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# 1 **The Structure-Function Relationship of PAMAM Dendrimers as** 2 **Robust Oil Dispersants**

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## 9 **ABSTRACT**

10 PAMAM dendrimers have recently been investigated as efficient and biocompatible oil  
11 dispersants utilizing their encapsulation capacity; however, their high cationic charge density has  
12 been shown to be cytotoxic. It is therefore imperative to mitigate cationic charge-induced  
13 toxicity and understand the effects of such changes. Presented here is a synergistic experimental  
14 and computational approach to examine the effects of varying terminal surface charge on the  
15 capacity of dendrimers to disperse model linear, polycyclic aromatic, and hybrid hydrocarbons.  
16 Uncharged dendrimers collapse by forming intra-molecular hydrogen bonds, which reduce the  
17 hosting capability. On the other hand, changing the surface charges from positive to negative  
18 greatly shifts the pKa of tertiary amines of the PAMAM dendrimer interior. As a result, the  
19 negatively charged dendrimers have a significant percentage of tertiary amines protonated,  
20 ~30%. This unexpected change in interior protonation state cause electrostatic interactions with  
21 the anionic terminal groups, leading to contraction and a marked decrease in hydrocarbon  
22 hosting capacity. The present work highlights the robust nature of dendrimer oil dispersion and

23 also illuminates potentially unintended or unanticipated effects of varying dendrimer surface  
24 chemistry on their encapsulation or hosting efficacy, which is important for their environmental,  
25 industrial, and biomedical applications.

26

## 27 **1. INTRODUCTION**

28 Originally proposed by Paul Flory,<sup>1</sup> dendritic polymers are a class of macromolecules consisting  
29 of highly branched polymer units. Within this class are dendrons, dendrimers, and  
30 hyperbranched polymers.<sup>1</sup> Dendrimers can be precisely synthesized with high order and  
31 monodispersity, with well defined branching units emanating from a central core.<sup>1</sup> The number  
32 of these branching iterations is termed the Generation of the dendrimer and determines its size,  
33 structure, and function. Hyperbranched polymers, in contrast, possess less well-defined branched  
34 interiors, resulting in a higher polydispersity at a much lower production cost. Due to their  
35 unique physicochemical properties, there are a wide variety of current and potential applications  
36 of dendrimers ranging from environment to energy and biomedicine. For example, hydroxyl-  
37 terminated PAMAM dendrimers have been shown to remove contaminants such as humic acids<sup>2</sup>  
38 and metal ions<sup>3,4</sup> from drinking water or contaminated soils. Dendrimers can be used in light-  
39 harvesting applications for superior transduction efficiency in diodes and other photonic  
40 devices.<sup>5,6</sup> The surface functionality of PAMAM dendrimers has been altered to include long-  
41 lifetime ibuprofen release *in vivo*<sup>7</sup> and conjugation with partially anionic folate-conjugates has  
42 been explored for the delivery of anti-arthritis drugs.<sup>8</sup> The ability of dendrimers to encapsulate  
43 small organic molecules has also been studied in terms of dendrimer generation<sup>9</sup> as well as the  
44 shape of a guest molecule,<sup>10</sup> demonstrating a wide array of hosting capabilities of dendrimers in  
45 aqueous solution.

46           Given their hosting capabilities, we have previously proposed PAMAM polymers as oil  
47 dispersants,<sup>11</sup> and showed that cationic PAMAM dendrimers are capable of hosting both  
48 polyaromatic and linear hydrocarbons in water.<sup>11</sup> Conventionally, lipid-like oil dispersants have  
49 been in use since at least the 1960s<sup>12</sup> and also during the large scale Deepwater Horizon disaster  
50 of 2010. However, concerns over the potential toxicity of conventional oil dispersants have been  
51 recently raised.<sup>13-15</sup> There is a renewed and pressing desire for effective yet biocompatible  
52 dispersing agents. Our previous work has shown, however, that highly cationic amine-terminated  
53 poly(amidoamine) (PAMAM) dendrimers cause acute toxicity in amoebas at a high  
54 concentration.<sup>16</sup> Similarly, several other studies have also shown that highly cationic PAMAM  
55 dendrimers cause significant charge-induced toxicity *in vitro*<sup>17-20</sup> and rapid blood clotting *in*  
56 *vivo*.<sup>21</sup> It has been suggested that the electrostatic interaction between highly cationic PAMAM  
57 and negatively charged cell membrane results in pore formation to trigger cytotoxicity.  
58 Therefore, efforts are increasingly being focused on altering dendrimer terminal charges in order  
59 to reduce the toxicity or improve the efficacy of dendrimer agents.<sup>22,23</sup>

60           Many studies have been conducted on the size, structure, and dynamics of dendrimers  
61 depending on dendrimer generation<sup>24,25</sup> and environmental conditions such as solution pH and  
62 ionic strength.<sup>24-28</sup> It has been shown that PAMAM dendrimers adopt globular-like structures  
63 with the repeating monomers loosely packed in the interior and the surface groups protruding,  
64 forming hydrogen bonds with water. Simulations revealed dynamically forming pores in the  
65 interior that can bind various guest molecules.<sup>24,29</sup> Solution pH and ionic strength can also affect  
66 dendrimer structure by changing the dendrimer protonation states and screening of electrostatic  
67 interactions, respectively.<sup>26,27,30</sup> It is not understood, however, how surface modifications of

68 dendrimers, a common strategy in dendrimer design and synthesis, might affect their size,  
69 structure, dynamics, and subsequent functionality.

70 Here, we investigate the effects of varying the surface charge and functionality on  
71 dendrimers' ability to serve as effective oil dispersants. Specifically, we examine cationic amine-  
72 terminated (G4-NH<sub>2</sub>), neutral hydroxyl-terminated (G4-OH), and anionic succinamic acid-  
73 terminated (G4-SA) PAMAM dendrimers (Fig. 1A). Synergistic experiments and molecular  
74 dynamics simulations are performed to probe the interactions, limitations, mechanisms, and  
75 differences between cationic, anionic, and neutrally charged PAMAM dendrimers with linear,  
76 polyaromatic, and hybrid hydrocarbons as well as the combination thereof. These various  
77 combinations of hydrocarbon are studied in order to gain a more fundamental understanding of  
78 dendrimer oil dispersant interactions with the various hydrocarbon components of crude oil as  
79 well as illuminate any potential synergistic dispersion effects of hydrocarbon mixtures. The  
80 advantages of model hydrocarbons over whole crude oil include the real-time tracking and  
81 accurate quantification for mechanistic studies of the structure-function relationship. Additional  
82 studies of dendrimer dispersion efficacy and toxicity with crude oil have been done in a separate  
83 work. The implications of this study reach beyond oil dispersion to other biomedical and  
84 environmental applications including drug delivery and water purification, noting the differences  
85 in dendrimer interactions with aliphatic and aromatic hydrophobic molecules as well as  
86 potentially unanticipated effects of altering dendrimer surface functionality. We find that marked  
87 differences in hosting capacity for hydrocarbons arise from changes in both the structure and  
88 dynamics of the dendrimers with varying terminal functionality.

89

90 **2. EXPERIMENTAL**

91 **2.1 Materials and Characterization.** All dendrimers were purchased from Dendritech, Inc. and  
92 were PAMAM G4.0 (generation four) in water solvent and stored at 4°C. Phenanthrene (PN) and  
93 octadecylbenzene (ODB) were purchased from Sigma-Aldrich, hexadecane (C<sub>16</sub>) from Acros  
94 Organics and all stored at room temperature. The dendrimer stock solutions were diluted in DI  
95 water (18 MΩ cm) to a final concentration of 15 μM, and their pH adjusted to 8.2 to mimic that  
96 of seawater using 1M NaOH and 1M HCl. Dynamic light scattering (DLS) and zeta potential  
97 characterizations of these prepared stock solutions were carried out on a NanoBrook ZetaPALS.

98  
99 **2.2 UV-vis Spectrophotometry and Phenanthrene Affinity.** UV-vis spectroscopy absorbance  
100 measurements were performed on a temperature-controlled Cary 300 Bio (Thermo Electric  
101 Corp.). To normalize the concentration of PN, a known quantity was dissolved in methanol and  
102 the intensity of the absorbance peak at 251 nm was measured. This relation was then used to  
103 calculate all other PN concentrations. The concentration of dendrimer-associated PN was  
104 calculated using Eqn. (1) where [PN]<sub>T</sub> is the total observed concentration of PN in the column  
105 and [PN]<sub>S</sub> is the concentration of free PN in solution.

$$106 \quad [D \cdot PN] = [PN]_T - [PN]_S \quad (1)$$

107 A solution of 15 μM dendrimers was used as a control in all measurements of PN with  
108 dendrimers. Each sample was prepared with 1 mg of PN added to 2 mL of either water or  
109 dendrimer stock solution. Samples were bath sonicated for 5 min (Branson) in order to break PN  
110 solids and then rotated overnight to reach equilibrium. We then measured the affinity of  
111 dendrimers for PN in water as a function of temperature by measuring the absorbance of PN over  
112 a temperature range from 20-80°C. The temperature was increased at a rate of 0.1°C/min, and  
113 absorbance was measured every 1.0 ± 0.02°C. These measurements were made in triplicate in

114 sealed quartz cuvettes. The apparent association constant  $K$  was calculated using Eqn. (2) where  
115  $[D]$  is the free dendrimer concentration.

$$116 \quad K = \frac{[D \cdot PN]}{([D][PN]_s)} \quad (2)$$

117 Solutions of PN dissolved in  $C_{16}$  were prepared such that the final solution was 8% PN by weight  
118 dissolved in  $C_{16}$ . For sample incubations, 20  $\mu$ L of this stock was added to 2 mL of either water  
119 or dendrimer solution and then rotated for 1 h. This ensured that the same total mass of PN was  
120 added as in the pure PN experiments. The same temperature ramp as above was then performed,  
121 again by measuring the absorbance of PN at 251 nm.

122  
123 **2.3 Fluorescence.** Fluorescence measurements were performed on a temperature-controlled Cary  
124 Eclipse fluorometer (Thermo Electric Corp.). ODB-doped  $C_{16}$  stock was prepared such that the  
125 hydrocarbon solution was 2.6% ODB by weight. For all measurements with ODB, 65  $\mu$ L of  
126 stock solution was added to 2 mL of water or dendrimer solution and then rotated gently for 1 h.  
127 It was then allowed to settle, and solution was pulled from the middle of each tube to avoid  
128 phase-separated oil. Then 20  $\mu$ L of stock ODB-doped  $C_{16}$  was added to each cuvette to ensure a  
129 consistent excess of available hydrocarbons. The fluorescence emission was observed at both  
130 wavelengths of 290 nm and 299 nm, with an excitation wavelength of 258 nm in both cases. The  
131 fluorescence emission intensities were recorded every  $1.0 \pm 0.02^\circ\text{C}$  in the same temperature ramp  
132 as described in Section 2.2.

133  
134 **2.4 DMD Simulations.** Discrete molecular dynamics (DMD) is a special type of molecular  
135 dynamics algorithm, featuring rapid dynamics sampling efficiency. The detailed algorithm and  
136 force field parameterization of DMD can be found elsewhere.<sup>31</sup> We used a united atom



137 representation to model the molecular system, explicitly modeling all polar hydrogen and heavy  
138 atoms and with implicit solvent. Inter-atomic interactions were modeled by a physical force field  
139 adapted from Medusa,<sup>32,33</sup> which included Van der Waals (VDW), solvation, electrostatic and  
140 hydrogen bond interactions. The force field parameters for VDW interactions, bond length, angle  
141 and dihedral angles were taken from CHARMM 19. The solvation energy was included using the  
142 Lazaridis-Karplus implicit solvent model. The distance and angular dependant hydrogen bond  
143 interaction was modeled using a reaction-like algorithm.<sup>32</sup> We used the Debye-Hückel  
144 approximation to model the screened electrostatic interactions between charged atoms. The  
145 Debye length was approximately 10 Å by assuming water relative permittivity of 80, and a  
146 monovalent electrolyte concentration of 0.1 mM.

147 The starting structures of dendrimers were generated by constructing the idealized 3-  
148 dimensional dendrimer structure consisting of a core, branching units, and terminal groups,  
149 followed by equilibration and energy minimization. To emulate a solution pH of 8.2, all G4-NH<sub>2</sub>  
150 and G4-SA terminal groups were charged (protonated and deprotonated, respectively). All  
151 tertiary amines in G4-NH<sub>2</sub> and G4-OH were deprotonated and therefore uncharged. To model the  
152 partial protonation of tertiary amines in the presence of acid terminal groups, the protonation  
153 state of the interior tertiary amines of G4-SA was varied, where 0, 10, 20, or 30% of randomly  
154 selected tertiary amines were protonated. In our simulations, the net charges of the molecular  
155 systems were maintained zero by adding offsetting charges, such as chloride (Cl<sup>-</sup>) and sodium  
156 (Na<sup>+</sup>) ions. After the initialization of dendrimer structures, energy minimization using DMD was  
157 carried out for 10,000 time steps (approximately 10 ns) before carrying out further equilibrium  
158 simulations.

159 In DMD simulations, temperature is in the unit of kcal/mol·k<sub>B</sub>, where k<sub>B</sub> is the Boltzmann  
160 constant. Our simulations were conducted for a temperature range of 0.55-0.75 kcal/mol·k<sub>B</sub>,  
161 corresponding approximately to 275-375 K. The Anderson's thermostat<sup>34</sup> was used to perform  
162 constant temperature simulations. At each temperature, energy minimization was first carried out  
163 for 10 ns and the simulations were conducted for 2 million time steps (approximately 1 μs),  
164 corresponding to an average of approximately 72 CPU hours. We characterized the sizes of all  
165 three types of dendrimers by measuring the radius of gyration (R<sub>g</sub>) as a function of temperature.  
166 The mean and standard deviation of R<sub>g</sub> were obtained from 8,000 snapshots evenly distributed  
167 throughout the final 800 ns of simulation.

168

### 169 **3. RESULTS AND DISCUSSION**

#### 170 **Distinctive Physicochemical Properties of Dendrimers with Modified Terminal Groups.**

171 Generation 4 PAMAM dendrimers of positively (NH<sub>2</sub>), negatively (SA), and neutrally (OH)  
172 charged functional groups, all at pH 8.2, were first incubated with PN. We measured the  
173 concentration of saturated PN in water and in dendrimer solution with an excess of PN (see Eqn.  
174 (1), section 2.2 in Methods; Fig. S1), and computed the concentration of dendrimer-associated  
175 PN [D·PN]. We determined the [D·PN], quantifying the capacity of dendrimer to host PN, as a  
176 function of temperature (Fig. 1a). The temperature range of 20-80 °C was chosen to examine the  
177 fundamental differences in dendrimer behavior and interactions with hydrocarbons at  
178 environmentally relevant temperatures and beyond. Initially, the positively and negatively  
179 charged dendrimers have similar hosting capacities, while the neutrally charged dendrimer has  
180 lower hosting capacity. As temperature increases in all cases there is an increased hosting of PN  
181 by dendrimers, in part due to the increasing availability of PN in solution with increasing

182 temperature. This trend continues until approximately 74°C for positively and neutrally charged  
183 dendrimers, at which point the PN hosting capacity reaches a peak followed by a marked  
184 decrease. In contrast, negatively charged dendrimers reach their maximum capacity between 65-  
185 74°C, reaching just 56% the maximum PN hosting of G4-NH<sub>2</sub>.

186         With the measured PN concentrations in water and in dendrimers as well as the  
187 concentration of dendrimers in solution, we can calculate the apparent association constants, K  
188 (see Eqn. (2), Section 2.2 in Methods) and compute logK as a function of temperature (Fig. 1b).  
189 For NH<sub>2</sub> and SA-terminated dendrimers, we observe relatively constant, large apparent  
190 association constants at low temperatures. In contrast, the neutral OH-terminated dendrimers had  
191 a much lower affinity at low temperature, but this affinity surprisingly increases rapidly with  
192 respect to increased temperature and becomes nearly identical to the NH<sub>2</sub>-terminated affinity  
193 near 70°C. Both G4-NH<sub>2</sub> and G4-OH dendrimer affinity for PN sharply drop at 74°C, as  
194 expected (see Fig. 1a). Despite the more significant and gradual decrease in G4-SA affinity, we  
195 note an increase in this rate of decrease at the same 74°C, indicating the temperature at which it  
196 becomes thermodynamically more favorable for PN to dissolve in water than to be partitioned  
197 inside of the dendrimers, as PN water solubility increases exponentially with temperature over  
198 the observed range (Figure S1). Therefore, the changes in dendrimer surface charge result in  
199 drastic changes in its hosting capacity of PN and the temperature dependences. However, since  
200 PN is non-charged and the binding is not governed by electrostatic interactions, it is intriguing as  
201 what the molecular mechanism is for such drastic changes in hydrocarbon hosting capacity upon  
202 adjusting the dendrimer surface charges.

203         We postulated that the changes are mostly in the structure of dendrimer, which in turn  
204 affect the hosting function of dendrimer. We first characterized the size and charge properties of

205 all three types of dendrimers in solution (Table 1) using DLS and PALS zeta potential  
206 measurements, respectively (Methods). The DLS results suggest that the dendrimers are fairly  
207 monodisperse and tend not to aggregate in DI water. Second, that the OH-terminated dendrimers  
208 have smaller hydrodynamic diameters ( $D_H$ ) than their charged counterparts. The zeta ( $\zeta$ )  
209 potential quantifies the dendrimer net electrokinetic potential in solution. We find that, indeed,  
210 the OH-terminated dendrimers carry nearly zero net charge and the amine-terminated dendrimers  
211 are highly positively charged (+30 mV). Interestingly, the SA-terminated, while negatively  
212 charged, carry a net charge with significantly smaller magnitude than the amine-terminated. This  
213 reduction of overall net charge suggests that some of the interior tertiary amines in SA-  
214 terminated dendrimers may become protonated at this pH. Assuming electric multilayers similar  
215 in nature, the measured differences in zeta potential magnitude suggest the protonation of  
216 approximately 30% of G4-SA tertiary amines. Such a significant shift in pKa of the tertiary  
217 amine compared to neutral and positively charged dendrimers is feasible in the presence of a  
218 large number of terminal acidic groups in the vicinity.<sup>35</sup> These characterizations suggest  
219 significant physicochemical differences in PAMAM dendrimers caused simply by varying the  
220 terminal functionality. Next, we perform molecular dynamics simulations to study the changes of  
221 dendrimer size and structure with respect to surface charges at the molecular level.

222 We performed DMD simulations of all three dendrimer classes (Methods) and measured  
223 the radius of gyration ( $R_g$ ) as a function of temperature (Fig. 2a) for each case. For the SA-  
224 terminated dendrimers, we studied the effect of partial protonation of their tertiary amines, with  
225 levels of protonation ranging from 0-30% protonation, where 30% tertiary protonation  
226 corresponds to the experimentally observed zeta potential of G4-SA. In DMD simulations, the  
227 dendrimer rapidly reaches equilibrium with  $R_g$  fluctuating around its average value in a long

228 timescale simulation trajectory (~50 ns; Figure S2). Across the simulated temperature range, the  
229  $R_g$  of G4-NH<sub>2</sub> increases from 19.4 Å to 21.25 Å, in agreement with small angle neutron  
230 scattering (SANS) experiments as well as atomistic molecular dynamics (MD) and coarse-  
231 grained (CG) simulations performed elsewhere (Table 2, Fig. S3).<sup>36-40</sup> Because  $R_g$  is an averaged  
232 single-value measurement, we also calculate the radial density function (RDF) to quantify the  
233 internal structure of dendrimers (Figs. S4 & S5). As observed previously in an all-atom MD  
234 simulations<sup>41</sup>, we find that lower generations exhibit denser core structures while higher  
235 generations G4-G5 are more open due to increased electrostatic repulsion between terminal  
236 groups (Fig. S4). We also computed the RDF for the G4-NH<sub>2</sub> dendrimers at different pH values.  
237 At high pH, the primary amines are fully deprotonated, making the dendrimer neutrally charged.  
238 At low pH, the tertiary amines are protonated and the dendrimer is fully charged. Our results  
239 confirm the expected transition from dense-core at high pH to dense-shell configuration at  
240 neutral and low pH as observed in previous all-atom MD simulations (Fig. S5).<sup>39</sup> This validates  
241 our DMD-derived simulations as efficient and robust for studies of dendrimer structure and  
242 dynamics. G4-SA is, across the simulated temperature range, larger than G4-NH<sub>2</sub> due to the  
243 slightly longer terminal groups. Their  $R_g$  values decrease with increasing tertiary amine  
244 protonation, and at the lowest tested temperature it reduces from approximately 22.25 Å at 0%  
245 protonation to just 20.0 Å once 30% of the interior tertiary amines have been protonated. This  
246 size change is because of the electrostatic attraction between these protonated groups and the  
247 negatively charged terminal carboxyl groups. This attraction also limits the expansion of G4-SA  
248 with temperature: e.g. G4-SA(30%) swells just 1.25 Å compared to a 1.9 Å growth seen in G4-  
249 NH<sub>2</sub>, resulting in equal  $R_g$  values at the highest temperature in simulations.

250 While amine- and SA-terminated dendrimers have similar sizes across the entire  
251 temperature range, G4-OH is clearly smaller than its charged counterparts, expanding from an  $R_g$   
252 of 16.25 to 18.13 Å at the lowest and highest simulation temperatures, respectively. This  
253 markedly smaller size is due to the lack of electrostatic repulsion between terminal groups and  
254 hydrogen bond formation between the terminal hydroxyl groups, resulting in a much more  
255 compact dendrimer structure (e.g. typical snapshot structures in Fig. 2b).

256 These differences in size and how sizes change with temperature in simulations is  
257 consistent with the experimentally observed differences in apparent affinity for PN as in Fig. 1.  
258 G4-OH has a much lower affinity for PN at low temperatures because, at those temperatures,  
259 they are significantly more compact than either G4-SA or -NH<sub>2</sub>, thus reducing the size and  
260 accessibility of the interior voids to host PN as illustrated by the dense-core structure of the  
261 neutrally charged dendrimer (Fig. S4). As temperature increases, the G4-OH expands with  
262 increased  $R_g$  by breaking the hydrogen bonds, thereby granting access to its growing interior  
263 cavities. Our zeta-potential characterization of G4-NH<sub>2</sub> and G4-SA suggests that approximately  
264 30% of the G4-SA tertiary amines are protonated (Fig. 2c) assuming tertiary amines in G4-NH<sub>2</sub>  
265 are not protonated.<sup>22</sup> This change allows strong electrostatic interaction between terminal groups  
266 and the protonated tertiary amines, which causes the dendrimer to contract relative to the less  
267 protonated G4-SA dendrimers. Such strong electrostatic interaction also noticeably inhibits size  
268 expansion with temperature in contrast to the weaker hydrogen bond interaction in G4-OH (Fig.  
269 2a). As a result, the G4-SA features a lower host capacity and apparent affinities for PN  
270 compared to G4-NH<sub>2</sub>. These differences in swelling behavior highlight why the temperature  
271 dependence in PN hosting capacity is different for each dendrimer despite all three  
272 functionalizations growing with increasing temperature. Such a dependence on hydrophobic core

273 accessible for hosting small hydrophobic molecules is in agreement with earlier studies by  
274 Tomalia *et al* with lipophilic dye encapsulation by dendrimers of various generations.<sup>9</sup> In  
275 addition, since the dendrimer volume available for hosting increases rapidly as the cubic power  
276 of the size, a small change in  $R_g$  (Fig. 2) leads to large changes in hosting capacity (Fig. 1). It is  
277 also important to note that, by charging a fraction of the interior groups, the interior voids  
278 become slightly less hydrophobic and thus less favorable for hydrocarbon interactions. Another  
279 interesting observation in experiments is the sharp decrease of PN binding at 74°C for all  
280 dendrimers (Fig. 1). We hypothesize that this phenomenon is due to the intrinsic structural  
281 properties of dendrimer at different temperatures. As the dendrimers expand with increasing  
282 temperature (Fig. 2), the cooperative binding with PN due to interactions among amidoamine  
283 monomers is reduced. At high temperatures, the binding is dominated by the interaction between  
284 PN and amidoamine monomer. Therefore, the transition at 74°C is the result of dissociation of  
285 PN from amidoamine monomer to the solution.

286

287 **Hosting of Various Classes of Hydrocarbons and Their Mixtures.** Having examined the  
288 differences between dendrimers of different surface charge, we are now interested in binding  
289 between PAMAM dendrimers, using G4-NH<sub>2</sub> as our model, and different hydrocarbons. Amine-  
290 terminated dendrimers were chosen because they exhibited the strongest binding with  
291 hydrocarbons across the tested temperature range, and therefore allowed the best characterization  
292 of the differences between PAMAM binding with different classes of hydrocarbons. As crude  
293 oils are composed largely of aliphatic hydrocarbons, it is critical to understand dendrimer  
294 interactions with such linear hydrocarbons. However, purely aliphatic hydrocarbons are difficult  
295 to track quantitatively in solution. To overcome this difficulty, we doped solutions of hexadecane

296 with octadecylbenzene (ODB, 2.5 w/w%), which is an 18-carbon chain with the addition of a  
297 benzene ring on one end. The result is a solution with minimal change from a purely aliphatic  
298 hydrocarbon mixture but which can be monitored in real time in solution using  
299 spectrofluorescence measurements (Figure 3). We characterized the excitation and emission of  
300 ODB-doped C<sub>16</sub> in various conditions: dissolved in 100% methanol, suspended as an oil-in-water  
301 emulsion in DI water, and in a DI solution of 15  $\mu$ M G4-NH<sub>2</sub> dendrimers (Fig. 3a). We note that  
302 the emission peak redshifts from 281 to 290 nm when suspended in water compared to in  
303 methanol, which we attribute to an increased polarity of the fluorophore environment. The ODB  
304 emission further redshifts to 299 nm upon incubation with dendrimers, indicating that a  
305 significant fraction of ODB molecules interacted directly with G4-NH<sub>2</sub> rather than simply being  
306 suspended in smaller droplets of C<sub>16</sub>. We measured the kinetics of this fluorescence over time,  
307 monitoring ODB emissions at 290 and 299 nm for pure water and dendrimer solution samples,  
308 respectively (Fig. 3b). While the ODB fluorescence in water and with dendrimers began with  
309 nearly identical intensity, there was a marked initial decrease in water-suspended intensity, a loss  
310 of approximately 30%. This indicates that many of the emulsion droplets in the water  
311 suspensions quickly coalesced before the final stable emulsion was achieved. Even after this  
312 relatively stable emulsion was formed, there is a slow (1.4%/h) continued coalescence and a  
313 resulting phase separation of the oil-in-water emulsion. Such coalescence is not seen in the  
314 dendrimer solution over the observed time period, confirming that such suspensions are more  
315 stable than the oil-in-water emulsions. Based on this fluorescence measurement, the stable  
316 suspensions formed with G4-NH<sub>2</sub> at room temperature accommodate  $57 \pm 4\%$  more ODB-doped  
317 C<sub>16</sub> than the oil-in-water emulsion, highlighting the efficiency of dendrimer as oil dispersants.  
318 We also note that the nature of the oil dispersion is different from an oil-in-water emulsion



319 (Inset, Fig. 3b). The oil-in-water emulsion (left) is cloudy due to light scattering by large oil  
320 droplets, while the dendrimer-dispersed oil (right) is clear, indicating the presence of much  
321 smaller complexes in agreement with previous results that showed the formation of dispersed  
322 C<sub>16</sub>-dendrimer complexes of approximately 200 nm.<sup>11</sup> This further suggests that nearly all  
323 suspended hydrocarbons are dendrimer-associated, since we did not observe any oil-in-water  
324 droplets that would be expected if dendrimers simply added encapsulated hydrocarbons to an oil-  
325 in-water emulsion.

326 We also examined the fluorescence behavior in water and dendrimer suspensions as a  
327 function of temperature (Fig. 3c), showing normalized fluorescence intensities. Note that the  
328 initial drop in water suspension fluorescence intensity is due to the coalescence observed at early  
329 times as in Fig. 3b, but not due to the increase in temperature. Therefore, normalization for the  
330 water curve was performed after this initial drop in intensity. After this point, the water and  
331 dendrimer suspensions are statistically identical and both intensities decrease linearly with  
332 increasing temperature. This linear decrease in fluorescence intensity with respect to increasing  
333 temperature indicates simple thermal quenching as more rotational and vibrational degrees of  
334 freedom are accessible with increasing temperature, which is different from the molecular  
335 quenching observed between cationic dyes and PAMAM dendrimers with organic moieties.<sup>42</sup>  
336 We did not observe any transition as was seen in incubation of pure PN with dendrimers. This is  
337 primarily due to the fact that C<sub>16</sub> has near zero water solubility, therefore eliminating the  
338 competition with water solvation seen in the case of PN-dendrimer interactions. Because of this  
339 lack of competition, the C<sub>16</sub>-dendrimer interactions are more stable at high temperatures.

340 Because crude oil is a combination of aliphatic and aromatic hydrocarbons (among other  
341 components), we created a “model crude” by dissolving PN in C<sub>16</sub> (8% PN) to investigate the

342 interaction between G4-NH<sub>2</sub> dendrimers and hydrocarbon mixtures. By measuring the UV  
343 absorbance of PN as described above, the quantity of oil suspended in the water column with and  
344 without dendrimers was calculated (Figure 4). In contrast to the trend seen when incubated with  
345 pure PN, the dendrimer-associated PN remains approximately constant with temperature across  
346 the entire tested temperature range. These results suggest that the aliphatic C<sub>16</sub> is able to  
347 synergistically facilitate stronger, more stable interactions between dendrimers and PN that have  
348 little temperature sensitivity. We hypothesize that C<sub>16</sub> accomplishes this by eliminating the PN  
349 partition competition from water solvation, serving as a stronger solvent inside the dendrimer  
350 interior for PN. By assuming that the ratio of PN/C<sub>16</sub> remains constant after interacting with  
351 dendrimers, we calculated the total suspended hydrocarbon concentration. The increase in this  
352 total hydrocarbon concentration compared to that in water alone is shown by the shaded area,  
353 reaching at least 35 μM hydrocarbon compared to ~10 μM of pure PN (Fig. 1a); the total  
354 concentration of hydrocarbons with dendrimers in water reached approximately 135 μM.  
355 Because of the behavior noted in the ODB-C<sub>16</sub> study, we expect that virtually all of the  
356 suspended PN and C<sub>16</sub> were directly dendrimer-associated, which indicates a strong hosting  
357 capacity of at least 9 hydrocarbons per dendrimer. This capacity for suspending hydrocarbons  
358 persisted well beyond environmentally relevant temperatures, and indeed even beyond the  
359 dissociation temperature for pure PN to break down hydrophobic interaction and pi stacking.

360 In summary, we have shown that aliphatic, aromatic, and hybrid hydrocarbons bind  
361 strongly with G4 PAMAM dendrimers at environmentally relevant temperatures. Mixtures of  
362 aliphatic and aromatic hydrocarbons in a model crude are synergistically dispersed by PAMAM  
363 dendrimers, reaching a highly stable dispersion of at least 9 hydrocarbon molecules per G4  
364 dendrimer over a wide range of temperatures. At environmentally relevant temperatures (*i.e.* less

365 than approximately 32°C), G4-SA and G4-NH<sub>2</sub> bind much more strongly to hydrocarbons than  
366 G4-OH due to this neutral dendrimer collapsing, closing off access to the hydrophobic interior.  
367 However, changes in tertiary amine pK<sub>a</sub> and resulting interior protonation in G4-SA due to the  
368 abundance of terminal acidic groups severely limited their hydrocarbon hosting capacities. The  
369 dendrimer oil dispersions were also shown to be significantly more stable and contained 57%  
370 more hydrocarbon than simple oil-in-water emulsions. These results demonstrate that, when their  
371 versatile physicochemical properties are utilized properly, dendrimers are very robust as oil  
372 dispersants; we have also illuminated potentially unanticipated or unintended effects of varying  
373 dendrimer surface functionality on hosting applications including dispersion but also drug  
374 delivery and water purification that usually deal with hydrophobic or charged ligand species.  
375 Future work will include studies examining the effects of pH, ionic strength and ions of different  
376 valences in solution.

377

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382 maintained by Clemson University CCIT.

383

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504

505 **Table 1: Characterization of PAMAM Dendrimers<sup>a</sup>**

Functionality	D <sub>H</sub> (nm)	ζ (mV)
NH <sub>2</sub>	4 ± 1	30.7 ± 2.9
OH	3 ± 1	-0.9 ± 1.0
SA	4 ± 1	-18.5 ± 2.0

506 a) D<sub>H</sub>: Hydrodynamic diameter. ζ: Zeta Potential

507

508 **Table 2: Comparison of R<sub>g</sub> in PAMAM dendrimers from various works**

	R <sub>g</sub> (Å)		
	G3	G4	G5
Liu et al. - SANS <sup>38</sup>	16.7 ± 1.2	21.4 ± 0.4	26.8 ± 0.4
Lee & Larson- CG <sup>40</sup>	13.1 ± 0.1	---	23.2 ± 0.1
Liu et al. - MD <sup>39</sup>	15.8 ± 0.3	20.6 ± 0.2	25.3 ± 0.1
Yang & da Rocha – MD	15.0 ± 0.9	21.8 ± 0.8	23.8 ± 0.2
This Work (300 K)	15.7 ± 0.6	20.2 ± 0.6	25.7 ± 0.4

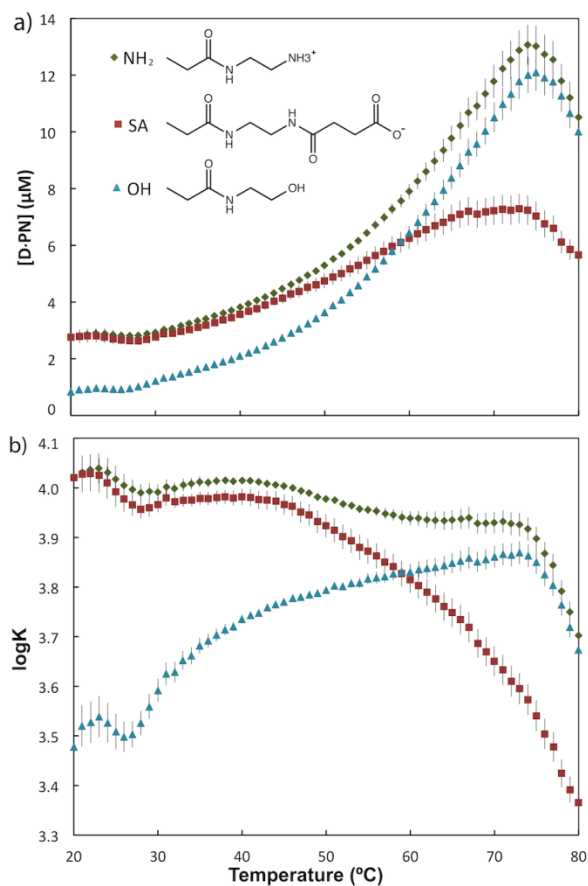
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513 **Figures**



514

515 **Figure 1.** The concentration of dendrimer-associated phenanthrene (a) and the corresponding logK association

516 constants (b) for G4-NH<sub>2</sub> (green diamonds), G4-SA (red squares), and G4-OH (blue triangles). Error bars are

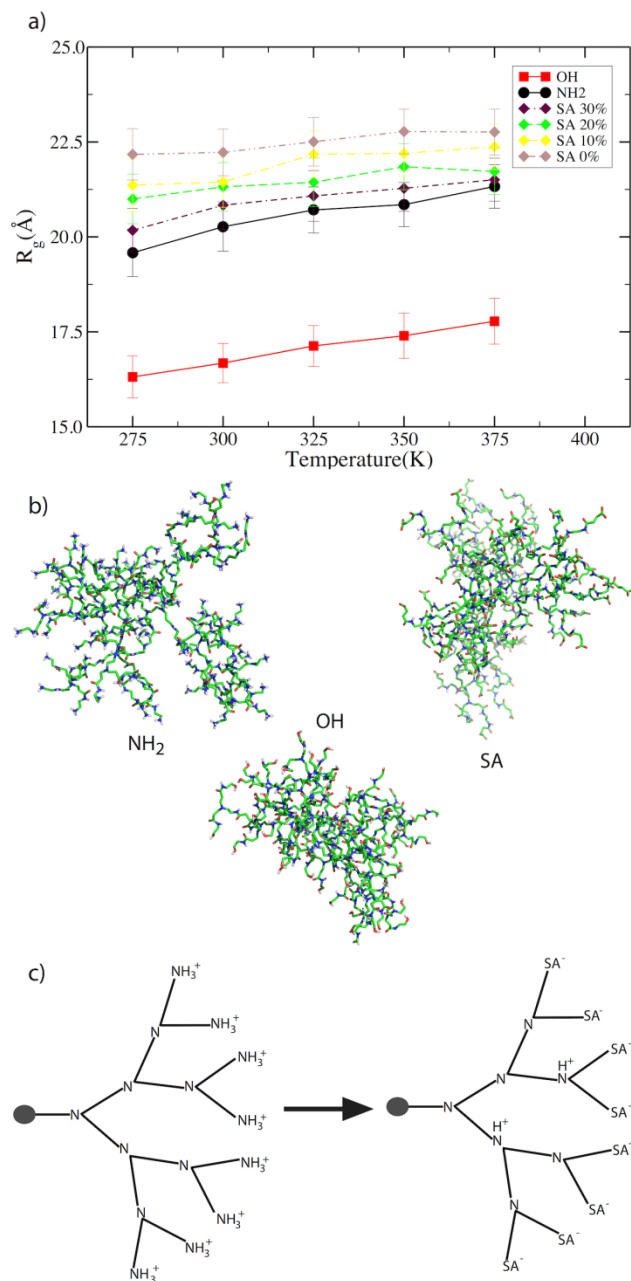
517 standard deviations of 3 independent trials. Note clear transition temperatures at 74°C where binding with

518 phenanthrene becomes much less efficient. The inset in (a) shows the chemical structures of a single terminal chain

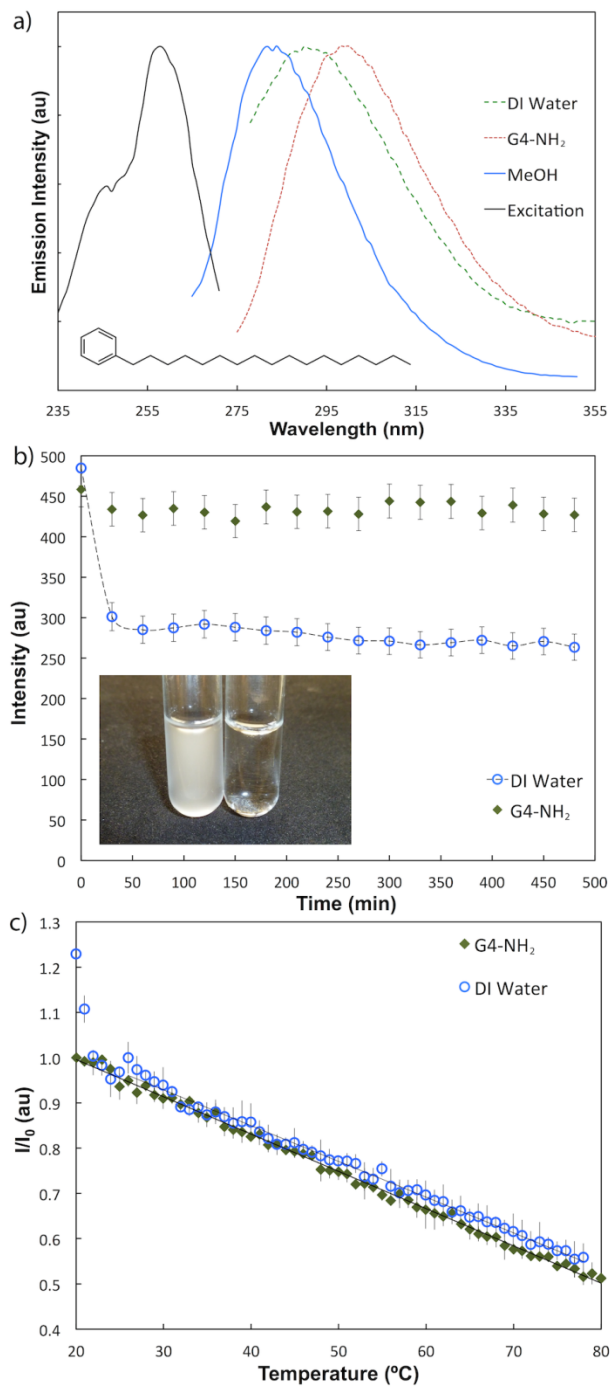
519 of G4- NH<sub>2</sub>, -SA, and -OH from top to bottom.

520

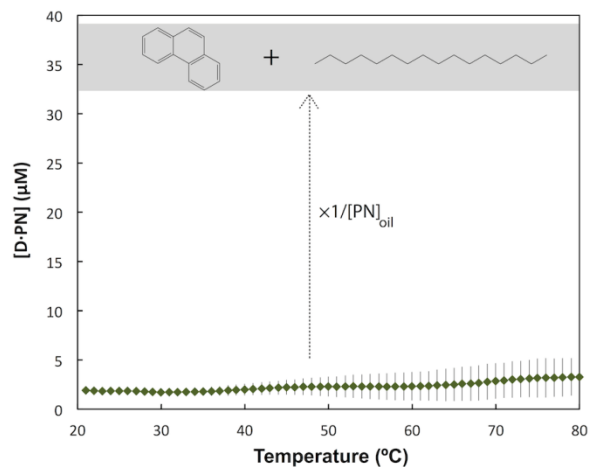




521  
 522 **Figure 2.** Radius of gyration ( $R_g$ ) for G4-NH<sub>2</sub>, OH, and SA for SA tertiary amine protonation fractions of 0-30%  
 523 (a). Error bars are standard deviations taken across the 800 snapshots used in  $R_g$  calculations. Representative DMD  
 524 snapshots of G4 PAMAM dendrimers at room temperature (b), which illustrates the effect of changing terminal  
 525 group chemistry on the overall structure of the dendrimer. Differences in structure between G4-NH<sub>2</sub> and G4-SA are  
 526 due to partial protonation of SA tertiary amines (c), with a portion of the dendrimer structure shown schematically  
 527 emanating from a central core.  
 528



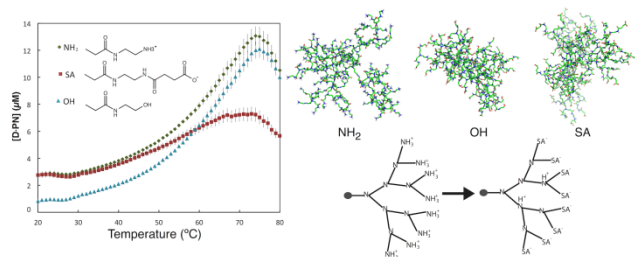
529  
 530 **Figure 3.** The fluorescence excitation and emission spectra (a) of ODB and the kinetics of this fluorescence at room  
 531 temperature (b). Inset is a photo of pure water and dendrimer solutions incubated with equal quantities of C<sub>16</sub>+ODB.  
 532 Oil-in-water emulsions are cloudy suspensions (left); dispersion by dendrimers results in a clear suspension (right).  
 533 Raising the solution temperature causes thermal quenching with and without dendrimers (c), but no loss of binding  
 534 to dendrimers at high temperatures. Error bars are standard deviations of 3 independent trials.



535

536 **Figure 4.** The concentration of dendrimer-associated PN (green diamonds) as a function of temperature. Shaded  
 537 region shows the calculated increase in concentration of hydrocarbons due to dendrimers compared to oil-in water  
 538 emulsion, including both PN and C<sub>16</sub>. Error bars are standard deviations of 3 independent trials.

539



540

541 TOC Image