# Growth rate of binary words avoiding $xxx^R$

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#### Abstract

Consider the set of those binary words with no non-empty factors of the form  $xxx^R$ . Du, Mousavi, Schaeffer, and Shallit asked whether this set of words grows polynomially or exponentially with length. In this paper, we demonstrate the existence of upper and lower bounds of the form  $n^{\lg n + o(\lg n)}$  on the number of such words of length n, where  $\lg n$  denotes the base-2 logarithm of n.

Key words: pattern with reversal, word avoiding  $xxx^R$ , growth rate of a language

### 1. Introduction

In this paper we study the binary words avoiding the pattern  $xxx^R$ . Here the notation  $x^R$  denotes the "reversal" or "mirror image" of x. For example, the word 011 011 110 is an instance of  $xxx^R$ , with x=011. The avoidability of patterns with reversals has been studied before, for instance by Rampersad and Shallit [10] and by Bischoff, Currie, and Nowotka [2, 3, 6].

The question of whether a given pattern with reversal is avoidable may initially seem somewhat trivial. For instance, the pattern  $xx^R$  is avoided by the periodic word  $(012)^{\omega}$  and  $xxx^R$ , the pattern studied in this paper, is avoided by the periodic word  $(01)^{\omega}$ . However, looking at the entire class of binary words that avoid  $xxx^R$  reveals that these words have a remarkable structure.

Du, Mousavi, Schaeffer, and Shallit [7] looked at binary words avoiding  $xxx^R$ . They noted that there are various periodic words that avoid this pattern and also proved that a certain aperiodic word studied by Rote [12] and related to the Fibonacci word also avoids the pattern  $xxx^R$ . They posed a variety of conjectures and open problems concerning binary words avoiding  $xxx^R$ , notably:

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Does the number of such words of length n grow polynomially or exponentially with n?

The growth rate of words avoiding a given pattern over a certain alphabet is a fundamental problem in combinatorics on words (see the survey by Shur [13]). Typically, for families of words defined in terms of the avoidability of a pattern, this growth is either polynomial or exponential. For instance, there are exponentially many ternary words of length n that avoid the pattern xxand exponentially many binary words of length n that avoid the pattern xxx[4]. Similarly, there are exponentially many words over a 4-letter alphabet that avoid the pattern xx in the abelian sense [5]. Indeed, the vast majority of avoidable patterns lead to exponential growth. Polynomial growth is rather rare: The two known examples are binary words avoiding overlaps [11] and words over a 4-letter alphabet avoiding the pattern abubcxaybazac [1]. It was therefore quite natural for Du et al. to suppose that the growth of binary words avoiding  $xxx^R$  was either polynomial or exponential. However, we will show that in this case the growth is intermediate between these two possibilities. To our knowledge, this is the first time such a growth rate has been shown in the context of pattern avoidance.

Our main result is a "structure theorem" analogous to the well-known result of Restivo and Salemi [11] concerning binary overlap-free words. The existence of such a structure theorem was conjectured by Shallit (personal communication) but he could not precisely formulate it. The result of Restivo and Salemi implies the polynomial growth of binary overlap-free words. In our case, the structure theorem we obtain leads to an upper bound of the form  $n^{\lg n + o(\lg n)}$  for binary words avoiding  $xxx^R$  (here  $\lg n$  denotes the base-2 logarithm of n). We also are able to establish a lower bound of the same type. In Table 1 we give an exact enumeration for small values of n.

The sequence  $(a_n)_{n\geq 1}$  is sequence A241903 of the On-Line Encyclopedia of Integer Sequences [9].

### 2. Blocks L and S

Define

$$\mathcal{K} = \{ z \in 0\{0,1\}^*1 : z \text{ avoids } xxx^R \}.$$

Let the transduction  $h:\{S,L\}^*\to\{0,1\}^*$  be defined for a sequence  $u=\prod_{i=0}^n u_i,\,u_i\in\{S,L\}$  by

$$h(u_i) = \begin{cases} 00100 & u_i = S \text{ and } i \text{ even} \\ 11011 & u_i = S \text{ and } i \text{ odd} \\ 00100100 & u_i = L \text{ and } i \text{ even} \\ 11011011 & u_i = L \text{ and } i \text{ odd.} \end{cases}$$

Then define

$$\mathcal{M} = \{ u \in \{S, L\}^* : h(u) \text{ avoids } xxx^R \}.$$

$\mid n \mid$	$a_n$	n	$a_n$	n	$a_n$	n	$a_n$
1	2	17	282	33	2018	49	8598
$\parallel 2$	4	18	324	34	2244	50	9266
3	6	19	372	35	2490	51	9964
$\parallel 4$	10	20	426	36	2756	52	10708
5	16	21	488	37	3044	53	11484
6	24	22	556	38	3354	54	12300
7	34	23	630	39	3690	55	13166
8	48	24	712	40	4050	56	14062
9	62	25	804	41	4438	57	15000
10	80	26	908	42	4856	58	15974
11	100	27	1024	43	5300	59	16994
12	124	28	1152	44	5772	60	18076
13	148	29	1296	45	6272	61	19206
14	178	30	1454	46	6800	62	20388
15	210	31	1626	47	7370	63	21632
16	244	32	1814	48	7966	64	22924

Table 1: Number of binary words  $a_n$  of length n avoiding  $xxx^R$ 

**Theorem 1.** Let  $z \in \mathcal{K}$ . Then there exists a constant C such that z can be written

$$z = ph(u)st$$

where  $|p|, |s| \leq C$ ,  $u \in \mathcal{M}$ , and  $t \in (\epsilon + 1)(01)^*(\epsilon + 1)$ .

*Proof.* Word z cannot contain 000 or 111 as a factor, so write z = f(v) where  $v \in \{ab, ad, cb, cd\}^*$ , and

$$f: a \mapsto 0, b \mapsto 1, c \mapsto 00, d \mapsto 11.$$

Write v = prs where r is a maximal string of alternating a's and b's in v; thus r lies in  $(\epsilon + b)(ab)^*(\epsilon + a)$ . If  $|s| \ge 2$ , then we claim that |r| = 1 or |pr| < 3. For suppose that  $|r| \ge 2$ ,  $|pr| \ge 3$  and  $|s| \ge 2$ . Let  $s_1$ ,  $s_2$  be the first two letters of s. Then  $s_1$  must be c or d; otherwise,  $rs_1$  is an alternating string of a's and b's that is longer than r. Suppose  $s_1 = c$ . (The other case is similar.) Since  $|r| \ge 2$  and  $|pr| \ge 3$ , we conclude that  $prs_1s_2$  has  $yabcs_2$  as a suffix, some  $y \in \{b, d\}$ . But then z contains a factor  $f(yabcs_2)$ , which has a factor  $1f(abc)1 = 101001 = xxx^R$ , where x = 10. This is impossible.

If ab or ba is a factor of v, we can write v=prs as above, with  $|r| \geq 2$ . This implies that  $|s| \leq 1$  or  $|pr| \leq 2$ . If  $|pr| \leq 2$ , then  $p = \epsilon$ , |r| = 2, since  $|r| \geq 2$ ; in this case pr = ab. If  $|s| \leq 1$ , then, since z ends in 1, either  $s = \epsilon$  or s = d. In the first case, ab is a suffix of v; in the second ad is a suffix. It follows that every instance of ab or ba in v either occurs in a prefix of length 2, or in a suffix of the form  $(\epsilon + b)(ab)^*(\epsilon + ad)$ . The given suffix maps under f to a suffix  $t \in (\epsilon + 1)(01)^*(\epsilon + 1)$  of z. We therefore can write  $z = p_1z_1t$  such that  $|p_1| \leq 2$ , and  $z_1 = f(v_1)$ , for some  $v_1 \in \{ad, cb, cd\}^*$  where ba is not a factor of  $v_1$ .

Write  $v_1 = prs$  where r is a maximal string of alternating c's and d's in  $v_1$ . First of all, note that |r| < 7; we check that f(cdcdcdc) contains  $xxx^R$  with x = 0 f(d)0, and, symmetrically, f(dcdcdcd) contains  $xxx^R$  with x = 1 f(c)1. We claim that |r| < 3 or |pr| < 7. For otherwise, suppose that  $|r| \ge 3$ , and |p'r| = 7, where p' is a suffix of p. Assume that the first letter of r is c. (The other case is similar.) Since |r| < 7,  $p' \neq \epsilon$ . Since r is maximal, the last letter of p' is a b. If |p'|=1, then f(p'r)=f(bcdcdcd), which contains  $xxx^R$  with x=1f(c)1; this is impossible. If  $|p'| \geq 2$ , then cb is a suffix of p' (since ab is not a factor of  $v_1$ ). However, then p'r contains the factor cbcdc, and  $f(cbcdc) = 001001100 = xxx^R$ , where x = 001, so this is also impossible. It follows that every instance of cdcor dcd in  $v_1$  occurs in a prefix of  $v_1$  of length 6. Removing a prefix p' of length at most 7 from  $v_1$  then gives a suffix  $v_2$ , such that the first letter of  $v_2$  is a or c, and neither of cdc and dcd is a factor of  $v_2$ . We can thus write  $z = p_2 z_2 t$ where  $z_2 = f(v_2), v_2 \in \{ad, cb, cd\}^*$ , words ba, cdc, dcd are not factors of  $v_2$ , and  $|p_2| \le |p_1| + |f(p')| \le 2 + 2(7) - 1 = 15$ . (Here, at most 6 letters of p' can be c or d, since cdcdcdc and dcdcdcd lead to instances of  $xxx^R$ .)

Suppose that v' is any factor of  $v_2$  of length 8. We claim that v' contains one of cd or dc as a factor. Since  $v' \notin \{a,b\}^*$ , one of c and d is a factor of v'. Suppose then that c is a factor of v'. (The other case is similar.) Suppose that neither of cd nor cd is a factor of cd. It follows that cd is cd by cd contains cd cd contains cd cd contains cd contains cd cd contains cd contains cd cd contains cd cd contains cd contains

We may thus write  $v_2 = p'(\prod_{i=0}^n a_i) s'$ , with  $n \ge -1$ ,  $|p'|, |s'| \le 7$ , such that each  $a_i$  begins and ends with c or d, and neither of cd or dc is a factor of any  $a_i$ . By n=-1 we allow the possibility that the product term is empty. As a convention, we write the product as empty if  $|v_2|_{cd} + |v_2|_{dc} \le 1$ ; for  $i \ge 0$ , then the last letter of p' and the first letter of s' are in  $\{c,d\}$ . Suppose  $n \geq 0$ . Consider  $a_i$ ,  $i \geq 0$ . Without loss of generality, let  $a_i$  begin with c. The letter preceding  $a_i$  is either the last letter of  $a_{i-1}$ , or the last letter of p', and must be a d. We cannot have  $|a_i| = 1$ , which would force  $a_i = c$ ; word  $a_i$  is then followed by the first letter of  $a_{i+1}$  or of s', which must be d. Then dcd is a factor of  $v_2$ , which is impossible. Thus  $|a_i| \geq 2$ . Since cd is not a factor of  $a_i$ ,  $a_i$  begins with cb. Since  $a_i$  ends with c or d (not in b),  $a_i \neq cb$ , so that  $|a_i| \geq 3$ . Since ba is not a factor of  $v_2$ ,  $a_i$  therefore begins with cbc. If  $a_i \neq cbc$ , then, since cdis not a factor of  $a_i$ , word  $a_i$  begins with cbcb, and arguing as previously, with *cbcbc*. If *cbcbc* is a proper prefix of  $a_i$ , then  $a_i$  begins with *cbcbcb*. However,  $f(cb)^30$  contains an instance of  $xxx^R$ , so this is impossible: If  $a_i$  begins with c, then  $a_i \in \{cbc, cbcbc\}$ . By the same reasoning, if  $a_i$  begins with d, then  $a_i \in \{dad, dadad\}.$ 

Let  $v_3 = (p')^{-1}v_2(s')^{-1} = \prod_{i=0}^n a_i$ . Deleting up to the first 5 letters, if necessary, we assume that  $a_0 \in \{cbc, cbcbc\}$  (i.e., if  $a_0$  begins with dad or dadad, then delete these letters). Then  $z = p_3z_3s_3t$  where  $z_3 = f(v_3)$ ,  $|p_3| \leq |f(p')| + |p_2| + 5 \leq 2(4) + 3 + 15 + 5 = 31$ ,  $|s_3| = |f(s')| \leq 2(4) + 3 = 11$ . Here we use the fact that at most 4 of the letters of p' or s' can be in  $\{c, d\}$ ; otherwise the pigeonhole principle would force an occurrence of cd or cd in one of these.

We can write  $v_3$  in the form g(u) where  $u \in \{S, L\}^*$ . Here write  $u = \prod_{i=0}^m u_i$ ,

each  $u_i \in \{S, L\}$ , and let g be the transducer

$$g(u_i) = \begin{cases} cbc & u_i = S \text{ and } i \text{ even} \\ dad & u_i = S \text{ and } i \text{ odd} \\ cbcbc & u_i = L \text{ and } i \text{ even} \\ dadad & u_i = L \text{ and } i \text{ odd.} \end{cases}$$

Thus  $z_3$  has the form h(u) where h is the transducer

$$h(u_i) = \begin{cases} 00100 & u_i = S \text{ and } i \text{ even} \\ 11011 & u_i = S \text{ and } i \text{ odd} \\ 00100100 & u_i = L \text{ and } i \text{ even} \\ 11011011 & u_i = L \text{ and } i \text{ odd.} \end{cases}$$

We have thus proved the theorem with  $C = \max(31, 11) = 31$ .

To study the growth rate of K, it thus suffices to study the growth rate of  $\mathcal{M}$ .

The transducer h is sensitive to the index of a word modulo 2; thus, suppose  $r, s \in \{S, L\}^*$  and r is a suffix of s. If |r| and |s| have the same parity, then h(r) is a suffix of h(s). However, if |r| and |s| have opposite parity, then h(r) is a suffix of h(s). (Here the overline indicates binary complementation.)

# 3. Suitable pairs of words

Let  $\mathcal{S}, \mathcal{L} \in \{S, L\}^*$ . Say that the pair  $\langle \mathcal{S}, \mathcal{L} \rangle$  is **suitable** if

- 1.  $|\mathcal{S}|$ ,  $|\mathcal{L}|$  are odd.
- 2. There exist non-empty  $\ell, \mu, p \in \{0, 1\}^*$  such that

  - (a)  $h(\mathcal{L}) = \ell \ell^R$ (b)  $h(\mathcal{S}) = \ell \mu = \mu^R \ell^R$ (c)  $h(\mathcal{L}) = \ell \mu \overline{\mu^R} p$

We see that  $\langle S, L \rangle$  is suitable; specifically, we could choose  $\mu = 0$ ,  $\ell = 0010$ , p = 00.

Since  $|\mathcal{S}|$ ,  $|\mathcal{L}|$  are odd, the transducer h is sensitive to the index of a word modulo 2, where lengths (and indices) are measured in terms of  $\mathcal{S}$  and  $\mathcal{L}$ ; i.e., if we use length function  $||w|| = |w|_{\mathcal{S}} + |w|_{\mathcal{L}}$ ; thus, suppose  $r, s \in \{\mathcal{S}, \mathcal{L}\}^*$  and r is a suffix of s. If ||r|| and ||s|| have the same parity, then h(r) is a suffix of h(s). However, if ||r|| and ||s|| have opposite parity, then  $\overline{h(r)}$  is a suffix of h(s).

**Lemma 2.** Let  $S, L \in \{S, L\}^*$ . Suppose that  $\langle S, L \rangle$  is suitable.

- 1. Word  $h(\mathcal{L})p^{-1}$  is a prefix of  $h(\mathcal{SS})$ .
- 2. Word h(S) is both a prefix and suffix of h(L).

*Proof.* The first of these properties is immediate from property 2(c) of the definition of suitability. For the second, we see that  $h(\mathcal{L}) = \ell \mu \overline{\mu^R} p = \mu^R \ell^R \overline{\mu^R} p = p^R \overline{\mu} \ell \mu$  (in the last step we use the fact that  $h(\mathcal{L}) = h(\mathcal{L})^R$ ).

Now suppose that S and L are fixed and  $\langle S, L \rangle$  is suitable. Define morphism  $\Phi : \{S, L\}^* \to \{S, L\}^*$  by  $\Phi(S) = SL$ ,  $\Phi(L) = SLL$ .

Morphism  $\Phi$  is conjugate to the square of the Fibonacci morphism D, where  $D(\mathcal{L}) = \mathcal{LS}$ ,  $D(\mathcal{S}) = \mathcal{L}$ ; namely,  $\Phi = \mathcal{L}^{-1}D^2\mathcal{L}$ . This implies that for  $k \geq 1$   $||\Phi^k(\mathcal{S})|| = F_{2k+1}$ ,  $||\Phi^k(\mathcal{L})|| = F_{2k+2}$ , where  $F_k$  is the kth Fibonacci number, counting from  $F_1 = F_2 = 1$ .

# **Lemma 3.** Let $\beta \in \{S, \mathcal{L}\}^*$ . Then

- 1.  $h(\Phi(S\beta))$  is a prefix of  $h(\Phi(L\beta))$  and  $h(\Phi^2(S\beta))$  is a prefix of  $h(\Phi^2(L\beta))$ .
- 2.  $\overline{h(\Phi(S\beta))}$  is a suffix of  $h(\Phi(L\beta))$ .
- 3.  $\overline{h(\Phi^2(S\beta))}$  is a suffix of  $h(\Phi^2(\mathcal{L}\beta))$ .
- 4.  $h(\Phi(\mathcal{L}))p^{-1}$  is a prefix of  $h(\Phi(\mathcal{SS}))$ .
- 5.  $h(\Phi^2(\mathcal{L}))(\overline{p})^{-1}$  is a prefix of  $h(\Phi^2(\mathcal{SS}))$ .

*Proof.* Since  $\Phi(S)$  is a prefix of  $\Phi(\mathcal{L})$ ,  $\Phi(S\beta)$  is a prefix of  $\Phi(\mathcal{L}\beta)$ , so that  $h(\Phi(S\beta))$  is a prefix of  $h(\Phi(\mathcal{L}\beta))$ . Similarly,  $h(\Phi^2(S\beta))$  is a prefix of  $h(\Phi^2(\mathcal{L}\beta))$ , establishing (1).

Since S is a suffix of  $\mathcal{L}$ , we see that  $\Phi(S)$  is a suffix of  $\Phi(\mathcal{L})$ . Because  $|\Phi(\mathcal{L})|$  is odd, while  $|\Phi(S)|$  is even, it follows that  $h(\Phi(S))$  is a suffix of  $h(\Phi(\mathcal{L}))$ . More generally, if  $\beta \in \{S, \mathcal{L}\}^*$ ,  $\overline{h(\Phi(S\beta))}$  is a suffix of  $h(\Phi(\mathcal{L}\beta))$ , establishing (2). The proof of (3) is similar.

For (4),  $h(\Phi(\mathcal{L}))p^{-1} = h(\mathcal{SLL})p^{-1} = h(\mathcal{SL})h(\mathcal{L})p^{-1}$ , which is a prefix of  $h(\mathcal{SL})h(\mathcal{SS})$ , which is in turn a prefix of  $h(\mathcal{SL})h(\mathcal{SL}) = h(\Phi(\mathcal{SS}))$ .

For (5),  $h(\Phi^2(\mathcal{L}))(\overline{p})^{-1} = h(\Phi(\mathcal{SL})\Phi(\mathcal{L}))(\overline{p})^{-1} = h(\Phi(\mathcal{SL}))\overline{h(\Phi(\mathcal{L}))p^{-1}}$  (since  $|\Phi(\mathcal{SL})|$  is odd), which is a prefix of  $h(\Phi(\mathcal{SL}))\overline{h(\Phi(\mathcal{SS}))} = h(\Phi(\mathcal{SL})\Phi(\mathcal{SS}))$ , which is in turn a prefix of  $h(\Phi(\mathcal{SLSSL})) = h(\Phi^2(\mathcal{SS}))$ .

In order to count the words in which we are interested, we prove a sequence of lemmas, ending with the structure result, Lemma 8. This lemma, and the lemmas leading up to it are very technical; roughly speaking, they consider the structure of sets which are 'almost'  $\Phi^{-1}(\mathcal{M})$ ,  $\Phi^{-2}(\mathcal{M})$  and  $\Phi^{-3}(\mathcal{M})$ . We exclude certain words b from these sets, on the basis that (variously)  $h(\Phi(b))$ ,  $h(\Phi^{2}(b))$ ,  $h(\Phi^{3}(b))$  contain instances of  $xxx^{R}$ .

Define the set  $\mathcal{B} \subseteq \{\mathcal{S}, \mathcal{L}\}^*$ :

$$\mathcal{B} = (\mathcal{S} + \mathcal{L})\mathcal{S}\mathcal{S}\mathcal{S}\mathcal{L}(\mathcal{L} + \mathcal{S}\mathcal{S} + \mathcal{S}\mathcal{L}) \cup \mathcal{L}\mathcal{S}\mathcal{S}\mathcal{L}(\mathcal{L} + \mathcal{S}\mathcal{S} + \mathcal{S}\mathcal{L}) \cup (\mathcal{S} + \mathcal{L})\mathcal{L}\mathcal{L}\mathcal{L}\mathcal{L}\mathcal{L}(\mathcal{S} + \mathcal{L})$$

$$\cup (\mathcal{S} + \mathcal{L})\mathcal{L}\mathcal{S}\mathcal{L}\mathcal{L}(\mathcal{S} + \mathcal{L})$$

$$\cup \Phi((\mathcal{S} + \mathcal{L})\mathcal{S}\mathcal{S}(\mathcal{S} + \mathcal{L})) \cup \Phi((\mathcal{S} + \mathcal{L})\mathcal{L}\mathcal{L}\mathcal{S}\mathcal{L}(\mathcal{L} + \mathcal{S}\mathcal{S} + \mathcal{S}\mathcal{L}))$$

$$\cup \Phi^{2}(\mathcal{L}\mathcal{L}\mathcal{L}(\mathcal{S} + \mathcal{L})) \cup \Phi^{2}((\mathcal{S} + \mathcal{L})\mathcal{L}\mathcal{S}\mathcal{S}(\mathcal{S} + \mathcal{L})) \cup \Phi^{2}((\mathcal{S} + \mathcal{L})\mathcal{S}\mathcal{S}\mathcal{S}\mathcal{S}\mathcal{S}(\mathcal{S} + \mathcal{L}))$$

**Lemma 4.** Let  $u \in \mathcal{M}$ . Then no word of  $\mathcal{B}$  is a factor of u.

*Proof.* It suffices to show that for each word  $b \in \mathcal{B}$ , h(b) contains a non-empty factor  $xxx^R$ .  $\mathcal{B}$  is written as a union, and we make cases based on which piece of the union b belongs:

 $b \in (S+\mathcal{L})SSSL(\mathcal{L}+SS+SL)$ : In this case, it suffices to show that  $h(SSSSLL)(\bar{p})^{-1}$  contains a non-empty factor  $xxx^R$ , because of the results of Lemma 2. In particular,  $h(SSSSLL)(\bar{p})^{-1}$  is a suffix of  $h(LSSSLL)(\bar{p})^{-1}$ , which is a prefix of h(LSSSLSL), which is a prefix of h(LSSSLSL). Again,  $h(SSSSLL)(\bar{p})^{-1}$  is a prefix of h(SSSSLSL), which is a prefix of h(SSSSLSL). Now

$$h(\mathcal{SSSSLL})(\bar{p})^{-1}$$

$$= (\ell \mu)(\overline{\mu^R \ell^R})(\ell \mu)(\overline{\mu^R \ell^R})(\ell \ell^R)(\overline{\ell \mu \overline{\mu^R}})$$

$$= \ell \mu \overline{\mu^R \ell^R} \ell \mu \overline{\mu^R \ell^R} \ell \ell^R \overline{\ell \mu \overline{\mu^R}}$$

$$= \ell \mu \overline{\mu^R \ell^R} \ell \mu \overline{\mu^R \ell^R} \ell \ell^R \overline{\ell \mu \mu^R}$$

which contains an instance of  $xxx^R$  with  $x = \mu \overline{\mu^R \ell^R} \ell$ .

 $b \in \mathcal{LSSL}(\mathcal{L} + \mathcal{SS} + \mathcal{SL})$ : In this case, it suffices to show that  $h(\mathcal{LSSLL})p^{-1}$  contains a non-empty factor  $xxx^R$ , because of the results of Lemma 2. But

$$\begin{split} &h(\mathcal{LSSLL})p^{-1}\\ &=&(p\overline{\mu}\mu^R\ell^R)(\overline{\ell\mu})(\mu^R\ell^R)(\overline{\ell\ell^R})(\ell\mu\overline{\mu^R})\\ &=&p\overline{\mu}\mu^R\ell^R\overline{\ell\mu}\mu^R\ell^R\overline{\ell\ell^R}\ell\mu\overline{\mu^R}\\ &=&p\ \overline{\mu}\mu^R\ell^R\overline{\ell}\ \overline{\mu}\mu^R\ell^R\overline{\ell}\ \overline{\ell^R}\ell\mu\overline{\mu^R}\end{split}$$

which contains the instance  $xxx^R$  with  $x = \overline{\mu}\mu^R\ell^R\overline{\ell}$ .

 $b \in (\mathcal{S} + \mathcal{L}))\mathcal{L}^5(\mathcal{S} + \mathcal{L})$ : In this case, it suffices to show that  $h(\mathcal{S}\mathcal{L}^5\mathcal{S})$  contains a non-empty factor  $xxx^R$ , because of the results of Lemma 2. But

which contains the instance  $xxx^R$  with  $x = \ell^R \overline{\ell \ell^R} \ell$ .

 $b \in (S+\mathcal{L})\mathcal{LSLLL}(S+\mathcal{L})$ : In this case, it suffices to show that  $h(S\mathcal{LSLLLS})$  contains a non-empty factor  $xxx^R$ , because of the results of Lemma 2. Here

$$h(\mathcal{SLSLLS})$$

$$= (\ell\mu)(\overline{\ell\ell^R})(\ell\mu)(\overline{\ell\ell^R})(\ell\ell^R)(\overline{\ell\ell^R})(\mu^R\ell^R)$$

$$= \ell\mu\overline{\ell\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\mu^R\ell^R$$

$$= \ell\mu\overline{\ell\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell^R\overline{\ell\ell^R}\mu^R\ell^R$$

which contains the instance  $xxx^R$  with  $x = \mu \overline{\ell \ell^R} \ell$ .

 $b \in \Phi((S + \mathcal{L})SS(S + \mathcal{L}))$ : In this case, it suffices to show that  $h(\Phi(SSSS))$  contains a non-empty factor  $xxx^R$ , because of the results of Lemma 3. In particular,  $h(\Phi(SSSS))$  is a prefix of  $h(\Phi(SSSS))$ ,  $h(\Phi(SSSS))$  is a suffix of  $h(\Phi(SSSS))$ , and  $h(\Phi(SSSS))$  is a suffix of  $h(\Phi(SSSS))$ . However,

containing an instance of  $xxx^R$ , with  $x = \overline{\ell^R}\mu^R\ell^R\overline{\ell}$ .

 $b \in \Phi((\mathcal{S} + \mathcal{L})\mathcal{LLLSL}(\mathcal{L} + \mathcal{SS} + \mathcal{SL}))$ : In this case, it suffices to show that  $h(\Phi(\mathcal{SLLLSLL}))p^{-1}$  contains a non-empty factor  $xxx^R$ , because of the results of Lemma 3. But

$$\begin{split} & h(\Phi(\mathcal{SLLLSLL}))p^{-1} \\ &= & (\mu^R \ell^R \overline{\ell\ell^R})(\mu^R \ell^R \overline{\ell\ell^R} \ell\ell^R)(\overline{\mu^R \ell^R} \ell\ell^R \overline{\ell\ell^R})(\mu^R \ell^R \overline{\ell\ell^R} \ell\ell^R)(\overline{\mu^R \ell^R} \ell\ell^R)(\overline{\ell\mu} \ell\ell^R \overline{\ell\ell^R})(\ell\mu\overline{\ell\ell^R} \ell\mu\overline{\mu^R}) \\ &= & \mu^R & \ell^R \overline{\ell\ell^R} \mu^R \ell^R \overline{\ell\ell^R} \ell\ell^R \overline{\mu^R \ell^R} \ell & \ell^R \overline{\ell\ell^R} \mu^R \ell^R \overline{\ell\ell^R} \ell\ell^R \overline{\mu^R \ell^R} \ell & \ell^R \overline{\ell\mu} \ell\ell^R \overline{\ell\ell^R} \ell\mu\overline{\ell\ell^R} \ell & \mu\overline{\mu^R} \end{split}$$

an instance of  $xxx^R$  with  $x = \ell^R \overline{\ell\ell^R} \mu^R \ell^R \overline{\ell\ell^R} \ell^R \overline{\mu^R \ell^R} \ell$ .

 $.\overline{\ell R} \ell \mu \overline{\ell \ell R} \ell \ell R$ 

 $b \in \Phi^2(\mathcal{LLL}(S + \mathcal{L}))$ : In this case, it suffices to show that  $h(\Phi^2(\mathcal{LLLS}))$  contains a non-empty factor  $xxx^R$ , because of the results of Lemma 3. But

$$\begin{split} &h(\Phi^2(\mathcal{LLLS}))\\ &= &(\ell\mu\overline{\ell\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\ell^R})(\ell\mu\overline{\ell\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\ell^R})(\ell\mu\overline{\ell\ell^R}\mu^R\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\mu^R\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R})\\ &(\mu^R\ell^R\overline{\ell\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R)\\ &= &\ell\mu\overline{\ell}\cdot\overline{\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\mu}\ell^R\overline{\ell\ell^R}\ell\mu\overline{\ell}\cdot\overline{\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\ell^R}\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell^$$

containing an instance of  $xxx^R$ , with  $x = \overline{\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\ell^R}\ell\mu\overline{\ell}$ .  $b \in \Phi^2((S+\mathcal{L})\mathcal{LSS}(S+\mathcal{L}))$ : In this case, it suffices to show that  $h(\Phi^2(S\mathcal{LSSS}))$  contains a non-empty factor  $xxx^R$ , because of the results of Lemma 3. Now

$$\begin{split} &h(\Phi^2(\mathcal{SLSSS})) \\ &= & (\ell\mu\overline{\ell\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R)(\overline{\ell\mu}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R)(\overline{\ell\mu}\ell\ell^R\overline{\ell\mu}\ell^R\overline{\ell\ell^R}\ell\ell^R) \\ & (\overline{\mu^R\ell^R}\ell\ell^R\overline{\mu^R\ell^R}\ell\ell^R\overline{\ell\ell^R}\ell^R\overline{\ell\ell^R}) \\ &= & \ell\mu\overline{\ell\ell^R}\ell\mu\overline{\ell}\cdot\overline{\ell^R}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\ell^R}\ell\mu\overline{\ell}\cdot\overline{\ell^R}\ell\ell^R\overline{\ell\mu}\ell$$

containing an instance of  $xxx^R$ , with  $x = \overline{\ell^R}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\ell\ell^R}\ell\mu\overline{\ell}$ .

 $b \in \Phi^2((\mathcal{S} + \mathcal{L})\mathcal{SSSSS}(\mathcal{S} + \mathcal{L}))$ : In this case, it suffices to show that  $h(\Phi^2(\mathcal{S}^7))$  contains a non-empty factor  $xxx^R$ , because of the results of Lemma 3. Finally,

- $h(\Phi^2(\mathcal{S}^7))$
- $= (\ell \mu \overline{\ell \ell^R} \ell \mu \overline{\ell \ell^R} \ell \ell^R) (\overline{\ell \mu} \ell \ell^R \overline{\mu^R \ell^R} \ell \ell^R \overline{\ell \ell^R}) (\ell \mu \overline{\ell \ell^R} \ell \mu \overline{\ell \ell^R} \ell \ell^R) (\overline{\ell \mu} \ell \ell^R \overline{\mu^R \ell^R} \ell \ell^R \overline{\ell \ell^R}) (\ell \mu \overline{\ell \ell^R} \ell \mu \overline{\ell \ell^R} \ell \ell^R) (\overline{\ell \mu} \ell \ell^R \ell^R)$

containing an instance of  $xxx^R$ , with  $x=\overline{\ell^R}\ell\mu\overline{\ell\ell^R}\ell\ell^R\overline{\ell\mu}\ell\ell^R\overline{\mu^R\ell^R}\ell\ell^R\overline{\ell\ell^R}\mu^R\ell^R\overline{\ell}$ .

# 4. Parsing words of $\mathcal{M}$ using $\Phi$

**Lemma 5.** Let  $y \in \{S, \mathcal{L}\}^* \cap \mathcal{M}$ . Then y can be written

$$y = p_1 \Phi(y_1) s_1 t_1,$$

where  $|p_1|, |s_1| \leq 9$ ,  $y_1 \in \{S, \mathcal{L}\}^*$ , and  $t_1 \in (\epsilon + S + S^2 + S^3)\mathcal{L}S^* + S^*(\epsilon + \mathcal{L} + \mathcal{L}S)$ . (Here all lengths are as words of  $\{S, \mathcal{L}\}^*$ ; thus, for example  $|p_1| = |p_1|_{\mathcal{L}} + |p_1|_{\mathcal{S}}$ .)

*Proof.* Suppose that  $|y|_{\mathcal{L}} = n$ . If n = 0, the lemma is true, letting  $t_1 = y$ . If n = 1, write  $y = \mathcal{S}^k \mathcal{L} \mathcal{S}^j$ . Since by Lemma 4,  $\mathcal{SSSSLSS}$  cannot be a factor of  $y \in \mathcal{M}$ , we have  $k \leq 3$  or  $j \leq 1$ ; thus we can again let  $t_1 = y$ , and we are again done.

Suppose from now on, that  $n \geq 2$ , and write  $y = (\prod_{i=1}^n \mathcal{S}^{m_i} \mathcal{L}) \mathcal{S}^{m_{n+1}}$ , where each  $m_i \geq 0$ . For  $1 \leq i \leq n-1$ , word  $\mathcal{LS}^{m_{i+1}} \mathcal{L}$  has one of  $\mathcal{LL}$ ,  $\mathcal{LSL}$  or  $\mathcal{LSS}$  as a prefix, depending on whether  $m_{i+1} = 0, 1$  or  $m_{i+1} \geq 2$ , respectively. This implies that for  $1 \leq i \leq n-1$ , we have  $m_i \leq 3$ , since by Lemma 4, no word of  $\mathcal{S}^4(\mathcal{LL} + \mathcal{LSL} + \mathcal{LSS})$  can be a factor of  $y \in \mathcal{M}$ . For  $2 \leq i \leq n-1$ , we have  $m_i \leq 1$ , since no word of  $\mathcal{L}(\mathcal{S}^2 + \mathcal{S}^3)(\mathcal{LL} + \mathcal{LSL} + \mathcal{LSS})$ , can appear in y. Since  $\mathcal{S}^4\mathcal{LS}^2$  cannot be a factor of  $y \in \mathcal{M}$ , if  $m_{n+1} \geq 2$ , then  $m_n \leq 3$ . We have thus established that

$$y \in (\epsilon + \mathcal{S} + \mathcal{S}^2 + \mathcal{S}^3)\mathcal{L}\left((\epsilon + \mathcal{S})\mathcal{L}\right)^*\left((\epsilon + \mathcal{S} + \mathcal{S}^2 + \mathcal{S}^3)\mathcal{L}\mathcal{S}\mathcal{S}\mathcal{S}^* + \mathcal{S}^*\mathcal{L}(\epsilon + \mathcal{S})\right)$$

Write  $y = p'y't_1$ , where

$$p' \in (\epsilon + S + S^2 + S^3), y' \in \mathcal{L}((\epsilon + S)\mathcal{L})^*,$$
  
 $t_1 \in (\epsilon + S + S^2 + S^3)\mathcal{L}SSS^* + S^*\mathcal{L}(\epsilon + S).$ 

In particular, SS is not a factor of y'.

Without loss of generality, suppose  $|y| \ge 7$  and  $|y'| \ge 6$ . (If  $|y| \le 6$  or  $|y'| \le 5$ , let  $p_1 = p'y'$ ,  $y_1 = s_1 = \epsilon$ , and the lemma holds. Write  $y' = p''y''s_1$ , where |p''| = 4,  $|s_1| = 2$ . We next consider the placement in y, y', y'' of hypothetical factors  $\mathcal{L}^k$ ,  $k \ge 3$ :

- $\mathcal{L}^k$ ,  $k \geq 6$ , cannot be a factor of y: If  $\mathcal{L}^6$  is a factor of y, so is one of  $\mathcal{SL}^6$ ,  $\mathcal{L}^6\mathcal{S}$  or  $\mathcal{L}^7$ , since  $|y| \geq 7$ ; this is impossible.
- $\mathcal{L}^5$  can only appear in y as a prefix or suffix: Otherwise, y contains some two-sided extension of  $\mathcal{L}^5$ . As  $\mathcal{L}^6$  is not a factor of y, this must be  $\mathcal{SL}^5\mathcal{S}$ . This is impossible by Lemma 4.
- $\mathcal{L}^4$  is not a factor of  $\rho y''\sigma$ , where  $\rho$  is the last letter of p'' and  $\sigma$  is the first letter of  $s_1$ : The length 5 left extension of an occurrence of  $\mathcal{L}^4$  in  $\rho y''\sigma$  cannot be  $\mathcal{L}^5$  because of the previous paragraph; it must be  $\mathcal{SL}^4$ . Since  $\mathcal{SS}$  is not a factor of y', the further left extension  $\mathcal{LSL}^4$  must thus also be a factor of y'. However, this forces y' to contain one of the further left extensions  $\mathcal{LLSL}^4$  and  $\mathcal{SLSL}^4$ , which is impossible.
- $\mathcal{L}^3$  is not a factor of y'': Suppose that  $\mathcal{L}^3$  is a factor of y''. By the previous paragraph, its extension  $\mathcal{SL}^3\mathcal{S}$  is a factor of  $\rho y''\sigma$ . Since  $\mathcal{SS}$  is not a factor of y', the extension of  $\mathcal{SL}^3\mathcal{S}$  to  $\mathcal{LSL}^3\mathcal{S}$  must be a factor of y'. One of the further left extensions  $\mathcal{LLSL}^3\mathcal{S}$  and  $\mathcal{SLSL}^3\mathcal{S}$  must thus occur in y', but these are impossible by Lemma 4.

We have now shown that neither of  $S^2$  and  $L^3$  can be a factor of y''. Thus

$$y'' \in (\mathcal{L} + \mathcal{L}\mathcal{L})(\mathcal{S}\mathcal{L} + \mathcal{S}\mathcal{L}\mathcal{L})^*$$
.

Let p''' be the longest prefix of y'' of the form  $\mathcal{L}^k$ , and write  $y'' = p'''y_1$ . Letting  $p_1 = p'p''p'''$ , we have  $|p_1| \le 3 + 4 + 2$ , so the lemma holds.

# 5. Parsing words of $\mathcal{M}$ using $\Phi^2$

**Lemma 6.** Let  $y_1 \in \{S, \mathcal{L}\}^*$ , such that  $\Phi(y_1) \in \mathcal{M}$ . Then  $y_1$  can be written

$$y_1 = p_2 \Phi(y_2) s_2 t_2$$

where 
$$|p_2|, |s_2| \le 4, y_2 \in \{\mathcal{L}, \mathcal{S}\}^*$$
 and  $t_2 \in ((\epsilon + \mathcal{L} + \mathcal{L}^2 + \mathcal{L}^3)\mathcal{S}\mathcal{L}^* + \mathcal{L}^*(\epsilon + \mathcal{S} + \mathcal{S}\mathcal{L})) (\epsilon + \mathcal{S} + \mathcal{L}).$ 

Proof. From Lemma 4, no word of

$$(\mathcal{S} + \mathcal{L})\mathcal{S}\mathcal{S}(\mathcal{S} + \mathcal{L}) \cup (\mathcal{S} + \mathcal{L})\mathcal{L}\mathcal{L}\mathcal{S}\mathcal{L}(\mathcal{L} + \mathcal{S}\mathcal{S} + \mathcal{S}\mathcal{L})$$
$$\cup \Phi(\mathcal{L}\mathcal{L}\mathcal{L}(\mathcal{S} + \mathcal{L})) \cup \Phi((\mathcal{S} + \mathcal{L})\mathcal{L}\mathcal{S}\mathcal{S}(\mathcal{S} + \mathcal{L})) \cup \Phi((\mathcal{S} + \mathcal{L})\mathcal{S}\mathcal{S}\mathcal{S}\mathcal{S}\mathcal{S}(\mathcal{S} + \mathcal{L}))$$

can appear in  $y_1$ . This includes all length 4 two-sided extensions of SS; it follows that SS can only appear in  $y_1$  as a prefix or suffix.

If  $|y_1| \leq 1$ , we are done. In this case, let  $p_2 = y_1$ ,  $y_2 = s_2 = t_2 = \epsilon$ . Therefore, we will assume that  $|y_1| \geq 2$ , and write  $y_1 = p'y's'$ , |p'| = |s'| = 1. Then  $\mathcal{SS}$  is not a factor of y'.

Suppose that  $|y'|_{\mathcal{S}} = n$ . If n = 0, the lemma is true, letting  $p_2 = p'$ ,  $y_2 = s_2 = \epsilon$ ,  $t_2 = y's'$ . If n = 1, write  $y' = \mathcal{L}^k \mathcal{S} \mathcal{L}^j$ . Since  $\mathcal{L}^4 \mathcal{S} \mathcal{L}^2$  is not a factor of  $y_1$ ,  $k \leq 3$  or  $j \leq 1$ ; thus we can let  $p_2 = p'$ ,  $t_2 = y's'$ , and we are again done.

of  $y_1$ ,  $k \leq 3$  or  $j \leq 1$ ; thus we can let  $p_2 = p'$ ,  $t_2 = y's'$ , and we are again done. Suppose from now on, that  $n \geq 2$ , and write  $y' = (\prod_{i=1}^n \mathcal{L}^{m_i} \mathcal{S}) \mathcal{L}^{m_{n+1}}$ , where each  $m_i \geq 0$ . For  $1 \leq i \leq n-1$ ,  $m_{i+1} \leq 1$ , since  $\mathcal{SS}$  is not a factor of y'. It follows that for  $1 \leq i \leq n-2$   $\mathcal{SL}^{m_{i+1}} \mathcal{SL}^{m_{i+2}}$  has one of  $\mathcal{SLSL}$  or  $\mathcal{SLL}$  as a prefix. This implies that for  $1 \leq i \leq n-2$ , we have  $m_i \leq 3$ , since  $\mathcal{L}^4 \mathcal{SLSL}$  and  $\mathcal{L}^4 \mathcal{SLSL}$  are not factors of  $y_1$ . In fact, for  $2 \leq i \leq n-2$ , we have  $m_i \leq 2$ , since  $\mathcal{SL}^3 \mathcal{SLSL}$  and  $\mathcal{SL}^3 \mathcal{SLSL}$  are not factors of  $y_1$ . We have thus established that

$$y' \in (\epsilon + \mathcal{L} + \mathcal{L}^2 + \mathcal{L}^3) (\mathcal{SL} + \mathcal{SLL})^* \mathcal{SL}^j \mathcal{SL}^k$$

Since  $\mathcal{L}^4 \mathcal{S} \mathcal{L}^2$  is not a factor of  $y_1$ , we require  $k \leq 3$  or  $j \leq 1$ . Write  $y' = p'' y_2 \mathcal{S} t''$  where  $p'' \in (\epsilon + \mathcal{L} + \mathcal{L}^2 + \mathcal{L}^3)$ ,  $y_2 \in (\mathcal{S} \mathcal{L} + \mathcal{S} \mathcal{L} \mathcal{L})^*$ ,  $t'' \in \mathcal{S} \mathcal{L}^k \mathcal{S} \mathcal{L}^j$ ,  $k \leq 3$  or  $j \leq 1$ . Let  $p_2 = p'p''$ ,  $s_2 = \mathcal{S}$ ,  $t_2 = t''s'$ . The lemma is established.

# 6. Parsing words of $\mathcal{M}$ using $\Phi^3$

**Lemma 7.** Let  $y_2 \in \{S, \mathcal{L}\}^*$  such that  $\Phi^2(y_2) \in \mathcal{M}$ . Then  $y_2$  can be written

$$y_2 = p_3 \Phi(y_3) s_3$$

where  $|p_3|, |s_3| \le 6, y_3 \in \{\mathcal{L}, \mathcal{S}\}^*$ .

Proof. From Lemma 4, no word of

$$\mathcal{LLL}(S+\mathcal{L}) \cup (S+\mathcal{L})\mathcal{LSS}(S+\mathcal{L}) \cup (S+\mathcal{L})SSSSS(S+\mathcal{L})$$

can appear in  $y_2$ . These include both of the length 4 right extensions of  $\mathcal{LLL}$ ; it follows that  $\mathcal{LLL}$  can only appear in  $y_2$  as a suffix. They also include all of the length 5 two-sided extensions of  $\mathcal{LSS}$ ; Thus  $\mathcal{LSS}$  can appear in  $y_2$  only as a prefix or suffix. Finally, they include all length 7 two-sided extensions of  $\mathcal{S}^5$ . Thus,  $\mathcal{S}^5$  can only appear in  $y_2$  as a suffix or prefix. If  $|y_2| \leq 4$ , we are done. Assume that  $|y_2| \geq 5$ , and write  $y_2 = p'y's'$ , |p'| = 4, |s'| = 1. Then  $\mathcal{LLL}$  is not a factor of y'. We also claim that  $\mathcal{SS}$  is not a factor of y'. Otherwise,  $y_2$  has a factor  $\rho \mathcal{SS}$  which is not a suffix, with  $|\rho| = 4$ . However, the length 5 suffix of  $\rho \mathcal{SS}$  is not a prefix or suffix of  $y_2$ , and contains either  $\mathcal{S}^5$  or  $\mathcal{LSS}$  as a factor; this is impossible.

Since neither of  $\mathcal{L}^3$  or  $\mathcal{S}^2$  is a factor of  $y_2$ , we have  $y' \in (\epsilon + \mathcal{L} + \mathcal{L}^2)(\mathcal{SL} + \mathcal{SLL})^*(\epsilon + \mathcal{S})$ , and can write  $y' = \mathcal{L}^k \Phi(y_3) \mathcal{S}^j$  where  $k \leq 2$ ,  $s \leq 1$ . The lemma therefore holds.

### 7. A hierarchy of S's and L's

Combining Lemmas 5 through 7 gives the following:

**Lemma 8.** Let  $y \in \{S, \mathcal{L}\}^* \cap \mathcal{M}$ . Then y can be written

$$y = p_1 \Phi(p_2 \Phi(p_3 \Phi(y_3)s_3)s_2t_2)s_1t_1,$$

where  $|p_1|, |s_1| \le 9, |p_2|, |s_2| \le 4, |p_3|, |s_3| \le 6$ , and

$$t_1 \in (\epsilon + \mathcal{S} + \mathcal{S}^2 + \mathcal{S}^3)\mathcal{LS}^* + \mathcal{S}^*(\epsilon + \mathcal{L} + \mathcal{LS}),$$

$$t_2 \in ((\epsilon + \mathcal{L} + \mathcal{L}^2 + \mathcal{L}^3)\mathcal{S}\mathcal{L}^* + \mathcal{L}^*(\epsilon + \mathcal{S} + \mathcal{S}\mathcal{L})) (\epsilon + \mathcal{S} + \mathcal{L}).$$

**Corollary 9.** Let  $y \in \{S, \mathcal{L}\}^* \cap \mathcal{M}$ . Then there is a constant  $\kappa$  such that y can be written

$$y = \pi \Phi^3(y_3)\sigma,$$

where  $\sigma$  can be written  $\sigma_1 \Phi(\mathcal{L}^j) \sigma_2 \mathcal{S}^k \sigma_3$ , with  $|\pi \sigma_1 \sigma_2 \sigma_3| \leq \kappa$ .

**Lemma 10.** Suppose that  $\langle \mathcal{S}, \mathcal{L} \rangle$  is suitable, and  $|h(\mathcal{S})|$  is odd,  $|h(\mathcal{L})|$  even. Let

$$\Sigma = (\mathcal{SLSL})^{-1}\Phi^3(\mathcal{S})\mathcal{SLSL}, \ \Lambda = (\mathcal{SLSL})^{-1}\Phi^3(\mathcal{L})\mathcal{SLSL}.$$

Then  $\langle \Sigma, \Lambda \rangle$  is suitable, and  $|h(\Sigma)|$  is odd,  $|h(\Lambda)|$  even.

*Proof.* Each of  $|\Sigma|$ ,  $|\Lambda|$  is odd. Let

$$\hat{\ell} = h(\mathcal{LSLSLLSLLS})\ell$$
,  $\hat{\mu} = \ell^R \overline{h(\mathcal{SL})}$ ,  $\hat{p} = \overline{\hat{\ell}^R} h(\mathcal{LSLSL})$ 

$$\begin{array}{lll} h(\Sigma) & = & h((\mathcal{SLSL})^{-1}\Phi^3(\mathcal{S})\mathcal{SLSL}) \\ & = & h((\mathcal{SLSL})^{-1}\mathcal{SLSLLSLSLLSLSLSL}) \\ & = & h(\mathcal{LSLSLLSLLSLSL}) \\ & = & h(\mathcal{LSLSLLSLLSLLSL}) \\ & = & \hat{\ell}\hat{\mu} \end{array}$$

For a word  $z \in \{S, \mathcal{L}\}^*$  with |z| even, we observe that  $\overline{h(z^R)} = (h(z))^R$ . Therefore, we also have

$$\begin{split} \Sigma &= h(\mathcal{LSLSLLSLSLSL}) \\ &= h(\mathcal{LS})h(\mathcal{L})\overline{h(\mathcal{SLLSLLSLSL})} \\ &= (\overline{h(\mathcal{SL})})^R \ell \ell^R \left(h(\mathcal{LSLSLLSLLS})\right)^R \\ &= \hat{\mu}^R \hat{\ell}^R \end{split}$$

Further,

$$\begin{array}{lll} h(\Lambda) & = & h((\mathcal{SLSL})^{-1}\Phi^3(\mathcal{L})\mathcal{SLSL}) \\ & = & h((\mathcal{SLSL})^{-1}\mathcal{SLSLLSLSLLSLLSLLSLSLSLSLSLSL}) \\ & = & h(\mathcal{LSLSLLSLLSLSLSLSLSLSLSLSLSL}) \\ & = & h(\mathcal{LSLSLLSLLSLLS})h(\mathcal{L})\overline{h(\mathcal{SLLSLLSLSL})} \\ & = & h(\mathcal{LSLSLLSLLS})\ell \quad \ell^R \left(h(\mathcal{LSLSLLSLLS})\right)^R \\ & = & \hat{\ell}\hat{\ell}^R \end{array}$$

Finally,

$$\begin{array}{lll} h(\Lambda) & = & h(\mathcal{LSLSLLSLLS})\ell & \ell^R\overline{h(\mathcal{SLLSLLSLSL})} \\ & = & h(\mathcal{LSLSLLSLLS})\ell & \ell^R\overline{h(\mathcal{SL})} \; \overline{h(\mathcal{LS})} \; \overline{h(\mathcal{L})} h(\mathcal{LSLSL}) \\ & = & h(\mathcal{LSLSLLSLLS})\ell & \ell^R\overline{h(\mathcal{SL})} \; \overline{h(\mathcal{LS})} \; \overline{\hat{\ell}} \; \overline{\hat{\ell}^R} h(\mathcal{LSLSL}) \\ & = & \hat{\ell}\hat{\mu}\overline{\hat{\mu}^R}\hat{p}. \end{array}$$

This result combines with Corollary 9 to allow us to parse words of  $\mathcal{M}$ . Let  $L_0 = L$ ,  $S_0 = S$ . Supposing that  $\langle S_i, L_i \rangle$  is suitable, let  $\mathcal{L} = L_i$ ,  $\mathcal{S} = S_i$ , and

$$L_{i+1} = (S_i L_i S_i L_i)^{-1} \Phi^3(L_i) S_i L_i S_i L_i, \quad S_{i+1} = (S_i L_i S_i L_i)^{-1} \Phi^3(L_i) S_i L_i S_i L_i.$$

Since  $\langle S, L \rangle$  is suitable, all of the pairs  $\langle S_i, L_i \rangle$  will be suitable by Lemma 10. Suppose  $y \in \{S, L\}^* \cap \mathcal{M}$ . By repeatedly applying Corollary 9, we write  $y = \hat{\pi} v \hat{\sigma}$  where  $v \in \{S_i, L_i\}^*$ .

# 8. Upper bound on growth rate

If H is a language, denote by #(H,n) the number of words of H of length n. If  $N_0$  is a positive integer, the statements

For 
$$n > 1$$
,  $\#(\mathcal{H}, n) \le n^{\lg n + o(\lg n)}$ . (1)

and

For 
$$n > N_0$$
,  $\#(\mathcal{H}, n) \le n^{\lg n + o(\lg n)}$ . (2)

are equivalent; let  $K=1+\max_{n\leq N_0}\#(\mathcal{H},n)$ . Suppose that for  $n>N_0$ ,  $\#(\mathcal{H},n)\leq n^{\lg n+o(\lg n)}$ . Then for n>1,

$$\begin{array}{lcl} \#(\mathcal{H},n) & \leq & K n^{\lg n + o(\lg n)} \\ & = & n^{\lg n + o(\lg n) + \log_n K} \\ & \leq & n^{\lg n + o(\lg n)}. \end{array}$$

Define

$$\mathcal{N} = \{ z \in \{0, 1\}^* : z \text{ avoids } xxx^R \}.$$

**Theorem 11.** For n > 1,  $\#(\mathcal{N}, n) \le n^{\lg n + o(\lg n)}$ .

Recall that

$$\mathcal{K} = \{ z \in 0 \{0, 1\}^* 1 : z \text{ avoids } xxx^R \}.$$

Suppose that for n > 1, we have  $\#(\mathcal{K}, n) \le n^{\lg n + o(\lg n)}$ . Then, since neither of 000 and 111 can be a factor of a word of  $\mathcal{N}$ ,

$$\mathcal{N} \subseteq (\epsilon, 1, 11) \mathcal{K}(\epsilon, 0, 00)$$

so that for n > 5,

$$\#(\mathcal{N}, n) \le \#(\mathcal{K}, n) + 2\#(\mathcal{K}, n - 1) + 3\#(\mathcal{K}, n - 2) + 2\#(\mathcal{K}, n - 3) + \#(\mathcal{K}, n - 4)$$
  
 $\le 9n^{\lg n + o(\lg n)}$   
 $\le n^{\lg n + o(\lg n)}$ 

where the last inequality uses  $9 \le n^{\log_n 9} = n^{o(\lg n)}$  to absorb the 9 into the  $n^{o(\lg n)}$  term. Replacing  $N_0 = 5$  by 1, it follows that for n > 1,  $\#(\mathcal{N}, n) \le n^{\lg n + o(\lg n)}$ .

It remains to show that for n > 1,  $\#(\mathcal{K}, n) \le n^{\lg n + o(\lg n)}$ . Let  $\mathcal{T} = (\epsilon + 1)(01)^*(\epsilon + 1)$ . Thus

$$\#(T,n) = \begin{cases} 1, & n \le 1 \\ 2, & n \ge 2. \end{cases}$$

Let C be the constant from Theorem 1, and let  $\mathcal{P}$  be the set of binary words of length C or less. From Theorem 1, each word k of  $\mathcal{K}$  has the form ph(w)st where  $p, s \in \mathcal{P}$ ,  $w \in \mathcal{M}$  and  $t \in \mathcal{T}$ .

Suppose that we can show that for n > 1,  $\#(\mathcal{M}, n) \leq n^{\lg n + o(\lg n)}$ . Since  $8|w| \geq |h(w)| \geq 5|w|$ , if |k| = n, then  $n/8 \leq |w| \leq n/5$ . Thus, if n > 8, then  $|w| \geq n/8 > 1$  and  $\#(\mathcal{M}, |w|) \leq |w|^{\lg |w| + o(\lg |w|)}$ , so that there are at most  $(n/5)^{\lg(n/5) + o(\lg(n/5))}$  choices for w. There are at most  $2^{C+1}$  choices for each of p and s, and once p, s and w are chosen, there are at most s choices for s. In total,

$$\begin{array}{lcl} \#(\mathcal{K}, n) & \leq & 2^{C+1} (n/5)^{\lg(n/5) + o(\lg(n/5))} 2^{C+1} 2 \\ & \leq & 2^{2C+3} n^{\lg n + o(\lg n)} \\ & \leq & n^{\lg n + o(\lg n)} \end{array}$$

where the last inequality uses  $2^{2C+3} \le n^{2C+3} = n^{o(\lg n)}$  to absorb  $2^{2C+3}$  into the  $n^{o(\lg n)}$  term. Replacing  $N_0 = 8$  by 1, it follows that for n > 1,  $\#(\mathcal{K}, n) \le n^{\lg n + o(\lg n)}$ .

We have thus reduced the proof of Theorem 11 to the following:

**Theorem 12.** For n > 1,  $\#(\mathcal{M}, n) \le n^{\lg n + o(\lg n)}$ .

*Proof.* Let  $y \in \mathcal{M}$  have length n. Choose  $\langle \mathcal{S}, \mathcal{L} \rangle = \langle S, L \rangle$ . Then iteration of Corollary 9 gives

$$y = p_1 \Phi^3(p_2 \Phi^3(p_3 \cdots p_m \Phi^3(\epsilon) s_m \cdots s_3) s_2) s_1,$$

where  $m \leq (\lg n)/3$ . For  $i \in \{1, \dots, m\}$  we have

$$s_i = \sigma_{1,i} \Phi(\mathcal{L}^{j_i}) \sigma_{2,i} \mathcal{S}^{k_i} \sigma_{3,i}$$

Since  $|p_i\sigma_{3,i}\sigma_{2,i}\sigma_{1,i}| \leq \kappa$ , there is a constant  $\alpha$  such that there are at most  $\alpha$  choices for  $(p_i, \sigma_{i,3}, \sigma_{i,2}, \sigma_{i,1})$ . This gives a number of choices for  $\{(p_i, \sigma_{i,3}, \sigma_{i,2}, \sigma_{i,1})\}_{i=1}^m$  which is polynomial in n.

This leaves the problem of bounding the number of choices of the  $j_i$  and  $k_i$ . We have

$$n \geq |\Phi^{3}(\Phi^{3}(\cdots\Phi^{3}(\epsilon)\Phi(\mathcal{L}^{j_{m}})\mathcal{S}^{k_{m}}\cdots\Phi(\mathcal{L}^{j_{3}})\mathcal{S}^{k_{3}})\Phi(\mathcal{L}^{j_{2}})\mathcal{S}^{k_{2}})\Phi(\mathcal{L}^{j_{1}})\mathcal{S}^{k_{1}}|$$

$$= \sum_{i=1}^{m} (j_{i}|\Phi^{3i-2}(\mathcal{L})| + k_{i}|\Phi^{3i-3}(\mathcal{S})|)$$

$$= \sum_{i=1}^{m} (j_{i}F_{6i-2} + k_{i}F_{6i-5})$$

It follows that the number of choices for the  $j_i$ ,  $k_i$  is less than or equal to the number of partitions (with repetition) of n with parts chosen from  $\{F_{3i+1}\}_{i=0}^{\infty}$ . Since  $F_{3i+1} \geq 2^i$ , this is less than or equal to the number of partitions of n into powers of 2. Mahler [8] showed that the number p(n,r) of partitions of n into powers of r satisfies

$$\lg p(n,r) \sim \frac{\lg^2 n}{\lg^2 r};$$

thus,  $p(n,2) \sim n^{\lg n + o(\lg n)}$ . The result follows.

# 9. Lower bound on growth

Let  $\psi: \{S, L\}^* \to \{S, L\}^*$  be given by

$$\psi(S) = LSL, \psi(L) = LSLSL.$$

Since  $\psi(S)$ ,  $\psi(L)$  are palindromes, we have

$$\psi(u^R) = (\psi(u))^R, u \in \{S, L\}^*.$$

Letting  $\langle \mathcal{S}, \mathcal{L} \rangle = \langle S, L \rangle$ , we find that  $\psi = (\mathcal{LSL})^{-1}D^3\mathcal{LSL}$ . It follows that  $|\psi^k(S)| = F_{3k+1}, |\psi^k(L)| = F_{3k+2}$ .

Define languages  $\mathcal{L}_i$  by

$$\mathscr{L}_0 = LS^*, \mathscr{L}_{i+1} = \psi(\mathscr{L}_i)LS^*.$$

Let  $\mathscr{L} = \bigcup_{i=0}^{\infty} \mathscr{L}_i$ .

A word  $w \in \mathcal{L}$  has the form

$$w = \psi(\psi(\psi(\cdots\psi(LS^{k_m})LS^{k_{m-1}})\cdots)LS^{k_2})LS^{k_1})LS^{k_0}$$

so that the number of words of  $\mathcal L$  of length n is the number of partitions of n of the form

$$n = \sum_{i=0}^{m} (F_{3i+2} + k_i F_{3i+1}).$$

Since  $F_{i+1} \leq 2^i$ , this is greater than or equal to the number of partitions of n of the form

$$n = \sum_{i=0}^{m} \left(2^{3i+1} + k_i 2^{3i}\right),\,$$

which is greater than or equal to the number of partitions of n of the form

$$n = \sum_{i=0}^{m} (k_i + 1)2^{3i+1}.$$

This, in turn, is at least half of the number of partitions of n of the form

$$n = \sum_{i=0}^{m} k_i 2^{3i+1},$$

which is the number of partitions of n/2 of the form

$$n/2 = \sum_{i=0}^{m} k_i 8^i.$$

Following Mahler [8], this is  $p(n/2,8) \sim n^{\lg n + o(\lg n)}$ . We will show that no word of  $h(\mathcal{L})$  has a non-empty factor  $xxx^R$ , so that this gives a lower bound on  $\mathcal{N}$ .

One checks the following:

**Lemma 13.** No word of  $\mathcal{L}$  has any of the following factors:

$$L^3, SSL, SLSLS, LSLSLLSLSLLSLSL = \psi(L^3), LLSLLSLLSLSL$$
 
$$LSLLSLSLLSLLSLLSL = \psi(SLSLS).$$

**Theorem 14.** No word of  $h(\mathcal{L})$  contains a non-empty word of the form  $xxx^R$ .

*Proof.* Suppose  $w \in \mathcal{L}$ , and  $xxx^R$  is a non-empty factor of h(w). Let

$$W = \left( (h(S) + h(L)) (\overline{h(S)} + \overline{h(L)}) \right)^* = \left( (00100 + 00100100) (11011 + 11011011) \right)^*.$$

Thus h(w) is a factor of a word of W. Note that none of 000, 111, 0101, 1010, 001011, 110011, 010010010, is a factor of any word of W, nor thus, of w. Also,  $\ell = 0010$  is always followed by 01 in any word of W, while  $\overline{\ell^R} = 1011$  is always preceded by 01.

If  $|x| \leq 2$ , then h(w) contains a factor 000, 111, 010110 or 101011. The last two contain 0101, so this is impossible. Assume therefore that  $|x| \geq 3$  and write  $x = x'\alpha\beta\gamma$ , where  $\alpha, \beta, \gamma \in \{0, 1\}$ . Then  $\alpha\beta\gamma\gamma\beta\alpha$  is a factor of  $xxx^R$ . Suppose that  $\gamma = 0$ . (The other case is similar.) Since 000 is not factor of w, we can assume that  $\beta = 1$ . Since 110011 is not a factor of w,  $\alpha\beta\gamma = 010$ . If |x| = 3, then  $xxx^R$  is 010010010, which is not a factor of w. We conclude that  $|x| \geq 4$ . Since 1010 is not a factor of w,  $\ell = 0010$  is a suffix of x. Write  $x = x''\ell$ , so that

$$xxx^{R} = x''\ell x''\ell \ell^{R}(x'')^{R} = x''\ell x''h(L)(x'')^{R}.$$

Since  $x''\ell x''$  precedes h(L) in a word of W, the length 4 suffix of  $x''\ell x''$  must be 1011; since x'' follows  $\ell$  in h(w), it follows that x'' begins with 0. Therefore,  $|x''| \geq 5$ . It follows that x'' must end with 11011, so that, in fact,  $|x''| \geq 6$ , and 011011 is a suffix of x''. If |x''| = 6, then

$$xxx^R = 0110110010 \ 0110110010 \ 0100110110 = 011011h(SSL)110110.$$

This forces SSL to be a factor of w, which is impossible, since  $w \in \mathcal{L}$ . Thus  $|x''| \geq 7$ .

Since 0101 is not a factor of w, if suffix 011011 of x'' is preceded by 1, it is preceded by 11, and  $\overline{h(L)}\ell$  is a suffix of x. This forces  $xx^R$  to have

$$\overline{h(L)}\ell\ell^R\overline{h(L)^R}=\overline{h(L)}h(L)\overline{h(L)}$$

as a factor, forcing LLL to be a factor of w, which is impossible. We conclude that 0011011 is a suffix of x''. Since x'' follows  $\ell$  in w, 01 must be a prefix of x''. Suppose 011 is a prefix of x''. Since 0011011 is a suffix, then  $x''\ell x''$  has factor

$$0011011\ell 011 = 00\overline{h(S)}h(S)11$$

and w has a factor SSuL for some u; this is impossible. We conclude that 010 is a prefix of x''; since 0101 is not a factor of w, in fact,  $0100 = \ell^R$  is a prefix of x''. In total,

$$xxx^R = \ell^R \hat{x}\ell \ \ell^R \hat{x}\ell \ \ell^R \hat{x}^R \ell$$

The 'bracketing' by  $\ell$  and  $\ell^R$  forces w to contain a factor  $uLuLu^R$ , where |u| is odd.

Consider the shortest factor  $uLuLu^R$  or w, where |u| is odd.

If the last letter of u is L, then LLL is a central factor of uLu. This is impossible. Thus S is a suffix of u. If u=S, then  $uLuLu^R=SLSLS$ , which is not a factor of any word of  $\mathscr{L}$ . We conclude that |u|>1, so that  $|u|\geq 3$ , since |u| is odd.

Since SSL is not a factor of w, the length 3 suffix of uL is LSL. This makes LSLSL a central factor of  $uLu^R$ . Since SLSLS is not a factor of w, the length

3 suffix of u is LLS. If u = LLS, then Lu has prefix LLL, which is not a factor of w. We conclude that  $|u| \ge 5$ .

Since neither of LLL and SS is a factor of w, we conclude that LSLLS is the length 5 suffix of u. If u = LSLLS, then  $uLuLu^R = LSLLSLLSLLSLSLLSL$ , with illegal factor LLSLLSLLSLSL. Thus |u| > 7.

If the length 7 suffix of u is LSLSLLS, then a central factor  $uLu^R$  is LSLSLLSLSLSLSL, which is not a factor of w. We conclude that the length 7 suffix is SLLSLLS.

Write  $w = \psi(v)LS^k$  for some  $v \in \mathcal{L}$ , some  $k \geq 0$ . Since  $|w|_L > 1$ ,  $v \neq \epsilon$ . Then w has suffix  $LLS^k$ , and prefix uLuLSL of  $uLuLu^R$  must be a factor of  $\psi(v)$ . Let  $L(LSL)^mL$  be a factor of uLu where m is as large as possible. Since uLuLSL has suffix LSLSL, and uLuLSL is a factor of  $\psi(v)$ , word  $L(LSL)^mLSLSL$  must be a factor of uLuLSL. If  $m \geq 2$ , then  $uLuLu^R$  has illegal factor LLSLLSLLSLSL. We conclude that m = 1, so that LLSLLSLL is not a factor of uLu

In the context of uLu, word u follows the suffix LLSLLSL of uL. Therefore, u cannot have L as a prefix or uLu contains the factor LLSLLSLL. It follows that SL is a prefix of u. However, a prefix of u cannot be SLS; otherwise uLu would have factor uLSLS which has illegal suffix SLSLS. It follows that the length 3 prefix of u is SLL.

Write

$$u = SL : Lu'SL : LSL : LS$$

The colons indicate boundaries in u between instances of  $\psi(S)$  and  $\psi(L)$ . Thus, we may write  $u = SL\psi(u'')LS$ , for some word u'' in  $\mathscr{L}$ . Since  $|\psi(S)| \equiv |\psi(L)| \equiv 1$  (modulo 2), we have

$$|u| \equiv |\psi(u'')| \equiv |u''| \pmod{2}$$
.

Then

$$uLuLu^{R} = SL\psi(u'')LSLSL\psi(u'')LSLSL(\psi(u''))^{R}LS$$
$$= SL\psi(u''Lu''L(u'')^{R})LS.$$

Recall that  $w = \psi(v)LS^k$ . Although the suffix LS of  $uLuLu^R$  may occur here as a prefix of  $LS^k$ , certainly  $uLuLu^R(LS)^{-1}$  is in  $\psi(v)$ . We conclude that  $u''Lu''L(u'')^R$  is a factor of  $\mathscr{L}$ , where u'' has odd length shorter than u. This is a contradiction.

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