

# Heart failure: Pilot transcriptomic analysis of cardiac tissue by RNA-sequencing

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## Abstract

**Background:** *Despite left ventricular (LV) dysfunction contributing to mortality in chronic heart failure (HF), the molecular mechanisms of LV failure continues to remain poorly understood and myocardial biomarkers have yet to be identified. The aim of this pilot study was to investigate specific transcriptome changes occurring in cardiac tissues of patients with HF compared to healthy condition patients to improve diagnosis and possible treatment of affected subjects.*

**Methods:** *Unlike other studies, only dilated cardiomyopathy (DCM) (n = 2) and restrictive cardiomyopathy (RCM) (n = 2) patients who did not report family history of the disease were selected with the aim of obtaining a homogeneous population for the study. The transcriptome of all patients were studied by RNA-sequencing (RNA-Seq) and the read counts were adequately filtered and normalized using a recently developed user-friendly tool for RNA-Seq data analysis, based on a new graphical user interface (RNA-SeqGUI).*

**Results:** *By using this approach in a pairwise comparison with healthy donors, we were able to identify DCM- and RCM-specific expression signatures for protein-coding genes as well as for long noncoding RNAs (lncRNAs). Differential expression of 5 genes encoding different members of the mediator complex was disclosed in this analysis. Interestingly, a significant alteration was found for genes which had never been associated with HF until now, and 27 lncRNA/mRNA pairs that were significantly altered in HF patients.*

**Conclusions:** *The present findings revealed specific expression pattern of both protein-coding and lncRNAs in HF patients, confirming that new LV myocardial biomarkers could be reliably identified using Next-Generation Sequencing-based approaches. (Cardiol J 2017; 24, 5: 539–553)*

**Key words:** cardiovascular disease, heart failure, noncodingRNA, mediator complex, RNA-sequencing

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## Introduction

Cardiomyopathies are a heterogeneous group of myocardial diseases resulting in cardiac dysfunction, which are clinically manifested with heart failure (HF). With the rapid evolution of molecular genetics in cardiology, the knowledge and literature of the complex interplay between genetics and cardiomyopathies have significantly expanded over the past few decades. Inherited cardiomyopathies include a wide spectrum of clinical phenotypes, which classically include dilated cardiomyopathy (DCM), hypertrophic cardiomyopathy (HCM), restrictive cardiomyopathy (RCM), and others [1]. DCM is characterized by an increase in both left ventricular (LV) mass and volume with thinning and stretching of LV wall [1]. HCM is characterized by inappropriate myocardial hypertrophy (without identifiable etiology such as hypertension, aortic stenosis or other contributing factors), interstitial fibrosis, myofiber disarray, disorganized myocardial architecture and impaired LV performance [1]. RCM is a heart-muscle disease resulting in impaired ventricular filling with normal or decreased diastolic volume of either or both ventricles [1]. However, one phenotypic pattern may progress to another, or many others may manifest as more than one phenotype [2]. HF is a multi-factorial condition occurring in about 2–3% of the adult population [2–4]. The main cause is coronary artery disease (CAD) and it is a consequence of myocardial infarction (MI) [2–4]. The onset and progression of HF are closely related to several molecular and cellular alterations.

In recent years, advances in drug treatment have significantly improved the prognosis of HF patients. However, there are still several limitations on the benefits of medical therapy for patients with refractory end-stage disease, which often require mechanical support or heart transplantation. Thus, the development of novel and effective therapeutic treatments for HF is a major challenge today and requires a detailed knowledge of HF molecular pathogenesis.

Global gene expression profiling comparing disease vs. a healthy condition is a valuable approach for discovering new potential biomarkers for diagnosis/prediction of disease severity and for identifying novel drug targets. Particularly, whole-transcriptome analysis is increasingly acquiring a key role in the knowledge of mechanisms responsible for complex diseases, elucidating the involvement of multiple genes and pathways in pathological mechanisms.

Over the last decade, global gene expression analysis using microarrays has been widely applied to cardiovascular research [5, 6]. Most transcriptome studies on MI have been performed in rodents due to higher accessibility to homogenous populations and myocardial tissue at defined stages of the disease [5, 6]. Although gene expression studies have elucidated many crucial molecular alterations involved in HF pathophysiology, they do not fully capture the complexity of human transcriptome [7]. Human myocardial tissue is difficult to obtain and usually comes from heterogeneous patient cohorts, generally in late-stage cardiomyopathies of different etiologies leading to HF. Despite these limitations, global gene expression analysis using microarrays has been widely applied to the field of cardiovascular research. However, although gene expression studies have elucidated many crucial molecular alterations involved in HF pathophysiology, they could not fully capture the complexity of human transcriptome [7]. The introduction of RNA-Sequencing (RNA-Seq) has overcome some drawbacks of previously used technologies, allowing a simultaneous investigation of different layers of transcriptome complexity at an in-depth level of resolution [8, 9]. Indeed, RNA-Seq analysis revealed alterations of cytoskeletal and nucleocytoplasmic transport-related genes, as well as of other key pathways in HF [7–12]. Interestingly, several studies have also highlighted the importance of noncoding RNAs (ncRNAs) in failing human hearts [13, 14]. Currently, the best-characterized ncRNAs in the heart are the microRNAs (miRNAs), which finely modify mRNA expression through post-transcriptional silencing. Recently, several miRNAs have been found to be associated with cardiovascular disease (CVD) [13]. Functional analyses have demonstrated that several dysregulated miRNAs may exert either positive or negative regulatory effects on cardiac hypertrophic pathways. Indeed, miR-1, miR-133, miR-378, miR-185 and miR-155 showed anti-hypertrophic effects [15–18]; while miR-208 family, miR-212/132, miR-23 and miR-199 promoted hypertrophy in cardiomyocytes [19, 20]. Unlike miRNAs, long noncoding RNAs (lncRNAs) are much less well characterized, and how they function in biology and gene regulation remains an attractive area of investigation [14].

In the last several years, some lncRNAs have been identified and their function has been implicated in different biological processes. However, only a few lncRNAs were associated to cardiomyopathy and heart biology, as myosin heavy chain-associated RNA transcript (MHRT) [21]. It is

**Table 1.** Demographic and clinical characteristics of patients affected by cardiomyopathy.

Characteristics	Donors data	Recipients data
Subjects	4	4
Gender female	3/4 (77%)	2/2 (50%)
Age [years]	57.5 ± 11.7	57.5 ± 10.2
Waiting time on transplantation list [months]	Accidental death	10 ± 10.3
Body mass index [kg/m <sup>2</sup> ]	26.4 ± 4.2	27.1 ± 3.3
Serum creatinine [mg/dL]	1.32 ± 0.2	1.4 ± 0.1
Total cholesterol [mg/dL]	152.0 ± 30.4	177.0 ± 43.0
Low density lipoprotein [mg/dL]	96.50 ± 32.2	108.2 ± 27.7
Diabetes	No	No
Statin treatment	No	No
Smoking	No	No

Data are mean values ± standard deviation

a cluster of lncRNAs transcribed from the MYH7 gene that encodes the  $\beta$ MHC protein. MHRT expression is heart specific and it is expressed at low levels in the fetal heart, increasing in the adult heart. Furthermore, higher levels of long intergenic RNA (lincRNA) predicting cardiac remodeling (LIPCAR) in plasma from HF patients following ischemic cardiomyopathy were independently associated with an elevated risk for future cardiovascular death and predictive for LV remodeling [22]. This effect was also reported for ANRIL, KCNQ1OT1, MIAT, and MALAT1 in a cohort of 414 MI patients [23]. Nevertheless, the specific functions of these transcripts within the heart or vascular tissue remain relatively unknown. Moreover, ANRIL was shown to be highly expressed in atherosclerotic plaques and might be an accurate regulator in the inflammatory nuclear factor kappa B pathway [24]. Therefore, the importance of the altered expression of lncRNAs in human HF induces exploration of their putative involvement and functional roles in myocardial disease [24].

In this pilot study, a systematic transcriptome analysis was performed, by RNA-Seq on myocardial tissue specimens from HF patients vs. healthy donors (HD) in order to reveal evidence of a distinctive expression network signature in end-stage HF diseased hearts. This approach may offer important insights into the complex pathogenesis of advanced cardiac failure, as well as for identifying potential targets for therapeutic intervention. Initially highlighted were classic heart disease-associated coding and noncoding genes, whose expression was significantly altered as MYH6, MYH7 and MHRT. Then, differentially expressed genes (DEGs) were screened and their possible

roles in the pathogenesis of HF were explored by using multiple bioinformatics methods to identify specific transcriptomic signatures.

## Methods

### Patients and tissue samples collection

This study was performed according to the principles outlined in the Helsinki Declaration, and was approved by the local Ethics Committee. Eight heart biopsies were collected from heart transplantation candidates. The diagnosis of HF (DCM, n = 2; RCM, n = 2) was determined by medical history, pathological and instrumental examination. LV tissue specimens (n = 4 for organ donors and n = 4 for recipients) were acquired during surgical intervention at the “Monaldi” Hospital. Cardiac tissue samples were harvested and snap frozen in liquid nitrogen at the time of cardiac surgery. Clinical features of patients are shown in Table 1.

### RNA extraction, library preparation and sequencing

Heart tissue samples were homogenized by Tissue Lyser Disruption system (Qiagen) and total RNA was isolated with TRIzol Reagent (Invitrogen) according to manufacturer protocol. cDNA library preparation was performed starting from 4  $\mu$ g of total RNA using Illumina TruSeq Libraries and then sequenced at high coverage on the Illumina HiSeq2000 Next-Generation Sequencing (NGS) platform available at Tigem Institute in Pozzuoli (Naples) [25]. (RNA integrity and quality are detailed in [Supplementary Methods — see journal website](#)).

### RNA-Seq data analysis

The quality control on raw reads was performed using FastQC (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>). High-quality reads were mapped to the human reference transcriptome (Ensembl v70) and to human reference genome (GRCh37/hg19) using TopHat2 v2.0.10 [26]. Only unique mapped reads were used to quantify gene expression in each sample. Gene expression as reads counts were estimated after filtering (Proportion test implemented in NOISeqBio package in R) and normalization of raw reads counts using RNA-SeqGUI developed in R language [27]. Principal component analysis (PCA), mean average and density plots were generated using the graphical user interface (GUI). Differential expression between patients was evaluated using the non-parametric NOISeqBIO function [28] implemented in RNA-SeqGUI. A posterior probability (PP) > 0.95 was used to determine DEGs. RNA-Seq datasets were submitted to GEO public resource and the accession number to the data files is GSE71613 (<http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE71613>).

### Gene ontology and pathway analysis

The Kyoto Encyclopedia of Genes and Genomes (KEGG) (<http://www.genome.ad.jp/kegg/>) [29] is an authoritative database containing a variety of biochemical pathways. In addition, the Database for Annotation, Visualization and Integration Discovery (DAVID) (<https://david.ncifcrf.gov>) [30] is a gene functional classification tool that organizes and condenses abundant heterogeneous annotation content. Functional enrichment analysis was conducted in order to recognize the DEG enriched biochemical pathways using KEGG database, gene ontology (GO) associated biological functions and PANTHER Gene analysis tools version 10.0 (<http://www.pantherdb.org/tools>) [31]. Furthermore, DAVID online tools were applied for the GO and KEGG pathway enrichment analyses with a p value set to < 0.05 (according to Benjamini-Hochberg correction) were considered significantly enriched.

Because of a lack of strand specificity in the sequencing protocol, for the analysis of lncRNAs, only intergenic RNAs and antisense RNAs were selected — annotated in Ensembl v70 — excluding transcripts showing overlap with protein coding genes. Transcriptional start sites (TSSs) of lncRNAs and protein-coding genes were downloaded from Ensembl v70 annotation, using the tool Table Browser of UCSC Genome Browser (<http://genome.ucsc.edu/cgi-bin/hgTables>) [32].

Differentially expressed lncRNAs, between LV tissues from HF vs. HD samples, were associated with the nearest TSS of protein coding genes using the function “closestBed” of BEDTools [33] with default parameters.

### Data validation by qRT-PCR and statistical analysis

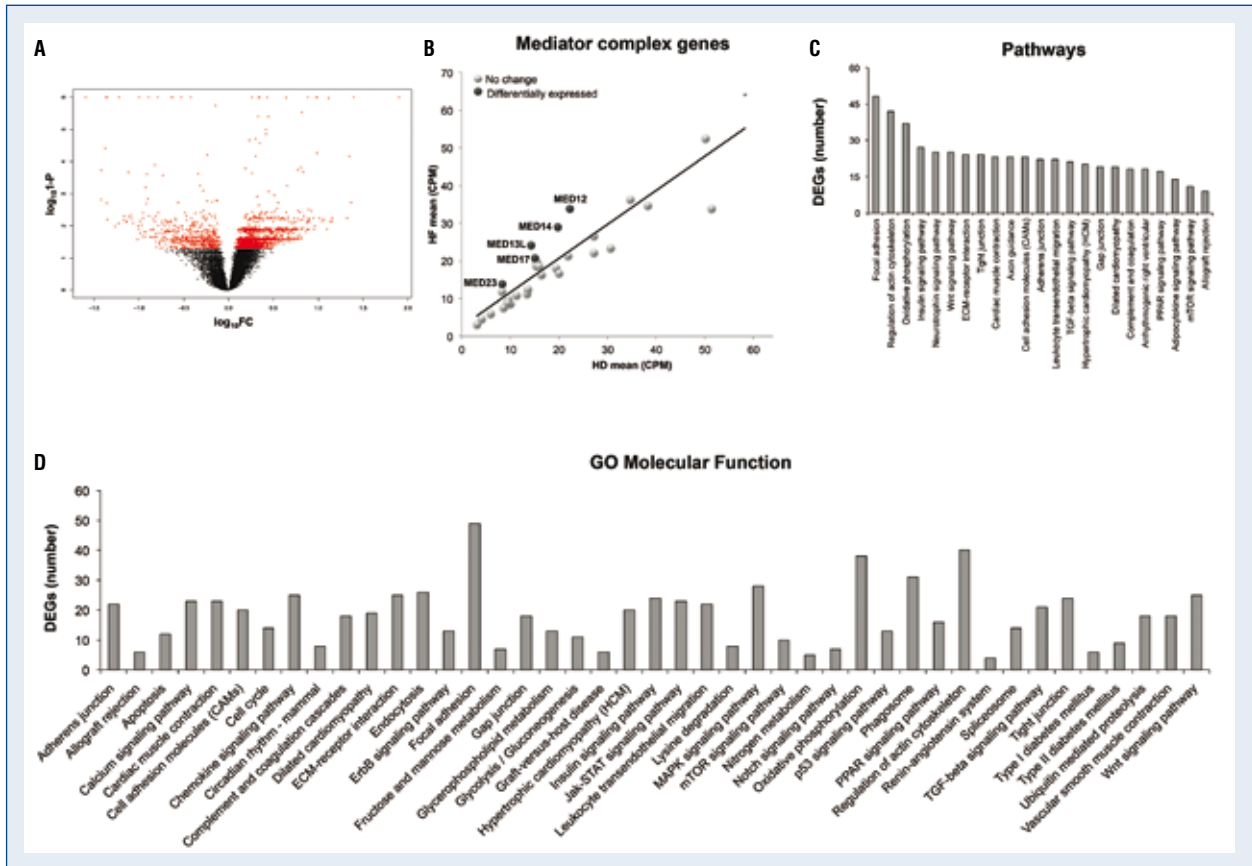
One microgram of total RNA, from HF patients and HD, was reverse-transcribed to cDNA using the SuperScript® IV (Invitrogen), following the manufacturer’s protocol. Primer sequences and corresponding polymerase chain reaction (PCR) conditions are shown in the **Table S1 (see journal website, supplementary file)**. Gene expression was quantitatively determined by quantitative real-time PCR (qRT-PCR) analysis (**detailed in Supplementary Methods — see journal website**). Results were analyzed by threshold cycle (Ct) approach and normalized to RPS18, used as housekeeping gene [34]. Relative expression levels of the target genes were shown as fold change (FC), calculated through the  $2^{-\Delta\Delta Ct}$  method [34]. Results are reported as mean  $\pm$  standard error of three single experiments. Differences between experimental groups were analyzed by the ANOVA and t Student’s tests, considering significant a p value < 0.05 (\*) and particularly significant a p < 0.01 (\*\*).

## Results

### Transcriptome analysis of cardiac tissues

This pilot study investigates the changes occurring in the transcriptome of cardiac tissues in HF patients and healthy subjects by RNA-Seq technology. Clinical characteristics of individuals are reported in Table 1. We isolated total RNA from LV myocardium of patients with DCM and RCM obtained at the time of heart transplantation. Control samples were obtained from transplant donor hearts. The cause of death in these individuals was road accident. All HD had no history of myocardial disease or active infections or significant comorbidities, such as hypertension, diabetes and hypercholesterolemia at the time of transplantation. Three of four subjects were female (77%) with a mean age of  $58 \pm 11.7$  years. Donors and recipients were of Caucasian ethnicity.

Globally, RNA-Seq produced paired-end reads with a sufficient quality and read coverage per sample to perform reliable gene expression analysis (**Fig. S1A–C — see journal website**) [35]. The expression levels of protein-coding and non-coding genes have been analyzed for all samples.



**Figure 1.** Analysis of differentially expressed genes in heart failure (HF) and heart donor (HD) groups. **A.** Volcano plot reporting on the y axis 1-P (posterior probability) in log<sub>10</sub> scale and on the x axis log<sub>10</sub>FC (fold change calculated as disease/healthy samples). Genes identified as significantly differentially expressed (PP > 0.95) are shown as red dots; **B.** Scatter plot of normalized (counts per million [CPM]) mediator complex (MED) gene expression values. Each circle represents a unique gene encoding a mediator complex family member. Black circles indicate differentially expressed gene (DEGs); **C, D.** Bar graph reporting the results of the “Pathway” and “Gene Ontology (GO) Molecular Function” analysis used to infer the function of DEGs identified by RNA sequencing. Categories are reported on the x axis, DEGs’ number on the y axis.

Multidimensional scaling analysis confirmed high correlation and reproducibility among individual samples of each group (Fig. S1D — see journal website). PCA revealed that the two different groups of patients, with different HF etiology (DMC/RCM), significantly differed (Fig. S1E — see journal website). RNA-Seq revealed that about 15,800 genes (Ensembl v70) were expressed in heart specimens and about 500 of them are currently annotated as lncRNAs by the GENCODE Consortium. As the classification of lncRNAs is far from complete and most of these transcripts overlap protein-coding loci, the focus was specifically on the lincRNAs and antisense lincRNAs that can be unequivocally quantified by RNA-Seq. As a general observation there were significant differences between HF and HD transcriptomes, an attempt was made to identify genes with differential

expression that were potentially associated with disease etiology.

### Significant alterations in the protein-coding transcriptome of HF vs. HD

RNA-SeqGUI allows virtually quantifying all expressed genes in a cell or tissue [15]. The initial determination for each sample was the expression level of 56,622 loci (Ensembl v70), then the focus turned to the identification of DEGs between the groups under evaluation. First, we compared the gene expression profiles of HF vs. HD; next, DCM vs. HD; finally, RCM vs. HD subjects.

Data analysis revealed that 2,428; 470 and 3,685 genes were significantly altered in the three groups, respectively (Fig. 1A). The degree of differential expression was variable and ranged from +6.3 to -5.3 log<sub>2</sub>FC between HF and HD;

**Table 2.** Top 10 up-regulated and down-regulated genes

HF top 10 DEGs		DCM top 10 DEGs		RCM top 10 DEGs	
Up-regulated	log2FC	Up-regulated DEGs	log2FC	Up-regulated DEGs	log2FC
HAPLN1	6.31	MTRNR2L1	10.56	HAPLN1	6.41
CFAP61	4.64	HAPLN1	6.21	CFAP61	5.05
COL9A1	4.54	MYOZ1	5.32	COL9A1	5.00
MYOZ1	4.47	FOSB	4.49	CENPA	4.08
HAND1	4.39	HAND1	4.20	KIAA1211	4.07
KIAA1211	3.84	CFAP61	4.05	ASB18	3.96
UNC80	3.83	SYT12	3.92	UNC80	3.86
TNN	3.76	COL9A1	3.85	RHCG	3.80
COMP	3.71	UNC80	3.81	COMP	3.69
CENPA	3.65	COMP	3.73	WBSR17	3.55
Down-regulated	log2FC	Down-regulated	log2FC	Down-regulated	log2FC
TRAJ39	-5.30	TRAJ39	-5.55	RSPO4	-5.92
TRAJ49	-4.72	CTRB1	-4.94	EDN2	-5.74
CTRB1	-4.72	CXCL14	-4.59	SELE	-5.70
PGA4	-4.57	TRAJ49	-4.36	MYOG	-5.40
ADAMTS8	-4.54	PGA5	-4.20	GDA	-5.34
PGA5	-4.51	PGA3	-4.15	PGA4	-5.31
PGA3	-4.50	PGA4	-4.08	ADAMTS8	-5.24
GJD2	-4.49	ADAMTS8	-4.07	TRAJ49	-5.21
EDN2	-4.20	GJD2	-4.07	ADAMTS4	-5.20
ETNPPL	-3.89	NMUR1	-3.88	MT1A	-5.17

HF — heart failure; DCM — dilated cardiomyopathy; DEGs — differentially expressed gene; RCM — restrictive cardiomyopathy

from +10.6 to -5.5 log2FC between DCM and HD and from +6.4 to -5.9 log2FC between RCM and HD. Most of DEGs (about 78%, 61%, and 65%, respectively) were significantly overexpressed in HF, DCM, and RCM patients, indicating a strong induction in gene transcription. As shown in Table 2, the HAPLN1 gene is always up-regulated when HF was analyzed, DCM and RCM groups (log2FC = +6.3; +6.2 and +6.4, respectively). Furthermore, the two most up-regulated genes were: CFAP61 and COL9A1 (log2FC = +4.6 and +4.5) in HF group; MTRNR2L1 and MYOZ1 (log2FC = +10.6 and +5.3) in DCM group; and CFAP61 and COL9A1 (log2FC = +5.0 for both genes) in RCM group. Similarly, the three most down-regulated genes were TRAJ39, TRAJ49, and CTRB1 (log2FC = -5.3; -4.7 and -4.7) when HF vs. HD was analyzed; TRAJ39, CTRB1, and CXCL14 (log2FC = -5.5; -4.9 and -4.6) in DCM vs. HD; and RSPO4, EDN2, and SELE (log2FC = -5.9; -5.7 and -5.7) in RCM vs. control group (Table 2).

Interestingly, RNA-Seq analysis revealed the differential expression of 5 genes encoding different subunits of the mediator complex (MED): MED12, MED13L, MED14, MED17, MED23 (Fig. 1B; Table 3) (see the discussion section below).

**Gene ontology and KEGG pathway enrichment analysis of DEGs**

DAVID, Venny and Pathway analysis tools were used to determine molecular processes and biological pathways associated with the DEGs detected. We first associated differentially expressed mRNAs with three structured networks: biological process (BP), molecular function (MF) and cellular component (CC) in HF patients only (Tables S2–S5 — see journal website, supplementary file). Results showed a significant enrichment (p < 0.05 adjusted by the accepted Benjamini-Hochberg correction method) of particular biological processes when specific genes were altered. In particular, bioinformatic analysis revealed a significant alteration of “focal adhesion”,

**Table 3.** Differentially expressed genes of mediator subunits in heart failure (HF) versus heart donor (HD) comparison.

Mediator subunit	HD	HF
MED1	15.23	18.80
MED4	21.87	21.40
MED6	9.45	9.90
MED7	9.95	8.56
MED8	19.33	18.15
MED9	38.19	34.63
MED10	19.88	16.74
MED11	11.23	10.78
<b>MED12</b>	22.26	33.96
MED13	15.34	19.67
<b>MED13L</b>	14.21	24.12
<b>MED14</b>	19.77	28.94
MED15	50.06	52.49
MED16	30.56	23.39
<b>MED17</b>	15.07	20.73
MED18	9.38	8.78
MED19	8.57	7.51
MED20	13.49	12.50
MED21	27.18	22.09
MED22	27.09	26.63
<b>MED23</b>	8.23	13.93
MED24	58.19	64.20
MED25	51.35	33.88
MED26	3.94	4.52
MED27	13.41	11.23
MED28	16.27	16.33
MED29	34.59	36.30
MED30	5.94	5.95
MED31	3.07	3.04
CDK8	8.29	11.83
CCNC	15.90	18.48

Gene expression values of mediator complex subunits expressed in counts per million. Differentially expressed gene of mediator complex subunits are shown in bold red color.

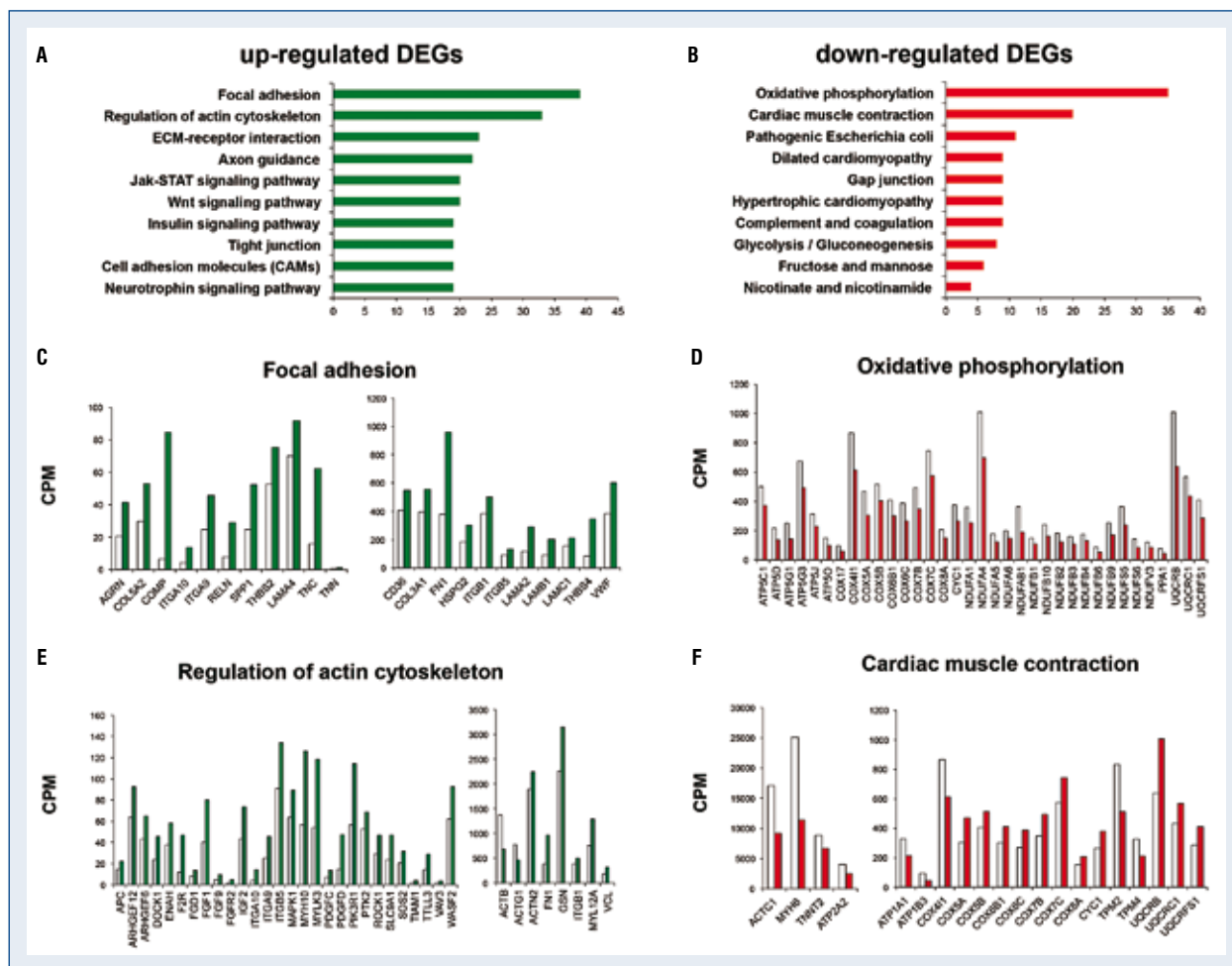
“regulation of actin cytoskeleton” and “oxidative phosphorylation” (adjusted p values  $5.64^{-11}$ ,  $2.30^{-1}$  and  $1.27^{-12}$ , respectively) (Fig. 1C).

The GO analysis further validated this experimental set up, demonstrating that many biological processes and molecular functions, already associated with HF, were also enriched in these datasets, including “cell-adhesion”, “cell-proliferation”, “cell-differentiation”, “transcription”, “apoptosis”, “proteolysis”, etc. (Fig. 1D; Tables S2–S5). Next,

by KEGG pathway analysis found a number of biological pathways characterized by up- or down-regulated genes when under comparison (Fig. 2). The predominant up- and down-regulated pathways are summarized in Table S5, including related genes (345 up- and 239 down-regulated), and top 10 are represented in Figure 2A, B. Specific DEGs of the first two classes significantly altered are shown in Figure 2C–E (up-regulated DEGs) and Figure 2D–F (down-regulated DEGs).

Based on Pubmed literature, some genes which had not been previously reported showed an association with human heart tissue. Among them, for instance, ADAMTS8 and ADAMTSL4 were localized predominantly in plasma cells and lung tissue (Table S6 — see journal website, supplementary file) [36]. ADAMTS8 and ADAMTSL4 genes were particularly down-regulated in HF vs. HD (−4.54 fold; false discovery rate [FDR] < 0.05 and −2.62 fold; FDR < 0.05 respectively). Both genes and many others in GO categories constitute an extremely rich source for potential target genes in HF understanding and prevention (Tables S2–S5). In addition, KEGG analysis demonstrated that several pathways were also altered in HF compared to HD; particularly in Figure 3A, were shown “cell adhesion molecules” pathway and graphically, heatmaps were also reported (Fig. 3B–C) of “focal adhesion” (39 up- and 9 down-regulated genes) and “cardiac muscle contraction” (3 up- and 20 down-regulated genes) significantly altered in all HF patients (Fig. 3A–C; Table S5). Finally, in order to identify a potential disease-specific signature, gene expression levels were compared in DCM and RCM groups. The Figure S1D–E (Fig. S1D–E — see journal website, supplementary file) shows the PCA of the distinct considered groups: DCM and RCM compared to HD, providing a quick display of overall variability in screening and can highlight inconsistent samples. 114 genes were found in which expression was significantly altered between the two conditions, using mean gene expression values of HD as the background condition (Fig. S2A–C — see journal website, supplementary file). As shown in Figure S2D clusters of disease-specific genes belonging to the “TNF signaling pathway”, “phagosome”, “cytokine-cytokine receptor interaction” were identified.

In the analysis of DCM vs. HD, DEGs were 470 ranging between FC = −5.5 (like TRAJ39 gene) and FC = +10.6 (MTRNR2L1 gene). Of all DEGs about 61% (285 genes) were up-regulated and 39% (185 genes) were down-regulated. In analysis of RCM vs. HD, DEGs were 3,685 ranging between



**Figure 2.** Pathway analysis of the top 10 significantly altered genes; **A, B.** Pathway analysis of the top 10 significantly changed up- and down-regulated differentially expressed gene (DEGs) when comparing heart failure and heart donor. In the panels **C/E** and **D/F** are reported specific up- and down-regulated DEGs of the first and second more abundant pathway; CPM — counts per million.

a FC = -5.9 (like *RSPO4* gene) and FC = +6.4 (*HAPLN1* gene). Of all DEGs about 65% (2,390 genes) were up-regulated and 35% (1,295 genes) were down-regulated.

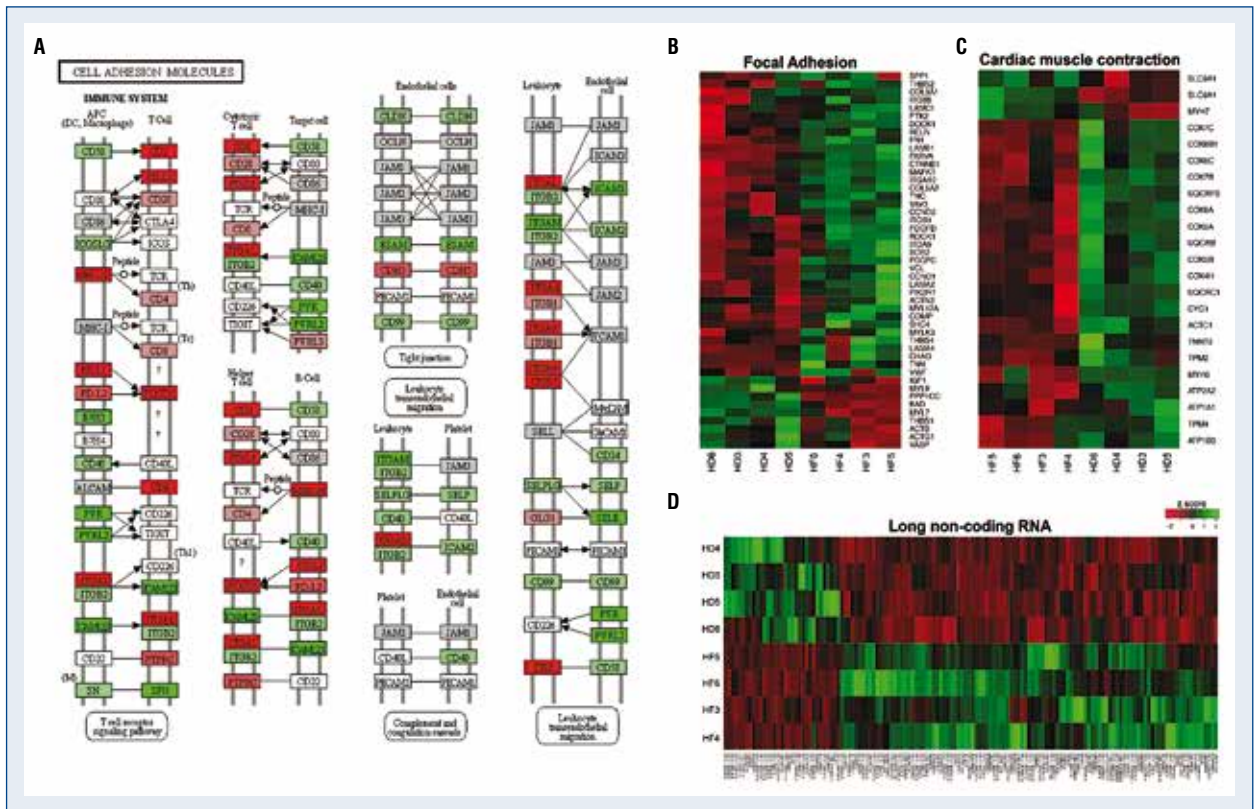
The similarity of HF vs. HD comparisons, DCM and RCM DEGs compared to non-failing hearts were examined by GO and KEGG enrichment analyses separately. DEGs with higher expression levels in DCM were enriched for “cardiovascular” ( $p = 5.0 \times 10^{-5}$ ) in disease class and were enriched for “cardiac muscle contraction” ( $p = 2.0 \times 10^{-6}$ ) in KEGG pathway. The GO analysis showed that the DEGs clustered in MF, BP, and CC and were significantly enriched for terms related to “extracellular region” ( $p = 1.6 \times 10^{-13}$ ) and “cell junction” ( $p = 3.3 \times 10^{-4}$ ). Similarly, DEGs with higher expression levels in RCM were enriched for “cardio-

vascular” ( $p = 2.4 \times 10^{-12}$ ) in disease class. Instead, KEGG analysis was significantly enriched for “focal adhesion” ( $p = 1.6 \times 10^{-3}$ ) and “ECM-receptor interaction” ( $p = 2.9 \times 10^{-3}$ ). Finally, GO analysis showed that RCM DEGs clustered in MF, BP, and CC and were significantly enriched for terms related to “cell adhesion molecules” ( $p = 3.9 \times 10^{-3}$ ) and “cardiac muscle contraction” ( $p = 1.3 \times 10^{-2}$ ).

### Transcriptome analysis of failing hearts identifies differentially expressed lncRNAs

Since lncRNA are emerging as crucial contributors to human diseases, the aim of this investigation was whether these non-coding RNAs may potentially have a role in HF. Therefore a systematic analysis of RNA-Seq datasets to identify lncRNAs differentially expressed (DE) was undertaken in





**Figure 3.** Pathways most affected in heart failure (HF) versus heart donor (HD) from RNA sequencing analysis. **A.** Graphical representation of the KEGG pathway “Cell adhesion molecules”. Red boxes indicate genes down-regulated in HF, green the ones up-regulated. “Focal adhesion” and “Cardiac muscle contraction” pathway are reported in the panels **B** and **C** as heatmaps (with the hierarchical clustering option). The degree of differential expression between the two HF and HD groups is indicated by a three-color code (down-regulated genes are depicted in red, up-regulated genes in green and genes with little-to-none variation are indicated in black). Similarly, panel **D** shows the heatmap (with the hierarchical clustering option) of long non-coding RNAs differentially expressed in HF versus HD samples.

HF vs. HD. In this data-driven analysis. Focus was mainly on intergenic and antisense lncRNAs, which do not overlap protein-coding loci and that can be unambiguously quantified using RNA-Seq. Differential expression analysis revealed that 140 lncRNA were significantly deregulated in HF vs. HD. Among them, 33 non-coding genes were up-regulated and 107 were down-regulated (Fig. 3D; Table 4). As lncRNA may act to regulate gene expression of surrounding protein-coding genes, DE lncRNAs were associated, through an automatic computational pipeline, with the transcription start site of the nearest protein-coding gene. Of these, 27 (24 up- and 3 down-regulated) lncRNAs were DE (Table 4). Interestingly, among the most altered lncRNAs/gene and lincRNA/gene pairs we found EPHA5-AS1/EPHA5 and JAK1/RP11-182I10 genes, respectively. EPHA5-AS1 is located on chromosome 4q13.2 and Ensembl annotates 3 different isoforms transcribed from

this locus, with a long isoform composed of 4 exons (1,177 nucleotide in length). Moreover, EPHA5-AS1 has a partial overlap with the 5’UTR of EPHA5 gene (Fig. 4A), suggesting a direct regulatory role of this antisense lncRNA on the sense protein-coding gene. To address whether a potential correlation may exist, normalized expression values of both the protein-coding and the lncRNA in all samples were compared. Interestingly, it was observed that both of them were significantly up-regulated in HF compared to HD (Fig. 4B), and the expression values had a high positive correlation (Pearson’s coefficient = 0.89). Also similarly revealed, was a positive correlation between JAK1 and RP11-182I10, a lincRNA/mRNA transcribed in the same orientation of JAK1 gene and was localized very close to this gene (Fig. 4C). Boxplots in Figure 4D show the expression values of both genes under two different conditions (FDR < 0.05).

**Table 4.** Long noncoding RNAs (lncRNA) differentially expressed (DE) in heart failure versus heart donor groups.

DE lncRNA	DE associated-protein-coding gene	lncRNA log2FC	Protein-coding log2FC
RP11-427H3	AAK1	1.25	1.34
LINC00342	ANKRD36C	0.87	0.85
LUCAT1	ARRDC3	-1.34	0.81
LINC00900	CADM1	1.28	1.06
SFTA1P	CELF2	2.57	0.50
RP11-261C10	CEP170	0.95	0.72
RP11-807H7	EPHA5	1.20	1.27
RP11-479J7	FAM78B	-3.28	-3.07
GDNF-AS1	GDNF	2.58	1.84
RP11-441O15	GOT1	1.30	-0.32
AC018647	HERPUD2	2.10	0.52
RP11-182I10	JAK1	1.10	0.39
AC096574	LRRFIP1	1.81	0.59
CROCCP2	NBPF1	0.60	0.55
RP11-121C2	NFXL1	1.14	-2.70
LINC01011	NQO2	0.72	-0.51
AC010096	OSR1	0.65	-1.43
RP11-33B1	PDE5A	1.06	0.65
RP11-10L7	PPM1K	1.39	1.42
RP11-1114A5	RBMX	0.75	0.38
NEAT1	SLC25A45	-0.47	1.01
RP11-480A16	TNK2	0.82	0.77
RP11-1275H24	TNRC18	0.62	0.61
USP46-AS1	USP46	0.89	0.95
OVAAL	XPR1	1.60	1.31
MIRLET7DHG	ZNF169	0.97	1.01
RP11-457M11	ZNF322	0.97	0.93

### RNA-Seq validation

To validate the results of the analysis between two conditions, qRT-PCR on the same RNAs used for RNA-Seq experiments were performed (Table S1). Under analysis was the expression of several selections of significantly altered genes, including all GO categories, comparing HF and HD groups (Tables S2–S5). Particularly, altered cytoskeletal components, closely involved in the regulation of the actin and myosin cytoskeleton and muscle contraction, included: ACTA2, ACTG2, NMUR1, MYL4, and MYH10. Moreover, the following transcription factors involved in heart development and morphogenesis were selected: TBX20, SHOX2, HOPX and MSX1. Furthermore, among significantly DEGs, the retinoic acid producing enzyme ALDH1A2 was

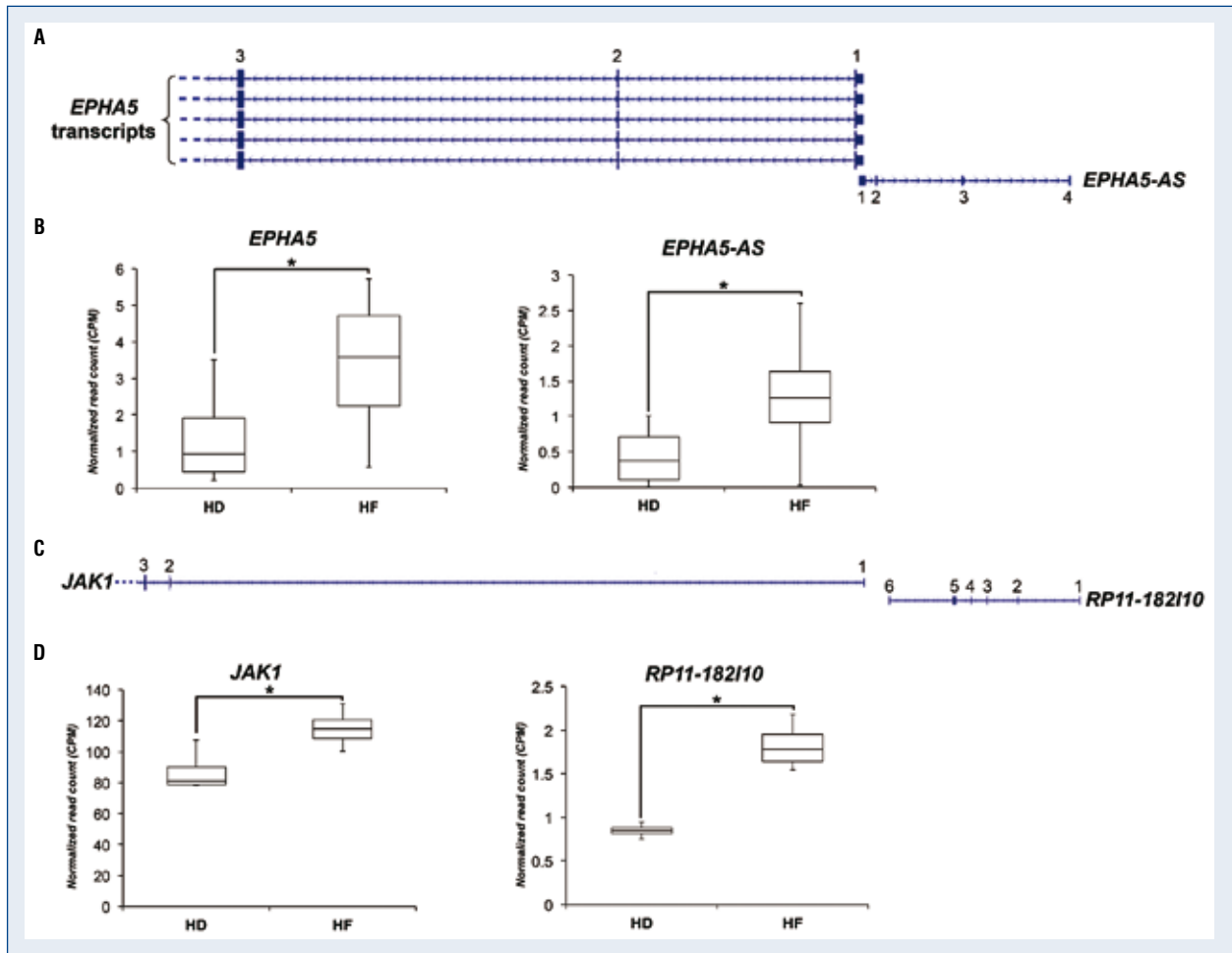
chosen for validation. Finally, among genes encoding for ionic channels, we selected: SLC8A1, CHRNE, HCN2, BDKRB2, and CACNA1G.

All DEGs analyzed by qRT-PCR confirmed the expression trends obtained by RNA-Seq analysis. In **Figure S3 (see journal website, supplementary file)** observation revealed that FC measured by RNA-Seq (count per million [CPM]) was confirmed also by qRT-PCR ( $2^{-\Delta\Delta Ct}$ ).

### Discussion

Although a limited number of patients participated, the main findings of the present study were:

1. The identification of specific changes occurring in the transcriptome of HF patients



**Figure 4.** Genomic localization of differentially expressed antisense long noncoding RNA (lncRNAs); panels **A** (EPHA5/EPHA5-AS1) and **C** (JAK1/RP11-182I10) show the schematic graphical representations of lncRNA/mRNA and long intergenic RNAs (lincRNAs)/mRNA pairs (RefSeq genes) that are significantly altered in heart failure patients. In panels **B** and **D** the expression levels (normalized values, counts per million [CPM]) of both the gene and the neighbor lncRNA associated to it (Pearson’s coefficient = 0.89) are reported (FDR < 0.05); HD — heart donor; HF — heart failure.

1. compared to healthy subjects. In particular, bioinformatic analysis revealed a significant alteration of “focal adhesion”, “regulation of actin cytoskeleton” and “oxidative phosphorylation” in HF cardiomyocytes;
2. The identification of some genes, which had not been previously reported, had an association with human heart tissue and HF (ADAMTS8, ADAMTSL4, ACTA2, ACTG2 and NMUR1);
3. The identification of a potential disease-specific signature, comparing gene expression levels in DCM and RCM groups, revealing as significantly DEGs, genes belonging to the “TNF signaling pathway”, “phagosome”, “cytokine-cytokine receptor interaction”;
4. The identification of altered levels of genes encoding 5 MED subunits that play a fundamental role in human HF. Among these, to date, MED17 has not yet been associated with CVD;
5. The identification of specific non-coding RNAs that potentially have a role in HF. Focusing mainly on intergenic and antisense lncRNAs, differential expression analysis revealed that EPHA5-AS1/EPHA5 and JAK1/RP11-182I10 genes (a lncRNAs/gene and lincRNA/gene pairs respectively) were significantly deregulated in HF vs. HD.  
 Cardiomyocyte cytoskeleton is essential to maintain cell morphology and regulate contraction and relaxation. Alterations in cytoskeletal

components have been found in both animal models of HF and in humans [37, 38]. Specifically, an increase in cytoskeletal proteins together with a loss of contractile filaments has been suggested as the morphological cause of heart dysfunction [37, 38]. The number of genes involved in this structural disruption continues increasing; however, other cytoskeleton and contractile fiber genes are expected to play a role in these pathologies. As previously reported, most genes encoding components for a wide variety of cellular compartments and pathways, such as: contractile and transduction apparatus, gene transcription and splicing machinery, and calcium regulation, were associated with DCM [11]. An example of altered genes, also deregulated in this condition and was represented by ACTC1, DSG2, MYH6, SGCD (Tables S2–S5). On the other hand, genetic mutations in familial RCM are not well defined, since there is significant overlap in the mutations between RCM, DCM, and HCM [12]. Also in RCM, accordingly to previous studies and presently identified genes encoding sarcomere (e.g. ACTC1, MYH7, and TNNT2), Z-disk proteins (e.g. MYPN), and intermediate filament network (e.g. DES) (Tables S2–S5). Interestingly, in the present study, a large number of genes were identified that had not been previously correlated with HF. Although the specific GO category/term is strongly associated with this pathology, significantly altered genes were found associated to “myocardial infarction susceptibility” and “cardiomyopathy” only when down-modulated genes were considered. DEGs were also significantly enriched in “immune response” and “inflammation-related” pathways. Furthermore, other genes involved in “angiogenesis” and “cytoskeleton” were significantly altered in HF vs. HD as well as some genes with very important biochemical/biological functions in other tissues or organs (Table S6). The list of genes includes: ACTA2, NMUR1, ACTG2, KCNJ5, GATA6, MSX1, ZNF385B, ADAMTS8, ADAMTSL4 and COMP. In particular, ACTA2 and ACTG2 have been associated with HF for the first time in this study. Specifically, these genes were down-regulated both in DCM and RCM conditions. In the analysis of DCM and RCM vs. control group, ACTA2 gene reported a FC = -2.7 and -3.3, respectively. This trend was also confirmed for ACTG2, with a FC = -8.2 and -7.5 in DCM and RCM, respectively. As previously reported, these genes encode highly conserved molecules belonging to the actin family proteins, involved in cell motility, structure and integrity of the contractile apparatus [39]. Noteworthy, NMUR1 gene is particularly down-regulated in HF (-3.78 fold; FDR < 0.05);

this gene is endogenously expressed in adult rat cardiomyocytes, where it is involved in modulating L-type Ca(2+) channels [40]. Thus, this study suggests that the altered levels of NMUR1 may also play a significant role in human HF.

Generally, the findings of this study indicate a more complex transcriptome alteration in RCM compared to DCM. Indeed, in DCM filtered list a total of 50 genes which were differentially expressed whereas RCM tissues displayed 516 common DEGs. Nevertheless, despite the different etiologies of DCM and RCM, it is conceivable that both pathologies can share similar functional changes that are responsible for HF.

Moreover, bioinformatic functional analysis demonstrated that DEGs binding-related genes (1,892 up-regulated and 536 down-regulated genes) were differentially expressed in failing samples when compared with non-failing hearts (Fig. 2A).

Interestingly, our analysis also revealed the differential expression of 5 genes encoding various subunits of the MED: MED12, MED13L, MED14, MED17, MED23 when a PP > 0.95 was used to determine DEGs (Fig. 1B; Table 3). To date, alterations in MED complex genes have been associated with several human multifactorial diseases, including CVDs [41–44]. To date, only MED17 has not yet been associated with CVD. All differentially expressed MED were up-regulated in HF patients. In particular, MED12 expression was found altered in DCM and RCM groups when we used a PP > 0.80. Noteworthy, MED17 gene is up-regulated in RCM patients (+0.50 fold; FDR < 0.05); this gene constitute a subunit of MED head module and interact with Pol II and the general transcription factors for both transcription and nucleotide excision repair mechanisms [45]. Thus, our pilot study suggests that the altered levels of MED subunits may play a fundamental role in human HF. In particular, since that MED complex has been also shown to work in cooperation with ncRNAs in regulating gene transcription [41], it would be relevant to investigate MED17 functions in order to understand its role in the onset and progression of RCM.

To date, several reports indicate that other classes of RNAs, other than protein-coding, are potential contributors to human diseases [46, 47], mainly as they are able to regulate gene expression at the transcriptional, post-transcriptional, and epigenetic levels [48, 49]. Among these ncRNAs, the class of lncRNAs plays an important role in several conditions such as cancer, liver disease and central nervous system disorders among many others. Exploratory studies performed on the lncRNA in

the cardiovascular setting have thus far identified only few lncRNA associated with CVDs [50, 51]. Specific studies looking at lncRNAs in HF remain lacking [51].

To investigate whether lncRNAs may potentially have a role in heart diseases, systematic analysis of RNA-Seq datasets to identify lncRNAs DE in HF was performed. Differential expression analysis revealed 140 significantly deregulated lncRNA in HF vs. HD. Among them, 24% of non-coding genes were up-regulated and 76% were down-regulated. A searched for all the 140 DE lncRNAs the nearest protein-coding genes and we could find only 27 pairs of lncRNA-genes that are both differentially expressed was done. Of all, 27 genes (about 20%) were DE (Table 4). Interestingly, among associated DE lncRNAs and protein coding genes, we found EPHA5-AS1 (annotated also as RP11-807H7.1), a lncRNA transcribed antisense to EPHA5 gene. Both the protein-coding and the lncRNA are up-regulated in HF vs. HD (Fig. 4). Moreover, EPHA5-AS1 has a partial overlap with the 5'UTR of EPHA5 gene (Fig. 4), which suggests a direct regulatory role of this antisense lncRNA on the sense protein-coding gene. Ephrin receptors have diverse activities, including widespread effects on the actin cytoskeleton, cell-substrate adhesion, intercellular junctions, cell shape, and cell movement [52]. However, this is the first report about its deregulation in cardiomyopathies.

Similarly, a positive correlation between JAK1 and the lincRNA RP11-182I10 was observed. Different from EPHA5-AS1, RP11-182I10 is transcribed on the same strand of JAK1 gene in a typical head-to-tail orientation (Fig. 4C). The expression values of both genes under two different conditions were also increased (FDR < 0.05) (Fig. 4D). JAK1 gene encodes a protein-tyrosine kinase member, operating fundamental roles as the intracellular signaling effector of cytokine receptors. The activation and/or inactivation of members of the Janus kinase family are causally linked to different human diseases, as hemopoietic malignancies, immunodeficiency and inflammatory diseases [53]. However, EPHA5 and the JAK1 gene have never been identified as altered in patients with cardiomyopathies. These novel changes could be responsible for altered contraction and cell disruption in HF subjects, which may suggest novel therapeutic approaches.

## Conclusions

The present findings revealed specific expression pattern of both protein-coding and lncRNAs

in HF patients, confirming that new LV myocardial biomarkers could be reliably identified using Next-Generation Sequencing-based approaches.

For patients awaiting heart transplantation, there are differences in survival on the basis of type of heart disease (DCM or RCM). The knowledge of an expression network signature in end-stage HF diseased hearts may offer important insights into the complex pathogenesis of advanced cardiac failure, it may also provide potential targets for therapeutic intervention.

All the novel changes revealed could be responsible for altered contraction and cell disruption in HF subjects. However, many of these factors, play critical roles in heart development and homeostasis as well as in other human diseases, an original list of novel candidate genes with potential implications in HF is offered. These findings reveal a specific expression pattern of both protein-coding and lncRNAs in HF patients, confirming that new LV myocardial biomarkers can be reliably identified using NGS-based approaches. Interestingly, for many of these proteins; pharmacological agonists and antagonists could be developed, raising exciting possibilities for new therapeutic approaches.

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**Conflict of interest:** None declared

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