1	THE ROLE OF LIPOPROTEINS IN THE MICROENVIRONMENT OF HORMONE		
2	DEPENDENT CANCERS		
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23 Abstract

24 The tumor microenvironment (TME) is an attractive target to develop novel strategies for 25 hormone-dependent cancers. Several molecules in the TME can favor tumor development 26 and progression, including lipoproteins. Lipoproteins are taken up by cancer cells providing 27 them with cholesterol and fatty acids. Cholesterol regulates cell signaling and it is converted 28 into a series of bioactive metabolites, including hormones. The conflicting results of 29 epidemiological and interventional studies suggest that the local availability of lipoproteins in 30 the TME is more relevant for cancer biology than their circulating levels. Thus, reducing 31 lipoprotein uptake and stimulating cell cholesterol efflux to high density lipoproteins (HDL) can 32 represent a novel adjuvant strategy for cancer management. HDL-like particles can also act 33 as drug delivery systems for tumor targeting.

34 Relevance of the microenvironment in tumor development and progression

Hormones can drive the development and the progression of malignancies at multiple sites, 35 36 including adrenal, thyroid, parathyroid, pancreatic, prostate and reproductive tissues. This 37 review is mainly focused on breast and prostate cancers (BC and PCa), which are the leading 38 cause of cancer-related death in women and men, respectively. They are classified as 39 hormone-dependent cancers due to the key role of steroid sexual hormones in tumor initiation 40 and progression (see Box 1). Both types of cancer are characterized by an effective response 41 to hormone-deprivation therapies; however, therapeutic options for locally advanced or 42 metastatic tumors are limited and poorly effective [1,2].

In the attempt to identify the mechanisms responsible for tumor progression and to find novel
therapeutic targets, the tumor microenvironment (TME) has gained increased attention. The
TME is as a complex, acidic and hypoxic environment, with cancer cells and non-transformed
stromal cells of different origins immersed in the extracellular matrix (ECM), that evolves

- 47 during tumor progression [3]. Cellular components include endothelial cells and pericytes,
- 48 fibroblasts, adipocytes, resident and infiltrating immune cells, while the ECM, which provides
- 49 a structural support for these surrounding cells, is mainly composed by collagen, elastin,
- 50 fibronectin, laminin and proteoglycans (PGs). The role of the different stromal cells in tumor
- 51 development and progression is complex and still debated, as recently reviewed by Hanahan
- 52 and Mittal [4,5]. Interestingly, TME can modulate malignant progression in multiple ways and
- 53 TME signature can predict disease outcome and therapeutic response, independent from
- 54 cancer cell features, as in the case of BC [6,7].
- 55 Several molecules in the TME can favor the development and progression of hormone-
- 56 dependent cancers. It is well established the role of pro-oxidant and pro-inflammatory
- 57 molecules, which can reach the TME from the systemic circulation or can be locally produced
- 58 by stromal cells [8]. In addition, sources of cholesterol and fatty acids are present in the TME;
- these molecules can promote cancer cell proliferation through several mechanisms beyond
- 60 their well-known structural and energetic role in cell physiology (see Box 2).
- 61 Cholesterol and its metabolites in cancer cells

62 The role of cholesterol in the context of cancer cell proliferation has been recently revised. In 63 the past, cholesterol need was ascribed to its structural role in cell membranes, while now it is 64 recognized as a key player in the regulation of cellular function (Figure 1). Its presence in cell

65 membranes is able to modulate the activation of transmembrane receptors [9]. In addition, it

66 can be converted into a wide series of biologically active metabolites, such as oxysterols and

67 isoprenoids [10]. Finally, cholesterol acts as a building block for the local synthesis of

68 hormones. Consequently, cholesterol demand is higher in hormone-dependent cancers

69 compared to other tumor types [11].

70 Cholesterol can be directly synthetized by cancer cells or it can be taken up by lipoproteins 71 (see box 3 and box 4), which are present in extracellular fluids (Figure 1). Indeed, the 72 receptor-mediated endocytosis of apoB-containing lipoproteins and, depending on the 73 cholesterol gradient, the SR-BI-facilitated cholesterol influx from HDL, are relevant sources of 74 cholesterol in the TME [12]. On the contrary, HDL could help in reducing cholesterol content 75 of cancer cells acting as acceptors of cholesterol efflux [13]. To deal with their need of 76 cholesterol, cancer cells are generally characterized by an increased expression of SREBP-77 regulated genes, which support cholesterol synthesis and uptake, and by a concomitant 78 inhibition of LXR-regulated genes, including ABC transporters [14,15] (Figure 2). Cancer cell 79 uptake of lipoproteins in the TME is promoted by **hypoxia**; indeed, in several cancer cell 80 lines, hypoxia was shown to promote the expression of many lipoprotein receptors, as LDL-R, LRP1, VLDL-R and SR-BI [16] (see Box 3). In addition, the acidic environment increases the 81 82 affinity of LDL for PGs, which favors LDL uptake by endocytosis [16]. Furthermore, PG-bound 83 LDL are entrapped in the extracellular space, where they can undergo oxidation that 84 increases LDL affinity to scavenger receptors and triggers pro-inflammatory and pro-oxidant 85 cascades [17].

86 The relevance of cholesterol for proliferating cancer cells is supported by three main findings.

87 First, cholesterol accumulates in cancer cells due to the upregulation of its synthesis and

uptake [18-21] (Figure 2). Since unesterified cholesterol is toxic, it is quickly esterified in the

89 cytosol by ACAT and stored in lipid droplets (LDs), which aberrantly accumulate in tumor

90 cells [22]. Second, the inhibition of the SREBP pathway exerts antiproliferative and

91 antimetastatic effects [20]. Third, when cholesterol is rapidly removed from cell membranes,

92 as by methyl-β-cyclodextrin (βMCD, an acceptor of cholesterol through passive diffusion), cell
93 survival is impaired [23-25].

94

95 Structural and functional role of cholesterol in the cell membrane

96 Cholesterol regulates the fluidity of the cell membrane and its distribution is not

97 homogeneous; lipid rafts are sub-domains of the membrane particularly enriched in

98 cholesterol along with glycosphingolipids and sphingomyelin [9]. Lipid rafts have a key role in 99 signal transduction, since many types of proteins are recruited to these domains, as 100 glycosylphosphatidylinositol-anchored proteins, prenylated and acylated proteins, and 101 transmembrane receptors [26]. Lipid rafts act as concentrating platforms for receptors 102 activated by ligand binding: the activation leads to rafts' clustering, which favors the 103 interaction among the various members of a signaling complex by close proximity [26]. 104 Interestingly, many receptors for growth factors (as epidermal growth factors, insulin-like 105 growth factor 1 and vascular endothelial growth factor) are located in lipid rafts and an 106 enrichment in cholesterol was shown to increase the activation of downstream PI3K/Akt and 107 ERK1/2 pathways, likely supporting cancer cell proliferation and migration. On the contrary, 108 when cholesterol is removed from lipid rafts (as by βMCD or HDL), receptors are internalized 109 and signaling events blunted [24,25].

110

111 Intra-tumor formation of cholesterol metabolites

112 The local production of dihydrotestosterone and 17β -estradiol from cholesterol by 5α -113 reductases and aromatases could explain the progression of PCa and BC even with the very 114 low levels of circulating hormones achieved during deprivation therapies. Locally produced 115 hormones can activate their cognate receptors, supporting cancer cell proliferation [27,28]; 116 accordingly, 5α -reductase and aromatase inhibitors represent a therapeutic strategy for the 117 management of hormone-dependent cancers [29]. Likewise, intra-tumor hormone synthesis 118 from cholesterol is upregulated in other endocrine cancers, as adrenocortical and ovarian 119 carcinomas [30,31].

120 Intracellular cholesterol metabolism can also lead to the generation of a wide spectrum of 121 oxysterols through the action of the cytochrome P450 family enzymes (CYPs). Interestingly, 122 compared to parental non-tumor cells, PCa and BC cells could present with an altered 123 expression of CYPs, leading to a different pattern of cholesterol metabolites; consequently, 124 some cholesterol metabolites are overproduced in cancer cells, while other are generated in 125 lower amounts [32]. Oxysterols are endogenous ligands of LXRs and are generally believed 126 to exert anti-proliferative actions; LXR activation, besides regulating cholesterol homeostasis, 127 triggers anti-inflammatory pathways in cancer and stromal cells [10,33]. For example, LXR 128 agonists were shown to induce the release of anti-inflammatory interferon γ from

129 macrophages and T cells [34]. In addition, LXRs can increase the expression of

- 130 sulfotransferases, leading to steroid inactivation [35]. The activity of oxysterols on cancer cells
- 131 extends beyond LXR activation. Indeed, 7-ketocholesterol (as unsaturated fatty acids) was
- shown to act as ligand of the so-called **antiestrogen binding site** (AEBS); 5,6 α and 5,6 β -
- epoxycholesterol and their condensation product with histamine, dendrogenin A, also
- displayed high AEBS affinity [36]. On the contrary, some oxysterols could contribute to tumor
- 135 growth, as 27-hydroxycholesterol. Indeed, it was shown to specifically increase the growth of
- 136 ER-positive BC cancer cells by acting as an ER agonist [32]. Interestingly, 27-
- 137 hydroxycholesterol accumulation has been described in ER-positive BC due to the
- 138 downregulation of CYP7B1, blunting the transcription of many LXR-regulated genes and in
- particular of ABCA1 [32]. Contrasting results were obtained for 27-hydroxycholesterol on PCa
 cells [37,38].
- 141 Fatty acid metabolism by cancer and immune cells in the TME can also lead to the generation 142 of bioactive molecules, such as eicosanoids [39]. These molecules are produced from 143 arachidonic acid and other polyunsaturated fatty acids by the action of lipoxygenases and 144 cyclooxygenases. Their role in cancer is still in debate since they were variably associated 145 with cancer cell proliferation; indeed, while some eicosanoids may act as tumor suppressors 146 (as omega-3 fatty acids and resolvins), others were shown to promote the survival and the 147 proliferation of cancer cells [39]. In particular, prostaglandin E2 levels are elevated in several 148 human malignancies, including BC, PCa and ovarian cancer, and were associated with poor 149 prognosis and resistance to chemotherapy and radiotherapy [40,41]. Thromboxane A2 150 (TXA2) is also increasingly implicated in cancer progression, especially in triple negative BC. 151 The binding of TXA2 to its receptor (thromboxane receptor, TP) enhances BC cell migration 152 and invasion by triggering Rho activation [29]. Through the same mechanism, TP activation 153 induced cytoskeletal reorganization of PCa cells [42]. On the contrary, resolvins may help to 154 lower the risk of developing cancer [43]. These bioactive lipids, derived from the omega-3 155 fatty acids eicosapentaenoic acid and docosahexaenoic acid, are key players in the resolution 156 of inflammation. In multiple tumor types, resolvins were shown to reduce tumor growth, neo-157 angiogenesis, metastatization and to revert the deactivation of natural killer (NK) cells [44]. 158
- 159 Lipid metabolites for protein modification
- 6

160 Along the mevalonate pathway, isoprenoids (farnesyl-pyrophosphate and geranylgeranyl-

- 161 pyrophosphate) are produced, which are needed for prenylation, a post-translational
- 162 modification of proteins. Prenylation provides the target protein with a hydrophobic C
- 163 terminus, which guides its localization within the cell, for example to the lipid rafts, favoring
- 164 protein-protein interactions [45]. Many prenylated proteins are involved in cell signaling, as
- small GTP binding proteins. Among these, the oncogene **RAS** is the most studied and a
- 166 reduction of isoprenoid production, as by farnesyltransferase inhibitors, is under investigation
- 167 with promising results in aggressive BC [46].
- 168 Fatty acids are also involved in protein modification, as some proteins undergo myristoylation
- 169 or palmitoylation [47,48]. Again, many of the target proteins are crucial components of
- 170 signaling pathways and the modification with fatty acids promotes their binding to the
- 171 membrane for proper localization and function. Well-characterized targets include many
- 172 oncogene products, such as the tyrosine kinase Src [47,48].
- 173

174 Lipoproteins as pharmacological targets?

175 Epidemiologic evidence

176 Several retrospective studies investigated the relationship between plasma levels of 177 lipids/lipoproteins and the incidence of different cancer types. To date, conflicting results were 178 obtained, since positive, negative or no relationships were found [49,50]. In the REduction by 179 DUtasteride of prostate Cancer Events (REDUCE) trial, total cholesterol was positively 180 associated with an increased risk of high-grade PCa (5% higher for 10 mg/dl), but not with 181 low-grade one. HDL-C levels were also positively associated with overall risk of PCa (8% 182 higher for 10 mg/dl) [51]. However, in the same trial, men with high HDL-C displayed reduced 183 prostate inflammation [52]. On the contrary, in the Alpha-Tocopherol, Beta-Carotene Cancer 184 Prevention (ATBC) study, while the positive association between total cholesterol and the risk 185 of advanced PCa was confirmed, HDL-C was negatively associated with PCa risk [53]. The 186 association between plasma lipids and the risk of cancer was also investigated in prospective 187 studies. Large meta-analyses excluded the association between plasma lipids and the risk of 188 PCa and ovarian cancer, but HDL-C was negatively associated with BC risk [54-56]. 189 **Mendelian randomization studies** were performed to address the causal link between 190 plasma lipids and PCa or BC risk. No association of plasma lipids with PCa risk was found. 191 with a weak evidence that higher LDL-C and triglyceride levels increased aggressive PCa risk

192 [57]. On the contrary, genetically raised LDL-C levels were associated with higher risk of BC, 193 especially of the ER-positive type [58]. The same relationship was found for elevated HDL-C, 194 but it should be noted that only the effect of CETP variants was assessed, and inhibiting 195 CETP is debated for the possible accumulation of dysfunctional HDL [59]. The role of dietary 196 lipids on cancer risk is also debated, since a lipid-rich diet could be indicative of an unhealthy 197 lifestyle. In addition, a significant association between dietary cholesterol intake and the risk 198 of BC was detected only with very high intakes [60]. Another approach to address the 199 relationship between plasma lipids and the incidence of cancer is to assess the association 200 with statins. In two recent meta-analysis, statins were shown to have a neutral effect on both 201 PCa and BC incidence [61,62]. Consistently, the Cholesterol Treatment Trialists' 202 Collaboration showed no evidence of any effect of reducing cholesterol with long-term statin 203 therapy on cancer incidence or mortality [63]. To explain the inconsistency between studies, 204 the type of cancer and the time at which lipids were assessed could act as confounding 205 factors. The stage of the disease and the consequent therapies can affect the levels of 206 circulating lipids; plasma lipids, especially HDL-C levels, are reduced in several cancer 207 patients during active disease, as in those having breast, ovarian, prostate, colon and 208 pancreatic carcinomas [64]. The large HDL2 subclass is particularly reduced [65]. The 209 predictive power of low HDL-C and apoA-I levels was so strong that apoA-I was included in 210 the screening for ovarian cancer with other traditional biomarkers [66]. The mechanisms 211 beyond HDL-C reduction are not fully understood. Since the liver and the intestine are 212 responsible for the synthesis of apoA-I and its lipidation by ABCA1, malignancies at these 213 organs can directly impaired HDL biogenesis. As shown in many inflammatory states, pro-214 inflammatory cytokines inhibit hepatic apoA-I expression and, thus, the cancer-related 215 sustained inflammation can repress HDL biogenesis by the same mechanism [67]. Finally, the 216 hepatic expression of several proteins involved in lipoprotein metabolism can be modulated 217 by inflammation and cancer, including LCAT; thus, HDL-C reduction could be part of a more 218 complex alteration of lipoprotein metabolism induced by cancer [67]. 219 The association between plasma lipids and the recurrence of cancer has been firmly 220 established. Plasma levels of cholesterol are positively associated with a higher recurrence 221 rate of BC and statin use was associated with extended recurrence free survival time [68]. 222 This protective effect was confirmed in women with hormone receptor-positive early-stage 223 breast cancer taking statins during adjuvant endocrine therapy [69]. Plasma levels of HDL-C

are inversely related to the prognosis; in a recent meta-analysis including both retrospective
and prospective studies, patients with higher HDL-C had 37% reduced risk of death and 35%
reduced risk of disease relapse compared to patients with lower HDL-C [70].

227

228 Targeting apoB-containing lipoproteins

229 The use of hyperlipidemic mice ($apoE^{-/-}$ model) fed a high fat/high cholesterol diet (HFHC) can 230 help to address the impact of increased plasma levels of apoB-containing lipoproteins on the 231 development of hormone-dependent cancers. The injection of non-metastatic Met-1 and 232 metastatic Mvt-1 mammary cancer cells in $apoE^{-/-}$ mice resulted in larger tumors and in a 233 greater number of lung metastases compared to wild-type mice [71]. Interestingly, the graft 234 with BC cell lines representative of triple-negative or HER2-enriched tumors in hyperlipidemic 235 mice resulted in reduced tumor growth when the LDL-R was silenced [72]. Consistently, LDL 236 increased the proliferation and migration of ER-negative BC cells but not of ER-positive ones, 237 which also accumulated less CEs than ER-negative cells [73]. These data suggest that ER-238 negative BC may be more sensitive to cell cholesterol-lowering strategies. Regarding PCa, 239 mice fed a HFHC diet developed larger tumors after injection of androgen-dependent LNCaP 240 cells compared to mice under chow diet [24]. In addition, the HFHC diet accelerated tumor 241 incidence and burden compared to chow diet in the TRAMP mouse, a model of PCa [74]. 242 These data are supported by several *in vitro* studies. VLDL, but not LDL, increased the 243 formation of BC cell mammospheres, an estimate of stem cell/early progenitor activity, and 244 cell resistance to radiotherapy, likely due to a modulation of intracellular cholesterol content 245 [75]. Consistently, the treatment of aggressive and metastatic BC cells with statins was 246 associated with an increased sensitivity to radiation therapy [75]. The in vivo relevance of 247 these findings was supported by the shorter recurrence-free period in patients with elevated 248 VLDL-C levels, which suggests a systemic effect of statins through the reduction of circulating 249 apoB-containing lipoproteins [75]. Oxidized LDL (oxLDL), but not native LDL, increased the 250 proliferation of ovarian cancer cells and decreased their sensitivity to cisplatin, an effect 251 prevented by statins and by LXR activation [76]. OxLDL could act through the binding with its 252 lectin-like oxidized low-density lipoprotein receptor-1 (LOX-1), which stimulates the 253 expression of pro-adhesive, pro-inflammatory and proangiogenic factors in vascular 254 endothelial cells and macrophages. LOX-1 upregulation was reported in several different 255 types of cancer, including PCa. Here, its activation by oxLDL promoted epithelial to

256 mesenchymal transition and increased tumor growth in nude mice [77]. In BC cell lines, LOX-257 1 inhibition blunted inflammatory and hypoxic responses [78]. Finally, apoB-containing 258 lipoproteins and adipocytes could deliver free fatty acids to cancer cells by the action of 259 locally expressed LPL; BCs are generally positive for LPL and its expression increased cell 260 proliferation [79]. Moreover, adjocytes can directly provide cancer cells with fatty acids. 261 Indeed, cancer cells can stimulate TG lipolysis by the adipose triglyceride lipase/hormone-262 sensitive lipase axis in adipocytes [80]. Adipocytes were shown to support the proliferation 263 and invasiveness of highly differentiated and hormone-dependent cancers more than of 264 poorly differentiated ones [81].

265 Recently, the use of statins in the management of cancer has been guestioned. Statins are 266 mainly taken up by the liver, with little amounts reaching other organs and tissues. In addition, 267 the inhibition of cholesterol synthesis by statins induces a SREBP-mediated increase of LDL-268 R expression. Thus, statins could have positive systemic effects on tumors by reducing 269 plasma levels of LDL-C but could increase LDL-R-mediated cholesterol uptake by cancer 270 cells. On this line, Caro-Maldonado et al. recently showed that statins increased PCa 271 aggressiveness in vivo [82]. On the contrary, the silencing of LDL-R gave promising results in 272 pancreatic adenocarcinoma, in HER2-enriched and triple negative BC cells [72,83]. Similar 273 results were obtained when ACAT was inhibited to reduce intracellular CE stores in LDs, as in 274 PCa, BC and adrenocortical carcinoma [22,73,84-86]. 275 Among the apoB-containing lipoproteins, Lp(a) could exert a peculiar role on cancer biology.

- 276 Indeed, it was shown to inhibit angiogenesis, thus causing delayed tumor growth and
- 277 metastasis formation in models of lung and colorectal cancers; the effect was ascribed to the 278 presence of repeated kringles in the apo(a) structure, a typical feature of angiostatin-related proteins [87].
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- 280

281 Targeting the HDL system

282 Animal studies provided proofs of a causal link between HDL and cancer risk. When HDL-C 283 levels were increased by genetic manipulation (as in the human apoA-I transgenic mice) or by 284 direct infusion of apoA-I, the growth of lung, melanoma or ovarian cancer cells after xenograft was reduced compared to control animals [88]. Consistently, in apoA-I^{-/-} mice, in which HDL-C 285 286 levels are dramatically reduced, tumor development increased compared to wild-type animals

[88]. More interestingly, apoA-I infusion after the development of the tumor mass resulted intumor shrinkage [88].

289 In vitro studies provided some mechanistic insights. When BC cells were incubated with HDL, 290 the formation of mammospheres was inhibited and their sensitivity to radiation therapy 291 increased, an effect due to a reduced cholesterol content [75]. Whether this reduction was 292 ascribed to a depletion of cell membrane content or of intracellular CE stores in LDs was not 293 addressed. It could be speculated that, given the continual cycle of hydrolysis and 294 esterification of CE in LDs, the removal of unesterified cholesterol from the cell membrane 295 promoted by HDL could shift the balance towards CE hydrolysis with a consequent LD 296 depletion, as shown in macrophages [89]. In PCa cell lines, HDL blunted basal and H₂O₂-297 induced oxidative stress and reduced ROS-induced proliferation, with a role for both the 298 protein and phospholipid components [90].

299 On the contrary, some studies showed a SR-BI-dependent increased growth of cancer cells 300 incubated with HDL [91,92]. In this context, large CE-enriched HDL could represent a better 301 substrate for SR-BI-mediated cholesterol influx supporting cancer cell growth. It has to be 302 pointed out that HDL could become dysfunctional in several pathologic conditions, including 303 inflammatory states, metabolic diseases and cancer, due to modifications of their protein and 304 lipid cargo [64,93]. Consistently, the proliferation of the BC cell line MCF-7 was induced by 305 HDL isolated from type 2 diabetic patients, but not by HDL from healthy controls. Only HDL 306 from diabetic patients were able to promote BC metastasis by increasing the adhesion of 307 cancer cells to endothelial cells [94,95].

308 Two approaches can be used to raise circulating HDL. The first is to improve HDL biogenesis 309 or to limit their catabolism. To date, no drug specifically increases HDL-C levels. Fibrates and 310 niacin have multiple effects on lipid metabolism including the increase of HDL biogenesis, but 311 their role in cancer management has not been investigated. CETP inhibitors specifically 312 increase HDL-C, but their development is hampered by the lack of a clear cardiovascular 313 benefit, together with the possibility to accumulate dysfunctional HDL [59]. The second 314 approach is to infuse reconstituted HDL (rHDL), discoidal particles made of phospholipids and 315 apoA-I (or synthetic apoA-I mimetic peptides) that were shown to retain the atheroprotective 316 activities of HDL [96]. Reconstituted HDL have been already tested in multiple in vivo and in 317 vitro tumor models [97]. Among the underlying mechanisms, anti-inflammatory activities, 318 inhibition of angiogenesis, abrogation of growth factor-induced proliferation, migration and

invasion were described [97]. Multiple positive effects of rHDL on TME cellular composition
were also shown, as a reduced content of myeloid-derived suppressor cells and the
accumulation of M1 macrophages and cytotoxic CD8+ T cells [88]. Some of these effects
were detected with direct apoA-I or apoA-I mimetic peptide administration, suggesting an antitumor activity of HDL apolipoprotein components [88]; however, it cannot be fully excluded
that apoA-I or its peptides could rapidly acquire phospholipids and cholesterol in the
circulation, thus generating HDL-like particles.

326

327 HDL-like particles for drug delivery

328 Reconstituted HDL are also under development as drug carriers for tumor targeting. They 329 accumulate within the tumor mass by unselective mechanisms (as nanoparticles do through 330 the leaky vasculature) and by the specific interaction with SR-BI. This receptor is highly 331 expressed in multiple cancers and allows cytosolic delivery of the payload bypassing the 332 endolysosomal route [98]. Unlike exogenous nanoparticles, rHDL containing apoA-I are non-333 immunogenic and have long circulation half-life. In addition, the small diameter (<14 nm) 334 allows a deeper penetration of rHDL into the tumor mass if compared to nanoparticles, which 335 is crucial for the treatment of solid tumors [99].

Several types of molecules can be incorporated into rHDL as small molecule drugs, small interfering RNAs, photothermal agents or fluorescent dyes for imaging [98]. The composition and the particle size/shape of rHDL can be tailored according to the type of molecule to be carried and the tumor to be targeted. For example, spherical rHDL were used for the encapsulation of highly hydrophobic drugs into the particle core: by this way paclitaxel and valrubicin were successfully delivered to PCa, triple-negative BC or ovarian cancer cells in vitro and in vivo [100-102].

343

344 **Concluding remarks and future perspectives**

Targeting the lipoprotein system is becoming an attractive approach to develop novel
therapeutic strategies for the management of hormone-dependent cancers. The conflicting
results of epidemiological and interventional studies suggest that the availability of

- 348 lipoproteins in the TME is likely more relevant than their circulating levels. In this context,
- 349 statins use seems not a promising strategy due to their preferential hepatic distribution and
- 350 upregulation of the LDL-R expression. On the contrary, targeted repression of the LDL-R in

- 351 cancer cells, as by genetic silencing, already generated positive results in different types of
- 352 cancer. Another approach is to increase the efficiency of the HDL system in promoting lipid
- removal, as by the infusion of rHDL to rise the endogenous HDL pool. This approach has
- 354 some advantages. Reconstituted HDL are already under clinical development for patients with
- 355 acute coronary syndrome [96]. Their protein and lipid composition can be manipulated to
- 356 optimize their function. In addition, rHDL can be used as carriers of other bioactive molecules,
- 357 ranging from nucleic acids to drugs [98].
- 358 Overall, targeting lipid metabolism in the TME should be considered as an adjuvant strategy
- to increase cancer cell sensitivity to classical therapeutic agents.

- 360 Figure legends
- 361 Figure 1. Cholesterol metabolism and function in cancer cells.
- 362 Cholesterol can be synthetized from acetyl-CoA through the mevalonate pathway or it can be
- 363 taken up from lipoproteins in the tumor microenvironment. Indeed, very low density
- 364 lipoproteins (VLDL), low density lipoproteins (LDL) and their remnants can undergo
- 365 endocytosis mediated by the LDL-receptor family. On the contrary, high density lipoproteins
- 366 (HDL) promote cholesterol efflux from cancer cells through their interaction with ATP-binding
- 367 cassette transporters A1 and G1 (ABCA1, ABCG1) or with the scavenger receptor type BI
- 368 (SR-BI). Since SR-BI mediates a facilitated diffusion of cholesterol according to concentration
- 369 gradient, it could also favor lipid influx. Cholesterol is a key component of lipid rafts, and it can
- be converted to hormones and oxysterols. Created with *BioRender*.
- 371
- 372 Figure 2. Lipid homeostasis in cancer cells.
- 373 Cellular homeostasis of lipids is regulated by sterol regulatory element-binding proteins
- 374 (SREBPs) and liver X receptors (LXRs), according to the metabolic needs of the cell. In
- 375 cancer cells, the balance between SREBPs and LXRs is lost, with an hyperactivation of
- 376 SREBPs and LXR inhibition. Consequently, cholesterol and fatty acid synthesis is
- 377 upregulated, as the uptake of lipoproteins; on the contrary, cholesterol efflux is blunted.
- 378 Created with *BioRender*.

379 Box 1. Incidence and classification of breast and prostate cancers

380 Breast cancer is the most common cancer in women and the second most common cancer 381 overall. Age-standardized incidence rate in Europe, North America and Australia is >70 cases 382 per 100.000 women [103]. BC is highly heterogeneous and it is currently classified according 383 to histopathological features and gene expression profiling into luminal A, luminal B, HER2-384 enriched and basal-like (commonly referred to as triple-negative) (Table 1) [104]. This 385 classification could predict the clinical outcome and the response to therapeutic interventions. 386 Luminal BCs, which are positive to estrogen and/or progesterone receptors, will likely respond 387 to hormone therapy; HER2-targeted treatments are indicated in HER2-enriched BC, while

388 conventional chemotherapy is used for basal-like BC [1].

	ER/PR	HER2	Ki67
Luminal A	+	-	<14%
Luminal B	+	-	>14%
HER2-enriched	-	+	
Basal-like	-	-	

389 Table 1. Classification of BC

- 390 ER, estrogen receptor; PR, progesterone receptor; HER2, epidermal growth factor type II
 391 receptor; Ki67, nuclear protein used as a proliferation marker.
- 392

393 Prostate cancer is the most common cancer in men and the fourth most common cancer 394 overall. Age-standardized incidence rate in Europe, North America and Australia is >60 cases 395 per 100.000 men [103]. The morphological classification of PCa has been significantly 396 modified in the last decade [105]. The new grading system into 5 categories is based on the 397 relative prevalence of (i) well-formed glands, (ii) poorly formed, fused or cribriform glands, (iii) 398 lack of gland formation or necrosis. This classification is integrated with prostate-specific 399 antigen levels and with the following information: (i) localization and size of the tumor mass, 400 (ii) whether the cancer has spread to nearby lymph nodes, (iii) whether the cancer has 401 metastasized. The final result is PCa staging from I to IV, which guides the selection of 402 treatment approach among active surveillance, prostatectomy, radiotherapy, hormone-403 deprivation therapy and chemotherapy for hormone-refractory tumors [2].

405 Box 2. Energetic metabolism of cancer cells

406 Normal cells rely on fatty acids oxidation (FAO) and mitochondrial oxidative phosphorylation 407 (OXPHOS) for acetyl-CoA and ATP production. Cancer cells rewire their energetic machinery 408 from OXPHOS to anaerobic **glycolysis**, especially in the hypoxic core of solid tumors; 409 glycolysis occurs even in the presence of oxygen (the Warburg effect), generating large 410 amounts of lactate that contributes to TME acidity [106]. Altered tumor cell metabolism affects 411 TME cells; in particular, the release of lactate and TME acidification inhibit dendritic and T cell 412 activation, thus favoring tumor immune escape [107]. Interestingly, ATP is still produced in the 413 mitochondria of tumor cells and mitochondrial tricarboxylic acid cycle intermediates are 414 needed as precursors for macromolecule synthesis, as citrate for lipid synthesis and 415 oxaloacetate for nucleotide synthesis [106]. In this context, FAO is the main source of acetyl-416 CoA for mitochondrial OXPHOS. 417 Interestingly, low rates of glycolysis were found in PCa and, indeed, PCa cells were shown to 418 have a dominant uptake of fatty acids over glucose [108]. In addition, stromal cells and, most

419 interestingly, **cancer stem cells** still rely on OXPHOS for energy production. The latter are

420 now widely considered having a strong metastatic potential and resistance to radiotherapy421 and chemotherapy [109].

422

423 **Box 3. Structure and metabolism of lipoproteins**

Lipoproteins carry lipids in the circulation; they are composed by a core of hydrophobic
triglycerides (TG) and cholesteryl esters (CE), surrounded by a double layer of phospholipids,
unesterified cholesterol and apolipoproteins. According to their density and apolipoprotein

427 composition, they are classified into chylomicrons, very low density lipoproteins (VLDL), low

428 density lipoproteins (LDL) and high density lipoproteins (HDL), which have distinct roles in

429 lipid metabolism [110].

- 430 Chylomicrons are secreted by intestinal epithelial cells and transport dietary lipids to the liver.
- 431 They are the largest and less dense lipoproteins, because their lipid cargo is mainly
- 432 composed by TG. Their main protein component is apoB-48. After TG hydrolysis by
- 433 lipoprotein lipase (LPL) and the release of free fatty acids to peripheral tissues, the liver takes
- 434 up chylomicron remnants through the interaction of apoE with members of the LDL receptor
- 435 family (LDL-R and the LDL-related receptor protein, LRP-1).

436 VLDL are secreted by the liver and are enriched in TG; their main protein component is apoB-437 100. After TG hydrolysis by LPL, generated remnants are converted into LDL, whose core is 438 mainly composed by CE. LDL deliver cholesterol to peripheral tissues by the interaction of 439 apoB-100 with LDL-R. Circulating LDL can be taken up by the liver through the same 440 mechanism. The liver also secretes lipoprotein (a) (Lp(a)), which is a LDL-like particle with 441 one molecule of apo(a) covalently bound to apoB. Apo(a) is structurally similar to 442 plasminogen, with multiple copies of kringle domains, but lacks the fibrinolytic activity; thus, 443 by competing with plasminogen, apo(a) could exert a potential pro-thrombotic effect [111]. 444 The biogenesis of HDL is more complex [112]. Their main protein component, apoA-I, is 445 secreted by the liver and by the intestine and is rapidly lipidated by the interaction with the 446 ABC transporter A1 (ABCA1) and the formation of discoidal nascent HDL. Further uptake of 447 cholesterol and phospholipids and cholesterol esterification by lecithin:cholesterol 448 acyltransferase (LCAT) leads to the formation of mature spherical HDL. In addition, HDL can 449 be generated from the dissociation of lipids and apoA-I during the remodeling of apoB-450 containing lipoproteins. The main role of HDL is to deliver cholesterol to the liver. The first 451 step of this process is the efflux of cholesterol and phospholipids from cell membranes to HDL 452 or apoA-I [13]. Cholesterol efflux can (i) occur by passive diffusion, (ii) be actively promoted 453 by ABCA1 and ABCG1, or (iii) occur by diffusion facilitated by the scavenger receptor type BI 454 (SR-BI). Thus, according to the gradient concentration between cell membranes and HDL, 455 SR-BI can mediate cholesterol efflux or influx. Once cholesterol is esterified by LCAT, HDL 456 can directly deliver CE to the liver through hepatic SR-BI, which mediates the selective uptake 457 of CE without HDL endocytosis. However, in humans the majority of CE is indirectly routed to 458 the liver by the action of CE transfer protein (CETP), which mediates the exchange of CE for 459 TG between HDL and apoB-containing lipoproteins. CE are then taken up by the liver through 460 the endocytosis of apoB-containing lipoproteins by LDL-R and LRP-1.

461

462 Box 4. Effects of lipoproteins on cell metabolism: insights from cardiovascular 463 diseases

464 Most of the available evidence on the role of lipoproteins in cell metabolism comes from the

465 field of vascular biology and **atherosclerosis**. Lipoproteins can be divided into pro-

466 atherogenic (apoB-containing lipoproteins, i.e. VLDL, LDL, Lp(a) and TG-rich remnants) and

467 anti-atherogenic ones (HDL). ApoB-containing lipoproteins promote the development of

468 atherosclerosis because they mediate the transport of lipids from the liver to peripheral 469 tissues, including the arterial wall [113]. On the contrary, HDL are the main acceptors of 470 cholesterol from peripheral tissues and mediate its transport to the liver for excretion, among 471 the so-called "reverse cholesterol transport" [13]. While systemic levels of lipids can widely 472 vary in different physiologic and pathologic conditions, their cell content is tightly regulated 473 [114,115]. Cells can synthesize cholesterol and fatty acids or lipids can be taken up from 474 circulating lipoproteins. However, excess cholesterol is toxic for the cells and, consequently, it 475 is removed through efflux towards extracellular acceptors as HDL, or it is esterified by acyl-476 coA:cholesterol acyltransferase (ACAT) and stored in cytosolic lipid droplets (LDs); the same 477 happens to fatty acids, which are stored in LDs as TG. The cellular sensors of cholesterol and 478 fatty acid content, that regulate the pathways described above, are the **sterol regulatory** 479 element binding proteins (SREBPs) and the liver X receptors (LXRs) [114,115]. When 480 cells need cholesterol and/or fatty acids, SREPBs are activated, promoting lipid synthesis and 481 uptake, while LXRs are inhibited. On the contrary, when intracellular levels of lipids are 482 increased, SREBPs are switched off and LXRs activated. LXRs favor lipid efflux by increasing 483 the expression of the ABC transporters, and they decrease lipoprotein uptake through the 484 downregulation of the LDL-R (see box 3) [116,117]. However, scavenger receptors, as the 485 cluster of differentiation 36 (CD36), can mediate an unregulated uptake of lipoproteins and, 486 consequently, an excessive lipid accumulation in macrophages within the arterial wall [118]. 487 The role of lipoproteins is complex and not limited to the in/out transport of lipids. ApoB-488 containing lipoproteins, including TG-rich remnants, exert pro-inflammatory activities on 489 different cell types, especially after oxidation [17]. On the contrary, HDL were shown to exert 490 a series of anti-inflammatory and antioxidant activities on several cells involved in the 491 atherosclerotic process [119]. In addition, lipoproteins, especially HDL, can act as carriers of 492 several bioactive molecules, including miRNAs [120].

493 Glossary

494 Antiestrogen binding site (AEBS): It has been identified as a microsomal high-affinity

binding site for the estrogen antagonist tamoxifen, distinct from the estrogen receptor. It does

496 not bind estrogens. AEBS is composed by the 3β -hydroxysterol- Δ^8 - Δ^7 -isomerase (D8D7I) and

- 497 the 7-dehydrocholesterol reductase (DHCR7) enzymes, which are involved in cholesterol498 synthesis.
- 499 **Atherosclerosis:** Pathologic process characterized by the accumulation of lipids and cells in 500 the arterial wall, causing a progressive narrowing of the arterial lumen.
- 501 **Cancer stem cells:** Cancer cells expressing markers of hematopoietic stem cells, which are 502 able to initiate tumors *in vivo*. Their presence was shown in all solid tumors.

503 **Cytochrome P450 enzymes (CYPs):** A family of oxidative enzymes involved in the synthesis

and metabolism of various molecules within the cells. They are essential for the metabolism

and excretion of xenobiotics. The liver is enriched in CYPs, but they are expressed

506 throughout the body.

507 **Glycolysis:** A sequence of reactions that converts glucose into pyruvate.

- 508 **Hypoxia:** A condition in which local oxygen supply is insufficient for cell metabolic 509 requirements. The TME becomes hypoxic due to the fast proliferation of cancer cells that is 510 not supported by an adequate formation of novel vessels. When the tumor mass grows, 511 oxygen delivered by blood is guickly consumed by cancer cells that are closest to the vessels,
- 512 thus hampering its diffusion into the tumor mass. Consequently, most solid tumors display
- 513 regions that are permanently or transiently in hypoxic conditions.
- 514 Lipid droplets: Intracellular stores of fatty acids and cholesterol in the form of neutral lipids.
- 515 They are hydrolyzed in the cytosol or in the lysosomes to meet cell energy requirements.

516 **Liver X receptors (LXRs):** Nuclear receptors activated by oxysterols. $LXR\alpha$ is mainly 517 expressed in the liver, while $LXR\beta$ is ubiquitously expressed. LXRs play a crucial role in cell 518 metabolism since they control the transcription of genes involved in cholesterol, fatty acid and 519 glucose homeostasis.

520 Mendelian randomization studies: Epidemiologic studies in which genetic variations are

used to investigate the causal link between a potentially modifiable risk factor and diseaseoutcome.

- 523 **Oxysterols:** Oxygenated derivatives of cholesterol produced by enzymatic or nonenzymatic
- 524 peroxidation. They are intermediates or end-products of cholesterol excretion by its
- 525 transformation into water-soluble bile acids. Oxysterols regulate cholesterol homeostasis and
- 526 can exert potent effects on several biological processes.
- 527 **RAS:** Oncogene coding for small GTP binding proteins involved in the regulation of the cell
- 528 proliferation and death. Members of the RAS family include KRAS, HRAS, and NRAS. RAS is
- 529 frequently mutated in human cancers.
- 530 Statins: Lipid-lowering agents that inhibit the 3-hydroxy-3-methyglutaryl coenzyme A (HMG-
- 531 CoA) reductase, the rate-limiting enzyme of cholesterol synthesis along the mevalonate
- 532 pathway.
- 533 Sterol regulatory element-binding proteins (SREBPs): Family of transcription factors
- 534 consisting of two genes, SREBF1 and SREBF2, that encode for three different proteins:
- 535 SREBP1a, SREBP1c and SREBP2. They regulate the transcription of genes involved in

536 cholesterol biosynthesis and uptake, and fatty acid biosynthesis.

537 References

538

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Outstanding questions

The modulation of plasma levels of lipids by genetic manipulation and/or dietary approaches affected tumor development in mice. However, epidemiological and interventional studies in humans gave inconsistent results. What is the relevance of circulating versus local lipids for tumor development and progression?

The inhibition of cholesterol synthesis and lipoprotein uptake in cancer cells can affect cell viability. To this aim, statins are not promising due to their distribution and mechanism of action. The development of LXR agonists is hampered by their side effects. Other approaches, as the inhibition of the SREBP pathway or the silencing of the LDL-R are under investigation. In addition, the role of lipoprotein remnants in cancer biology has not been addressed to date. What is the optimal target for reducing cholesterol content in cancer cells?

The HDL system seems a promising target to limit cancer cell content of cholesterol and its metabolites. The development of HDL-targeted approaches should consider the role of SR-BI, which could be upregulated in cancer and promote cholesterol uptake from HDL. Could small discoidal reconstituted HDL overcome this problem?

Lipid metabolites as oxysterols and eicosanoids are produced in the TME and can affect both cancer and stromal cells; these metabolites need further dedicated investigations since different molecules seem to exert opposite effects on cancer cells. Is the pattern of oxysterol and eicosanoid production in cancer cell modifiable?

Plasma levels of apoA-I are used as a biomarker for ovarian cancer. Acute reduction of circulating HDL-C along with apoA-I is common during active disease in many cancer types. Could plasma levels of apoA-I be used as a biomarker for other types of tumor?

Trends

Tumor microenvironment (TME) can favor tumor progression and TME signature can predict disease outcome. Sources of lipids, pro-inflammatory and pro-oxidant molecules are present in the TME, including lipoproteins.

Cholesterol affects cell proliferation as a component of lipid rafts regulating cell signaling, and as building block for the local synthesis of hormones and oxysterols.

Very low- and low-density lipoproteins in the TME can provide cancer cells with lipids and exert pro-inflammatory and pro-oxidant activities.

High density lipoproteins (HDL) can promote the removal of cholesterol and its metabolites from cancer cell and blunt inflammation and oxidative stress. Boosting the HDL system by the infusion of reconstituted HDL could represent a promising approach to affect cancer cell viability.