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## On the contribution of Angola to the initial spread of HIV-1

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### Abstract

Angola borders and has long-term links with Democratic Republic of Congo (DRC) as well as high levels of Human Immunodeficiency virus (HIV) genetic diversity, indicating a potential role in the initial spread of the HIV-1 pandemic. Herein, we analyze 564 C2V3 and 354 *pol* publicly available sequences from DRC, Republic of Congo (RC) and Angola to better understand the initial spread of the virus in this region. Phylogeographic analyses were performed with the BEAST software and migration records from the first half of the 20<sup>th</sup> century in DRC were collected from colonial archives. While our results pinpoint the origin of the pandemic to Kinshasa (DRC) around 1906, our results indicate that the introduction of HIV-1 to Angola could have occurred early between 1910 and 1930. Furthermore, most of the initial HIV-1 migrations out of Kinshasa were directed not only to Lubumbashi (DRC), but also to Angola and Brazzaville. Migration records corroborate these findings, indicating that the early exportation of the virus to

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#### Authors' Contributions

APP: data analysis, data interpretation, figures, writing; JV: data analysis, literature review, writing; JS: data collection, writing; KT: writing; IB: data collection, writing; TL: writing; NT: data collection, writing; AMV: data interpretation, writing; AA: study design, data analysis, data interpretation, figures, writing.

Angola might be related to the high number of Angolan immigrants in Kinshasa at that time, originated mostly from the North of Angola.

In summary, our results place Angola at the epicenter of early HIV dissemination, together with DRC and RC.

### Keywords

Angola; HIV-1; origin; group M

## INTRODUCTION

At the end of 2014, the Human Immunodeficiency Virus (HIV) had caused approximately 78 million infections and 39 million deaths (UNAIDS, 2014). HIV is classified in types 1 and 2, but most infections are caused by HIV-1 group M. This group's epidemic started in Kinshasa (Democratic Republic of Congo, DRC), and soon spread across the Congo river to Brazzaville located in Republic of Congo (RC), and further to Lubumbashi and Mbuji-Mayi (DRC), around 1937 (Faria et al., 2014; Rambaut et al., 2001; Worobey et al., 2008). The HIV-1 epidemic in Angola displays levels of genetic diversity comparable to DRC, which is consistent with an early viral introduction into this country (Bartolo et al., 2009). Nonetheless, the contribution of Angola to the early dispersal of HIV was never investigated.

## METHODS

All sequences for *env* C2V3 and *pol* (HXB2 positions 7044–7347 and 2319–3302) sampled in Angola, DRC and RC were downloaded from the LANL (<http://www.hiv.lanl.gov/>). Duplicates and sequences that did not meet LANL quality control parameters were deleted. City locations were retrieved from the original publications (Afonso et al., 2012a; Bartolo et al., 2005; Bartolo et al., 2009; Djoko et al., 2011; Gao et al., 2001; Guimaraes et al., 2009; Vergne et al., 2000; Vidal et al., 2006). Sequences with unknown year, country or city of sampling were excluded from the analyses. The resulting datasets had 564 C2V3 and 354 *pol* sequences. The *env* and *pol* datasets contained 349 and 190 sequences from DRC, 118 and 50 from RC, 97 and 115 from Angola, respectively. To investigate how sampling affects the results, two *env* and *pol* datasets were randomly constructed using Phylogenetic Diversity Analyzer (<http://www.cibiv.at/software/pda/>), with a similar number of sequences from the most sampled cities and maintaining the temporal span and viral diversity. The resulting *env* and *pol* datasets included each time 148 and 254 sequences, respectively.

Sequences were aligned using Muscle (Edgar, 2004), and edited in SeaView (Gouy et al., 2010). The temporal signal of all datasets was evaluated with Path-O-Gen (<http://tree.bio.ed.ac.uk/software/pathogen/>). To estimate the most recent common ancestor (MRCA), the Bayesian Markov Chain Monte Carlo (MCMC) inference implemented in BEAST v1.7.5. was used (Drummond et al., 2012) with the GTR model, a relaxed uncorrelated Lognormal molecular clock model (Drummond et al., 2006) and a Skygrid coalescent tree prior (Gill et al., 2013). To evaluate the early dispersal and the most important migrations, a discrete phylogeographic model was applied using Bayesian

Stochastic Search Variable Selection (BSSVS) analyses and the robust counting approach (Edwards et al., 2011; Lemey et al., 2009; Minin et al., 2008; O'Brien et al., 2009; Talbi et al., 2010). The MCMC chains were run for 100 million generations at least three times with a burn-in of 10%. Convergence was evaluated using Tracer (<http://beast.bio.ed.ac.uk/Tracer>). The maximum clade credibility (MCC) tree was summarized with TreeAnnotator after removal of the burn-in, and visualized with FigTree v1.4.2 (<http://tree.bio.ed.ac.uk>).

## RESULTS

The MRCA of group M was in 1906 [Bayesian Credible Interval (BCI):1892–1921] using *env* and 1906 [BCI:1878–1930] using *pol*. Kinshasa was indicated as the origin of this pandemic for both genomic regions [Posterior Probability =1] (Fig.1A–B).

Exportations of HIV-1 from DRC to Angola were observed soon after, in 1912 [BCI:1905–1944] using C2V3 and 1919 [BCI:1906–1943] using *pol*; yet the PP for such observations was low (<0.5). These virus migrations preceded others, including to Brazzaville and Lubumbashi, inferred with similarly low PP values (1926 [BCI:1919–1952] using C2V3 and 1946 [BCI:1930–1963] using *pol*; 1929 [BCI:1920–1960] using C2V3 and 1948 [BCI:1932–1963] using *pol*; respectively). The earliest exportations of the virus out of Kinshasa to Angola and Brazzaville were almost simultaneous when high PP values were considered. The introduction to Angola was estimated around 1946 [BCI:1932–1960, PP: 0.98] using C2V3 and 1944 [BCI:1927–1959, PP: 0.97] using *pol*, whereas introduction to Brazzaville-RC was around 1941 [BCI:1928–1955, PP: 0.90] and 1950 [BCI:1934–1964, PP: 0.90], respectively (Fig.1C).

Angola and Brazzaville-RC were not only the earliest but also the most substantial targets for HIV-1 migrations out of Kinshasa (BF>1000; Angola: 29 and 52 transitions using C2V3 and *pol*, respectively, [BCI: 20–37 and 47–59]; Brazzaville-RC: 60 and 42 transitions in C2V3 and *pol*, respectively, [BCI: 52–70 and 36–47]). Within DRC, Lubumbashi and Mbuji-Mayi were the cities with the highest number of migrations from Kinshasa (BF>1000; Lubumbashi: 38 and 19 transitions using C2V3 and *pol*, respectively, [BCI: 27–47 and 15–21]; Mbuji-Mayi: 52 and 10 transitions using C2V3 and *pol*, respectively, [BCI: 40–61 and 7–14]). Significant migrations to Lubumbashi happened around 1933 and 1948, whereas to Mbuji-Mayi around 1943 and 1953, using C2V3 and *pol* [PP>0.9].

Limited migrations were found between Luanda and other cities of Angola (mainly Cabinda), but this is not surprising given the scarcity of sequences from other regions than Luanda (BF>1000, 12 [BCI: 6–16] and 8 [BCI: 5–11] in *env* and *pol*, respectively). However, the ongoing historical population movement between different areas of the country would suggest that our sampling most likely reflects a broader geographic region of the country. A significant migration link was also found between Kinshasa and other regions of Angola around 1948 using C2V3 and around 1955 using *pol*. These regions were mainly Cabinda and Lunda Norte. When the two smaller *env* and *pol* random datasets were analyzed, the results were mostly consistent with the main analyses. However, the number of migrations out of Kinshasa to Angola and to Brazzaville-RC was comparable and slightly

different from the main analyses, indicating a potential effect of the sampling in the quantification of migrations.

Analysis of archival data about immigration records in that region in the early 20<sup>th</sup> century indicated that Angolan immigrants constituted an important percentage of the population in Kinshasa (Fig.1D). The number of Angolans living in Kinshasa reached 26% of the city population in 1930 and 23% in the 1940s (Fig.1D). Specifically, these Angolan immigrants, in the 1930s–40s, originated mostly from the area of Maquela do Zombo, which lies in the Zaire province of Angola (Congo Belge, 1930–1957) Comparatively, this population of immigrants was much higher than the number immigrants originated from Afrique Équatoriale Française (Fig.1D).

## DISCUSSION

Herein we analyze for the first time the role of Angola in the early dissemination of the HIV-1 group M epidemic. Our results indicate that the earliest estimated exportations of the virus out of Kinshasa occurred quasi concurrently to Angola and Brazzaville in the early 20<sup>th</sup> century, around the same time as to other locations in DRC. The exportation of HIV-1 to Angola accounted for a major part of HIV-1 migrations out of Kinshasa, as much as to Brazzaville or other cities of DRC. The large proportion of Angolan immigrants living in Kinshasa at that time could explain these findings, at least partly.

Together, our results indicate an important role of Angola in the early dispersal of the epidemic. These findings are consistent with the similar levels of genetic diversity found in Kinshasa and Luanda (Bartolo et al., 2009), and with their profound historical connectivity. Intense native population movements across the borders of colonial Angola and DRC are related with shared ethnicities; for example, the former Kongo Kingdom that had its capital in the North of Angola (M'Banza Kongo in the Zaire province) became divided by colonial borders. The export of HIV to Angola coincided with a time frame where a large proportion of Kinshasa's population consisted of Angolan immigrants, likely related to labor migration movements as a result of the late 19<sup>th</sup>-early 20<sup>th</sup> century expansion of infrastructures, agriculture and mining industries in both Angola and DRC (Henriques, 2004; Vansina, 1966).

Although Angola contributed to the early ignition of the epidemic, its role seems to have been limited for the spread of the worldwide predominant subtypes B and C (data no shown). While for subtype B this is expected, for subtype C seems to have been mostly imported to Angola from the 1960s onwards (Afonso et al., 2012b). More comprehensive sampling of HIV-1 patients in the North of Angola would be important to better understand the early epidemic of HIV-1 and the spread of different subtypes.

In conclusion, herein we show for the first time that Angola played an important role in the early dissemination of HIV-1 group M. Including its sequences in future analyses is therefore crucial to better understand the origin of HIV or its spread through population movements during colonial times.

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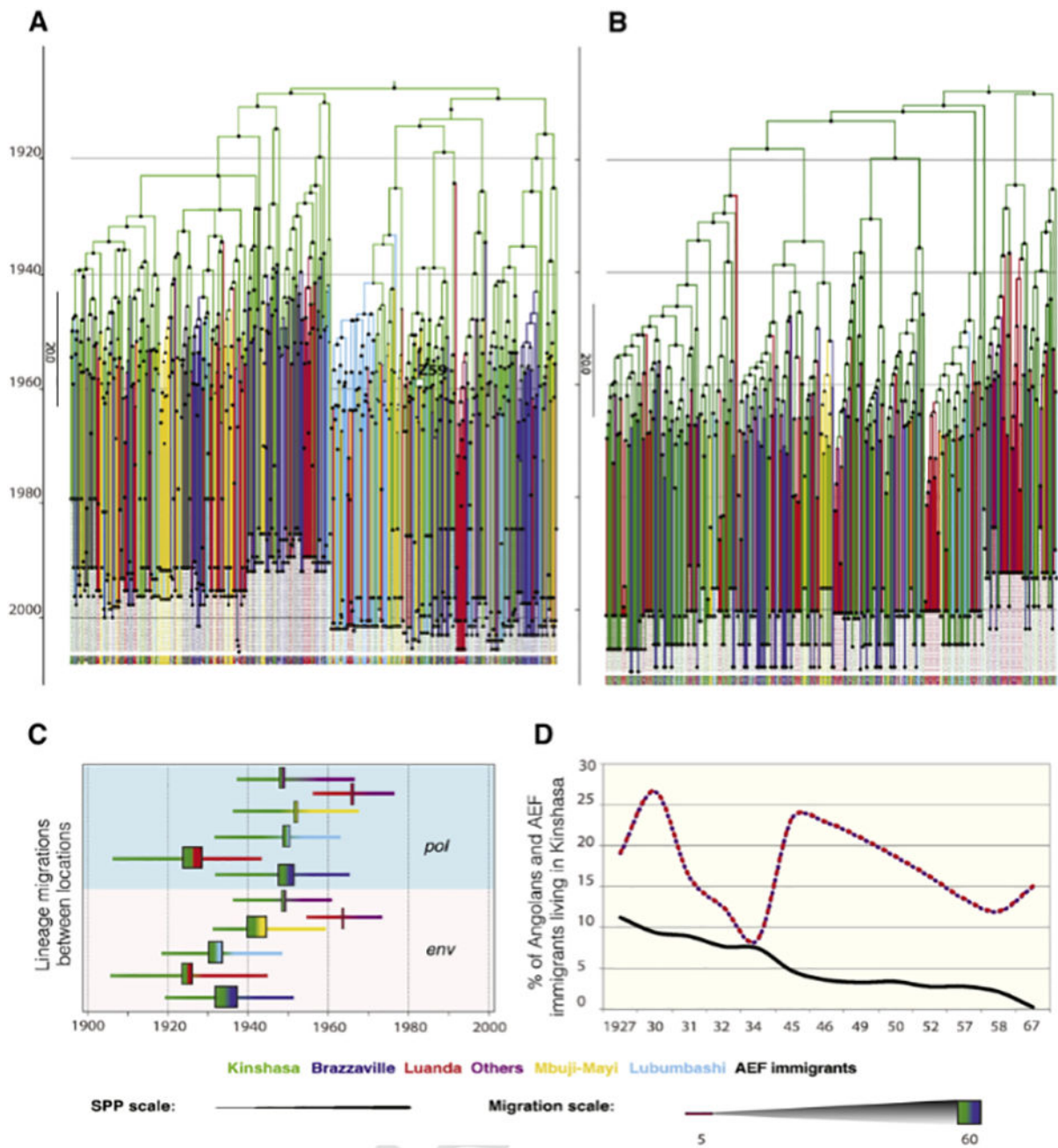
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### Figure 1. Phylogeographic analysis of the early spread of HIV-1

The MCC trees of the C2V3 (A) and *pol* (B) datasets are shown. The most likely location of the parental node is represented with colors that are explained in the lower panel. The oldest sequence available sampled in DRC in 1959 is represented with a square (ZR59). The C2V3 and *pol* datasets included the following number of sequences per location (C2V3/*pol*): Angola: Cabinda (12/19), Cuanza Norte (1/1), Luanda (78/82), Lunda Norte (2/2), Malanje (2/8), Zaire province (2/2); Democratic Republic of Congo: Bwamanda (33/1), Kimpese (0/1), Kinshasa (97/142), Kisangani (23/8), Likasi (24/0), Lubumbashi (76/20), Mbuji-Mayi (96/18); Congo: Brazzaville (97/50), Pointe Noire (21/0). Regions of Angola with low



number of sequences such as Cabinda, Cuanza Norte, Malanje, Lunda Norte, and Zaire were grouped into 'Others'. The posterior probability (PP)  $> 0.7$  is pictured as a circle in the nodes, the color and the size reflects the scale of this probability. (C) The earliest dates of significant lineage migrations in DRC, RC and Angola in *env* C2V3 and *pol* are represented by colors as indicated. The rectangle represents the mean of the earliest introduction from the exporter (left) to the importer (right) according to the direction of the migration, whereas the horizontal size of the rectangle is proportional to the number of migrations in each transition. Lines represent the Bayesian Credible intervals. (D) The percentage of Angolans (mainly from the North region, red and violet line) and people from *Afrique Équatoriale Française* (AEF, black line) living in Leopoldville/Kinshasa according to different censuses of the population (Affaires Indigènes et Main d'Oeuvre (AIMO), 1927; Commissariat de Police de Léopoldville, 1931; Congo Belge, 1930, 1932, 1934, 1949, 1957; Institut National de la Statistique, 1969; Service d'Administration de la Population Noire, 1945, 1946, 1950, 1952; Spitaels, 1959).