A Mathematical Justification for the Herman-Kluk Propagator

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Abstract: A class of Fourier Integral Operators which converge to the unitary group of the Schrödinger equation in the semiclassical limit $\varepsilon \to 0$ in the uniform operator norm is constructed. The convergence allows for an error bound of order $O(\varepsilon)$, which can be improved to arbitrary order in ε upon the introduction of corrections in the symbol. On the Ehrenfest-timescale, the result holds with a slightly weaker error bound. In the chemical literature the approximation is known as the Herman-Kluk propagator.

1. Introduction

We study approximate solutions of the semiclassical time-dependent Schrödinger equation

$$i\varepsilon \frac{d}{dt}\psi^\varepsilon(t) = -\frac{\varepsilon^2}{2}\Delta\psi^\varepsilon(t) + V(x)\psi^\varepsilon(t), \qquad \psi^\varepsilon(0) = \psi_0^\varepsilon \in L^2(\mathbb{R}^d, \mathbb{C}) \qquad (1)$$

in the semiclassical limit $\varepsilon \to 0$. The operator $H^\varepsilon := -\frac{\varepsilon^2}{2}\Delta + V(x)$ on the right-hand side of (1) is the so-called Hamiltonian, a self-adjoint operator on $L^2(\mathbb{R}^d, \mathbb{C})$. It is well-known that the solution of (1) can be written as

$$\psi^\varepsilon(t) = e^{-\frac{i}{\varepsilon}H^\varepsilon t}\psi_0^\varepsilon,$$

where the group of unitary operators $e^{-\frac{i}{\varepsilon}H^\varepsilon t}$ is defined by the spectral theorem.

The semiclassical parameter ε may be thought of as the quantum of action $\hbar$, but there are also situations, where ε has a different meaning. One example is provided by Born-Oppenheimer molecular dynamics, where Eq. (1) describes the semiclassical motion of the nuclei of a molecule in the case of well-separated electronic energy surfaces and ε is the square root of the ratio of the electronic mass and the average nuclear mass. In this case, the ε in front of the time-derivative in (1) is due to a rescaling of time $\widetilde{t} = t/\varepsilon$. This

particular choice, the so-called "distinguished limit" (see [Co68]) leads to a non-trivial movement of the nuclei on timescales of order $O(1)$.

To formulate our main result, we introduce the following class of Fourier Integral Operators (FIOs):

$$\mathcal{I}^{\varepsilon}(\kappa^t; u)\varphi(x) := \frac{1}{(2\pi\varepsilon)^{3d/2}} \int_{\mathbb{R}^{3d}} e^{\frac{i}{\varepsilon}\Phi^{\kappa^t}(x,y,q,p)} u(x, y, q, p)\varphi(y) \, dq \, dp \, dy, \quad (2)$$

where

- $\kappa^t(q, p) = \left(X^{\kappa^t}(q, p), \Xi^{\kappa^t}(q, p)\right)$ is a C^1-family of *canonical transformations* of the classical phase space $T^*\mathbb{R}^d = \mathbb{R}^d \times \mathbb{R}^d$,
- $S^{\kappa^t}(q, p)$ is the associated *classical action*

$$S^{\kappa^t}(q, p) = \int\limits_0^t \left[\frac{d}{dt} X^{\kappa^\tau}(q, p) \cdot \Xi^{\kappa^\tau}(q, p) - (h \circ \kappa^\tau)(q, p) \right] d\tau,$$

- the complex-valued *phase function* is given by

$$\Phi^{\kappa^t}(x, y, q, p) = S^{\kappa^t}(q, p) + \Xi^{\kappa^t}(q, p) \cdot \left(x - X^{\kappa^t}(q, p)\right) - p \cdot (y - q)$$
$$+ \frac{i}{2}\left|x - X^{\kappa^t}(q, p)\right|^2 + \frac{i}{2}|y - q|^2, \quad (3)$$

- and the *symbol u* is a smooth complex-valued function which is bounded with all its derivatives.

For this class of operators, the authors previously established an L^2-boundedness result, see [RoSw07]. The central result of this paper reads

Theorem. *Let $e^{-\frac{i}{\varepsilon}H^\varepsilon t}$ be the propagator defined by the time-dependent Schrödinger equation* (1) *on the time-interval $[-T, T]$ with subquadratic potential $V \in C^\infty(\mathbb{R}^d, \mathbb{R})$, i.e. $\sup_{x\in\mathbb{R}^d} |\partial_x^\alpha V(x)| < \infty$ for all $\alpha \in \mathbb{N}^d$ with $|\alpha| \geq 2$. Then*

$$\sup_{t\in[-T,T]} \left\| e^{-\frac{i}{\varepsilon}H^\varepsilon t} - \mathcal{I}^\varepsilon\left(\kappa^t; u\right) \right\|_{L^2 \to L^2} \leq C(T)\varepsilon,$$

where $\kappa^t = (X^{\kappa^t}, \Xi^{\kappa^t})$ and u are uniquely given as

- *the flow associated to the classical Hamiltonian $h(x, \xi) = \frac{1}{2}|\xi|^2 + V(x)$:*

$$\frac{d}{dt} X^{\kappa^t}(q, p) = \Xi^{\kappa^t}(q, p) \qquad\qquad X^{\kappa^0}(q, p) = q,$$
$$\frac{d}{dt} \Xi^{\kappa^t}(q, p) = -\nabla V\left(X^{\kappa^t}(q, p)\right) \quad \Xi^{\kappa^0}(q, p) = p,$$

and
- *the solution of the Cauchy-problem*

$$\frac{d}{dt} u(t, q, p) = \frac{1}{2} u(t, q, p) \mathrm{tr}\left(\mathcal{Z}^{-1}\left(F^{\kappa^t}(q, p)\right) \frac{d}{dt} \mathcal{Z}\left(F^{\kappa^t}(q, p)\right) \right),$$
$$u(0, q, p) = 2^{d/2}.$$

The $\mathbb{C}^{d \times d}$-valued function

$$\mathcal{Z}\left(F^{\kappa^t}(q, p)\right) = (i \,\text{id} \quad \text{id}) F^{\kappa^t}(q, p)^\dagger \begin{pmatrix} -i \,\text{id} \\ \text{id} \end{pmatrix}$$

$$= X_q^{\kappa^t}(q, p) - i X_p^{\kappa^t}(q, p) + i \Xi_q^{\kappa^t}(q, p) + \Xi_p^{\kappa^t}(q, p),$$

depends on elements of the transposed Jacobian

$$F^{\kappa^t}(q, p)^\dagger = \begin{pmatrix} X_q^{\kappa^t}(q, p) & \Xi_q^{\kappa^t}(q, p) \\ X_p^{\kappa^t}(q, p) & \Xi_p^{\kappa^t}(q, p) \end{pmatrix}$$

of κ^t with respect to (q, p).

The equation for u is easily solved. Its solution is the so-called Herman-Kluk prefactor

$$u(t, q, p) = \left(\det \mathcal{Z}\left(F^{\kappa^t}(q, p)\right)\right)^{\frac{1}{2}},$$

where the branch of the square root is chosen by continuity in time starting from $t = 0$. We presented a simplified version of our main result. Theorem 2 will essentially add three central aspects. First, we will state it for more general Hamilton operators, namely certain Weyl-quantised pseudodifferential operators. Second, the error estimate can be improved to ε^N, where N is arbitrary large by adding a correction of the form $\sum_{n=1}^{N-1} \varepsilon^n u_n$ to u. As u, the u_n are solutions of explicitly solvable Cauchy-problems. Third, for the Ehrenfest-timescale $T(\varepsilon) = C_T \log(\varepsilon^{-1})$ the result still holds with a slightly weaker bound.

Whereas there is an abundant number of works on Fourier Integral Operators in the mathematical literature, only a few of them provide explicit global expressions, which can serve as a starting point for computational methods. The first works which apply FIOs with real-valued phase function to this problem are [KiKu81] and [Ki82]. In this case one has to deal with the boundary value problem

$$\text{Given } x, y \in \mathbb{R}^d, \quad \text{find } p \text{ such that } X^{\kappa^t}(y, p) = x.$$

To get uniqueness for its solution one has either to restrict to short times t or to impose very strong restrictions on the potential. The same problems are met in [Fu79], where Fujiwara applies a related class of operators without integral in the oscillatory kernel to the Schrödinger equation to justify the time-slicing approach for Feynman's path integrals.

One way to avoid the caustic problem is provided by the Hörmander-Maslov theory. Here the global FIO is represented as a sum over local oscillatory integral operators with compactly supported symbols. Moreover, in each local term, an individual basis in phase-space is chosen to avoid the caustic problem.

The major advantage of complex-valued phase functions is that they provide one global oscillatory integral representation for the operator. In the non-semiclassical setting, Tataru shows in [Ta04] that the unitary group of time evolution is an FIO with complex-valued phase function (different from (3)). He also establishes that the simpler choice $u(t, q, p) = 2^{d/2}$ leads to a parametrix for the non-semiclassical Schrödinger equation. Similar results are shown in [Bo03].

A class of operators related to (2) is used in the works [LaSi00] and [Bu02] for the construction of approximate solutions of the semiclassical time-dependent Schrödinger

equation. In their case, the kernel consists of an integral over the momentum space in contrast to the phase-space integral in our expression

$$\left(\widetilde{\mathcal{I}}^\varepsilon(\kappa^t;\widetilde{u})\psi\right)(x) = \frac{1}{(2\pi\varepsilon)^d}\int_{T^*\mathbb{R}^d}e^{\frac{i}{\varepsilon}\Phi^{\kappa^t}(x,y,y,p)}\widetilde{u}(t,y,p)\psi(y)\,dp\,dy.$$

Moreover, these works only allow compactly supported symbols, which enforces the truncation of the Hamiltonian in momentum. Finally there is the work of Bily and Robert [BiRo01], which treats the so-called Thawed Gaussian Approximation discussed below.

Results on FIOs on the Ehrenfest-timescale do not seem to be present in the literature so far. However, in [HaJo00] and [CoRo97], the time-evolution of coherent states is studied on the Ehrenfest timescale. Moreover [BiRo03] discusses the time-evolution of expectation values with respect to certain localised states and [BaGrPa99] and [BoRo02] investigate the propagation of observables with error bounds in operator norm.

In addition to the mathematical literature connecting the time-dependent Schrödinger equation and Fourier Integral Operators, there is an abundant number of papers in chemical journals on this topic. Nevertheless, the focus is mainly put on three approximations: the "Thawed Gaussian Approximation" (TGA), the "Frozen Gaussian Approximation" (FGA) and the Herman-Kluk expression. Confusingly, in the chemical literature both TGA and FGA do not only refer to specific algorithms but they are also used to describe whole classes of approximations. For example, the Herman-Kluk approximation is sometimes considered as an FGA, whereas the TGA refers both to the time-evolution of a coherent state and a Fourier Integral Operator. We give a short formal discussion of the most important methods in the rest of this introduction hinting at related rigorous results.

The starting point is the following identity, which holds for $\psi \in L^2(\mathbb{R}^d, \mathbb{C})$:

$$\psi(x) = \frac{1}{(2\pi\varepsilon)^d}\int_{T^*\mathbb{R}^d}g^\varepsilon_{(q,p)}(x)\langle g^\varepsilon_{(q,p)}, \psi\rangle\,dq\,dp, \tag{4}$$

where

$$g^\varepsilon_{(q,p)}(x) = \frac{1}{(\pi\varepsilon)^{d/4}}e^{-|x-q|^2/2\varepsilon}e^{ip\cdot(x-q)/\varepsilon} \tag{5}$$

denotes the coherent state centered at (q, p) in phase space $T^*\mathbb{R}^d$. Within the chemical community, Eq. (4) is heuristically explained as an "expansion in an overcomplete set of Gaussians", but the equality can be made rigorous with the help of the FBI-transform, consider [Ma02]. Applying the unitary group of (1) to expression (4), one gets the formal equality

$$\left(e^{-\frac{i}{\varepsilon}op^\varepsilon(h)t}\psi^\varepsilon_0\right)(x) = \frac{1}{(2\pi\varepsilon)^d}\int_{T^*\mathbb{R}^d}\left(e^{-\frac{i}{\varepsilon}op^\varepsilon(h)t}g^\varepsilon_{(q,p)}\right)(x)\langle g^\varepsilon_{(q,p)}, \psi^\varepsilon_0\rangle\,dq\,dp. \tag{6}$$

Hence, one expects an approximation to the solution of (1) if the following approximate expression for the time-evolution of coherent states is used in (6),

$$\left(e^{-\frac{i}{\varepsilon}op^\varepsilon(h)t}g^\varepsilon_{(q,p)}\right)(x) \approx \frac{1}{(\pi\varepsilon)^{d/4}}\left[\det\left(X^{\kappa^t}_q(q,p)+iX^{\kappa^t}_p(q,p)\right)\right]^{-\frac{1}{2}} \tag{7}$$

$$\times e^{\frac{i}{\varepsilon}S^{\kappa^t}(q,p)}\,e^{-(x-X^{\kappa^t}(q,p))\cdot\Theta^{\kappa^t}(q,p)(x-X^{\kappa^t}(q,p))/2\varepsilon}\,e^{i\Xi^{\kappa^t}(q,p)\cdot(x-X^{\kappa^t}(q,p))/\varepsilon}$$

with

$$\Theta^{\kappa^t}(q, p) = -i \left(\Xi_q^{\kappa^t}(q, p) + i \Xi_p^{\kappa^t}(q, p) \right) \left(X_q^{\kappa^t}(q, p) + i X_p^{\kappa^t}(q, p) \right)^{-1}.$$

In the chemical literature (7) was first derived in [He75]. For rigorous mathematical results consider [Ha85, Ha98 or CoRo97]. As the coherent state changes its width, expression (7) and the resulting operator were baptised "Thawed Gaussian Approximation".

However, it turns out numerically (see e.g. the computations in [HaRoGr04]) that more accurate approximations are obtained if one drops the time-dependent spreading and uses expressions like (2). In the simplest case, the symbol $u \equiv 1$ is held constant in t, q and p. This approximation is known as the "Frozen Gaussian Approximation" and holds only for times of order $O(\varepsilon)$, see the remark after Theorem 2. To get to the longer times of order $O(1)$, the more sophisticated choice of $u(t, q, p)$ as the Herman-Kluk prefactor is needed, see [HeKl84] for the original work and [Ka94] and [Ka06] for works, which are methodically related to our presentation. Moreover, the latter of them presents the first derivation of the higher order corrections.

Organisation of the paper and notation. The paper is organised in the following way. Sect. 2 will set the stage for the discussion of our approximation. Here we will recall central definitions and results on Fourier Integral Operators, first and foremost their definition and well-definedness on the functions of Schwartz class as well as their bound as operators acting on $L^2(\mathbb{R}^d, \mathbb{C})$, see Definition 6 and Theorem 1. Most of the results of this section can be found in [RoSw07] and we refer the reader to that paper for a more detailed discussion and motivation of them. In Sect. 3 we state results on the composition of Weyl-quantised pseudo-differential operators and Fourier Integral Operators, see Proposition 2 and investigate the time-derivative of a C^1-family of Fourier Integral Operators in Proposition 3. Moreover, we combine these results to the statement and proof of our main result, Theorem 2. Finally, Sect. 4 is devoted to the proofs of the central composition results.

We close this introduction by a short discussion of the notation. Throughout this paper, we will use standard multiindex notation. Vectors will always be considered as column vectors. The inner product of two vectors $a, b \in \mathbb{R}^d$ will be denoted as $a \cdot b = \sum_{j=1}^d a_j b_j$ and extended to vectors $a, b \in \mathbb{C}^d$ by the same formula. The transpose of a matrix A will be $A^\dagger$, whereas $A^* := \overline{A}^\dagger$ denotes its adjoint and finally e_j will stand for the jth canonical basis vector of $\mathbb{R}^d$ or $\mathbb{C}^d$.

For a differentiable mapping $F \in C^1(\mathbb{R}^d, \mathbb{C}^d)$, we will use both $(\partial_x F)(x)$ and $F_x(x)$ for the transpose of its Jacobian at x, i.e. $((\partial_x F)(x))_{jk} = (F_x(x))_{jk} = (\partial_{x_j} F_k)(x)$. This leads to the identity $\partial_x (F \cdot G) = G_x F + F_x G$ for $F, G \in C^1(\mathbb{R}^d, \mathbb{C}^d)$. The Hessian matrix of a mapping $F \in C^2(\mathbb{R}^d, \mathbb{C})$ will be denoted by $\mathrm{Hess}_x F(x)$.

For the sake of better readability of the formulae, we will be somewhat sloppy with respect to the distinction between functions and their values. As a crucial example, we will write $(x - X^\kappa(q, p))v$ for the function $(x, y, q, p) \mapsto (x - X^\kappa(q, p))v(x, y, q, p)$.

When dealing with canonical transformations, we introduce the following notations for a complex linear combination of the components:

$$Z^\kappa(q, p) := \left(\Theta^x \right)^{\frac{1}{2}} X^\kappa(q, p) + i \left(\Theta^x \right)^{-\frac{1}{2}} \Xi^\kappa(q, p),$$

$$\overline{Z}^\kappa(q, p) := \left(\Theta^x \right)^{\frac{1}{2}} X^\kappa(q, p) - i \left(\Theta^x \right)^{-\frac{1}{2}} \Xi^\kappa(q, p).$$

We want to point out that $\overline{Z}^\kappa(q, p)$ is not the complex conjugate of $Z^\kappa(q, p)$ for non-real matrices Θ^x. The matrix square root of a positive definite matrix will always be chosen as the unique positive definite square root, compare Appendix B. We want to point out that both the determinant of this matrix-square root and the square root of a determinant will appear in this paper.

We define $z := \Theta^y q + i p$, $\partial_z := (\Theta^y)^{-1} \partial_q - i \partial_p$ and

$$\mathrm{div}_z X(q, p) = \sum_{k=1}^{d} (\Theta^y)_{jk}^{-1} \partial_{q_k} X_j(q, p) - i \sum_{j=1}^{d} \partial_{p_j} X_j(q, p)$$

for functions $X \in C^1(\mathbb{R}^{2d}, \mathbb{C}^d)$, regardless whether they are row or column vectors. With these definitions the identity $\mathrm{div}_z X(q, p) = \mathrm{tr} X_z(q, p)$ still holds. Finally, we mention that the expression $\frac{d}{dt} X^{\kappa^{(t,s)}}(q, p) \cdot \Xi^{\kappa^{(t,s)}}(q, p)$ denotes the inner product of $\frac{d}{dt} X^{\kappa^{(t,s)}}(q, p)$ and $\Xi^{\kappa^{(t,s)}}(q, p)$.

2. Canonical Transformations and Fourier Integral Operators

In this section, we specialise the central definitions and results of [RoSw07] to the case of Hamiltonian flows.

2.1. Symbol classes and canonical transformations. The definition of our FIOs involves two fundamental objects. One of them is a smooth complex-valued function, the so-called symbol. The following definition deviates from [RoSw07] by the additional ε-dependence.

Definition 1 (Symbol class). *Let $\mathbf{m} = (m_j)_{1 \leq j \leq J} \in \mathbb{R}^J$ and $\mathbf{d} = (d_j)_{1 \leq j \leq J} \in \mathbb{N}^J$. We say that $u :]0, 1] \times \mathbb{R}^{|\mathbf{d}|} \to \mathbb{C}^N$ is a **symbol of class** $S[\mathbf{m}; \mathbf{d}]$, if there is $\varepsilon_0 < 1$, such that $u^\varepsilon \in C^\infty(\mathbb{R}^{|\mathbf{d}|}, \mathbb{C}^N)$ for all $\varepsilon \leq \varepsilon_0$ and the following quantities are finite for any $k \geq 0$:*

$$M_k^m[u] := \sup_{\varepsilon \leq \varepsilon_0} \max_{|\alpha|=k} \sup_{z \in \mathbb{R}^{|\mathbf{d}|}} \left| \prod_{j=1}^{J} \langle z_j \rangle^{-m_j} \partial_z^\alpha u^\varepsilon(z) \right|, \tag{8}$$

where $\langle z \rangle := \sqrt{1 + |z|^2}$. We extend this definition to any $m_j \in \overline{\mathbb{R}} := \{-\infty\} \cup \mathbb{R} \cup \{+\infty\}$ by setting, for instance with non-finite m_1,

$$S[(+\infty, m_2, \ldots, m_J); \mathbf{d}] = \bigcup_{m_1 \in \mathbb{R}} S[(m_1, \ldots, m_J); \mathbf{d}].$$

The second central object in the definition of a Fourier Integral Operator is a canonical transformation of the classical phase space.

Definition 2. *(Canonical transformation) Let $\kappa(q, p) = (X^\kappa(q, p), \Xi^\kappa(q, p))$ be a diffeomorphism of $T^*\mathbb{R}^d = \mathbb{R}^d \times \mathbb{R}^d$. We represent its differential by the following Jacobian matrix:*

$$F^\kappa(q, p) = \begin{pmatrix} X_q^\kappa(q, p)^\dagger & X_p^\kappa(q, p)^\dagger \\ \Xi_q^\kappa(q, p)^\dagger & \Xi_p^\kappa(q, p)^\dagger \end{pmatrix}. \tag{9}$$

κ is said to be a **canonical transformation** if $F^\kappa(q, p)$ is symplectic for any (q, p) in $T^*\mathbb{R}^d$, i.e.

$$F^\kappa(q, p) \in \mathrm{Sp}(2d) := \left\{ S \in \mathrm{Gl}(2d) \,\middle|\, S^\dagger J S = J \right\} \quad \text{with} \quad J := \begin{pmatrix} 0 & \mathrm{id} \\ -\mathrm{id} & 0 \end{pmatrix}.$$

To get good properties for our operators, we need to restrict the class of canonical transformations under consideration.

Definition 3. (Canonical transformation of class $\mathcal{B}$) *A canonical transformation κ of $T^*\mathbb{R}^d$ is said to be **of class** $\mathcal{B}$ if $F^\kappa \in S[0; 2d]$. A time-dependent family of canonical transformations κ^t will be called **of class** $\mathcal{B}$ in $[-T, T]$ if it is pointwise continuously differentiable with respect to time and we have for all $k \geq 0$,*

$$\sup_{t \in [-T, T]} M_k^0 \left[F^{\kappa^t} \right] < \infty \quad \text{and} \quad \sup_{t \in [-T, T]} M_k^0 \left[\frac{d}{dt} F^{\kappa^t} \right] < \infty.$$

In particular F^{κ^t} and $\frac{d}{dt} F^{\kappa^t}$ are of class $S[0; 2d]$ pointwise for $t \in [-T, T]$.

We also have to restrict the Hamiltonians we use.

Definition 4. *A time-dependent Hamiltonian $h \in C(\mathbb{R}, C^\infty(\mathbb{R}^{2d}, \mathbb{C}))$ is called **subquadratic**, if*

$$\sup_{-T \leq t \leq T} \sup_{(x, \xi) \in \mathbb{R}^d \times \mathbb{R}^d} \|\partial_{(x, \xi)}^\alpha h(t, x, \xi)\|_{L^\infty} \tag{10}$$

*is finite for all $|\alpha| \geq 2$ and $T > 0$. It is called **sublinear**, if the quantity is finite for all $|\alpha| \geq 1$.*

The next result will investigate the relation between classical Hamiltonians and the flows they generate.

Proposition 1. *If $h \in C(\mathbb{R}, C^\infty(\mathbb{R}^{2d}, \mathbb{C}))$ is a time-dependent subquadratic Hamiltonian, the Hamiltonian flow $\kappa^{(t,s)}$ generated by h,*

$$\frac{d}{dt} \kappa^{(t,s)} = J \nabla_{(x, \xi)} h(t, \kappa^{(t,s)}), \quad \kappa^{(s,s)} = \mathrm{id} \tag{11}$$

is a family of canonical transformations of class $\mathcal{B}$ in $[-T, T]$. Moreover, every Hamiltonian flow of class $\mathcal{B}$ is generated by a subquadratic Hamiltonian. Under the additional assumption

$$K_k^h = \max_{|\alpha| = k} \sup_{(t, x, \xi) \in \mathbb{R}^{2d+1}} \left\| \partial_{(x, \xi)}^\alpha \mathrm{Hess}_{(x, \xi)} h(t, x, \xi) \right\| < \infty$$

for all $k \leq n_0$, we have

$$\sup_{|t - s| < T(\varepsilon)} M_k^0 \left[F^{\kappa^{(t,s)}} \right] \leq C_k (2C_T)^k |\log \varepsilon|^k \, \varepsilon^{-2K[h]C_T},$$

for all $k \leq n_0$ on the Ehrenfest timescale $T(\varepsilon) = C_T \log \varepsilon^{-1}$, where

$$K[h](T) = \sup_{t \in]-T, T[} \sup_{(q, p) \in \mathbb{R}^{2d}} \sup_{\substack{X \in \mathbb{R}^{2d} \\ |X| = 1}} \left| \langle J \mathrm{Hess}_{(x, \xi)} h(t, x, \xi) X, X \rangle \right|$$

and $K[h] := K[h](+\infty)$.

Proof. The basic identity follows by differentiating (11) with respect to (q, p):

$$\frac{d}{dt} F^{\kappa^{(t,s)}}(q, p) = J \operatorname{Hess}_{(x,\xi)} h(t, \kappa^{(t,s)}(q, p)) F^{\kappa^{(t,s)}}(q, p). \tag{12}$$

The fundamental theorem of calculus gives

$$\left| F^{\kappa^{(t,s)}} X \right|^2$$
$$= 2 \int_s^t \left\langle J \operatorname{Hess}_{(x,\xi)} h\left(\tau, \kappa^{(\tau,s)}(q, p)\right) F^{\kappa^{(\tau,s)}}(q, p) X \middle| F^{\kappa^{(\tau,s)}}(q, p) X \right\rangle d\tau + |X|^2$$
$$\leq 2 K[h](T) \left| \int_s^t \left| F^{\kappa^{(\tau,s)}}(q, p) X \right|^2 d\tau \right| + |X|^2$$

for all $X \in \mathbb{R}^{2d}$. We deduce

$$\left\| F^{\kappa^{(t,s)}}(q, p) \right\| \leq e^{K[h](T)|t-s|}$$

by an application of Gronwall's Lemma. For the derivatives we have

$$\frac{d}{dt} \partial_{(q,p)}^\alpha F^{\kappa^{(t,s)}}(q, p) = J \operatorname{Hess}_{(x,\xi)} h(\tau, \kappa^{(t,s)}(q, p)) \partial_{(q,p)}^\alpha F^{\kappa^{(t,s)}}(q, p)$$
$$+ \sum_{\beta < \alpha} \binom{\alpha}{\beta} J \partial_{(q,p)}^{\alpha-\beta} \left[\operatorname{Hess}_{(x,\xi)} h(\tau, \kappa^{(t,s)}(q, p)) \right] \partial_{(q,p)}^\beta F^{\kappa^{(t,s)}}(q, p),$$

and hence

$$\partial_{(q,p)}^\alpha F^{\kappa^{(t,s)}}(q, p)$$
$$= \int_s^t F^{\kappa^{(t,\tau)}}(q, p) \sum_{\beta < \alpha} \binom{\alpha}{\beta} \partial_{(q,p)}^{\alpha-\beta} \left[\operatorname{Hess}_{(x,\xi)} h(\tau, \kappa^{(\tau,s)}(q, p)) \right] \partial_{(q,p)}^\beta F^{\kappa^{(\tau,s)}}(q, p) \, d\tau,$$
$$\tag{13}$$

so we obtain inductively

$$\left\| \partial_{(q,p)}^\alpha F^{\kappa^{(t,s)}}(q, p) \right\| \leq C_k (2T)^k e^{K[h](T)|t-s|},$$

where C_k depends on K_l^h for $l \leq k$. The result for the Ehrenfest timescale follows by substituting $T(\varepsilon) = C_T \log(\varepsilon^{-1})$ into this expression.

Now consider a Hamiltonian flow of class $\mathcal{B}$. The identity (12) gives

$$J \left(\frac{d}{dt} F^{\kappa^{(t,s)}}(q, p) \right) J \left(F^{\kappa^{(t,s)}}(q, p) \right)^\dagger J = \operatorname{Hess}_{(q,p)} h(t, \kappa^{(t,s)}(q, p)).$$

Hence, h is subquadratic, as $\frac{d}{dt} F^{\kappa^{(t,s)}}$ is of class $S[0; 2d]$ by definition. $\qquad \square$

Remark 1. 1. It is well-known that linear Hamiltonian flows are generated by quadratic Hamiltonians and vice-versa, compare Chapter 4 in Folland.

2. By estimating the logarithm, we can have a bound of the form

$$\sup_{|t-s|<T(\varepsilon)} M_k^0 \left[F^{\kappa^{(t,s)}} \right] \le C_k'(C_T)\varepsilon^{-\rho(C_T)}, \tag{14}$$

for the Ehrenfest timescale, where $\rho(C_T) < \rho_0$ for any $\rho_0 > 0$, if C_T is chosen small enough.

3. From now on, all considered canonical transformations are assumed to be of class $\mathcal{B}$.

An important quantity associated with a canonical transformation is the so-called action.

Definition 5. (Action) *Let* $\kappa(q, p) = (X^\kappa(q, p), \Xi^\kappa(q, p))$ *be a canonical transformation of* $T^*\mathbb{R}^d$. *A real-valued function* S^κ *is called an* **action associated to** κ *if it fulfills*

$$S_q^\kappa(q, p) = -p + X_q^\kappa(q, p)\Xi^\kappa(q, p), \quad S_p^\kappa(q, p) = X_p^\kappa(q, p)\Xi^\kappa(q, p). \tag{15}$$

Remark 2. 1. An action associated to a canonical transformation is only defined up to an additive constant. If we consider a time-dependent family of canonical transformations κ^t, we will choose this time-dependent constant such that $S^{\kappa^t}(q, p)$ is C^1 with respect to time.

2. If $\kappa^{(t,s)}$ is induced by a Hamiltonian $h(t, x, \xi)$, the action of classical mechanics

$$S_{cl}^{\kappa^{(t,s)}}(q, p) = \int_s^t \left(\frac{d}{dt} X^{\kappa^\tau}(q, p) \cdot \Xi^{\kappa^\tau}(q, p) - h\left(\tau, \kappa^{(\tau,s)}(q, p)\right) \right) d\tau$$

is an action in the sense of this definition. In this case, we use the convention $S^{\kappa^{(s,s)}}(q, p) = 0$, where $S^{\kappa^{(t,s)}}(q, p)$ is now considered as a function of t. We cannot assume $S^{\text{id}}(q, p) = 0$, as the case $h(t, x, \xi) = h(t)$ shows.

2.2. Definition of FIOs and continuity results. In this section, we will define the operators we will use to approximate the propagator of the Schrödinger equation.

Definition 6. (Fourier Integral Operator) *For* $u \in S[+\infty; 4d]$ *and* $\varphi \in \mathcal{S}(\mathbb{R}^d, \mathbb{C})$ *we define the Fourier Integral Operator with symbol* u *by the oscillatory integral*

$$\left[\mathcal{I}^\varepsilon(\kappa; u; \Theta^x, \Theta^y)\varphi \right](x)$$
$$:= \frac{1}{(2\pi\varepsilon)^{3d/2}} \int_{\mathbb{R}^{3d}} e^{\frac{i}{\varepsilon}\Phi^\kappa(x,y,q,p;\Theta^x,\Theta^y)} u(x, y, q, p)\varphi(y) \, dq \, dp \, dy, \tag{16}$$

where

- Θ^x *and* Θ^y *are complex symmetric matrices (i.e.* $\Theta = \Theta^\dagger$*) with positive definite real part,*
- *the complex-valued phase-function is given by*

$$\Phi^\kappa(x, y, q, p; \Theta^x, \Theta^y) = S^\kappa(q, p) - p \cdot (y - q) + \Xi^\kappa(q, p) \cdot (x - X^\kappa(q, p))$$
$$+ \frac{i}{2}(y - q) \cdot \Theta^y(y - q)$$
$$+ \frac{i}{2}(x - X^\kappa(q, p)) \cdot \Theta^x(x - X^\kappa(q, p)).$$

The technical details concerning the oscillatory integral formalism are found in Appendix A. The following theorem combines the central results of [RoSw07].

Theorem 1. 1. *If $u \in S[+\infty; 4d]$, $\mathcal{I}^\varepsilon(\kappa; u; \Theta^x, \Theta^y)$ sends $\mathcal{S}(\mathbb{R}^d, \mathbb{C})$ into itself and is continuous.*

2. *If $u \in S[0; 4d]$, $\mathcal{I}^\varepsilon(\kappa; u; \Theta^x, \Theta^y)$ can be extended in a unique way to a linear bounded operator $L^2(\mathbb{R}^d, \mathbb{C}) \rightarrow L^2(\mathbb{R}^d, \mathbb{C})$ and there exists a constant $C(M_0^\kappa, \Theta^x, \Theta^y)$ such that*

$$\left\| \mathcal{I}^\varepsilon(\kappa; u; \Theta^x, \Theta^y) \right\|_{L^2 \to L^2} \leq C(M_0^\kappa; \Theta^x, \Theta^y) \sum_{|\alpha| \leq 4d+1} \|\partial_{(x,y)}^\alpha u\|_{L^\infty}. \quad (17)$$

In the special case where $u \in S[0; 2d]$ is independent of (x, y), we have

$$\left\| \mathcal{I}^\varepsilon(\kappa; u; \Theta^x, \Theta^y) \right\|_{L^2 \to L^2} \leq 2^{-d/2} \det\left(\Re\Theta^x \Re\Theta^y \right)^{-\frac{1}{4}} \|u\|_{L^\infty}. \quad (18)$$

Remark 3.

1. The dependence of $C(M_0^\kappa; \Theta^x, \Theta^y)$ on M_0^κ, Θ^x and Θ^y can be made more explicit. Consider [RoSw07] for the precise expression.
2. There is an analogous result for Weyl-quantised pseudodifferential operators

$$(op^\varepsilon(h)\psi)(x) := \frac{1}{(2\pi\varepsilon)^d} \int_{T^*\mathbb{R}} e^{\frac{i}{\varepsilon}\xi \cdot (x-y)} h\left(\frac{x+y}{2}, \xi\right) \psi(y) \, dy \, d\xi,$$

see for example [Ma02]:
   (a) If $h \in S[+\infty; 2d]$, $op^\varepsilon(h)$ sends $\mathcal{S}(\mathbb{R}^d, \mathbb{C})$ into itself and is continuous.
   (b) If $h \in S[0; 2d]$, $op^\varepsilon(h)$ extends to a bounded operator on $L^2(\mathbb{R}^d, \mathbb{C})$ with an ε-independent norm bound (Calderón-Vaillancourt Theorem).
3. We have $\mathcal{I}^\varepsilon\left(\mathrm{id}; \det(\Theta^x + \Theta^y)^{\frac{1}{2}}; \Theta^x, \Theta^y\right) = \mathrm{id}$, compare Appendix B for the correct choice of the square root.

3. Composition Results and the Approximation Theorem

The standard approach in the field of asymptotic analysis consists in a two step procedure. First, one constructs an *asymptotic solution* $U_N^\varepsilon(t, s)\psi_s^\varepsilon$ of order $O(\varepsilon^{N+1})$, i.e. a function which fulfills

$$\left(i\varepsilon \frac{d}{dt} - H^\varepsilon(t) \right) U_N^\varepsilon(t, s)\psi_s^\varepsilon = \varepsilon^{N+1} R_N^\varepsilon(t, s)\psi_s^\varepsilon. \quad (19)$$

If one can establish an ε-independent bound on the remainder $R_N^\varepsilon(t, s)$, the asymptotic solution can be turned into an approximate solution of the unitary group with the help of a special version of Gronwall's Lemma, (see for example Lemma 2.8 in [Ha98] for the strategy of the proof):

Lemma 1. *Let $U^\varepsilon(t, s)$ be the propagator of the time-dependent Schrödinger-equation*

$$\left(i\varepsilon \frac{d}{dt} - H^\varepsilon(t) \right) \psi^\varepsilon(t) = 0, \qquad \psi^\varepsilon(s) = \psi_s^\varepsilon \in D \subset L^2(\mathbb{R}^d, \mathbb{C})$$

for some family of self-adjoint operators $H^\varepsilon(t)$ with common domain D. Moreover, for some $T > 0$ and $-T \leq t, s \leq T$, let $U_N^\varepsilon(t, s)$ be a family of bounded operators, which is strongly differentiable with respect to t, leaves a core of $H^\varepsilon(t)$ invariant and which fulfills

$$i\varepsilon \frac{d}{dt} U_N^\varepsilon(t, s)\psi^\varepsilon(s) - H^\varepsilon(t)U_N^\varepsilon(t, s)\psi^\varepsilon(s) = \varepsilon^{N+1} R_N^\varepsilon(t, s)\psi^\varepsilon(s)$$

with $U_N^\varepsilon(s, s) = \mathrm{id}$. If $\|R_N^\varepsilon(t, s)\|_{L^2 \to L^2} < \infty$ for all $-T \leq t, s \leq T$, we have

$$\left\| U_N^\varepsilon(t, s) - U^\varepsilon(t, s) \right\|_{L^2 \to L^2} \leq \varepsilon^N \left| \int_s^t \|R_N^\varepsilon(\tau, s)\|_{L^2 \to L^2} \, d\tau \right|.$$

In this section, we state the intermediate results needed for the construction of the asymptotic solution.

In Proposition 2, we show using Weyl-quantisation that the composition of differential operators with Fourier Integral Operators is again an FIO. Moreover, we give an asymptotic expansion of the symbol of the new FIO, whose terms but for the last are x-independent. This is important, as x-dependence of the symbol may be converted to ε-dependence, which can be seen from Lemma 3. Proposition 3 deals with the time-derivative of a family of FIOs and an uniqueness result for symbols and canonical transformations is given in Proposition 4. The section is closed with the statement and proof of our main result.

To state our results, we need the matrix $\mathcal{Z}(q, p) = Z_z^\kappa(q, p) (\Theta^x)^{\frac{1}{2}}$, which already appeared as $\mathcal{Z}(F^\kappa(q, p))$ in the statement of our main result in the introduction. We justify this abuse of notation by better readability of the formulae presented here. The invertibility of $\mathcal{Z}(q, p)$, which is implicitly claimed in the following statements, is shown in Lemma 2.

The composition result reads:

Proposition 2. *Let κ be a canonical transformation of class $\mathcal{B}$, $h \in S[m_h; 2d]$ be polynomial in ξ and $u \in S[m_u; 2d]$. Then we have*

$$op^\varepsilon(h)\mathcal{I}^\varepsilon(\kappa; u; \Theta^x, \Theta^y) = \mathcal{I}^\varepsilon\left(\kappa; \sum_{n=0}^N \varepsilon^n v_n; \Theta^x, \Theta^y\right) + \varepsilon^{N+1}\mathcal{I}^\varepsilon\left(\kappa; v_{N+1}^\varepsilon; \Theta^x, \Theta^y\right)$$

as operators from $\mathcal{S}(\mathbb{R}^d, \mathbb{C})$ to $\mathcal{S}(\mathbb{R}^d, \mathbb{C})$, where $v_n, v_{N+1}^\varepsilon \in S[m_u + m_h; 2d]$ are given by

$$v_0(q, p) = u(q, p)(h \circ \kappa)(q, p), \tag{20}$$

$$v_1(q, p) = -\mathrm{div}_z\left(\left((h_x + i\Theta^x h_\xi) \circ \kappa(q, p)\right)^\dagger \mathcal{Z}^{-1}(q, p)u(q, p)\right)$$

$$+ u(q, p)\frac{1}{2}\mathrm{tr}\left(\mathcal{Z}^{-1}(q, p)\partial_z((h_x + i\Theta^x h_\xi) \circ \kappa(q, p))\right) \tag{21}$$

and

$$v_n(q, p) = L_n[h; \kappa; \Theta^x, \Theta^y]u(q, p) \in S[m_u + m_h; 2d], \quad 2 \leq n \leq N,$$

$$v_{N+1}^\varepsilon(x, q, p) = L_{N+1}^\varepsilon[h; \kappa; \Theta^x, \Theta^y]u(q, p) \in S[(m_h, m_u + m_h); (d, 2d)],$$

for some linear differential operators L_n and L_{N+1}^ε.

Remark 4. 1. The proof reveals the following properties of the operators L_n and L_{N+1}^ε:
- In the case of the Ehrenfest-timescale, i.e. in the case where all derivatives of $F^\kappa(q, p)$ and u allow for a bound of the form $C\varepsilon^{-\rho}$, one has

$$\left|L_n[h; \kappa; \Theta^x, \Theta^y]u\right| \le C'\varepsilon^{-M\rho} \sum_{|\alpha| \le n} |\partial_{(q,p)}^\alpha u|.$$

  An analogous statement holds for L_{N+1}^ε.
- In the case of linear canonical transformations, quadratic Hamiltonians and constant symbols u, one has $v_n = v_N^\varepsilon = 0$ for all $n, N \ge 2$.

2. We want to comment on the assumption on polynomial behavior of h: Usually, composition results of PDOs and FIOs are proved with the help of a stationary phase argument in the ξ-variable. As a complex phase function yields non-real stationary point, one has to use pseudo-analytic continuations of the symbol. In our case, we do not need the polynomial behavior in ξ for this reason. The problem is that the class of FIOs is not closed under the composition with PDOs and that it is only for differential operators that the remainder $\mathcal{I}^\varepsilon\left(\kappa; v_{N+1}^\varepsilon; \Theta^x, \Theta^y\right)$ is of this type.

The second result of this section will investigate the time-derivative of a family of FIOs. In the case of a time-dependent family of canonical transformations, we have the following result:

Proposition 3. *Let* $u \in C(\mathbb{R}, S[(m_q, m_p); (d, d)])$ *be a family of time-dependent symbols with* $u(\cdot, q, p) \in C^1(\mathbb{R}, \mathbb{C})$ *and* $(\frac{d}{dt}u)(t, \cdot, \cdot) \in S[(m_q, m_p); (d, d)]$, κ^t *a family of canonical transformations of class* $\mathcal{B}$, S^{κ^t} *an action associated to* κ^t, $\Theta^x \in C^1(\mathbb{R}, \text{Gl}(d))$ *a family of complex symmetric matrices with positive definite real part and* Θ^y *complex symmetric with positive definite real part. We have*

$$i\varepsilon \frac{d}{dt}\mathcal{I}^\varepsilon\left(\kappa^t; u; \Theta^x(t), \Theta^y\right) = \mathcal{I}^\varepsilon\left(\kappa^t; \sum_{n=0}^{2} \varepsilon^n v_n; \Theta^x(t), \Theta^y\right)$$

with

$$v_0(t, q, p) = u(t, q, p)\left(-\frac{d}{dt}S^{\kappa^t}(q, p) + \frac{d}{dt}X^{\kappa^t}(q, p) \cdot \Xi^{\kappa^t}(q, p)\right) \tag{22}$$

$$v_1(t, q, p) = i\frac{d}{dt}u(t, q, p) \tag{23}$$

$$+\text{div}_z\left(\left(\frac{d}{dt}\Xi^{\kappa^t}(q, p) - i\Theta^x(t)\frac{d}{dt}X^{\kappa^t}(q, p)\right)^\dagger \mathcal{Z}^{-1}(t, q, p)u(t, q, p)\right)$$

$$-\frac{i}{2}u(t, q, p)\text{tr}\left(\mathcal{Z}^{-1}(t, q, p)X_z^\kappa(q, p)\frac{d}{dt}\Theta^x(t)\right),$$

$$v_2(t, q, p) = -\sum_{k=1}^{d} \text{div}_z\left(\partial_{z_k}\left(\frac{d}{dt}\Theta^x(t)\mathcal{Z}^{-1}(t, q, p)e_k u(q, p)\right)^\dagger \mathcal{Z}^{-1}(t, q, p)\right), \tag{24}$$

where $v_0, v_1, v_2 \in C^0\left(\mathbb{R}, S[(m_q, m_p); (d, d)]\right)$.

Remark 5. In both propositions, the case of a linear canonical transformation, a quadratic symbol h and a constant symbol u results in $v_n = 0$ for $n \ge 2$. This will result in the exactness of the Herman-Kluk expression for quadratic Hamiltonians.

Finally, we have the following uniqueness result:

Proposition 4. *Let κ_1 and κ_2 be two canonical transformations of class $\mathcal{B}$ and $u, v \in S[0; 2d]$. If*

$$\lim_{\varepsilon \to 0} \left\| \mathcal{I}^\varepsilon(\kappa_1; u; \Theta^x, \Theta^y) - \mathcal{I}^\varepsilon(\kappa_2; v; \Theta^x, \Theta^y) \right\|_{L^2 \to L^2} = 0,$$

then $u = v$ and $\kappa_1(q, p) = \kappa_2(q, p)$ for all $(q, p) \in \mathrm{supp}\, u$.

By the strategy outlined before, these results are combined to our main result:

Theorem 2. *Let $U^\varepsilon(t, s)$ be the propagator associated to the time-dependent Schrödinger-equation*

$$i\varepsilon \frac{d}{dt} \psi^\varepsilon(t) = H^\varepsilon(t) \psi^\varepsilon(t), \qquad \psi^\varepsilon(s) = \psi_s^\varepsilon \in L^2(\mathbb{R}^d)$$

on the time-interval $-T \le s, t \le T$, where $H^\varepsilon(t) = op^\varepsilon(h_0 + \varepsilon h_1)$ with subquadratic $h_0(t, x, \xi)$ and sublinear $h_1(t, x, \xi)$, both polynomial in ξ. Moreover let

- *$\Theta^y \in \mathrm{Gl}(d)$ be complex symmetric with positive definite real part and*
- *$\Theta^x \in C^1(\mathbb{R}, \mathrm{Gl}(d))$ be complex symmetric fulfilling $0 < \gamma\, \mathrm{id} \le \Re\Theta^x(t) \le \gamma'\, \mathrm{id}$ for all $t \in [-T, T]$ in the sense of quadratic forms.*

Then

$$\sup_{-T \le s, t \le T} \left\| U^\varepsilon(t, s) - \mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; \sum_{n=0}^{N} \varepsilon^n u_n; \Theta^x(t), \Theta^y \right) \right\|_{L^2 \to L^2} \le C(T)\varepsilon^{N+1},$$

where $\kappa^{(t,s)}$ and the u_n are uniquely given as

- *the Hamiltonian flow associated to h_0 and*
- *the solutions of*

$$\frac{d}{dt} u_n(t, s, q, p) = \sum_{k=0}^{n-1} L_{n-k} u_k + u_n(t, s, q, p)$$

$$\times \left[\frac{1}{2} \mathrm{tr}\left(\mathcal{Z}^{-1}(t, s, q, p) \frac{d}{dt} \mathcal{Z}(t, s, q, p) \right) - i h_1\left(t, X^{\kappa^{(t,s)}}(q, p), \Xi^{\kappa^{(t,s)}}(q, p) \right) \right]$$

with initial conditions

$$u_0(s, s, q, p) = \det\left(\Theta^x(s) + \Theta^y \right)^{1/2}$$
$$u_n(s, s, q, p) = 0, \quad n \ge 1.$$

Corollary 1. *Under the additional assumption*

$$\left\| (\partial_{(q,p)}^\alpha h)(t, q, p) \right\|_{L^\infty(\mathbb{R} \times \mathbb{R}^{2d})} < \infty,$$

for all $\alpha \in \mathbb{N}^{2d}$ we have the following result on the Ehrenfest timescale $T(\varepsilon) = C_T \log(\varepsilon^{-1})$:

$$\sup_{-T(\varepsilon) \le s, t \le T(\varepsilon)} \left\| U^\varepsilon(t, s) - \mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; \sum_{n=0}^{N} \varepsilon^n u_n; \Theta^x(t), \Theta^y \right) \right\| \le C(C_T)\varepsilon^{N+1-\rho(C_T)},$$

where $\rho(C_T)$ can be made arbitrary small, if C_T is chosen small enough.

Remark 6. 1. We recall that $\mathcal{Z}(t, s, q, p) = (i\,(\Theta^y)^{-1}\,\mathrm{id})\,F^{\kappa^{(t,s)}}(q, p)^\dagger(-i\Theta^x(t)\,\mathrm{id})^\dagger$, thus the dependence of $\mathcal{Z}(t, s, q, p)$ on q and p is only via the Jacobian of the flow.

2. The expression for the leading order symbol is

$$u_0(t, s, q, p) = \left(\det \Theta^y\,\mathcal{Z}(t, s, q, p)\right)^{\frac{1}{2}} e^{-i\int\limits_s^t h_1\left(\tau, \kappa^{(\tau,s)}(q,p)\right)d\tau},$$

where the branch of the square root is defined by continuity in t starting from $u_0(s, s, q, p) = \det\left(\Theta^x(s) + \Theta^y\right)^{\frac{1}{2}}$, compare Remark 3. The corresponding FIO is known as the Herman-Kluk propagator in the chemical literature. Notice that the dependence of u on q and p is only via $F^{\kappa^{(t,s)}}(q, p)$. Likewise, the (q, p)-dependence in u_k is only via $F^{\kappa^{(t,s)}}(q, p)$ and its derivatives with respect to q and p.

3. As an easy corollary we get that the FIO defined in the last theorem approximately inherits the properties of $U(t, s)$, i.e. it is almost unitary in the sense that

$$\left\|\mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; u; \Theta^x(t), \Theta^y\right)\mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; u; \Theta^x(t), \Theta^y\right)^* - \mathrm{id}\right\| \le C_N\varepsilon^{N+1},$$

$$\left\|\mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; u; \Theta^x(t), \Theta^y\right)^*\mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; u; \Theta^x(t), \Theta^y\right) - \mathrm{id}\right\| \le C_N\varepsilon^{N+1},$$

where $u = \sum_{n=0}^N \varepsilon^n u_n$ and it almost fulfills the group property, i.e.

$$\left\|\mathcal{I}^\varepsilon\left(\kappa^{(t,t')}; u; \mathrm{id}, \mathrm{id}\right)\mathcal{I}^\varepsilon\left(\kappa^{(t',s)}; u; \mathrm{id}, \mathrm{id}\right) - \mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; u; \mathrm{id}, \mathrm{id}\right)\right\| \le C_N'\varepsilon^{N+1}.$$

The result also holds for general Θ^x and Θ^y. The possibility of stating the correct dependence of the symbol on the matrices is left to the reader.

4. In the case of a linear flow

$$\kappa^{(t,s)}(q, p) = F(t, s)\begin{pmatrix} q \\ p \end{pmatrix}$$

the approximation becomes exact as the symbols v_n in Propositions 2 and 3 vanish for $n \ge 2$. Hence, the metaplectic representation of F can be expressed by a Fourier Integral Operator.

5. The proof will produce the following byproduct: the so-called Frozen Gaussian Approximation, which is obtained by choosing $\kappa^{(t,s)}$ as the Hamiltonian flow but keeping u_0 constant for all q, p and t, is an asymptotic solution of the Schrödinger equation of order $O(\varepsilon)$. Thus it approximates the unitary group for the short times of order ε. It will not be a valid approximation for longer times because of the uniqueness of the symbol.

Proof. By Theorem 1, an FIO associated to a C^1 family $\kappa^{(t,s)}$ of canonical transformation of class $\mathcal{B}$ and (x, y)-independent symbol $u = \sum_{n=0}^N \varepsilon^n u_n$, $u_n \in C^1(\mathbb{R}, S[0; 2d])$ leaves $\mathcal{S}(\mathbb{R}^d, \mathbb{C})$ invariant. Thus, we can plug such an operator as an ansatz into the time-dependent Schrödinger equation (1). By Propositions 2 and 3 we have a representation

$$\left(i\varepsilon\frac{d}{dt} - op^\varepsilon(h_0 + \varepsilon h_1)\right)\mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; \sum_{n=0}^N \varepsilon^n u_n; \Theta^x(t), \Theta^y\right)$$

$$= \mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; \sum_{n=0}^{N+1} \varepsilon^n v_n; \Theta^x(t), \Theta^y\right) + \varepsilon^{N+2}\mathcal{I}^\varepsilon\left(\kappa^{(t,s)}; v_{N+2}^\varepsilon; \Theta^x(t), \Theta^y\right)$$

on $\mathcal{S}(\mathbb{R}^d, \mathbb{C})$. We will show that the $v_n, 0 \le n \le N+1$ vanish, if $\kappa^{(t,s)}$ and $u_n \in S[0, 2d]$, $0 \le n \le N$ are chosen properly. Moreover, it will turn out that v_{N+2}^ε is of class $S[0; 3d]$. Thus, by Theorem 1, $\mathcal{I}^\varepsilon \left(\kappa^{(t,s)}; \sum_{n=0}^N \varepsilon^n u_n; \Theta^x(t), \Theta^y \right)$ is an asymptotic solution of order $N + 2$. The statement will then follow by Lemma 1.

Combining Propositions 2 and 3, one recognises v_0 as the product of u_0 and

$$\left(-\frac{d}{dt} S^{\kappa^{(t,s)}}(q, p) + \frac{d}{dt} X^{\kappa^{(t,s)}}(q, p) \cdot \Xi^{\kappa^{(t,s)}}(q, p) - h_0 \left(t, \kappa^{(t,s)}(q, p) \right) \right). \quad (25)$$

As we do not expect $\mathcal{I}^\varepsilon(\kappa; 0; \Theta^x, \Theta^y) = 0$ to be a good approximation of $U(t, s)$, we require (25) to vanish. By combining its derivatives with respect to p and q, it is easily seen that this is the case if and only if $\kappa^{(t,s)}$ is the Hamiltonian flow associated to h_0.

There are several parts which contribute to v_1:

1. the zeroth order terms of Propositions 2 and 3 applied to u_1,
2. the first order terms of Propositions 2 and 3 applied to u_0,
3. the zeroth order term of Proposition 2 applied to u_0 for the subprincipal symbol h_1.

Thus we get the following expression for v_1:

$$u_1 \left[-\frac{d}{dt} S^{\kappa^{(t,s)}}(q, p) + \frac{d}{dt} X^{\kappa^{(t,s)}}(q, p) \cdot \Xi^{\kappa^{(t,s)}}(q, p) - h_0 \left(t, \kappa^{(t,s)}(q, p) \right) \right] \quad (26)$$

$$+ \operatorname{div}_z \left(\left[(\partial_x h_0 + i \Theta^x(t) \partial_\xi h_0) \left(t, \kappa^{(t,s)}(q, p) \right) \right]^\dagger \mathcal{Z}^{-1}(t, s, q, p) u_0 \right) \quad (27)$$

$$+ \operatorname{div}_z \left(\left(\frac{d}{dt} \Xi^{\kappa^{(t,s)}}(q, p) - i \Theta^x(t) \frac{d}{dt} X^{\kappa^{(t,s)}}(q, p) \right)^\dagger \mathcal{Z}^{-1}(t, s, q, p) u_0 \right) \quad (28)$$

$$- u_0 \frac{1}{2} \operatorname{tr} \left(\mathcal{Z}^{-1}(t, s, q, p) \partial_z \left[(\partial_x h_0 + i \Theta^x(t) \partial_\xi h_0) \left(t, \kappa^{(t,s)}(q, p) \right) \right] \right)$$

$$+ i \frac{d}{dt} u_0 - \frac{i}{2} u_0 \operatorname{tr} \left(\mathcal{Z}^{-1}(t, s, q, p) X_z^\kappa(q, p) \frac{d}{dt} \Theta^x(t) \right)$$

$$- u_0 h_1 \left(t, \kappa^{(t,s)}(q, p) \right)$$

$$= i \frac{d}{dt} u_0 - \frac{i}{2} u_0 \operatorname{tr} \left(\mathcal{Z}^{-1}(t, s, q, p) \frac{d}{dt} \mathcal{Z}(t, s, q, p) \right) - u_0 h_1 \left(t, \kappa^{(t,s)}(q, p) \right),$$

as (26) and (27)+(28) vanish because of the choice of $\kappa^{(t,s)}$ as the Hamilton flow.

As the linearisation of $\det(A)$ is $\det(A) \operatorname{tr}(A^{-1} dA)$ for invertible A, the equation $v_1 = 0$ with initial conditions that recover identity, is solved by

$$u_0(t, s, q, p) = \left(\det(\Theta^y \mathcal{Z}(t, s, q, p)) \right)^{\frac{1}{2}} \exp \left(-i \int_s^t h_1 \left(\tau, \kappa^{(\tau,s)}(q, p) \right) d\tau \right),$$

which is of class $S[0; 2d]$.

v_n is given by the following expression:

$$i\frac{d}{dt}u_{n-1} - \frac{i}{2}u_{n-1}\mathrm{tr}\left(\mathcal{Z}^{-1}(t,s,q,p)\frac{d}{dt}\mathcal{Z}(t,s,q,p)\right) - u_{n-1}h_1\left(t,\kappa^{(t,s)}(q,p)\right)$$

$$- \sum_{k=1}^{d}\mathrm{div}_z\left(\left(\partial_{z_k}\left(\frac{d}{dt}\Theta^x(t)\mathcal{Z}^{-1}(t,s,q,p)e_k\,u_{n-2}\right)\right)^{\dagger}\mathcal{Z}^{-1}(t,s,q,p)\right)$$

$$- i\sum_{j=2}^{n-2}L_j[h_0(t);\kappa^{(t,s)};\Theta^x(t),\Theta^y]u_{n-j}$$

$$- i\sum_{j=1}^{n-3}L_j[h_1(t);\kappa^{(t,s)};\Theta^x(t),\Theta^y]u_{n-j-1},$$

where we already dropped the terms analogous to (26)–(28). The equation $v_n = 0$ is easily solved by variation of the constant, as the corresponding homogeneous ODE coincides with the equation for u_0. Its solution is in $S[0;2d]$ with its form analogous to (13).

We finally note that the highest order symbol is of class $S[0;3d]$. Thus, we have established that the constructed FIO is an asymptotic solution of order $N+2$ on the class of Schwartz functions, so the result follows by the strategy outlined at the beginning of the proof.

We turn to the uniqueness. Assume that there are $\widetilde{\kappa}^{(t,s)}$ and $\widetilde{u} \in S[0;2d]$ such that

$$\left\|U^\varepsilon(t,s) - \mathcal{I}^\varepsilon\left(\widetilde{\kappa}^{(t,s)};\widetilde{u};\Theta^x(t),\Theta^y\right)\right\| \le C'(T)\varepsilon.$$

In this case we have

$$\left\|\mathcal{I}^\varepsilon\left(\kappa^{(t,s)};u_0;\Theta^x(t),\Theta^y\right) - \mathcal{I}^\varepsilon\left(\widetilde{\kappa}^{(t,s)};\widetilde{u};\Theta^x(t),\Theta^y\right)\right\| \le \left(C(T)+C'(T)\right)\varepsilon,$$

and thus we get $\widetilde{\kappa}^{(t,s)} = \kappa^{(t,s)}$ and $\widetilde{u} = u_0$ on $\mathrm{supp}u_0 = \mathbb{R}^{2d}$ by Proposition 4. The uniqueness of the higher order corrections follows inductively by the same kind of argument.

Proof (of Corollary 1). To extend the result to the Ehrenfest timescale, we have to study the dependence of the remainder's symbol v_{N+2}^ε on $T = T(\varepsilon)$ and to show that the growth of

$$\sum_{|\alpha|\le 4d+1}\left\|\partial_x^\alpha v_{N+2}^\varepsilon\right\|_{L^\infty}$$

can be controlled by the $O(\varepsilon^{N+2})$-term of the remainder in the $\varepsilon \to 0$ limit if C_T is sufficiently small.

The dependence comes from the elements of $F^{\kappa^{(t,s)}}(q,p)$ and its derivatives. By (14), they allow for a bound of the form $C'(C_T)e^{-\rho(C_T)}$, where $\rho(C_T)$ can be made arbitrary small if C_T is chosen small enough. Moreover, v_{N+2}^ε has polynomial growth in these quantities, which follows from the form of the differential operators of Proposition 2, the explicit expressions for u_n and the bound away from zero of the determinant of $\mathcal{Z}(t,s,q,p)$, compare the proof of Lemma 2. Combining these facts, the result follows.

4. Proofs of the Intermediate Results

The proof of the composition results rely strongly on results about conversion of x-dependence to ε-dependence. We introduce the following notation:

Definition 7. *Two symbols $u, v \in S[+\infty; 4d]$ are said to be* **equivalent with respect to** *κ if*

$$\mathcal{I}^\varepsilon(\kappa; u; \Theta^x, \Theta^y) = \mathcal{I}^\varepsilon(\kappa; v; \Theta^x, \Theta^y)$$

as operators from $\mathcal{S}(\mathbb{R}^d, \mathbb{C})$ to $\mathcal{S}(\mathbb{R}^d, \mathbb{C})$. In this case we write $u \sim v$.

The central technical result is:

Lemma 2. *We have*

$$i\, \Phi_z^\kappa(x, y, q, p; \Theta^x, \Theta^y) = Z_z^\kappa(q, p) \left(\Theta^x\right)^{\frac{1}{2}} (x - X^\kappa(q, p)) =: \mathcal{Z}(q, p)(x - X^\kappa(q, p)).$$

$\mathcal{Z}(q, p) = (i\,(\Theta^y)^{-1}\ \mathrm{id})(F^\kappa(q, p))^\dagger (-i\Theta^x\ \mathrm{id})^\dagger$ is invertible and its inverse $\mathcal{Z}^{-1}(q, p)$ is in the class $S[0; 2d]$.

Proof. The derivatives of $\Phi^\kappa(x, y, q, p; \Theta^x, \Theta^y)$ with respect to q and p are

$$\Phi_q^\kappa(x, y, q, p; \Theta^x, \Theta^y) = [\Xi_q^\kappa - i X_q^\kappa \Theta^x](q, p)(x - X^\kappa(q, p)) - i\Theta^y(y - q),$$
$$\Phi_p^\kappa(x, y, q, p; \Theta^x, \Theta^y) = [\Xi_p^\kappa - i X_p^\kappa \Theta^x](q, p)(x - X^\kappa(q, p)) - (y - q),$$

which gives the identity for $\mathcal{Z}(q, p)$. Obviously, $\mathcal{Z}(q, p)$ inherits its symbol class from $F^\kappa(q, p)$. Moreover, we have

$$\mathcal{Z}(q, p) \left(\Re\Theta^x\right)^{-1} \mathcal{Z}(q, p)^*$$
$$= 2\Re\left(\Theta^y\right)^{-1} + \left(\Lambda\left(\Theta^x\right) F^\kappa(q, p) \begin{pmatrix} i\,(\Theta^y)^{-1} \\ -\mathrm{id} \end{pmatrix}\right)^* \left(\Lambda\left(\Theta^x\right) F^\kappa(q, p) \begin{pmatrix} i\,(\Theta^y)^{-1} \\ -\mathrm{id} \end{pmatrix}\right)$$

with

$$\Lambda(\Theta) = \begin{pmatrix} (\Re\Theta)^{1/2} & 0 \\ (\Re\Theta)^{-1/2}\Im\Theta & (\Re\Theta)^{-1/2} \end{pmatrix}.$$

Hence, by the superadditivity of the determinant for positive definite hermitian matrices, $\det \mathcal{Z}(q, p)$ is uniformly bounded away from 0 for all q and p, so by its expression via the formula of minors, $\mathcal{Z}^{-1}(q, p) \in S[0; 2d]$, as $\mathcal{Z}(q, p)$ is.

By integration by parts, Lemma 2 yields the following conversion result, which is a special case of Lemma 5 in [RoSw07].

Lemma 3. *Let $u \in S[(m^x, m^q, m^p); (d, d, d)]$. Then*

$$(x_j - X_j^\kappa(q, p))u \sim \varepsilon v,$$

where $v \in S[(m^x, m^q, m^p); (d, d, d)]$ is given by

$$v(x, q, p) = -\mathrm{div}_z \left(e_j^\dagger \mathcal{Z}^{-1}(q, p)u(x, q, p)\right)$$

with

$$\mathcal{Z}(q, p) := Z_z^\kappa(q, p) \left(\Theta^x\right)^{\frac{1}{2}}.$$

Remark 7. 1. We recall that

$$Z_z^\kappa(q, p) = \left((\Theta^y)^{-1} \partial_q - i \partial_p\right) \left((\Theta^x)^{\frac{1}{2}} X^\kappa(q, p) + i (\Theta^x)^{-\frac{1}{2}} \Xi^\kappa(q, p)\right).$$

2. Obviously, $\mathcal{Z}(q, p)$ depends on q and p only via the elements of $F^\kappa(q, p)$. For better readability, we do not explicitly denote this dependence. Moreover, we drop the dependence on Θ^x and Θ^y in the notation.

3. For a linear canonical transformation $\kappa(q, p) = M \begin{pmatrix} q \\ p \end{pmatrix}$, with $M \in \mathrm{Sp}(2d)$, we have $F^\kappa(q, p) = M$, so $\mathcal{Z}(q, p) = (i \mathrm{\,id\,} (\Theta^y)^{-1}) M^\dagger (-i\Theta^x \mathrm{\,id})^\dagger$ is constant with respect to (q, p).

With these auxiliary results, we are able to prove the result on the composition of PDOs and FIOs:

Proof (of Proposition 2). Let $\varphi \in \mathcal{S}(\mathbb{R}^d, \mathbb{C})$. The composition of $op^\varepsilon(h)$ with the FIO applied to φ is

$$[op^\varepsilon(h) \mathcal{I}^\varepsilon(\kappa; u; \Theta^x, \Theta^y)\varphi](x)$$
$$= \frac{1}{(2\pi\varepsilon)^{5d/2}} \int_{\mathbb{R}^{5d}} h\left(\frac{x+w}{2}, \xi\right) e^{\frac{i}{\varepsilon}\Psi^\kappa(x,w,y,q,p;\Theta^x,\Theta^y)} u(q, p)\varphi(y) \, dq \, dp \, dy \, dw \, d\xi,$$

where $\Psi^\kappa(x, w, y, q, p; \Theta^x, \Theta^y) := \xi \cdot (x - w) + \Phi^\kappa(w, y, q, p; \Theta^x, \Theta^y)$ and the integral is an oscillatory one. Using the creation and annihilation "variables" and operators

$$a := (\Theta^x)^{\frac{1}{2}} \tfrac{x+w}{2} + i (\Theta^x)^{-\frac{1}{2}} \xi; \quad \partial_a := (\Theta^x)^{-\frac{1}{2}} \partial_w - \frac{i}{2} (\Theta^x)^{\frac{1}{2}} \partial_\xi,$$

$$\bar{a} := (\Theta^x)^{\frac{1}{2}} \tfrac{x+w}{2} - i (\Theta^x)^{-\frac{1}{2}} \xi; \quad \partial_{\bar{a}} := (\Theta^x)^{-\frac{1}{2}} \partial_w + \frac{i}{2} (\Theta^x)^{\frac{1}{2}} \partial_\xi,$$

we perform a Taylor-expansion of the symbol h to order $2N$ around $\kappa(q, p)$:

$$h\left(\frac{x+w}{2}, \xi\right) = \sum_{|\alpha+\beta|\leq 2N} \frac{1}{\alpha!\beta!} \left(\left(\partial_a^\alpha \partial_{\bar{a}}^\beta h\right) \circ \kappa\right)(q, p) (a - Z^\kappa(q, p))^\alpha \left(\bar{a} - \overline{Z}^\kappa(q, p)\right)^\beta$$

$$+ \sum_{|\alpha+\beta|=2N+1} (a - Z^\kappa(q, p))^\alpha \left(\bar{a} - \overline{Z}^\kappa(q, p)\right)^\beta R_{\alpha,\beta}(a, \bar{a}, q, p)$$

$$= h_T\left(a - Z^\kappa(q, p), \bar{a} - \overline{Z}^\kappa(q, p)\right) + h_R(a, \bar{a}, q, p),$$

where the remainder is given by

$$R_{\alpha,\beta}(a, \bar{a}, q, p)$$
$$= \frac{|\alpha + \beta|}{\alpha!\beta!} \int_0^1 \sigma^{|\alpha+\beta|-1} \left(\partial_a^\alpha \partial_{\bar{a}}^\beta h\right)(x + \sigma(X^\kappa(q, p) - x), \xi + \sigma(\Xi^\kappa(q, p) - \xi))d\sigma.$$

In the first step, we discuss only the Taylor-polynomial h_T. As

$$-i\partial_{\bar{a}}\Psi^\kappa = \left(\frac{1}{2}(\Theta^x)^{\frac{1}{2}} \partial_\xi - i (\Theta^x)^{-\frac{1}{2}} \partial_w\right) \Psi^\kappa = a - Z^\kappa(q, p) \tag{29}$$

and

$$\begin{pmatrix} \partial_a \\ \partial_{\overline{a}} \end{pmatrix} (a \ \overline{a}) = \begin{pmatrix} \mathrm{id} \ 0 \\ 0 \ \mathrm{id} \end{pmatrix},$$

integration by parts with the operator $\partial_{\overline{a}}$ yield

$$\left(a - Z^{\kappa}(q, p)\right)^{\alpha} \left(\overline{a} - \overline{Z}^{\kappa}(q, p)\right)^{\beta} v \sim \frac{\varepsilon^{|\alpha|} \beta!}{(\beta - \alpha)!} \left(\overline{a} - \overline{Z}^{\kappa}(q, p)\right)^{\beta - \alpha} v, \quad (30)$$

where we extended the meaning of "$\sim$" in an obvious way. Moreover we have

$$\left(i\partial_a + 2i \left(\Theta^x\right)^{\frac{1}{2}} \mathcal{Z}^{-1}(q, p)\partial_z\right) \left(\overline{a} - \overline{Z}^{\kappa}(q, p)\right) = -2i \left(\Theta^x\right)^{\frac{1}{2}} \mathcal{Z}^{-1}(q, p)\partial_z \overline{Z}^{\kappa}(q, p),$$

and hence by (29) and Lemma 3,

$$\left(\overline{a} - \overline{Z}^{\kappa}(q, p)\right)^{\gamma} v(q, p)$$

$$\sim -\frac{2\varepsilon}{\#\gamma} \sum_{k|\gamma_k \neq 0} \mathrm{div}_z \left(e_k^{\dagger} \left(\Theta^x\right)^{\frac{1}{2}} \mathcal{Z}^{-1}(q, p) \left(\overline{a} - \overline{Z}^{\kappa}(q, p)\right)^{\gamma - e_k} v(q, p)\right)$$

$$= \frac{\varepsilon}{\#\gamma} \sum_{k|\gamma_k \neq 0} \left(\sum_{m=1}^{d} (\gamma - e_k)_m \left(\overline{a} - \overline{Z}^{\kappa}(q, p)\right)^{\gamma - e_k - e_m} (\mathcal{L}_{(e_k, e_m)} v)(q, p)\right.$$

$$\left. + \left(\overline{a} - \overline{Z}^{\kappa}(q, p)\right)^{\gamma - e_k} (\mathcal{L}_{e_k} v)(q, p)\right), \quad (31)$$

where the differential operators $\mathcal{L}_{(e_k, e_m)}$ and $\mathcal{L}_{e_k}$ are given by

$$(\mathcal{L}_{(e_k, e_m)} v)(q, p) := 2e_k^{\dagger} \left(\Theta^x\right)^{\frac{1}{2}} \mathcal{Z}^{-1}(q, p)\partial_z \overline{Z}^{\kappa} e_m v(q, p),$$

$$(\mathcal{L}_{e_k} v)(q, p) := -2\mathrm{div}_z \left(e_k^{\dagger} \left(\Theta^x\right)^{\frac{1}{2}} \mathcal{Z}^{-1}(q, p) v(q, p)\right),$$

and $\#\gamma$ denotes the number of non-zero components of γ.

The symmetrization by the summation over k allows for the iteration of the procedure. We define the three sets

$$\Gamma_1 := \left\{\gamma \in \mathbb{N}^d \ \Big| \ |\gamma| = 1\right\}, \quad \Gamma_2 := \Gamma_1 \times \Gamma_1, \quad \Gamma := \Gamma_1 \cup \Gamma_2.$$

In expression (31) the sum is taken over all possible reductions of the multi-index γ by elements of the "brick-sets" Γ_1 and Γ_2. After another integration by parts in all terms with $\left(\overline{a} - \overline{Z}^{\kappa}(q, p)\right)$-dependence, the sum is taken over all possible reductions of γ by elements in $\Gamma \times \Gamma$, which may be considered as a two-step path in Γ, plus the terms which already led to $\gamma = 0$ in the first step. So after the removal of all $\left(\overline{a} - \overline{Z}^{\kappa}(q, p)\right)$-dependence, the sum is taken over all possible paths in the "brick-set" Γ which reduce γ to zero. To formalise this idea, we define the map

$$[\cdot] \quad : \quad \Gamma \to \mathbb{N}^d,$$

$$[\gamma] \quad := \quad \begin{cases} \gamma & \gamma \in \Gamma_1 \\ \gamma_1 + \gamma_2 & \gamma = (\gamma_1, \gamma_2) \in \Gamma_2. \end{cases}$$

With

$$\lambda(\gamma, \gamma_1, \ldots, \gamma_n) = \begin{cases} \left(\#(\gamma - \sum_{l<n}[\gamma_l]) \right)^{-1} & \gamma_n \in \Gamma_1 \\ \left(\#(\gamma - \sum_{l<n}[\gamma_l]) \right)^{-1} \left(\gamma - \sum_{l<n}[\gamma_l] - e_j \right)_k & \gamma_n = (e_j, e_k) \in \Gamma_2 \end{cases}$$

we have

$$\left(\overline{a} - \overline{Z}^\kappa(q, p) \right)^\gamma v(q, p) \tag{32}$$

$$\sim \sum_{\substack{\gamma_1 \ldots, \gamma_k \in \Gamma \\ [\gamma_1] + \ldots + [\gamma_k] = \gamma}} \varepsilon^k \lambda(\gamma, \gamma_1, \ldots, \gamma_k) \ldots \lambda(\gamma, \gamma_1, \gamma_2) \lambda(\gamma, \gamma_1) \left(\mathcal{L}_{\gamma_k} \ldots \mathcal{L}_{\gamma_1} v \right)(q, p).$$

Combining (30) and (32), we get

$$(h_T u)(q, p)$$

$$\sim \sum_{n=0}^{N} \varepsilon^n L_n[h; \kappa; \Theta^x, \Theta^y]u(q, p) + \varepsilon^{N+1} \widetilde{L}^\varepsilon_{N+1}[h; \kappa; \Theta^x, \Theta^y]u(q, p)$$

$$= \sum_{\substack{|\beta| \le 2N \\ \alpha \le \beta}} \sum_{\substack{\gamma_1 \ldots, \gamma_k \in \Gamma \\ [\gamma_1] + \ldots + [\gamma_k] = \beta - \alpha}} \frac{\varepsilon^{|\alpha|+k}}{\alpha!(\beta - \alpha)!} \left(\prod_{l=1}^{k} \lambda(\gamma, \gamma_1, \ldots, \gamma_l) \mathcal{L}_{\gamma_l} \right)$$

$$\times \left(u \, \partial_a^\alpha \partial_{\overline{a}}^\beta h \circ \kappa \right)(q, p)$$

$$+ \varepsilon^{N+1} \widetilde{L}^\varepsilon_{N+1}[h; \kappa; \Theta^x, \Theta^y]u(q, p),$$

where $\varepsilon^{N+1} \widetilde{L}^\varepsilon_{N+1}[h; \kappa; \Theta^x, \Theta^y]u(q, p)$ contains all the terms of order ε^{N+1} and higher. As k ranges between $\lceil |\beta - \alpha|/2 \rceil$ and $|\beta - \alpha|$, we have

$$L_n[h; \kappa; \Theta^x, \Theta^y]$$

$$= \sum_{\substack{n \le |\alpha+\beta| \le 2n \\ \alpha \le \beta}} \frac{1}{\alpha!(\beta - \alpha)!} \sum_{\substack{\gamma_1, \ldots, \gamma_{n-|\alpha|} \in \Gamma \\ [\gamma_1] + \ldots + [\gamma_{n-|\alpha|}] = \beta - \alpha}} \left(\prod_{l=1}^{n-|\alpha|} \lambda(\gamma, \gamma_1, \ldots, \gamma_l) \mathcal{L}_{\gamma_l} \right)$$

with the following convention for $n - |\alpha| = 0$:

$$\sum_{\gamma_1, \ldots, \gamma_{n-|\alpha|}} \prod_{l=1}^{n-|\alpha|} \lambda(\gamma, \gamma_1, \ldots, \gamma_l) \mathcal{L}_{\gamma_l} = \text{id}.$$

For the first few terms in the expansion, we have more transparent expressions.

The zeroth order term

$$(h \circ \kappa)(q, p)u(q, p)$$

is provided by $\alpha = \beta = 0$. For the first order term, there are three contributions.

1. The terms with $|\beta| = 1$, $\alpha = \beta$, which result in

$$\varepsilon \operatorname{tr} \left(((\partial_a \partial_{\overline{a}} h) \circ \kappa) (q, p) \right) u(q, p).$$

2. The terms $|\beta| = 1$, $\alpha = 0$, which give

$$-\varepsilon \operatorname{div}_z \left(\left((\partial_{\overline{a}} h)^\dagger \circ \kappa \right) (q, p) \left(\Theta^x \right)^{\frac{1}{2}} \mathcal{Z}^{-1}(q, p) u(q, p) \right).$$

3. The first order contribution of terms $|\beta| = 2$, $\alpha = 0$, which is

$$\varepsilon \operatorname{tr} \left(\mathcal{Z}^{-1}(q, p) \partial_z \overline{Z}^\kappa(q, p) ((\operatorname{Hess}_{\overline{a}} h) \circ \kappa) (q, p) \left(\Theta^x \right)^{\frac{1}{2}} \right) u(q, p).$$

By an application of the chain rule, they may be combined to

$$= -\varepsilon \operatorname{div}_z \left(\left((\partial_{\overline{a}} h)^\dagger \circ \kappa \right) (q, p) \left(\Theta^x \right)^{\frac{1}{2}} \mathcal{Z}^{-1}(q, p) u(q, p) \right)$$

$$+ \varepsilon \operatorname{tr} \left(\mathcal{Z}^{-1}(q, p) \partial_z \left(\left(\Theta^x \right)^{\frac{1}{2}} ((\partial_{\overline{a}} h) \circ \kappa) (q, p) \right) \right) u(q, p).$$

The second order term arises in a similar way.

The form of the coefficients of the differential operators $L_n[h; \kappa; \Theta^x, \Theta^y]$ follows, if $\mathcal{Z}^{-1}(q, p)$ is expressed by the formula of minors. With respect to the symbol class of v_n, it is sufficient to note that $\kappa \in S[1; 2d]$.

We turn to the discussion of the remainder. The $(a - Z^\kappa(q, p))$ and $(\overline{a} - \overline{Z}^\kappa(q, p))$-factors may be converted to ε-dependence analogously to h_T, resulting in terms of order ε^{N+1} to $\varepsilon^{2\tilde{N}+2}$. As $h(x, \xi)$ is polynomial in ξ, the resulting expression equals the application of a differential operator of order m_ξ to an FIO. We have $\partial_x \Phi^\kappa(x, y, q, p; \Theta^x, \Theta^y) = \Xi^\kappa(q, p) + i \Theta^x(x - X^\kappa(q, p))$, hence the symbol class by iterative applications of Lemma 3.

Remark 8. In the case $\kappa = \operatorname{id}$, $u(q, p) = 1$, $\Theta^x = \Theta^y = \operatorname{id}$, the proof provides the asymptotic expansion of the Anti-Wick symbol of a Weyl quantised pseudodifferential operator.

We have $\mathcal{Z}(q, p) = 2 \operatorname{id}$, $Z^\kappa = q + ip$ and $\overline{Z}^\kappa = q - ip$. Hence $\partial_z \overline{Z}^\kappa = 0$, $\mathcal{L}_{(e_j, e_k)} = 0$ and $(\mathcal{L}_{e_j} u)(q, p) = -(\partial_{z_j} u)(q, p)$. Moreover, all $\mathcal{L}_{e_j}$ commute.

By straightforward calculation, the Anti-Wick symbol is

$$\sum_{|\beta|=0}^{N} \frac{(-1)^{|\beta|} \varepsilon^{|\beta|}}{\beta!} \left(\Delta^\beta h \right) (q, p),$$

where

$$\Delta^\beta = \prod_{k=1}^{d} (\partial_{x_k}^2 + \partial_{\xi_k}^2)^{\beta_k}.$$

Thus formally

$$h_{\text{AW}} = e^{-\varepsilon \Delta} h_{\text{Weyl}},$$

so we recover that the Anti-Wick quantisation is the solution of the Cauchy-problem for the inverse heat-equation at time $t = \varepsilon$ with the Weyl-symbol as initial datum (compare [Ma02], where the conversion is expressed by the heat-kernel).

Next, we give the easy proof of Proposition 3:

Proof (of Proposition 3). By direct computation $i\varepsilon \frac{d}{dt}\mathcal{I}^{\varepsilon}\left(\kappa^{t};u\right)$ is an FIO with symbol

$$i\varepsilon \frac{d}{dt}u(t,q,p) - u(t,q,p)\frac{d}{dt}\Phi^{\kappa^{t}}(x,y,q,p;\Theta^{x}(t),\Theta^{y})$$

$$= i\varepsilon \frac{d}{dt}u(t,q,p) - u(t,q,p)\left[\frac{d}{dt}S^{\kappa^{t}}(q,p) - \frac{d}{dt}X^{\kappa^{t}}(q,p)\cdot\Xi^{\kappa^{t}}(q,p)\right.$$

$$+ \left(\frac{d}{dt}\Xi^{\kappa^{t}}(q,p) - i\left(\Theta^{x}(t)\frac{d}{dt}X^{\kappa^{t}}(q,p)\right)\right)\cdot\left(x - X^{\kappa^{t}}(q,p)\right)$$

$$\left. + \frac{i}{2}\left(x - X^{\kappa^{(t,s)}}(q,p)\right)\cdot\frac{d}{dt}\Theta^{x}(t)\left(x - X^{\kappa^{(t,s)}}(q,p)\right)\right].$$

The expressions (22) and (23) follow from applications of Lemma 3, where the quadratic term contributes to v_1 and v_2:

$$\left(x - X^{\kappa}(q,p)\right)\cdot\frac{d}{dt}\Theta^{x}(t)\left(x - X^{\kappa}(q,p)\right)u(q,p)$$

$$\sim -\varepsilon\mathrm{div}_{z}\left(u(q,p)(x - X^{\kappa}(q,p))^{\dagger}\frac{d}{dt}\Theta^{x}(t)\mathcal{Z}^{-1}(q,p)\right)$$

$$= -\varepsilon\mathrm{tr}\left(\partial_{z}\left[u(q,p)(x - X^{\kappa}(q,p))^{\dagger}\frac{d}{dt}\Theta^{x}(t)\mathcal{Z}^{-1}(q,p)\right]\right)$$

$$= \varepsilon\mathrm{tr}\left(A(q,p)\mathcal{Z}^{-1}(q,p)X_{z}^{\kappa}(q,p)\right)u(q,p)$$

$$- \varepsilon\sum_{k=1}^{d}(x - X^{\kappa}(q,p))^{\dagger}\left(\partial_{z_k}\left(\frac{d}{dt}\Theta^{x}(t)\mathcal{Z}^{-1}(q,p)e_k\,u(q,p)\right)\right)$$

$$\sim \varepsilon\mathrm{tr}\left(\mathcal{Z}^{-1}(q,p)X_{z}^{\kappa}(q,p)\frac{d}{dt}\Theta^{x}(t)\right)u(q,p)$$

$$+ \varepsilon^{2}\sum_{k=1}^{d}\mathrm{div}_{z}\left[\partial_{z_k}\left(\frac{d}{dt}\Theta^{x}(t)\mathcal{Z}^{-1}(q,p)e_k\,u(q,p)\right)^{\dagger}\mathcal{Z}^{-1}(q,p)\right].$$

We close this section with the proof of Proposition 4.

Proof (of Proposition 4). The proof relies on the inner product

$$\left\langle g_{\kappa'(q_0,p_0)}^{\varepsilon,\overline{\Theta^{x}}}, \mathcal{I}^{\varepsilon}\left(\kappa;w;\Theta^{x},\Theta^{y}\right)g_{(q_0,p_0)}^{\varepsilon,\Theta^{y}}\right\rangle \tag{33}$$

for symbols $w \in S[0;2d]$ and canonical transformations κ,κ' of class $\mathcal{B}$, where

$$g_{(q,p)}^{\varepsilon,\Theta}(x) = \frac{\det(\Re\Theta)^{\frac{1}{4}}}{(\pi\varepsilon)^{d/4}}e^{-(x-q)\cdot\Theta(x-q)/2\varepsilon}e^{ip\cdot(x-q)/\varepsilon}.$$

Straightforward calculation gives

$$(33) = \frac{2^{d}}{(\pi\varepsilon)^{d}}\frac{\det(\Re\Theta^{x})^{\frac{1}{4}}\det(\Re\Theta^{y})^{\frac{1}{4}}}{\det(2\Theta^{x})^{\frac{1}{2}}\det(2\Theta^{y})^{\frac{1}{2}}}\int e^{\frac{i}{\varepsilon}\Psi^{\kappa,\kappa'}(q_0,p_0,q,p)}w(q,p)\,dq\,dp,$$

where

$$\Psi^{\kappa,\kappa'}(q, p, q_0, p_0) = S^\kappa(q, p)$$

$$+\frac{1}{2}(q - q_0)(p + p_0) - \frac{1}{2}(X^\kappa(q, p) - X^{\kappa'}(q_0, p_0))(\Xi^\kappa(q, p) + \Xi^{\kappa'}(q_0, p_0))$$

$$+i\,(q_0 - q) \cdot \Theta^y\,(q_0 - q)/4 + i\,(p_0 - p) \cdot (\Theta^y)^{-1}\,(p_0 - p)/4$$

$$+i\left(X^{\kappa'}(q_0, p_0) - X^\kappa(q, p)\right) \cdot \Theta^x\left(X^{\kappa'}(q_0, p_0) - X^\kappa(q, p)\right)/4$$

$$+i\left(\Xi^{\kappa'}(q_0, p_0) - \Xi^\kappa(q, p)\right) \cdot (\Theta^x)^{-1}\left(\Xi^{\kappa'}(q_0, p_0) - \Xi^\kappa(q, p)\right)/4.$$

We choose $\sigma \in C_0^\infty(\mathbb{R}^{2d}, \mathbb{R})$ with $\sigma = 1$ in a neighborhood of (q_0, p_0) and split the integral into

$$\left\langle g_{\kappa'(q_0, p_0)}^{\varepsilon, \overline{\Theta^x}}, \mathcal{I}^\varepsilon\left(\kappa; \sigma w; \Theta^x, \Theta^y\right) g_{\kappa(q_0, p_0)}^{\varepsilon, \Theta^y}\right\rangle \tag{34}$$

$$+\left\langle g_{\kappa'(q_0, p_0)}^{\varepsilon, \overline{\Theta^x}}, \mathcal{I}^\varepsilon\left(\kappa; (1 - \sigma)w; \Theta^x, \Theta^y\right) g_{\kappa(q_0, p_0)}^{\varepsilon, \Theta^y}\right\rangle. \tag{35}$$

It is easily seen that

$$\Im\Psi(q, p, q_0, p_0) = 0 \text{ and } (\nabla_{(q, p)}\Re\Psi)(q, p, q_0, p_0) = 0$$

if and only if $(q, p) = (q_0, p_0)$ and $\kappa(q_0, p_0) = \kappa'(q_0, p_0)$. Thus the phase in (35) is non-stationary on the support of $w(1 - \sigma)$, so after integrations by parts with the operator

$$\frac{-i\varepsilon}{\|\nabla_{(q, p)}\Psi(q_0, p_0, q, p)\|^2}\overline{\nabla_{(q, p)}\Psi(q_0, p_0, q, p)} \cdot \nabla_{(q, p)},$$

we have $\lim_{\varepsilon \to 0}$ (35) $= 0$. By the same argument, the case $\kappa(q_0, p_0) \neq \kappa'(q_0, p_0)$ gives $\lim_{\varepsilon \to 0}$ (34) $= 0$. In the case $\kappa(q_0, p_0) = \kappa'(q_0, p_0)$, we have

$$\text{Hess}_{(q_0, p_0)}\Psi^{\kappa,\kappa} = \frac{i}{2}\begin{pmatrix} \Theta^y & 0 \\ 0 & (\Theta^y)^{-1} \end{pmatrix} + \frac{i}{2}F^\kappa(q_0, p_0)^\dagger\begin{pmatrix} \Theta^x & 0 \\ 0 & (\Theta^x)^{-1} \end{pmatrix}F^\kappa(q_0, p_0),$$

at the stationary points, so by the Stationary Phase Theorem (Theorem 7.7.5. in [Hö83])

$$\lim_{\varepsilon \to 0}\left\langle g_{\kappa(q_0, p_0)}^{\varepsilon, \overline{\Theta^x}}, \mathcal{I}^\varepsilon\left(\kappa; \sigma w; \Theta^x, \Theta^y\right) g_{\kappa(q_0, p_0)}^{\varepsilon, \Theta^y}\right\rangle = C[\kappa; \Theta^x; \Theta^y]w(q_0, p_0),$$

with the non-vanishing constant

$$C[\kappa; \Theta^x; \Theta^y] = 2^{2d}\frac{\det(\Re\Theta^x)^{\frac{1}{4}}(\Re\Theta^y)^{\frac{1}{4}}}{\det(\Theta^x)^{\frac{1}{2}}\det(\Theta^y)^{\frac{1}{2}}}$$

$$\det\left(\begin{pmatrix} \Theta^y & 0 \\ 0 & (\Theta^y)^{-1} \end{pmatrix} + F^\kappa(q_0, p_0)^\dagger\begin{pmatrix} \Theta^x & 0 \\ 0 & (\Theta^x)^{-1} \end{pmatrix}F^\kappa(q_0, p_0)\right)^{-\frac{1}{2}}.$$

Subsuming this discussion, we have

$$0 = \lim_{\varepsilon \to 0}\left\langle g_{\kappa_1(q_0, p_0)}^{\varepsilon, \Theta^x}, \left[\mathcal{I}^\varepsilon(\kappa_1; u; \Theta^x, \Theta^y) - \mathcal{I}^\varepsilon(\kappa_2; v; \Theta^x, \Theta^y)\right] g_{\kappa_1(q_0, p_0)}^{\varepsilon, \Theta^y}\right\rangle$$

$$= \begin{cases} C[\kappa_1; \Theta^x; \Theta^y]u(q_0, p_0) & \kappa_1(q_0, p_0) \neq \kappa_2(q_0, p_0) \\ C[\kappa_1; \Theta^x; \Theta^y]u(q_0, p_0) - C[\kappa_2; \Theta^x; \Theta^y]v(q_0, p_0) & \kappa_1(q_0, p_0) = \kappa_2(q_0, p_0). \end{cases}$$

In the case $\kappa_1(q_0, p_0) \neq \kappa_2(q_0, p_0)$ we immediately get $u(q_0, p_0) = 0$ and by symmetry $v(q_0, p_0) = 0 = u(q_0, p_0)$. In the case $\kappa_1(q_0, p_0) = \kappa_2(q_0, p_0)$, we either have $u(q_0, p_0) = 0$ or $u(q_0, p_0) \neq 0$. In the first case, we immediately get $v(q_0, p_0) = 0 = u(q_0, p_0)$. In the second case u does not vanish in a neighbourhood of (q_0, p_0). Hence $\kappa_1 = \kappa_2$ in the same neighborhood, thus $C[\kappa_1; \Theta^x; \Theta^y] = C[\kappa_2; \Theta^x; \Theta^y]$ and so $u(q_0, p_0) = v(q_0, p_0)$.

A. Oscillatory Integrals

We present the standard machinery of oscillatory integrals. For the definition of expressions like

$$\frac{1}{(2\pi\varepsilon)^{(d+D)/2}} \int_{\mathbb{R}^d} \int_{\mathbb{R}^D} e^{\frac{i}{\varepsilon}\Phi(x,y,\theta)} a(x, y, \theta) \, d\theta \, dy, \tag{36}$$

with $a(x, y, \theta) \in S[(d, d, D), (+\infty, -(d+1), +\infty)]$, which have no sense as an ordinary Lesbegue-integral because of the lack of decay in θ, two approaches can be taken. First, one can choose a function $\sigma \in \mathcal{S}(\mathbb{R}^D)$ with $\sigma(0) = 1$ and set

$$(36) := \lim_{\lambda \to \infty} \frac{1}{(2\pi\varepsilon)^{(d+D)/2}} \int_{\mathbb{R}^d} \int_{\mathbb{R}^D} \sigma(\theta/\lambda) e^{\frac{i}{\varepsilon}\Phi(x,y,\theta)} a(x, y, \theta) \, d\theta \, dy.$$

To show the independence of the function σ a second technique is needed. Under suitable conditions on the phase function, see e.g. [Ma02] the operator

$$L_y = \frac{1}{1 + |\nabla_y \Phi(x, y, \theta)|^2} \left[1 - i\varepsilon\nabla_y \overline{\Phi(x, y, \theta)} \cdot \nabla_y\right]$$

provides decay in θ by partial integrations, i.e.

$$\left|\left(L_y^\dagger\right)^k u\right| \leq \frac{M_k}{\left(1 + |\theta|^2\right)^{k/2}} \sum_{|\alpha| \leq k} |\partial_y^\alpha u|,$$

where $L_y^\dagger$ is the symmetric of L_y defined by

$$\int (L_y\varphi)(y)\psi(y) \, dy = \int \varphi(y) \left(L_y^\dagger\psi\right)(y) \, dy \quad \forall \varphi, \psi \in \mathcal{S}(\mathbb{R}^d).$$

Hence an alternative definition is provided by

$$(36) = \frac{1}{(2\pi\varepsilon)^{(d+D)/2}} \int_{\mathbb{R}^d} \int_{\mathbb{R}^D} e^{\frac{i}{\varepsilon}\Phi(x,y,\theta)} \left(L_y^\dagger\right)^k a(x, y, \theta) \, d\theta \, dy.$$

For the special case of the phase function Φ^κ, the operator L_y reads

$$L_y = \frac{1 - i\varepsilon(p + i\Theta^y(y - q)) \cdot \nabla_y}{1 + |p + i\Theta^y(y - q)|^2}$$

and provides decay in the p-variable, compare [RoSw07]. Moreover, the amplitude a is given by

$$a(x, y, q, p) = u(x, y, q, p)\varphi(y),$$

which is of Schwartz-class with respect to y.

B. Gaussian Integrals with Non-Real Matrices

We consider the convex cone $\mathcal{C}$ of complex symmetric matrices with positive definite real part. Every matrix of $\mathcal{C}$ is invertible with its spectrum included in the open half plane $\{z | \Re z > 0\}$. It follows from matrix theory (see [JoOkRe01]) that each element of $\mathcal{C}$ admits an unique square root in $\mathcal{C}$. Furthermore, the square root of M is given by the Dunford-Taylor integral (see [Ka66] I.§5.6)

$$M^{1/2} = \frac{1}{2\pi i} \int_\Gamma z^{1/2}(M - z)^{-1} \, dz,$$

where the integration path is a closed contour in the half-plane $\{z | \Re z > 0\}$ making a turn around each eigenvalue in the positive direction and the value of $z^{1/2}$ is chosen so that it is positive for real positive z. As a consequence, the square root $M^{1/2}$ is an holomorphic function of M. If one considers the computation of the Gaussian integral

$$\frac{1}{(2\pi\varepsilon)^{d/2}} \int_{\mathbb{R}^d} e^{-\frac{M}{2\varepsilon}x \cdot x} \, dx,$$

it is well-known that its value is given by $(\det M)^{1/2} = \det(M^{1/2})$ for positive definite real symmetric M. From the above discussion, it directly follows that this property extends to any matrix $M \in \mathcal{C}$ (see Appendix A in [Fo89] or Sect. 3.4. in [Hö83] for an alternative explanation).

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