

Three-dimensional printing in cardiology: Current applications and future challenges

Hongxing Luo^{1*}, Jarosław Meyer-Szary^{2*}, Zhongmin Wang¹,
Robert Sabiniewicz^{2*}, Yuhao Liu^{1*}

¹Department of Cardiology, Zhengzhou University People's Hospital
(Henan Provincial People's Hospital), Zhengzhou, Henan, China

²Department of Pediatric Cardiology and Congenital Heart Diseases,
Medical University of Gdansk, Poland

Abstract

Three-dimensional (3D) printing has attracted a huge interest in recent years. Broadly speaking, it refers to the technology which converts a predesigned virtual model to a touchable object. In clinical medicine, it usually converts a series of two-dimensional medical images acquired through computed tomography, magnetic resonance imaging or 3D echocardiography into a physical model. Medical 3D printing consists of three main steps: image acquisition, virtual reconstruction and 3D manufacturing. It is a promising tool for preoperative evaluation, medical device design, hemodynamic simulation and medical education, it is also likely to reduce operative risk and increase operative success. However, the most relevant studies are case reports or series which are underpowered in testing its actual effect on patient outcomes. The decision of making a 3D cardiac model may seem arbitrary since it is mostly based on a cardiologist's perceived difficulty in performing an interventional procedure. A uniform consensus is urgently necessary to standardize the key steps of 3D printing from imaging acquisition to final production. In the future, more clinical trials of rigorous design are possible to further validate the effect of 3D printing on the treatment of cardiovascular diseases. (Cardiol J 2017; 24, 4: 436–444)

Key words: three-dimensional printing, cardiology, preoperative evaluation, device design, medical education

Introduction

Three-dimensional (3D) printing (rapid prototyping, additive manufacturing) is a technology which manufactures a 3D object with a predesigned, computerized model commonly in a layer-by-layer manner [1]. Medical 3D printing consists mainly of the following steps: image acquisition, virtual reconstruction and 3D manufacturing [2]. In most cases, virtual models are reconstructed from a series of medical images acquired through computed tomography (CT), magnetic resonance imaging (MRI) or 3D echocardiography. 3D print-

ing has been greatly developed in recent years by clinicians as a visualized aid for preoperative planning of orthopedic surgery, plastic surgery, and oncological surgery among other procedures [3]. Some clinicians have also used it for intraoperative orientation, postoperative follow-up, device design, hemodynamic simulation and medical education [4–7]. Up until recently it was unsure whether this new technique is merely a gizmo and would fade into the past as yet more scientific hype (as some sceptics have claimed) or whether it would actually prove to be clinically useful. The developments in the most recent years indicate it might be

Address for correspondence: Dr. Hongxing Luo, Department of Cardiology, Zhengzhou University People's Hospital, Zhengzhou, Henan, China, 450003, tel: +86 15290885448, e-mail: 1519782837@qq.com

*Share authorship

Received: 09.12.2016

Accepted: 15.05.2017

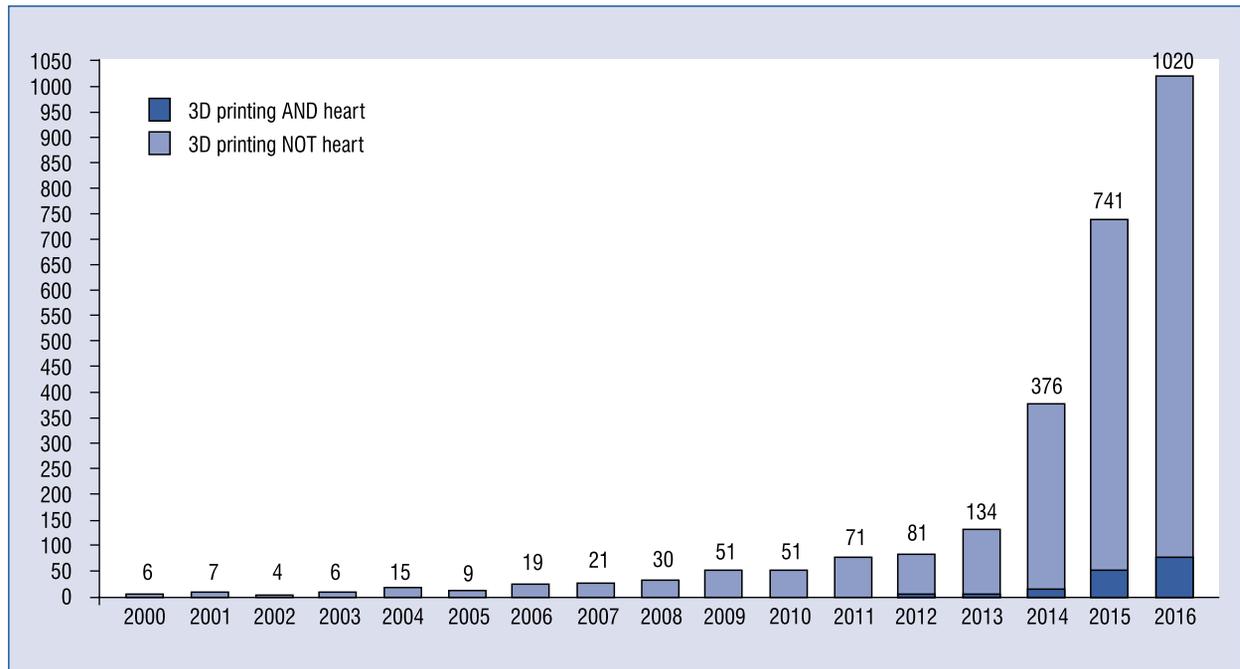


Figure 1. PubMed trends showing an increasing number of indexed publications on three-dimensional (3D) printing AND/NOT heart (any field).

worthwhile to further pursue the path. At this point it seems to be a true hope for future advances in medicine. The exponential growth in the number of publications in the field as pictured by the PubMed trend (Fig. 1) confirms an increasing interest in 3D printing by the scientific community.

Here we systematically review the current applications of 3D printing with regard to cardiovascular diseases (CVD), and highlight several challenges to be addressed in the future, based on a systematic search of the Medline database via PubMed on September 30, 2016 using search strategies listed in [Supplement 1 \(see journal website, supplementary file\)](#). All retrieved abstracts were first screened to exclude cell/animal studies and duplication publications, and full articles were further downloaded and examined to include studies on the utilities of 3D printing in CVD. Primarily a total of 322 articles were searched, and a total of 59 articles were finally included in this review.

Current applications of 3D printing to CVD

Table 1 summarizes the applications of 3D printing to operative evaluation, device design and hemodynamic simulation of CVD, based on the

studies of more than 4 cases. Table 2 is a summary of studies reporting fewer cases.

Preoperative, intraoperative and postoperative evaluations

In 2006, Ngan et al. [8] generated 3D printing models for the preoperative planning of 6 patients with pulmonary atresia, ventricular septal defect (VSD) and major aortopulmonary collateral arteries. They found that 3D models accurately showed 96% of major aortopulmonary collateral arteries when compared with findings during surgery. However, their 3D printing models were rigid and thus unable to represent the flexibility and motility of a real human heart. Soon Noecker et al. [9] created several cardiac models using polyurethane materials. Their cardiac models could be compressed using the fingers, representing the first attempt at making a flexible model. However, both studies employed CT which is invasive for patients when acquiring raw data for 3D printing. In 2007, Schievano et al. [10] and Armillotta et al. [11] used MRI datasets to print several right ventricular outflow tract models for preoperative guidance of percutaneous pulmonary valve implantation. 3D echocardiography was first used for 3D printing in 2014. Mahmood et al. [12] produced mitral valve annuli with 3D transesophageal echocardiography

Table 1. Summary of the applications of three dimensional printing to cardiovascular diseases based on the studies of ≥ 5 cases.

| Categories | References | Sample size | Imaging techniques | Diseases |
|-------------------------|----------------------------|-------------|--------------------|--|
| Preoperative evaluation | Ngan EM, 2006 [8] | 6 | CT | Pulmonary atresia, VSD, MAPCA |
| | Noecker AM, 2006 [9] | 11 | CT | Double arch with bilateral type B interruption, RAA, aberrant LSCA, Kommerell's diverticulum, TOF, anomalous RCA from LCA, anomalous LCA from RCA, peripheral pulmonic stenosis, aortic coarctation, normal cases |
| | Schievano S, 2007 [10, 11] | 12 | MRI | TOF, homograft conduit, homograft monocusp patch, transannular patch |
| | Mahmood F, 2014 [12] | 5 | 3D TEE | Ischemic MR, myxomatous valve disease |
| | Koleilat I, 2015 [38] | 7 | CT | Juxtarenal, pararenal, or suprarenal abdominal aortic aneurysm |
| | Schmauss D, 2015 [37] | 8 | CT, MRI | Left retro-oesophageal abnormal subclavian artery and right descending aorta, subpulmonary VSD, hypoplastic left heart syndrome, pulmonary atresia, hypoplastic right ventricle, AS, primary right ventricular tumor, frontolateral pseudoaneurysm, severe AVS |
| | Anwar S, 2016 [28] | 9 | CT, MRI | Circumflex distal arch, TOF + pulmonary atresia + major aortopulmonary collaterals, anomalous pulmonary venous return, dextrocardia + DORV + l-TGA, ASD + DORV + VSD + d-TGA + severe valvar PS, superoinferior ventricles + ASD + VSD, heterotaxy syndrome + single ventricle |
| | Liu P, 2016 [13] | 8 | 3D TEE | Persistent atrial fibrillation |
| | Garekar S, 2016 [39] | 6 | CT, MRI | DORV, VSD |
| | Ripley B, 2016 [40] | 16 | CT | Paravalvular aortic regurgitation |
| Ma XJ, 2015 [4] | 35 | MSCT | TOF | |
| Device design | Park CY, 1997 [15] | 9 | MRI | Cardiomyopathy |
| | Pepper J, 2013 [6] | 34 | MRI or CT + CAD | Marfan aortic root |
| Hemodynamic simulation | Kurenov SN, 2015 [18] | 10 | CT | Pulmonary artery |
| | Maragiannis D, 2015 [7] | 8 | CT, CAD | Severe AVS |

AS — aortic stenosis; ASD — atrial septal defect; AVS — aortic valve stenosis; MR — mitral regurgitation; MRI — magnetic resonance imaging; 3D TEE — three-dimensional transesophageal echocardiography; CT — computed tomography; MSCT — multi-slice computed tomography; CAD — computer-aided design; d-TGA — d-transposition of the great arteries; l-TGA — l-transposition of the great arteries; DORV — double outlet right ventricle; PS — pulmonary stenosis, MAPCA — major aortopulmonary collateral arteries; RAA — right aortic arch; LSCA — left subclavian artery; RCA — right coronary artery; LCA — left coronary artery; VSD — ventricular septal defect; MV — mitral valve; TOF — tetralogy of Fallot

(TEE) datasets. Their study is a landmark for 3D printing of CVD because 3D TEE is much more frequently used in routine clinical practice when compared with CT and MRI. 3D-printed left atrial appendage models generated from 3D TEE have been recently used to optimize occluder selection preoperatively [13, 14]. Last year, Ma et al. [4] reported the largest study of 3D-printed

cardiac models for VSD repair in 35 cases with tetralogy of Fallot. Like Ngan et al. [8], they observed that the defect sizes were consistent between preoperative models and intraoperative measurements. 3D-printed cardiac model has also been applied to the postoperative evaluation of an atrial septal defect case in uncovering potential complications [5].

Table 2. Summary of applications of three-dimensional printing for cardiovascular diseases based on the studies of ≤ 4 cases.

| Imaging techniques | Diseases |
|--------------------|--|
| 3D TTE/TEE | Left atrial appendage occlusion [14], postoperative hemodynamic testing following MitraClip procedure [41] |
| MRI | TGA, VSD, PS [42], DORV [43], hypoplastic aortic arch [44], aortic arch aneurysm [45], pulmonary atresia, ASD, tricuspid regurgitation, dextrocardia [46], retroesophageal LSA + right aortic arch [47] |
| MSCT | ASD [48, 49], VSD [50], LAA occlusion [51], severe AS [52], extensive AA [53], severe mitral valve regurgitation [54], neopulmonary stenosis [55], primary dilated cardiomyopathy [56], primary cardiac schwannoma [57], double-chambered right ventricle [58], severe pulmonary venous stenosis [59], complex aortic obstruction [60], juxtarenal AA [61], DOLV, VSD, PS [62], left atrium osteosarcoma [63], hypertrophic cardiomyopathy [64], prosthetic MV perivalvular leak [65], ventricular aneurysm [66], pulmonary venous baffle to the systemic right ventricle [67], tricuspid atresia [67], His bundle pacing [68] |

AA — atherosclerotic aneurysm; rest abbreviations are the same with the Table 1

Device development

Although 3D printing is mostly used for preoperative evaluation at present, the first study of 3D printing of CVD is about medical device development [15]. In 1997, Park et al. [15] designed and printed ventricular chambers for totally implantable artificial hearts. Their seminal study demonstrated for the first time the potential of 3D printing in designing patient-specific medical devices. Nowadays, a medical device has to be tested by numerous animal experiments and various phases of clinical trials before it is finally applied to clinical practice [16]. 3D printing is likely to give us a new chance to simplify the current workflow of device development by allowing patient-specific device design. Schievano et al. [17] employed a 3D-printed pulmonary trunk model to design a novel implantable pulmonary valve. Thirty-four external supports of Marfan aortic root were also designed and printed [6]. Pulmonary artery catheters were designed for regional lung chemotherapy [18]. Last year, the Food and Drug Administration (FDA) of the United States released the first regulation on 3D printing medical devices, in which 3D printing devices are categorized as Class II because it generally does not raise any new safety issues or effectiveness issues [19].

Hemodynamic simulation

Patients with valvular heart diseases have impaired hemodynamics, but it is unfeasible to isolate the effects of valve geometry on valvular function [20]. 3D-printed heart valves allow *in vitro* hemodynamic simulation [20]. Maragiannis et al. [7] used 8 severe aortic valve stenosis models to replicate the unique functional characteristics of

the patients. Their models were likely to aid in the refined quantification of aortic root flow and aortic stenosis severity. These studies of hemodynamic simulation exemplify a new solution to mimic the *in-vivo* characteristics of the human body in an *in-vitro* manner.

Medical education

Complex structural heart diseases may not be easily comprehended by inexperienced cardiologists and medical students when only two-dimensional pictures are provided. 3D-printed cardiac models generated from the medical images of real patients are likely to reduce misinterpretations of pathological structures. Costello et al. [21, 22] printed various VSD models to teach 52 medical students and found a significant improvement in structural conceptualization. 3D-printed cardiac models improve the spatial orientation of medical students [23]. However, nurses appear to benefit more from model-guided education when compared with medical students [24]. As the difficulties of obtaining cadavers markedly increase in recent years, 3D printing may play an important role in anatomical education. Apart from the fact that it does not bring about ethical issues [25]. Lim et al. [26] it was also found that 3D-printed cardiac models are as effective as cadaveric materials for medical education.

Several challenges to be addressed in the future

Although 3D printing is likely to serve as a useful tool for clinical practice and medical education, some doubts have been raised [27]. Here

three challenges are discussed which need to be addressed in the future.

How do we define the indications for 3D printing of cardiovascular models?

This question is inevitably the first to be answered by a cardiologist who wants to manufacture a cardiac model, but currently no guidelines are available for reference. Consequently, the decision of printing a cardiovascular model is based on its structural complexity. Unfortunately, scientific standards have not been developed to quantify the complexity of cardiovascular structures. It is not uncommon to see that when one cardiologist manufactures a 3D model of pulmonary stenosis [11], another cardiologist manufactures a 3D model of pulmonary stenosis, atrial septal defect, double outlet right ventricle, ventricular septal defect and d-transposition of the great arteries [28]. Many studies are case reports of rare diseases without control groups. They are underpowered in drawing from a robust conclusion on the efficacy of 3D printing for CVD. The indications for 3D printing may be resolved in the future by developing an expert consensus or guideline.

Still an experiment

One must bear in mind that 3D printing in medicine can be only applied purely on scientific grounds with all its limitations and restraints. Lacking is large scale evidence proving its clinical feasibility and safety. Thus, apart from ethical board approval, it must be considered whether the patient himself should consent on taking part in a medical experiment featuring printing of a model and its further use for decision making, even if no additional imaging is done (using already available imagery). It is conceivable that a poorly made model may result in easily mislead clinical decisions giving rise to medical errors, just as a good model may aid in processes which lead to better patient outcomes.

Also, the legal status of models are unresolved. Is a basic model used to study a specific patient's anatomy just another form of medical documentation representing a new way to display medical imagery? Is a treatment simulation model a medical device or still a medical documentation? These and other similar ethical and legal issues remain to be resolved.

Can a static cardiac model represent a real dynamic heart?

Image acquisition is the first step of medical 3D printing, but it generally captures a specific moment

of cardiac cycle either at systole or diastole. Thus, 3D printing is incapable of reproducing the flexibility and mobility of a human heart. In 2007, Markert et al. [29] printed a cardiac model inflated with air. Their proof-of-concept study is an inspiring step to manufacture a dynamic heart. Nevertheless, thereafter no similar studies have been conducted.

Moreover, the lack of a standard protocol for image acquisition and postprocessing undermines the quality of 3D-printed models. Motion artifacts introduced during image acquisition affects the subsequent extraction of region of interest [30]. It is challenging to separate cardiac tissues from the adjacent tissues of similar Hounsfield units. Image postprocessing greatly relies on the anatomical knowledge of clinical experts. Without image postprocessing, the quality of a 3D-printed model is poor [31]. A new method called blood pool segmentation has been recently proposed to more accurately extract the region of interest for 3D printing [32]. Integration of multiple imaging modalities may be a good solution in acquiring high-quality medical images. A combination of multi-slice CT (MSCT) and 3D TEE produces a more ideal model compared with MSCT alone [33, 34]. Fujita et al. [35] developed a preoperative algorithm encompassing chest X-ray, echocardiography and 3D printing for the preoperative evaluation of congenital heart diseases. Although the algorithm minimizes potential misunderstandings of cardiac anomalies, it also brings unnecessary imaging examinations and additional costs. In addition, the more we postprocess medical images, the more we may stray from the real anatomical structures. A balance between two-dimensional images and 3D models should be carefully achieved by those who want to use a printed a model.

How can we make a cost-effective analysis before printing a model?

Currently, in routine clinical practice, transthoracic echocardiography/transesophageal echocardiography, CT and MRI are sufficient to perform most procedures, even in challenging cases [36]. 3D printing is more cost-effective for challenging cases rather than routine cases. The cost and time of manufacturing a 3D model vary between \$100–450 and 30 min–107 h, respectively, depending on the size and materials of the model [12, 18, 37]. To print a 3D model, a cardiologist has to buy at least a 3D printer and the raw materials. Specialised, proprietary software streamlines the image postprocessing and segmentation further

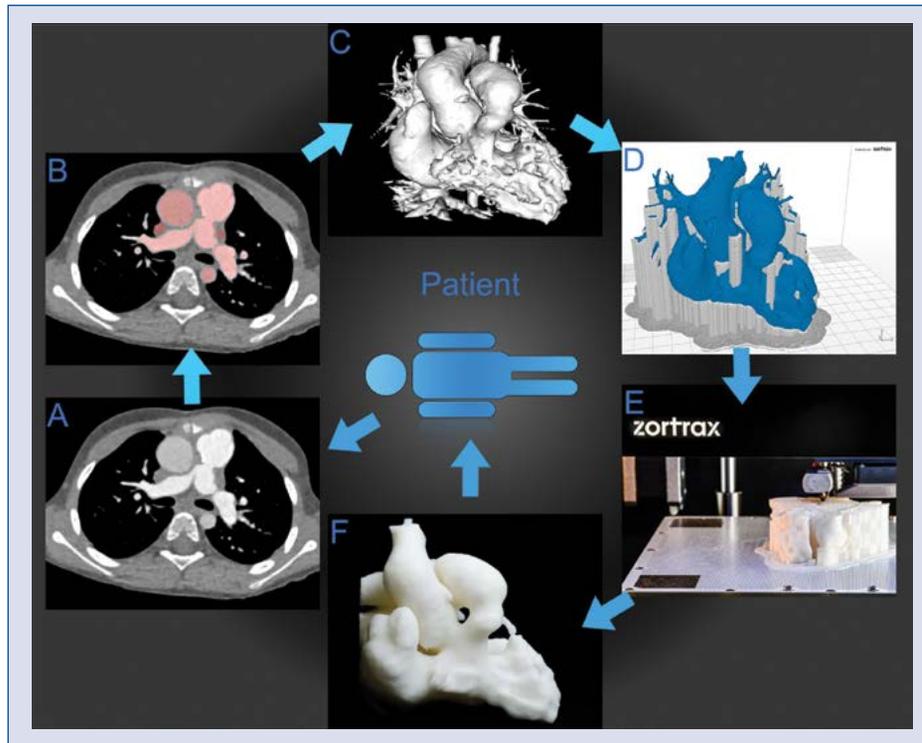


Figure 2. Summary of the three-dimensional (3D) printing process. One should notice that the patient and his clinical problem should be at the center of attention at every step. **A.** Imaging data acquisition; **B.** Image segmentation; **C.** Surface rendering, virtual model inspection, smoothing and retouching; **D.** Printing preparation and planning — gray represents the supportive material; **E.** Model additive manufacturing (3D printing) using fused deposition modeling technique; **F.** Final model after removing supportive material.

increasing the upfront expenses. Another way of manufacturing a 3D model is outsourcing the imaging postprocessing and 3D manufacturing tasks to an industrial company [2]. Either way, not all patients can afford this at present. 3D printing is also time-consuming. Virtual reconstruction generally comprises multiple steps including thresholding, region growing, mask calculation, model smoothing, and so on (Fig. 2). During the final manufacturing process, a model is commonly printed in a layer-by-layer manner with the thickness of usually 0.1–1 mm (**Video Appendix 1 — see journal website, supplementary file**). Although CT is currently the most common imaging modality for 3D printing, it brings radiation exposure and additional medical expenditure to the patient. Therefore, many factors may affect the clinical decision to make a 3D model. Before printing a cardiac model, a cardiologist should consider a cost–effectiveness analysis to minimize the potential harm while maximizing the potential benefits of 3D printing for the patient.

Future standard of care?

If at any point in the future printing of medical 3D models is to become the standard of care (in selected cases) it will not only need to become simpler and less time consuming but most importantly it will not only need to gather enough scientific evidence of clinical safety and usefulness to successfully undergo FDA (and its country specific counterpart) certification. It will also need to prove to be cost-efficient to be covered by the health insurance. Despite the fact that in the nearest future 3D-printed heart models are currently deemed to be confined to the walls of the university hospitals, as its best suited to aid in finding solutions to the most complex cases, one cannot expect widespread use without proper reimbursement of the procedure.

Conclusions

Nearly two decades have passed since the first report of 3D printing in cardiology. 3D printing has been developed for different purposes includ-

ing preoperative evaluation, device development, hemodynamic simulation and medical education. The majority of studies are case reports which are insufficient in drawing a robust conclusion on the efficacy of 3D printing for CVD. More studies of rigorous design are needed to validate its effect on an ever changing clinical practice.

Acknowledgements

This work has been supported by the Henan Provincial Key Medical Program (Henan Provincial Department of Science and Technology) and the Henan Provincial New Medical Technology Program (Health and Family Planning Commission of Henan Province) as well as Medical University of Gdansk, Poland.

Conflict of interest: None declared

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