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MULTIOBJECTIVE OPTIMIZATION OF ECONOMIC BALANCES OF SUGARCANE HARVEST BIOMASS

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ABSTRACT: The fact that Brazil is the world's largest sugarcane (*Saccharum* spp.) producer, leads to a great concern about the cropping systems used, since the most common practice involves manual harvesting prior to straw burning. Brazilian authorities approved a law prohibiting the burning practice of crop residues prior to harvest. However mechanized harvest creates the new problem of how to deal with the residues. Many studies have proposed the use of these residues as an energy source. The major difficulty in its use is how to economically transport sugarcane harvested biomass from the farm to a processing center. The aim of this work was to develop a model to optimize plant variety selection, minimize the cost of the residual biomass transfer process, to evaluate the economics of using this material, and to address sucrose production and planting area constraints, considering distances from plot to processing center. For this 0-1 multiple objective linear programming techniques were used. The results show the viability of the model in selecting varieties, which provide increased profit from residual biomass use.

Key words: mathematical model, residual biomass, sugarcane, 0-1 multiple objective linear programming

OTIMIZAÇÃO MULTIOBJETIVO NO BALANÇO ECONÔMICO DA BIOMASSA DE COLHEITA DA CANA-DE-AÇÚCAR

RESUMO: O Brasil é o maior produtor de cana-de-açúcar (*Saccharum* spp.) do mundo. Mas, existe uma grande preocupação com o sistema de colheita utilizado nesta cultura, pois é prática comum a colheita manual com a pré-queima do palhiço. Autoridades brasileiras têm aprovado leis proibindo a queimada nos canaviais. Entretanto, a colheita mecanizada com cana crua cria novos problemas com a permanência do resíduo no solo. Assim, muitos estudos têm sido propostos para o uso deste resíduo para geração de energia. A maior dificuldade para o uso desta biomassa está no custo de coletar e transferir o resíduo do campo para o centro de processamento. Para análise da viabilidade deste sistema há a necessidade de um estudo do balanço econômico do processo. O objetivo deste trabalho é desenvolver um modelo matemático que auxilie na escolha das variedades de cana a serem plantadas, de forma a minimizar o custo do processo de transferência da biomassa residual de colheita e avaliar economicamente o uso deste material, colocando restrições sobre a produção de sacarose e limitações da área para plantio e considerando as distâncias entre os talhões e o centro de processamento. Para isto, técnicas de programação linear multiobjetivo 0-1 foram utilizadas. Os resultados da aplicação mostram a viabilidade do uso deste modelo para auxílio na seleção de variedades, otimizando o lucro do uso da biomassa residual de colheita para geração de energia.

Palavras-chave: modelo matemático, biomassa residual, cana-de-açúcar, programação linear multiobjetivo 0-1

INTRODUCTION

Brazil is the largest sugarcane producer, responsible for 25% of world's yield, with a turnover of more than R\$ 20 billion a year, and direct employment for more than 3.6 million workers in over 300 industries. It is an important socioeconomic crop pro-

viding the raw material for three important agro-industries: sugar, spirits and alcohol CONAB (2007); UNICA (2007).

Sugarcane planting uses several genetically improved varieties. Choosing the right variety is not easy; it depends on fundamental agronomic information, industrial factors, and on the interaction of all

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the biotic, abiotic, administrative and economic factors Ripoli & Ripoli (2004). Although harvest residues are a current worry, they can be turned into profitable raw material for energy generation in a country that imports 27% of its energy requirements.

The industry has been encouraged by research development into the viability of using residual biomass within their own production or industrial facilities, thus reducing the environmental impact caused by faulty or the poor disposal or dissemination of agroindustrial residues. Various problems such as economic and production quotas, irrigation and many other decisions, have been solved through optimization studies. Beeharry (2001) developed a study to evaluate residue and energy production from sugarcane biomass, concluding that energy production from sugar-cane, which represents 14% of total energy consumed, could be increased by 50% by using stalk ends, leaves and straw in an energy production chain. Ismintarti (1996) developed a linear programming model to optimize the use of available resources at the mill. From its results it was evident that the mill was operating below its maximum capacity, resulting in high losses in net income. Sartori et al. (2001) developed two mathematical models showing the possibility of choosing variety after pre-selection through the quantity of residual biomass from harvest, and energy production from this biomass. This study motivated this work, with the aim of developing an optimization model for final variety selection after the usual pre-selection, based on the reduction of harvest cost and time to increase the energy generation of residual biomass recovery and utilization, and evaluating the influence of volume of the material.

The model corresponds to a 0-1 integer program, that was solved using techniques of the 0-1 multiple objective integer for linear programming Taha (1975); Zeleny (1982); Mavrotas & Diakoulak (1998) and Florentino (2005).

MATERIAL AND METHODS

Cost and economic balance

The harvest cost includes the cost of residue accumulation, cost of loading and the cost of transport. The economic balance (EB_{al}) is determined by the difference between sale price (SP) of the obtained energy and the transfer cost (TC) of the residual biomass from the planting area to the processing center. The transfer cost (TC) of the biomass is the sum of the residue accumulation (C_A), collection (C_C) and transport (C_T) costs. Thus $TC = C_A + C_C + C_C$

Therefore of balance is: $EB_{al} = SP - TC$

Multiobjective 1-0 Integer Linear Programming, which were implemented using MATLAB 6.1.0.450 (R 12) software Matlab (1992) in Pentium IV computers, were used in this study.

Mathematical Model

The goal is to determine the variety \mathbf{i} to be planted on plot \mathbf{j} of area \mathbf{B}_{i} at a distance \mathbf{L}_{i} from the processing center, that would offer the maximum possible value from the economic balance of using biomass residuals, with a sucrose yield equal or superior to the average of the pre-selected varieties. To obtain residue accumulation, collection and transport costs, it is necessary determine machinery usage cost. The cost of machine use for residue accumulation (CF), in US\$ ton-1 of straw, was determined using the fuel cost (C_s), US\$ L⁻¹, and consumption (G), L ton⁻¹, therefore $CF = C_r G$. The cost of machine use for collecting the residual biomass of variety i (Cm.), in US\$ m³, is calculated by the cost of truck loading (Cc), US\$ ton⁻¹ of straw, and the biomass volume per ton (V) in m³ ton-1, for each variety (i), as follows: $Cm_i = \frac{C}{V_{ij}}$

The cost of machinery use for residue transport (CD) associated to plot i is the fuel truck consumption (C), in km L⁻¹, to cover the distance to the processing center (Lj) in kilometers; for this route the fuel cost is (pc) US\$ L^{-1} , therefore $CD_j = \frac{L_j}{C} pc$.

Considering $X_{ij} = 0$ when a variety is not selected for planting on plot \mathbf{j} , and $X_{ij} = 1$ otherwise,

$$SP = \sum_{i=1}^{n} \sum_{j=1}^{k} SP_{ij} X_{ij}$$
 (1)

$$TC = \sum_{i=1}^{n} \sum_{i=1}^{k} (C_{Aij} + C_{Tij} + C_{Cij}) X_{ij}$$
 (2)

 $\begin{array}{l} \mbox{where:} \ SP_{ij} = spb_i \ P_i \ .B_j \ ; \ spb_i = sole \ price \ of \ the \ energy, \ US\$ \ ton^{-1}. \ C_{Aij} = (P_i \ .B_j) \ .CF; \ C_{Cij} = (Q_i \ .B_j) \ .Cm_i; \\ C_{Tij} = [Q_i B_j \ / \ Vc] \ CD_j \ ; \ B_j \ is \ the \ planting \ area \ in \ plot \ j \end{array}$ (ha); P_i is the production of the biomass of the variety i (ton ha⁻¹); Q_i is the quantity of the biomass of the variety i (m³ ha⁻¹) and Vc is the volume of load for the truck (m³).

Therefore, the use of zero-one integer linear programming techniques is proposed in the following manner: Min TC; Max EB_{al}, subject to

$$\sum_{i=1}^{n} \sum_{i=1}^{k} (B_{i} A_{i}) X_{ij} \ge \overline{P}$$
 (3)

$$\sum_{i=1}^{n} \sum_{j=1}^{k} (B_j A_i) X_{ij} \ge \overline{P}$$

$$\sum_{i=1}^{n} X_{ij} = 1, \text{ for all } j$$

$$\sum_{i=1}^{k} X_{ij} \le (k/m) \text{ for all } i$$
(5)

$$\sum_{j=1}^{K} X_{ij} \le (k/m) \quad \text{for all i}$$
 (5)

$$X_{ii} = 0,1$$
; $i = 1,2, ..., n$ and $j = 1, 2, ..., k$ (6)

where: i=1,2,...,n are the varieties of the system and n is the number of varieties well adapted to the specific location, j=1,2,...,k are the plots for planting; \bar{P} is the average sucrose production among the varieties (t); A_i is the average sucrose production for each variety i (t ha⁻¹) and m is the minimum number of the varieties, determined by the mill.

This model minimizes the residual biomass transfer cost from the planting area to the processing center and maximizes the economic balance of using this material.

Constraint (3) guarantees the demand of sucrose, (4) selects only one variety for each plot (the number of the constraints of (4) is equal the number of the plots), and (5) guarantees the minimum number (m) of varieties to be planted ($m \le n$).

Model Application

Real data from a local mill were taken from ten plots covering a total area of 207.47 hectares and five sugarcane varieties: RB72454, RB806043, RB855536, SP79-1011 and RB855113. The distance from each plot to the processing center varied from 12 to 22 km. Sucrose yield data are shown in Table 1 (Sartori, 2001). The quantity of residual biomass in m³ ha⁻¹ was determined from the difference between the biomass in kg ha-1 and the residual biomass in m³ kg⁻¹ (given by the mill), for each variety (Table 1). Collection and loading costs for the residual biomass (Cm) in US\$ m⁻³ are shown in Table 1; they were calculated from cost data: for truck loading (Cc), straw value (US\$ ton⁻¹) three days post raw cane harvest, and biomass volume per ton (V) in m³ ton⁻¹, for each variety i.

The load volume of the truck was of 54.57 m³, a value chosen not to affect soil compaction (data from the mill). With this information, the proposed model can be solved using the Branch-and-bound algorithm for zero-one multiple objectives linear programming Kiziltan & Yucaoglu, 1983). The multiobjective Branch-

and-bound algorithm is capable of generating all efficient combinations of the 0-1 variables coupled to the efficient solutions of the model ($X_{ij}=0$ the variety i is not selected for planting in plot j and $X_{ij}=1$ the variety i is selected for planting on plot j). The solution of the model is expressed by the efficient combinations of the binary variables. The identification of these efficient combinations is very helpful in order to study the potential of possible actions before taking a final decision. When multiobjective problems are being considered one of the important issues is the problem of ranking the criteria in terms of their importance and impact on the final result.

RESULTS AND DISCUSSION

Some points of the efficient frontier are presented in Figure 1. The efficient frontier is the choice of the set containing all pairs (TC, EB) related to the efficient solutions of the model. The point Δ in Figure 1 was chosen since it is the central point between the minimum and maximum costs. Any other criterion for choosing the solution could be used, depending on the interest of the decision maker.

With this method, a solution to generate a cost of US\$ 78,321.20 was obtained for the movement of residual biomass, and economic balance of US\$ 60,756.18 selecting varieties RB855113 for plots 1, 3 and 5 (61.82 ha), RB806043 for plots 2, 7 and 9 (60.61 ha), SP79-1011 for plot 8 (18.70 ha) and

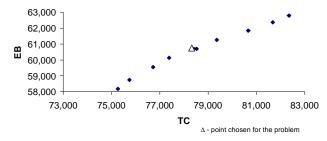


Figure 1 - Some points of the efficient frontiers. (EB = economic balance; TC = transfer cost)

Table 1 - Averages of sucrose yields, for four harvests considering variety; quantity of average residual biomass of sugarcane for each variety and Cost of the collection of the residual biomass, also by variety.

Subscript - Variety	Sucrose	Volume	Volume per area	Cost of the collection
	t ha ⁻¹	$m^3 ton^{-1}$	$m^3 ha^{-1}$	US\$ m ⁻³
1 - RB72454	15.26	34.02	807.12	0.059
2 - RB806043	14.48	28.14	590.95	0.071
3 - RB855536	15.23	30.42	818.3	0.066
4 - SP79-1011	15.80	35.64	858.56	0.056
5 - RB855113	17.54	32.61	958.08	0.061

Source Sartori (2001).

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RB855536 for plots 4, 6 and 10 (66.31 ha), producing a total of 3,267.32 tons of sucrose.

The average collection cost of this biomass is of 465 dollars per hectare (data from the mill), the cost determined in the model was of 377.51 dollars per hectare, below mill average. The model, using zero-one multiple objectives linear programming techniques, permits biomass use optimization by variety selection. In additional, the model can estimate the planting area for each selected variety, total sucrose production, with the cost and economic benefic acceptable and the of harvest residual biomass collection for the selected varieties in the available areas.

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