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1 **Estimating postoperative left ventricular volume: Identification of**
2 **responders to surgical ventricular reconstruction**

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40 **ABBREVIATIONS**

41

42 CABG = coronary artery bypass grafting

43 EF = ejection fraction

44 ESVI = end-systolic volume index

45 HR = hazard ratio

46 IQR = interquartile range

47 LV = left ventricle

48 LVG = left ventriculography

49 MR = mitral regurgitation

50 MRI = magnetic resonance imaging

51 MV = mitral valve

52 NYHA = New York Heart Association

53 QGS = quantitative gated single photon computed emission tomography

54 SD = standard deviation

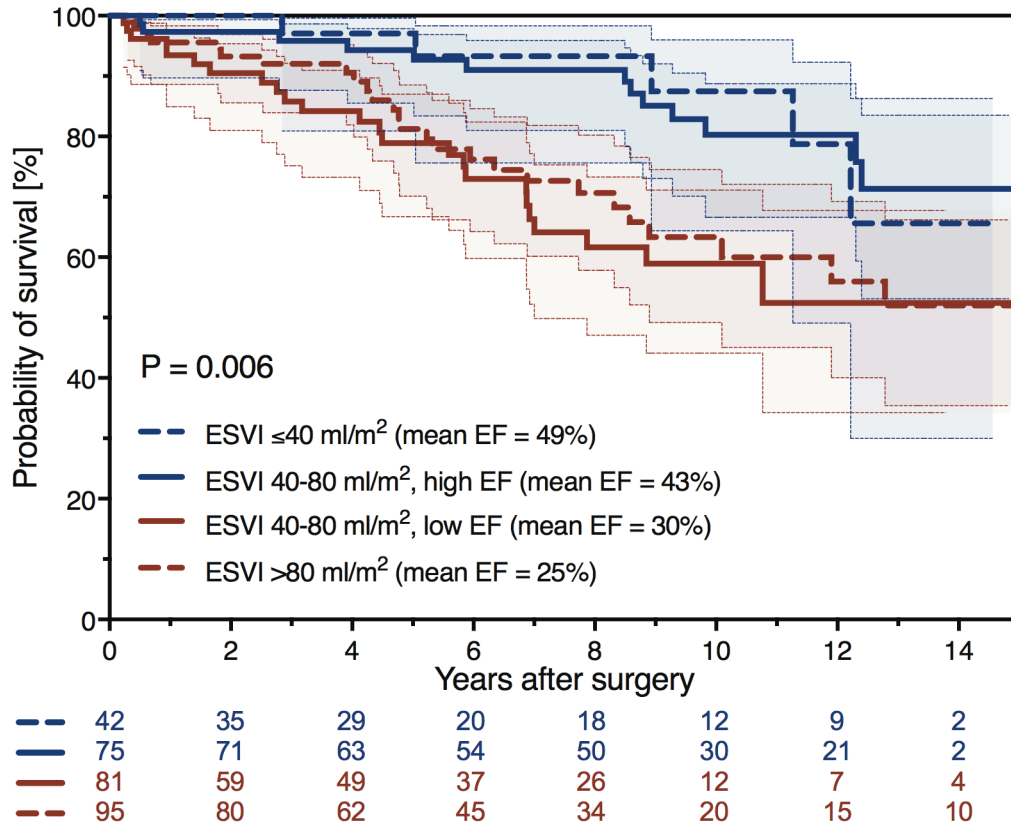
55 SVR = surgical ventricular reconstruction

56

57 **CENTRAL PICTURE LEGEND**

58 Postoperative ESVI and EF were associated with survival after CABG ± SVR.

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64 **CENTRAL MESSAGE**

65 Since SVR could provide survival benefit by improving EF for those with postoperative ESVI
66 within a specific range, responders to SVR could be identified by estimating postoperative ESVI.

67

68

69 **PERSPECTIVE STATEMENT**

70 Although the postoperative ESVI and EF are benchmarks of SVR, they are unpredictable and
71 vary among patients. This makes it difficult to identify who would benefit from SVR. This study
72 elucidated the relationships among SVR, postoperative ESVI, EF and survival. Our results can
73 help identify who would be associated with a higher survival rate by adding SVR to CABG
74 compared with CABG alone.

75

76

77 **ABSTRACT**

78

79 ***Objectives***

80 The postoperative left ventricular end-systolic volume index (ESVI) and ejection
81 fraction (EF) are benchmarks of surgical ventricular reconstruction (SVR) but remain
82 unpredictable. This study aimed to identify who could be associated with a higher long-term
83 survival rate by adding SVR to coronary artery bypass grafting (CABG) than CABG alone
84 (responders to SVR).

85

86 ***Methods***

87 Subjects were 293 patients (median age, 63 years; 255 men) who underwent CABG
88 for ischemic heart disease with left ventricular dysfunction in 16 cardiovascular centers in Japan.
89 The relationships among SVR, postoperative ESVI, EF, and survival were analyzed to identify
90 responders to SVR.

91

92 ***Results***

93 SVR was performed in 165 patients (56%). The ESVI and EF significantly improved
94 (ESVI, 91 ml/m² to 64 ml/m²; EF, 28% to 35%) for all patients. The postoperative ESVI and EF
95 were estimated and SVR was found to be significantly associated with both ESVI (14.5 ml/m²
96 reduction, P < 0.001) and EF (3.1% increase, P = 0.003). During the median follow-up of 6.8 years,
97 69 patients (24%) died. Only the postoperative EF was significantly associated with survival
98 (hazard ratio = 0.925, 95% CI = 0.885-0.968), although this effect was found limited to those with
99 postoperative ESVI of 40-80 ml/m² in the subgroup analysis (hazard ratio = 0.932, 95% CI =
100 0.894-0.973).

101

102 ***Conclusions***

103 Adding SVR to CABG could reduce the mortality risk by increasing EF for those with
104 postoperative ESVI within a specific range. The postoperative ESVI could demarcate responders
105 to SVR and its estimation can help in surgical decision making.

106

107

108 **INTRODUCTION**

109 The ideal candidate for surgical ventricular reconstruction (SVR) has not been
110 identified, since the survival benefit of adding SVR to coronary artery bypass grafting (CABG)
111 for those with ischemic heart disease remains unproven.¹ Volume reduction of the left ventricle
112 (LV) is one of the goals of SVR because the dilated LV after myocardial infarction predicts
113 mortality.² In fact, the postoperative LV end-systolic volume index (ESVI) <60 ml/m², a >30%
114 ESVI reduction, and >33% ESVI reduction with a resultant postoperative ESVI <90 ml/m² are
115 considered to be desired goals of SVR, since these are associated with lower mortality rates after
116 SVR.³⁻⁵ On the other hand, the postoperative ESVI <70 ml/m² could demarcate candidates for
117 SVR, because this is associated with a higher survival rate for those with CABG plus SVR than
118 those with CABG alone.⁴ However, the volume reduction effect by SVR has limits. The maximum
119 values of preoperative LV sizes to achieve postoperative ESVI <60 ml/m² are 65 mm for LV end-
120 diastolic diameter and 94 ml/m² for ESVI.³ On the other hand, since the LV volume reduction by
121 SVR may cause a decrease of stroke volume,⁶ a sufficient LV ejection fraction (EF) should be
122 preserved postoperatively. Otherwise, reduced LV stroke volume results in low output syndrome.⁷
123 Although SVR was reported to improve EF with a reduction of the LV volume,^{6, 8} the
124 postoperative values of such parameters vary depending on each patient's condition. This makes
125 it difficult to identify who would benefit from SVR, because there remains no method to estimate
126 the postoperative ESVI and EF after SVR specifically and individually. Therefore, we
127 hypothesized that elucidation of the specific effects of SVR on ESVI and EF could make it
128 possible to estimate the postoperative ESVI and EF, and this could help identify who would be
129 associated with a higher long-term survival rate by adding SVR to CABG than CABG alone (i.e.,
130 responder to SVR). Thus, this study aimed to identify the responders to SVR by elucidating the
131 relationships among SVR, postoperative ESVI, EF, and survival.

132

133

134 **METHODS**

135 We conducted a retrospective multicenter study to investigate the effects of SVR on
136 postoperative ESVI, EF, and survival in those who underwent CABG for ischemic heart disease
137 with LV dysfunction (EF ≤40% in any modality). We used data from a dedicated database, the
138 SURgical Ventricular reconstruction for severe VEntricular dysfunction (SURVIVE) registry
139 database, which was constructed to collect data on patients with heart failure and LV systolic
140 dysfunction who had undergone cardiac surgery in 17 hospitals in Japan since 1999. Among 1701

141 patients registered, 1385 underwent CABG for ischemic heart disease. Although 414 patients who
142 had complete datasets of pre- and post-operative LV volume were candidates, another 121 were
143 excluded considering the bias of LV volume measurement and diversity of surgical procedures.
144 The Bland-Altman analysis was performed to determine the magnitude and directions of
145 intermodality bias for the ESVI and EF using limits of agreement (defined as ± 1.96 SD from the
146 mean difference) in patients who had data from multiple modalities.⁹ Then, the ESVI and EF of
147 quantitative gated single photon computed emission tomography (QGS), left ventriculography
148 (LVG), and 2D echocardiography were compared with magnetic resonance imaging (MRI) as the
149 reference standard, and a considerable bias was found between 2D echocardiography and other
150 modalities (Figure E1). Then, those with data only from 2D echocardiography were excluded
151 from the study, and other modalities were selected in the following order for those with multiple
152 modality data: MRI, QGS, and LVG. Moreover, those with surgical procedures that had been
153 performed for the small number of patients (e.g., mitral valve replacement, chordal cutting, LV
154 linear closure, and SVR without anterior wall incision) were excluded. Finally, the study subjects
155 were 293 patients who underwent CABG for ischemic heart disease between November 1999 and
156 September 2015 (Figure 1), and the complete datasets of the preoperative and postoperative ESVI
157 and EF from the same modality were acquired from MRI, QGS, and LVG for 49 (17%), 35 (12%),
158 and 209 patients (71%), respectively. Completeness of follow-up was calculated at each time
159 point using a simplified person-time method.¹⁰ The median follow-up was 6.8 years (interquartile
160 range, 3.2-9.8 years) and the rates of complete follow-up at 3 years, 5 years, and 10 years were
161 90%, 85%, and 73%, respectively. Mortality was detected on the basis of medical records or
162 follow-up inquiries to the attending cardiologists that were made in each hospital. The study
163 protocol was approved by the institutional review boards of all the participating hospitals, and the
164 requirement for obtaining informed consent was waived.

165

166 ***Procedures***

167 All SVR procedures included in this study had anterior wall incision, and the types of
168 procedure were selected based on the surgeons' preferences. They comprised endoventricular
169 circular patch plasty¹¹ for 73 patients (25%), septal anterior ventricular exclusion¹² for 54 patients
170 (18%), overlapping left ventriculoplasty¹³ for 21 patients (7%), and an endocardial linear infarct
171 exclusion technique¹⁴ for 17 patients (6%). The endoventricular patch was used in the former 2
172 procedures but not in the latter 2. Mitral valve (MV) repair was performed in 100 patients (34%).
173 Forty-seven patients (16%) underwent submitral procedures, including papillary muscle

174 approximation in 43 patients (15%) and papillary muscle suspension in 18 patients (6%). The
175 annuloplasty ring for MV repair was generally downsized but was true-sized for some of those
176 with submitral procedures.

177

178 *Statistical analysis*

179 Continuous variables were expressed as mean \pm standard deviation (SD) when
180 normally distributed or medians with interquartile ranges (IQRs) when not normally distributed.
181 All continuous variables were tested for normality using the Shapiro-Wilk test. The categorical
182 variables were expressed as numbers and percentages. Those with missing data for variables used
183 were dropped from each analysis. Student's and paired t-tests were used for comparisons of
184 normally distributed variables, while the Mann–Whitney U-test and Wilcoxon's signed rank test
185 were performed for unpaired and paired data without normal distribution, respectively.
186 Categorical variables were compared using the chi-square test or Fisher's exact test, as
187 appropriate. The standardized difference (Cohen's d) was calculated for each variable in
188 comparison between those with and without SVR.¹⁵ Correlations between variables were assessed
189 using Pearson's correlation coefficients (r) when normally distributed or Spearman's rank
190 correlation coefficients (r_s) when not normally distributed. Survival analysis was performed using
191 the Kaplan-Meier method, wherein those who were lost to follow-up were censored at the date of
192 their latest follow-up.

193 We hypothesized that adding SVR to CABG could result in a significant reduction of
194 ESVI and increase of EF; the ESVI reduction and EF increase could provide a survival benefit;
195 therefore, adding SVR to CABG could improve the postoperative survival. However, since it is
196 considered that SVR is not always beneficial regardless of the extent of LV remodeling,¹⁶ we also
197 hypothesized that the effect of SVR has the upper and lower limits, which could be indicated by
198 the extent of LV remodeling and demarcate the responders to SVR. Therefore, the analyses were
199 performed in the following order. First, the multiple linear regression analysis was performed
200 with the stepwise method ($P < 0.10$) to estimate the postoperative ESVI and EF, taking into
201 account the contribution of SVR (Appendix 1). Second, the Cox regression analysis was
202 performed to elucidate the effect of postoperative ESVI and EF on survival, where continuous
203 variables were natural log transformed when not normally distributed (Appendix 2). In this
204 analysis, propensity score was calculated and entered into the multivariable Cox proportional
205 hazards model to reduce the treatment bias, taking into account the observational nature of this
206 study; the probability of receiving SVR for each patient was calculated using multivariable

207 logistic regression analysis (Appendix 3). Variables for all the multivariable analyses were
208 selected considering their confounding and clinical relevance as well as multicollinearity
209 (variance inflation factor <5.0). Finally, subgroup analysis using Cox proportional hazards models
210 were performed to determine the upper and lower limits in the effect of SVR. A P-value of <0.05
211 was considered to indicate statistical significance in all the tests. All analyses were performed
212 using IBM SPSS Statistics (version 24, IBM Corporation, Armonk, New York, USA).

213

214

215 **RESULTS**

216 *Baseline characteristics and surgical data*

217 Table 1 shows the baseline characteristics and surgical data. The median age was 63
218 years (IQR, 57–71 years) and 255 patients (87%) were men. The preoperative ESVI and EF were
219 91 ml/m² (IQR, 66-128 ml/m²) and 28% (IQR, 20%-34%), respectively; there was a significant
220 correlation between them ($r_s = -0.746$, $P < 0.001$, Figure E2). The percentage of viable segments
221 in the LV myocardium was obtained in 126 patients (43%) using MRI (52%) and scintigraphy
222 (48%). The median percent viability values were 69% (IQR, 56%-81%) and 81% (IQR, 69%-
223 94%) for those with and without SVR, respectively ($P = 0.002$). There were weak correlations
224 between the percent viability and preoperative ESVI ($r_s = -0.236$, $P = 0.008$) and EF ($r_s = 0.220$,
225 $P = 0.013$).

226

227 *Estimation of postoperative ESVI and EF*

228 The postoperative ESVI and EF were evaluated 15 days (median) after surgery (IQR,
229 11-20 days), and their values were 64 ml/m² in median (IQR, 47-88 ml/m²) and $35\% \pm 11\%$ ($P <$
230 0.001 compared with the preoperative value for each parameter), respectively. The median ESVI
231 reduction rate (postoperative change divided by preoperative value) was 30% (IQR, 9%-43%)
232 and significantly differed between those with and without SVR (SVR, 37% reduction from 103
233 ml/m² to 65 ml/m², $P < 0.001$; no SVR, 16% reduction from 78 ml/m² to 62 ml/m², $P < 0.001$; P
234 < 0.001 for SVR vs. no SVR). Those with SVR were also associated with a greater increase of
235 postoperative EF (a difference between pre- and postoperative values) than those without SVR
236 (SVR, 8% increase from 26% to 34%, $P < 0.001$; no SVR, 6% increase from 30% to 36%, P
237 < 0.001 ; $P = 0.025$ for SVR vs. no SVR).

238

239 The stepwise multiple linear regression analysis identified the following variables that
estimated the postoperative ESVI and EF: gender, preoperative ESVI, preoperative EF, LV

240 aneurysm, submitral procedure, SVR for postoperative ESVI and preoperative ESVI,
241 preoperative EF, MV repair, and SVR for postoperative EF (Table 2). Then, it was ascertained
242 that the best equations to calculate the estimated values of postoperative ESVI and EF for the
243 final sample size of 290 patients were as given below:

244

245 Postoperative ESVI = 34.8 + 11.2 (gender) + 0.51 (preoperative ESVI) – 0.44 (preoperative EF)
246 – 6.4 (LV aneurysm) – 10.9 (submitral procedure) – 14.5 (SVR) ($r^2 = 0.58$)

247

248 Postoperative EF = 21.4 – 0.04 (preoperative ESVI) + 0.64 (preoperative EF) – 4.3 (MV repair)
249 + 3.1 (SVR) ($r^2 = 0.50$)

250

251 where gender = 1 if male and 0 if female, and LV aneurysm, submitral procedure, MV repair, and
252 SVR = 1 if they are associated or performed and 0 if not.

253 Thus, adding SVR to CABG could result in a significant reduction of ESVI and increase of EF.

254

255 ***Effects of postoperative ESVI and EF on mortality***

256 Of the 293 patients, 69 (24%) died during the study period (25% and 22% of those
257 with and without SVR, respectively, $P = 0.58$). The Kaplan-Meier analysis demonstrated that the
258 3-, 5-, and 10-year survival rates were 92%, 87%, and 70%, respectively. Table 3 summarizes the
259 results of the univariable and multivariable Cox proportional hazards models. The multivariable
260 Cox proportional hazards model demonstrated that only the postoperative EF was significantly
261 associated with postoperative survival (HR = 0.925, 95% confidence interval = 0.885-0.968, $P =$
262 0.001). Figure 2 shows a significant difference in survival times among different postoperative
263 EF values. These results suggested that adding SVR to CABG could provide survival benefit by
264 increasing EF.

265

266 ***Upper and lower limits in effects of SVR***

267 Since there was a significant correlation between postoperative ESVI and EF ($r_s = -$
268 0.778, $P < 0.001$, Figure E2), we performed subgroup analysis to elucidate whether the
269 postoperative ESVI (i.e., the extent of LV remodeling) limited the effect of EF on survival. As a
270 result, it was found that postoperative EF was significantly associated with survival in those with
271 ESVI of 40-80 ml/m² (HR = 0.932, 95% CI = 0.894-0.973, $P = 0.001$), although it was not in
272 other subgroups (Figure 3): postoperative ESVI of 40 ml/m² and 80 ml/m² could correspond to

273 the lower and upper limits of effective SVR, respectively. Since SVR would reduce ESVI by 14.5
274 ml/m², those who were estimated to have postoperative ESVI within the target range (40-80
275 ml/m²) could have a survival benefit from the increase of EF by SVR. The estimated increase of
276 EF by 3.1% with SVR in those with ESVI of 40-80 ml/m² would result in approximately 21%
277 reduction in mortality risk. Thus, estimation of ESVI can help find the responders to SVR. The
278 values dividing each subgroup were determined considering the results of Cox proportional
279 hazards models with various categorizations (Figure E3). The details of the subgroups of
280 postoperative ESVI are shown in Table E1.

281

282

283 **DISCUSSION**

284 We demonstrated that the postoperative EF was significantly associated with survival
285 after CABG with or without SVR, although this association was limited within a specific range
286 of postoperative ESVI. Since SVR could provide a significant reduction of ESVI and increase of
287 EF, adding SVR to CABG could provide a survival benefit by increasing EF for the selected
288 patients regarding postoperative ESVI. Thus, estimating postoperative ESVI could help identify
289 who would benefit from CABG plus SVR compared with CABG alone.

290 In this study, we found that SVR was one of the variables that were significantly
291 associated with the postoperative ESVI and EF: adding SVR to CABG could result in a 14.5
292 ml/m² reduction of ESVI and a 3.1% increase of EF. On the other hand, although the postoperative
293 ESVI (<60-70 ml/m²) could have predicted a higher survival rate,^{3,4} only the postoperative EF
294 was identified to be significantly associated with the postoperative survival in the multivariable
295 Cox proportional hazards model. Thus, it was suggested that SVR could provide survival benefit
296 not by reducing ESVI but by increasing EF. Moreover, it was also demonstrated that the absolute
297 value of postoperative EF, rather than the extent of postoperative improvement of EF, was the
298 significant variable. Some previous studies focused on myocardial viability, which could be
299 indicated by the extent of postoperative improvement of EF, as an important predictor of survival
300 after CABG for ischemic heart disease, although it remains controversial.¹⁷⁻²¹ Our results
301 suggested that it could be required for better survival to keep postoperative EF as high as possible,
302 regardless of the postoperative change of this parameter. Therefore, in consideration of whether
303 SVR should be added or not, the perspective that a higher postoperative EF could be estimated
304 with SVR than without it could encourage surgeons to perform the procedure. On the other hand,
305 it is doubted whether all the patients could have survival benefit from SVR by increasing EF,

306 since it is considered that SVR could not change the fate of the extremely deteriorated LV and
307 would not be required for the LV with sufficient ability.¹⁶ Thus, it would be natural that the extent
308 of LV remodeling limited the positive effect of EF increase by SVR on survival.

309 Since the postoperative EF significantly correlated with ESVI, we conducted subgroup
310 analysis dividing the subjects according to the postoperative ESVI (i.e., the extent of LV
311 remodeling) and found that the beneficial effect of postoperative EF was limited to those with
312 postoperative ESVI of 40-80 ml/m². Since the IQR of preoperative ESVI in this patient group was
313 79-111 ml/m², this result was consistent with previous reports that suggested that those with mid-
314 range preoperative ESVI were responders to SVR, with ranges of 80-120 ml/m² reported by
315 Skelley et al.²², 100-130 ml/m² by Yamazaki et al.²³, and 105-150 ml/m² by Kainuma et al.²⁴ Thus,
316 those who are estimated to have the postoperative ESVI within the target range of 40-80 ml/m²
317 could be responders to SVR, since the increase of EF by adding SVR could be beneficial only
318 within this range of ESVI.

319 On the other hand, it is not simple to identify the responders to SVR, since the
320 postoperative ESVI cannot be estimated by a single effect of SVR. Several factors are involved
321 in the estimation, and the surgical technique is just one of these. Actually, the extent of
322 preoperative LV remodeling (i.e., preoperative ESVI and EF) affected ESVI more dominantly.
323 This would be consistent with the previous reports that showed a wide range of perioperative LV
324 volumes and its reduction rates,^{22, 25-27} suggesting that the volume reduction effect of SVR could
325 be affected and the postoperative LV volume could vary depending on the individual condition of
326 each patient. These results could also explain why it is difficult to prove the benefit of SVR by a
327 simple comparison study, such as a randomized controlled trial.¹

328 SVR may not be a procedure that provides a survival benefit for all patients who
329 undergo CABG for ischemic heart disease. However, as conventional surgery could be an
330 alternative to transplantation and ventricular assist device therapy for highly selected patients,²⁸
331 SVR could provide a survival benefit if the indication is carefully determined. On the other hand,
332 the purpose of this study was to identify who could benefit from SVR plus CABG compared with
333 CABG alone, by elucidating the specific effects of SVR on ESVI and EF; this is different from
334 estimating survival time of individual patients if SVR was performed, which we had reported
335 previously.²⁹ In surgical decision making, we should take into consideration not only the benefit
336 of adding SVR to CABG but also the mortality risk of the entire surgical procedure: long-term
337 survival could not always be expected even if adding SVR improved survival to some extent. For
338 high-risk patients, ventricular assist device and transplantation should be considered even if the

339 postoperative ESVI could be estimated within the target range for SVR (Table 4).

340

341

342 ***Limitations***

343 One of the major limitations of this study was its retrospective design. Selection bias
344 for surgical procedures could have affected our results. Therefore, we calculated propensity score
345 and entered it into the multivariable model to reduce the bias. Moreover, since the relationship
346 between ESVI and EF was quite similar between those with and without SVR (Figure E2), we
347 assumed that both LV with or without SVR could be within the same spectrum of LV remodeling,
348 and they could be analyzed as a whole. Second, since our database had a non-negligible amount
349 of missing data for possibly important parameters, such as pulmonary artery pressure, LV diastolic
350 function, and percent viability in the LV, we excluded those parameters from the analyses to
351 defend the sample size, because the analysis, which enrolled the percent viability for less than
352 half of the patients, demonstrated no significant improvement in predictive power of the equations
353 for postoperative ESVI and EF. A prospective study including such parameters with sufficient
354 number of cases will contribute to further clarification by improving the estimation of the
355 postoperative parameters.

356

357

358 **CONCLUSIONS**

359 Adding SVR to CABG could provide a survival benefit by increasing EF for those
360 with postoperative ESVI within a specific range. Thus, the postoperative ESVI could demarcate
361 responders to SVR and the estimation of this parameter can help identify who would benefit from
362 CABG plus SVR rather than CABG alone. In surgical decision making, however, not only the
363 benefit of adding SVR but also the risk of entire procedure should be taken into consideration.

364

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367

368 **APPENDIX 1**

369 The postoperative ESVI and EF were estimated using stepwise multiple linear
370 regression analysis based on the following clinically relevant variables without missing values:
371 age, gender, NYHA functional class, inotrope use, preoperative ESVI, preoperative EF, LV
372 aneurysm, number of anastomoses in CABG, MV repair, submitral procedure, and SVR.

373

374 **APPENDIX 2**

375 The multivariable Cox proportional hazards model for postoperative survival was
376 constructed to elucidate whether the postoperative ESVI and EF would estimate survival. The
377 following variables were selected considering the results of previous studies and the bias for
378 receiving SVR (inclusive of variables with proportion of missing values $\leq 3\%$): SVR, propensity
379 score, postoperative ESVI, postoperative EF, ESVI reduction rate, and increase of EF.

380

381 **APPENDIX 3**

382 The propensity score was calculated using multivariable logistic regression analysis
383 with the following variables considering their clinical relevance and standardized differences
384 (>0.1), inclusive of variables with proportion of missing values $\leq 3\%$: age, gender, number of
385 coronary lesions, left main disease, atrial fibrillation, LV aneurysm, preoperative NYHA
386 functional class, inotrope use, preoperative MR grade, preoperative LV end-diastolic diameter,
387 preoperative ESVI, and preoperative EF.

388

389

390

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485 cardiomyopathy: results of a Japanese multicenter study. *J Thorac Cardiovasc Surg.*
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- 487
488

489 Table 1. Baseline characteristics and surgical data of subjects

Variables	No SVR N=128	SVR N=165	P values	Standardized difference (Cohen's d)
Age, years	62 (57, 70)	64 (57, 72)	0.49	0.082
Male, n (%)	112 (88%)	143 (87%)	0.86	0.025
Number of coronary lesions	3 (3, 3)	3 (2, 3)	0.002	0.281
Left main, n (%)	24 (19%)	21 (13%)	0.19	0.167
Anterior descending, n (%)*	126 (99%)	116 (95%)	0.06	0.252
Circumflex, n (%)*	111 (87%)	98 (81%)	0.22	0.177
Right, n (%)*	111 (87%)	87 (71%)	0.002	0.407
Atrial fibrillation, n (%)	8 (6%)	15 (9%)	0.39	0.105
Diabetes, n (%)**	73 (58%)	49 (49%)	0.18	0.189
Dialysis, n (%)	4 (3%)	6 (4%)	1.0	0.028
LV aneurysm, n (%)	47 (37%)	52 (32%)	0.38	0.109
%Viable segments in the LV, %**	81 (69, 94)	69 (56, 81)	0.002	0.565
NYHA functional class			<0.001	0.661
I	3 (2%)	4 (2%)		
II	76 (59%)	47 (29%)		
III	36 (28%)	80 (49%)		
IV	13 (10%)	34 (21%)		
Inotrope use, n (%)	4 (3%)	13 (8%)	0.13	0.203
IABP, n (%)	8 (6%)	6 (4%)	0.41	0.122
PCPS, n (%)	0	1 (0.6%)	1.0	0.103

Beta-blocker, n (%)*	76 (69%)	85 (52%)	0.004	0.355
LV end-diastolic diameter, mm†	59±8	63±9	<0.001	0.436
Preoperative EF, %	30 (22, 36)	26 (18, 32)	<0.001	0.478
Preoperative ESVI, ml/m ²	78 (56, 106)	103 (77, 141)	<0.001	0.649
Mitral regurgitation grade†			0.05	0.381
None	29 (24%)	18 (11%)		
1+	51 (43%)	76 (46%)		
2+	22 (18%)	45 (27%)		
3+	13 (11%)	19 (12%)		
4+	5 (4%)	7 (4%)		
Tricuspid regurgitation grade, n (%)*			<0.001	0.761
None	62 (53%)	33 (20%)		
1+	44 (38%)	105 (64%)		
2+	11 (9%)	20 (12%)		
3+	0	6 (4%)		
4+	0	0		
CABG, n (%)	128 (100%)	165 (100%)	-	-
Mammary artery use, n (%)**	121 (97%)	104 (92%)	0.15	0.202
Number of anastomoses	4 (3, 4)	3 (2, 3)	<0.001	0.432
SVR, n (%)	0	165 (100%)	-	-
With patch	0	127 (77%)	-	-
MV repair, n (%)	24 (19%)	76 (46%)	<0.001	0.596

Ring size, mm	26 (26, 28)	26 (26, 28)	0.35	0.217
Submitral procedure, n (%)†	10 (8%)	37 (23%)	0.001	0.406
Maze, n (%)	1 (0.8%)	7 (4%)	0.14	0.212
Tricuspid annuloplasty, n (%)	8 (6%)	16 (10%)	0.39	0.125
Aortic crossclamp time, min**	97 (50, 141)	96 (62, 149)	0.45	0.119
Cardiopulmonary bypass time, min**	172 (126, 256)	176 (130, 234)	0.90	0.018

490 CABG = coronary artery bypass grafting, EF = ejection fraction, ESVI = end-systolic volume
491 index, IABP = intraaortic balloon pumping, LV = left ventricle, MV = mitral valve, NYHA = New
492 York Heart Association, PCPS = percutaneous cardiopulmonary support, PM = papillary muscle,
493 SVR = surgical ventricular reconstruction

494 †Proportion of those with missing values $\leq 3\%$, *proportion of those with missing values 3-15%,
495 **proportion of those with missing values $>15\%$.

496

497 Table 2. Results of multivariable linear regression analysis for estimation of postoperative ESVI
 498 and EF

Variables	Regression coefficient	95% CI	P values
Postoperative ESVI			
Male gender	11.2	3.34, 19.2	0.005
Preoperative ESVI, ml/m ²	0.51	0.43, 0.59	<0.001
Preoperative EF, %	-0.44	-0.84, -0.05	0.027
LV aneurysm	-6.44	-12.4, -0.45	0.035
Submitral procedure	-10.9	-18.6, -3.30	0.005
SVR	-14.5	-20.0, -9.00	<0.001
Postoperative EF			
Preoperative ESVI, ml/m ²	-0.04	-0.07, -0.01	0.005
Preoperative EF, %	0.64	0.50, 0.78	<0.001
MV repair	-4.32	-6.49, -2.15	<0.001
SVR	3.11	1.09, 5.12	0.003

499 CI = confidence interval, EF = ejection fraction, ESVI = end-systolic volume index, LV = left
 500 ventricle, MR = mitral regurgitation, MV = mitral valve, NYHA = New York Heart Association,
 501 SVR = surgical ventricular reconstruction

502
 503
 504

505 Table 3. Results of Cox proportional hazards models for postoperative survival

Variables			Univariable		Multivariable	
			HR (95% CI)	P values	HR (95% CI)	P values
Postoperative transformed)	ESVI (log-		1.947 (1.170, 3.240)	0.010	0.601 (0.223, 1.615)	0.31
Postoperative EF			0.956 (0.935, 0.978)	<0.001	0.925 (0.885, 0.968)	0.001
ESVI reduction rate transformed)	(log-		1.829 (0.710, 4.710)	0.21	1.147 (0.340, 3.866)	0.83
EF increase (log-transformed)			1.050 (0.562, 1.960)	0.88	2.930 (0.989, 8.680)	0.052
SVR			2.108 (1.272, 3.494)	0.004	1.731 (0.953, 3.143)	0.07
Propensity score			6.930 (2.007, 23.93)	0.002	1.221 (0.226, 6.578)	0.82

506 CI = confidence interval, EF = ejection fraction, ESVI = end-systolic volume index, HR = hazard
 507 ratio, SVR = surgical ventricular reconstruction

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510 Table E1. Perioperative parameters of patients in each subgroup of postoperative ESVI

	Postoperative ESVI ≤40		Postoperative ESVI 40-		Postoperative ESVI >80	
	ml/m ²		80 ml/m ²		ml/m ²	
	N=42		N=156		N=95	
	No SVR	SVR	No SVR	SVR	No SVR	SVR
	N=18	N=24	N=69	N=87	N=41	N=54
Age, years	68 (57, 76)	68 (60, 75)	62 (58, 70)	66 (55, 72)	62 (56, 67)	63 (57, 69)
Male, n (%)	13 (72%)	21 (88%)	60 (87%)	74 (85%)	39 (95%)	48 (89%)
Inotrope use, n (%)	1 (6%)	1 (4%)	1 (1%)	3 (3%)	2 (5%)	9 (17%)
NYHA class	2 (2, 3)	3 (2, 3)	2 (2, 3)	3 (2, 3)	2 (2, 3)	3 (3, 4)
MR grade	1 (0, 1.5)	1 (0, 1)	1 (0, 2)	1 (1, 2)	1 (1, 2)	2 (1, 3)
MV repair, n (%)	2 (11%)	5 (21%)	11 (16%)	36 (41%)	11 (27%)	35 (65%)
Preoperative EF, %	33 (31, 38)	37 (32, 40)	34 (28, 37)	26 (20, 31)	22 (17, 28)	19 (13, 25)
Postoperative EF, %	48±9	50±8	38±8	35±9	26±7	24±7
EF change, %	13 (10, 15)	13 (7, 20)	6 (3, 10)	10 (3, 14)	3 (-0.5, 7)	5 (1, 10)
Preoperative ESVI, ml/m ²	54 (45,67)	64 (42, 74)	67 (53, 85)	96 (79, 111)	122 (93, 142)	146 (127, 168)
Postoperative ESVI, ml/m ²	33 (31, 38)	34 (27, 37)	57 (48, 68)	59 (50, 70)	98 (86, 138)	100 (89, 127)
ESVI reduction rate, %	40 (21, 56)	45 (34, 55)	15 (-5, 28)	39 (27, 50)	6 (-11, 27)	29 (13, 42)

511 EF = ejection fraction, ESVI = end-systolic volume index, MR = mitral regurgitation, MV = mitral
512 valve, NYHA = New York Heart Association, SVR = surgical ventricular reconstruction
513

514 **FIGURE LEGENDS**

515 **Figure 1.** CONSORT diagram of recruitment of the study.

516 CABG = coronary artery bypass grafting, Echo = echocardiography, LV = left ventricle, LVG =
517 left ventriculography, MRI = magnetic resonance imaging, MV = mitral valve, QGS =
518 quantitative gated SPECT, SVR = surgical ventricular reconstruction

519

520 **Figure 2.** Postoperative survival curves for 3 different groups divided according to tertile values
521 of postoperative EF. Shaded areas indicate 95% confidence intervals.

522 EF = ejection fraction

523

524 **Figure 3.** Effect of postoperative EF on survival in each subgroup regarding postoperative ESVI.

525 CI = confidence interval, EF = ejection fraction, ESVI = end-systolic volume index, Pt = patient

526

527 **Figure 4.** Flowchart of the surgical decision pathway to SVR.

528 CABG = coronary artery bypass grafting, ESVI = end-systolic volume index, HTx = heart
529 transplantation, LV = left ventricle, VAD = ventricular assist device, SVR = surgical ventricular
530 reconstruction

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533

534 **Figure E1.** Summary of the Bland-Altman analysis of intermodality agreement for ESVI and EF.
535 Echo = echocardiography, EF = ejection fraction, ESVI = end-systolic volume index, LVG = left
536 ventriculography, MRI = magnetic resonance imaging, QGS = quantitative gated SPECT, - = P
537 <0.05 underestimation vs. MRI, + = P <0.05 overestimation vs. MRI

538

539 **Figure E2.** Correlation between pre- and post-operative ESVI and EF for those with (A) and
540 without (B) SVR.

541 EF = ejection fraction, ESVI = end-systolic volume index, r_s = Spearman's rank correlation
542 coefficient, SVR = surgical ventricular reconstruction

543

544 **Figure E3.** Results of subgroup analyses for effect of postoperative EF on survival using various
545 categorizations according to postoperative ESVI.

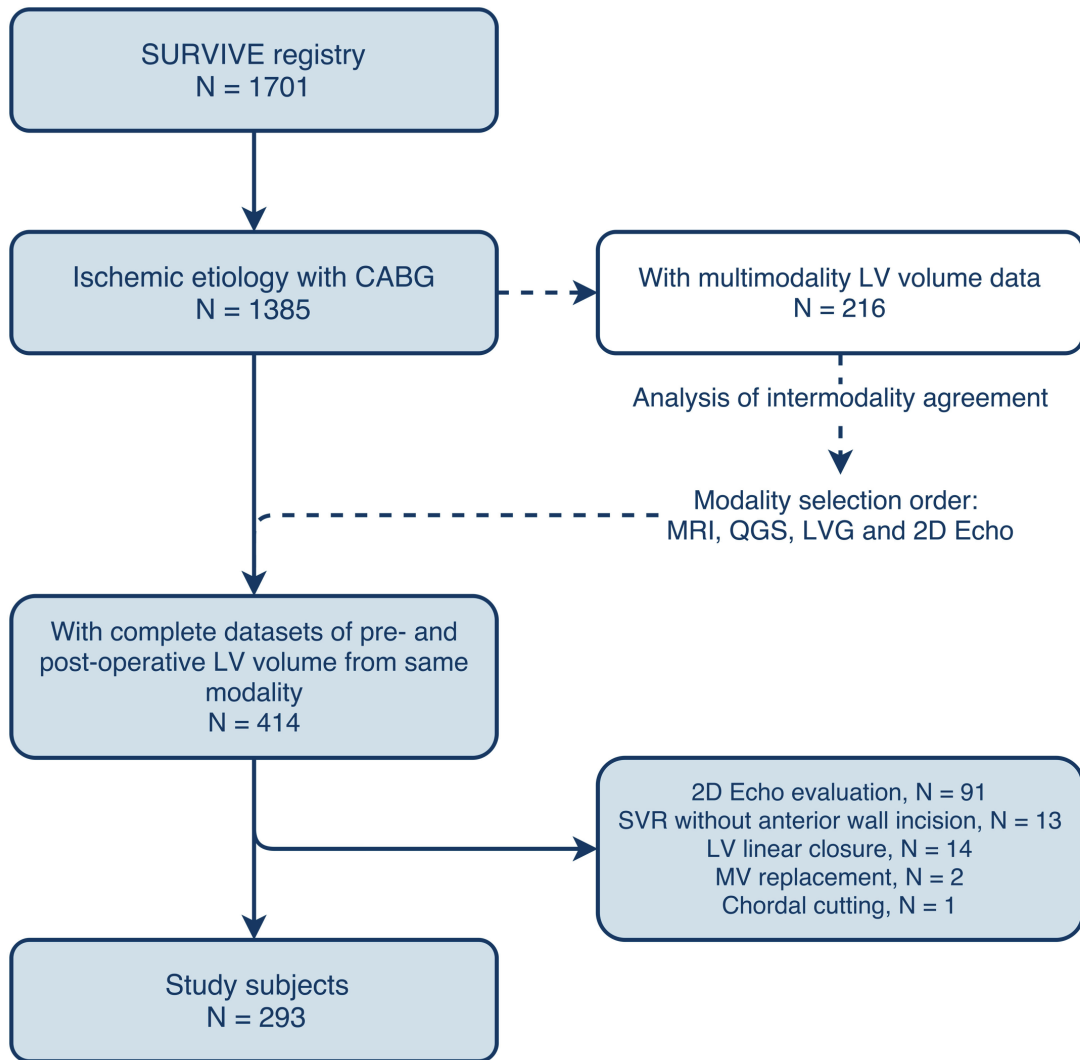
546 CI = confidence interval, EF = ejection fraction, ESVI = end-systolic volume index, Pt = patient

547

548 **VIDEO LEGEND**

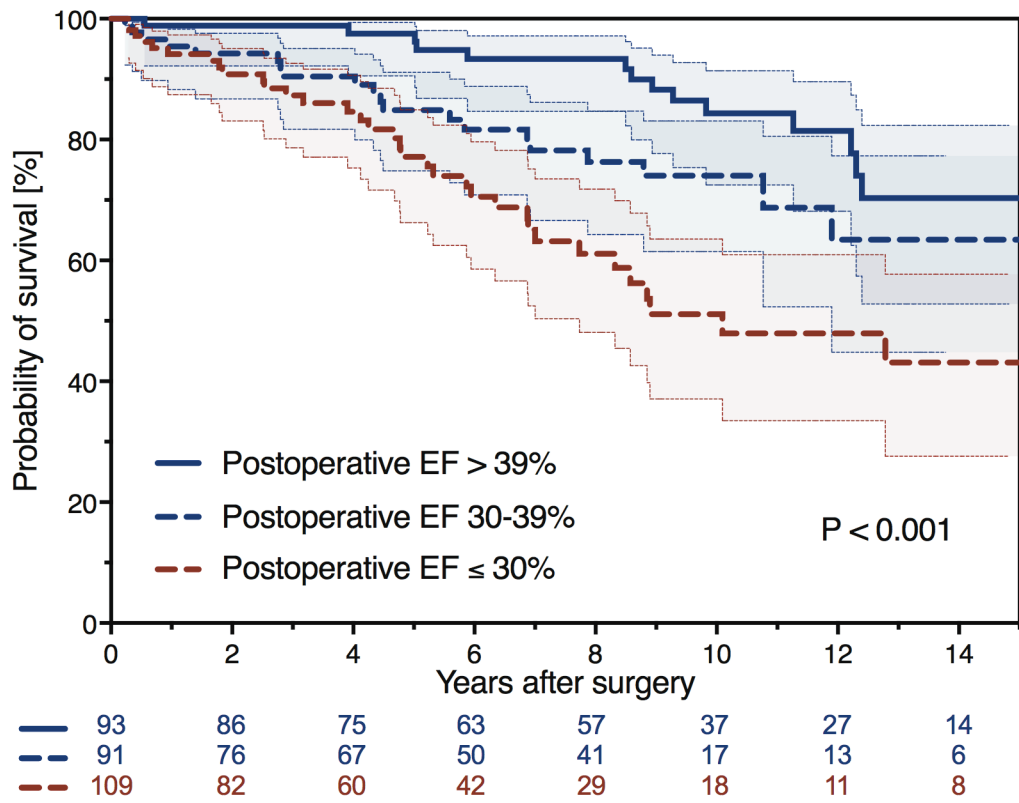
549 Four different SVR and submitral procedures were included in our study: endoventricular circular
550 patch plasty and septal anterior ventricular exclusion by Dr. Isomura, papillary muscle
551 approximation and overlapping left ventriculoplasty by Dr. Matsui, and endocardial linear infarct
552 exclusion technique by Dr. Yaku.
553

554 Figure 1.



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558 Figure 2.



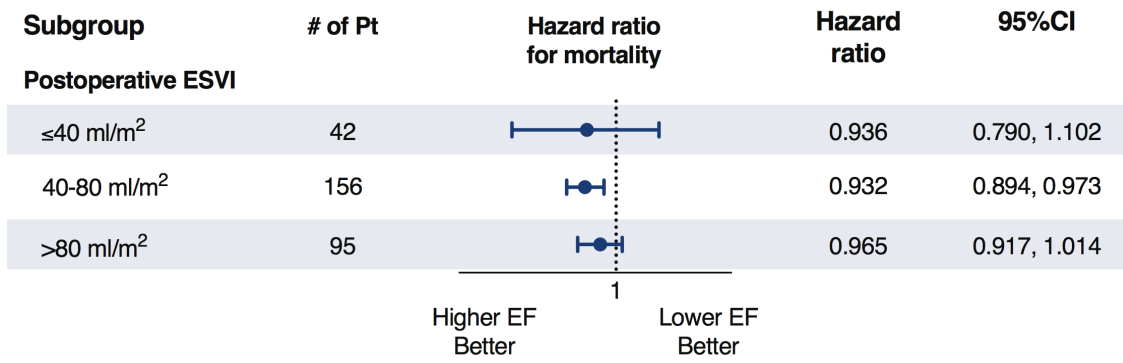
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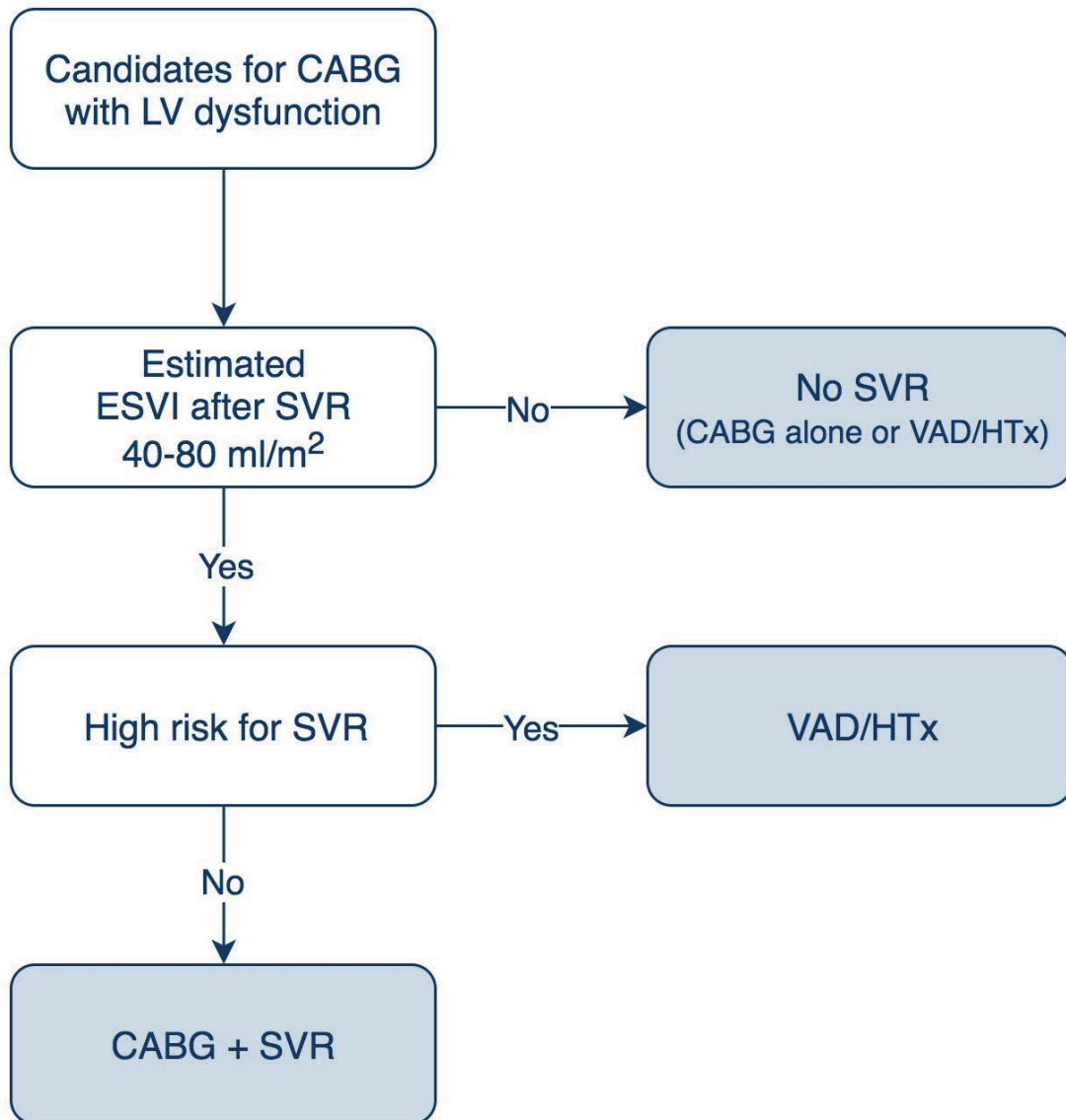
563 Figure 3.



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566 Figure 4.

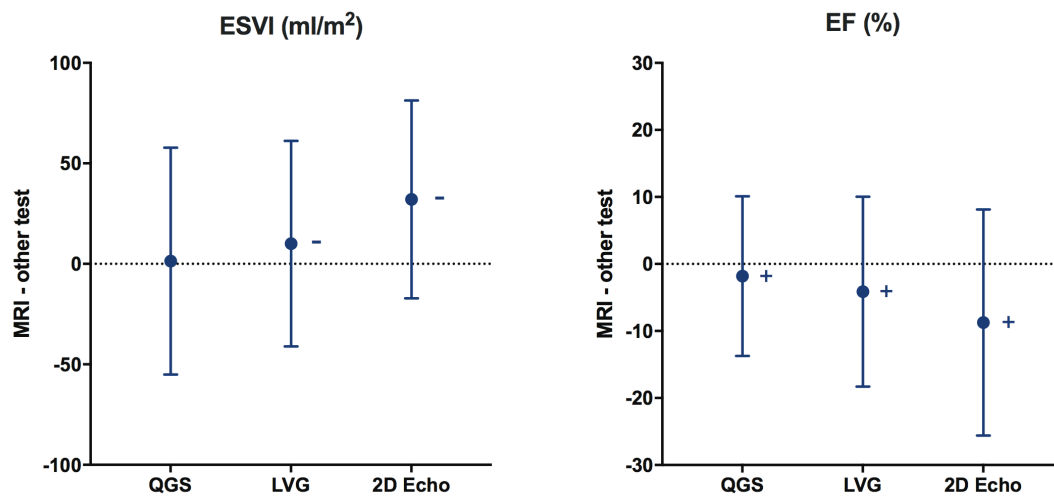


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570 Figure E1.

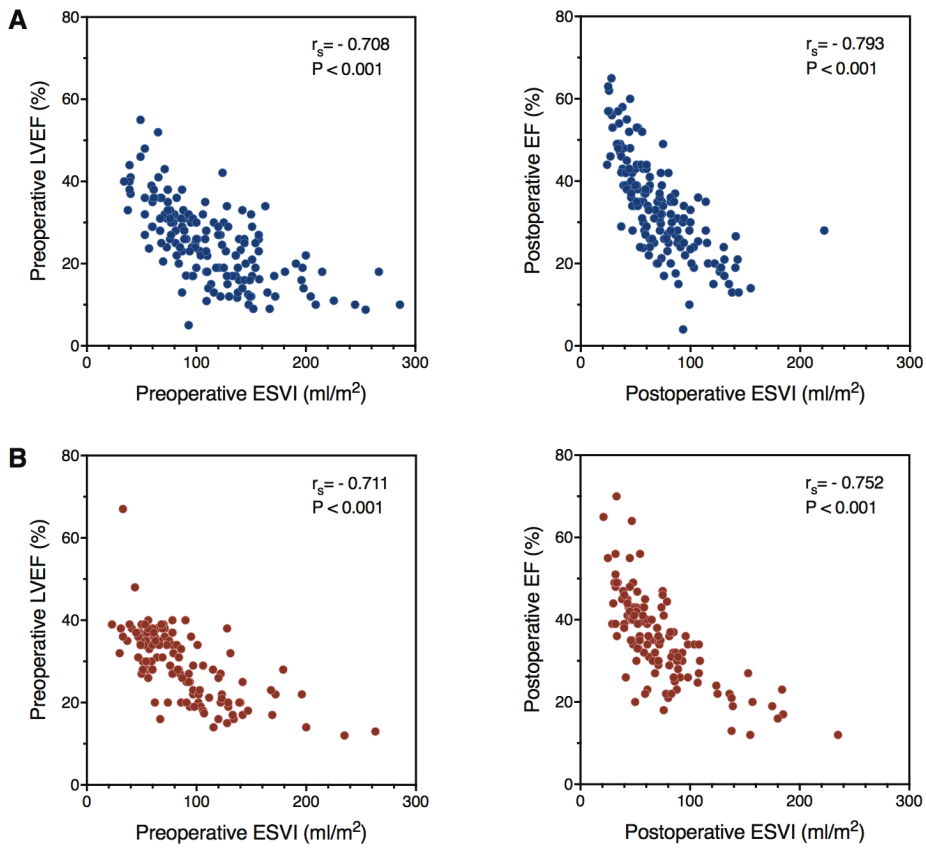


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574 Figure E2.

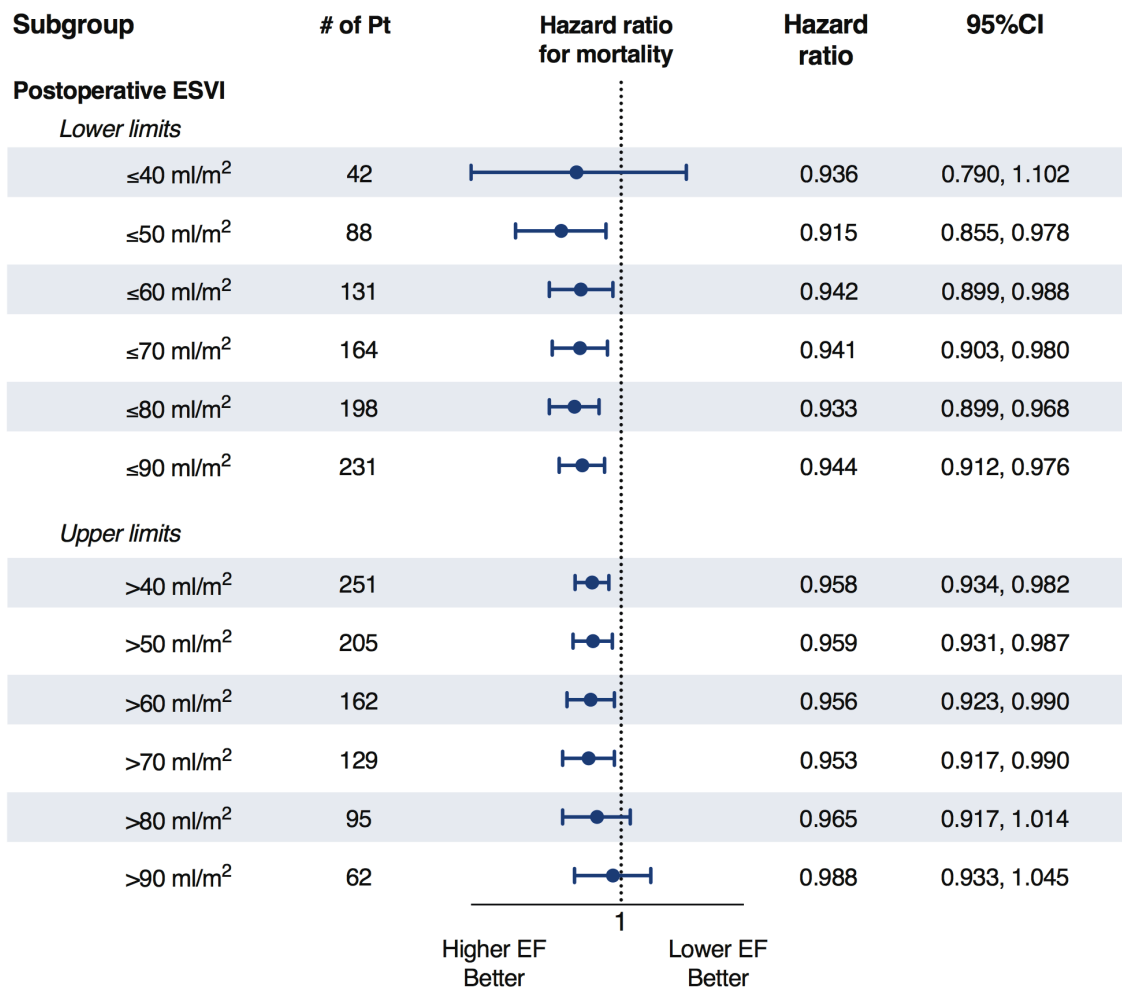


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578 Figure E3.



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