 (results not shown). Although the
9	yeasts did not inhibit growth of A. ochraceus completely (Fig 1), they were able to
10	prevent production of OTA. It has been found that <i>P. anomala</i> reduced both growth
11	of A. verrucosum and OTA production when co-culture together on MEA or on
12	wheat (Petersson et al., 1998). Reduction of OTA might be as a result of its
13	degradation or adsorption by yeasts. It has been reported that S. cerevisiae and S.
14	bayanus adsorbed about 45 % of OTA present in synthetic grape juice medium
15	(Bejaoui et al., 2004). Production of extra cellular compounds by the three yeasts
16	might also inhibit production of OTA by A. ochraceus. Streptococcus lactis was
17	reported to produce a heat-stable low molecular weight compound that inhibits
18	production of aflatoxin by A. flavus in vitro (Coallier-Ascah and Idziak, 1985).
19	Mellon and Moreau (2004) found that a class of polyamine conjugates inhibited
20	aflatoxin B_1 biosynthesis in A. <i>flavus</i> but they did not reduce growth of that fungus.
21	

In the present study, the two strains of *A. ochraceus* showed very good growth and
production on of OTA in both MEA and CA media. *Pichia anomala*, *P. kluyveri* and

1 H. uvarum were found to reduce growth of A. ochraceus and prevent biosynthesis of 2 OTA on MEA medium. On CA medium, P. anomala and P. kluyeri were able to 3 reduce growth of A. ochraceus and prevent production of OTA. For the purpose of 4 preventing production of OTA in coffee, the present work indicated the possibility 5 of using *P. anomala* and *P. kluyveri* in biological control of OTA producing fungi 6 during coffee fermentation. Further studies on the effects of P. anomala and P. 7 kluyveri on other OTA producing fungi present in coffee are needed. The 8 mechanisms behind the antagonist activity of those yeasts need to be clarified. In 9 addition, studies of interactions between those two yeasts and OTA producing fungi 10 in vivo i.e. during coffee processing have to be conducted.

11

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Legends to figures

Figure 1. Growth inhibition of *A. ochraceus* B722 on MEA and CA plates inoculated with six strains of *P. anomala* (S12, S13, S14, S15, S16, S17), four strains of *P. kluyveri* (S4Y3, S7Y1, S8Y4, S13Y4) and two strains of *H. uvarum* (S3Y8, S15Y2). Inhibition is expressed as the percentage of reduction of the fungal colony diameter compared to the control (fugal colony diameter on free yeast plates). Bars represent standard deviations.

Fig 2. Germination of *A. ochraceus* B722 spores incubated at 30 °C when inoculated in MYGP broth without yeast after 24 h (A), 48 h (B), 72 h (C); when co-cultured with cells of *P. anomala* S12 in MYGP broth after 24 h (D), 48 h (E), 72 h (F); when inoculated in MYGP yeast free supernatant after 24 h (H), 48 h(I), 72 h (J).

Figure 3. Production of OTA by *A. ochraceus* B722 co-cultured with strains of *P. anomala*. Lane numbers from 3-8 refer to *A. ochraceus* co-cultured on CA with: lane 3, *P. anomala* S12; lane 4, *P. anomala* S13; lane 5, *P. anomala* S14; lane 6, *P. anomala* S15; lane 7, *P. anomala* S16; lane 8, *P. anomala* S17. Lane numbers from 10-15 refer to *A. ochraceus* co-cultured on MEA with: lane 10, *P. anomala* S12; lane 11, *P. anomala* S13; lane 12, *P. anomala* S14; lane 13, *P. anomala* S15; lane 14, *P. anomala* S16; lane 15, *P. anomala* S17. *A. ochraceus* grown on CA with out yeasts (lanes 1, 2) and on MEA (lanes 16, 17) were used as controls. OTA standard 10 µg / 1 (lane 9).

Figure 4. Production of OTA by *A. ochraceus* B722 co-cultured with strains of *P. kluyveri* and *H. uvarum*. Lane numbers from 3-8 refer to *A. ochraceus* co-cultured on CA with: lane 3, *H. uvarum* S3Y8; lane 4, *H. uvarum* S15Y2; lane 5, *P. kluyveri* S4Y3; lane 6, *P. kluyveri* S7Y1; lane 7, *P. kluyveri* S8Y4; lane 8, *P. kluyveri* S13Y4. Lane numbers from 10-15 refer to *A. ochraceus* co-cultured on MEA with: lane 10, *H. uvarum* S3Y8; lane 11, *H. uvarum* S15Y2; lane 12, *P. kluyveri* S4Y; lane 13, *P. kluyveri* S7Y1; lane 14, *P. kluyveri* S8Y4; lane 15, *P. kluyveri* S13Y4. *A. ochraceus* grown on CA with out yeasts (lanes 1, 2) and on MEA (lanes 16, 17) were used as controls. OTA standard 10 μ g / l, (lane 9).



Fig 1





