# **Risk factors for falls in hospitalized adult patients: an integrative review**

FATORES DE RISCO PARA QUEDAS EM PACIENTES ADULTOS HOSPITALIZADOS: REVISÃO INTEGRATIVA

FACTORES DE RIESGO DE CAÍDAS EN PACIENTES ADULTOS HOSPITALIZADOS: REVISIÓN INTEGRADORA DE LA LITERATURA

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#### ABSTRACT

Objective: Identifying risk factors for the occurrence of falls in hospitalized adult patients. Method: Integrative review carried out in the databases of LILACS. SciELO. ME-DLINE and Web of Science, including articles published between 1989 and 2012. Results: Seventy-one articles were included in the final sample. Risk factors for falls presented in this review were related to patients (intrinsic), the hospital setting and the working process of health professionals, especially in nursing (extrinsic). Conclusion: The systematic screening of risk factors for falls was identified as a contributing factor to the reduction of this injury, helping the nonoccurrence of this event that, despite being preventable, can have serious consequences including death.

#### DESCRIPTORS

Accidental falls Inpatients Hospitalization Patient safety Nursing care Review

#### RESUMO

Objetivo: Identificar os fatores de risco para a ocorrência de quedas em pacientes adultos hospitalizados. Método: Revisão integrativa realizada nas bases de dados LILACS, SciELO, MEDLINE e Web of Science, abrangendo artigos publicados entre 1989 e 2012. Resultados: Setenta e um artigos compuseram a amostra final do estudo. Os fatores de risco para quedas apresentados nesta revisão foram relacionados ao paciente (intrínsecos), ao ambiente hospitalar e ao processo de trabalho dos profissionais da saúde, em especial à enfermagem (extrínsecos). Conclusão: A triagem sistemática de fatores de risco para queda foi identificada como fator contribuinte para a redução desse agravo, auxiliando a não ocorrência deste evento que, apesar de ser prevenível, pode determinar consequências graves incluindo o óbito.

#### DESCRITORES

Acidentes por quedas Pacientes internados Hospitalização Segurança do paciente Cuidados de enfermagem Revisão

#### RESUMEN

Objetivo: Identificar los factores de riesgo para la ocurrencia de caídas en pacientes adultos hospitalizados. Método: Revisión integradora de la literatura de artículos publicados entre los años 1989 al 2012 en las bases de datos LILACS, SciElO, MEDLINE y Web of Science. Resultados: La muestra final estuvo compuesta por setenta y un artículos. Entre los factores de riesgo de caídas indicados en esta revisión están los relacionados con el paciente (intrínsecos), con el ambiente hospitalario y con el proceso de trabajo de los profesionales de la salud, especialmente enfermería (extrínsecos). Conclusión: La detección sistemática de factores de riesgo asociados a caídas fue identificada como un factor que contribuye a la reducción de este daño, favoreciendo de esta manera su no ocurrencia, la que a pesar de ser prevenible puede acarrear consecuencias graves, incluyendo la muerte.

### DESCRIPTORES

Accidentes por caídas Pacientes interno Hospitalización Seguridad del paciente Atención de enfermeira Revisión

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# INTRODUCTION

The falls of hospitalized patients have a striking effect on health due to the fact of being a problem related to patient safety, in addition to an issue for the quality of care in various health institutions in the world<sup>(1)</sup>. The falls occurred during hospitalization represent one of the most important instances of the lack of security, and are often responsible for the increase in number of hospitalization days and worse recovery of patients<sup>(2)</sup>.

The World Health Organization (WHO) defines a fall as *inadvertently coming to rest on the ground or other lower level, excluding intentional change of position to lean on furniture, walls or other objects*<sup>(3)</sup>.

The incidence of falls in the world varies depending on the studied population<sup>(4-6)</sup>. In 2007, the magnitude of this event in the United States of America (USA) showed that about one million patients had falls in hospitals<sup>(4)</sup>. In the years 2008 and 2009, in England and Wales, 283,438 thousand events were reported<sup>(5)</sup>. In 2004, in Australian hospitals, 17% of falls occurred in the elderly<sup>(6)</sup>.

In Brazil, in 2009, around 320 thousand hospitalizations occurred due to falls, which accounted for nearly 40% of total admissions due to external causes<sup>(7)</sup>. In the elderly, the national hospitalization rate for falls reached 27.6%, that is similar to the data of the southern region (26.9%)<sup>(8)</sup>.

Falls are responsible for two out of five adverse events in hospitals, and its frequency varies from 1.4 to 13.0 per every 1000 patients per day<sup>(4)</sup>. In general, these events aggravate health problems and the main consequences are trauma (fractures, for example); unscheduled removal of catheters, drains and probes; fear of falling again; emotional changes; clinical worsening; and even death. Such events may also increase the length of hospital stay and cost of treatment<sup>(9)</sup>.

In the U.S., the costs of treatment of falls totaled 19 billion dollars per year. England and Wales reached 15 million euros annually, which represents 92 million euros per year for every 800 beds<sup>(10)</sup>. In Brazil, the expenses of the Unified Health System (SUS) with fractures due to falls in the elderly reached 81 million dollars per year<sup>(11)</sup>.

In the elderly, falls may decrease functional capacity, resulting in inability to perform activities of daily living. They may have consequences also for the family and health services, which need to mobilize for the treatment and recovery of this population<sup>(9)</sup>.

It is essential that health professionals identify the risk factors for falls in order to avoid this condition<sup>(1)</sup>. The measurement of the level of risk of patients may influence the choices of preventive interventions.

In clinical practice, there is the need to generate knowledge through studies with quantitative and qualitative approaches, not only regarding risk factors intrinsic to

patients that are considered in models of prediction<sup>(1)</sup>, but also on extrinsic factors, which are commonly related to the outcome of falls.

Given the importance of adopting procedures that eliminate or reduce the risk of falls, a prior knowledge of which individuals have a higher probability for this event is necessary, from the identification of the complex relationship of its risk factors.

This study aimed to identify in the literature the risk factors for falls in hospitalized adult patients.

## METHOD

This is an integrative literature review<sup>(12)</sup>, that groups the results obtained in research on a same subject. It was developed in five steps: problem formulation, data collection, data evaluation, analysis and interpretation of data and presentation of results. Its aim is the analysis and synthesis of data in order to develop a more comprehensive explanation of a particular phenomenon<sup>(12)</sup>. The formulation of the problem comprised the following research question: what are the risk factors for falls in hospitalized adult patients? The literature search was carried out in Portuguese, Spanish and English, including articles published from 1989 to October 2012. The time frame was defined based on the publication of the first predictive model for falls<sup>(13)</sup>, in 1989.

In the review were only included the studies in which the scenario was represented by units of clinical and surgical adult hospitalization because these depict the higher prevalence of the event<sup>(4-5)</sup>. And also studies that answered the research question, selected by title and abstract of the articles. Publications repeated in more than a database were analyzed only once.

The searched database were the Latin-American and Caribbean Center on Health Sciences Information (LI-LACS), Scientific Eletronic Library Online (SciELO), MED-LINE and Web of Science, considering the following descriptors: accidental falls, hospitalization, patient safety, risk assessment, nursing process, nursing diagnosis. The keywords falls and hospital were also used adapting to the language, in accordance with the database.

For the data analysis was used a synoptic table containing variables that answered the research question of the study. The topics of interest were: article title, authors, year of publication, location of the study, journal, method, population/sample, results/risk factors of falls.

Figure 1 outlines the process of selecting the articles, starting with the grouping of descriptors, consulted databases, the number of articles that answered the research question and the number of articles selected according to the inclusion criteria. It is noteworthy that the items identified in LILACS are also indexed in SciELO.

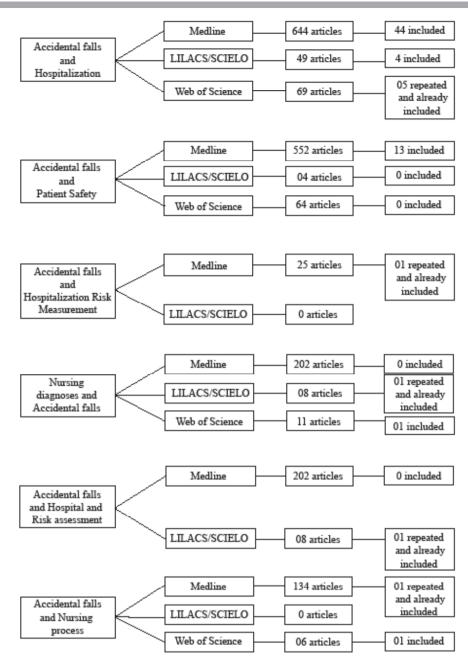


Figure 1 -Selection of articles by grouping of descriptors in databases. Porto Alegre, RS, 2012.

## RESULTS

The countries of publication of studies that comprised the sample (n=71) were: United States of America (USA), 23 (32.3%); Australia, eight (11.2%); United Kingdom, seven (9.8%); Switzerland, six (8.4%); Israel, five (7%); Canada and China, with four each (5.6%); Brazil, three (4.2%); Japan, two (2.8%); Bosnia, Portugal, Egypt, Singapore, Colombia, Germany, Italy, Turkey and Serbia had one study each (1.4%).

From the selected studies, Table 1 shows the characterization of the sample according to the period of publication.

**Table 1** - Sample distribution by period of publication - PortoAlegre, RS, 2012

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Period of publication	N(%)
1989 - 1999	0
1999 -2001	1 (1.4)
2001 - 2003	2 (2.8)
2003 - 2005	14 (19.7)
2005 - 2007	6 (8.4)
2007 - 2009	11 (15.4)
2009 - 2011	16 (22.5)
2011 H 2012	21 (29.5)
Total	71(100)



The distribution of the sample was carried out according to the types of studies: cross-sectional studies, 23 (32.3%); cohort, 19 (26.7%); case-control studies, nine (12.7%); literature review, 10 (14.4%); randomized controlled trials, five (7.0%); qualitative studies, two (2.8%);

and systematic reviews, three (4.2%).

Chart 1 presents the different types of study of this integrative review summarized, showing the evolution of knowledge about the topic of study.

Chart 1 - Summary of studies and its risk factors	- Porto Alegre, RS, 2012
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Year	Year (Study) Design/ Interventions		Interventions	Risk factor/Relative risk (RR) or Odds ratio (OR)/Confidence interval (CI) 95% and/or p-value	
1999	(14) China	Case-control Cases n=51/ Controls n=51	Tests to assess the progress of patients as a way to track the risk of falls.	Previous falls, active neoplasia, mobility problems, weakness of the lower limbs, postural hypotension, disorientation, drugs (sedatives, hypnotics and antidepressants) showed $p<0.05$ .	
	(15) Switzer- land	Cross-sectional n=26.643	Characterization of falls as a form of research.	2.7 falls/1000 patients-day. Higher incidence in patients with comorbidities and aged over 45 years (especially after 75 years).	
2001	(16) Brazil	Qualitative	Identification of the knowledge of 14 nurses on the predisposing factors for falls.	Intrinsic risk factors: confusion/disorientation, decreased mobil- ity, weakness, old age, visual impairment; diseases: cancer, heart disease, neuropathy (100%), drowsiness and hypotension (71.4%). Environmental risk factors: high beds (92.8%), wet floor (85.7%) and hazardous locations (wards and bathroom) (78.6%). Risk fac- tors of therapy: antihypertensives and diuretics, sedatives, tranquil- izers and hypnotics (85.7%) and medication side effects (71.4%).	
	(17) Israel	Case-control Trocanter group n=52/ Diverse group n=49	Implementation of preventive measures.	Only age (years+-SD) was considered statistically significant (p=0.0482): trocanter group 77.3 +-8.4 and diverse group 80.4+-6.7.	
2003	(18) Austrália	Cross-sectional n=91	Identification of extrinsic risk factors in the prevention of falls.	Greater number of falls during the day, with peaks at times of shift change. $n = 40$ (44) of the patients who fell have suffered harm such as pain, bruising or laceration, in addition to doing X-rays and CT scans. There were no fractures and deaths	
2004	(19) Austrália	Case-control Cases n=15/ Controls n=73	Use of screening protocols of patients at admission.	Mini mental < 7 (5.03; CI 95%:1.52–16.57); cerebrovascular ac- cident (CVA) (4.36; CI 95%:1.70–11.20); previous falls (3.0; CI 95%:1.25–7.23); confusion or delirium (2.89; CI 95%:1.18–7.08); fall and confusion or delirium (5.60; CI 95%: 1.95–16.06), p<0.05. More than two risk factors adjusted for age, gender and length of hospitalization (8.17; CI 95%:1.96–34.06).	
	(20) EUA	Case-control Cases n=90/ Controls n=90	Use of information system in the patient safety.	Previous falls; female gender; difficulty in gait; cognitive disorders and use of one or more sedative. Sensitivity of 67.4% and specificity of 60%.	
	(21) EUA	Cross-sectional n=6402	Development of a fall preven- tion program using a computer system.	Risk factor divided into categories: Activity – age> 70 years; hearing/vision; assisted transfer; change in activity/mobility. Disorientation – mental deterioration; cognitive impairment; age > 70 years; medication and hearing/vision. After-medication – medication; age> 70 years; pain; change in activity/mobility. Toilet – incontinence; urinary problems, hearing/vision; intravenous therapy and constipation.	
	(22) EUA	Cross-sectional Admissions in a three month period (1300 hospital beds)	Characterization of falls in the hospital as a form of research.	183 patients suffered falls. The average age of those who fell was 63.4 years (range 17-96). 50% of falls were related to disposal and to patients aged over 65 years ( $p < 0.001$ ). The clinical and neurology units (6.12 falls/1000 patient-day) had a higher number of patients/nurse (6.5 and 5.3 respectively) and showed higher rates of the event.	
	(23) Canadá	Prospective cohort n=133	Identification of complications falls to management.	Falls have occurred in 20% of the sample of survivors of CVA.	
	(24) Canadá	Cross-sectional n=1926	Characterization of falls with hip fracture.	The number of falls was higher in all age groups of females. Surgi- cal patients suffer more hip fractures than clinical patients when they are hospitalized.	

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Year	(Study) Country	Design/ Number of patients	Interventions	Risk factor/Relative risk (RR) or Odds ratio (OR)/Confidence interval (CI) 95% and/or p-value		
	(25) Israel	Cross-sectional Admissions (2000 hospital beds)	Enhancement of a surveillance system for falls.	The most frequent causes of falls were weakness, dizziness/poor balance, slip, confusion and amnesia. Increased prevalence of falls attributed to the demand for hospital care and increase of the surveillance system with higher notification.		
	(26) United Kingdom	Literature review	Observation and monitoring of patients with cognitive impair- ment	The architecture of the units blocking the visibility of beds, scheduled tasks that require closing the curtains or leaving the bed edge area to perform other activities. Major risk factors for patients: unsteadiness of gait, weakness of the lower limbs, uri- nary incontinence/frequency or needing help to go to the toilet, history of falls, agitation/confusion or impaired judgment, use of medications (benzodiazepines, psychotropic drugs, antiar- rhythmics, digoxin, diuretics, sedatives and more than four drugs regardless of type)		
2004	(27) United Kingdom	Systematic review	Identification of publications on risk factors and risk assessment tools for falls.	Significant risk factors: unsteadiness to walk, weakness of the lower limbs, urinary incontinence/frequency or needing help to go to the toilet, history of falls, agitation/confusion/impaired judg- ment, sedatives and hypnotics.		
	(28) United Kingdom	Randomized clinical trial	Multidisciplinary team acting on risk factors	Control group had a higher rate of falls ( $p = 0.033$ ), permanent injury ( $p = 0.025$ ), and total falls ( $p = 0.045$ ). The results lose statistical significance when controlled for different times of hospitalization.		
	(29) Austrália	Randomized clinical trial	Evaluation of efficacy of the interventions of a prevention program.	The intervention group (n=310) had 30% fewer falls than the control group (n=316). This difference was significant (p=0.045) after 45 days of observation. In the intervention group there was a reduction of falls (0.78; CI 95%: 0.56-1.06) and 28% fewer events resulted in injuries (p=0.20).		
2005	(30) Italy	Prospective cohort n=13.729	Tracing of patients at risk of geriatric syndrome.	Age >85 years (1.8; CI 95%:1.2-2.8); cognitive impairment and length of hospital stay > three weeks (1.6; CI 95%: 1.2-2.3). Independent factors: previous falls (8.1; CI 95%: 6.1-10.8); neuro- leptics (2.1; CI 95%: 1.4-3.0) and benzodiazepines (1.9; CI 95%: 1.4-2.5).		
	(31) EUA	Case-control Cases n=62/ Controls n=62	Appraisal of drugs and history of dementia in the prevention of falls.	Patients in the case group received more medication than the control group 24 hours before the event ( $p$ <0.003), dementia ( $p$ =0.021), non-steroidal anti-inflammatory and drugs that can induce sedation or postural hypotension were significant risk factors.		
2006	(32) United Kingdom	Prospective cohort n=1025	Evaluation of the medical prescription	Confused patients (0.38; CI 95%: 0.29-0.49; p <0.0001), use of tranquilizers (0.63; CI 95%: 0.49-0.82; p<0.001).		
	(33) Switzer- land	Cross-sectional n=34972	Implementation of a prevention program.	3842 falls. Significant risk factors for falls: impaired physical mobility and cognition, history of falls, narcotics and psychotropic substances.		
	(34) Canada	Retrospective cohort n=1267	Interventions preventive of falls for patients with lower limb amputation.	10.3/1000 patients-day. Age>71 years (OR 1.40), hospital stay of 22-35 days (OR 2.97) or five weeks (OR 6.07), four or more comorbidities (OR 1.93), cognitive disorder (OR 1.68), two or more drugs if necessary (OR 1.81), benzodiazepines (OR 2.22), and opioids (OR 5.76); p<0.05.		
2007	(35) Israel	Case-control Cases n=84/ Controls n=84	Prevention from the classifica- tion of patients by diagnosis.	100 falls. There was no difference in the frequency of falls be- tween groups of patients. The variables with $p<0.05$ were: use of wheelchair, proximity of the bed and risky activity.		
	(36) EUA	Literature review	Identification of falls in hospi- talized patients with cancer in the literature.	Old fractures, muscle weakness, history of falls, gait and balance disorders, agitation, confusion/delirium, frequent trips to the bathroom for urinary incontinence or urgency, visual impair- ment, difficulty in transfers, dizziness, drugs (sedatives/hypnotics, anticonvulsants, antihistamines, diuretics), increased patient-nurse relationship.		

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Year	(Study) Country	Design/ Number of patients	Interventions	Risk factor/Relative risk (RR) or Odds ratio (OR)/Confidence interval (CI) 95% and/or p-value	
2007	(37) United Kingdom	Literature review	General review of falls in the elderly.	Risk factors: age>85 years; use of medication (benzodiazepines diuretics, laxatives, sedatives/hypnotics, vasodilators, antihyper tensives and antidepressants); altered mental status; incontinent and environmental factors.	
	(38) Switzer- land	Prospective cohort n=34972	Interventions according to pa- tient risk and the characteristics of the unit.	The identified risk factors were: changes in mobility and cognition, history of falls, use of narcotics and psychotropic substances, changes in eliminations; use of the bathroom and visual decline.	
	(39) Switzer- land	Cross-sectional n=57	Gait assessment on admission.	Only the coefficient of variation of the time of steps during the walk was significantly associated with the occurrence of the first fall event (p=0.006)	
	(40) Israel	Prospective cohort n=1128	Implementation of a predictive model.	Previous falls (3.8; CI 95%: 2.65-5.45; p<0.0001) and impaired mobility (1.56; CI95%: 1.06-2.29, p<0.05). Sensitivity: 67%, specificity: 63%.	
2008	(41) EUA	Retrospective cohort n=1472	Measurement of functional independence score during rehabilitation.	9.5% falls. 74% were not observed. Risk factors: low cognitive score at admission 0.98 (0.96–1.00) 0.019; CVA 1.79 (1.22–2.63) 0003; amputation 3.0 (2.20–6.57) 0.000; age 41-50 years 2.01 (1.31–3.07) 0.001 e multiple comorbidities 1.50 (1.09–2.07) 0.014.	
	(42) EUA	Cross-sectional n=32	Height of bed as prevention of falls and its consequences.	188 measurements were done. During the weekends the height of the beds remained higher when compared to weekdays.	
	(43) EUA	Literature review	Description of drugs that are associated with falls.	The use of benzodiazepines, cardiovascular agents and antidepres- sants in the elderly is considered a risk factor for falls.	
	(44) EUA	Literature review	Management of medications in order to reduce falls.	The use of anticoagulants, anticonvulsants, anticholinergics and antipsychotropic drugs in the elderly is considered a risk factor for falls.	
2009	(45) EUA	Cross-sectional n=252	Recognition of delirium and mental status changes.	Delirium (previously diagnosed or not); age >70 years; ambulatory and gastrointestinal procedures were associated with falls.	
	(46) EUA	Cross-sectional n=91	Discussion about measures of fall prevention.	31.9% of patients with difficulty in self-care, 41.8% fell at home before hospitalization and 53.8% had the bed at home at a lower height than in the hospital.	
	(47) China	Case-control Cases=202/ Controls n=202	Identification of risk factors of hospitalized patients	Insomnia (0.28; CI 95%: 1.06–4.89), $p=0.03$ ; weakness in legs (1.88; CI 95%: 1.16–3.05), $p=0.01$ ; postural hypotension (5.75; CI 95%: 1.54–21.46), $p=0.01$ ; prior history of fall (5.05; CI 95%: 2.60–9.78), $p<0.001$ and hypotics (1.86; CI 95%: 1.10–3.14), $p=0.02$ . Fewer falls: full-time caregiver (0.51; CI 95%: 0.33–0.78), $p<0.001$ .	
	(48) Serbia	Literature review	General review of risk factors for falls	Risk factors: mental confusion, history of falls, use of sedatives/ hypnotics, extremes of age, needing help with self-care. There is an inverse association of high workload and low professional qualification with increased rates of falls.	
2010	(49) Israel	Cross-sectional n=41	Characterization of falls oc- curred in hospitalized patients for rehabilitation after CVA.	56 falls. Patients with hemiplegia fell more times than those with hemiparesis ( $p = 0.04$ ). 48% of falls occurred during the first month after the CVA. 89% of those who fell were using hypoglycemic agents, antihypertensive, tranquilizers or neuroleptics. 29% had communication problems and 21% had decreased vision or blindness.	
	(50) Japan	Cross-sectional Young adults n=22 Elderly n =19	Identification of risk factors re- lated to the use of the bathroom at night.	The study suggests that gait and visual changes are risk factors for falls.	
	(51) EUA	Retrospective cohort n=1269	Use of a severity score for CVA.	Prevalence of falls: 5% (65/1,269). 56% were men aged > 65 years (71.21 SD +- 13.30 years). Moderate to severe brain ischemia (p=0.03); previous history of anxiety (p<0.001) and urinary tract infection (p=0.05).	

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Year	Year(Study) CountryDesign/ Number of patientsInterventions		Interventions	Risk factor/Relative risk (RR) or Odds ratio (OR)/Confidence interval (CI) 95% and/or p-value		
	(52) Germany	Prospective cohort n=9246	Interventions preventive of falls for patients with cognitive impairment.	Variables associated with statistically significant falls: cognitive change (2.1; CI 95%:1.7-2.7); advanced age [67-77 years] (1.5; CI 95%: 1.2-1.9); greater dependency of care (1.6; CI 95%:1.1-2.1); altered mobility (2.6; CI 95%:1.9-3.7) and hospitalization in a geriatric unit (1.8; CI 95%: 1.1-2.9). Only patients aged>65 years were included in the study.		
	(53) Canadá	Retrospective cohort n=370	Amputation at transtibial level and on the right should be val- ued at admission.	61 patients suffered falls. Incidence 16.5% (CI 95%: 12.7-20.3). Permanence of 72 days for those who fell and 40 days for those who did not fall ( $p$ <0.001). Among the 61 who fell, 40 fell only once, and 21 more than once. There was no difference between falls and no falls regarding gender, age, number of medications and comorbidities. Risk factors: fracture etiology as vascular dis- ease (2.4; CI: 95%: 1.0-5.6); transtibial (2.1; IC95%: 1.0-4.3) and amputation on the right side (1.9; CI 95%:1.0-3.4).		
2010	(54) Colômbia	Cross-sectional n=14500	Surveillance system for moni- toring the falls.	156 falls. 60.3% in public institution and 39.7% in private. 60% in men and 54.7% in patients>60 years. Intrinsic factors: neurological, cardiac, respiratory, metabolic and mental alterations (25.9%), followed by age (24.1%). Extrinsic factors: lack of support (38%), wet or slippery floor (35%).		
	(55) Brazil	Cross-sectional n=826 reports of adverse events	Characterization of falls in the hospital as a form of investiga- tion.	0.30 falls per 1,000 patients-day. 63.7% occcurred at night and 61.7% in the first five days after admission. In 59.2% of cases of falls from bed, the frequency distribution of comorbidities was: infectious and parasitic (18.2%), nervous system (18.2%), circulatory system (13.7%) and digestive (9.1%). In 61.3% of cases of fall from height, diagnoses were: cancer (19.4%), diseases of the genitourinary system (16.1%), circulatory (12.9%) and respiratory (12.9%).		
	(56) Austrália	Literature review	General review on risk factors for falls.	Most consistent risk factors: history of falls, muscle weakness, agi- tation and confusion, urinary incontinence or increased frequency, use of sedatives and postural hypotension.		
	(57) EUA	Literature review	Identification of risk factors for falls in the elderly with cancer.	Radiotherapy can contribute to fatigue, anxiety, depression and lethargy. Surgical procedures, alone or in combination with chemotherapy or radiotherapy showed physical impairment. Chemotherapy causes neurotoxicity: unsteady gait, confusion, peripheral neuropathy, sensory and deep tendon reflexes loss, postural hypotension, abnormal gait, paresthesia, anemia and cognitive impairment.		
2011	(58) EUA	Case-control Cases=10/ Controls=25	Interventions for patients who had documented fall in hospi- talization	Mean hospital stay was of 6.5 days (SD = $\pm$ 3.5). Length of hospital stay (p<0.001). The average daily steps for the sample was 623.0 (interquartile range 63.5-843.0). All patients fell during the night; 60% of falls occurred during the bath.		
	(59) EUA	Prospective cohort n= 7851	Identification of variables as- sociated with falls.	The variables with p<0.05 were: senility and organic mental disc ders, anemia, depression, medical procedures, CT scans, radiatio therapy, anticonvulsant, diuretic, laxative, anti-inflammatory dru antipsychotics, antidepressants, barbiturates and benzodiazepines		
	(60) United Kingdom	Systematic review	Evidence on risk factors for falls in geriatric rehabilitation hospitals.	Significant risk factors: carpet, dizziness, lower limb amputation, confusion, cognitive impairment, CVA, sleep disorders, medications (anticonvulsants, tranquilizers, antihypertensives), age 71-80 years, prior history of fall and help for transfer.		
	(61) EUA	Systematic review	Interventions to decrease falls in the hospital setting.	Extrinsic risk factors: environmental (bed height, slippery/ wet floor, shoes and/or inappropriate floor with poor lighting conditions). Intrinsic risk factors: mental and / or sensory (vision problems, dizziness and hearing) impairments, mobility difficulties and use of psychotropic medications.		
	(62) EUA	Literature review	Review of instruments for as- sessing risk of falls.	Hospital features: bed size, organizational structure, urban/rural location, level of use of personnel, nursing care and computerized systems. Unit features: unit type, length of stay and bed height, response time to the call of lights, adoption of protocols and safety culture. Individual characteristics: Comorbidities, age, sex, sleep disturbances, fatigue, among others.		

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Year	Year (Study) Design/ Country Number of patients Interventions Ri		Interventions	Risk factor/Relative risk (RR) or Odds ratio (OR)/Confidence interval (CI) 95% and/or p-value	
	(63) Austrália	Prospective cohort n=483	Determining the predictive value of item 2 of the self-report ques- tionnaire about bathroom use.	CVA: (CI 95%: 2.2-3.0; p<0.001); dementia: (CI 95%: 1.2-11; p<0.001); history of falls: (CI 95%: 1.3-5.6; p<0.001).	
	(64) EUA	Cross-sectional n=1063	Monitoring and reduction in time for assistance after using the call light.	4.08 falls/1000 patients-day, standard deviation (SD) $\pm 3.06$ . Units with the highest rate of use of the call light and quick response had fewer falls. The largest number of working hours of nurses is associated with lower rates of falls.	
	(65) EUA	Cross-sectional n=1732	Implementation of protocols in the prevention of falls.	Patients with CVA, spinal cord injury and other neurological problems are at greater risk of falling. Quality staff and educa- tion of those involved in the use of best practices can reduce the incidence of falls.	
2012	(66) Japan	Prospective cohort n=2973	Specific interventions to prevent falls and its consequences.	Age>70 years (1.5; CI 95%:1.2-2.0); previous falls (1.2; CI 95%: 1.0-1.5); frequente urination (1.4; CI 95%:1.0-1.8); hygiene assistance (1.4; CI 95%: 1.1-1.8) and mobilization assiatnce (1.2; CI 95%: 0.99-1.5); loss of balance (1.2; CI 95%: 0.9-1.5); altered mental status (1.1; CI 95%: 0.9-1.4), p<0.01. Deterioration of understanding (1.2; CI 95%: 0.9-1.6) and memory (1.0; CI 95%: 0.8-13), $p < 0.001$ .	
	(67) Bósnia	Cross-sectional n=1809	Characterization of the falls as a form of investigation.	n=61 (3.3%) patients with CVA fell. Among these, n=42 (68.86%) had disorientation; n=47 (77.05%) aphasia; n=38 (62.29%) of falls occurred at night and n=44 (72%) until the fifth day of hospitalization.	
	(68) Portugal	Cross-sectional Admissions (unit of 33 beds)	Characterization of the falls for planning preventive measures.	Relevant risk factors: confusion, agitation, decreased muscle strength, gait limitations and collapse.	
	(69) Austrália	Prospective cohort n=434	Construction of a model of prediction of risk of falls.	Predictors of falls: male gender (2.32; CI 95%: 1.00-4.03); drug for the central nervous system (2.04; CI 95%:1.00-3.30); increased postural sway (1.93; CI 95%:1.00-3.26).	
	(70) Austrália	Coorte retrospectivo n=194	Influence of nutritional status on the physical functioning of the patient.	Factors highlighted in the study: elderly and impaired mobility.	
	(71) China	Case-control Casos n=165/ Controls n=165	Decrease in use of Zolpidem, benzodiazepines, narcotics and antihistamines.	Cancer in elderly patients, first week of hospitalization and medi- cation use (24h before the event): benzodiazepines (2.63; CI 95%: 1.55-4.46; p<0.001); Zolpidem (2.38; IC 95%: $1.04-5.43$ ; p<0.040); narcotics (2.13; CI 95%: $1.16-3.94$ ; p<0.015) and antihistamine (3.00; CI 95%: $1.19-7.56$ ; p<0.020).	
	(72) China	Cross-sectional n=725	Use of an information system to identify the risk factors for falls.	n=72 falls. Risk factors (p<0.05): age over 65 years; comorbidities; first weeks of hospitalization; multiple drug use; antipsychotic; diuretic; high score for risk of fall.	
	(73) Brazil	Cross-sectional n=53	Characterization of falls for planning a prevention program.	The average number of risk factors reported by patients with falls was 11 (SD $\pm$ 3). Impaired physical mobility, acute disease, impaired balance and diminished mental state appear in 80% of cases. 56.6% of falls occurred at night.	
	(74) Egypt	Cross-sectional n=1779	Greater care for patients with certain characteristics.	Age>60 years, chronic diseases, difficulty in gait because of neuro- logical changes and by use of devices to assist in gait, urinary incontinence and nighttime urination, vision problems.	

Chart 2 shows the use of rating scales for risk for falls among adults in the hospital context, more specifically in clinical/surgical inpatient units, in 10 studies (14%).

The risk factors for falls presented in this review are related to the patient (intrinsic), the hospital environment and the working process of health professionals, especially in nursing (extrinsic).



Year	(Study) Country	Design/ Outcome	Scale(s)	Risk factors	Sample and main results
2004	(75) United Kingdom	Literature review	Morse Fall Scale, STRATIFY, Harrogate Assessment, Assessment for High Risk to Fall Research Instrument, Risk Assessment for Falls Scale II (RAFS II), Fall Risk Assessment Scale for the Elderly (FRASE)	History of falls; mental, mobil- ity and sensory abnormalities; medication use; secondary diagnosis; difficulty in gait; urinary abnormalities; deficit in communication; inappropri- ate footwear; age; intravenous therapy; length of stay.	The study shows the risk factors of differ- ent scales.
2006	(76) Switzer- land	Prospective cohort / Queda(s)	Morse Fall Scale		n=386 patients. 12.2% of patients had falls. The best cutoff point was 55 points with sensitivity of 74.5%, specificity of 65.8%, negative predictive value 94.9% and accuracy of 66.8%. The positive predictive value ranged from 12 to 24% in different cutoffs.
2007	(77) Switzer- land	Prospective cohort / Fall(s)	Morse Fall Scale	History of falls, presence of sec- ondary diagnosis, need for assis- tance in ambulation or transfer, use of intravenous medication	n=275 patients. During the four months of study 41 (14.9%) patients fell at least once. The score of 55 had 80% of sensitiv- ity (CI 95%:66-90), 59% of specificity (CI 95%: 53-65), positive and negative predic- tive values of 26 and 95%, respectively, considering this is the most appropriate cutoff.
2009	(78) EUA	Qualitative	Morse Fall Scale	and altered mental status.	The nurses (n=42) reported using the Morse Fall Scale, but considered it incomplete.
2010	(79) EUA	Randomized clinical trial/Fall(s)	Morse Fall Scale		n=5104 patients in the control group and n=5,160 in the intervention group. There was difference in the adjusted rate of falls /1,000 patients-day in the control group (4.18) and the intervention group (3.15), p=0.04. The result in the intervention group (Fall prevention kit) in patients older than 65 years was significantly bet- ter than in young people (p=0.02).
	(80) Austrália	Prospective cohort /Fall(s)	STRATIFY	History of falls; level of consciousness (confusion, disorientation and agitation); visual changes; frequency of trips to the bathroom; transfer and mobility.	n=788 patients. Incidence of falls=9.2%. Sensitivity of 50.82%, specificity of 50.61%, positive predictive value of 50.18% and negative predictive value of 50.97%.
2011	(81) Turkey	Prospective cohort /Fall(s)	Downton	History of falls, medication administration, sensory deficit, altered mental status and am- bulation	n=99 patients. Incidence of falls= $6.3/1,000$ patients-day (CI 95%: $3.7-10.1$ ). The scale score at admission was significantly lower in patients who did not fall in relation to the group that fell (p< $0.05$ ). 50% of falls occurred while walking and the second in frequency was during transfer.
	(82) EUA	Cross-sectional/ Fall(s)	Johns Hopkins	Cognitive status, age, history of falls, elimination, medications, mobility and assistive devices. Confusion/disorientation/ agitation/dizziness; symptom- atic depression; amendment of	65% (n=7900) of more than 12000 studied patients were at risk of falls in the period between 2008 and 2011 and the total number of falls decreased by 16.6%.
2011	(83) Singapore	Randomized clinical trial/Fall(s)	Hendrich II Fall Risk Model		n=912 patients in the control group and n=910 in the intervention group. Sensitivity (70%, 95% CI: 57.5–80.1) and specificity (61.5%, 95% CI: 60.2–62.8). The area under the Receiver Operating Characteristic (ROC) curve was 73%.
2012	(84) EUA	Randomized clinical trial/Fall(s)	Hendrich II Fall Risk Model	eliminations; gender (male); use of benzodiazepines and antiepi- leptics and review by the timed up and go test.	n=682 hospitalizations in the control group, n=775 and n=838 in the intervention groups. There was no statistically signifi- cant variation in the scores of the risk scale and in the rate of falls after the implemen- tation of a protocol for preventing falls.

Chart 2 - Predictive scales for falls and its risk factors - Porto Alegre, RS, 2012.



## DISCUSSION

Considering the number of studies on risk factors for falls included in this integrative review, among which 23 were published in the USA (32.3%), followed by Australia with eight studies (11.2%) and the United Kingdom with seven (9.8%), it is noteworthy that the subject of patient safety had a great impact in the late 1990s in the U.S., from the publication of the report called *Toerrishuman: building a safer health system* by the *Institute of Medicine*. This report estimated that between 44,000 and 98,000 Americans die each year due to preventable errors in healthcare<sup>(85)</sup>. This concern also gained ground in countries like Australia, UK and Latin America (Brazil, Colombia)<sup>(85)</sup>.

Among the 18 countries of studies, Brazil is in eight place in terms of publication, with three papers (4.2%).

In the early twentieth century came a conducive environment for the incorporation of actions for safety in health care and, consequently, for the development of scientific research on this issue in Brazil. One of these actions was the creation of the National Health Surveillance Agency (ANVISA), in 1999, by the government of Fernando Henrique Cardoso, to ensure the health safety of products and services<sup>(86-87)</sup>.

In October 2004, the Ministry of Health launched the National Alliance for Patient Safety (Aliança Nacional para a Segurança do Paciente), with the aim of spreading knowledge and solutions found to improve care processes involved in patient safety<sup>(88)</sup>. In this context, by initiative of the Pan American Health Organization, was established the Brazilian Network of Nursing and Patient Safety – RE-BRAENSP, in May 2008. This was a strategy adopted by a group of nurses for the articulation and cooperation between institutions of health and education, aiming at strengthening the safety and quality care<sup>(87)</sup>.

As a result of the global movements related to this problem, scientific studies have been carried out in order to identify and understand the errors and adverse events, take corrective and proactive measures, analyze systemic failures and its causal factors, as well as to develop strategies that ensure a safe practice<sup>(89)</sup>.

The number of publications progressively increases, showing the increasingly imminent need of approaching issues related to the knowledge and safety of risk factors for falls in the hospital setting. The term called patient safety is defined as the reduction to a minimum acceptable level/degree of unnecessary risk of harm during the health assistance<sup>(90)</sup>.

The development of studies related to the risk of unnecessary harm, in particular to falls in inpatient and/or surgical units, is distributed in 23 cross-sectional studies (32.3%), highlighting the number of 19 cohort studies (26.7%), nine case-control studies (12.7%) and five randomized clinical trials (7%). It is observed that studies of higher level of evidence are developed in smaller numbers, which demonstrates the need for researchers to carry out robust jobs that best characterize predictors of falls.

Risk factors related to patients represent the majority of predictors of falls identified in the reviewed studies, in which several terms used to assess the level of consciousness of patients were identified, such as disorientation, confusion, dementia, organic mental disorder, cognitive impairment, delirium and even agitation.

Another risk factor that is approached in different ways includes assessing the mobility of patients that is described as changed, with loss of balance, difficulty in gait and sensory disabilities<sup>(16,38,66)</sup>.

It was found that reports of the identification of the sensory and mobility of patients, especially at the time of the fall, are uncommon. One of the possible reasons is the lack of assessment records of patients on the outcome or, when these are available, are also often inaccurate<sup>(91)</sup>. The different terms used may indicate the complexity of the evaluation of these aspects.

In this sense, the construction of conceptual and operational definitions for risk factors could help to understand the different terms used to express the same situation.

Regarding the use of drugs, those with potential depression of the central nervous system are related to falls, such as benzodiazepines<sup>(26,30,32,34,37,43,49,59,60,71)</sup>. antipsychotics<sup>(26,33,38,44,49,56,59,72)</sup> antidepressants<sup>(37,43,59)</sup>, narcotics/opiate<sup>(33-34,71)</sup>. barbiturate<sup>(14,16,27,32,37,47-48)</sup>. ananticonvulsants<sup>(31,36,44,59,60)</sup> tihistamines<sup>(31,36,71)</sup>, and sedatives<sup>(20,27,37)</sup> in general. Medicines that may cause hypotension are also associated with risk of falls (antihypertensives)<sup>(16,26,35,49,60)</sup>, in addition to diuretics<sup>(16,26,36-37,59,74)</sup>. Laxatives were mentioned in a few studies<sup>(37,72,59)</sup>. However, the antidiabetics that have excelled in only one case-control study<sup>(31)</sup> and another crosssectional<sup>(49)</sup>, and the antiarrhythmics<sup>(26,43)</sup> and anticoagulants<sup>(44)</sup>, that were mentioned in this integrative review only in literature reviews, do not seem to show strong enough evidence to be associated with the outcome.

These evidences reinforce the need for professionals knowing the risk factors for falls, and the criteria to identify and evaluate them in patients, because only few instruments checked for risk assessment of falls were tested in different scenarios, such as the *Morse Fall Scale*<sup>(92-93)</sup>, the *St Thomas Risk Assessment Tool in Falling Elderly Inpatients*<sup>(94-95)</sup> (STRATIFY) and the *Heindrich II Fall Risk Model*<sup>(96)</sup>, instruments that vary in terms of sensitivity and specificity<sup>(94)</sup>. There is also the *Dowton's Risk of Falls Scale*<sup>(97)</sup>, which groups only intrinsic risk factors. This scale has not shown to be accurate in the prediction of falls either<sup>(97)</sup>, or has been used in an adapted form with the inclusion of some risk factors of the nursing diagnosis *Risk of falls* among its components<sup>(98)</sup>. Other scales such as the Johns Hopkins<sup>(83)</sup>, the

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Risk factors for falls in hospitalized adult patients: an integrative review Severo IM, Almeida MA, Kuchenbecker R, Vieira DFVB, Weschenfelder ME, Pinto LRC, Klein C, Siqueira APO, Panato BP Harrogate Assessment, the RAFS II and the  $\mathsf{FRASE}^{(84)}$  were also mentioned in this review, but did not show validation tests in different contexts.

This corroborates that extrinsic, environmental factors or related to the work-process, together or not with intrinsic risk factors, are crucial for risk identification, as mentioned in studies that associate infection risks to factors related to work and professional qualification<sup>(99)</sup>. From the view of health problems related to hospital infections such as the prevalence of infections and multidrug-resistant organisms, there is published evidence<sup>(99)</sup> establishing associations between such events and the staff-patient relationship.

However, from the analysis of risk factors in the hospital environment, a gap was identified in knowledge by producing a small number of research related to staff-patient questions and how it is linked to the risk of patients suffering falls. And even intrinsic aspects such as fear of falling, were not mentioned in the different types of study of this integrative review, despite having shown to be important risk factors<sup>(100)</sup>.

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# CONCLUSION

This integrative review identified risk factors for falls of hospitalized adult patients, especially in inpatient clinical and/or surgical units. The intrinsic risk factors are important in predicting the risk of falls, as demonstrated in the studies. However, factors related to work processes, such as the staff-patient relationship, are also key. From the studies analysis it was found a gap on risk factors, especially those related to the work process as an additive outcome.

Regarding the diversity of studies presented in this integrative review, we can not fail to mention that the risk factors, according to the methodological rigor of each study, may have different weights in the prediction of the event.

With this work, we hope to have contributed to the expansion of knowledge about issues of patient safety and clinical practice of health professionals, especially in nursing, helping with the non-occurrence of falls, which despite being a preventable event can cause death.

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