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## Modeling the Coupling Effect of CLT Connections under Bi-axial Loading

--Manuscript Draft--

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<b>Abstract:</b>	<p>This paper presents the modeling of coupling effect of tension and shear loading on Cross Laminated Timber (CLT) connections using a finite element based algorithm called HYST. The model idealizes the connections as a “Pseudo Nail” - elastoplastic beam elements (the nail) surrounded by compression-only spring elements (steel sheath and wood embedment). A gap size factor and an unloading stiffness degradation index of the spring elements under cyclic loading were integrated into the optimized HYST algorithm to consider the coupling effect. The model was calibrated to compare with 32 configurations of CLT angle bracket and hold-down connections tests: in tension with co-existent constant shear force, and in shear with co-existent tension force. The results showed that the proposed model can fully capture the coupling effect of typical CLT connections, considering strength degradation, unloading and reloading stiffness degradation, and pinching effect. The model provided a useful tool for nail-based timber connections and a mechanism-based explanation to understand the hysteretic behaviour of CLT connections under bi-axial loading.</p>	
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## 20 **Introduction**

21 In the past decades, Cross Laminated Timber (CLT) has been widely used as load bearing  
22 components such as walls and floors due to its high stability and load capacity. Many  
23 experimental tests have been conducted on CLT structural performance (Dujic *et al.* 2005, 2006,  
24 2008; Ceccotti *et al.* 2006, 2008, 2013; Popovski *et al.* 2010, 2015; Pei *et al.* 2013, 2014, 2016;  
25 Ganey 2015; van de Lindt *et al.* 2019). Those tests revealed that the connections anchoring CLT  
26 panels with foundations and walls are the critical elements that govern the structural response.  
27 The non-linearity of the connection is the key importance to design safe CLT structures. For  
28 those connections, one typical assumption is that, hold-downs take the tension force to resist  
29 overturning moment, while angle brackets take the shear force to resist the lateral force. Under  
30 such assumptions, several CLT connections have been tested for monotonic and cyclic tests, all  
31 loaded in only one direction (Rinaldin *et al.* 2013; Schneider *et al.* 2013; Tomasi and Smith  
32 2014; Mahdaviifar *et al.* 2018a).

33 However, recent tests of CLT panels under cyclic loading demonstrated that both hold-downs  
34 and angle brackets undertake uplift and slip resistances (Gavric *et al.* 2011). Moreover, those  
35 forces are coupled on the connections, which deteriorate their mechanical properties and seismic  
36 capacity, questioning the safety and rationality of current design methods. To investigate such  
37 coupling effect, monotonic and cyclic tests of CLT connections have been conducted under  
38 co-existent shear and tension load (Liu and Lam 2016, 2018, 2019; Pozza *et al.* 2017).

39 As for numerical models, two main approaches have been proposed to investigate the  
40 nonlinearity of CLT connections under different loading protocols. The first approach is to  
41 consider the CLT connection as a macro element (Folz and Filiatrault 2001; Pozza *et al.* 2009;  
42 Fragiaco *et al.* 2011; Ceccotti *et al.* 2013; Rinaldin *et al.* 2013; Shen *et al.* 2013; Lowes *et al.*

43 2004; Liu and Lam 2014; Zhang et al. 2015; Pozza et al. 2017; MahdaviFar *et al.* 2018b). Those  
44 models have limitations and inherent uncertainties in their applicability to other protocols, and in  
45 particular, to seismic loading. It is recognized that shear wall response in cyclic loading depends  
46 on test protocols. Although those models can be fitted quite accurately to specific loops from  
47 cyclic loading, yet it is questionable whether the fitted curves would provide a good  
48 representation of CLT connections under other loading protocols. Besides, these models can be  
49 put into applications but provide little explanation in understanding the fundamental mechanism  
50 of CLT connections under complex loading.

51 The second approach is using mechanism-based micro elements to consider CLT connections.  
52 Because the behavior of a CLT connection is mostly governed by the behavior of nail  
53 connections, the hysteretic response from a CLT connection and that from a nail connection  
54 show strong similarity in characteristics of strength/stiffness degradation and pinching effect.  
55 Hence, the connection can be modeled as if it was a single nail connection (i.e., a pseudo-nail)  
56 (Gu and Lam 2004). Using this pseudo-nail approach, Li and Lam (2009) studied the  
57 diagonal-braced timber walls, Li *et al.* (2009) studied the seismic reliability of diagonal-braced  
58 walls and structural-panel-sheathed walls, and Li *et al.* (2014) studied the seismic performance  
59 of timber-steel hybrid structures. In this approach, the nonlinear behaviour of connections and  
60 walls are predicted through nonlinear analysis conducted at the fasteners level using the HYST  
61 algorithm. As a Finite Element detailed nail model, this algorithm can capture the hysteresis  
62 behaviour of timber connections using metal fasteners, which is based on the basic elastoplastic  
63 stress-strain relationship of the connector material and a simple presentation of the nonlinear  
64 behaviour of wood embedment medium. This approach has the advantage of being based on  
65 equivalent mechanical properties of the nail fasteners, steel plates, and the surrounding wood



66 medium of a connection or wall, which helps understand the mechanism under complex loading  
67 through physical meanings.

68 The original HYST algorithm was proposed and adopted to calculate the hysteretic behavior of  
69 timber connections using metal fasteners (Foschi and Yao 2000). Li *et al.* (2011) modified this  
70 algorithm to improve its representation of strength and stiffness degradation. Key features of the  
71 improved algorithm include automatically tracking the formation of gaps between the nail and  
72 the wood, and strength degradation and reloading stiffness degradation of the wood embedment.  
73 Later on, Lim *et al.* (2017) modified the algorithm and embedded a more mechanistically sound  
74 withdrawal model with consideration of the displacement compatibility between the movement  
75 of the nail and the resisting wood medium.

76 In this paper, a gap size factor and an unloading stiffness degradation index are introduced into  
77 the HYST algorithm to fully address the hysteresis behaviour of CLT connections under bi-axial  
78 loading, which provides sufficient explanation of the coupling effect. First, it discusses the  
79 optimized model. Then the experimental tests of CLT connections under bi-axial loading are  
80 described. The paper subsequently presents modeling the hysteresis behaviour of the 32  
81 configurations using the pseudo-nail model with the optimized HYST algorithm and discusses  
82 the parameters in the models.

### 83 **Modeling Approach**

84 The CLT connections under bi-axial loading were simulated as pseudo-nail models using HYST  
85 algorithm to consider the coupling effect. As a micro modeling approach, the pseudo-nail model  
86 has three parts: the nail, the sheath, and the wood embedment, which can represent the group of  
87 nails, the steel plate of hold-down/angle bracket, and the CLT wood panel in CLT connections,  
88 respectively. The HYST algorithm was modified to add features to characterize the strength

89 degradation, unloading stiffness degradation, reloading stiffness degradation, and pinching effect  
90 of typical timber connections. Details about the model and algorithms are described as below.  
91 The original HYST algorithm can be found in Foschi *et al.* (2000) and the modified HYST  
92 algorithm can be found in Li *et al.* (2011).

### 93 **Pseudo-nail model**

94 The shapes of the load deformation curve of individual nail and that of connectors with fasteners  
95 have many similarities. The similarities can be explained since the structural response of CLT  
96 connections is governed by the characteristics of the nails. The effect of the deformations from  
97 all nails is imposed together to exhibit an overall load-displacement curve for CLT connections.  
98 Thus, it is possible to represent a CLT connection with mechanics-based analog as a single  
99 pseudo-nail. Fig. 1 (a) shows the nail connector model. Given a lateral force  $F$  to the covering  
100 sheath, the nail will have a displacement of  $\Delta$  at the head of the nail. Meanwhile, the shank of the  
101 nail performed non-linear deformation in the surrounding wood embedment. Fig. 1 (b) and Fig. 1  
102 (c) present angle bracket connection and hold-down connection as a pseudo-nail, respectively.  
103 The steel plate of angle bracket/hold-down is considered as the equivalent sheath. All nails are  
104 grouped as one pseudo-nail and CLT panel is considered as the equivalent wood embedment.

105 **Fig. 1.** (a) nail connector model; (b) pseudo-nail model of angle bracket connection; (c)  
106 pseudo-nail model of hold-down connection

### 107 **Optimized HYST algorithm**

108 From CLT connections under bi-axial loading experiments, it was found that due to the  
109 co-existent force in the perpendicular direction, the nails travelling in the gap encountered  
110 resistance. As shown in Fig. 2 (a), when there is only shear force applied, the nail can travel in

111 the gap with no resistance. But with co-existent tension force applied (Fig. 5 (b)), such tension  
112 force caused pressure on the nail shank from surrounding wood embedment. This pressure  
113 provides lateral resistance to the nail in the gap during unloading. Furthermore, higher level of  
114 co-existent force caused larger resistance during unloading.

115 **Fig. 2.** Schematic section views of nails in the wood embedment: (a) nail movement under shear  
116 force with no co-existent tension load; (b) nail movement under shear force with co-existent  
117 tension load

118 To address such coupling effect, in the optimized HYST algorithm, a gap size factor  $\beta$  and an  
119 unloading stiffness degradation index  $\gamma$  were introduced into the modified HYST algorithm. The  
120 optimized algorithm can capture all features of nail-based connections under complex loading,  
121 including the strength degradation, reloading stiffness degradation, unloading stiffness  
122 degradation, and pinching effect. Table 1 shows the descriptions of the eight parameters to define  
123 this force-displacement relationship.

124 **Table 1.** Descriptions of embedment property parameters in optimized HYST algorithm

125 In the optimized HYST algorithm, the relationship between the pressure  $p(w)$  and the  
126 deformation of sheath and wood embedment  $w$  in the embedment properties is shown in Fig. 3. It  
127 was noted that in CLT connections under bi-axial loading, the backbones of force-displacement  
128 curves also changed. This can be modeled by the change of embedment property parameters of  
129 equivalent wood embedment.

130 **Fig. 3.** Embedment properties in the optimized HYST algorithm

131 The displacement  $w$  starts at  $O$  with an initial stiffness of  $K_0$ . It reaches peak value  $P_{max}$  at  $D_{max}$   
132 along the first exponential curve. After that, it follows the second exponential curve with a  
133 softening trend to  $Z$ . The backbone force-displacement curve is represented in Eq. (1).

$$134 \quad \begin{cases} p(w) = (Q_0 + Q_1 w)(1 - e^{-K_0/Q_0}) & \text{if } w \leq D_{max} \\ p(w) = P_{max} e^{Q_3(w - D_{max})^2} & \text{if } w > D_{max} \end{cases} \quad (1)$$

135 where  $P_{max} = (Q_0 + Q_1 D_{max})(1 - e^{-K_0 D_{max}/Q_0})$  and  $Q_3 = \log(0.8) / [(Q_2 - 1.0) D_{max}]^2$ .

136 When unloading from point A, instead of following a straight line with an unloading stiffness of  
 137  $K_0$  in the original HYST algorithm and modified HYST algorithm, the unloading curve follows  
 138 another straight line with an unloading stiffness of  $K_{UL}$  until it reaches point B. Point B is inside  
 139 of the gap  $D_0$ , which indicates the contribution of resistance during unloading. When reloading  
 140 from point B, the reloading stiffness  $K_{RL}$  is the same as the modified HYST algorithm. It is  
 141 assumed that reloading from point B follows another straight line with reduced stiffness  $K_{RL}$  to  
 142 point C. Subsequent unloading from point C will follow the original stiffness  $K_0$  until  $D'$  is  
 143 reached, resulting a new gap of magnitude  $D_0'$ . A reloading degradation index  $\alpha$  is used to  
 144 consider both the strength degradation and reloading stiffness degradation. The value of  $\alpha$  is  
 145 between 0 and 1. The reloading stiffness  $K_{RL}$ , which is related to the initial stiffness  $K_0$  and the  
 146 gap size  $D_0$ , is represented in Eq. (2).

$$147 \quad \begin{cases} K_{RL} = K_0 & \text{if } D_0 \leq D_y \\ K_{RL} = (D_y / D_0)^\alpha K_0 & \text{if } D_0 > D_y \end{cases} \quad (2)$$

148 where  $D_y = Q_0 / (K_0 - Q_1)$ , corresponding to a yielding deformation given by the intersection of  
 149 the original slope and the asymptote.

150 The optimized algorithm introduced a gap size factor  $\beta$  to indicate the position of point B. The  
 151 distance  $L_{OB}$  between point O and point B is calculated as  $L_{OB} = \beta D_0$ . An unloading degradation  
 152 index  $\gamma$  is used to consider the unloading stiffness degradation. The value of  $\gamma$  is between 0 and  
 153 1. The unloading stiffness  $K_{UL}$ , which is related to the initial stiffness  $K_0$ , the gap size  $D_0$ , and the  
 154 stiffness and reloading degradation index  $\alpha$ , is represented in Eq. (3).

$$\begin{cases} K_{UL} = K_0 & \text{if } D_0 \leq D_y \\ K_{UL} = (D_y / D_0)^{\alpha\gamma} K_0 & \text{if } D_0 > D_y \end{cases} \quad (3)$$

Where  $D_y = Q_0 / (K_0 - Q_1)$ , corresponding to a yielding deformation given by the intersection of the original slope and the asymptote.

The optimized algorithm has been compiled using the Fortran compiler in Intel Parallel Studio XE 2018. For the longest duration of protocol in the tests, which is the shear cyclic test of hold-down connections under co-existent tension force, it takes approximately 20 seconds to run on a computer with a quad-core CPU and 8 GB memory.

### Parameter study

To understand the effect of the two introduced parameters and provide calibration methods for CLT connection modelling, a parameter study of the gap size factor  $\beta$  and the unloading degradation index  $\gamma$  on was carried out.

A trail model was established for a cyclic test. Four different values of gap size factor  $\beta$ , namely, 1, 0.8, 0.5, and 0, were input into this model while the remaining parameters were retained as initialled. The hysteresis loops are shown in Fig. 4. It was observed that, as the gap size factor decreased, first, the maximum loading capacity slightly increased. Second, the unloading path from the maximum load to 0 kN force changed significantly. Third, the slipping distance between 0 mm displacement and the displacement where the force was unloaded to 0 kN decreased. Finally, the degradation effect was weakened.

**Fig. 4.** Hysteresis loops with different gap size factors: (a) 1.0; (b) 0.8; (c) 0.5; (d) 0

Four different values of unloading degradation index  $\gamma$ , namely, 0, 0.2, 0.5, and 1.0, were input into the model while the remaining parameters were retained as initialled. The hysteresis loops are shown in Fig. 5. This parameter had little influence on the overall hysteresis loops. Its key

177 contribution was that it controlled the unloading stiffness and range of slippage. Larger values of  
178  $\gamma$  increased the unloading stiffness and reduced the distance of the slipping.

179 **Fig. 5.** Hysteresis loops with different unloading degradation indices: (a) 0; (b) 0.3; (c) 0.5; (d)  
180 1.0

## 181 **Test Description**

182 To investigate the coupling effect of shear load and tension load on CLT connections,  
183 experimental tests of angle bracket CLT connections and hold-down CLT connections under  
184 bi-axial loading were conducted. Due to space limitations and content relevance, the tests are  
185 described here concisely. The detailed setup, results, analyses, and discussions of the  
186 experiments can be found in (Liu and Lam 2016, 2018, 2019).

187 In the tested CLT connections, for the CLT panels, 5-layer panels made of graded No. 1/2 SPF  
188 lumber with a thickness of 169 mm were used. As shown in Fig. 6 (a), on each side of the angle  
189 bracket CLT connection, AE116 angle bracket was used with 8  $\Phi$  4 x 60 nails, connected to the  
190 steel base by three M12 bolts. Two actuators were acting on the specimen, denoted as LC1 and  
191 LC2: LC 1 provided vertical load through a steel cable connected to the connection, while LC2  
192 provided lateral load at the bottom of the connection. During each test, one load cell provided a  
193 constant load, while the other one provided a monotonic or cyclic load. The same setup was  
194 applied for hold-down connections as shown in Fig. 6 (b). On each side of the hold-down CLT  
195 connection, HTT5 hold-down was used with 12  $\Phi$  4 x 60 nails, connected to the steel base by  
196 one M16 bolt.

197 **Fig. 6.** Schematic drawing of the experiment setup: (a) angle bracket test setup; (b) hold-down  
198 test setup

199 Fig. 7 (a) and Fig. 7 (b) present a representative test photo for angle bracket connection and

200 hold-down connection, respectively.

201 **Fig. 7.** Representative test photos: (a) angle bracket test; (b) hold-down test

202 Four sets of connection tests were performed under bi-axial loading: 1) Set A: monotonic and  
203 cyclic shear loading with 4 levels of constant tension loads (0 kN, 20 kN, 30 kN, and 40 kN) on  
204 angle bracket connections; 2) Set B: monotonic and cyclic tension loading with 4 levels of  
205 constant shear loads (0 kN, 20 kN, 30 kN, and 40 kN) on angle bracket connections; 3) Set C:  
206 monotonic and cyclic shear loading with 5 levels of constant tension loads (0 kN, 20 kN, 30 kN,  
207 40 kN, and 60 kN) on hold-down connections; 4) Set D: monotonic and cyclic tension loading  
208 with 3 levels of constant shear loads (0 kN, 10 kN, and 20 kN) on hold-down connections. All  
209 tests were conducted using a reverse cyclic protocol with predefined yield values which varied  
210 from configuration to configuration, depending on experimental yield values obtained from  
211 monotonic tests.

212 For each configuration, one monotonic and three/six cyclic tests were performed. In total, 88  
213 tests were conducted: 22 tests for Set A, 22 tests for Set B, 26 tests for Set C, and 18 tests for Set  
214 D. The specimens were named under the following rules: the first two letters “AB” or “HD”  
215 denote “angle bracket connection” or “hold-down connection”; the following letter “S” or “T”  
216 denotes “constant shear load” or “constant tension load” in one direction; the following number  
217 denotes the constant load value; the letter after “T” and “S” representing the dynamic loading in  
218 the perpendicular direction; the following letter “C” or “M” denotes “cyclic loading” or  
219 “monotonic loading”; the number after “C” denotes the numbering of the specimen. For example,  
220 “HDS10TC2” represented the No. 2 hold-down specimen for cyclic tension loading with a  
221 co-existent shear load of 10 kN.

222 The force-displacement curves and the findings of the tests are presented in the next section  
223 comparing with modeling results.

## 224 **CLT Connection Modeling**

225 The CLT connections were simulated using pseudo-nail model with the optimized HYST  
226 algorithm. For each configuration, one representative specimen was modeled. In total, 32  
227 pseudo-nail models were calibrated to cover all configurations. The models are validated versus  
228 the test results and the parameters are discussed in this section.

### 229 **Model validation**

230 The results from HYST model and test results are presented to demonstrate the efficacy of the  
231 optimized algorithm.

232 Fig. 8 presents the HYST model results versus test results of Set A, which are the angle bracket  
233 shear tests with a co-existent tension force. From those figures, first, it is noticed that the  
234 optimized HYST algorithm exhibited high consistence in modeling the monotonic behaviour of  
235 the connections in the four conditions. As shown from Fig. 8 (a) to Fig. 8 (d), with the  
236 introduction of co-existent tension force, the hysteresis behavior changed sharply. As the  
237 co-existent tension load increased, the shear performance of connectors was weakened,  
238 especially the strength, unloading stiffness, energy dissipation capacity and stability. The model  
239 showed satisfying adaptability in capturing those features. Comparing the curves in the red boxes  
240 in Fig. 8 (a) and Fig. 8 (b), the change of unloading was seized in this model, which is not able to  
241 achieve if using the modified HYST algorithm (Li and Lam 2015). In Fig. 8 (d), due to the  
242 instability of connections under high co-existent tension load, the modeling results had a certain  
243 difference to the test results in the last cycle as pointed by the arrows.

244 **Fig. 8.** HYST model versus test results of force-displacement curves in Set A: (a) 0 kN ; (b) 20  
245 kN; (c) 30 kN; (d) 40 kN



246 Fig. 9 shows the comparisons of energy dissipation in cyclic tests for Set A. Good agreement can  
247 be observed, which also validated the accuracy of the optimized algorithm in modeling hysteresis  
248 behaviour.

249 **Fig. 9.** HYST model versus test results of energy dissipation in Set A: (a) 0 kN ; (b) 20 kN; (c)  
250 30 kN; (d) 40 kN

251 The HYST model results versus test results of Set B, the hold-down shear tests with a co-existent  
252 tension force, are shown in Fig. 10. The results presented similar features as those of Set A. The  
253 change of unloading, highlighted in red boxes, and weakening of pinching effect, pointed by  
254 arrows, were even more visible in those five conditions comparing with Set A. The reloading  
255 stiffness degradation was more obvious, as shown in the circles in Fig.10 (a) and Fig. 10 (c).  
256 These features were captured by the model with high accuracy.

257 **Fig. 10.** HYST model versus test results of force-displacement curves in Set B: (a) 0 kN; (b) 20  
258 kN; (c) 30 kN; (d) 40 kN; (e) 60 kN

259 The energy dissipated by the hysteresis loops using models indicated satisfying consistency with  
260 that of tests, as shown in Fig. 11.

261 **Fig. 11.** HYST model versus test results of energy dissipation in Set B: (a) 0 kN; (b) 20 kN; (c)  
262 30 kN; (d) 40 kN; (e) 60 kN

263 For Set C, as shown in Fig. 12, the co-existent shear force weakened the axial loading capacity  
264 and energy dissipation capacity at large vertical displacements. The backbones deteriorated more  
265 severely than those in Set A and Set B for cyclic tension tests with co-existent tension force. At  
266 40 kN co-existent shear force, the tension capacity dropped 25% compared to 0 kN co-existent  
267 shear force. This is simulated by changing the five parameters of equivalent wood embedment,  
268  $Q_0$ ,  $Q_1$ ,  $Q_2$ ,  $K_0$ , and  $D_{\max}$ , that influence the backbone of the pseudo-nail model. The setup of the  
269 tests, loading tension through a steel cable, limited the unloading and reloading. But the model

270 still performed well in capturing the hysteresis loops with the real protocol recorded from cyclic  
271 tests.

272 **Fig. 12.** HYST model versus test results of energy dissipation in Set C: (a) 0 kN; (b) 20 kN; (c)  
273 30 kN; (d) 40 kN

274 In Set D, the co-existent shear force weakened the energy dissipation capacity of hold-downs  
275 significantly at large vertical displacements, as shown in Fig. 13. The difference between model  
276 and test results in unloading is due to the relaxation of the loading cable. Otherwise, the accuracy  
277 of the model is sufficient compared to the test results.

278 **Fig. 13.** HYST model versus test results of force-displacement curves in Set D: (a) 0 kN; (b) 10  
279 kN; (c) 20 kN

280 Based on above validations, it can be concluded that pseudo-nail model with the optimized  
281 HYST algorithm is a powerful finite-element based algorithm in simulating CLT connections  
282 under bi-axial loading, and more generally, nail-based wood connections under different loading  
283 protocols.

## 284 **Parameter discussion**

285 The parameters used to calculate the force-displacement curves are presented and discussed as  
286 below. One feature of the optimized algorithm is that it helps explain and understand the  
287 structural mechanisms of nail-based wood connections under complex loading.

288 In all models, the pseudo-nail had the same length ( $L$ ) of 150 mm, diameter ( $D$ ) of 8 mm, Elastic  
289 Modulus ( $E$ ) of 200 GPa, and yielding strength ( $E_y$ ) of 0.01 kN/mm<sup>2</sup>. All angle bracket and  
290 hold-down connections were considered as stiff steel plate sheath with a thickness of 5 mm, and  
291 large embedment property parameters of  $Q_0$  (100 kN/mm),  $Q_1$  (100 kN/mm<sup>2</sup>),  $Q_2$  (200),  $K_0$  (200  
292 kN/mm<sup>2</sup>),  $D_{max}$  (200 mm).  $\alpha$ ,  $\beta$ , and  $\gamma$  of the sheath had little influence on the performance.

293 The major differences between the models were the embedment property parameters of the  
294 equivalent wood embedment. Table 2 presents those parameters for each test configuration.

295 **Table 2.** Property parameters of equivalent wood embedment for each test configuration

296 For all monotonic tests, only the first five parameters  $Q_0$ ,  $Q_1$ ,  $Q_2$ ,  $K_0$ , and  $D_{max}$  were needed in the  
297 models. For Set B and Set D, where tension cyclic tests were conducted, loading vertical force  
298 through a steel cable limited the accuracy of unloading and reloading. Thus the models also only  
299 adopted the first five parameters targeting the backbones. For the shear cyclic tests in Set A and  
300 Set D, the hysteresis behaviours of CLT connections were well captured. Subsequently, all 8  
301 parameters played their roles in depicting the characteristics of CLT connections under bi-axial  
302 loading. In each set, the parameters of pure shear or tension tests were calibrated at first. After  
303 that, the rest tests with co-existent force were adjusted based on those parameters and at a  
304 principle of modifying the least number of parameters.

305 Since the parameters in nail shank and steel plate sheath are the same in all sets, the parameters  
306 of equivalent wood embedment are comparable. Furthermore, they provided explanation of the  
307 phenomenon in the tests in the sense of physics.

308 Co-existent forces weakened the loading and unloading in cyclic tests, which can be observed  
309 from the decreasing trend of the values of  $Q_0$ ,  $Q_1$ ,  $Q_2$ ,  $K_0$ , and  $D_{max}$  in each set. The observation  
310 that CLT connections can hold strength after peak values longer for tension than shear was  
311 confirmed to the variations of parameter  $Q_2$ , 1.1 ~ 1.3 for shear, and 1.35 ~ 2.8 for tension. The  
312 fact that angle bracket has larger shear stiffness than hold-downs was reflected in the initial  
313 stiffness parameter  $K_0$ , 0.31 kN/mm<sup>2</sup> for angle bracket and 0.04 kN/mm<sup>2</sup> for hold-down. For  
314 hold-downs, the initial stiffness parameter  $K_0$  was 0.04 kN/mm<sup>2</sup> for shear, and 4 kN/mm<sup>2</sup> for  
315 tension. This verified that hold-downs are stronger in tension than shear. The fact that CLT

316 connections has more deformation capacities in shear of than tension was demonstrated through  
317 the parameter  $D_{max}$ , 35 mm ~ 50 mm for shear, and 7 mm ~ 11 mm for tension.

318 As for degradation parameters, the strength/reloading stiffness degradation factor  $\alpha$  has been  
319 discussed in detail in Li *et al.* (2011). Larger value of  $\alpha$  leads to severe strength degradation and  
320 reloading stiffness degradation. The unloading degradation needs two parameters to be captured.  
321 First, an exponential index  $\gamma$  was used to calculate the unloading stiffness value. The similar  
322 definition form as  $\alpha$  guarantees the continuity and stability of the algorithm. Second, the  
323 algorithm needs to define an interval, in which the pseudo-nail is unloading with resistance.  
324 Thus, the gap size factor  $\beta$  is introduced and the interval is from  $\beta D_0$  to  $D_0$ . Table 2 revealed that  
325  $\beta$  become smaller under larger co-existent force. This is confirmed with the fact that larger  
326 co-existent force caused more resistance in the gap.

327 Fig. 14 is a representative curve showing the embedment properties of equivalent wood  
328 embedment of HDT30SC in the modified HYST algorithm generated from the parameters in  
329 Table 2.

330 **Fig. 14.** The embedment property curve for the equivalent wood embedment of HDT30S  
331 The values of the curve are mostly contributed by  $Q_0$  and  $Q_1$ , and weakly influenced by  $K_0$ , until  
332  $D_{max}$  is reached.  $K_0$  and  $Q_0$  control its shape.  $Q_2$  controls the shape of the right curve after  $D_{max}$ .  
333 The equivalent wood embedment provided resistance inside the gap from  $D_0$  to  $0.7D_0$ . This gap  
334 size factor  $\beta$  and unloading stiffness index  $\gamma$  are the keys of capturing the coupling effect under  
335 bi-axial loading.

### 336 **Model limitation**

337 Despite the strong functionality of the optimized algorithm, it should be noted that under bi-axial  
338 loading, experimental results showed that for different co-existent force levels, the connections

339 had different backbones, unloading and reloading paths. The optimized algorithm presents an  
340 intuitive way in explaining the mechanics of bi-axial loading, and has high accuracy in modeling  
341 different configurations. But it is an empirical model that needs to be calibrated using test data. If  
342 we want to use interpolation function method to generate an implementation model for dynamic  
343 analysis, we need more incremental co-existent force level tests. Besides, in the tests and  
344 modeling, bi-axial loading was conducted in a form of constant loading in one direction, and  
345 dynamic loading in the perpendicular direction. In the real structures, CLT connections are  
346 undertaking dynamic loads in both directions. The way of addressing the coupling effect of  
347 dynamic loads in both directions needs to be further studied.

## 348 **Conclusions**

349 In this paper, the expansion of an existing protocol-independent nail connection algorithm was  
350 presented and applied to simulate the coupling effect of CLT connections under bi-axial loading.  
351 The optimized HYST algorithm added unloading stiffness degradation feature to the original  
352 algorithms, which extends its sufficient application to nail-based timber connections that need to  
353 consider strength degradation, unloading/reloading stiffness degradation, pinching effect, and  
354 coupling effect. Using pseudo-nail model with this optimized HYST algorithm, four sets of CLT  
355 connection tests, Set A) monotonic/cyclic shear tests of angle bracket connections with four  
356 levels of co-existent tension force, Set B) monotonic/cyclic shear tests of hold-down connections  
357 with five levels of co-existent tension force, Set C) monotonic/cyclic tension tests of angle  
358 bracket connections with four levels of co-existent shear force, and Set D) monotonic/cyclic  
359 tension tests of hold-down connections with three levels of co-existent shear force, were  
360 modeled. The simulation provided a mechanism-based way and physical explanation to  
361 understand the behaviour of CLT connections under bi-axial loading protocols.

362 The model results were compared with test results for all 32 configurations by hysteresis loops  
363 and energy dissipations, which indicated strong accuracy and efficiency of the pseudo-nail  
364 modeling method and the optimized HYST algorithm. The newly observed unloading stiffness  
365 degradation phenomenon in CLT connections, which is caused by co-existence force, was  
366 captured by the two introduced parameters in equivalent wood embedment properties, gap size  
367 factor  $\beta$  and unloading stiffness degradation index  $\gamma$ . Based on the simulation results, the  
368 parameters of the optimized HYST algorithm were discussed to explain the mechanisms of the  
369 structural behaviour of CLT connections. The observations in the tests were identical with the  
370 variations of model parameters. The key feature of coupling effect of bi-axial loading, that nails  
371 undertake loads in the gap in wood embedment, was explained and quantified by the gap size  
372 factor  $\beta$  and unloading stiffness degradation index  $\gamma$ . Both the gap size factor  $\beta$  and unloading  
373 stiffness degradation index  $\gamma$  have individual mechanical meanings. Gap size factor presents the  
374 interval in the gap where pseudo-nail receives resistance due to co-existent load. Unloading  
375 stiffness degradation index accounts for the stiffness degradation in this interval. The optimized  
376 model extended the application scope of HYST and strongly improved its accuracy in dynamic  
377 analysis.

378 As for this research, the modeling of bi-axial loading effect of CLT connections with constant  
379 load in one direction and dynamic load in the perpendicular direction has reached the goals. Still,  
380 further research on dynamic bi-axial loading of CLT connections is required.

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## 481 **Acknowledgement**

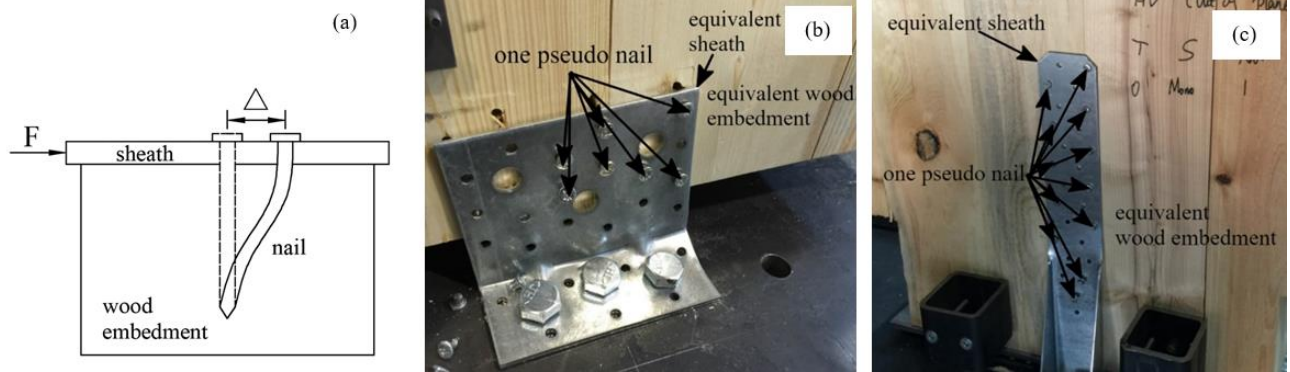
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483 of British Columbia (UBC) is acknowledged. The project is funded by NSERC Strategic  
484 Network on Innovative Wood Products and Building Systems (NEWBuildS).

**Tables:****Table 1.** Descriptions of embedment property parameters in optimized HYST algorithm

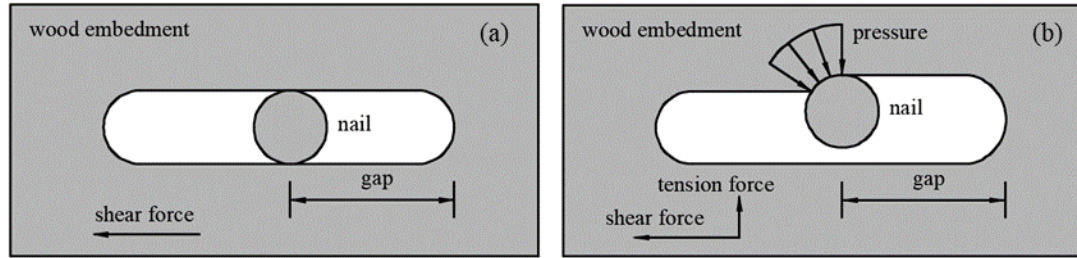
Parameter	Description
$K_0$	Initial stiffness
$Q_0$	Intercept of the asymptote at the maximum compressive response
$Q_1$	Slope of the asymptote at the maximum compressive response
$Q_2$	Post-peak decay factor
$D_{max}$	Displacement at the maximum compressive response
$\alpha$	Strength and reloading degradation index
$\beta$	Gap size factor
$\gamma$	Unloading degradation index

**Table 2.** Property parameters of equivalent wood embedment for each test configuration

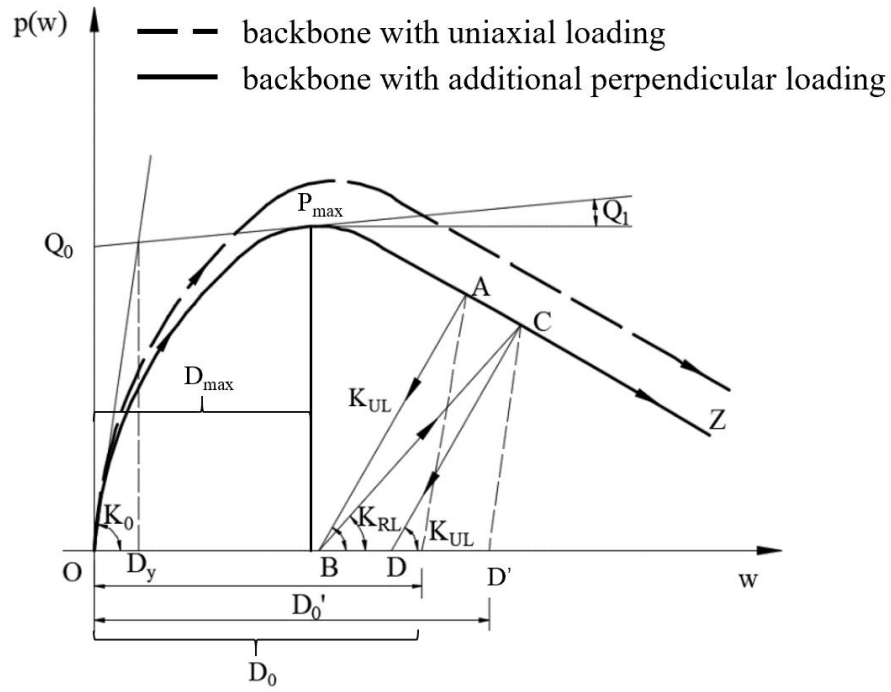
Set	Configuration	$Q_0$ (kN/mm)	$Q_1$ (kN/mm <sup>2</sup> )	$Q_2$	$K_0$ (kN/mm <sup>2</sup> )	$D_{max}$ (mm)	$\alpha$	$\beta$	$\gamma$
A	ABT0SM	0.16	0.22	1.2	0.31	32	-	-	-
	ABT0SC	0.16	0.20	1.3	0.31	35	0.15	1	0.2
	ABT20SM	0.16	0.18	1.1	0.31	39	-	-	-
	ABT20SC	0.16	0.16	1.3	0.31	35	0.2	0.3	0.7
	ABT30SM	0.16	0.18	1.1	0.31	35	-	-	-
	ABT30SC	0.16	0.16	1.3	0.31	35	0.2	0.2	0.7
	ABT40SM	0.16	0.16	1.1	0.31	35	-	-	-
	ABT40SC	0.16	0.16	1.3	0.16	35	0.2	0.1	1
B	ABS0TM	4	0.5	1.35	2	11	-	-	-
	ABS0TC	4	0.3	1.35	2	10	-	-	-
	ABS20TM	4	0.5	1.35	3	11	-	-	-
	ABS20TC	4	0.28	1.8	2	10	-	-	-
	ABS30TM	4	0.5	1.35	1	9	-	-	-
	ABS30TC	4	0.3	2	1.5	7	-	-	-
	ABS40TM	4	0.5	1.35	1	7.5	-	-	-
	ABS40TC	4	0.2	1.2	4	8	-	-	-
C	HDT0SM	0.26	0.025	1.2	0.04	45	-	-	-
	HDT0SC	0.26	0.02	1.2	0.1	45	0.15	1	0
	HDT20SM	0.26	0.028	1.2	0.05	50	-	-	-
	HDT20SC	0.26	0.015	1.2	0.05	45	0.4	0.8	0.8
	HDT30SM	0.26	0.03	1.2	0.04	50	-	-	-
	HDT30SC	0.26	0.015	1.2	0.05	45	0.5	0.7	0.9
	HDT40SM	0.26	0.03	1.2	0.04	50	-	-	-
	HDT40SC	0.26	0.024	1.2	0.05	45	0.5	0.3	0.5
	HDT60SM	0.26	0.033	1.2	0.04	50	-	-	-
	HDT60SC	0.26	0.024	1.2	0.05	45	0.5	0.1	0.5
D	HDS0TM	7.5	0.15	2.3	5	8	-	-	-
	HDS0TC	8	0.15	2.3	4.5	10	-	-	-
	HDS10TM	7.5	0.15	1.3	4	10	-	-	-
	HDS10TC	8	0.12	2	4	7	-	-	-
	HDS20TM	7.5	0.15	1.3	4	10	-	-	-
	HDS20TC	7	0.1	2.8	4	10	-	-	-



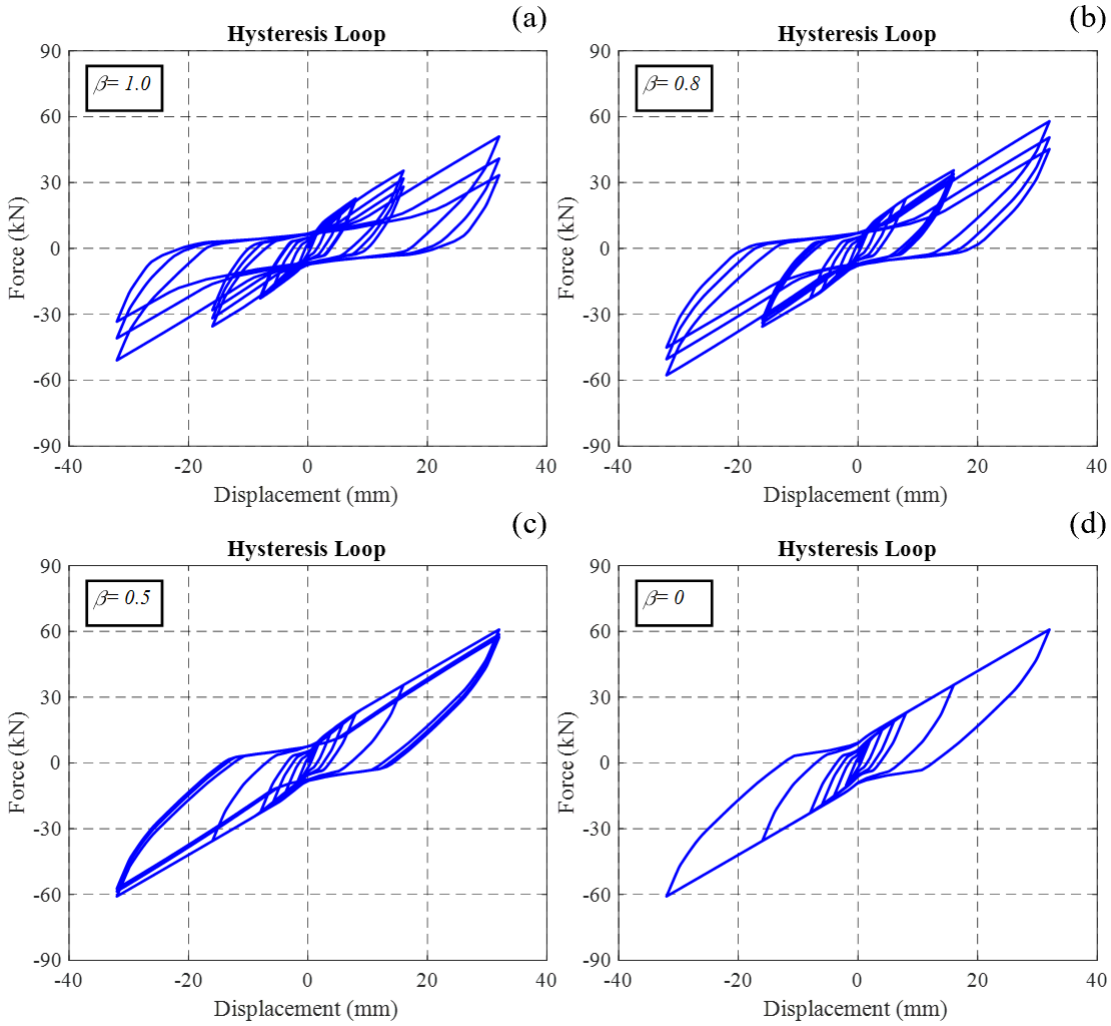
**Fig. 1.** (a) nail connector model; (b) pseudo-nail model of angle bracket connection; (c) pseudo-nail model of hold-down connection



**Fig. 2.** Schematic section views of nails in the wood embedment: (a) nail movement under shear force with no co-existent tension load; (b) nail movement under shear force with co-existent tension load

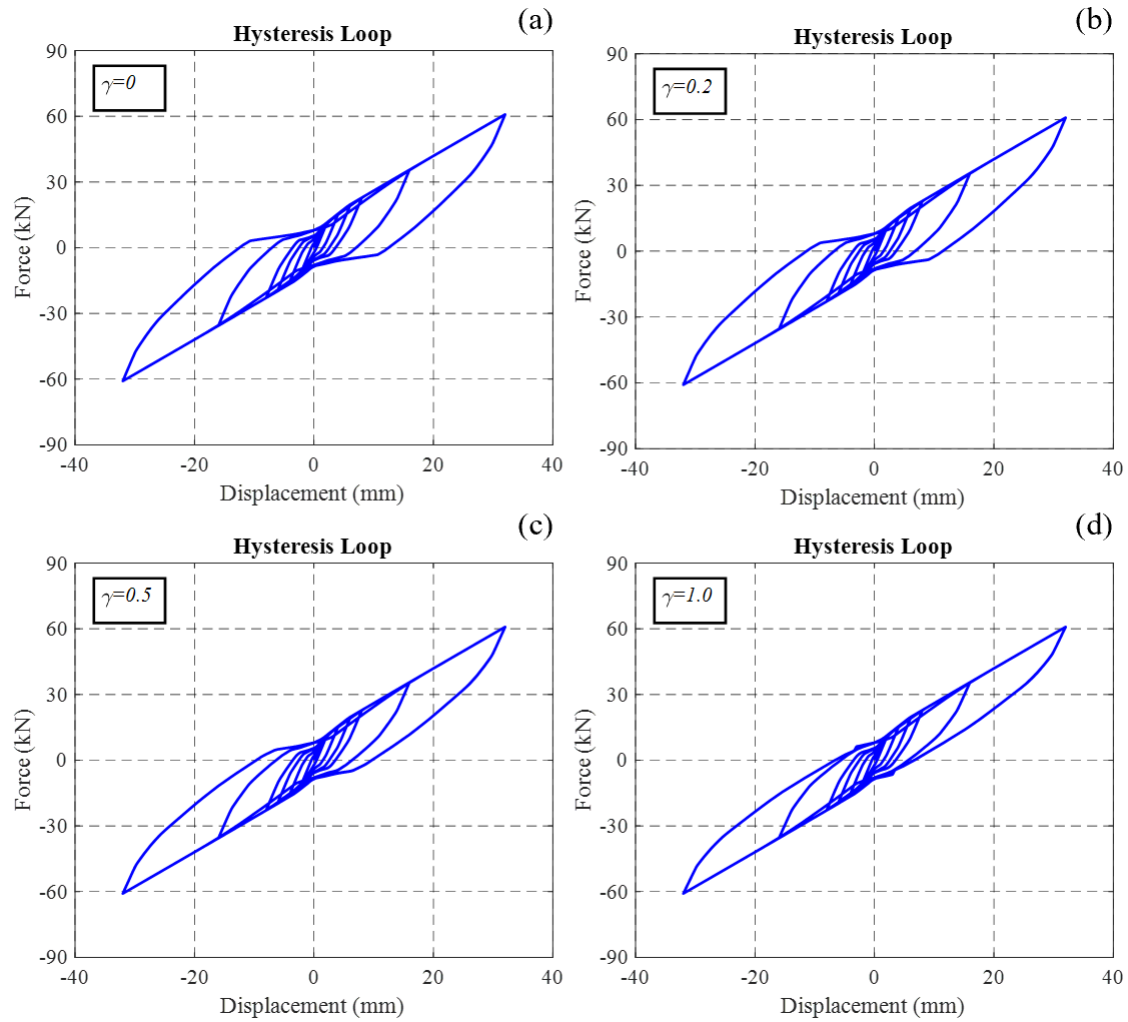


**Fig. 3.** Embedment properties in the optimized HYST algorithm

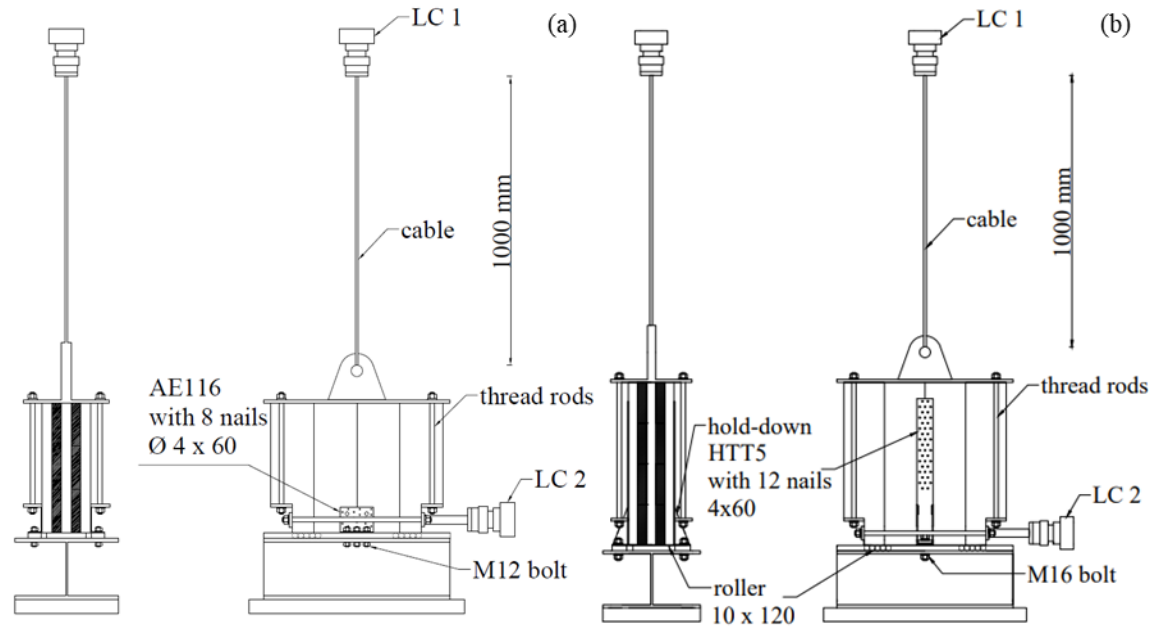


**Fig. 4.** Hysteresis loops with different gap size factors: (a) 1.0; (b) 0.8; (c) 0.5; (d) 0

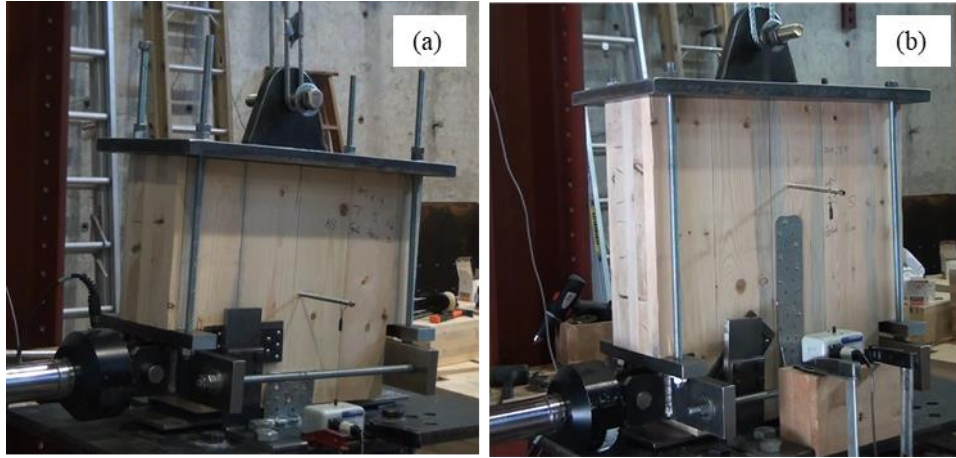




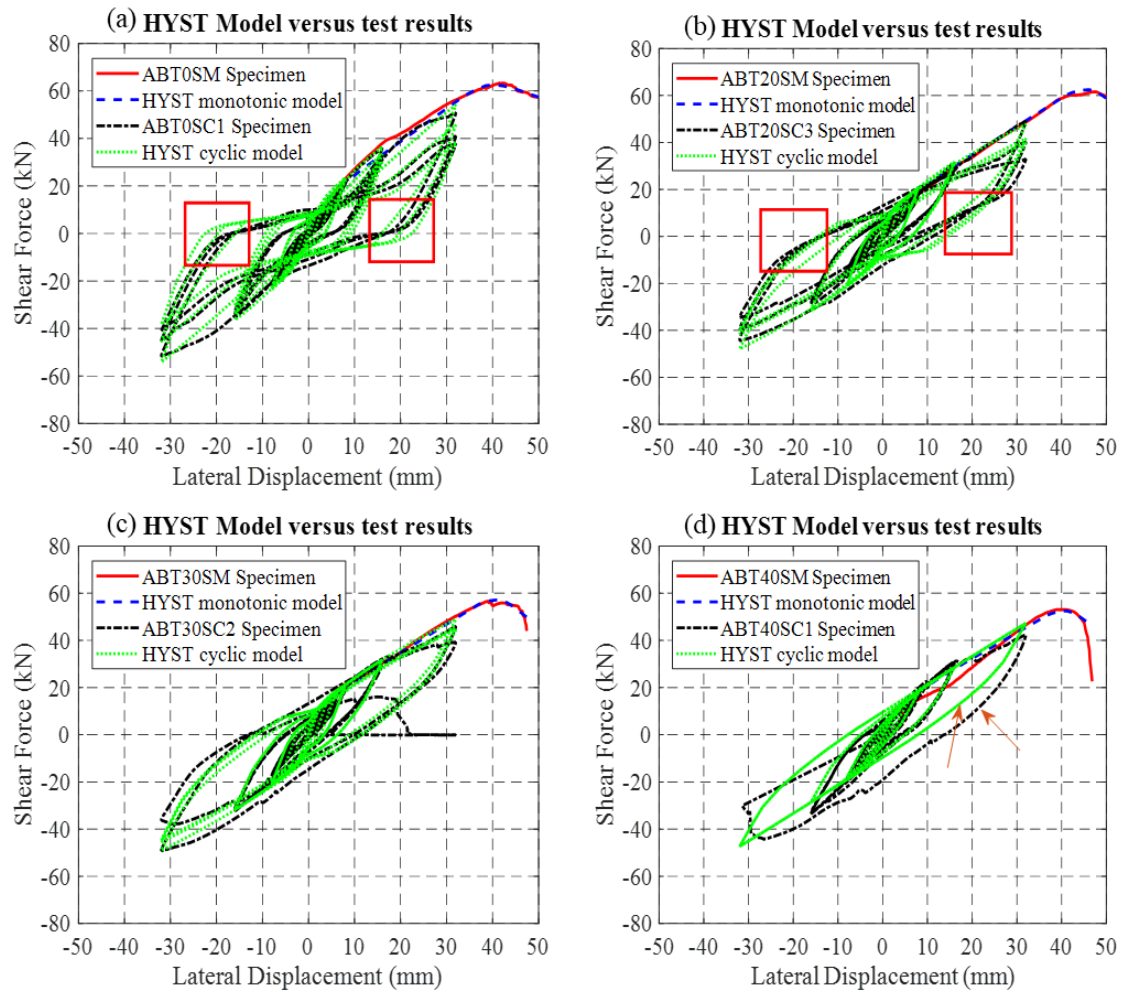
**Fig. 5.** Hysteresis loops with different unloading degradation indices: (a) 0; (b) 0.3; (c) 0.5; (d) 1.0



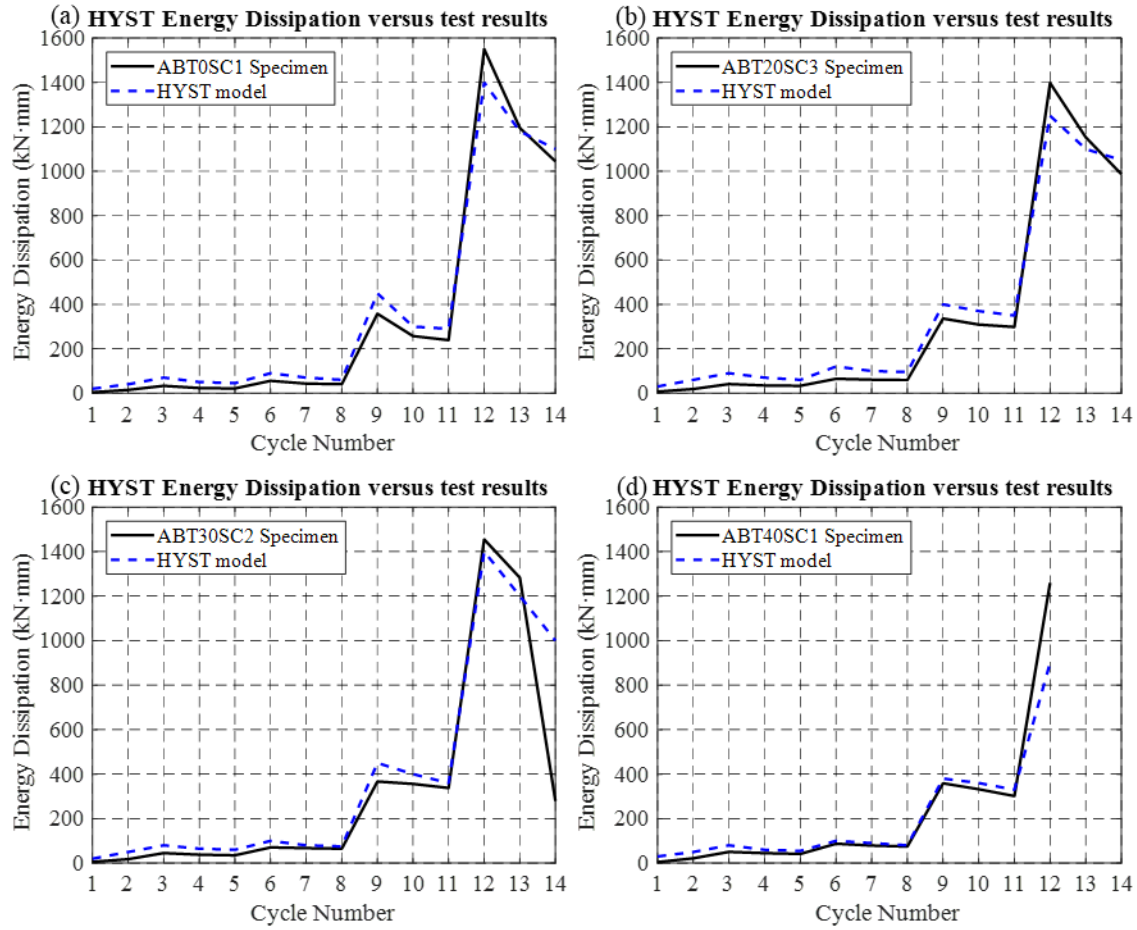
**Fig. 6.** Schematic drawing of the experiment setup: (a) angle bracket test setup; (b) hold-down test setup



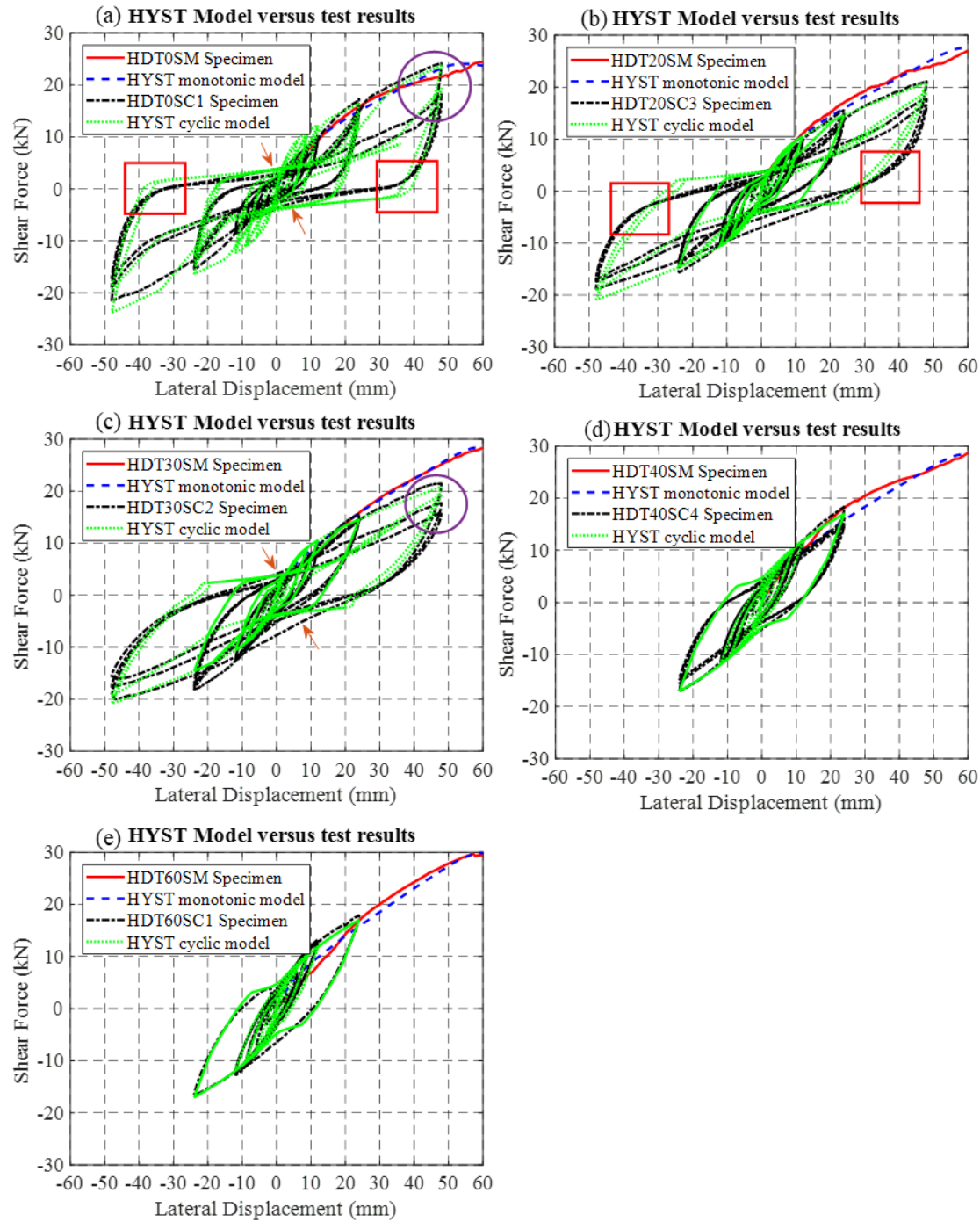
**Fig. 7.** Representative test photos: (a) angle bracket test; (b) hold-down test



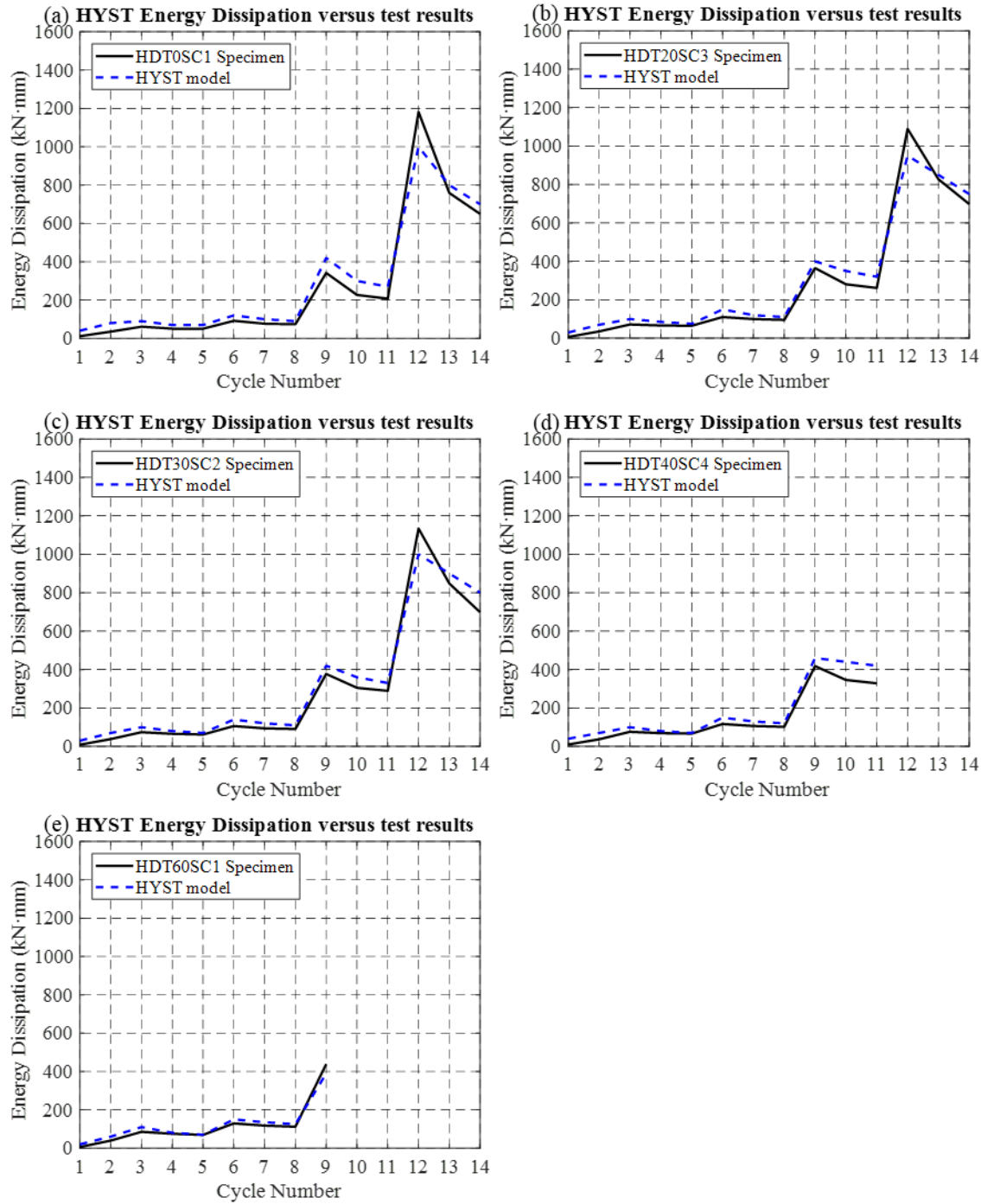
**Fig. 8.** HYST model versus test results of force-displacement curves in Set A: (a) 0 kN ; (b) 20 kN; (c) 30 kN; (d) 40 kN



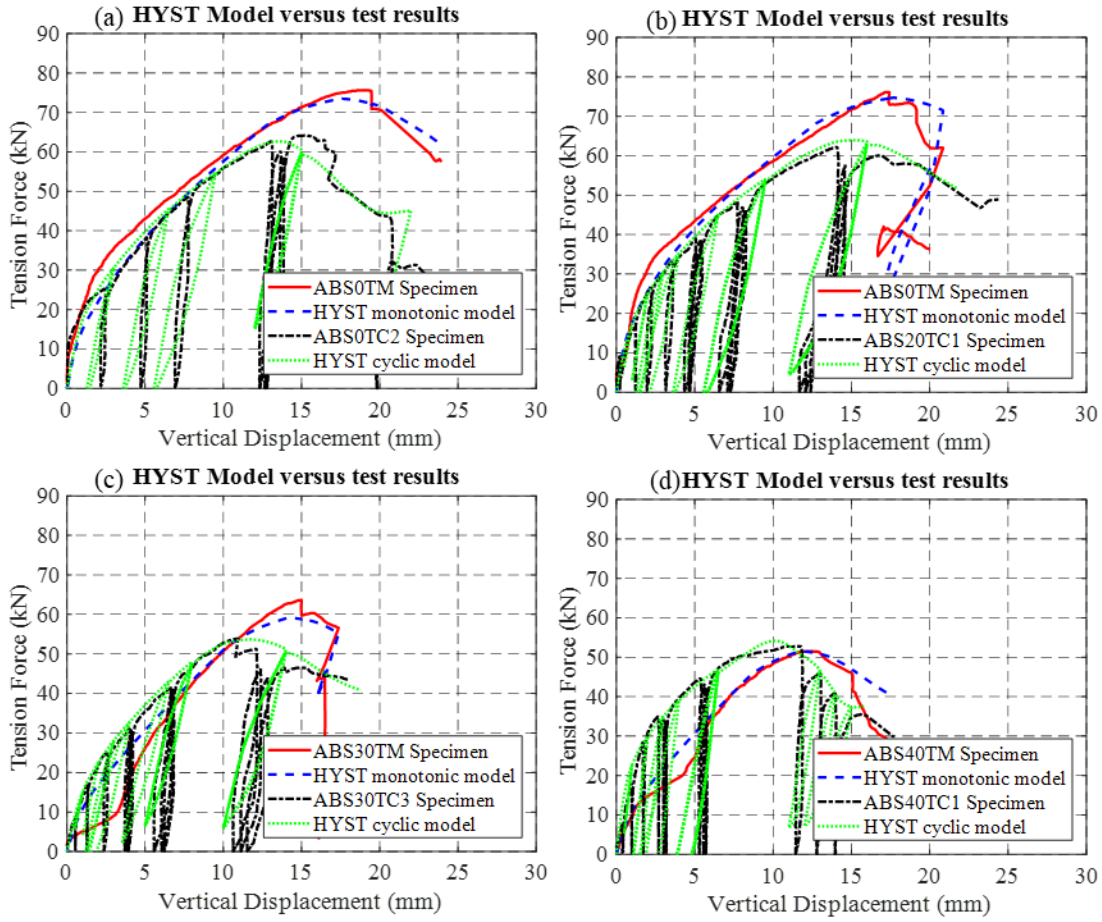
**Fig. 9.** HYST model versus test results of energy dissipation in Set A: (a) 0 kN ; (b) 20 kN; (c) 30 kN; (d) 40 kN



**Fig. 10.** HYST model versus test results of force-displacement curves in Set B: (a) 0 kN; (b) 20 kN; (c) 30 kN; (d) 40 kN; (e) 60 kN

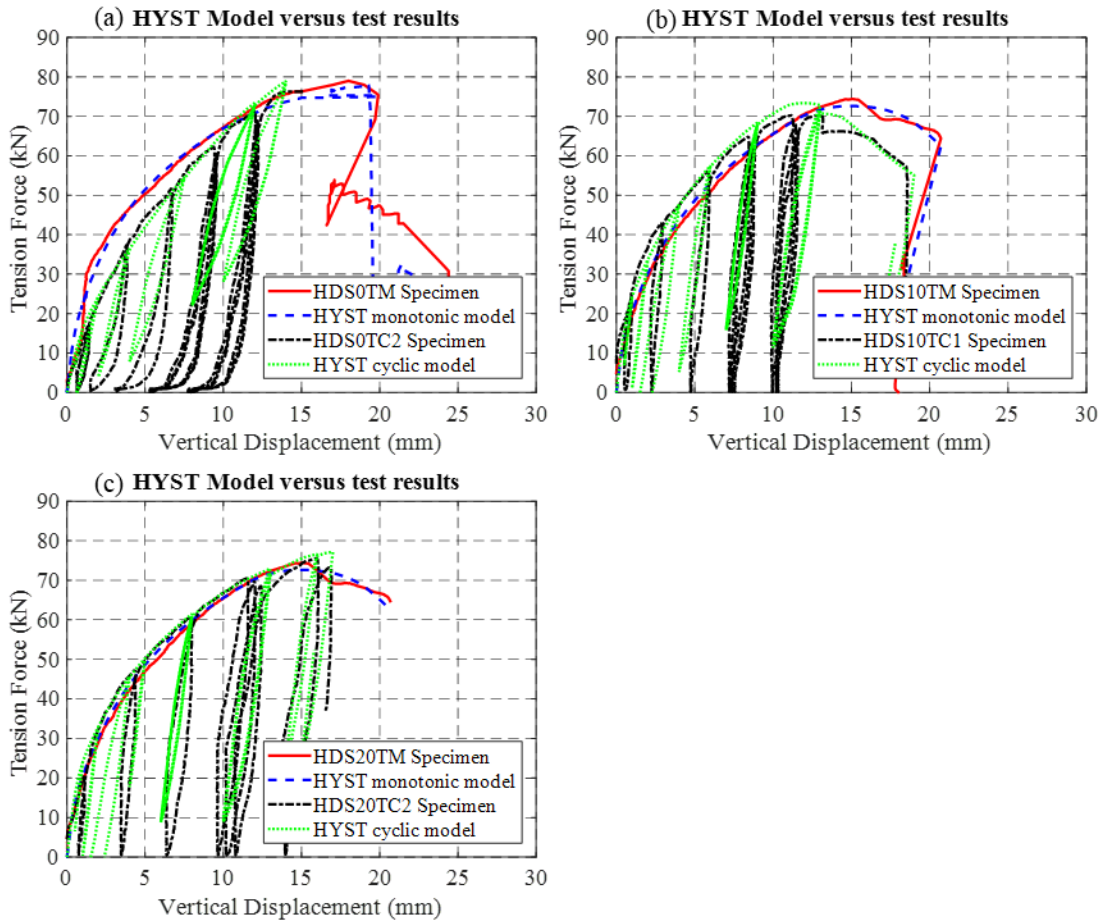


**Fig. 11.** HYST model versus test results of energy dissipation in Set B: (a) 0 kN; (b) 20 kN; (c) 30 kN; (d) 40 kN; (e) 60 kN

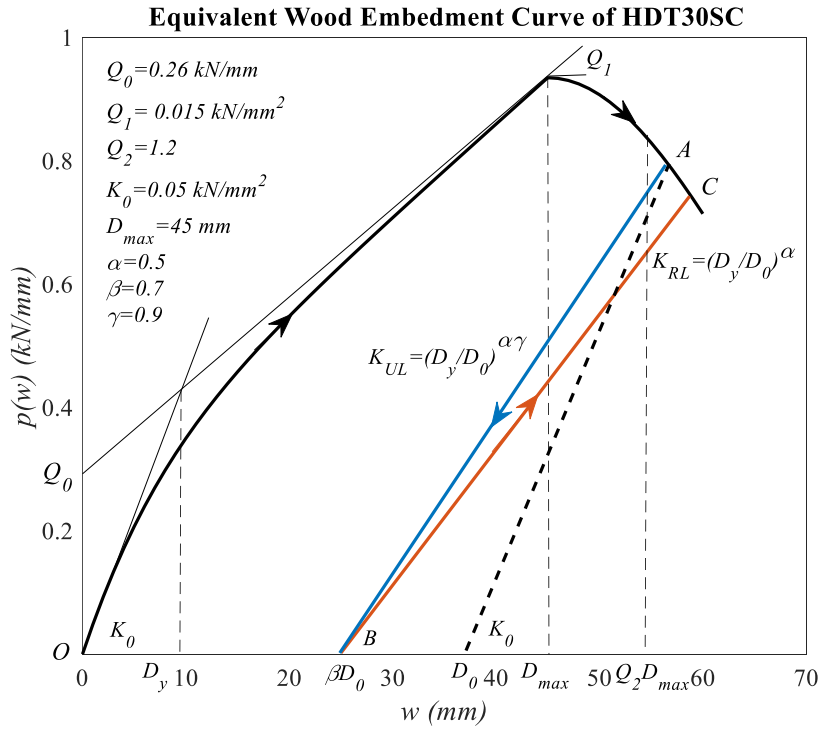


**Fig. 12.** HYST model versus test results of energy dissipation in Set C: (a) 0 kN; (b) 20 kN; (c) 30 kN; (d) 40 kN





**Fig. 13.** HYST model versus test results of force-displacement curves in Set D: (a) 0 kN; (b) 10 kN; (c) 20 kN



**Fig. 14.** The embedment property curve for the equivalent wood embedment of HDT30S

- 1 **Fig. 1.** (a) nail connector model; (b) pseudo-nail model of angle bracket connection; (c)  
2 pseudo-nail model of hold-down connection
- 3 **Fig. 2.** Schematic section views of nails in the wood embedment: (a) nail movement under shear  
4 force with no co-existent tension load; (b) nail movement under shear force with co-existent  
5 tension load
- 6 **Fig. 3.** Embedment properties in the optimized HYST algorithm
- 7 **Fig. 4.** Hysteresis loops with different gap size factors: (a) 1.0; (b) 0.8; (c) 0.5; (d) 0
- 8 **Fig. 5.** Hysteresis loops with different unloading degradation indices: (a) 0; (b) 0.3; (c) 0.5; (d)  
9 1.0
- 10 **Fig. 6.** Schematic drawing of the experiment setup: (a) angle bracket test setup; (b) hold-down  
11 test setup
- 12 **Fig. 7.** Representative test photos: (a) angle bracket test; (b) hold-down test
- 13 **Fig. 8.** HYST model versus test results of force-displacement curves in Set A: (a) 0 kN ; (b) 20  
14 kN; (c) 30 kN; (d) 40 kN
- 15 **Fig. 9.** HYST model versus test results of energy dissipation in Set A: (a) 0 kN ; (b) 20 kN; (c)  
16 30 kN; (d) 40 kN
- 17 **Fig. 10.** HYST model versus test results of force-displacement curves in Set B: (a) 0 kN; (b) 20  
18 kN; (c) 30 kN; (d) 40 kN; (e) 60 kN
- 19 **Fig. 11.** HYST model versus test results of energy dissipation in Set B: (a) 0 kN; (b) 20 kN; (c)  
20 30 kN; (d) 40 kN; (e) 60 kN
- 21 **Fig. 12.** HYST model versus test results of energy dissipation in Set C: (a) 0 kN; (b) 20 kN; (c)  
22 30 kN; (d) 40 kN
- 23 **Fig. 13.** HYST model versus test results of force-displacement curves in Set D: (a) 0 kN; (b) 10  
24 kN; (c) 20 kN
- 25 **Fig. 14.** The embedment property curve for the equivalent wood embedment of HDT30S

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### Additional Requirements:

1. The authors have removed the tables and figures from within the manuscript.
2. The authors have used boldface for matrixes, vectors, tensors; italics for all variables, including variables that are subscript and superscript; roman for all numerals and Greek characters, and mathematical operators; and Helvetica for all dimensionless numbers (Froude, Weber, Prandtl, etc.).

### Reviewer #1:

1. It is suggested that the Authors include a reference to recent shake-table testing performed at UC San Diego, which included CLT walls with angle brackets and nailed connections presented in Engineering Structures.

**Answer:** The reference mentioned above has been addressed in the literature review in Line 25.

van de Lindt, J. W., Furley, J., Amini, M. O., Pei, S., Tamagnone, G., Barbosa, A. R., Rammer, D., Line, P., Fragiacomoi, M., and Popovski, M. (2019). "Experimental seismic behavior of a two-story CLT platform building. " Eng.Struct., 183, 408-422.

2. Line 173 "unloading strength increased" - Revise and potentially clarify what is understood by "unloading strength"

**Answer:** The authors aimed to point out that the gap size factor  $\beta$  caused significant change of the unloading path from the maximum load to 0 kN force.

Thus, line 172-174 "It was observed that, as the gap size factor decreased, the loading capacity slightly increased. The most significant effect is that the unloading strength increased, and the degradation weakened as  $\beta$  decreased." were rewritten for more clarification as below,

It was observed that, as the gap size factor decreased, first, the maximum loading capacity slightly increased. Second, the unloading path from the maximum load to 0 kN force changed significantly. Third, the slipping distance between 0 mm displacement and the displacement where the force was unloaded to 0 kN decreased. Finally, the degradation effect was weakened.

3. Line 284 "Similar characters were observed..."

**Answer:** The sentence has been rewritten as below for clarification:

In Set D, the co-existent shear force weakened the energy dissipation capacity of hold-downs significantly at large vertical displacements, as shown in Fig. 13.

4. Line 295 "The parameters used to calculate those force-displacement curves are presented and discussion as below."

**Answer:** The sentence has been revised as "The parameters used to calculate the force-displacement curves are presented and **discussed** as below."

5. The terms "method", "methodology", "algorithm" seem to be used interchangeably to describe the HYST model although the three terms mean different things. Please revise throughout the paper. See for example, lines 348 to 352.

**Answer:** The terms "method", "methodology", "algorithm" have all been revised to "algorithm" throughout the paper to describe the HYST model.

6. The Authors discuss the "original" and "modified" HYST models as developed in Foschi and Yao (2000) and Li et al. (2011), respectively. Would it make for the Authors to "brand" the modifications developed in the paper under review, mainly including the beta and gamma factors and adjustments to the unloading paths, so that it is easier for future readers and users of the implementations to refer to the right version of the HYST model?

**Answer:** As suggested by the reviewer, the proposed version of the HYST model which mainly including the beta and gamma factors and adjustments to the unloading paths has been "branded" as the "optimized" HYST model, which has been modified throughout the paper.

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Reviewer #2: None.