# A Spectral Approach to Protein Structure Alignment 

Yosi Shibberu

Rose-Hulman Institute of Technology, shibberu@rose-hulman.edu
Allen Holder
Rose-Hulman Institute of Technology, holder@rose-hulman.edu

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Yosi Shibberu, Allen Holder

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Department of Mathematics
Rose-Hulman Institute of Technology http://www.rose-hulman.edu/math

# A Spectral Approach to Protein Structure Alignment 

Yosi Shibberu, Allen Holder<br>Mathematics Department<br>Rose-Hulman Institute of Technology<br>Terre Haute, IN 47803

(Invited Paper)

## Index Terms

protein structure alignment, structural bioinformatics, contact maps, spectral methods
Abstract

We present two algorithms that use spectral methods to align protein folds. One of the algorithms is suitable for database searches, the other for difficult alignments. We present computational results for 780 pairwise alignments used to classify 40 proteins as well as results for a separate set of 36 protein alignments used for comparison to four other alignment algorithms. We also provide a mathematically rigorous development of the intrinsic geometry underlying our spectral approach.

## 1 Introduction

Proteins are long molecular chains constructed from twenty amino acids (residues) and are an important part of most biochemical processes. Protein chains fold into unique, tightly packed globular structures called folds. See Figure 1. The particular sequence of amino acids determines the proteins unique fold, and the geometry of a proteins fold largely determines its specific biological function. Identifying the function of an individual protein is an important and challenging problem. A better understanding


Fig. 1: (a) The 3D geometry of Crambin (1CRN), a small protein consisting of 46 residues and 327 atoms (not including hydrogen atoms). (b) The 3D geometry of a fold is often represented by a cartoon depicting the path of the protein's chain through its fold.
of protein evolution would aid the identification of protein function and could lead to advances in biology as well as new treatments for diseases.

The evolution of proteins is studied by making comparisons, either by aligning protein sequences or aligning protein folds. Fold-based comparisons are believed to be more informative and robust [23]. The question of how to achieve fast, accurate fold-based alignments continues to be a topic of current interest [13], [27], [28], [24], [32], [1], [20].

The objective in protein alignment is to determine a one-to-one correspondence between a subset of the residues in two different protein folds. (See Figure 2 for a two dimensional version of the problem.) The subset chosen should optimize some biologically relevant similarity measure, although there is currently no consensus on what this measure of similarity should be [23], [13].

In protein alignment, the ordering of the residues in a protein's chain plays a key role in making comparisons. This is largely for biological reasons. Nature assembles proteins from DNA in a linear fashion. Over the course of time, mutations occur in DNA causing corresponding changes in a protein's chain. The changes are either insertions, deletions or substitutions of individual residues of the protein's chain. It is reasonable to assume that as proteins evolve over time, they preserve the sequence order of the segments of their chains [7]. Thus, we only consider alignments that preserve the sequence order of each protein's chain.


Fig. 2: A two dimensional version of the protein alignment problem. Aligning protein $A$ to protein $B$ involves gapping the protein chains and pairing the remaining atoms so that the chain order is preserved in each. The alignment shown is $\left(A_{2}, B_{2}\right),\left(A_{3}, B_{3}\right),\left(A_{7}, B_{4}\right),\left(A_{8}, B_{5}\right)$. The indices of each of the atoms in the alignment must increase. Note, $\left(A_{2}, B_{2}\right)$ is indicated in the fold alignment by 2,2 . Other aligned pairs are denoted similarly.

A protein's fold is normally described by the three dimensional (3D) Cartesian coordinates of the protein's atoms. A distance matrix specifying all the distances between pairs of atoms completely determines the fold up to reflections in a coordinate invariant way [14], [9]. A distance matrix is often converted into a contact matrix whose entries are equal to one for residues that are within a certain cutoff distance from one another and zero otherwise. See Figure 3(a). We use a piecewise-linear, continuous cutoff function (Figure 4) to obtain a smoothed contact matrix like the one in Figure 3(b). In particular, we can choose our cutoff function so that the smoothed contact matrix we obtain is positive definite. We then use this matrix to define an $N$ dimensional Euclidean space to represent the fold, where $N$ equals the number of residues in the protein's chain. In this representation of a fold, residues are associated with unit vectors we refer to as the intrinsic contact vectors of the fold. See Figure 5.

The underlying optimization problem associated with our spectral method was first


Fig. 3: Contact matrices for Crambin, the protein depicted in Figure 1.


Fig. 4: Piecewise-linear, continuous cutoff function with cutoff parameter $\kappa$.


Fig. 5: The 46 intrinsic contact vectors of the fold of Crambin, the protein depicted in Figure 1. The vectors have been projected from 46 dimensional space to 3D space.
proposed in [26]. That paper develops a heuristic to orient the spectral information in a way that bounds the maximum deviation between the two proteins with respect to their smooth contact maps. The heuristic was shown to be efficient for classification purposes. In this work, we give a rigorous development of the intrinsic geometry associated with the optimization problem and develop several new heuristics based on spectral information. These heuristics are compared with the earlier heuristic in [26] and with other published methods. We show that our general algorithm achieves monotonic convergence in contact space. Two data sets are used to compare our methods on problems of varying difficulty. Our numerical results are promising, and in particular, our fastest alignment method appears suitable for database-wide alignments.

## 2 Contact Geometry

Let the columns of X equal $\left(x_{i}, y_{i}, z_{i}\right)^{\top}, i=1,2, \ldots, N$, so that column $i$ contains the 3D coordinates of the $i$ th $C_{\alpha}$ atom of a protein. (The $C_{\alpha}$ atoms are numbered consecutively along a protein chain.) Define the $C_{\alpha}$ distance matrix of a protein to be the matrix $\mathrm{D}=\left[d_{i j}\right]$ where $d_{i j}$ is the Euclidean distance between the $i$ th and $j$ th $C \alpha$ atoms. We represent the geometry of a fold by a $C_{\alpha}$ contact matrix $\mathrm{C}=\left[c_{i j}\right]$, where C is computed from the distance matrix D by applying a cutoff function, $c_{i j}=\sigma\left(d_{i j}\right)$, like the one given in equation (1). We define the contact between residue $i$ and residue $j$ of a protein to be $c_{i j}$.

$$
\sigma\left(d_{i j}\right)=\left\{\begin{array}{cl}
1-\frac{1}{\kappa} d_{i j} & 0 \leq d_{i j} \leq \kappa  \tag{1}\\
0 & \text { otherwise }
\end{array}\right.
$$

If the cutoff parameter, $\kappa$, in equation (1), is chosen sufficiently small, the contact matrix C is diagonally dominant and hence positive-definite [26]. The positive-definiteness of C plays a key role in our geometric formulation of the protein alignment problem because it allows us to represent a protein fold in $N$-dimensional Euclidean space, where $N$ is the length of the chain, i.e. the number of residues. Specifically, the contact matrix $C$ defines the generalized inner product

$$
\begin{equation*}
\langle\mathrm{u}, \mathrm{v}\rangle_{\mathrm{C}}=\mathrm{u}^{\top} \mathrm{Cv} \tag{2}
\end{equation*}
$$

This inner product has a useful interpretation. If we assign residue $i$ to the standard unit vector $\mathrm{e}_{i}=(0, \ldots, 0,1,0, \ldots, 0)^{\top}$, then the contact between residue $i$ and residue $j$ is given by the inner product

$$
\left\langle\mathrm{e}_{i}, \mathrm{e}_{j}\right\rangle_{\mathrm{C}}=\mathrm{e}_{i}^{\top} \mathrm{Ce}_{j}=c_{i j} .
$$

In support of this observation, we define $\mathrm{e}_{i}$ to be the standard contact coordinates of residue $i$. (We refer to the $C_{\alpha}$ atom coordinates $\left(x_{i}, y_{i}, z_{i}\right)^{\top}$ as the 3D coordinates of residue $i$.) The discussion above motivates the following definition.

Definition 1 (Contact Space): A contact space is an N-dimensional Euclidean space $\mathbb{R}^{N}$ with generalized inner product $\langle\cdot, \cdot\rangle_{C}$, where $C$ is a positive-definite contact matrix.

Before we align the folds of two proteins, we first define an appropriate coordinate system in which to make comparisons. The order of the standard contact coordinate system $\left\{\mathrm{e}_{1}, \mathrm{e}_{2}, \ldots, \mathrm{e}_{N}\right\}$ is determined by the sequence of residues in a protein's chain. The path of a protein chain through a given fold, however, varies from protein to protein. It makes sense to define a new coordinate system that is independent of the order of the residues in a protein's chain and that is intrinsic to the fold itself. We do this by solving a sequence of optimization problems as shown below.

Let the first unit vector in our intrinsic coordinate system be the unit vector $\mathrm{v}_{1}$ that has the largest sum of squared contacts with all the residues in a fold. The contacts $\mathrm{v}_{1}$ has with each residue is given by the vector

$$
\begin{equation*}
\mathrm{w}=\left\langle\left(\mathrm{e}_{1}, \ldots, \mathrm{e}_{n}\right), \mathrm{v}_{1}\right\rangle_{\mathrm{C}}=\mathrm{I}^{\top} \mathrm{Cv}_{1}=\mathrm{Cv}_{1}, \tag{3}
\end{equation*}
$$

and the sum of the squared contact is $s=\mathrm{w}^{\top} \mathrm{w}=\mathrm{v}_{1}^{\top} \mathrm{C}^{\top} \mathrm{Cv}_{1}$. Therefore, $\mathrm{v}_{1}$ is the solution to the following optimization problem:

$$
\begin{array}{r}
\max  \tag{4}\\
\mathrm{v}_{1}^{\top} \mathrm{C}^{\top} \mathrm{C}_{1} \\
\text { subject to } \\
\mathrm{v}_{1}^{\top} \mathrm{v}_{1}=1
\end{array}
$$

The second unit vector is defined similarly, as it is the unit vector, $\mathrm{v}_{2}$, that has the largest sum of squared contacts, but with the additional constraint that $\mathrm{v}_{2}$ must be perpendicular to $\mathrm{v}_{1}$. Therefore, it is a solution to

$$
\begin{align*}
\max & \mathrm{v}_{2}^{\top} \mathrm{C}^{\top} \mathrm{Cv}_{2}  \tag{5}\\
\text { subject to } & \mathrm{v}_{2}^{\top} \mathrm{v}_{2}=1, \mathrm{v}_{2}^{\top} \mathrm{v}_{1}=0
\end{align*}
$$

Proceeding in this fashion we construct a contact coordinate system, $\left\{\mathrm{v}_{1}, \mathrm{v}_{2}, \ldots, \mathrm{v}_{N}\right\}$, that is intrinsic to a particular fold and that is independent of the order of the residues in a given protein's chain. A standard result from linear algebra known as Rayleigh's Principle [22] implies that $\mathrm{v}_{1}, \mathrm{v}_{2}, \ldots, \mathrm{v}_{N}$ are equal to the eigenvectors of the contact matrix $C$.

Theorem 1 (Rayleigh's Principle): Assume C is an $N$ by $N$, symmetric, positive definite matrix. The solution to the sequence of optimization problems

$$
\begin{aligned}
\max & \mathrm{v}_{i}^{\top} \mathrm{C}^{\top} \mathrm{C} \mathrm{v}_{i} \\
\text { subject to } & \mathrm{v}_{1}^{\top} \mathrm{v}_{i}=0, \ldots, \mathrm{v}_{i-1}^{\top} \mathrm{v}_{i}=0, \mathrm{v}_{i}^{\top} \mathrm{v}_{i}=1
\end{aligned}
$$

for $i=1, \ldots, N$ is given by the eigenvectors of $C$.
The following lemma shows that the eigenvalue, $\lambda_{i}$, associated with eigenvector $\mathrm{v}_{i}$, is a measure of the contact between $\mathrm{v}_{i}$ and the entire fold.

Lemma 1: The square-root of the sum of all the squared contacts that the unit vectors, $\mathrm{e}_{j}, j=1, \ldots, N$ make with eigenvector $\mathrm{v}_{i}$ equals the eigenvalue $\lambda_{i}$ associated with $\mathrm{v}_{i}$. Proof: Let $\mathrm{w}=\left\langle\left(\mathrm{e}_{1}, \ldots, \mathrm{e}_{N}\right), \mathrm{v}_{j}\right\rangle_{\mathrm{C}}=\mathrm{Cv}_{i}$. Then the square root of the squared contacts that the standard unit vectors make with eigenvector $\mathrm{v}_{i}$ equals

$$
\sqrt{\mathrm{w}^{\top} \mathrm{W}}=\sqrt{\mathrm{v}_{i}^{\top} \mathrm{C}^{\top} \mathrm{C} \mathrm{v}_{i}}=\sqrt{\lambda_{i}^{2}}=\lambda_{i} .
$$

Taken together, Theorem 1 and Lemma 1 imply that the intrinsic contact coordinate system $\left\{\mathrm{v}_{1}, \mathrm{v}_{2}, \ldots, \mathrm{v}_{N}\right\}$ is ordered (from largest to smallest) by the size of the eigenvalues, $\lambda_{1}, \lambda_{2}, \ldots, \lambda_{N}$ of the contact matrix C . If the eigenvalues of a fold are distinct (which has always been observed to be the case) the corresponding eigenspaces are one dimensional and the eigenvectors defined by Rayleigh's Principle are unique up to orientation. This means the ordering is unique for practical purposes. Moreover, this order is independent of the sequence of a particular chain through a fold and is intrinsic to the fold itself. We refer to the ordered set of eigenvalues of a contact matrix of a fold as the spectrum of the fold.

Given a vector, x , in standard coordinates, we compute its intrinsic coordinates, y , by solving the equation $\mathrm{x}=\mathrm{Vy}$, where $\mathrm{V}=\left[\mathrm{v}_{1}\left|\mathrm{v}_{2}\right| \ldots \mid \mathrm{v}_{N}\right]$ and where $\mathrm{v}_{i}, i=1,2, \ldots, N$ are
intrinsic unit vectors given by Rayleigh's Principle. Since V is an orthonormal matrix, $\mathrm{y}=\mathrm{V}^{\top} \mathrm{x}$. Under the mapping $\mathrm{V}^{\top}: \mathrm{x} \mapsto \mathrm{y}$, the inner product $\langle\cdot, \cdot\rangle_{\mathrm{C}}$ maps to $\langle\cdot, \cdot\rangle_{\mathrm{D}}$, where $D$ is a diagonal matrix with the eigenvalues of $C$ along the main diagonal. Suppose we are interested in comparing protein $A$ and $B$ with contact maps $C_{A}$ and $C_{B}$ respectively. In intrinsic coordinate, we will, in general, have two distinct inner products, $\langle\cdot, \cdot\rangle_{\mathrm{D}_{A}}$ and $\langle\cdot, \cdot\rangle_{\mathrm{D}_{B}}$. We must therefore align the spectrum of the folds before we define a common contact space to make comparisons. (Spectrum alignment is the bases for the fast fold alignment algorithm described in section 3.)

Before mapping a vector to intrinsic contact space, it is convenient to apply the scale transformation $\sqrt{\mathrm{D}}: \mathrm{x} \mapsto \mathrm{y}$, which is allowed since the contact maps are assumed to be positive definite. This allows us to use the standard inner product $\langle\cdot, \cdot\rangle$ in intrinsic contact space, as we prove in Theorem 2. First, we define intrinsic contact coordinates.

Definition 2 (Intrinsic Contact Coordinates): Let $\mathrm{C}=\mathrm{VDV}^{\top}$ be the eigenvalue-eigenvector decomposition of the contact matrix C with eigenvalues sorted from largest to smallest in size. Define $\mathrm{R}=\sqrt{D} \mathrm{~V}^{\top}$. The columns of R are the intrinsic contact coordinates of a fold with contact matrix $C$.

Since $\mathrm{r}_{i}=\mathrm{Re}_{i}$ is a column of R , the matrix R maps the standard contact coordinates, $\mathrm{e}_{i}$, of residue $i$, to its intrinsic contact coordinates, $\mathrm{r}_{i}$. The next theorem shows that R maps the generalized inner product $\langle\cdot, \cdot\rangle_{\mathrm{C}}$ to the standard inner product $\langle\cdot, \cdot\rangle$.

Theorem 2: The matrix $\mathrm{R}=\sqrt{D} \mathrm{~V}^{\top}$ maps the generalized inner product $\langle\cdot, \cdot\rangle_{\mathrm{C}}$ to the standard inner product $\langle\cdot, \cdot\rangle$.
Proof: It is sufficient to prove that the result holds true for the standard contact vectors $\left\{\mathbf{e}_{1}, \mathbf{e}_{2}, \ldots, \mathbf{e}_{N}\right\}$ since they form a basis for contact space. For $i, j=1,2, \ldots, N$, we have

$$
\begin{aligned}
\left\langle\mathbf{e}_{i}, \mathbf{e}_{j}\right\rangle_{\mathrm{C}} & =\mathbf{e}_{i}^{\top} \mathrm{Ce}_{j} \\
& =\mathbf{e}_{i}^{\top} \mathrm{VDV}^{\top} \mathbf{e}_{j} \\
& =\mathbf{e}_{i}^{\top}\left(\sqrt{\mathrm{D}} \mathrm{~V}^{\top}\right)^{\top}\left(\sqrt{\mathrm{D}} \mathrm{~V}^{\top}\right) \mathbf{e}_{j} \\
& =\left(\operatorname{Re}_{i}\right)^{\top}\left(\operatorname{Re}_{j}\right) \\
& =\mathbf{r}_{i}^{\top} \mathbf{r}_{j} \\
& =\left\langle\mathbf{r}_{i}, \mathbf{r}_{j}\right\rangle
\end{aligned}
$$

Note that even with the scale transformation, the intrinsic contact vectors $\mathrm{r}_{j}, j=$ $1,2, \ldots, N$, are unit vectors since $\left\langle\mathbf{r}_{j}, \mathbf{r}_{j}\right\rangle=\left\langle\mathbf{e}_{j}, \mathbf{e}_{j}\right\rangle_{\mathrm{C}}=c_{j j}=1$. Note also that R preserves the orthogonality of the eigenvectors $\mathrm{v}_{i}$ but not their lengths, since $\mathrm{Rv}_{i}=\sqrt{\mathrm{D}} \mathrm{V}^{\top} \mathrm{v}_{i}=$ $\sqrt{\lambda} \mathrm{e}_{i}$. The contact length of $\mathrm{v}_{i}$ is given by

$$
\begin{equation*}
\left\|\mathrm{v}_{i}\right\|_{\mathrm{C}}=\sqrt{\left\langle\mathrm{v}_{i}, \mathrm{v}_{i}\right\rangle_{\mathrm{C}}}=\sqrt{\left\langle\sqrt{\lambda_{i}} \mathrm{e}_{i}, \sqrt{\lambda_{i}} \mathrm{e}_{i}\right\rangle}=\left\|\sqrt{\lambda_{i}} \mathrm{e}_{i}\right\|=\sqrt{\lambda_{i}}, \tag{6}
\end{equation*}
$$

which is preserved by R .
The intrinsic contact geometry of a fold has a simple geometric interpretation. The contact between any two residues, $i$ and $j$, is $\left\langle\mathbf{r}_{i}, \mathrm{r}_{j}\right\rangle=\cos (\theta)$, where $\theta$ is the angle between their intrinsic contact vectors. Residues that are not in contact have orthogonal contact vectors. Since all contacts are greater than or equal to zero, all the contact vectors of a fold are within $90^{\circ}$ of each other. We caution, however, that when we align two different folds, we may have protein-protein contacts that are negative.

## 3 Spectrum Alignment

The spectrum $\lambda_{1}, \lambda_{2}, \ldots, \lambda_{N}$ of a fold is somewhat like a finger print and can be use to classify folds. The spectrum, however, does not contain information on the path of a protein chain through its fold. We present an algorithm that takes this information into account and constructs a crude, but fast, fold alignment.

Our algorithm has a preprocessing step that partitions the residues of a fold by eigenspace. Specifically, we assign each residue to the eigenspace of the fold the residue is closest to in terms of contact angle. Theorem 3 provides a formula for computing the cosine of this contact angle. Each residue is then assigned the eigenvalue of its eigenspace. Finally, we compute the matrix $S=\left[s_{i j}\right]$ with $s_{i j}=\left|\lambda_{i}-\lambda_{j}\right|$ to score alignments and apply dynamic programming to compute the optimal alignment.

Dynamic programming (DP), first used by Needleman and Wunsch to align proteins [21], is commonly used in bioinformatics to align sequences. Apart from being relatively fast and easy to implement, DP based sequence alignments have the biologically desirable property of preserving the sequence-order of the sequences aligned. DP sequence
alignment require as input: 1) an alignment scoring matrix and 2) a gap penalty. The scoring matrix gives the score of aligning element $A_{i}$ of the first sequence with element $B_{j}$ of the second sequence. The gap penalty penalizes the creation of gaps in the alignment. (The interested reader is referred to [16], [5], [10] for a detailed description of DP and sequence alignment.)

In database applications, a protein may be aligned to thousands of other proteins. The preprocessing step of our algorithm is completed only once per protein and the eigenvalues of the residues in each fold are then stored in the database. During a search, only the scoring matrix, S , needs to be computed and dynamic programming applied using this matrix to compute an alignment.

We next provide a detailed description of our algorithm outline in Table 1. First we show how to compute the cosine of the contact angle between the contact vector of a residue and an eigenspace of a fold.

Theorem 3 (Residue-Eigenspace Contact Angle): The cosine of the contact angle, $\theta_{i j}$, between residue $j$ and eigenspace $i$, equals $\sqrt{\lambda_{i}} v_{j i}$ where $v_{j i}$ is the $j$ th component of eigenvector $\mathrm{v}_{i}$.
Proof: The proof is more intuitive in standard coordinates. In standard coordinates we have that

$$
\begin{equation*}
\left\langle\mathbf{e}_{j}, \mathbf{v}_{i}\right\rangle_{C}=\mathbf{e}_{j}^{\top} \mathrm{Cv}_{i}=\lambda_{i} \mathbf{e}_{j}^{\top} \mathbf{v}_{i}=\lambda_{i} v_{j i} . \tag{7}
\end{equation*}
$$

But,

$$
\begin{equation*}
\left\langle\mathbf{e}_{j}, \mathbf{v}_{i}\right\rangle_{C}=\left\|\mathbf{e}_{j}\right\|_{C}\left\|\mathbf{v}_{i}\right\|_{C} \cos \left(\theta_{i j}\right)=\sqrt{\lambda_{i}} \cos \left(\theta_{i j}\right) . \tag{8}
\end{equation*}
$$

Therefore, $\lambda_{i} v_{j i}=\sqrt{\lambda_{i}} \cos \left(\theta_{i j}\right)$, implying that $\cos \left(\theta_{i j}\right)=\sqrt{\lambda_{i}} v_{j i}$.

Recall that column $j$ of $R=\sqrt{\mathrm{D}} \mathrm{V}^{\top}$ is the intrinsic contact coordinates of residue $j$. Coordinate $i$ of residue $j$ is equal to $\sqrt{\lambda_{i}} v_{j i}$, which, by Theorem 3 equals $\cos \left(\theta_{i j}\right)$, where $\theta_{i j}$ is the angle between residue $j$ and eigenspace $i$. Therefore, we assign residue $j$, eigenvalue $\lambda_{i^{*}}$, were $i^{*}$ is the solution to $\max _{i} \sqrt{\lambda_{i}}\left|v_{j i}\right|$. This is accomplished by determining the row, $i^{*}$, in which the maximum of column $j$ of R occurs.

1) Preprocessing:
a) Construct the contact matrix $C$ of each fold.
b) Compute the eigensystem decomposition $\mathrm{C}=\mathrm{VDV}^{\top}$ of each fold.
c) Compute the intrinsic contact coordinates $\mathrm{R}=\sqrt{D} \mathrm{~V}^{\top}$ of each fold.
d) Determine the mapping $\nu: j \mapsto i^{*}$, which gives the row in which the maximum of each column of $|R|$ occurs.
e) Assign residue $j$ eigenvalue $\lambda_{\nu(j)}$.
2) Construct alignment scoring matrix $S=\left[s_{i j}\right]$ where $s_{i j}=\left|\lambda_{i}-\lambda_{j}\right|$.
3) Align folds using DP with scoring matrix $S$ and a suitable gap penalty.

## TABLE 1: Spectrum Alignment Algorithm

## 4 Protein Classification

To test our spectrum algorithm, we repeated the protein classification computations reported in our earlier work [26]. We evaluated the ability of the spectrum algorithm to identify the known families identified by SCOP [2] among 40 protein structures taken from the Skolnick data set [1], [6] given in Table 2. The protein structures in the Skolnick data set were obtained from the Protein Data Bank [3] and parsed with BioPython [8]. A cutoff value of $\kappa=8$ Angstroms and a gap penalty equal to 1 was used for the spectrum alignment method. The delta alignment method tested in our earlier work required 553.3 seconds to compute 780 alignments or approximately 0.7 seconds per alignment. The spectrum alignment algorithm required only 96.3 seconds, 0.12 seconds per alignment, an $83 \%$ reduction in processing time. The preprocessing times for both methods are not included as this step is done only once when a database is created. Figure 6 indicates that the spectrum algorithm does a good job of correctly clustering the protein families in the Skolnick data set.

## 5 Contact Alignment

A difficult step in our approach to aligning proteins is constructing a good alignment scoring matrix. We use protein-protein contact matrices in this step of the alignment

| SCOP Fold | SCOP Family | Proteins |
| :---: | :---: | :--- |
| Flavodxin-like | CheY-related | 1b00, 1dbw, 1nat, 1ntr, 3chy <br> $1 \mathrm{qmp}(\mathrm{A}, \mathrm{B}, \mathrm{C}, \mathrm{D}), 4 \mathrm{tmy}(\mathrm{A}, \mathrm{B})$ |
| Cupredoxin-like | Plastocyanin | 1baw, 1byo(A,B), 1kdi, 1nin <br> azurin-like |
| TIMa, 2b3i, 2pcy, 2plt |  |  |

TABLE 2: Skolnick data set.


Fig. 6: Alignment scores for the Skolnick data set. The 40 proteins compared are ordered as they are listed in Table 2. (a) STRUCTAL is a widely used alignment scoring function [30], [18]. (b) RMSD is computed after the structures have been superimposed using the Kabsch procedure. (c) Contact Score is the score maximized by DP.
process. The eigenspaces of the two proteins must be aligned and oriented before a protein-protein contact matrix can be computed. (Note that aligning eigenvectors is simpler than aligning residues because unlike intrinsic contact vectors, eigenvectors are orthogonal.) We then compute a protein-protein contact matrix by computing all of the inner products of the intrinsic contact vectors of the first protein with the second protein. Finally, we use DP to compute an alignment.

Specifically, let $\mathrm{C}_{A}$ and $\mathrm{C}_{B}$ be the contact matrices of proteins $A$ and $B$. Using the
procedure outlined in section 2 , we compute intrinsic contact coordinates, $\mathrm{R}_{A}$ and $\mathrm{R}_{B}$ for each protein fold. Since the protein chains typically have different lengths, we determine two orthogonal projection matrices, $\Gamma_{A}$ and $\Gamma_{B}$, that gap the spectrum of each protein's fold. We also determine a permutation matrix $\Omega$ that appropriately pairs the gapped spectrum of each fold. Finally, we determine a diagonal matrix $I^{ \pm}$with $\pm 1$ along the diagonal, that appropriately orients the eigenspaces of one of the folds. Let $\hat{\mathrm{R}}_{A}$ and $\hat{\mathrm{R}}_{B}$ be the intrinsic contact coordinates after the eigenspaces of each fold have been appropriately gapped, paired and oriented, i.e., let $\hat{\mathrm{R}}_{A}=\Omega \Gamma_{A} \mathrm{R}_{A}$ and $\hat{\mathrm{R}}_{B}=\mathrm{I}^{ \pm} \Gamma_{B} \mathrm{R}_{B}$. The protein-protein contact matrix is then $\mathrm{C}_{A B}=\hat{\mathrm{R}}_{A}^{\top} \hat{\mathrm{R}}_{B}$.

Since the spectrum of a fold has a natural ordering, we can use DP to determine $\Gamma_{A}, \Gamma_{B}$ and $\Omega$ which align the eigenspaces of proteins $A$ and $B$. DP has the added advantage of preserving the sequence order of the spectrum alignment. We need an eigenspace-alignment scoring matrix, $\mathrm{E}_{A B}$ for this purpose. The residues of proteins $A$ and $B$ must be aligned before $\mathrm{E}_{A B}$ can be computed. This presents us with a "chicken-and-egg" problem which we solve by using the iteration algorithm outlined in Table 3. Before describing this algorithm in detail, we explain how we compute the eigenvector alignment matrix $\mathrm{E}_{A B}$.

The matrix $\mathrm{E}_{A B}$ is a protein-protein contact matrix for eigenvalue-weighted eigenvectors. (We use eigenvalue-weight eigenvectors because we want an alignment that aligns the eigenvalues as well as the eigenspaces.) We use the standard inner product in standard coordinates to compute all inner products of eigenvalue-weighted eigenvectors of protein $A$ with eigenvalue-weighed eigenvectors of protein $B$. To do this, we need matrices $\Gamma_{A}^{s}, \Gamma_{B}^{s}$ and $\Omega^{s}$ that align the residues, and hence, the standard contact coordinates of the two proteins. (Superscript $s$ denotes the matrices are acting on the protein chain sequence of residues.)

The rows of R are eigenvalue-weighted eigenvectors expressed in standard coordinates. Recall that row $i$ of R equals $\sqrt{\lambda_{i}} \mathrm{v}_{i}$ and that the contact length of row $i$ is $\left\|\sqrt{\lambda_{i}} \mathrm{v}_{i}\right\|_{\mathrm{C}}=\lambda_{i}$, hence the name eigenvalue-weighted eigenvector. It follows then that $\mathrm{E}_{A B}=\tilde{\mathrm{R}}_{A} \tilde{\mathrm{R}}_{B}^{\top}$, where $\tilde{\mathrm{R}}_{A}=\mathrm{R}_{A} \Gamma_{A}^{s} \Omega^{s}$ and $\tilde{\mathrm{R}}_{B}=\mathrm{R}_{B} \Gamma_{B}^{s}$. Since the eigenspaces are not oriented, we use $\left|\mathrm{E}_{A B}\right|$ to score eigenspace alignments and then choose the orientation matrix $I^{ \pm}$that maximizes the final alignment.

1) Use the spectrum alignment algorithm (Table 1) to determine initial chain-sequence gapping matrices $\Gamma_{A}^{s}, \Gamma_{B}^{s}$ and a chain-order preserving permutatation $\Omega^{s}$ that align the residues of the protein chains.
2) Compute $\tilde{\mathrm{R}}_{A}=\mathrm{R}_{A} \Gamma_{A}^{s} \Omega_{s}$ and $\tilde{\mathrm{R}}_{B}=\mathrm{R}_{B} \Gamma_{B}^{s}$.
3) Compute the protein-protein, eigenvalue-weighted, eigenvector contact matrix $\mathrm{E}_{A B}=\tilde{\mathrm{R}}_{A} \tilde{\mathrm{R}}_{B}^{\top}$.
4) Determine eigenspace gapping matrices $\Gamma_{A}, \Gamma_{B}$ and spectrum-order preserving permutation $\Omega$ that align the eigenspaces of the protein folds by solving the following optimization problem with DP:

$$
\max _{\Gamma_{A}, \Gamma_{B}, \Omega} \operatorname{trace}\left(\Omega \Gamma_{A}\left|\mathrm{E}_{A B}\right| \Gamma_{B}\right) .
$$

5) Determine the orientation matrix $I^{ \pm}$which satisfies the equation

$$
\operatorname{diag}\left(\mathrm{I}^{ \pm}\right)=\operatorname{sign}\left(\operatorname{diag}\left(\Omega \Gamma_{A} \mathrm{E}_{A B} \Gamma_{B}\right)\right) .
$$

6) Compute $\hat{\mathrm{R}}_{A}=\Gamma_{A} \mathrm{R}_{A}$ and $\hat{\mathrm{R}}_{B}=\mathrm{I}^{ \pm} \Omega \Gamma_{B} \mathrm{R}_{B}$.
7) Compute the protein-protein contact matrix $\mathrm{C}_{A B}=\hat{\mathrm{R}}_{A}^{\top} \hat{R}_{B}$.
8) Determine protein chain gapping matrices $\Gamma_{A}^{s}, \Gamma_{B}^{s}$ and chain-order preserving permutation $\Omega^{s}$ that align the protein chains by solving the following optimization problem with DP:

$$
\max _{\Gamma_{A}^{s}, \Gamma_{B}, \Omega^{s}} \operatorname{trace}\left(\Omega^{s} \Gamma_{A}^{s} \mathrm{C}_{A B} \Gamma_{B}^{s}\right) .
$$

9) Return to step 2 and repeat until the algorithm converges.

## TABLE 3: Contact Alignment Algorithm

Our contact alignment algorithm in Table 3 alternates between aligning the eigenspaces of protein folds using standard contact coordinates (steps 2-5) and aligning protein chains using intrinsic contact coordinates (steps 6-8). Theorem 4 establishes that the algorithm converges monotonically.

Theorem 4: The contact alignment algorithm converges monotonically.
Proof: First we establish upper bounds for the optimization problems in steps 4 and 8 in Table 3. We then show that the solution to each optimization problem must increase
monotonically, thus establishing convergence of the algorithm.
Using the notation $\left(\alpha_{1}, \beta_{1}\right), \ldots,\left(\alpha_{m_{1}}, \beta_{m_{2}}\right)$ to denote the eigenspace alignment determined by $\Gamma_{A}^{s}, \Gamma_{B}^{s}$ and $\Omega^{s}$, we have that

$$
\begin{align*}
\operatorname{trace}\left(\Omega \Gamma_{A} \mathrm{E}_{A B} \Gamma_{B}\right) & =\sum_{i=1}^{m_{1}} \mathrm{E}_{\alpha_{i} \beta_{i}}^{A B}  \tag{9}\\
& =\sum_{i=1}^{m_{1}} \sqrt{\lambda_{\alpha_{i}}^{A} \lambda_{\beta_{i}}^{B}}\left(\mathrm{v}_{\alpha_{i}}^{A}\right)^{\top} \mathrm{v}_{\beta_{i}}^{B}, \tag{10}
\end{align*}
$$

where $0 \leq m_{1} \leq \min \left(N_{1}, N_{2}\right)$. Taking absolute values we have

$$
\begin{align*}
\left|\operatorname{trace}\left(\Omega \Gamma_{A} \mathrm{E}_{A B} \Gamma_{B}\right)\right| & \leq \sum_{i=1}^{m_{1}} \sqrt{\lambda_{\alpha_{i}}^{A} \lambda_{\beta_{i}}^{B}}\left|\left(\mathrm{v}_{\alpha_{i}}^{A}\right)^{\top} \mathbf{v}_{\beta_{i}}^{B}\right|  \tag{11}\\
& \leq \sum_{i=1}^{m_{1}} \sqrt{\lambda_{\alpha_{i}}^{A} \lambda_{\beta_{i}}^{B}}  \tag{12}\\
& \leq \sum_{i=1}^{\min \left(N_{1}, N_{2}\right)} \sqrt{\lambda_{i}^{A} \lambda_{i}^{B}} \tag{13}
\end{align*}
$$

where we have used the fact that the eigenvectors $\mathrm{v}_{\alpha_{i}}^{A}$ and $\mathrm{v}_{\beta_{i}}^{B}$ are unit vectors and that the eigenvalues $\lambda_{i}^{A}$ and $\lambda_{i}^{B}$ are ordered from largest to smallest. Inequality (13) establishes an upper bound for the optimization problem in step 4 of the algorithm.

Using the notation $\left(a_{1}, b_{1}\right), \ldots,\left(a_{m_{1}}, b_{m_{1}}\right)$ to denote the protein chain alignment determined by the matrices $\Gamma_{A}^{s}, \Gamma_{B}^{s}$ and $\Omega^{s}$ we have that

$$
\begin{align*}
\left|\operatorname{trace}\left(\Omega^{s} \Gamma_{A}^{s} \mathrm{C}_{A B} \Gamma_{B}^{s}\right)\right| & \leq \sum_{j=1}^{m_{2}}\left|C_{a_{j} b_{j}}^{A B}\right|  \tag{14}\\
& =\sum_{j=1}^{m_{2}}\left|\left(\mathrm{r}_{a_{j}}^{A}\right)^{\top} \mathbf{r}_{b_{j}}^{B}\right|  \tag{15}\\
& \leq \min \left(N_{1}, N_{2}\right), \tag{16}
\end{align*}
$$

where we have used the fact that the intrinsic contact vectors $\mathrm{r}_{j}^{A}$ and $\mathrm{r}_{j}^{B}$ are unit vectors and the fact that $0 \leq m_{2} \leq \min \left(N_{1}, N_{2}\right)$. Inequality (16) establishes an upper bound for the optimization problem in step 8 of the algorithm.

Next, we show that the solution to the optimization problems in steps 4 and 8 increase monotonically. We use the fact that for a given protein chain alignment $\left(a_{1}, b_{1}\right) \ldots\left(a_{m_{2}}, b_{m_{2}}\right)$ and a given eigenvector alignment $\left(\alpha_{1}, \beta_{1}\right) \ldots\left(\alpha_{m_{1}}, \beta_{m_{1}}\right)$ and orientation $\mathrm{I}^{ \pm}$, the objective
functions of both optimization problems are equal. Specifically, we show that

$$
\begin{equation*}
\sum_{i=1}^{m_{1}} \mathrm{E}_{\alpha_{i} \beta_{i}}^{A B}=\sum_{j=1}^{m_{2}} \mathrm{C}_{a_{j} b_{j}}^{A B} \tag{17}
\end{equation*}
$$

However, for the moment, assume (17) is valid. Let $\left(\alpha_{1}^{*}, \beta_{1}^{*}\right) \ldots\left(\alpha_{m_{1}^{*}}^{*}, \beta_{m_{1}^{*}}^{*}\right)$ denote the globally optimal solution to the optimization problem in step 4 and let $\left(a_{1}^{*}, b_{1}^{*}\right) \ldots\left(a_{m_{2}^{*}}^{*}, b_{m_{2}^{*}}^{*}\right)$ denote the globally optimal solution to the optimization problem in step 8. Using equation (17), we have that

$$
\begin{align*}
\sum_{i=1}^{m_{1}} \mathrm{E}_{\alpha_{i} \beta_{i}}^{A B} & =\sum_{j=1}^{m_{2}} \mathrm{C}_{a_{j} b_{j}}^{A B}  \tag{18}\\
& \leq \sum_{j=1}^{m_{2}^{*}} \mathrm{C}_{a_{j}^{*} b_{j}^{*}}^{A B}  \tag{19}\\
& =\sum_{i=1}^{m_{1}}\left(\mathrm{E}_{\alpha_{i} \beta_{i}}^{A B}\right)^{*}  \tag{20}\\
& \leq \sum_{i=1}^{m_{1}^{*}}\left(\mathrm{E}_{\alpha_{i}^{*} \beta_{i}^{*}}^{A B}\right)^{*} \tag{21}
\end{align*}
$$

where the notation $\left(\mathrm{E}_{\alpha_{i} \beta_{i}}^{A B}\right)^{*}$ indicates that the eigenvector contact matrix is computed using the optimal protein chain alignment $\left(a_{1}^{*}, b_{1}^{*}\right) \ldots\left(a_{m_{2}^{*}}^{*}, b_{m_{2}^{*}}^{*}\right)$. Inequality (21) shows that the solution to the optimization problem in step 4 increases monotonically. A similar argument establishes that the solution to the optimization problem in step 8 also increases monotonically.

To complete the proof, we establish equation (17), which follows since

$$
\begin{align*}
\sum_{i=1}^{m_{1}} \mathrm{E}_{\alpha_{i} \beta_{i}}^{A B} & =\sum_{i=1}^{m_{1}} \sqrt{\lambda_{\alpha_{i}}^{A} \lambda_{\beta_{i}}^{B}}\left(\mathrm{v}_{\alpha_{i}}^{A}\right)^{\top} \mathbf{v}_{\beta_{i}}^{B}  \tag{22}\\
& =\sum_{i=1}^{m_{1}} \sum_{j=1}^{m_{2}} \sqrt{\lambda_{\alpha_{i}}^{A} \lambda_{\beta_{i}}^{B}} v_{a_{j} \alpha_{i}}^{A} v_{b_{j} \beta_{i}}^{B}  \tag{23}\\
& =\sum_{j=1}^{m_{2}} \sum_{i=1}^{m_{1}} r_{\alpha_{i} a_{j}}^{A} r_{\beta_{i} b_{j}}^{B}  \tag{24}\\
& =\sum_{j=1}^{m_{2}}\left(\mathrm{r}_{a_{j}}^{A}\right)^{\top} \mathbf{r}_{b_{j}}^{B}  \tag{25}\\
& =\sum_{j=1}^{m_{2}} \mathrm{C}_{a_{j} b_{j}}^{A B} . \tag{26}
\end{align*}
$$

Although numerical experiments show that the contact alignment algorithm converges monotonically, it does not result in reliably good alignments. Presumably, this is because of well known difficulties of embedding contact maps in 3D [9], [31], [25]. Also, an incorrect orientation for an eigenspace could yield good contact space alignments but poor 3D alignments. In order to overcome these difficulties, we include 3D information in the alignment of the eigenspaces in step 3 of the algorithm.

For a given alignment of the protein chain, we superimpose the 3D coordinates of the folds using the widely used Kabsch procedure [17]. We then construct a 3D proteinprotein contact matrix $\mathcal{C}_{A B}=\left[\mathcal{C}_{i j}^{A B}\right], i=1,2, \ldots, N_{1}, j=1,2, \ldots, N_{2}$, as follows. Assume $\mathrm{X}_{A}$ and $\mathrm{X}_{B}$ are the 3D coordinate of fold $A$ and fold $B$. Then $\mathcal{C}_{i j}^{A B}=\sigma\left(d_{i j}\right)$, where $\sigma\left(d_{i j}\right)$ is the cutoff function defined in Figure 4 and $d_{i j}=\left\|X_{i}^{A}-\mathrm{X}_{j}^{B}\right\|$ is the 3D distance between residue $i$ in protein $A$ and residue $j$ in protein $B$. Finally, instead of using the standard inner product in step 3 , we use $\mathrm{E}_{A B}=\tilde{R}_{A} \mathcal{C}_{A B} \tilde{R}_{B}^{\top}$.

The contact matrix $\mathcal{C}_{A B}$ serves as a 3 D bridge between the contact spaces of folds $A$ and $B$. Define the bilinear function $\langle\mathrm{u}, \mathrm{v}\rangle_{\mathcal{C}_{A B}}=\mathrm{u}^{\top} \mathcal{C}_{A B} \mathrm{v}$. The contact between residue $i$ of fold $A$ and residue $j$ of fold $B$ is $\left\langle\mathrm{e}_{i}^{A}, \mathrm{e}_{j}^{B}\right\rangle_{\mathcal{C}_{A B}}=\mathcal{C}_{i j}^{A B}$. The bilinear function uses linearity to extend this 3 D contact information to the entire contact space of each of the folds. Protein-protein contacts can then be computed between the eigenvalue-weighted eigenvectors of each fold.

Unfortunately, the modification in step 3 of the algorithm does not preserve the monotonicity of the algorithm. We therefore run the modified algorithm for a fixed number of steps or until it converges, save the best alignment in terms of contact score (the score maximized in step 8) and then resort to alternate applications of the Kabsch procedure and DP to refine the alignment. We have observed that the contact alignment algorithm with the 3D modification quickly gives a good global alignment and the Kabsch procedure and DP quickly give a low RMSD alignment.

## 6 Hard Alignments

We report computational results for 10 difficult alignments studied in [7], [29]. We compare to four other alignment algorithms (data taken form [7]):

SAMO multi objective alignment algorithm that simultaneously minimizes RMSD and number of aligned residues [7].

Dali one of the first fold alignment algorithms. Dali uses distance matrix [15].
CE genetic algorithm based combinatorial extension algorithm [29].
LUND an algorithm that uses "fuzzy" contact matrices interpreted as probabilities [4].
The 3D version of our contact alignment algorithm followed by alternate applications of the Kabsch procedure and DP is denoted by EIGA (EIGensystem Alignment). The spectrum algorithm is denoted by EIGAs. We use a cutoff of $\kappa=8$ Angstroms for both EIGA and EIGAs, a gap penalty equal to zero for EIGA and one for EIGAs.

Tables 4 and 5 show that EIGA compares well to SAMO, Dali, CE and LUND, in terms of the number of aligned residues and RMSD error. Table 6 show that EIGA is reasonably fast. (We do not have specific computational times for the alignments of the other methods.) Additional alignment results are given in Tables 7-9 in the appendix.

Our earlier delta method [26] and the spectrum alignment algorithm (denoted EIGAs), do not compare favorably with the other methods for the hard alignments in Tables 4-5. But EIGAs does a reasonable job with the easier alignments and identifying different folds and fold classes as can be seen in Tables 7 and 8 in the appendix. In particular, EIGAs outperforms our earlier delta method in terms of both speed and quality. (The computing times reported in Table 6 and 9 include the preprocessing steps of each method. Alignment times will be even faster for database searches as the preprocessing step is done only once when a database is setup and is not required for searches.)

Figure 7 illustrates that despite poor RMSD values, EIGAs seems to do a good job of quickly forming a good global alignment. For 1FX1a vs 1UBQ, for example, EIGAs determines an alignment in 0.1 seconds. Figure 7(a) is the 3D protein-protein contact matrix of the superimposed structures. The RMSD is poor at 9.8. However, the global alignment is mostly correct with 74 aligned residues and a 3D contact score of 12.3. Applying the contact alignment algorithm (3D version) to EIGAs's alignment, reduces the number of aligned residues to 64 and RMSD to 5.2 and increases the 3 D contact score to 24.6. Finally, a further application of 3D alignment, which involves alternating between DP and 3D superposition using the Kabsch proceedure, reduces the number of aligned residues to 63 , RMSD to 2.6 and increases the 3 D contact score to 45.4 .

| Difficult Alignments |  |  | SAMO | Dali | CE | Lund | delta | EIGAs | EIGA |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1. | 1FXIa( 96) | 1UBQ ( 76) | 70 | 60 | 64 | 63 | 59 | 74 | 63 |
| 2. | 1TEN (195) | 3 HHRb ( 89) | 87 | 86 | 87 | 87 | 75 | 88 | 86 |
| 3. | $3 \mathrm{HLAb}(114)$ | 2RHE ( 99) | 87 | 75 | 84 | 83 | 73 | 95 | 82 |
| 4. | 2AZAa(129) | 1PAZ (120) | 82 | 81 | 84 | 83 | 75 | 109 | 83 |
| 5. | 1CEWi(108) | $1 \mathrm{MOLa}(94)$ | 83 | 81 | 81 | 82 | 65 | 88 | 81 |
| 6. | 1CID (177) | 2RHE (114) | 98 | 97 | 97 | 97 | 101 | 113 | 98 |
| 7. | 1CRL (534) | 1EDE (310) | 281 | 211 | 219 | 126 | 240 | 304 | 208 |
| 8. | 2SIM (390) | $1 \mathrm{NSBa}(381)$ | 322 | 291 | 275 | 292 | 253 | 339 | 292 |
| 9. | 1BGEb(159) | 2GMFa(121) | 110 | 94 | 107 | 104 | 96 | 121 | 101 |
| 10. | 1TIE (166) | 4FGF (124) | 115 | 114 | 116 | 115 | 98 | 120 | 115 |

TABLE 4: Number of aligned residues.

| Difficult Alignments |  |  | SAMO | Dali | CE | Lund | delta | EIGAs | EIGA |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1. | 1FXIa( 96) | 1UBQ ( 76) | 2.5 | 2.6 | 3.8 | 2.6 | 9.9 | 9.8 | 2.6 |
| 2. | 1TEN (195) | 3 HHRb ( 89) | 1.7 | 1.9 | 1.9 | 1.8 | 21.7 | 18.9 | 1.7 |
| 3. | $3 \mathrm{HLAb}(114)$ | 2RHE ( 99) | 2.9 | 3.0 | 3.4 | 3.3 | 15.5 | 13.4 | 3.1 |
| 4. | 2AZAa(129) | 1PAZ (120) | 2.5 | 2.5 | 2.9 | 2.4 | 15.4 | 10.9 | 2.4 |
| 5. | 1CEWi(108) | 1MOLa( 94) | 2.3 | 2.3 | 2.3 | 2.2 | 14.9 | 9.2 | 2.1 |
| 6. | 1CID (177) | 2RHE (114) | 2.3 | 3.2 | 2.9 | 2.5 | 12.5 | 21.7 | 2.6 |
| 7. | 1CRL (534) | 1EDE (310) | 3.1 | 3.5 | 3.8 | 5.0 | 24.8 | 23.6 | 3.1 |
| 8. | 2SIM (390) | 1NSBa(381) | 2.9 | 3.3 | 3.0 | 2.9 | 21.5 | 17.0 | 3.0 |
| 9. | 1BGEb(159) | 2GMFa(121) | 3.3 | 3.3 | 3.9 | 3.3 | 17.5 | 9.9 | 3.1 |
| 10. | 1TIE (166) | 4FGF (124) | 2.4 | 3.1 | 2.9 | 2.7 | 16.3 | 13.5 | 2.7 |

TABLE 5: RMSD error (in Angstroms).

## 7 Conclusions

The contact geometry description of protein folds presented in this paper has a rich mathematical structure. We have used this mathematical structure to develop two new protein fold alignment algorithms, EIGAs and EIGA. Both are fast, but EIGAs is especially fast as it essentially runs at the speed of DP.
In a recent article, Hasegawa and Holm [13] claim that alignment methods that

| Difficult Alignments |  |  |  |  |  |
| ---: | :---: | :---: | ---: | ---: | ---: |
| 1. | 1FXIa (96) | delta | EIGAB ( 76) | 0.1 | 0.1 |
| 2. | 1TEN (195) | 3HHRb ( 89) | 0.3 | 0.2 | 2.7 |
| 3. | 3HLAb(114) | 2RHE (99) | 0.2 | 0.1 | 1.7 |
| 4. | 2AZAa(129) | 1PAZ (120) | 0.2 | 0.1 | 3.7 |
| 5. | 1CEWi(108) | 1MOLa( 94) | 0.2 | 0.1 | 1.4 |
| 6. | 1CID (177) | 2RHE (114) | 0.3 | 0.2 | 3.4 |
| 7. | 1CRL (534) | 1EDE (310) | 3.4 | 2.5 | 29.1 |
| 8. | 2SIM (390) | 1NSBa(381) | 2.6 | 1.8 | 24.3 |
| 9. | 1BGEb(159) | 2GMFa(121) | 0.3 | 0.2 | 2.8 |
| 10. | 1TIE (166) | 4FGF (124) | 0.3 | 0.2 | 6.8 |

TABLE 6: CPU time (in seconds).


Fig. 7: 1FX1a vs 1UBQ, protein-protein, 3D contact matrices after superposition using (a) spectrum alignment (b) refinement using contact alignment (3D version) and (c) final 3D alignment.
allow for flexibility generate the most biologically meaningful alignments. Instead of directly aligning the residues of a fold, the spectral alignment methods described in this paper first align the eigenspaces of the folds. Structural deformations observed in actual protein folds result in shifts in these eigenspaces. Since residues are referenced to their
eigenspaces, the final alignment naturally compensates for such structural deformations.
We are currently investigating a spectral approach to multiple structure alignment as well as refining the mathematical description of the 3D version of our contact alignment algorithm. We are also working on a detailed mathematical analysis of the alignment error of protein alignments.

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Appendix A

|  |  | SAMO | Dali | CE | Lund | delta | EIGAs | EIGA |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Reductases |  |  |  |  |  |  |  |  |
| 1DHFa(186) | 8DFR (182) | 182 | 182 | 182 | 182 | 175 | 177 | 182 |
| 1DHFa(182) | $4 \mathrm{DFRa}(159)$ | 153 | 154 | 154 | 156 | 122 | 156 | 155 |
| 1DHFa(182) | 3DFR (162) | 159 | 158 | 158 | 159 | 137 | 158 | 159 |
| 8DFR (186) | $4 \mathrm{DFRa}(159)$ | 157 | 155 | 155 | 157 | 126 | 157 | 156 |
| 8DFR (186) | 3DFR (162) | 159 | 159 | 158 | 160 | 129 | 160 | 160 |
| 4DFRa(162) | 3DFR (159) | 156 | 154 | 155 | 155 | 129 | 153 | 155 |
| Globins |  |  |  |  |  |  |  |  |
| $2 \mathrm{HHBa}(146)$ | $2 \mathrm{HHBb}(141)$ | 139 | 138 | 139 | 139 | 102 | 136 | 139 |
| $2 \mathrm{HHBa}(153)$ | 1MBD (141) | 141 | 139 | 141 | 141 | 113 | 138 | 141 |
| $2 \mathrm{HHBa}(147)$ | 2HBG (141) | 140 | 138 | 136 | 138 | 107 | 132 | 138 |
| $2 \mathrm{HHBa}(141)$ | 1ECD (136) | 131 | 129 | 128 | 130 | 128 | 131 | 130 |
| 1MBD (153) | 2HBG (147) | 141 | 140 | 140 | 140 | 85 | 141 | 139 |
| $2 \mathrm{HHBb}(153)$ | 1MBD (146) | 145 | 145 | 144 | 145 | 130 | 142 | 145 |
| $2 \mathrm{HHBb}(147)$ | 2HBG (146) | 137 | 135 | 134 | 136 | 95 | 134 | 136 |
| $2 \mathrm{HHBb}(146)$ | 1MBA (146) | 140 | 138 | 139 | 140 | 110 | 137 | 141 |
| 2LHB (153) | 1MBD (149) | 137 | 135 | 137 | 137 | 111 | 135 | 137 |
| 2LHB (149) | 2HBG (147) | 133 | 128 | 130 | 132 | 106 | 132 | 131 |
| 1MBD (153) | 1MBA (146) | 143 | 142 | 141 | 143 | 100 | 138 | 143 |
| 1MBA (146) | 1ECD (136) | 136 | 133 | 134 | 136 | 93 | 131 | 136 |
| 2HBG (147) | 1ECD (136) | 129 | 129 | 125 | 129 | 120 | 129 | 129 |
| Different Folds |  |  |  |  |  |  |  |  |
| 1NSBa(390) | 1TIE (166) | 156 | - | 88 | - | 140 | 166 | 81 |
| 1NSBa(390) | 4FGF (124) | 118 | - | 72 | - | 110 | 124 | 76 |
| 1FXIa(108) | 1CEWi( 96) | 56 | - | 56 | - | 75 | 90 | 42 |
| 1FXIa( 96) | 1MOLa( 94) | 70 | - | 48 | - | 66 | 84 | 48 |
| Different Classes |  |  |  |  |  |  |  |  |
| 1BGEb(159) | 1TEN ( 89) | 82 | - | 40 | - | 66 | 86 | 44 |
| 1BGEb(159) | 1PAZ (120) | 103 | - | 48 | - | 105 | 113 | 50 |
| 2GMFa(121) | 1TEN ( 89) | 68 | - | 40 | - | 72 | 87 | 46 |

TABLE 7: Number of aligned residues.

|  |  | SAMO | Dali | CE | Lund | delta | EIGAs | EIGA |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Reductases |  |  |  |  |  |  |  |  |
| 1DHFa(186) | 8DFR (182) | 0.7 | 0.7 | 0.7 | 0.7 | 1.4 | 2.3 | 0.7 |
| 1DHFa(182) | 4DFRa(159) | 1.8 | 2.0 | 2.0 | 2.0 | 10.5 | 3.8 | 1.9 |
| 1DHFa(182) | 3DFR (162) | 1.6 | 1.7 | 1.7 | 1.7 | 6.8 | 5.0 | 1.7 |
| 8DFR (186) | 4DFRa(159) | 1.9 | 2.0 | 2.0 | 2.0 | 8.4 | 6.1 | 1.9 |
| 8DFR (186) | 3DFR (162) | 1.6 | 1.8 | 1.8 | 1.8 | 9.6 | 4.3 | 1.8 |
| 4DFRa(162) | 3DFR (159) | 1.5 | 1.5 | 1.5 | 1.5 | 5.8 | 3.5 | 1.5 |
| Globins |  |  |  |  |  |  |  |  |
| $2 \mathrm{HHBa}(146)$ | $2 \mathrm{HHBb}(141)$ | 1.4 | 1.4 | 1.5 | 1.4 | 15.5 | 4.6 | 1.4 |
| $2 \mathrm{HHBa}(153)$ | 1MBD (141) | 1.5 | 1.5 | 1.6 | 1.5 | 13.7 | 3.5 | 1.5 |
| $2 \mathrm{HHBa}(147)$ | 2HBG (141) | 1.6 | 1.7 | 1.7 | 1.6 | 12.8 | 4.2 | 1.6 |
| $2 \mathrm{HHBa}(141)$ | 1ECD (136) | 2.2 | 2.3 | 2.6 | 2.3 | 8.7 | 5.8 | 2.3 |
| 1MBD (153) | 2HBG (147) | 2.0 | 2.2 | 2.1 | 2.0 | 18.0 | 4.3 | 1.9 |
| $2 \mathrm{HHBb}(153)$ | 1MBD (146) | 1.6 | 1.6 | 1.6 | 1.6 | 5.3 | 3.0 | 1.6 |
| $2 \mathrm{HHBb}(147)$ | 2HBG (146) | 1.7 | 2.0 | 1.9 | 1.7 | 18.1 | 4.9 | 1.7 |
| $2 \mathrm{HHBb}(146)$ | 1MBA (146) | 2.2 | 2.3 | 2.4 | 2.4 | 7.9 | 5.0 | 2.4 |
| 2LHB (153) | 1MBD (149) | 1.4 | 1.4 | 1.6 | 1.5 | 14.5 | 4.7 | 1.5 |
| 2LHB (149) | 2HBG (147) | 1.9 | 2.0 | 2.1 | 2.0 | 15.2 | 5.8 | 1.9 |
| 1MBD (153) | 1MBA (146) | 1.9 | 1.9 | 1.8 | 1.9 | 14.2 | 4.5 | 1.9 |
| 1MBA (146) | 1ECD (136) | 1.9 | 1.9 | 2.0 | 2.0 | 12.6 | 4.4 | 1.9 |
| 2HBG (147) | 1ECD (136) | 2.4 | 2.6 | 2.6 | 2.5 | 7.8 | 7.1 | 2.5 |
| Different Folds |  |  |  |  |  |  |  |  |
| 1NSBa(390) | 1TIE (166) | 3.1 | - | 6.4 | - | 20.9 | 20.8 | 4.2 |
| 1NSBa(390) | 4FGF (124) | 3.0 | - | 5.8 | - | 15.1 | 18.2 | 4.5 |
| 1FXIa(108) | 1CEWi( 96) | 2.9 | - | 7.2 | - | 15.2 | 16.0 | 3.7 |
| 1FXIa( 96) | 1MOLa( 94) | 2.9 | - | 5.8 | - | 14.2 | 13.7 | 3.7 |
| Different Classes |  |  |  |  |  |  |  |  |
| 1BGEb(159) | 1TEN ( 89) | 2.8 | - | 7.4 | - | 15.7 | 16.0 | 3.9 |
| 1BGEb(159) | 1PAZ (120) | 3.2 | - | 6.2 | - | 16.6 | 18.5 | 4.1 |
| 2GMFa(121) | 1TEN ( 89) | 3.0 | - | 4.8 | - | 11.9 | 15.4 | 3.7 |

TABLE 8: RMSD error in Angstroms.

|  |  | delta | EIGAs | EIGA |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :---: | :---: | :---: | :---: |
| Reductases |  |  |  |  |  |  |  |  |
| 1DHFa(186) | 8DFR (182) | 0.6 | 0.4 | 2.5 |  |  |  |  |
| $1 \mathrm{DHFa}(182)$ | 4DFRa(159) | 0.4 | 0.3 | 3.0 |  |  |  |  |
| $1 \mathrm{DHFa}(182)$ | 3DFR (162) | 0.4 | 0.3 | 2.4 |  |  |  |  |
| 8DFR (186) | 4DFRa(159) | 0.4 | 0.3 | 3.6 |  |  |  |  |
| 8DFR (186) | 3DFR (162) | 0.4 | 0.3 | 3.1 |  |  |  |  |
| $4 \mathrm{DFRa}(162)$ | 3DFR (159) | 0.4 | 0.2 | 2.1 |  |  |  |  |


| Globins |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 2HHBa(146) | 2HHBb (141) | 0.3 | 0.2 | 2.1 |
| 2HHBa(153) | 1MBD (141) | 0.3 | 0.2 | 1.8 |
| 2HHBa(147) | 2HBG (141) | 0.3 | 0.2 | 1.9 |
| 2HHBa(141) | 1ECD (136) | 0.3 | 0.2 | 1.8 |
| 1MBD (153) | 2HBG (147) | 0.3 | 0.2 | 2.5 |
| 2HHBb(153) | 1MBD (146) | 0.3 | 0.2 | 2.1 |
| 2HHBb(147) | 2HBG (146) | 0.3 | 0.2 | 6.9 |
| 2HHBb(146) | 1MBA (146) | 0.3 | 0.2 | 1.7 |
| 2LHB (153) | 1MBD (149) | 0.3 | 0.2 | 7.2 |
| 2LHB (149) | 2HBG (147) | 0.3 | 0.2 | 2.8 |
| 1MBD (153) | 1MBA (146) | 0.3 | 0.2 | 2.3 |
| 1MBA (146) | 1ECD (136) | 0.3 | 0.2 | 2.0 |
| 2HBG (147) | 1ECD (136) | 0.3 | 0.2 | 2.0 |


| Different Folds |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :---: |
| 1NSBa(390) | 1TIE (166) | 1.3 | 0.9 | 32.1 |  |
| 1NSBa(390) | 4FGF (124) | 1.1 | 0.8 | 19.6 |  |
| 1FXIa(108) | 1CEWi( 96) | 0.2 | 0.1 | 3.8 |  |
| 1FXIa( 96) | 1MOLa( 94) | 0.1 | 0.1 | 1.9 |  |
| Different Classes |  |  |  |  |  |
| $1 \operatorname{BGEb}(159)$ | 1TEN ( 89) | 0.2 | 0.2 | 2.8 |  |
| $1 \operatorname{BGEb}(159)$ | 1PAZ (120) | 0.3 | 0.2 | 6.5 |  |
| 2GMFa(121) | 1TEN ( 89) | 0.2 | 0.1 | 3.0 |  |

TABLE 9: CPU time (in seconds).

