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Practical approach to respiratory emergencies in neurological diseases

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67	Abstract	involvement of b	cal diseases may cause acute respiratory failure (ARF) due to pulbar respiratory center, spinal cord, motoneurons, peripheral scular junction, or skeletal muscles. In this context,

nerves, neuromuscular junction, or skeletal muscles. In this context, respiratory emergencies are often a challenge at home, in a neurology ward, or

		even in an intensive care unit, influencing morbidity and mortality. More commonly, patients develop primarily ventilatory impairment causing hypercapnia. Moreover, inadequate bulbar and expiratory muscle function may cause retained secretions, frequently complicated by pneumonia, atelectasis, and, ultimately, hypoxemic ARF. On the basis of the clinical onset, two main categories of ARF can be identified: (i) acute exacerbation of chronic respiratory failure, which is common in slowly progressive neurological diseases, such as movement disorders and most neuromuscular diseases, and (ii) sudden-onset respiratory failure which may develop in rapidly progressive neurological disorders including stroke, convulsive status epilepticus, traumatic brain injury, spinal cord injury, phrenic neuropathy, myasthenia gravis, and Guillain–Barré syndrome. A tailored assistance may include manual and mechanical cough assistance, noninvasive ventilation, endotracheal intubation, invasive mechanical ventilation, or tracheotomy. This review provides practical recommendations for prevention, recognition, management, and treatment of respiratory emergencies in neurological diseases, mostly in teenagers and adults, according to type and severity of baseline disease.
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Practical approach to respiratory emergencies in neurological diseases

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12 Abstract

Many neurological diseases may cause acute respiratory failure (ARF) due to involvement of bulbar respiratory center, spinal 13cord, motoneurons, peripheral nerves, neuromuscular junction, or skeletal muscles. In this context, respiratory emergencies are 1415often a challenge at home, in a neurology ward, or even in an intensive care unit, influencing morbidity and mortality. More 16commonly, patients develop primarily ventilatory impairment causing hypercapnia. Moreover, inadequate bulbar and expiratory muscle function may cause retained secretions, frequently complicated by pneumonia, atelectasis, and, ultimately, hypoxemic 17ARF. On the basis of the clinical onset, two main categories of ARF can be identified: (i) acute exacerbation of chronic respiratory 18failure, which is common in slowly progressive neurological diseases, such as movement disorders and most neuromuscular 19 20diseases, and (ii) sudden-onset respiratory failure which may develop in rapidly progressive neurological disorders including stroke, convulsive status epilepticus, traumatic brain injury, spinal cord injury, phrenic neuropathy, myasthenia gravis, and 2122Guillain-Barré syndrome. A tailored assistance may include manual and mechanical cough assistance, noninvasive ventilation, endotracheal intubation, invasive mechanical ventilation, or tracheotomy. This review provides practical recommendations for 23prevention, recognition, management, and treatment of respiratory emergencies in neurological diseases, mostly in teenagers and 24adults, according to type and severity of baseline disease. 25

Keywords Neurological diseases · Respiratory failure · Hypercapnia · Hypoxemia · Invasive mechanical ventilation ·
 Noninvasive ventilation

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Abbreviations

ADDIEVIC	IUUIIS	20
ALS	Amyotrophic lateral sclerosis	30
ARDS	Acute respiratory distress syndrome	32
ARF	Acute respiratory failure	34
AT	Ataxia telangiectasia	36
CNS	Central nervous system	39
CPEF	Cough peak expiratory flow	40
DM	Dermatomyositis	43
DM1	Myotonic dystrophy type 1	45
DMD	Duchenne muscular dystrophy	46
ER	Emergency room	49
ETI	Endotracheal intubation	50
FSHD	Facioscapulohumeral muscular dystrophy	53
FVC	Forced vital capacity	54
GBS	Guillain–Barré syndrome	56
GCS	Glasgow coma scale	59
ICU	Intensive care unit	60
IMV	Invasive mechanical ventilation	63
IOPD	Infantile-onset Pompe disease	64

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66	MG	Myasthenia gravis
69	MIP	Maximum inspiratory pressure
70	NIV	Noninvasive ventilation
73	NMDs	Neuromuscular disorders
75	PD	Parkinson's disease
76	PM	Polymyositis
79	RF	Respiratory failure
80	SCI	Spinal cord injury
83	SE	Status epilepticus
84	SMA	Spinal muscular atrophy
86	TBI	Traumatic brain injury
90	UAO	Upper airway obstruction

Introduction 91

92 Severe cerebrovascular diseases, traumatic injuries of brain and spinal cord, and other toxic, dysmetabolic, infectious, 93 94inflammatory, or degenerative diseases involving the central nervous system (CNS) can trigger hypoxic and/or hypercap-95nic respiratory failure (RF) directly or through major pulmo-96 nary complications such as pneumonia, pulmonary edema, 97 98 and traumatic pneumothorax [1]. Acute respiratory failure (ARF) may often occur in patients with acute or chronic neu-99romuscular diseases (NMDs) such as Guillain-Barré syn-100101 drome (GBS), amyotrophic lateral sclerosis (ALS), myasthenia gravis (MG), spinal muscular atrophy (SMA), Duchenne 102muscular dystrophy (DMD), polymyositis (PM), or dermato-103 104myositis (DM). In these patients, weakness of diaphragm, 105 intercostal and expiratory muscles, or concomitant pulmonary complications due to oropharyngeal dysfunction causing as-106107 piration of secretions/food/drink or inefficient cough may lead to respiratory emergencies [2]. In all these neurological disor-108ders, respiratory involvement may increase the burden of the 109 110 existing disease and mortality.

Respiratory emergencies in neurological diseases may oc-111 112cur at onset or more often along the chronic course of the 113disease. Emergency room (ER) physicians and consultant neurologists must be aware of the respiratory risks of such 114 patients, be able to recognize early signs, and take action to 115116 treat RF adequately. In this context, a competent multidisciplinary team is fundamental including pneumologist, anesthe-117tist, nurse, physical therapist, and speech therapist. Indeed, 118 119these cases not infrequently represent a diagnostic challenge in the acute care settings, especially in a busy ER, because of 120patients' poor ability to communicate and scanty experience 121 of health professionals in caring for patients with neurological 122123 diseases [3, 4]. Furthermore, increase in survival of patients with SMA and DMD has emphasized the need for a smooth 124125and successful transition from pediatric to adult healthcare [5, 1266]. Unfortunately, many healthcare services are not equipped to provide modified age-appropriate assistance and expertise. 127This is particularly true at ER, leading to an inadequate 128

medical approach and patients' and caregivers' apprehensive-129130

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ness with loss of the sense of health protection [7, 8]. This review aims to update and provide practical recom-131mendations to the professionals in emergency medical ser-132vices for recognition, management, and treatment of respira-133 tory emergencies in neurological diseases mostly occurring in 134teenagers and adults. Some preventive measures are also re-135ported to decrease morbidity and mortality. 136

Pathophysiology of respiratory failure

RF is a syndrome in which the respiratory system fails in one 138or both of its gas exchange functions: oxygenation and carbon 139dioxide (CO₂) elimination. In practice, patients with RF can 140 be categorized as those with primarily impairment of gas ex-141 change due to intrinsic lung/airways disease, leading to hyp-142oxemic RF ("lung failure"), and those with lung ventilation 143impairment on the basis of ventilatory pump disorders, lead-144ing to hypercapnic RF ("pump failure"). Patients with neuro-145logical disease more commonly develop primarily ventilatory 146impairment causing CO₂ retention, although the probability of 147occurrence can be different, depending on baseline disease. 148

Respiratory muscle weakness, defined as the inability of 149the rested respiratory muscles to generate normal levels of 150pressure and flow during inspiration and expiration, is a com-151mon occurrence in patients with neuropathies or myopathies 152and provides the condition for the development of acute ven-153tilatory failure [9]. As chest wall and pulmonary compliance 154may be reduced, mechanical load on weakened respiratory 155muscles (in particular the diaphragm) can be increased. An 156imbalance between load and capacity leads to muscle fatigue, 157which in turn elicits an increase in minute ventilation and 158respiratory rate and, to a lesser degree, a reduction in tidal 159volume ("rapid shallow breathing"), causing hypoventilation 160and ARF [10, 11]. 161

Respiratory muscle weakness is frequently undetected in 162patients with neurological disease until ventilatory failure is 163precipitated by aspiration pneumonia or respiratory tract in-164fection [12]. At onset, ventilatory insufficiency leading to fail-165ure may only be nocturnal and results from diaphragm failure, 166 with the patient unable to breathe when supine, or from severe 167generalized respiratory muscle dysfunction. Due to the inade-168 quacy of inspiratory muscle function, a well-known pattern of 169 restrictive ventilatory defect can be detected by pulmonary 170function tests, with reduced forced vital capacity (FVC). 171

Effective cough requires deep inspiration followed by glot-172tis closure and appropriate expiratory muscle strength to gen-173erate sufficient intrathoracic pressure and obtain high expira-174tory flows. Clearing airway secretions and airway mucus can 175be a continual problem for patients with generalized muscle 176weakness and for those who cannot swallow saliva or food 177without aspiration. Indeed, in patients with neurological 178

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179disorders, inadequate bulbar and expiratory muscle function may cause retained secretions, frequently complicated by 180 pneumonia, atelectasis, and, ultimately, hypoxemic ARF. 181 182These conditions can result in hospitalizations, endotracheal 183 intubations, tracheostomy, and death [13]. In rapidly progressing NMDs, ARF due to accumulation of lung secre-184 185 tions ("lung failure") can be the earliest symptom [14]. Cough peak expiratory flow (CPEF) is a measure of the maximum 186airflow generated during cough and is normally 360 to 1200 L 187 / min; of interest, CPEF may provide valuable information on 188the ability to clear airway secretions, with values below 160 189190 L/min usually indicating the need for tracheal suctioning and an increased risk of mucous encumbrance at the onset of re-191 spiratory infections, contributing to the development of atel-192ectasis and acute hypoxemia [15]. 193

In conditions such as severe brain injury due to stroke or 194 195trauma, spinal cord injury, multiple sclerosis, tetanus, botulism, GBS, and autonomic nervous system dysfunction may 196197contribute to respiratory complications. They may be the effect of a reduction of airways vagal tone, a decreased bron-198chodilator effect of anticholinergic drugs, and a diminished 199 ventilatory response to hypoxia and hypercapnia probably 200201 caused by dysfunction of aortic and carotid sinus mechanoreceptor transmission [16]. 202

Neurological diseases and acute respiratoryinvolvement

205 Stroke

206After a stroke, the loss of ability to generate normal amounts of force is a major contributor to activity limitation and par-207ticipation restriction. Weakness after stroke also affects mus-208 209cles of the respiratory system, and patients typically have al-210tered breathing control, reduced maximal voluntary strength, 211 and decreased endurance of inspiratory and expiratory mus-212cles, as well as altered chest wall kinematics [17, 18]. Associated factors may be impaired vigilance, inefficient 213cough, aspiration, acute lung injury/acute respiratory distress 214syndrome (ARDS), pulmonary embolus, and pulmonary ede-215ma (neurogenic or cardiogenic) [19]. The risk of respiratory 216impairment associated to large hemispheric stroke increases 217218 after a few days' delay, as cerebral edema intensifies. Sustained hyperventilation in a patient with mass effect can 219be a manifestation of diencephalic herniation. Ataxic or clus-220 ter breathing patterns can be part of brainstem syndromes, and 221222 recurrent apnea is a warning sign in patients with basilar artery occlusion. Cheyne-Stokes breathing, characterized by oscil-223224 lating cycles of hyperpnea alternating with periods of apnea, is 225a frequent finding after massive hemispheric stroke [20]. Chest infections, such as pneumonia, are the most frequent 226227 complications of stroke and occur in up to one-third of 231

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patients, resulting in up to a threefold increased risk of death 228 in the first 30 days, longer hospital stay, and poorer post-229 discharge outcomes [21]. 230

Convulsive status epilepticus

Status epilepticus (SE) is a neurological emergency with high 232morbidity and mortality requiring neurointensive care and 233treatment of systemic complications. The estimated annual 234incidence of SE varies according to studies, with values rang-235ing between 9.9 and 41/100,000 inhabitants. ARF is a fre-236quent complication (about 80%) [22]. It is caused not only 237by the disease itself but also by the drugs used to treat SE. 238Aspiration pneumonia is frequent as airway protective re-239flexes decrease. Another possible respiratory complication is 240neurogenic pulmonary edema [23]. 241

Traumatic brain injury

Traumatic brain injury (TBI) represents a leading cause of 243 death and disability in adults, thus engaging considerable re-244sources in the health system. ARF is frequent mainly because 245of airway protective reflex decrease, impaired cough, and al-246tered breathing control. All these factors are related to the 247severity of consciousness reduction. The incidence of ARF 248associated with TBI has decreased over the last decade due 249to improvements in extra- and intrahospital management. 250However, it still remains one of the main causes of morbidity 251and mortality, and the incidence of residual respiratory failure 252at the end of acute hospitalization is approximately 32% [24, 25325]. 254

Spinal cord injury

Respiratory complications are the foremost causes of in-256creased morbidity and mortality after spinal cord injury 257(SCI), with an incidence of 36% to 83%. The pathophysiology 258is complex, with the level and completeness of phrenic nucle-259us injury at C3-C5 level with diaphragm paralysis being the 260greatest determinant. Full cervical lesions (C2-C4) in the ab-261sence of mechanical ventilation are incompatible with life. 262Cervical lesions under C5 (C5-C8) determine weakness or 263paralysis only of the intercostal and abdominal muscles. In 264these cases, the diaphragm is preserved, and spontaneous ven-265tilation is usually maintained. Other responsible factors are 266accessory muscle weakness due to T1-T12 level injury and 267abdominal muscle involvement due to T5-T12 injury, im-268paired cough, decreased surfactant production, and increased 269secretions and bronchospasm due to unopposed vagal activity 270(C8-L2 sympathetic nerve injury) [26]. 271

Patients may rapidly deteriorate with the need for urgent 272 intubation [27]. In a large prospective study, 67% of 261 273 acutely injured subjects experienced severe respiratory 274

275complications. Atelectasis (36.4%), pneumonia (31.4%), and ventilatory failure (22.6%) were the most common complica-276tions. Ventilatory failure and impaired cough are the main 277278causes of RF. Other responsible factors are pulmonary edema 279and pneumohemothorax. Ventilatory failure lasted an average of 5 weeks [28]. Transfer to an SCI center specializing in acute 280 281 management of tetraplegia may significantly reduce the number of respiratory complications. 282

283 Inflammatory and infectious diseases of the CNS

Inflammatory and infectious diseases of the CNS are a very 284heterogeneous group of diseases that can affect CNS function 285286with different patterns of symptoms and signs. Pulmonary complications are related to an altered breathing control sys-287288 tem, severity of associated reduction of consciousness, and 289involvement of respiratory muscles. Pulmonary impairments have long been recognized as major causes of morbidity and 290291mortality in individuals with advanced multiple sclerosis, due 292 to acute or chronic respiratory disorders. Chronic RF involves bulbar dysfunction with swallowing disorders, altered central 293294 respiratory drive, motor disorders following corticospinal le-295sions, or sleep-disordered breathing. Acute conditions mainly 296 involve spinal or bulbar relapse with extensive plaques, neurogenic pulmonary edema, or ARF, often following sepsis 297298 [29]. Common pulmonary-related complications in encepha-299 litis are poor gag reflex, pooling of secretion, and loss of swallowing, with risk of aspiration pneumonia and RF devel-300 301 opment [30].

302 Parkinson's disease

Rigidity and hypokinesia of both the upper airway and the 303 304 chest wall are thought to contribute to upper airway obstruc-305 tion (UAO) in patients with Parkinson's disease (PD). Restrictive changes are also a common functional abnormali-306 307 ty, due to loss of chest wall compliance secondary to severe rigidity [31]. A reduced ventilatory response to hypoxia and 308 hypercapnia related to low ventilatory chemosensitivity and 309 310 autonomic dysfunction may contribute to the development of ARF [32]. Swallowing impairment exposes PD patients to 311high risk of aspiration pneumonia that is enhanced by weak 312313 cough due to chest wall rigidity, dyskinesia, and upper airway dysfunction. Pneumonia remains the most common frequent 314 cause of death despite the development of effective therapeu-315316 tic regimen over the past three decades [33]. Although levodopa is the main treatment for PD, improving respiratory and 317 motor functions, development of dyskinesias may affect ven-318tilation inducing dyspnea and chest pain. Moreover, in ad-319320 vanced patients, wearing-off phenomenon may induce pulmonary complaints such as stridor due to UAO and dyspnea due 321to chest wall tightness. 322

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Ataxias

Subclinical restrictive type of pulmonary dysfunction is pres-324 ent in spinocerebellar ataxias with possible UAO [34]. 325Particularly in ataxia telangiectasia (AT), respiratory compli-326 cations may account for 1/3 of deaths. Secondary effects of AT 327 on the lung are related to suboptimal muscle strength due to 328 coordination problem, impaired airway clearance due to weak 329cough, and abnormal swallow and aspiration [35]. Pulmonary 330 infections are the major cause of RF and death, and associated 331 immune defect can facilitate respiratory infection and contrib-332 ute to bronchiectasis development. An early diagnosis of pul-333 monary complications in AT patients is mandatory to signifi-334 cantly reduce morbidity and mortality [36]. 335

Tetanus and botulism

The World Health Organization has announced that in the 337 2007-2017, period the total number of reported cases of teta-338 nus was 12,000-20,000 cases per year. Tetanus is acquired 339 through the infection of a cut or wound with the spores of 340 the anaerobic bacterium Clostridium tetani, and most cases 341 occur within 14 days after initial infection. Spasms and stiff-342 ness are hallmarks of the disease. If not treated in time with 343 tetanus immunoglobulins and hospitalization in an intensive 344 setting, it leads to death due to RF in 100% of cases. There is 345 an increased risk of tetanus in adult males and adolescents 346 undergoing circumcision due to decreasing immunity and lim-347 ited opportunities to receive booster doses in many countries 348 [37, 38]. 349

Botulism is a serious disease caused by a nerve toxin pro-350duced by the anaerobic, spore-producing Clostridium 351botulinum, which inhibits the release of acetylcholine at the 352 presynaptic level. Three forms of botulism are distinguished 353 according to the site of production of toxins: food, injury, and 354intestinal botulism (infant and adult). Clinical manifestations 355 include bulbar symptoms, nasal voice, blurred vision, 356 ophthalmoparesis, and autonomic dysfunctions such as dry 357mouth, constipations, and urinary retention. In Europe, in 358the 2007–2017 period, 84 to 125 cases per year were reported, 359with a mortality rate of 3-9%. Like tetanus, it leads to death 360 due to RF if not treated in time with botulism immunoglobu-361lins and hospitalization in an intensive care unit (ICU). 362 Correct and timely recognition of the infection significantly 363 reduces mortality [39, 40]. 364

Neuromuscular disorders

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NMDs are a heterogeneous group of disorders characterized366by impairment at the level of motor neurons, peripheral nerve,367neuromuscular junction, or skeletal muscle. They include ac-368quired or inherited forms, with very variable age and clinical369features at onset and very different courses and prognoses. If370

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muscle weakness involves the diaphragm and accessory respiratory muscles, it leads to RF more or less early in the patient's
life, often also facilitated by a severe scoliosis [41]. Table 1
lists the NMDs constantly associated with very early RF.
Table 2 reports the NMDs in which RF develops with a slowly
progressive course, requiring ventilatory support at a variable
age, and with different rates of occurrence [42–44].

378 Restrictive RF is the leading cause of death in ALS patients [45]; in some cases it may represent its onset. SMA has a 379 significant impact on the respiratory system, depending on 380 the severity of loss of muscle function [5]. SMA type 1 381382 (non-sitters) and type 2 (sitters) patients need more active surveillance and management, whereas a minority of ambu-383 lant SMA type 3 patients (walkers) may have decreased cough 384 effectiveness with upper respiratory infections, sleep apnea, or 385 hypoventilation. 386

Among acquired polyneuropathies, patients with GBS are
often at risk of RF. Predictors are rapid course, severe muscle
weakness at hospital admission, bulbar or neck weakness,
bilateral facial weakness, or dysautonomia [46]. Respiratory
involvement is rare in Charcot–Marie–Tooth disease [47].

MG often causes hypercapnic RF as a manifestation of the
disease onset, being diagnosed in the ER or in ICU [41, 48].
Congenital myasthenic syndromes may sometimes present
life-threatening respiratory episodes especially in the first decade of life [49].

The group of myopathies at risk of respiratory emer-397 gencies is more complex, including dozens of partly over-398 399 lapping phenotypes, caused by mutations of different genes, and acquired inflammatory forms such as PM and 400 DM. Dystrophinopathies (especially DMD) invariably 401 402 need a ventilatory support therapy from a young age [50]. Other myopathies at risk are some limb-girdle mus-403cular dystrophies (especially sarcoglycanopathies) and 404 myotonic dystrophy type 1 (DM1). The latter is a com-405plex multi-systemic disease, in which cardiomyopathy 406 and disturbances of central breathing regulation coexist, 407 408 which make ventilatory management difficult [51]. The autosomal dominant facioscapulohumeral muscular dys-409trophy (FSHD), related to the 4q region, may develop 410

$\substack{ ext{t1.1}\\ ext{t1.2}}$	Table 1Neuromusculardisorders with	Spinal muscular atrophy type 1 (SMA1)
t1.3	respiratory failure at birth or within the first year of	Spinal muscular atrophy with respiratory distress (SMARD)
t1.4	life	Congenital myotonic dystrophy (CDM)
t1.5		Infantile-onset Pompe disease (IOPD)
t1.6		Some mitochondrial diseases
t1.7		Some congenital myopathies
t1.8		Some congenital muscular dystrophies
t1.9		Some congenital myasthenic syndromes
t1.10		Neonatal myasthenia gravis (transient)

Table 2	Neuromuscular	disorders	with	chronic	respiratory	failure	in	t2.1
infant-to-a	adult life							

Rate of occurrence of respiratory failure	Diseases
Unavoidable	Duchenne muscular dystrophy (DMD) Amyotrophic lateral sclerosis (ALS) Some muscular dystrophies (e.g., sarcoglycanopathies) Some myofibrillar myopathies (e.g., HMERF)
Frequent	Spinal muscular atrophy type 2 (SMA2) Myotonic dystrophy type 1 (DM1) Late-onset Pompe disease (LOPD) Guillain–Barré syndrome (GBS) Myasthenia gravis (MG) Facioscapulohumeral muscular dystrophy (FSHD) Some congenital muscular dystrophies (e.g., Ullrich CMD) Some limb-girdle muscular dystrophies (LGMD) (e.g., calpainopathy, FKRP) Some congenital myopathies (e.g., centronuclear myopathy) Congenital myasthenic syndromes
Occasional	Becker muscular dystrophy (BMD) Some types of Charcot–Marie–Tooth disease (e.g., CMT type 1B and 4) Inflammatory myopathies Spinal muscular atrophy type 3 (SMA3) Some congenital myopathies Some mitochondrial diseases
Rare	Oculopharyngeal muscular dystrophy (OPMD) CMT Chronic inflammatory demyelinating polyneuropathy (CIDP)

ARDS generally in early-onset cases [52]. Among meta-411 bolic myopathies, Pompe disease caused by mutations of 412 the acid alpha glucosidase enzyme gene is still at risk of 413RF, despite the availability of enzyme replacement thera-414 py for over 10 years. About a third of cases with infantile-415onset (IOPD) in the first year of life require ventilatory 416 support, as well as a minority of cases with adult form 417[53]. Some adults start with dyspnea and hypercapnic RF 418 and can be diagnosed after acute ventilatory failure. 419However, all patients should be carefully monitored for 420 respiratory function. Acute or subacute inflammatory my-421 opathies, especially the autoimmune necrotizing myopa-422 thies with positive anti-SRP antibodies, can rapidly 423 evolve into respiratory emergencies. 424

When physicians working in the ER meet a patient with425hypercapnic RF, they must always try to gather detailed infor-426mation on the exact type of neuromuscular disease already427diagnosed, since prognosis and treatment may greatly differ.428Furthermore, some patients with NMDs may present with429

430 acute or subacute RF even before significant limb muscle431 weakness (Table 3).

432 Clinical management and treatment

433 Acute respiratory failure in slowly progressive434 neurological diseases

435 Movement disorders

436Although advice on the management of ARF in PD is difficult, due to varying and conflicting results of previous studies, 437 438 a contraindication to noninvasive ventilation (NIV) may exist in the acute setting, and positive pressure ventilation via en-439440 dotracheal intubation (ETI) may constitute the only choice for treating patients who require ventilatory support. Moreover, 441 abnormally reduced vocal cord movement amplitude, laryn-442 443 geal tremor, and oropharyngeal dysfunction can produce 444 UAO, which in turn can be associated with difficult intubation and require *bronchoscopy* assistance during the procedure 445446 [54].

447 At ER admission, patients with myoclonus may necessitate
448 invasive mechanical ventilation (IMV) via ETI, in the event of
449 ARDS [55].

450In patients with Huntington's disease, death usually results from respiratory complications, in particular aspiration pneu-451monia which accounts for approximately 55% of deaths, 452453followed by "suffocation" and pulmonary embolism [56]. As these patients commonly suffer from severe dysphagia, 454ETI and IMV are suggested at the onset of ARF requiring 455ventilator support, to protect the airways from the risk of 456457inhalation.

458 Neuromuscular disorders

459Development of respiratory infections may be a lifethreatening event in NMDs patients, favored by mucous en-460cumbrance and further weakening of respiratory muscles, 461which lead to ARF [13, 57]. Additionally, several myopathies 462are associated with cardiac dysfunction such as dilated cardio-463 464myopathy [58], which may contribute to the development of ARF, leading to cardiogenic pulmonary edema (Table 4). 465466 Finally, pneumothorax, fat embolism, and abuse of sedative

t3.1 t3.2 t3.3 t3.4 t3.5 t3.6	Table 3 Adult neuromuscular disorders which may present with respiratory failure at onset	ALS Pompe disease DM1 Myofibrillar myopathies Some LGMD (e.g., type 2I)

drugs are rare but serious, life-threatening complications in 467 these patients. 468

The identification of subjects at high risk of RF and timely 469 provision of inspiratory (i.e., NIV) and expiratory aids (i.e., 470 manual and mechanical cough assistance) are critical for 471 preventing severe complications [15, 59-61]. It follows that 472a proactive clinical approach should be taken to recognize 473 pulmonary problems prior to the onset of respiratory compro-474mise (Table 5). In these patients, the best and easiest parameter 475 used to monitor respiratory muscle strength is FVC. Patients 476who have an FVC < 50% of predicted value should be trained 477 in protocols that allow successful home treatment managed by 478well-trained family members or healthcare professionals dur-479 ing respiratory exacerbations [50, 62]. 480

In the case of ARF, the patients should receive 24-h NIV and 481 pulse oximetry monitoring. When oxygen saturation on room air 482 falls below 95%, secretion removal should be aggressively in-483 duced using manual and mechanical cough assistance until oxy-484 gen saturation returns to the 95% range. Oxygen should not be 485used to correct hypoxemia, as it can worsen hypercapnia and 486does not allow the recognition of severe hypercapnia with the 487 pulse oximetry. A dramatic reduction in the need for hospitaliza-488 tion and a prolongation of life expectancy have been reported in 489 well-trained patients [13, 15]. Moreover, services providing ac-490tive treatment by healthcare professionals at a patient's home are 491 an effective alternative to hospital admission [62]. Additionally, 492in the case of suspected respiratory infections, early use of anti-493biotics is mandatory, in particular if pulse oximetry is below 95% 494in room air (Table 6). 495

If home respiratory management fails, patients must be 496hospitalized, NIV remaining the first-line ventilator strategy. 497Moreover, if bronchial encumbrance is present, cough assis-498tance must be applied aggressively. Patient selection is very 499important to the success of this noninvasive strategy. Severe 500 bulbar dysfunction increases patient risk for aspiration, ham-501pers the elimination of airway secretions, and increases resis-502tance to airflow impeding successful use of NIV [59, 63]. 503Moreover, the use of noninvasive strategies should never de-504lay ETI for patients where this approach has failed [42]. 505

To receive close monitoring and aggressive noninvasive 506respiratory assistance, patients should be placed in a unit 507 where nurses are adequately trained and a physician is phys-508ically present on-site 24 hours a day. Monitoring must be 509tailored and personalized according to the clinical severity of 510each case, but it must include PaCO2 measurements if supple-511mental oxygen is used to correct hypoxemia (i.e., capillary 512CO₂ in less severe diseases and indwelling arterial line in most 513severe cases) [42, 64]. 514

If NIV fails or is contraindicated (Table 7), patients with 515 progressive NMDs should be intubated as a short-term measure. In this case, appropriate assessment for a difficult intubation due to reduced mouth opening, macroglossia, or to 518 limited mobility of the cervical spine is very important. If 519

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$\substack{ ext{t4.1} ext{t4.2} ext{}}$	Table 4Neuromusculardisorders associated to	Neuromuscular disorder	Cardiac disorder
t4.3	cardiomyopathy	DMD, BMD	Dilated cardiomyopathy (more frequent), conduction disorders, arrhythmias
t4.4		Limb-girdle muscular dystrophies (rare)	Conduction disorders and arrhythmias (more frequent), dilated cardiomyopathy
		Myotonic dystrophy	
		Emery–Dreifuss muscular dystrophy	
t4.5		Myofibrillar myopathies	Conduction disorders and arrhythmias (more frequent), hypertrophic
		Mitochondrial myopathies	cardiomyopathy, noncompacted myocardium, dilated cardiomyopathy
t4.6		Pompe disease	Hypertrophic cardiomyopathy (in IOPD)
t4.7		Lipid storage myopathies	Dilated cardiomyopathy, hypertrophic cardiomyopathy

any conditions predicting difficult airway management are
present, intubation should be performed considering applicable guidelines and avoiding emergent intubation [65].

After recovery from the acute illness, these patients should 523524be promptly extubated. Unfortunately, because of respiratory 525muscles weakness and inability to handle bronchial secretions, a substantial proportion of patients fail to pass spontaneous 526527breathing trials [66]. Preventive application of NIV combined 528 with assisted coughing after extubation provides a clinically important advantage by averting the need for reintubation and 529shortening the ICU stay. Indications for a tracheotomy can be 530531evaluated, but it should not be considered in the acute phase, 532rather only in the case of multiple failures of weaning protocol 533[67, 68].

534 **De novo acute respiratory failure**

535 Stroke

Following stroke, hypocapnia is associated with poor outcome[69]. Current guidelines produced by European Stroke

t5.1 **Table 5** Causes of ARF in patients with chronic neuromuscular disorders

Common	Upper respiratory tract infections (influenza, parainfluenza, bacterial infections)
Less common	Community-acquired pneumonia Ventilator-associated pneumonia Aspiration pneumonia Atelectasis
Uncommon	Cardiogenic pulmonary edema Pneumothorax Lung adipose embolism (in case of bone fractures) Drug abuse or overdose (e.g., benzodiazepines, opiates, alcohol, anesthetics) Pulmonary embolism Tracheo-arterial fistula Gastric or colonic bloating

Organization, American Stroke Association, and National 538Institute for Health and Care Excellence support oxygen sup-539 plementation if SpO2 falls below 94%. Although, to date, no 540trial has tested its utility in severe stroke, IMV via ETI is 541indicated in conditions such as decreased consciousness level 542(Glasgow Coma Scale, GCS, ≤ 8), evidence of brainstem dys-543function, or any other cause of a threatened airway, to prevent 544aspiration pneumonia, in the event of ARF due to pulmonary 545edema (neurogenic or cardiogenic), generalized seizures or 546status epilepticus, and apneic episodes [19]. Due to the risk 547of rapid variation of the patient's *clinical status*, continuous 548monitoring of systemic oxygenation through pulse oximetry is 549 essential. Mechanically ventilated patients should undergo 550regular arterial blood gas monitoring. The mortality rate of 551patients with stroke undergoing ETI has been variously report-552ed to be between 40 and 80% regardless of the causes of 553intubation, with only about 50% surviving 30 days and 30% 554surviving 1 year [70]. Predictors of death include low GCS at 555intubation and absent pupillary light reflexes. 15-35 % of 556stroke patients admitted at the ICU require tracheostomy for 557difficult weaning. Patients who survive may achieve good 558functional outcome, with more than two-thirds regaining nor-559mal activities of daily living [71]. 560

Convulsive status epilepticus

561

567

ETI and IMV allow to maintain the normocapnia and 562 normoxia, to prevent pulmonary aspiration, and also to use 563 intravenous anesthetics to treat epilepsy. Delay in intubation 564 is associated with increased mortality. Therefore, ETI can be 565 avoided only if recovery of consciousness is rapid [72]. 566

Traumatic brain injury

In severe TBI (GCS < 9), reduced morbidity and mortality are obtained avoiding secondary brain damage due to low blood pressure, intracranial hypertension, hypoxemia, and hypercapnia. For these reasons, the patient must be intubated, and IMV must be set to maintain 572

AUTIMORIOS16PROCONTRO19

- t6.1 Table 6 Recommendations for home management of an infectious acute respiratory disease
 t6.2 During the infectious exacerbation, the value of SaO₂ should be continuously monitored using the pulse oximeter with the aim of maintaining an SaO₂ ideally > 95% or at least > 92% in ambient air
 t6.3 It may be necessary to use the ventilator 24 hours a day to avoid hypoventilation and/or SaO₂ < 95%
 t6.4 To avoid the development of pressure sores in the support points of the
- mask, the use of two different masks should be alternated, and hydrocolloid patches should be used to protect the support points
 t6.5 To reduce dyspnea and enhance the value of SaO₂, the caregiver can increase the respiratory rate by 2–4 points, the positive end-expiratory
- increase the respiratory rate by 2–4 points, the positive end-expiratory pressure (PEEP) by 1–2 points, and, in the case of pressometric ventilation, the inspiratory pressure by 1–2 points. To avoid gastric distension, maximum pressure in the airways should not rise above 25 cm H_2O
- t6.7 To avoid severe desaturation, O₂ can be used but only for short periods (e.g., a few minutes before performing cough assistance maneuvers and/or immediately after). For this purpose, the oxygen source must be connected to the ventilator. However, O₂ must never be used without associating it with NIV
- Each febrile episode > 38.5 ° C must be treated with paracetamol and a valid hydration protocol
- An antibiotic should be used early, especially if SaO₂ < 95%. It is important that the antibiotic coverage includes atypical bacteria (macrolide or fluoroquinolone). In case of possible inhalation (e.g., in patients with severe dysphagia), a second antibiotic should be associated covering anaerobic bacteria (e.g., amoxicillin associated with clavulanic acid)
- t6.10 In the case of a respiratory tract infection managed at home, a specialist or a general practitioner should visit the patient ideally once a day or at least every 2–3 days. This care is mainly aimed at prescribing antibiotic therapy and excluding the presence of hospital admission criteria. It is desirable that the general practitioner maintains telephone contact with a specialist who is competent in home ventilation in order to share the decision-making process
- t6.11 Hospital admission is recommended if one or more of the following are present:
- t6.12 Desaturation < 92% in ambient air
- t6.13 Need to use O_2 to maintain $SaO_2 > 92\%$
- t6.14 Persistence of dyspnea despite the use of a ventilator
- t6.15 Severe dehydration
- ${\rm t6.16}$ $\,$ High fever unresponsive to antipyretics and antibiotics
- t6.17 No response after 1 week of application of the protocol
- t6.18 Suspected pneumothorax
- $t6.19 \quad \ \ \, \text{-Suspected cardiogenic pulmonary edema}$
- t6.20 Suspected pulmonary embolism

Table 7 Contraindications to NIV	ť
Uncooperative patient	t'
Reduced level of consciousness	ť
Delirium with restlessness or agitation	ť
Severe dysphagia	ť
Excessive secretions not managed by mechanical cough assistance	ť
Severe hypoxemia (PaO ₂ < 60 mmHg with $FiO_2 > 0.6$)	
Undrained pneumothorax	ť
Coexistence of two other organ failures	ť

normal capnia and oxygenation, to allow the patient to 573be sedated, reducing intracranial pressure and preventing 574pulmonary aspiration [73]. Moreover, patients with TBI 575frequently suffer from lung complications and ARDS, 576which can be multi-etiological (i.e., aspiration pneumo-577nia, pulmonary contusion related to chest trauma, neu-578rogenic pulmonary edema, transfusion-related acute lung 579injury). These complications represent a further indica-580tion for IMV. Unfortunately, ventilator strategies can 581have effect on cerebral perfusion and represent a poten-582tial burden for iatrogenic secondary brain damage [74]. 583In particular, when a concomitance of TBI and ARDS 584occurs, the ventilatory management can be very chal-585lenging as ventilatory targets are often in conflict 586among each other. Ventilator strategies commonly used 587 in patients with ARDS induce a relevant increase in 588intrathoracic pressures, which may reduce cerebral ve-589nous return to the right atrium. This phenomenon may 590cause a significant increase in intracranial pressure and 591a harmful decrease in cerebral perfusion. In order to 592avoid iatrogenic secondary brain damage due to these 593mechanical ventilation consequences on cerebral dynam-594ics, intracranial pressure monitoring is indicated [75]. 595

Spinal cord injury

596

ETI and IMV are always required in patients with complete 597lesion above C5, while intubation can be avoided in patients 598with incomplete injury and lesion below C5. In these patients, 599to assess the need for invasive or noninvasive ventilatory as-600 sistance, it is essential to monitor not only pulse-oximetry but 601 also CO₂, vital capacity and maximum inspiratory pressure 602 (MIP). A reduction in vital capacity to below 15 mL/kg, a 603 maximum inspiratory pressure below $-20 \text{ cm H}_2\text{O}$, and an 604 increase in pCO₂ are markers for the need for mechanical 605 ventilation [76]. In the first year after cervical injury, respira-606 tory function may improve spontaneously, often allowing 607 weaning from mechanical ventilation. However, after the first 608 year, improvements in respiratory function are usually mini-609 mal or absent. 610

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611 Diaphragm paralysis

Phrenic neuropathies are a significant cause of respiratory 612 613 dysfunction. Phrenic neuropathy has been associated with a 614 variety of causes (e.g., brachial plexopathy, infections, amiodarone, chemotherapy agents, thymectomy, cardiac surgery, 615 616 thoracotomy, internal jugular catheter insertion, interscalene block). However, in many patients, the cause of phrenic nerve 617 damage remains unclear (idiopathic phrenic neuropathy) [77]. 618 Patients with unilateral diaphragm paralysis are often 619 620 asymptomatic but may develop dyspnea on exertion or when 621 they are supine, particularly if there is abdominal distension (e.g., obesity or pregnancy), or in the case of coexisting heart 622 or lung disease. In the asymptomatic patients, unilateral dia-623 phragm paralysis may be discovered as an incidental radio-624 graphic finding of an elevated hemidiaphragm [78]. Patients 625 626 with bilateral diaphragmatic paralysis develop severe orthopnea with a supine drop in forced vital capacity of more 627 628 than 30% and progressive nocturnal hypoventilation, which may culminate in acute presentation with hypercapnic RF 629 [79]. 630

631 Neuromuscular disorders

632 Myasthenic crisis is observed in approximately 20% of MG 633 patients and may result in ARF caused by the combination of upper airway obstruction and acute hypoventilation due to 634 incapacitating weakness of both bulbar and inspiratory mus-635 636 cles [80]. The evidence for use of invasive ventilation via ETI 637 is strong and has been recommended in most of the series published so far; a mortality rate in patients receiving invasive 638639 ventilation has been reported between 4 and 6%. Extubation may fail in up to one quarter of patients, and presence of 640 atelectasis has been reported to be strongly associated with 641 extubation failure [81]. Although NIV may be inappropriate 642 643 in patients with ARF unless upper airway function is well preserved, this option seems desirable in patients with myas-644 645 thenic crisis because of the increased risk of prolonged IMV complicated with ventilator-associated pneumonia and other 646 systemic complications [82, 83]. Administering NIV with a 647 648 relatively low inspiratory-pressure range of 10-16 cm H₂O can be effective in preventing the need for ETI in these pa-649tients. Severe hypercapnia ($PaCO_2 > 50 \text{ mmHg}$) and high 650 651serum bicarbonate concentration at admission have been considered predictors of NIV failure [1, 84]. 652

In order to early identify GBS patients at risk for 653 ARF requiring ventilatory support, the "20/30/40 rule" 654 has been proposed: intubation is indicated if the FVC <655 20 mL/kg, the MIP < 30 cm H₂O, and the maximal 656 expiratory pressure (MEP) < 40 cm H_2O [9]. The ap-657 658 plication of NIV in GBS patients is not a safe option 659 for several reasons: (a) patients usually remain extremely weak and require full ventilator assistance for many 660

days, and (b) the manifestations of dysautonomia get 661 worse as RF becomes more severe. Between 25 and 662 50% of patients require ETI and IMV [85]. Moreover, 663 emergency intubation should be avoided because it can 664 induce life-threatening complications from 665 dysautonomia, including labile blood pressure, cardiac 666 arrhythmias, and fatal hyperkalemia with the use of suc-667 cinylcholine. The mortality rate of severe GBS causing 668 neuromuscular ARF may still reach 5-10%; in addition, 669 20% of survivors may suffer from long-term disability 670 [86]. 671

Conclusions

The management of ARF in patients with neurological dis-673 eases is a strong challenge and frequently occurs in the ICU 674 setting, a neurological ward, or even at home. Treatment must 675 be tailored on a personalized level by an expert 676 neurointensivist, considering all the past medical history as 677 well as concomitant medical events. Moreover, in the recent 678 years, intensive care medicine has progressed considerably, 679 and new technologies continuously improve ventilatory treat-680 ment and survival [87]. In the case of risk of acute-on-chronic 681 RF, appropriate education of caregivers and periodic follow-682 up are necessary to optimize domiciliary assistance and to 683 remove barriers to its application [88, 89]. 684

Although standards of care have been identified for many 685 acute and chronic NMDs requiring appropriate management 686 of ARF and many guidelines have been elaborated, there are 687 no randomized trials assessing the practice for the use of non-688 invasive versus invasive mechanical ventilation [90]. There is 689 much work yet to be done in designing and conducting clin-690 ical trials to provide evidence-based data to anticipate varia-691 tions in treatment responses according to disease, onset type 692 (acute onset versus acute exacerbations on chronic NMDs), 693 and presence or absence of bulbar dysfunction. 694

Finally, increasing recognition of e-health technologies as 695 potential tools in enhancing healthcare quality has recently led 696 to the proposal of innovative technologies and tele-monitoring 697 assistance in the respiratory care of NMDs patients [91, 92]. 698 Although these are pilot applications, encouraging results 699 have been provided, and further studies involving larger co-700 horts and multidisciplinary teams are needed with the final 701aim to prevent acute respiratory events. 702

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Compliance with ethical standards	705 <mark>Q4</mark>
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