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# FIRST-FIT COLORING OF CARTESIAN PRODUCT GRAPHS AND ITS DEFINING SETS

MANOUCHEHR ZAKER

In memory of a friend and combinatorist Mojtaba Mehrabadi (1969–1998)

ABSTRACT. Let the vertices of a Cartesian product graph  $G \Box H$  be ordered by an ordering  $\sigma$ . By the First-Fit coloring of  $(G \Box H, \sigma)$ , we mean the vertex coloring procedure which scans the vertices according to the ordering  $\sigma$  and for each vertex assigns the smallest available color. Let  $FF(G\Box H, \sigma)$  be the number of colors used in this coloring. By introducing the concept of descent, we obtain a sufficient condition to determine whether  $FF(G\Box H, \sigma) = FF(G\Box H, \tau)$ , where  $\sigma$  and  $\tau$  are arbitrary orderings. We study and obtain some bounds for  $FF(G\Box H, \sigma)$ , where  $\sigma$ is any quasi-lexicographic ordering. The First-Fit coloring of  $(G\Box H, \sigma)$ does not always yield an optimum coloring. A greedy defining set of  $(G\Box H, \sigma)$  is a subset S of vertices in the graph together with a suitable pre-coloring of S such that by fixing the colors of S, the First-Fit coloring of  $(G \Box H, \sigma)$  yields an optimum coloring. We show that the First-Fit coloring and greedy defining sets of  $G \Box H$  with respect to any quasi-lexicographic ordering (including the known lexicographic order) are all the same. We obtain upper and lower bounds for the smallest cardinality of a greedy defining set in  $G\Box H$ , including some extremal results for Latin squares.

## 1. INTRODUCTION

Let  $(G, \sigma)$  be a graph whose vertices are ordered by an ordering  $\sigma$ . The First-Fit coloring of  $(G, \sigma)$  scans the vertices of G according to the ordering  $\sigma$ , assigns the color 1 to the first vertex and at each step of the coloring, assigns the minimum available number to a vertex v which has not appeared in the set of previously colored neighbors of v. Denote the number of colors used in First-Fit coloring of  $(G, \sigma)$  by  $FF(G, \sigma)$ . The famous Grundy number (also known as the First-Fit chromatic number) of G, denoted by  $\Gamma(G)$  is defined as  $\Gamma(G) = \max_{\sigma} FF(G, \sigma)$ , where the maximum is taken over all orderings  $\sigma$  on V(G). There are many papers concerning the Grundy number

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and First-Fit coloring of graphs (e.g., [1, 3, 4, 7, 11]). It is clear that for any ordering  $\sigma$  on the vertex set of G we have  $\chi(G) \leq FF(G, \sigma) \leq \Gamma(G)$ . The inequality  $\Gamma(G) \leq \Delta(G) + 1$  also holds, where  $\Delta(G)$  is the maximum degree in G. However, there is no any upper bound for  $\Gamma(G)$  in terms of any function of  $\chi(G)$ . For example, trees may have arbitrary large Grundy numbers. The primary motivation to study the Grundy number of graphs is due to the fact that we do not know for which orderings  $\sigma$  on the vertex set of a graph G, the First-Fit algorithm outputs a coloring with a reasonable number (with respect to  $\chi(G)$ ) of colors. For this reason we study the worst-case behavior of the First-Fit algorithm, that is the Grundy number of graphs. Note that throughout the paper the complete graph on n vertices is denoted by  $K_n$ . In this paper we study the First-Fit coloring of the Cartesian product of graphs, hence we need to present the required terminology.

Let G = (V(G), E(G)) and H = (V(H), E(H)) be any two undirected graphs without any loops or multiple edges. By the Cartesian product  $G \Box H$ we mean a graph on the vertex set  $V(G) \times V(H)$ , where any two vertices (u, v) and (u', v') are adjacent if and only if either u = u' and  $vv' \in E(H)$  or  $uu' \in E(G)$  and v = v'. There exists an efficient  $\mathcal{O}(m \log n)$  algorithm such that given any graph L on n vertices and m edges, the algorithm determines whether L is the Cartesian product of two graphs and in this case outputs Gand H such that  $L = G \Box H$  [2]. Let G be a graph whose vertices are ordered by an ordering  $\sigma$ . For any two vertices u and u' of G, by  $\sigma(u) < \sigma(u')$  we mean the vertex u appears before u' in the ordering  $\sigma$ . Assume that  $(G, \sigma)$ and  $(H, \sigma')$  are two ordered graphs. The *lexicographic ordering* of  $G \Box H$ induced by  $\sigma$  and  $\sigma'$  is defined as follows. Let (u, v) and (u', v') are two vertices of  $G \Box H$ . Then in the lexicographic ordering, (u, v) appears before (u', v') if and only if either  $\sigma(u) < \sigma(u')$  or  $\sigma'(v) < \sigma'(v')$ . By  $(G \Box H, lex)$ we mean the graph  $G \Box H$  whose vertices are ordered lexicographically. The lexicographic order is not the only ordering to be studied in this paper. But the systematic representation of  $G \Box H$  is by a  $|G| \times |H|$  array, where the rows are indexed by the vertices of G and arranged up-down according to the ordering of V(G): the columns are indexed by the vertices of H and arranged left-right according the ordering of V(H). For example, while vertex coloring  $G \Box H$ , the systematic way is to scan the vertices of  $G \Box H$ from left to right and from top to bottom. Observe that this is equivalent to the scanning of  $V(G\Box H)$  according to the lexicographic order. Hence the lexicographic ordering is a natural ordering of the vertices of  $G \Box H$ . In the following we define quasi-lexicographic orderings of  $V(G \Box H)$ .

**Definition 1.1.** Let  $(G, \sigma)$  and  $(H, \sigma')$  be two ordered graphs. An ordering  $\tau$  on the vertex set of  $G \Box H$  is called quasi-lexicographic if for any two vertices (u, v) and (u', v') in  $G \Box H$ ,  $\tau(u, v) < \tau(u', v')$  implies  $\sigma(u) < \sigma(u')$  or  $\sigma'(v) < \sigma'(v')$ .

For any two graphs  $(G, \sigma)$  and  $(H, \sigma')$ , there are many quasi-lexicographic orders corresponding to  $G \Box H$  but only one of them is the lexicographic order. In fact, determining the number of quasi-lexicographic orders is a difficult problem. For example, there are 26 quasi-lexicographic orderings for the graph  $K_3 \square K_3$ . Arrange the vertices of  $K_3 \square K_3$  lexicographically by  $1, \ldots, 9$ . Then 1, 4, 7, 2, 3, 5, 8, 6, 9 is a quasi-lexicographic order. The First-Fit coloring of Cartesian product of graphs has been the research subject of many papers. Notably, the Grundy number of Cartesian graph products were widely studied in the [1, 3, 4, 8, 7]. Unfortunately, as proved by Asté et al. [1], there is no upper bound for  $\Gamma(G \square G)$  in terms of any function in  $\Gamma(G)$ . This gives motivations to study First-Fit coloring of  $G \square H$  with certain vertex orderings on the vertex set of  $G \square H$ , such as the lexicographic or quasi-lexicographic orderings.

The paper is organized as follows: In section 2, we introduce the concept of descent and using this concept we prove that study of the First-Fit coloring with respect to quasi-lexicographic orderings is reduced to the First-Fit coloring of  $(G\Box H, lex)$  (Theorem 2.3). Then we obtain some results and bounds for  $FF(G\Box H, lex)$ . Section 3 is devoted to greedy defining sets (to be defined later) in vertex colorings of  $G\Box H$  and also Latin rectangles. In this section, we first prove that the study of greedy defining sets for quasilexicographic orderings is reduced to the study of greedy defining sets in  $FF(G\Box H, lex)$  (Theorem 3.3). Some upper and lower bounds are then obtained in this section. In Section 4, we propose some unsolved problems and a conjecture for further researches in the area of greedy defining sets.

## 2. First-Fit coloring of $(G\Box H, \sigma)$

Let  $\tau$  be any quasi-lexicographic ordering for the Cartesian product of  $(G, \sigma)$  and  $(H, \sigma')$ . In Theorem 2.3 we show that study of the First-Fit coloring of  $(G \Box H, \tau)$  is reduced to study of the First-Fit coloring of  $(G \Box H, lex)$ . We first need to introduce a key concept which we call *descent*.

**Definition 2.1.** Let G and H be two ordered graphs and  $\tau$  be any ordering for the vertices of  $G \Box H$ . Let also C be a proper vertex coloring of  $G \Box H$ using the colors 1, 2, ..., k. Let x and y be any two arbitrary colors with  $1 \leq x < y \leq k$  and v be an arbitrary vertex of  $G \Box H$  whose color in C is y. Let N be the set of neighbors of v in  $G \Box H$  whose color is x. Then  $\{v\} \cup N$  is said to be a  $(C, \tau)$ -descent for  $G \Box H$  if any vertex  $u \in N$  satisfies  $\tau(u) > \tau(v)$ . In the case that N is the empty set, then  $\{v\}$  is a descent.

Given  $(G \Box H, \tau, C)$ , we say C is descent-free if there exists no  $(C, \tau)$ -descent in  $G \Box H$ . The following theorem shows the relation between descent-free colorings and First-Fit coloring of  $G \Box H$ .

**Theorem 2.2.** Let C be a descent-free coloring of  $(G \Box H, \tau)$ , where  $\tau$  is an arbitrary ordering and let D be the coloring obtained by the First-Fit coloring of  $(G \Box H, \tau)$ , then C = D. In particular, the number of colors used in both colorings are the same.

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*Proof.* Assume that  $v_1, v_2, \ldots, v_n$  is an ordering of the vertices of  $G \Box H$ such that  $\tau(v_1) < \tau(v_2) < \ldots < \tau(v_n)$ . For any *i*, denote the color of  $v_i$  in  $\mathcal{C}$  (resp.  $\mathcal{D}$ ) by  $\mathcal{C}(v_i)$  (resp.  $\mathcal{D}(v_i)$ ). By the definition of First-Fit coloring,  $\mathcal{D}(v_1) = 1$ . We claim that  $\mathcal{C}(v_1) = 1$ . Otherwise let  $\mathcal{C}(v_1) = i > 1$ . Let N be the set of neighbors of  $v_1$  having the color 1 in  $\mathcal{C}$ . If  $N = \emptyset$  then  $\{v_1\}$  is descent. If  $N \neq \emptyset$  then by our choice of  $v_1$ , any  $u \in N$  satisfies  $\tau(u) > \tau(v_1)$ . In this case  $\{v_1\} \cup N$  is a descent, hence  $\mathcal{C}(v_1) = 1$ . Assume that  $v_1, \ldots, v_k$  satisfy  $\mathcal{C}(v_i) = \mathcal{D}(v_i)$  for any  $i \in \{1, \ldots, k\}$ . We prove that  $\mathcal{C}(v_{k+1}) = \mathcal{D}(v_{k+1})$ . Set  $\mathcal{C}(v_{k+1}) = j$ . Since  $\mathcal{C}$  is descent-free, for any  $i \in \{1, \ldots, j-1\}$  there exists a neighbor of  $v_{k+1}$ , call it  $v_{n_i}$ , such that  $\mathcal{C}(v_{n_i}) = i$  and  $\tau(v_{n_i}) < \tau(v_{k+1})$ . If follows that for any  $i \in \{1, \ldots, j-1\}$ ,  $v_{n_i} \in \{v_1, \ldots, v_k\}$ . Hence  $\mathcal{D}(v_{n_i}) = \mathcal{C}(v_{n_i}) = i$ . This implies that j is the first available color for coloring the vertex  $v_{k+1}$  by the First-Fit procedure. In other words,  $\mathcal{D}(v_{k+1}) = j = \mathcal{C}(v_{k+1})$ . It follows that  $\mathcal{C}$  and  $\mathcal{D}$  are the same coloring. 

In the following, we apply Theorem 2.2 for quasi-lexicographic orderings.

**Theorem 2.3.** Let C (resp. C') be the coloring of  $G \Box H$  obtained by the First-Fit coloring of  $(G \Box H, lex)$  (resp.  $(G \Box H, \tau)$ ), where  $\tau$  is any quasi-lexicographic ordering of  $G \Box H$ . Then C = C' and in particular,  $FF(G \Box H, lex) = FF(G \Box H, \tau)$ .

*Proof.* Since  $\mathcal{C}$  is obtained from the First-Fit coloring of  $(G \Box H, lex)$ , then there is no descent in  $(G \Box H, lex, \mathcal{C})$ . We show that  $\mathcal{C}$  is descent-free in  $(G\Box H, \tau, \mathcal{C})$ . Assume to the contrary that for some  $\alpha \in V(G\Box H), \{\alpha\} \cup N$ is a  $(\mathcal{C}, \tau)$ -descent. Denote the color of any vertex w of  $G \Box H$  in  $\mathcal{C}$  by  $\mathcal{C}(w)$ . Let  $\mathcal{C}(\alpha) = y$  and x be the color of any vertex in N. Recall the definition of descent to obtain  $\tau(\beta) > \tau(\alpha)$  for any vertex  $\beta \in N$ . In order to obtain a contradiction, we show that  $\{\alpha\} \cup N$  is a descent in  $(\mathcal{C}, lex)$ . For this purpose it is enough to show that for any  $\beta \in N$  we have  $lex(\beta) > lex(\alpha)$ . where by  $lex(\beta)$ , we mean the order of the vertex  $\beta$  in the lexicographic ordering. Let  $\alpha = (u, v)$  and  $\beta = (u', v')$  be any vertex of N, where  $u, u' \in$ G and  $v, v' \in H$ . Since  $\tau$  is quasi-lexicographic and  $\tau(u', v') > \tau(u, v)$ , then either u' > u in G or v' > v in H. If u' > u then by definition lex(u', v') > lex(u, v) (or  $lex(\beta) > lex(\alpha)$ ). But if  $u' \le u$  in G and v' > vin H then since (u, v) is adjacent to (u', v') we obtain u = u' and v' > v. It follows that in this case  $lex(\beta) > lex(\alpha)$ . This implies that  $\{\alpha\} \cup N$  is a descent in  $(\mathcal{C}, lex)$ , a contradiction. Hence  $\mathcal{C}$  is descent-free in  $(G \Box H, \tau, \mathcal{C})$ . By applying Theorem 2.2 for  $(\tau, \mathcal{C})$  we obtain  $\mathcal{C} = \mathcal{C}'$  and in particular.  $FF(G\Box H, lex) = FF(G\Box H, \tau).$ 

We devote the rest of the section to the study of the First-Fit coloring of  $(G\Box H, lex)$ . Since the First-Fit coloring of  $(K_m\Box K_n, lex)$  has a significant application in First-Fit coloring of  $(G\Box H, lex)$ , we begin with an elementary, albeit useful, result concerning the Grundy number of  $K_m\Box K_n$ .

#### Proposition 2.4.

$$\Gamma(K_m \Box K_n) = \begin{cases} m+n-1, & m < n\\ 2n-2, & m = n. \end{cases}$$

*Proof.* Assume that m < n. Since  $\Delta(K_m \Box K_n) = m + n - 2$  then it is enough to obtain a First-Fit coloring of  $K_m \Box K_n$  using m + n - 1 colors. Let (i, j)be any vertex of the graph. For each i, j with  $1 \le i \le m$  and  $1 \le j \le n-1$ , let the color of (i, j) be  $i + j - 1 \pmod{n-1}$  (replace the color 0 by n - 1). The result is a pre-coloring of the first n-1 columns of  $K_m \Box K_n$  using n-1colors such that any vertex of color say r has a neighbor with color s for any s with s < r. Now color greedily the vertices of the last column from top to bottom. We then obtain a First-Fit coloring using exactly m + n - 1colors. Assume now that m = n. In this case, using the previous part, it is enough to prove that no First-Fit coloring of  $K_n \Box K_n$  uses 2n-1 colors. Assume to the contrary that  $\mathcal{C}$  is a First-Fit coloring of the graph using 2n-1 color and let v be any vertex of color 2n-1 in C. Let i and j be the row and column of v in  $K_n \Box K_n$  respectively. Then all other vertices in row i or j have distinct colors from  $\{1, \ldots, 2n-2\}$ . Assume without loss of generality that the only vertex of color 1 is placed in row i. The n-1vertices in column j needs a neighbor with color 1. These vertices of color 1 needs n-1 distinct columns. But there are only n-2 available columns, a contradiction.

**Theorem 2.5.** Let  $(G, \sigma)$  and  $(H, \sigma')$  be two pairs of ordered graphs. Let  $FF(G, \sigma) = p$  and  $FF(H, \sigma') = q$ . Then

$$FF(G\Box H, lex) = FF(K_p\Box K_q, lex).$$

Proof. We prove the theorem by induction on |G|+|H|. The minimum possible value for |G|+|H| is 2. The assertion obviously holds when |G|+|H| = 2. Assume (induction hypothesis) that the assertion holds for all graphs G' and H' such that |G'|+|H'| < |G|+|H|. Consider now  $(G, \sigma)$  and  $(H, \sigma')$ . If both of these graphs are complete then the assertion trivially holds. Assume without generality that G is not complete. Let  $C_1, \ldots, C_p$  be the color classes obtained by the First-Fit coloring of  $(G, \sigma)$ . Since G is not complete, then at least one of the color classes has more than one vertex. Let  $C_k$  be a color class such that for any i < k,  $|C_i| = 1$  and  $|C_k| \ge 2$ . Note that for any i < k and any  $v \in C_k$ , there exists a vertex  $u \in C_i$  such that v is adjacent to u and  $\sigma(u) < \sigma(v)$ . Since for any i < k,  $|C_i| = 1$ , we obtain the following fact.

**Fact.** For any  $u \in C_1 \cup \cdots \cup C_{k-1}$  and any  $v \in C_k$  we have  $\sigma(u) < \sigma(v)$ .

There are two possibilities concerning the classes  $C_k, \ldots, C_p$  and the ordering  $\sigma$ .

Case 1: For any  $v_1, v_2 \in G$ , if  $v_1 \in C_k$  and  $v_2 \in C_{k+1} \cup \cdots \cup C_p$ , then  $\sigma(v_1) < \sigma(v_2)$ .

Let G' be the graph obtained from G by identifying all vertices in  $C_k$  with one vertex, say w. Let  $\tau$  be the ordering of the vertices in G' obtained by the restriction of  $\sigma$  on G'. By the above fact and the conditions of Case 1, for any  $v \in C_{k+1} \cup \cdots \cup C_p$  (resp.  $v \in C_1 \cup \cdots \cup C_{k-1}$ ) we have  $\tau(w) < \tau(v)$ (resp.  $\tau(w) > \tau(v)$ ). It is clear that  $FF(G', \tau) = p$  and |G'| < |G|. Also, by applying the induction hypothesis for  $G' \Box H$  we have  $FF(K_p \Box K_q, lex) =$  $FF(G' \Box H, lex)$ . We now show that  $FF(G' \Box H, lex) = FF(G \Box H, lex)$ . For any  $v \in V(G)$ , the subgraph of  $G \Box H$  induced by  $\{(v, u) : u \in V(H)\}$  is isomorphic to H. Denote this subgraph by H(v). Let v and v' be two arbitrary vertices of  $C_k$ . Because of the conditions in Case 1 and v, v' are not adjacent, we obtain that the colorings of H(v) and H(v') in the First-Fit coloring of  $(G \Box H, lex)$  are the same. Now we collapse  $C_k$  to obtain  $G' \Box H$  and its corresponding First-Fit coloring. This in particular shows that  $FF(G' \Box H, lex) = FF(G \Box H, lex)$  and completes the proof in this case.

Case 2: There exists  $v_1 \in C_k$  and  $v_2 \in C_{k+1} \cup \ldots \cup C_p$  such that  $\sigma(v_2) < \sigma(v_1)$ .

We change the order of  $v_1$  in  $\sigma$  as follows. Put the vertex  $v_1$  before (with respect to  $\sigma$ ) all vertices of  $\bigcup_{i=k+1}^{p} C_i$  and after all vertices in  $(\bigcup_{i=1}^{k} C_i) \setminus \{v_1\}$ . Denote the new ordering by  $\tau$ . The following holds for the ordering  $\tau$ . For any  $v \in \bigcup_{i=1}^{k} C_i$ ,  $\tau(v) < \tau(v_1)$  and for any  $u \in \bigcup_{i=k+1}^{p} C_i$ ,  $\tau(u) > \tau(v_1)$ . Also for any  $u, v \in V(G) \setminus \{v_1\}$ ,  $\sigma(u) < \sigma(v)$  if and only if  $\tau(u) < \tau(v)$ . We make the following two claims.

**Proposition 2.6.** The color classes in the First-Fit coloring of  $(G, \tau)$  are the same as the color classes in the First-Fit coloring of  $(G, \sigma)$ .

Proof of Proposition 2.6. For simplicity, denote the First-Fit coloring of  $(G, \sigma)$  and  $(G, \tau)$  by  $\mathcal{C}$  and  $\mathcal{C}'$  respectively. Then  $C_1, \ldots, C_p$  are the color classes in  $\mathcal{C}$ . First, note that any vertex in  $C_1$  gets the color 1 in  $\mathcal{C}'$ . Inductively, any vertex in  $C_1 \cup \ldots \cup C_k$  receives the same color in  $\mathcal{C}'$  since the only vertex whose order is changed is  $v_1$  and  $v_1 \in C_k$ . The vertex  $v_1$  has at least k-1distinct neighbors of colors  $1, \ldots, k-1$  (in the coloring  $\mathcal{C}$ ). These neighbors are still before  $v_1$  (in the ordering  $\tau$ ) and have the distinct colors  $1, \ldots, k-1$ (in the coloring  $\mathcal{C}'$ ). It follows that the color of  $v_1$  in  $\mathcal{C}'$  is k, as before. Now let u be any arbitrary vertex (including the vertex  $v_2$ ) whose color (in  $\mathcal{C}$ ) is i, for some i > k. We may assume from the induction that for any  $1 \leq j \leq i-1$ , the color (in  $\mathcal{C}'$ ) of any vertex in  $C_j$  is j. The order of  $v_1$  (with respect to  $\tau$ ) is before any vertex in  $C_{k+1} \cup \ldots \cup C_p$ . We conclude that the color of u in  $\mathcal{C}'$  is i.

**Proposition 2.7.** Let lex' be the lexicographic order induced by  $(G, \tau)$  and  $(H, \sigma')$ . Recall that lex is the lexicographic order corresponding to

 $(G, \sigma)$  and  $(H, \sigma')$ . We consider  $G \Box H$  with two orderings lex and lex'.  $FF(G \Box H, lex') = FF(G \Box H, lex).$ 

Proof of Proposition 2.7. Consider the vertex set of  $G \Box H$  as a  $|V(G)| \times$ |V(H)| array (denoted by  $\mathcal{A}$ ) of vertices, where the rows are indexed by the vertices of G and are ordered according to  $\sigma$  from the smallest order at the top to the highest order at the bottom of the rows; the columns of the array are indexed by  $\sigma'$  from the smallest order on left side to the highest order on the right side of the columns. The vertex set of  $(G \Box H, lex')$  is the same as this array in which the rows are ordered according to the order  $\tau$ . It is obvious each vertex in the first row of  $\mathcal{A}$  gets a same color in the First-Fit colorings of  $(G\Box H, lex')$  and  $(G\Box H, lex)$ . The same is true for the first column of  $\mathcal{A}$  because of Proposition 2.6. Let (v, u) be any vertex such that  $\sigma(v) < \sigma(v_1)$ . Let (v', u') be any vertex adjacent to (v, u) such that the order in lex of (v', u') is lower than (v, u). Now, either v = v' and  $\sigma'(u') < \sigma'(u)$ or u = u' and  $\sigma(v') < \sigma(v)$ . Note that in the second case,  $\tau(v') < \tau(v)$ . It follows that the order in lex' of (v', u') is lower than (v, u). This fact shows that the color of such a vertex (v, u) is identical in both colorings of  $G \Box H$ . Now consider a vertex of form  $(v_1, u)$  and let its color with respect to lex be t. Then there are t-1 neighbors of  $(v_1, u)$  with lower order (in lex) with the colors  $1, \ldots, t-1$ . Using the above argument, these neighbors are before (in the ordering lex') the vertex  $(v_1, u)$  and hence their colors are identical in the both colorings. It turns out that  $(v_1, u)$  gets the color t in the First-Fit coloring of  $FF(G\Box H, lex')$ . The remaining vertices are obtained using a similar method and we proceed row by row until are vertices are checked and we obtain that the two First-Fit colorings are identical. 

We continue the proof of the theorem. If Case 1 holds for  $(G, \tau)$  then using the argument of Case 1, we obtain  $FF(G\Box H, lex') = FF(K_p\Box K_q, lex)$ . If Case 2 holds for  $(G, \tau)$ , then we replace  $(G, \sigma)$  by  $(G, \tau)$  and repeat the above technique for  $(G, \tau)$ . Let  $B(\sigma)$  be the set consisting of the vertices  $v \in C_k$  such that there exists a vertex  $u \in \bigcup_{i=k+1}^p C_i$  with  $\sigma(u) < \sigma(v)$ . Note that each time we obtain a new ordering  $\tau$  from  $\sigma$ ,  $|B(\sigma)|$  strictly decreases. By repeating this technique we eventually obtain an ordering  $\tau''$ such that  $B(\tau'')$  vanishes. This means that Case 1 holds for  $(G, \tau'')$ . Let lex'' be the lexicographic order corresponding to  $\tau''$  and  $\sigma'$ . We finally obtain  $FF(G\Box H, lex) = FF(G\Box H, lex'') = FF(K_p\Box K_q, lex)$ , as desired.  $\Box$ 

As we mentioned earlier,  $\Gamma(G \Box G)$  does not admit any upper bound in terms of  $\Gamma(G)$ . However, for  $FF(G \Box G, lex)$ , we have a much better result.

**Theorem 2.8.** For any  $(G, \sigma)$  and  $(H, \sigma')$  we have

$$\begin{cases} FF(G\Box H, lex) \leq \Gamma(G) + \Gamma(H) - 1, \\ FF(G\Box G, lex) \leq 2\Gamma(G) - 2. \end{cases}$$

*Proof.* Let  $FF(G, \sigma) = p$ ,  $FF(H, \sigma') = q$ , and  $p \leq q$ . By Theorem 2.5 and Proposition 2.4 we have the following lines of inequalities which yield the result.

$$FF(G\Box H, lex) = FF(K_p\Box K_q, lex) \le \Gamma(K_p\Box K_q) = p + q - 1$$
  
$$\le \Gamma(G) + \Gamma(H) - 1.$$
  
$$FF(G\Box G, lex) = FF(K_p\Box K_p, lex) \le \Gamma(K_p\Box K_p) = 2p - 2$$
  
$$\le 2\Gamma(G) - 2.$$

Let  $\mathbb{Z}_2 = \{0, 1\}$  be the only group of size two. Let also  $\mathbb{G}_t$  be the direct sum of t copies of  $\mathbb{Z}_2$ , i.e.  $\mathbb{G}_t = \mathbb{Z}_2 \bigoplus \ldots \bigoplus \mathbb{Z}_2$ . Consider  $\mathbb{G}_t$  as an additive group and denote its elements by  $0, 1, \ldots, n-1$ , where  $n = 2^t$ . Note that the order of each element of  $\mathbb{G}_t$  (other than 0) is two. Let  $\mathcal{A}_t$  be the Cayley table of  $\mathbb{G}_t$ . Then  $\mathcal{A}_t$  is obtained as follows: Consider an  $n \times n$  array whose rows (from top to bottom) and columns (from left to right) are indexed by  $0, 1, \ldots, n-1$ . For any row i and column j, the value of  $\mathcal{A}_t$  in position (i, j)is i+j, where + stands for the addition operation of  $\mathbb{G}_t$ . Let  $\mathcal{C}_t$  be the  $n \times n$ array obtained by adding one to every entry of  $\mathcal{A}_t$ . The entry set of  $\mathcal{C}_t$  is  $\{1, \ldots, n\}$ . The array  $\mathcal{C}_3$  is depicted in Figure 1.

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|---|---|---|---|---|---|---|---|
| 2 | 1 | 4 | 3 | 6 | 5 | 8 | 7 |
| 3 | 4 | 1 | 2 | 7 | 8 | 5 | 6 |
| 4 | 3 | 2 | 1 | 8 | 7 | 6 | 5 |
| 5 | 6 | 7 | 8 | 1 | 2 | 3 | 4 |
| 6 | 5 | 8 | 7 | 2 | 1 | 4 | 3 |
| 7 | 8 | 5 | 6 | 3 | 4 | 1 | 2 |
| 8 | 7 | 6 | 5 | 4 | 3 | 2 | 1 |

FIGURE 1. The array  $C_3$ 

| Theorem 2.9. | Let $(G, \sigma)$ be any ordered graph. Then              |
|--------------|---|
|              | $FF(G\Box G, lex) = 2^{\lceil \log FF(G,\sigma) \rceil}.$ |

Proof. We first show that  $FF(K_n \Box K_n, lex) = 2^t$ , where t is such that  $2^{t-1} < n \leq 2^t$ . Note that  $t = \lceil \log n \rceil$ . Assume first that  $n = 2^t$ . It is easy to check by hand that the  $n \times n$  array obtained by the First-Fit coloring of  $(K_n \Box K_n, lex)$  is the same as the array  $C_t$ , where the entries belong to  $\{1, \ldots, n\}$ . Hence  $FF(K_n \Box K_n, lex) = 2^t$  in this case. Now let t be such that  $2^{t-1} < n < 2^t$ . Let  $\mathcal{T}$  be the array obtained from the first n rows and n

columns of  $C_t$ . Since  $2^{t-1} < n < 2^t$  then exactly the entries  $1, 2, \ldots, 2^t$  appear in  $\mathcal{T}$ . From the other side, the First-Fit coloring of  $(K_n \Box K_n, lex)$ , as an  $n \times n$ array, is the same as the array  $\mathcal{T}$ . It follows that  $FF(K_n \Box K_n, lex) = 2^t$ , where  $t = \lceil \log n \rceil$ .

Now we consider the general ordered graph  $(G, \sigma)$ . Set for simplicity  $n = FF(G, \sigma)$ . By Theorem 2.5 and the above result for  $K_n \Box K_n$  we have the following relations which complete the proof.

$$FF(G\Box G, lex) = FF(K_n \Box K_n, lex) = 2^{\lceil \log n \rceil}.$$

#### 3. Greedy defining sets in $G\Box H$

The topic of defining sets is a well-known area of combinatorics and appears in graph colorings, Latin squares, combinatorial designs, etc. There are many papers concerning defining sets. We refer the reader to [6] and the survey paper [5]. Greedy defining sets of graphs were first defined in [10]. In the case of Latin squares, they were studied in [12] and later in [9, 13]. In this paper we consider this concept for Cartesian product of graphs. The previous definition of greedy defining sets was given for the minimum vertex coloring of an ordered graph G with  $\chi(G)$  colors. In this paper we consider greedy defining sets for proper vertex colorings of  $G\Box H$  using k colors, where  $k \geq \chi(G\Box H)$ . We first define some general notation. Let  $(G, \sigma)$  be an ordered graph, S a subset of vertices in G, and  $\mathcal{C}(S)$  be a pre-coloring of the vertices of S. By the First-Fit coloring of  $(G, \sigma)$  subject to  $\mathcal{C}(S)$ , we mean the First-Fit coloring of  $(G, \sigma)$  such that the colors of the vertices of S is fixed and the algorithm skips the vertices of S while scanning the vertices of G.

**Definition 3.1.** Let C be a proper vertex coloring of  $(G \Box H, \tau)$  using k colors  $1, \ldots, k$ . Let S be a subset of vertices in  $G \Box H$  and C(S) be the pre-coloring of S obtained by the restriction of C to S. Then S is called a k-greedy defining set of  $(G \Box H, \tau, C)$  (or simply k-GDS) if the First-Fit coloring of  $(G \Box H, \tau)$  subject to C(S) is the same as the coloring C.

We have the following theorem concerning the relationship between greedy defining sets and descents. Proof of the following theorem is similar to the proof of analogous results in [10] and [13] and will be omitted.

**Theorem 3.2.** Let C be a proper vertex coloring of  $(G \Box H, \tau)$  using k colors. Let S be any subset of vertices such that S intersects any descent in  $(G \Box H, \tau, C)$ . Then the set S with its coloring from C is a k-GDS of C.

We apply Theorem 3.2 for quasi-lexicographic orderings of  $G\Box H$ . It implies that study of greedy defining sets with respect to quasi-lexicographic orders is reduced to the study of greedy defining sets in lexicographic order.

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**Theorem 3.3.** Let  $\tau$  be any quasi-lexicographic ordering and C be any proper vertex coloring of  $G \Box H$ . Then a subset S is a greedy defining set for  $(G \Box H, lex, C)$  if and only if it is a greedy defining set for  $(G \Box H, \tau, C)$ .

*Proof.* By the proof of Theorem 2.3, The collection of descents in  $(G \Box H, \tau, C)$  and  $(G \Box H, lex, C)$  are the same. The assertion follows by Theorem 3.2.  $\Box$ 

For the remainder of this section, we assume that the vertices (resp. entries) of  $G\Box H$  (resp. Latin rectangles) are ordered lexicographically. The next theorem shows the application of greedy defining sets of Latin rectangles in greedy defining sets of  $G\Box H$ . Let p and q be positive integers and  $p \leq q$ . Recall that a  $p \times q$  Latin rectangle is a  $p \times q$  array with entries  $1, 2, \ldots, q$  such that no entry is repeated in each row and column of R.

**Theorem 3.4.** Let  $(G, \sigma)$  and  $(H, \sigma')$  be any two ordered graphs. Let  $C_1, \ldots, C_p$  (resp.  $D_1, \ldots, D_q$ ) be the color classes in the First-Fit coloring of  $(G, \sigma)$  (resp.  $(H, \sigma')$ ), where  $FF(G, \sigma) = p$  and  $FF(H, \sigma') = q$  with  $p \leq q$ . Let R be any  $p \times q$  Latin rectangle whose rows are top-down indexed by  $1, \ldots, p$  and columns are left-right indexed by  $1, \ldots, q$ . Let also S be a greedy defining set for R. Then the set

$$\bigcup_{(i,j):(i,j)\in S} C_i \times D_j$$

is a greedy defining set for  $G\Box H$  using q colors.

Proof. Consider the following proper coloring  $\mathcal{C}$  for  $G \Box H$ . For any i and j with  $1 \leq i \leq p$  and  $1 \leq j \leq q$ , let e(i, j) be the entry of R in the position (i, j). Assign the entry e(i, j) to all vertices in  $C_i \times D_j$  as their color. Assume that a typical descent in R has entries in the positions  $(i_1, j_1), (i_1, j_2)$  and  $(i_2, j_1)$ , where  $i_1 < i_2$  and  $j_1 < j_2$ . We have  $e(i_1, j_2) = e(i_2, j_1) < e(i_1, j_1)$ . We note by our definition of  $\mathcal{C}$  that any vertex v from  $C_{i_1} \times D_{j_1}$  together with its all neighbors of color  $e(i_1, j_2)$  form a descent in  $\mathcal{C}$ . Conversely, any descent of  $\mathcal{C}$  is obtained by this method from a descent in R. Since S is a GDS in  $\mathbb{R}$ , it intersects any descent of R. The subset of vertices corresponding to S is  $\bigcup_{(i,j):(i,j)\in S} C_i \times D_j$ . It follows that the latter subset intersects any descent in  $\mathcal{C}$ .  $\Box$ 

Consider the set S and  $D = \bigcup_{(i,j):(i,j)\in S} C_i \times D_j$  in Theorem 3.4. We say that D is a subset of  $V(G \square H)$  corresponding to the set S of the Latin rectangle R. Denote by R(D) the coloring of D obtained by the entries of R. Another way to state Theorem 3.4 is the following.

**Theorem 3.5.** Let R be any  $p \times q$  Latin rectangle with  $p \leq q$  and S a GSD for (R, lex). Let  $(G, \sigma)$  and  $(H, \sigma')$  be two graphs with  $FF(G, \sigma) = p$  and  $FF(H, \sigma') = q$ . Let D be the subset of vertices of  $G \Box H$  corresponding to the elements of S. Then the First-Fit coloring of  $(G \Box H, lex)$  subject to R(D) uses  $FF(H, \sigma') = q$  colors.

The following corollary gives more information about descent-free colorings in  $G \Box H$ .

**Corollary 3.6.** Consider  $(G \Box G, lex)$  obtained from an ordered graph  $(G, \sigma)$ . Let C be any proper vertex coloring of  $G \Box G$  using  $\chi(G \Box G)$  colors. If C is descent-free, then for some k,  $\chi(G) = FF(G \Box G, lex) = 2^k$ .

*Proof.* Since there does not exist any descent in C, the First-Fit coloring of  $(G \Box G, lex)$  is the same as the coloring C using only  $\chi(G)$  colors. By Theorem 2.9,  $FF(G \Box G, lex) = 2^{\lceil \log FF(G,\sigma) \rceil} = \chi(G) \leq FF(G,\sigma)$ . Therefore,  $\log FF(G,\sigma)$  is integer. Hence  $FF(G,\sigma)$  is a power of two.

In Theorem 3.8 we will obtain an upper bound for the size of greedy defining sets in  $G\Box H$ . For this purpose we need to obtain an upper bound for the size of greedy defining sets in Latin rectangles. Before we state the next theorem, we need to introduce an object associated with any Latin rectangle. Let R be any Latin rectangle of size  $m \times n$  on the entry set  $\{1, 2, \ldots, n\}$ . Let  $i \in \{1, 2, \ldots, n\}$  be any arbitrary and fixed entry. There are m entries equal to i in R. First, a graph denoted by G[i] on these m entries is defined in the following form. Two entries  $e_1$  and  $e_2$  (which both are the same as i but in different rows and columns) are adjacent if and only if with an additional entry they form a descent in R. The disjoint union  $\bigcup_{i=1}^{n} G[i]$  forms a graph on mn vertices which we denote by G(R). In the following, we need an extremal result of Pál Turán: Let G be any graph on m vertices without any clique of size c, then G has at most  $(c-2)m^2/(2c-2)$  edges.

**Theorem 3.7.** Let R be any Latin rectangle of size  $m \times n$ . Then (R, lex) contains a GDS of size at most

$$nm - n + m - 1 - \frac{m\log(4m - 4)}{4}.$$

Proof. The proof is based on the fact that any vertex cover for G(R) is a GDS for R. The size of a minimum vertex cover equals mn minus the independence number of G(R). Hence we obtain an upper bound for the independence number of G(R). The number of edges of G[i] is maximized when the m entries of i lie in the northeast-southwest diagonal of R and the maximum possible number of entries greater than i are placed in the top of this diagonal. For  $i \ge n - m + 2$ , G[i] has at most  $\binom{m}{2} - \binom{i-n+m-1}{2}$ edges. Also, for  $i \le n - m + 1$ , G[i] has at most m(m-1)/2 edges. Let f(i) be the maximum number of independent vertices in G[i]. For any  $i \le n - m + 1$  we have  $f(i) \ge 1$ . But for any  $i \ge n - m + 2$ , since the complement graph of G[i] has not any clique of size f(i) + 1, then by Turán's result we obtain that G(i) has at most  $(f(i) - 1)m^2/2f(i)$  edges. Also since G[i] has at most  $\binom{m}{2} - \binom{i-n+m-1}{2}$  edges. It follows that for  $i \ge n - m + 2$ ,  $f(i) \ge m^2/[m^2 - (i - n + m)(i - n + m - 1)]$ . Using the substitution i = n - t, we obtain the following:

$$\sum_{i=1}^{n} f(i) \ge (n-m+1) + \sum_{t=0}^{m-2} \frac{m^2}{m^2 - (m-t)(m-t-1)},$$
$$\ge (n-m+1) + \sum_{t=0}^{m-2} \frac{m}{2t+1},$$
$$\ge (n-m+1) + \frac{m\log(4m-4)}{4}.$$

Since G(R) has at least  $(n - m + 1) + (m \log(4m - 4))/4$  independent vertices. Therefore G(R) has a vertex cover of size no more than  $nm - n + m - 1 - (m \log(4m - 4))/4$ . This completes the proof.

Using this upper bound for Latin rectangles, we obtain a bound for the general graph  $(G \Box H, lex)$ .

**Theorem 3.8.** Let  $FF(G, \sigma) = p$ ,  $FF(H, \sigma') = q$  and  $p \leq q$ . Then  $(G \Box H, lex)$  has a q-GDS of size at most

$$\alpha(G)\alpha(H)[pq - q + p - 1 - (p\log(4p - 4))/4].$$

*Proof.* Let R be a  $p \times q$  Latin rectangle. By Theorem 3.7, there exists a q-GDS for R with no more than  $[pq - q + p - 1 - (p \log(4p - 4))/4]$  entries. Note that any color class in G (resp. H) has at most  $\alpha(G)$  (resp.  $\alpha(H)$ ) vertices. By Theorem 3.4, we obtain a q-GDS for  $(G \Box H, lex)$  with no more than  $\alpha(G)\alpha(H)[pq - q + p - 1 - (p \log(4p - 4))/4]$  elements.  $\Box$ 

Let L be any Latin square of size  $n \times n$  on the entry set  $\{1, 2, ..., n\}$ . Let also p be any positive integer. By L + p we mean the  $n \times n$  Latin square on the entry set  $\{p + 1, p + 2, ..., p + n\}$  obtained from L by adding p to each entry of L.

**Theorem 3.9.** Let  $(L_k, lex)$  be the following tensor product, where the number of copies is k.

| т       | 2 | 1 |           | 2 | 1 | ⊗ ··· ⊗  | 2 | 1 |   |
|---------|---|---|-----------|---|---|----------|---|---|---|
| $L_k =$ | 1 | 2 | $\otimes$ | 1 | 2 | Ø ···· Ø | 1 | 2 | • |

Then  $L_k$  contains a greedy defining set of cardinality  $n^2 - \Omega(n^{1.673})$ , where  $n = 2^k$ .

*Proof.* Note that  $L_k$  is a symmetric array with respect to the two main diagonals of the array. If we divide  $L_k$  into four equal subsquares then the north-east and south-west subsquares are equal to  $L_{k-1}$  and the north-west and south-east subsquares are equal to  $L_{k-1} + 2^{k-1}$ . We conclude by the

| $L_{k-2} + 2^{k-1} + 2^{k-2}$ | $L_{k-2} + 2^{k-1}$           | $L_{k-2} + 2^{k-2}$           | $L_{k-2}$                     |
|-------------------------------|-------------------------------|-------------------------------|-------------------------------|
| $L_{k-2} + 2^{k-1}$           | $L_{k-2} + 2^{k-1} + 2^{k-2}$ | $L_{k-2}$                     | $L_{k-2} + 2^{k-2}$           |
| $L_{k-2} + 2^{k-2}$           | $L_{k-2}$                     | $L_{k-2} + 2^{k-1} + 2^{k-2}$ | $L_{k-2} + 2^{k-1}$           |
| $L_{k-2}$                     | $L_{k-2} + 2^{k-2}$           | $L_{k-2} + 2^{k-1}$           | $L_{k-2} + 2^{k-1} + 2^{k-2}$ |

FIGURE 2. Proof of Theorem 3.9: Decomposition of  $L_k$  into subsquares.

induction on k that  $L_k$  is decomposed into the sixteen subsquares of size  $2^{k-2} \times 2^{k-2}$  as displayed in Figure 2.

Note that the minimum greedy defining number of these sixteen subsquares are all equal. In the following, we obtain a greedy defining set denoted by  $D_k$  for  $L_k$ . For  $k = 0, 1, D_0$  is an empty set and  $D_1$  consists of a single entry. For k = 2,  $D_2$  is displayed in Figure 3. Assume that we have obtained  $D_{k-1}$  and  $D_{k-2}$ , for some  $k \geq 3$ . The greedy defining set  $D_k$  for  $L_k$  is obtained as follows. Consider the 16 subsquares of  $L_k$  as depicted in Figure 2 and associate these 16 subsquares with the 16 entries of  $L_2$  as illustrated in Figure 3. Let S be any typical subsquare of  $L_k$ . If S corresponds with an entry of  $L_2$  which belongs to  $D_2$  (except the entry 4 of  $D_2$  in position (3,3)) then we put all entries of S in  $D_k$ . The total number of these entries is  $2^{2k-2} + 2^{2k-4}$ . There are now eleven subsquares of  $L_k$ which have not yet been considered. The four subsquares of these eleven subsquares form the south-east  $2^{k-1} \times 2^{k-1}$  subsquare of  $L_k$ . This subsquare is the same as  $L_{k-1} + 2^{k-1}$ . We put those entries of  $L_{k-1} + 2^{k-1}$  which correspond to the entries of  $D_{k-1}$ . The number of these entries is  $|D_{k-1}|$ . The remaining seven subsquares in  $L_k$  are either  $K_{k-2}$ ,  $L_{k-2} + 2^{k-2}$ ,  $L_{k-2} + 2^{k-1}$  or  $L_{k-2} + 2^{k-2} + 2^{k-1}$ . We pick from all of these seven subsquares those entries which correspond to the entries of  $L_{k-2}$  and put them in  $D_k$ . Note that the resulting set  $D_k$  is a GDS for  $(L_k, lex)$ . Set  $d_k = |D_k|$ . We have  $d_k = 2^{2k-2} + 2^{2k-4} + d_{k-1} + 7d_{k-2}$ . Let  $f(x) = \sum_{k=0}^{\infty} d_k x^k$ . Using the recursive relation, we obtain

$$f(x) = \frac{1}{1 - 4x} - \frac{1}{1 - x - 7x^2} - \frac{2x}{1 - x - 7x^2}.$$

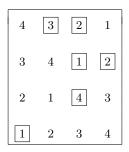


FIGURE 3.  $L_2$  with a GDS of size 6.

Let  $\alpha = (1 - \sqrt{29})/2$  and  $\beta = (1 + \sqrt{29})/2$  so that  $1 - x - 7x^2 = (1 - \alpha x)(1 - \beta x)$ and set  $n = 2^k$ . We obtain

$$d_k = n^2 - n^{\log\beta} \left( \frac{\sqrt{29} + 5}{2\sqrt{29}} \right) - (-1)^k n^{\log(-\alpha)} \left( \frac{\sqrt{29} - 5}{2\sqrt{29}} \right).$$

Since  $\log \beta$  is approximately 1.6735 then  $d_k = n^2 - \Omega(n^{1.673})$ .

Let  $m_k$  be the size of minimum GDS in  $L_k$ . It is easily seen that  $m_k \ge 4m_{k-1}$ . Also note that  $m_2 = 6$ . We obtain the following result.

**Theorem 3.10.** Let  $n = 2^k$ . Then any GDS in  $L_k$  needs at least  $6n^2/16$  entries.

#### 4. Questions for further research

In this section we propose some questions for further research. All greedy defining sets in this section are considered for lexicographic order. In the previous section we tried to obtain the best possible upper bound for the minimum greedy defining sets in all Latin squares. Since  $L_k$  (see Theorem 3.9) has maximum number of descents in all known families of Latin squares, we guess that the minimum GDS of  $L_k$  has the maximum value among all Latin squares of size  $n = 2^k$ . However, Theorem 3.9 is our best result and we could not obtain a GDS for  $L_k$  with cardinality at most  $\lambda n^2$ , for some constant  $\lambda < 1$ . Hence we propose the following question.

**Problem 4.1.** Does there exist a constant  $\lambda < 1$  such that any Latin square of size n has a greedy defining set of cardinality at most  $\lambda n^2$ .

The following conjecture from [12] is still unsolved. Although its validity was proved for some infinite sequences of natural numbers [12].

**Conjecture.** Let  $g_n$  be the cardinality of smallest greedy defining set among all  $n \times n$  Latin squares. Then

$$g_n = \mathcal{O}(n).$$

The next question concerns  $L_k$  in Theorem 3.9.

**Problem 4.2.** Determine the greedy defining number of  $(L_k, lex)$ .

We finally propose the following complexity problem from [12]. We conjecture now that the answer is affirmative.

**Problem 4.3.** Given any Latin square (L, lex), is to determine the minimum cardinality of GDS in L, an  $\mathcal{NP}$ -complete problem?

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DEPARTMENT OF MATHEMATICS, INSTITUTE FOR ADVANCED STUDIES IN BASIC SCIENCES, ZANJAN 45137-66731, IRAN *E-mail address:* mzaker@iasbs.ac.ir