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1	Typeset version available at : https://rdcu.be/bYgeP					
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3	Hydropwer flushing events cause severe loss of macrozoobenthos in Alpine streams					
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9 10	Key points					
10 11 12 13	• Flow abstraction at hydropower intakes in a glaciated stream improves downstream low flow habitat conditions compared to natural conditions					
14 15 16	• Intake sediment flushing degrades habitat due to rapid discharge rise, increase in turbidity, reduction in euphotic depth, bed instability					
17	• Sensitivity to flushing relates to discharge rise speed as suitable habitat moves across the floodplain faster than likely benthos migration					

18 Abstract

Alpine hydroelectric power exploitation often aims to increase the volume of water stored 19 behind impoundments which may be achieved through flow abstraction and lateral transfer 20 to storage. Intakes are designed to separate water (for transfer) from sediment which 21 22 accumulates in settling basins and may be flushed sometimes multi-daily in glaciated basins. In some countries (e.g. Switzerland) intakes drain a greater basin area than impoundments 23 yet legislation designed to improve instream ecosystems impacted by hydropower has 24 almost entirely ignored them. Some research suggests that such streams have exceptionally 25 low abundance and diversity of macroinvertebrates for some kilometres downstream of the 26 intake flushing at high frequency in summer, but that populations can recover rapidly as 27 28 soon as flushing frequency decreases in early autumn. However, such patterns could also 29 result from natural flow variability, sediment transport and morphological change in glacier-30 fed streams. Here, we combine field measurements with habitat modelling to assess the impacts of sediment flushing on macrozoobenthos as compared to what might be expected 31 in a natural, morphologically dynamic Alpine stream. We show that water abstraction in 32 33 itself could improve habitat conditions because it increases the importance of less turbid and 34 groundwater/unregulated sources. However, intake flushing leads to short duration, sediment-laden flows that can destabilise substantial areas of the stream bed and cause rates 35 36 of lateral displacement of habitat much greater than the possible response by macroinvertebrates. Our results challenge current emphasis on minimum flows in such 37 streams and argue that much more emphasis needs to be placed on sediment management. 38

40 **1 Introduction**

The impact of changing streamflow regime on physical habitat has been the subject of 41 numerous studies (e. g. Parasiewicz et al., 1998; Bunn & Arthington, 2002; Poff & 42 Zimmerman, 2010). One of the current challenges is to optimize flow conditions to reduce 43 the impact of flow abstraction and return on stream ecology whilst also meeting water 44 demand. The science of the required environmental flow releases, also known as e-flows 45 46 (The Brisbane Declaration, 2007), has developed to identify the management options needed to restore the ecological functions of a stream (Dyson et al., 2003; Petts, 2009; Arthington, 47 2012). Traditional focus was upon definition of a minimum flow to be released by 48 49 hydroelectric power plants (Dyson et al., 2003). Wider research has shown that a focus on 50 minimum flows is insufficient and that the required flow should mimic as far as possible the natural flow regime, notably through the incorporation of flow variability (Poff et al., 1997). 51 52 Poff et al. (1997) argue that incorporating variability into flow requirements should include consideration of flow magnitude, frequency, duration, timing, and rate of change, and this is 53 reflected in methods and tools that take now into consideration a broader range of flow 54 55 conditions (e.g. Caissie & El-Jabi, 2003; Arthington et al., 2006).

56 Hydroelectric power (hydropower) represents a particular challenge for specifying e-flows because electricity generation potential generally scales linearly with the volume of water 57 abstracted and production scales linearly with the volume of water released. Downstream of 58 59 water intakes or dams, streams may lose some or all of their natural variability, even if they are subject to a minimum flow requirement. Downstream of electricity generation stations, 60 61 water may be returned to the river, leading to multiple daily flow peaks ("hydropeaks"; 62 Bratrich et al., 2004) that follow consumer demand for electricity rather than natural flow variability. The stress created by hydropower-induced low flows and hydropeaking has been 63 shown to have major impacts upon stream ecosystems (e.g. Brooker & Hemsworth, 1978; 64 Cushman, 1985; Moog, 1993; Lauters et al., 1996; Céréghino & Lavandier, 1998; 65 Smokorowski et al., 2011; Schmutz et al., 2015; Schülting et al., 2016, 2019). 66

- In Alpine hydropower systems, there is a third type of impact associated with flow intakes 67 (Gabbud & Lane, 2016). Traditionally, hydropower is thought to lead to sediment starvation 68 downstream of dams, and associated problems of erosion and bed armouring (Petts & 69 Gurnell, 2005). However, intakes are different. At intakes, water is abstracted and stored at 70 71 altitude either to maintain its hydraulic head or for transfer to adjacent valleys for storage 72 behind dams, so increasing their power generation potential. Such intakes seek to separate water and coarser sediment (typically sand and coarser), the sediment accumulating in a 73 settling basin until sediment storage capacity is reached and the basin must be flushed. In 74 some Alpine environments, such as in Switzerland, the area of basins subject to water intake 75 76 impacts is greater than dams (Margot et al., 1992). Depending on their capacity, in high altitude systems associated with glaciated basins, flushing may be more than daily in 77 frequency during peak glacier melt (e.g. Gabbud et al., 2019). The result is "hydropeaking" 78 but this is not driven by electricity demand, rather by sediment accumulation in intakes, and 79 their capacity to store sediment. 80
- Flushing has two fundamental differences compared with conventional hydropeaking: (a) the release involves a very rapid rise and fall in discharge as the peaks are designed to flush out sediment; the result is a short duration flow events (typically less than one hour in duration); and (2) the water that is flushed contains exceptionally high sediment loads (Gurnell, 1983; Gurnell & Warburton, 1990) that is transferred through the intakes that maintain sediment delivery downstream, unlike impoundments which interrupt sediment delivery. Both of these changes may impact negatively on the habitat available to

88 macrozoobenthos. It is well-established that different macrozoobenthos families, genus and species have different hydraulic preferences, commonly expressed as a shear stress (e.g. 89 Möbes-Hansen & Waringer, 1998; Mérigoux & Dolédec, 2004; Dolédec et al., 2007; 90 Mérigoux et al., 2009). As discharge rises, the spatial distribution of shear stress will change 91 and the sites of suitable habitat will shift laterally. This relationship will depend on stream 92 bed bathymetry; for example, in a braided river where changes in discharge are 93 94 accommodated primarily by changes in width, suitable habitat (in terms of shear stress) in the primary channel at low flows will move into secondary channels at high flows. 95 Implicitly, then, braided rivers should be resilient to flow changes provided 96 97 macrozoobenthos can migrate laterally. If the discharge rise (or fall) is too great then required migration rates may be too high, and the implicit resilience cannot be realised. 98

- 99 High sediment loads, mobilized through shear stress increases during flushes, may also negatively impact available habitat. Data from hydropower impacted streams suggest almost 100 continual reworking of the primary channel bed due to flushing (Lane et al., 2014; Bakker et 101 al., 2019). Both erosion and deposition may lead to a reduction in the organic matter on the 102 stream bed needed to sustain macrozoobenthos, due to either scour or burial (Orr et al., 103 104 2008; Müller et al., 2009; Fuller et al., 2011; Cullis et al., 2014). Scour can lead to direct wash out of organisms whilst burial can make emergence difficult (Wood et al., 2005; 105 Conroy et al., 2018). 106
- The sensitivity to these two sets of processes may be particularly great as water intakes are 107 108 commonly at higher altitudes (typically at altitudes higher than dams to facilitate water transfer). Flushing tends to take place in systems that may be more environmentally 109 marginal (e.g. colder, Milner & Petts, 1994; or more turbid, Milner et al., 2001) and hence 110 111 more sensitive to perturbation. Recently, Gabbud et al. (2019) found a clear correlation between flushing event frequency and macroinvertebrate community abundance and 112 diversity in an Alpine stream subject to flow abstraction and flushing. Whilst the stream had 113 exceptionally low abundance and diversity of macroinvertebrates during the high frequency 114 flushing period in summer, populations rapidly recovered as soon as the frequency 115 decreased in early autumn. Rapid recovery was attributed to the ability of the main stream to 116 recruit individuals from lateral streams. The result was more diversified and more abundant 117 communities in winter and early spring, aided by the fact that the freezing of flow at the 118 stream bed is prevented by krenal water supply. Diversity and population size both fell 119 rapidly when the flushing of sediment accumulated in intakes recommenced in late spring 120 121 and early summer.
- An apparent sensitivity to flushing would follow from the two broad flushing impacts, rapid 122 habitat displacement and rates of geomorphic change, identified above. However, this raises 123 a challenge of attribution. A glacially-fed stream without hydropower impacts would have a 124 natural variability in both discharge and sediment load at the sub-daily scale, variability that 125 may also lead to habitat displacement and channel instability (Gurnell et al., 1999). Burgherr 126 and Ward (2001) and Füreder et al. (2001) found that macroinvertebrate densities were 127 128 higher in late spring and early autumn than they were in summer, and they attributed this to periods of less frequent stream bed perturbation, low rates of sediment transport, low 129 turbidity, low bed shear stress, as well as the consequences of these for organic matter 130 production and accumulation. Indeed, some species are well-adapted to winter conditions (e. 131 g. Robinson et al., 2001; Brown et al., 2015). Thus, whilst contradicting conventional 132 assumptions (OFEV, 2010), it is quite possible that the observation that winter has more 133 diverse and abundant communities in glacially-fed streams is related to natural flow, 134 sediment load and stream bed perturbation processes rather than hydropower impacts. 135

136 Given this question of attribution, the aims of this paper are two-fold. The first is to assess the extent to which the more diversified and abundant communities in winter and early 137 spring, as compared with summer (Gabbud et al., 2019) can be attributed to what might be 138 expected naturally in a morphologically dynamic Alpine stream as opposed to one impacted 139 by flushing of sediment from intakes. Such evaluation is crucial as the percentage of basins 140 impacted by sediment flushing in Alpine environments can be significant. The second is to 141 use the results from this approach to reflect upon the changes in environmental flow 142 frameworks needed in streams draining glaciated basins where the water that an 143 environmental flow might deliver is typically glacier derived and so cold with high 144 suspended sediment concentration. The design of optimal hydropower management systems 145 to improve the ecosystems associated with such streams remains largely overlooked in 146 147 management terms.

To address this aim, we revisit the Borgne d'Arolla (Gabbud et al., 2019) and focus upon a 148 braided reach of Alpine stream downstream of glaciated basins with flow intakes, 149 representative of many environments subject to an intake flushing regime. We combine field 150 151 measurements with habitat modelling for macroinvertebrates to identify how intake flushing 152 impacts instream habitat variation in space and time as compared to what would be expected under naturally varying flow and sediment transport conditions. The results are then used to 153 test the following hypotheses: (1) that habitat suitable for macrozoobenthos potentially 154 exists at all possible flows in the studied stream; (2) that whilst intake flushing produces 155 increases in total habitat availability (as more floodplain is inundated) the sites of suitable 156 habitat are displaced laterally more rapidly than in a natural stream; and (3) that this 157 combines with significant geomorphic impacts (scour and burial) of suitable habitat. 158

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160 **2 Methods**

161 **2.1 Study site**

This study was conducted in a 635 m long braided reach of the Borgne d'Arolla in the south-162 west Swiss Alps based on data acquired and modelled for the period 7th to the 15th August 163 2016. This stream is defined by a glacial regime and is fed by a series of both kryal and nival 164 tributaries. The Borgne d'Arolla originates from a number of glaciated tributaries which 165 feed two major intakes, the Bertol Inférieur (or Lower Bertol) and the Tsijiore Nouve 166 (Bakker et al., 2018), 5 km and 3.5 km upstream of the study reach; and one which is much 167 smaller, the Pièce intake (Figure 1). Initial data collection (2014 and 2015) using a 168 continuous record of stream temperature suggested that during the summer, daily water 169 temperatures in the study reach were in the range of 5° to 10° C, and hence the chosen site is 170 sufficiently far downstream from the glacier for water temperature not to be a limit on 171 macroinvertebrate development (Milner & Petts, 1994; Milner et al., 2001). 172

173 This paper focuses on a braided reach next to the village of Satarma, at an altitude of 1'850 m above sea level, which comprises an alluvial plain with a mixed gravel-sand, multi-thread 174 stream (Figure 1). The upstream and downstream ends of the reach are bound by bridges that 175 locally canalise the stream. The reach slope is relatively uniform at 0.035±0.004 and the 176 mean grain size is 0.05±0.02 m (Bakker et al., 2018). The mean wetted width at summer 177 baseflow is 7.7 ± 2.5 m; during a flushing event, this rises to 28.4 ± 11.7 m. The reach lies just 178 below the tree-line and so at the Montane-Alpine interface. There are isolated trees within 179 the floodplain, although many of these are dying as a result of sediment-related perturbation. 180

181 The reach has been aggrading and steepening since hydropower exploitation began in the 1960s, and notably since the 1990s, with mean bed elevations rising over this period by 2 m 182 at the upstream end of the reach and 1 m at the downstream end (Bakker et al., 2018). This 183 bed level rise reflects the operation of hydropower intakes upstream. At each intake (circles 184 on Figure 1), there is a small volume (100 to 200 m³) settling basin designed to trap gravel 185 and coarser sediment. Then, water passes underground to a second settling basin beneath the 186 intake which can trap up to 10 m³ of sand (Bezinge et al., 1989). The water, which now only 187 contains washload, passes through tunnels to an adjacent valley where it is stored behind a 188 large dam (see Bakker et al., 2018 for more details). Currently, all water is abstracted and 189 there is no minimum residual flow requirement. The gravel and sand settling basins have 190 finite capacity and so have to be flushed when full. This is flushed by temporarily halting the 191 192 transfer of water to the reservoir, and using the flow instead to wash the sediment out of either the gravel basin or the sand basin and into the main stream. This maintains sediment 193 connection and the associated flushing of the intakes produces enough water to move the 194 majority of flushed sediment downstream through the system (Bakker et al., 2018). As is 195 common in the presence of aggradation, the stream bed does not armour. 196

Between the intakes and the reach there are a number of unglaciated and unregulated tributaries that join the stream and these, along with some groundwater (i.e. krenal) supply, are sufficient to maintain a baseflow of between 0.5 and 0.8 m³s⁻¹ at the study reach with negligible turbidity. When the intake is flushed, the discharge rises rapidly in the Satarma reach, between 40 minutes (typical of Tsijiore Nouve, Figure 1) and 55 minutes (typical of Bertol Inférieur) after flushing begins. There is relatively little attenuation between the intakes and Satarma.

204 **2.2 Turbidity and discharge measurements**

205 A pressure transducer with a built-in temperature sensor (Campbell Scientific CS451 (USA), water level resolution 0.0035% FS; temperature precision ±0.2°C) and infrared-based 206 downward looking turbidity probes (Campbell OBS-3+ (USA); NTU precision 0.5 NTUs) 207 were installed in the canalised section at the upstream and downstream end of the study 208 209 reach (Figure 1). The turbidity probes were installed with a low and high signal range ranging up to 1000 and 4000 NTUs respectively. Data were logged to a river bank mounted 210 Campbell Scientific data logger (CR200X, USA). The loggers were programmed to take 10 211 212 measurements with 2 second intervals each minute. The mean and standard deviation were registered every 10 minutes under normal flow conditions (low concentration; < 50 NTUs) 213 and each minute during a flushing (high concentration; \geq 50 NTUs) event. 214

- 215 We chose to use the downstream data as the data from upstream probes were sensitive to 216 local scour and fill of the stream bed. Determining an accurate stage-discharge rating curve at this site is difficult because of frequent changes in the river bed cross section. However, 217 all of the upstream intakes have built in broad-crested weirs. These measure discharge to a 218 high precision (c. $0.1 \text{ m}^3\text{s}^{-1}$) for regulatory reasons. We use data from them to calibrate the 219 stage record. During flushing, the flow intake discharge drops rapidly to zero, rising back to 220 previous values as soon as flow abstraction recommences. As the natural rates of change of 221 222 discharge in these systems are relatively slow, except during storm events, it is possible to interpolate the discharge that would have been abstracted if flushing had not occurred, and 223 224 hence the total volume of water flushed downstream (e.g. Gabbud & Lane, 2016; Bakker et 225 al., 2018).
- As a first step, we identified those flushes, 11 in total during the study period, where discharge interpolation was straightforward (i.e. flushes within a period of steadily rising or

falling discharge) and where there was no impact on the events from the opening of intakes further upstream. For each such flush, j, we calculated the volume of water released (V_j) . We then calculated an index (I) above baseflow stage (S_b) from the stage data at our measurement sites up and downstream of the investigated reach:

$$I_j = \sum_{i=1}^n (S_i - S_b)^{1.67}$$
[1]

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where : S_i = stage at time *i*; and *n* = number of minutes where the stage was impacted by the flush. As this was for a canalised section, with near vertical side walls, and as all flows remained within bank, we would expect water level to increase at approximately the power 1.67. We then regressed V_j on I_j to produce the following relationship:

$$V_j = 6191.1I_j + 435.0$$
[2]

with an \mathbb{R}^2 of 88.7%. We then applied this to each S_i record in the series by replacing I_j with S_j, to give an estimate of the volume of water passing through the reach per minute, from which we could calculate a discharge (m³s⁻¹). As this method explicitly uses the form of the hydrograph measured at the station to distribute the water due to the flush, it deals with attenuation between each intake and the station.

This method does not include the baseflow below the level of the gauge height S_b and which 243 will be constant provided the gauge remains submerged, which was the case throughout the 244 study period. As noted above, the bathymetric data collection focused on a period of lowest 245 flow and this was coincident with the gauge being only just submerged. Thus, to estimate 246 the low flow we used the inundated extent to calibrate the 2D hydraulic model described 247 below, including both baseflow discharge and roughness as model calibration parameters. 248 This gave us an estimated baseflow of 0.55 m³s⁻¹, which we added to all flows estimated 249 using [2]. 250

Finally, as we wanted to compare the habitat associated with the natural flow regime and 251 with the abstraction and flushing regime, we took the natural flows at the intakes and 252 combined these with the 0.55 $m^3 s^{-1}$ baseflow, but delayed by the travel time that the 253 difference between the flushing time and the stage record suggested. Thus, the natural flow 254 255 regime is not corrected fully for attenuation effects between the intakes and the study reach. This is a limit to the comparison we make, but we note that: (1) the flow minima under 256 natural conditions would have always been greater than 1.4 m³s⁻¹, with the associated flow 257 depth reducing attenuation, as compared with a flush release to the lower baseflow of 258 $0.55 \text{m}^3 \text{s}^{-1}$; and (2) the failure to represent attenuation is likely to make the natural flow 259 regime more severe than it would otherwise have been (with additional attenuation effects). 260

261 **2.3 Turbidity and organic matter availability**

Temperature and turbidity themselves may have direct impacts upon the harshness of Alpine streams for macroinvertebrates. They may also be important for organic matter availability, particularly turbidity, and hence macroinvertebrate food sources. In practice, turbidity can have two different effects (Uehlinger et al., 1998). First, turbidity should reduce the amount of photosynthetically active radiation (PAR) that reaches the stream bed causing reduced primary production on the beds of glacially-fed streams during periods when kryal sources 273

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are dominant over other sources (Uehlinger et al., 1998; Füreder, 1999; Burgherr & Ward,
269 2001; Füreder, 2007). Second, as the increase in turbidity in glacially fed streams is
associated with increased suspended sediment concentrations, and these are commonly
abrasive, turbidity could also lead to greater scour of benthic algae, such as periphyton, on
the stream bed. We address this process below.

To calibrate the turbidity probes described above, we obtained thirteen water samples for the 274 determination of suspended sediment concentration. The samples had concentrations ranging 275 from 170 to 1200 mgl⁻¹. The calibration relationship between turbidity and suspended 276 277 sediment concentration was weakly non-linear (best represented by an exponential) in the range of concentrations considered here, reflected the expected response of this probe, and 278 had an R^2 of 97.6%. The focus of the interpretation is on turbidity because of its control on 279 280 PAR, but we report suspended sediment concentrations to help compare our results with others in the literature. 281

Relatively small increases in turbidity have been shown to result in quite major reductions in 283 PAR in glacially-influenced water bodies (Lloyd et al., 1987; Uehlinger et al., 1998; Rose et 284 al., 2014). To interpret the effects of hydropower management upon PAR we develop a 285 simple index of possible light attenuation due to glacially-derived suspended sediment load. 286 Research has shown (e.g. Modenutti et al., 2000; Rae et al., 2001; Rose et al., 2014) that 287 glacially-derived suspended sediment load can have a significant influence on light 288 attenuation but that this is commonly dependent upon catchment characteristics (e.g. 289 290 geology) which influence the nature of the suspended sediment produced. In the absence of detailed data on turbidity impacts on light attenuation, we chose a relatively simple index to 291 gauge how measured turbidity values (T) might interact with flow variability to determine 292 293 the spatial extent of the euphotic zone, where the PAR at the stream bed (I_d) is at least 1% of the incoming PAR, I_o (Kirk, 1994). Following Lloyd et al. (1987) we apply 294

$$I_d = I_o e^{-Kd}$$
[3]

where *d* is depth. Given the lack of data needed for more physically based approaches (e.g. Gallegos, 2001) we use empirical relationships for the attenuation coefficient *K* obtained for glacially-fed lakes in Alaska, Canada and New Zealand:

$$K = 0.064 T - 0.093 \text{ (Lloyd et al., 1987)}$$

$$K = 0.041 T + 0.448 \text{ (Rose et al., 2014)}$$

$$K = 0.037 T + 0.093 \text{ (Rose et al., 2014)}$$

[4]

The relationships [3] and [4] allow us to convert the measured turbidity time-series into a corresponding euphotic depth (d_e , i.e. when $I_d/I_o = 0.01$). By convolving this with the distribution of inundated hydraulically-modelled depths for the study reach (see below) and the time-series of discharge at Satarma, we could determine time-series of the inundated area of the stream and the percentage with water depths lower than the euphotic depth.

313 2.4 Stream and floodplain bathymetry and 2D hydrodynamic modelling

The 2D hydrodynamic modelling was performed with the BASEMENT v2.7 hydraulic model developed by the ETHZ (ETHZ, VAW, 2017). The model solves the depth-average form of the Navier-Stokes approximation of the system of differential equations for mass 317 and motion conservation on a finite element mesh (Vetsch et al., 2017). Reynolds decomposition with a zero order eddy viscosity turbulence model was used to represent the 318 effects of turbulence. A Manning type friction relationship was used with a quadratic friction 319 law to determine the bottom shear stresses. Model solution used an exact Riemann solver. 320 Time steps were set automatically to satisfy the Courant-Friedrichs-Lewy condition. The 321 322 downstream boundary used a depth-discharge relationship based upon the Manning equation. This boundary was set downstream of the zone of interest in this study such that 323 324 the boundary condition had no impact upon the model results used in subsequent analyses. In all model runs a steady discharge was applied at the upstream section and the model run 325 to steady state, with the mass balance error (the difference between mass inflow rate and 326 mass outflow rate) less than 0.5%. 327

- The model relies upon bathymetric data to establish the finite element mesh. A Digital 328 Elevation Model (DEM) of the area was constructed by UAV imagery with the 329 photogrammetric software Pix4Dmapper v.3.3¹ from images taken with a SenseFly ebee 330 (plane) drone. Images were obtained on the 12th of August 2016 using two flying heights 331 and at an angle of 7°, with a superposition of images of at least 70%, to reduce the effects of 332 333 distortion (doming effects) (Carbonneau & Dietrich, 2017). The georeferenced DEM resolution was 0.03 m. A bathymetric correction was applied to the DEM to correct for the 334 effects of refraction using Carbonneau & Dietrich (2017), developed for SfM following the 335 work of Westaway et al. (2000, 2001). The DEM of the channel bathymetry was resampled 336 at 0.5 m resolution in ArcGIS^2 for the hydraulic modelling, a resolution that reflected a 337 compromise between representation of topographic detail and computational efficiency. This 338 339 DEM was then converted into an unstructured triangular mesh through the BASEmesh 340 module included in the open source geographic information system software Quantum GIS³. The computational mesh of triangular elements was the basic grid used for the simulations 341 (Vetsch et al., 2017). Bathymetric data were also acquired on the 26th of July 2016 and this 342 allowed us to have a wider sense of the extent to which the floodplain is reworked under the 343 current flow regime. 344
- Initial testing of model parameters suggested that turbulent viscosity and friction slope at the 345 downstream end of the simulation were much less important influences upon model 346 predictions than Manning's n. Thus, to calibrate the model, focus was placed upon 347 Manning's *n* and discharge, treating baseflow discharge as unknown given the measured 348 stage from the pressure transducer described above. We included n as a calibration 349 350 parameter (constant value) as n is likely to be an effective parameter in this case (that is the values needed to optimise the model may be different to those measured from grain-size, 351 because other processes are being represented in the model; see Lane, 2014). We applied 352 353 uniform values of Manning's n, given evidence from Bakker et al. (2019), from 0.04 to 0.08. After some initial simulations, we estimated baseflow to be optimised (against inundation 354 extent and depth, see below) between 0.50 and 0.60 m^3s^{-1} regardless of Manning's *n* used, 355 and so we undertook preliminary simulations with 0.50, 0.55 and 0.60 m^3s^{-1} . 356
- The bathymetry optimization process allows us to assess spatial patterns of inundation as one output of the correction is a map of inundation extent. As this is a multi-thread reach, small changes in water level, whether due to roughness or discharge, tend to lead to large changes in inundation extent so making inundation extent ideal for model calibration (see also Smith, 1997; Simeonov et al., 2013; Williams et al., 2013; Pan et al., 2016; Garambois

¹See <u>http://www.pix4d.com/</u> for further information

² See <u>http://www.arcgis.com/index.html</u> for further information

³ See <u>http://www.qgis.org/en/site/</u> for further information

362 et al., 2017; Benjankar et al., 2018). Thus, model predictions of inundation extent were 363 compared with the spatial patterns of inundation from the orthoimage using a *Kappa* (κ) 364 statistic (after Cohen, 1960) and a Reduced Major Axis (RMA) regression analysis. *Kappa* 365 was defined by

$$\kappa = \frac{n\sum_{i=1}^{2} n_{ii} - \sum_{i=1}^{2} n_{i+} n_{+i}}{n^2 - \sum_{i=1}^{2} n_{i+} n_{+i}}$$
[5]

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where n is the total number of cells, i=1 indicates wet, i=2 indicates dry and + indicates 367 summation along columns (+i) or rows (i+). This parameter allows us to objectively 368 369 quantify the correspondence between inundation predictions and observations corrected for the effects of random agreement between them. The bathymetric correction also provided 370 distributed information on water surface elevation and hence flow depth, allowing us to 371 372 compare predicted and observed flow depths. RMA regression was chosen because of the possibility of error in both depth predictions and depth observations. Observed depths were 373 in error because of the resolution of the model (0.50 m) as compared with grain size, 374 assumptions made in the water surface interpolation (e.g. a locally horizontal water surface) 375 used in the bathymetric correction and errors in identifying the water edge needed for the 376 interpolation. We quantified both the slope of the regression (m), as an indicator of either 377 over- or under-prediction and also the scatter around the regression, using correlation (r). All 378 three parameters (kappa, m and r) are optimised at values of 1. Table 1 summarises the main 379 and best interpolation results. We adopted a baseflow discharge of $0.55 \text{ m}^3\text{s}^{-1}$ and a 380 Manning's *n* value of 0.06. 381

Once the model was optimized, discharges were simulated every $0.05 \text{ m}^3 \text{s}^{-1}$ from $0.10 \text{ m}^3 \text{s}^{-1}$ to $1 \text{ m}^3 \text{s}^{-1}$ and every $0.25 \text{ m}^3 \text{s}^{-1}$ to $9 \text{ m}^3 \text{s}^{-1}$, leaving all other parameter values constant. This discharge range reflected the estimated discharge record for the study period. Each discharge was checked for mass conservation (loss less than 0.5%) and used to extract water depth and northing and easting velocity components per discharge.

387 **2.5 Hydraulic determination of habitat availability**

In order to quantify how available habitat by hydroelectric power related water management, 388 a fuzzy habitat model was used (after Lane et al., 2006). The underlying philosophy of the 389 modelling is the principle that stream hydraulics provides a critical linkage between human 390 impacts on stream conditions and the availability of habitat which, if habitat is limiting, may 391 translate into a biological response (Dunbar et al., 2011). In this paper, the focus is upon the 392 393 microhabitat available at the reach scale and how this is impacted by hydroelectric power 394 activities. Thus, it draws directly upon the notion of microhabitat habitat suitability models or indices (e.g. Bovee, 1986; Gore et al., 2001; Vadas & Orth, 2001; Lane et al., 2006; 395 Dunbar et al., 2011), in which suitability is classed, based upon empirically determined 396 organism preferences for certain combinations of hydraulic parameters. Such models have 397 now proved to be a valuable tool for assessing environmental flows (e.g. Ahmadi-Nedushan 398 et al., 2006, 2008; Garcia et al., 2011; Poff et al., 2017; Benjankar et al., 2018) especially 399 when coupled with two-dimensional models (Benjankar et al., 2015), because they allow 400 simulation of how the distribution of suitable habitat changes in space, as a function of 401 402 discharge. This may be particularly important in Alpine streams with relatively complex bathymetry as suitable habitat may become available at higher (or lower) discharges in other 403 zones of the stream-floodplain system. 404

405 In this paper we adopt an approach based upon fuzzy logic (e.g. Jorde et al., 2000; Adriaenssens et al., 2006; Van Broekhoven et al., 2006; Tonina & Jorde, 2013), which 406 reflects the observation that the boundary between habitat classes will rarely be abrupt 407 (Mouton et al., 2013) but gradual as a consequence of both producer and user uncertainty 408 (Ahmadi-Nedushan et al., 2008); producer uncertainty in terms of classing hydraulic 409 variables as habitat because the hydraulic variables may be in error; and user uncertainty 410 because ecological boundaries (such as between classes) may be gradual and variable in 411 time and space (Strayer et al., 2003), as well as compensatory and interacting, e.g. where 412 less than optimal velocity is compensated for by more than optimal depth (Jorde et al., 413 414 2001). Although used less widely than for fish, fuzzy modelling has been used for estimated instream habitat availability for macroinvertebrates (e.g. Adriaenssens et al., 2006; Van 415 Broekhoven et al., 2006, 2007; Mouton et al., 2009; Schneider et al., 2017; Theodoropoulos 416 et al., 2018). 417

- In our approach, we focus upon bed shear stress. Bed shear stress has proved to be valuable 418 as an indicator of habitat availability because increases in shear stress can lead to density-419 420 independent loss of benthic macroinvertebrates (Lancaster and Hildrew, 1993) with a shear 421 stress – loss relationship that depends on at least the family, sometimes the genus and even individual species and sub-species (e.g. Möbes-Hansen & Waringer, 1998; Mérigoux & 422 Dolédec, 2004; Dolédec et al., 2007; Mérigoux et al., 2009). Relationships between 423 424 invertebrate abundance and shear stress appears to be stronger than relationships between abundance and other hydraulic parameters (e.g. velocity, as used by Gore et al., 2001) and so 425 we use shear stress in this paper. 426
- 427 Most studies of the preferences of macroinvertebrate families for particular shear stresses 428 make no distinction between the effects of shear stress change on the ability of an organism to remain in a particular part of a stream, which we now label its habitability (Y) and the 429 effects of shear stress upon erosion and deposition processes. Erosion may lead to enforced 430 431 drift for macroinvertebrates even if the hydraulic definitions defined by velocity and flow depth are acceptable. Scour may lead to loss of organic matter and hence food sources. At 432 present, this is a subject of significant uncertainty. Studies have suggested net loss of 433 primary production due to high discharge events in Alpine and polar ecosystems (e.g. Müller 434 et al., 2009; Cullis et al., 2014). However, there appear to be few generalizable relationships 435 (e.g. excess Shield stress values that might indicate organic matter loss) not least because: 436 different communities appear to be more or less resistant to erosion (Biggs & Thomsen, 437 438 1995; Müller et al., 2009); scour of organic matter depends on whether or not communities 439 are actively growing (Biggs & Close, 1989); and local geomorphic conditions may determine sensitivity of organic matter scour to extreme flow events (Fuller et al., 2011). In 440 441 addition, this process appears to be relatively understudied in Alpine environments (Gooseff 442 et al., 2016).
- For this reason, we take the basic assumption that organic matter loss is likely to correlate with the probability of bed movement, and hence we need to focus on the probability of scour. The question of burial is equally important. Evidence suggests that whilst the sensitivity of individual taxa to burial is variable (Wood et al., 2005), burial depth can lead to slower emergence, as can burial by finer sediment (Conroy et al., 2018). Burial may also reduce access at the stream bed surface to organic matter (Orr et al. 2008).
- Given the above, we assume that both too much erosion (scour) or too much deposition (burial) could be detrimental to macroinvertebrates. It is also probable that some scour, notably of fines, may support macroinvertebrate life, especially given the very high silt and sand loads in this kind of stream. An organism that has a preference (*Y*) for very low shear

453 stresses, for example, may be at risk of substantial sediment deposition at those shear stresses, such that what is optimal for the organism is shifted towards higher shear stresses. 454 One that has a preference for high stresses may be at risk if those stresses also lead to scour 455 (of sediment or potential food sources such as organic matter) in the particular stream setting 456 under consideration. Thus, we introduce a second classification of shear stress which we call 457 geomorphic (G) and which reflects the exposure of sites to the risk of severe scour or 458 deposition. Both Y and G are explained below, as is the way we combine them in a fuzzy 459 460 habitat model.

461 **2.6 Estimation of habitability preferences** (*Y*)

Dolédec et al. (2007) compiled relationships between density and FliesswasserStammTisch 462 (FST) hemisphere estimates of shear stress for small European streams, with data presented 463 to family, genus and sometimes species level. We used data from Gabbud et al. (2019) to 464 identify the dominant families present in the main stem of the study stream during the 465 samples of May 2016 and May 2017. We chose May because at the altitudes of the streams 466 467 used in this study, conditions should be suitable for macroinvertebrate life and flushing has not yet begun. Four families comprised 80% of the density of macroinvertebrates measured 468 in the stream in 2016; Chironomidae (58%); Leuctridae (18%); Limnephilidae (0.4%); and 469 470 Baetidae (4%); and 84% in 2017; Chironomidae (21%); Leuctridae (48%); Limnephilidae 471 (8%); and Baetidae (7%). The difference between May 2016 and May 2017 can be attributed to a more clement winter in 2017 which meant that suitable conditions (i.e. temperature) for 472 473 the Tricoptera Limnephilidae probably occurred slightly earlier in the year. We used Dolédec et al. (2007) to identify the normalised ln(abundance) of shear stress for each of 474 475 these four families. Their normalisation was such that the ln(abundance) summed across all 476 measured shear stresses was 1. Dolédec et al. (2007) do provide data to a finer taxonomic resolution but using finer data risked identifying habitat requirements that were too specific 477 (i.e. locally adapted) to the sites used in their study. Each normalised log(abundance) was 478 classified on the same scale into poor with log abundance of 0.033 or less, medium as 0.033 479 to 0.067, and good as 0.067 to 1.0. The associated FST shear stress estimates were converted 480 into shear stresses using data in Statzner & Müller (1989). It should be noted that there is an 481 equivalence between the bottom shear stress measured using FST hemispheres and the 482 bottom stresses modelled in a depth-averaged solution of the Navier-Stokes equations as 483 484 used here: although such a model predicts the depth-averaged velocity, in the depthaveraged momentum equations, it is the bottom stress that is used and it is this that is 485 predicted by the model. The bottom shear stress (τ_b) is calculated from the quadratic friction 486 law used by the hydraulic model BASEMENT using 487

$$\tau_b = \rho g \, \frac{n^2}{d^{1/3}} v^2 \tag{6}$$

488

489 **2.7 Geomorphic preferences** (*G*)

The second element of the habitat availability we wished to quantify was a measure if the risk of burial/scour within the stream. In theory, this is a function of both local hydraulics and upstream sediment supply. We assume in this stream that the latter is not a limit given high rates of sediment transfer (Bakker et al., 2019). We quantify the critical Shields stress corrected for local bed slope:

$$\tau_{oc} = 0.06(\rho_s - \rho_w)gD_{50}$$
[7]

496 We assume that the bed has little armouring due to high sediment loads and frequent 497 disturbance, which matches visual observations in the field. Erosion of the median grain 498 sizes should occur when $\tau_b > \tau_{oc}$. We are using a single sediment size fraction. Thus as τ_b 499 rises towards τ_{oc} , progressively coarser material should be transported. With continual 500 increases of τ_b above τ_{oc} there is a growing likelihood of complete bed break up and scour to 501 great depths. This provides a means of parameterising *G*.

502 In relation to burial, we assume that once τ_{oc} falls below the threshold for transport of coarse sand, the risk of deposition increases and the location is likely to be poor. This broadly 503 corresponds to what Conroy et al. (2018) observed as the threshold when time for body 504 505 emergence from a deposit increase markedly, although this does depend on burial depth. For values of τ_{oc} equivalent to the range coarse sand through to fine gravel, the shear stress is 506 medium and for fine gravel through coarse gravel the shear stress is good. The coarse gravel 507 508 threshold is important because coarser grain sizes are likely to act as anchoring refugia and 509 if these sizes begin to move, then there is a growing probability of such refugia being lost. If cobbles (or greater) can move then we assume that the site is poor as the scour depths 510 implied are greater than 0.064 m (OFEV, 2010), given the observed role of cobbles as 511 512 refugia (Hose et al., 2007).

513 To aid interpretation, we calculate the percentage of the bed inundated at low flow (Q = 0.55514 m³s⁻¹) that becomes mobile through time according to [6] and [7]. With these relationships, 515 0.14% of the bed is mobile at baseflow, which also matches our observations of negligible 516 sediment movement between flushes.

517 **2.8 Fuzzy habitat model**

518 Fuzzy subsets were defined for habitability (Y_i) and geomorphology (G_i) for each shear 519 stress predicted by the hydraulic model simulations:

$$Y_{p} = \left\{ \begin{bmatrix} \tau_{o}, \mu_{Y_{p}}(\tau_{o}) \end{bmatrix}; \tau_{o} \in Y, \mu_{Y_{p}}(\tau_{o}) \in [0,1] \right\}$$

$$Y_{m} = \left\{ \begin{bmatrix} \tau_{o}, \mu_{Y_{m}}(\tau_{o}) \end{bmatrix}; \tau_{o} \in Y, \mu_{Y_{m}}(\tau_{o}) \in [0,1] \right\}$$

$$Y_{g} = \left\{ \begin{bmatrix} \tau_{o}, \mu_{Y_{g}}(\tau_{o}) \end{bmatrix}; \tau_{o} \in Y, \mu_{Y_{g}}(\tau_{o}) \in [0,1] \right\}$$

$$G_{p} = \left\{ \begin{bmatrix} \tau_{o}, \mu_{G_{p}}(\tau_{o}) \end{bmatrix}; \tau_{o} \in G, \mu_{G_{p}}(\tau_{o}) \in [0,1] \right\}$$

$$G_{m} = \left\{ \begin{bmatrix} \tau_{o}, \mu_{G_{m}}(\tau_{o}) \end{bmatrix}; \tau_{o} \in G, \mu_{G_{m}}(\tau_{o}) \in [0,1] \right\}$$

$$G_{g} = \left\{ \begin{bmatrix} \tau_{o}, \mu_{G_{g}}(\tau_{o}) \end{bmatrix}; \tau_{o} \in G, \mu_{G_{g}}(\tau_{o}) \in [0,1] \right\}$$

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521

527

where p = poor; m = medium and g = good; $\mu_{Li}(l)$ is the grade of membership of the predicted value l (i.e. τ_o) in L_i (i.e. Y_i or G_i). If $0 < \mu_{Li}(l) < 1$, membership of L_i is partial and the prediction (of τ_o) is a member of more than one Y_i or G_i . We then specify a fuzzy rule for Habitat (H_k) where

526 If
$$Y_i \otimes G_i$$
 then H_k , for K values of k

528 where *K* is the number of habitat classes. We use a product operation rule (Wang, 1994) to 529 define the degree of fulfilment of a particular H_k :

[6]

[7]

$$\mu_{Hk} = \mu_{Yi}(d) \mu_{Gi}(v)$$

[8]

As i =3, [7] gives 9 rules and hence K, the number of habitat classes, is 9. We reduce these 9 532 classes using a symmetrical habitat classification that weights Y_i and G_i equally (Table 2). 533 This could be made more sophisticated by changing the weightings to reflect the relative 534 importance of velocity and depth in contributing to a particular habitat class, notably through 535 model calibration (e.g. Theodoropoulos et al., 2018). However, as this stream is largely void 536 of life, and we do not know a priori which flow conditions (e.g. baseflows or flushing 537 538 flows) limit the system, such calibration was not feasible. This is a weakness of our approach in this case. In a final stage, we defuzzify the results to provide a single crisp 539 habitat suitability index (HSI) scaled between 0 and 1, with 1 corresponding to the situation 540 541 when both Y and G are singularly classed within the categories Y_g and G_g .

542 The fuzzy model was applied for each of the four families identified above for each simulated discharge. This allowed visualisation of the spatial distribution of habitat. We 543 produced two reach-scale habitat indices: (1) a measure of total habitat, the sum of all HSI 544 545 values, which we label absolute habitat availability (AHA); and (2) a measure of habitat quality, equivalent to a weighted usable area (Lamouroux & Jowett, 2005), taken as the 546 AHA divided by the area that was inundated, which we label weighted habitat availability 547 548 (WHA) (Lane et al., 2006; Ahmadi-Nedushan et al., 2008). By looking spatially across all simulated HSI values for each simulated discharge, we were able to identify the maximum 549 HSI simulated and the discharge responsible for that HSI, so allowing visualisation of how 550 optimal habitat reorganised itself spatially as discharge changed. Finally, we used the 551 discharge time-series for both the natural flow and the flow with abstraction and flushing to 552 look up corresponding values of AHA and WHA, and so produce time-series of the two. 553

2.9 Validation of fuzzy habitat model predictions

555 In order to validate the model, we have compared fuzzy habitat model predictions with 556 samples reported in Gabbud et al. (2019). This is not straightforward because the Borgne d'Arolla has negligible life during the flushing period (June through August) and so very 557 558 little signal to explain. During the winter, it is snow covered. Logistical issues (time) also prevented macroinvertebrate sampling at the same moment as data collection for the 559 hydraulic modelling. However, we attempted a partial validation by pooling the main 560 channel sample results of Gabbud et al. (2019) (sites C1, D1 and D5) for three periods; 561 562 March (characteristic of winter, when the river is snow-covered); May (characteristic of spring, when the river is snow free but before flushing starts) and June through August 563 (characteristic of the main flushing period). We are able to attribute a characteristic 564 discharge to each of these periods. For the May period, this is the baseflow given that water 565 is being abstracted but because the abstraction is predominantly snow melt, there is no 566 flushing. For June through August, we take the peak discharge characteristic of flushing as 567 this is the flow that is likely to impact macroinvertebrate populations (when scour and burial 568 are at a maximum). For March, we take an estimate of the flow as krenal sourced at about 569 0.10 m³s⁻¹. For each of these flows, we calculate the mean and standard deviation of HSI 570 values in the main channel zone characteristic of where the samples were measured. The 571 results are encouraging (Figure 2). Only two points fall off a linear relationship between log 572 abundance and mean habitat score. Including all data, the correlation is 0.510 (significant at 573 574 p<0.05); excluding the two outliers, the correlation is 0.941 (significant at p<0.05). These two outliers are both for the family Baetidae for the March and May comparisons. Whilst 575 there are only three data points (March, May and flushing) for each family, which is a limit 576 577 of this validation approach, for Leuctridae, Limnephilidae and Chironomidae there is a 578 systematic increase in abundance with mean habitat score. The fact that we don't see this for 579 Baetidae may imply that the hydraulic controls (i.e. Y and G) are not sufficient to explain 580 Baetidae populations in this kind of stream. Whilst this is only a partial validation, and has 581 substantial limits, it confirms that the modelling approach is suitable for answering the 582 questions being posed in this paper.

583

584 **3 Results**

Time series of the measured and modelled datasets are provided in Figure 3. Figure 3a 585 586 shows how the regime with abstraction and flushing leads to relatively long duration periods of low flow, at or below 0.55 m³s⁻¹, coupled with periodic high flows when flushes arrive. 587 588 The baseflows are uniformly lower than would be expected under natural conditions (Figure 589 3a). Despite attenuation, the flushing flow can be higher than expected under natural conditions because for some night-time flushes, an intake upstream of the Bertol Inférieur 590 intake is opened and its water is added to that of the Bertol Inférieur water. Note that such 591 592 data points are excluded from the calibration.

- The temperature followed a clear diurnal cycle (Figure 3b) and was above 6°C and below 593 10°C throughout the investigated period. The flushes typically lead to a drawdown in 594 temperature but this was generally negligible. Aside from a short period in Day 1, the 595 596 turbidity was extremely low between flushes (Figure 3c), around 50 NTUs. Flushes could lead to extremely rapid increases in turbidity, to as high as 2500 NTUs. From Day 5 597 onwards, there is a general association between the size of the flush and the maximum 598 599 turbidity reached. The latter may reflect the fact that the smaller flushes relate to the sand traps which, whilst producing significant sand supply, are of shorter duration and attenuate 600 rapidly downstream (Figure 3a; see also Bakker et al., 2019), producing lower turbidity 601 peaks. 602
- Figure 3d shows how the euphotic depth evolves through time. First, flushes increase 603 turbidity to reduce the euphotic depth to values typically less than 0.05 m. Second, the 604 curves also show how sensitive the euphotic depth is to turbidity changes at low turbidity, 605 606 such as between Days 5 and 6 after major flushing events during Day 4 and at the start of Day 5 (Figure 3c), when turbidity falls rapidly, but euphotic depth recovers more slowly. 607 Third, between flushes, euphotic depth recovers over the timescale of hours to levels that are 608 609 much greater than water depths typically found in this reach stream. This has the effect of making large areas of the stream bed shallower than the euphotic depth (Figure 3d) between 610 purges, typically > 90% for long periods of time. Given that the flow abstraction regime 611 takes all kryal sources of water in this basin, what contributions remain are largely krenal 612 (there are negligible nival sources in August) such that between flushes euphotic depths are 613 much deeper than they might have been under natural conditions without flow abstraction. 614 In terms of suspended sediment concentrations, whilst a purge is passing through, mean 615 suspended sediment concentrations were very high with substantial variability (4.900 ± 1.195) 616 mgl⁻¹) (beyond the range of calibrated suspended sediment concentrations, hence the high 617 uncertainty). During baseflow, mean concentrations were substantially lower and less 618 variable $(378 \pm 11 \text{ mgl}^{-1})$. 619
- Figures 3e and 3f show two contrasting trends in terms of habitat availability. During
 flushing, total available habitat tends to increase rapidly (Figure 3e), to a degree that is
 variable between the four families. In rank order, conditions at all flows are best for
 Baetidae, followed by Chironomidae, Leuctridae and Limnephilidae. The weighted habitat

- availability declines rapidly during flushing (Figure 3f), but this can reverse at the very
 highest flushing flows (e.g. on days 8 and 9). This observation suggests the stream
 morphology is conditioning habitat availability; initial rises in flow degrade available habitat
 in existing inundated areas at a rate that is greater than the inundation of new areas creates it.
 This is slowed and reversed at higher flows and we explore this below.
- When compared with natural flows (Figures 3g and 3h), the abstraction regime reduces 629 absolute habitat availability across all families, but improves weighted habitat availability. 630 631 This is not surprising if the primary effect of higher flows (whether due to flushing or 632 natural stream flow due to glacier melt with no abstraction) is to increase absolute habitat availability. However, comparing Figures 3g and 3h with Figures 3e and 3f reveals a second 633 and more critical issue. In a natural system, absolute and weighted habitat availability 634 change much more gradually than in a system subject to flushing meaning that very rapid 635 lateral displacement may be needed if an organism is to profit from improving habitat 636 elsewhere within the river-floodplain system. This spatial issue is further considered below. 637
- 638 Figure 3i shows percentage of the stream bed are likely to be active under both the abstraction-flushing and natural regimes. Reflecting the discharge variation, this suggests 639 that abstraction leads to long periods of more stable bed conditions interspersed between 640 very rapid increases in bed instability during flushing events. Levels of bed activity in the 641 642 low flow inundated channel are much higher for the natural channel case, but as with habitat, levels of bed instability rise and fall more slowly. Figures 3j and 3k show the 643 644 quantity and the percentage inundated area where the water depth is lower than the euphotic depth and light can reach the stream bed. 645
- The data shown in Figures 3e through 3h suggest that changing flow leads to spatial 646 647 reorganisation of the locations where suitable habitat is to be found. Figure 4 illustrates how 648 suitable habitat evolves in space for a range of discharges based upon those shown in Figure 649 3a, here for the case of Leuctridae. At a flow close to the summer baseflow $(0.5 \text{ m}^3 \text{s}^{-1})$ the main channel is present as a meandering thread generally with HSI values between 0.1 and 650 651 0.15. However, the conditions in this main channel are marginally better at lower flows (Figure 4, $0.1 \text{ m}^3 \text{s}^{-1}$). By 1.0 m³s⁻¹, the white zones of no suitable habitat in the main channel 652 have widened, more so by 1.5 m³s⁻¹. At this discharge there is the start of anabranch opening 653 and this continues to 4.5 m^3s^{-1} . At this discharge, the main channel evidence at 0.5 m^3s^{-1} is 654 entirely white, suggesting no suitable habitat is present. Thus, as discharge rises, zones of 655 656 more suitable habitat move from the main channel to anabranches and zones initially the most suitable decline in suitability. This is reflected in the spatial displacement of where 657 optimum habitat suitability is to be found as a function of discharge (Figure 5). For all four 658 families (Figure 5), there is a range of discharges within the reach at which optimal habitat is 659 found, and reflecting the braided nature of the river-floodplain system (Figure 1), as 660 discharge rises, habitat suitability in some parts of the stream declines, but new zones 661 become inundated providing suitable habitat. Even at high flows there is potentially suitable 662 habitat available, but where it is to be found moves laterally. 663
- Figure 6 provides plots of changes in absolute and weighted habitat availability as a function 664 of discharge for all four families. The absolute habitat availability rises for all families to 665 between 0.75 m³s⁻¹ (Limnephilidae) and 0.90 m³s⁻¹ (Baetidae) after which it declines very 666 slightly to between 1.00 m³s⁻¹ and 1.25 m³s⁻¹ for all families after which it rises to around 5 667 $m^{3}s^{-1}$ in all cases. This pattern reflects the stream bathymetry as 0.80 $m^{3}s^{-1}$ is approximately 668 the channel capacity of the main channel and when this capacity is reached, there is 669 negligible increase in inundated width as discharge increases, water depth rises, roughness 670 671 falls, flow velocity rises, and so shear stress rises; and absolutes habitat availability falls.

672 This pattern is shown for Leuctridae in Figure 4 by comparing discharges $0.5 \text{ m}^3 \text{s}^{-1}$ and $1.0 \text{ m}^3 \text{s}^{-1}$. By 1.5 m³s⁻¹, the flow is sufficient to occupy anabranches and absolute habitat availability increases again.

The weighted habitat availability versus discharge curves are similar in shape for all families, increasing to a peak between $0.15 \text{ m}^3\text{s}^{-1}$ (Chironimidae) and $0.30 \text{ m}^3\text{s}^{-1}$ (Limnephilidae), then declining rapidly to $1 \text{ m}^3\text{s}^{-1}$ and more slowly to $2.25 \text{ m}^3\text{s}^{-1}$. There is then a gentle rise to $4 \text{ m}^3\text{s}^{-1}$ and after that a gentle decline. Again, these reflect the patterns in Figure 4 and the absolute habitat availability data shown in Figures 6a, 6c and 6e; increases in discharge translate into increases in absolute and weighted habitat availability as long as the discharge increase is accommodated by an increase in width, and the creation of new zones of lower shear stress.

Figure 7a provides a spatial visualisation of excess shear stress for the channel occupied at 683 base flow with on the background the modelled inundation extent of the highest recorded 684 discharge. This shows that almost all of the low flow stream bed has shear stresses that 685 686 clearly exceed the critical shear stress, notwithstanding the uncertainty in the determination of the latter. Figure 7b lends credence to this analysis showing that over a slightly longer 687 timescale than that considered here, the vast majority of the floodplain would be reworked 688 under the current flow regime. It should be emphasised that Figure 7b shows a weakness in 689 our approach: there may be evolution in the stream bathymetry during our study period, and 690 hence in hydraulic conditions, and we do not take this into account during our analysis. 691

692

693 **4 Discussion**

The combination of field measurement with habitat modelling for macroinvertebrates
allowed identification of how intake flushing may impact instream habitat variations in
space and time as compared with what is likely under natural conditions.

In methodological terms, we adopted a mixed methods approach in which standard 697 monitoring and hydraulic modelling methods were combined with a fuzzy habitat analysis. 698 Before we discuss these results, we do wish to emphasise that despite a partial validation, 699 700 the results of the habitat analysis should be treated with some uncertainty. On the one hand, 701 the method did seem to reproduce a reasonable relationship between habitat availability and abundance for three of the four families studied here. On the other hand, the fact that 702 703 Baetidae was over predicted in the winter and spring (no flushing) data is a reminder that the 704 model only represents the effects of hydraulics and not other factors (e.g. stream temperature) on habitat availability. Fuzzy models have been found to be sensitive to how 705 706 rules are set (Adriaenessens et al., 2004) and are reliant upon expert knowledge of the problem at hand (Hernandez-Suarez & Nejadhashemi, 2018), as illustrated here with the use 707 of the Shields criterion, observations of the lack of armouring, etc. In our application here, 708 709 we did not include grain-size. There are also crucial issues of scale, especially in the kinds of gravel-bed rivers studied here where scales of just a few cm may need to be modelled in 710 order to capture both local hydraulic variability and the availability of refugia. Finally, 711 712 although the analyses are done here at the family scale, there will be within family variation in ecological traits that our application does not capture (in Baetidae, for example, Usseglia-713 Polatera & Tachet, 1994; Snook & Milner, 2002). As the Gabbud et al. (2019) data were to 714 715 family level for the most part, this is the finest taxonomic resolution we could use. This is 716 why the habitat model results in particular should be treated with caution.

That said, and taken together, the results allow us to evaluate the extent to which more diversified and abundant communities in winter and early spring, as compared with summer, can be attributed to what might be expected naturally in a morphologically dynamic Alpine stream as opposed to flushing of sediment from intakes. In so doing, we also draw some wider implications of these research results.

First, our evidence emphasises the need to consider minimum flows in terms of more than 722 just hydrology (e.g. flow percentiles), the latter still being the case in some countries (e.g. 723 724 the Swiss Water Law sets the minimum flow to be maintained at a flow abstraction site as the O_{347}). The weighted habitat availability (Figure 3f) tends to reduce for all families above 725 discharges of between 0.15 m³s⁻¹ (Chironimidae) and 0.30 m³s⁻¹ (Limnephilidae). These 726 discharges are lower than the summer baseflow delivered by krenal and unregulated sources 727 for this reach of river (0.55 m^3s^{-1}) as all glacier-derived water is abstracted except during 728 flushes. This stream is currently subject to a proposal to require a minimum non-abstracted 729 flow of $0.12 \text{ m}^3\text{s}^{-1}$ at one installation, which would increase the baseflow to between 0.65 730 and 0.75 m³s⁻¹. Assuming the results of the habitat modelling to be correct, this is exactly in 731 the range of flows where absolute habitat availability is increasing marginally (Figures 6a, 732 733 6c, 6e) but weighted habitat availability is declining rapidly (Figures 6b, 6d, 6f). It emphasises the need to evaluate minimum flow needs in terms of the ecology and not just 734 the hydrology (e.g. a flow percentile) and also to take into account recharge from krenal and 735 unregulated sources. 736

737 Second, the wider environmental data emphasise the need to look at not just discharge but also other environmental parameters as here the abstraction of water in itself may well 738 739 reduce the harshness of the Alpine stream ecosystem. Temperature is commonly identified 740 as a limiting parameter for certain macroinvertebrates in glacially-fed streams (Ward, 1994; 741 Milner et al., 2001). Lower flows aid the recovery of temperature and, in this case, leads to relatively high stream temperatures. With the stream temperatures measured here (Figure 742 743 3b) literature (e.g. Milner et al., 2001) suggests that the families studies are unlikely to be temperature limited. At the same time, as it is kryal water that is being abstracted in August, 744 and as nival sources are largely exhausted at this time of year, krenal sources become 745 dominant. The result is turbidity values typically between 20 and 30 NTUs substantially 746 lower than those typical of natural glacier-fed streams in Switzerland (e.g. Malard et al., 747 2000; Robinson et al., 2001; Uehlinger et al., 2010). The same applies to mean suspended 748 sediment concentrations $(378 \pm 11 \text{ mgl}^{-1})$, about an order of magnitude lower than the peak 749 750 suspended sediment concentrations reported for the unregulated streams of glaciers upstream 751 of the study site during similar time periods (Clifford et al., 1995; Swift et al., 2005).

The reduction in turbidity due to the abstraction of suspended sediment rich glacier-derived 752 water means that the euphotic depth of the residual discharges is increased (Figure 3d), 753 754 except during flushes, and that the development of organic matter ought to be favoured. The 755 greater relative importance of krenal sources might also increase nutrient supply relative to 756 kryal dominated water, although its significance in terms of macroinvertebrate populations is less clear (Malard et al., 2003). The residual discharges also lead to relatively high levels of 757 758 bed stability as compared with what might be expected under natural flow conditions 759 (Figure 3i). Whilst the absolute habitat availability is lower (Figure 3e) as compared with natural flows, the weighted habitat availability (Figure 3g) is higher, as the residual 760 discharges produce hydraulic conditions more suited to the families studies here. Thus, flow 761 762 abstraction leads to less harsh and more stable conditions downstream of flow abstraction 763 sites provided there are krenal and/or nival sources capable of providing a minimum flow. This is likely to reduce habitat suitability for macroinvertebrates better adapted to harsher 764

environmental conditions (Milner et al., 2001; Niedrist et al., 2018) but increase habitat
suitability for generalists. A reduction in environmental harshness is likely to increase
overall taxonomic richness (Jacobsen & Dangles, 2012) and if the target of stream
management is measures of stream productivity, flow abstraction is beneficial.

Third, given this result, it is perhaps surprising that Gabbud et al. (2019) found that this 769 stream is severely degraded in macroinvertebrate populations, even in the specialists that 770 might be adapted to the flow variability and turbid water typical of kryal-dominated streams 771 772 (Milner et al., 2001). Our analysis suggests rapid habitat deterioration during flushing events within the permanent channel (e.g. Figure 4), such that whilst these flushing events do 773 increase absolute habitat availability (Figure 3e), this is through the inundation of new 774 floodplain zones. The main channel itself becomes less suitable at higher discharges 775 (Figures 4, 5) such that weighted habitat availability declines (Figure 3f). 776

In terms of other environmental parameters, there is only a slight drawdown in temperature 777 directly after flushing. Temperature decline following hydropeaking has been reported (e.g. 778 779 Bruno et al., 2012) but the decline here is lower than associated with hydropeaking (e.g. 780 Toffolon et al., 2010). It is more the change in hydrograph shape (Figure 3a) and turbidity (Figure 3c) that is more striking. The marked increase in flow peakedness is accompanied by 781 782 a very rapid increase in turbidity to values much more extreme than are normal for this kind of stream (e.g. Malard et al., 2000; Robinson et al., 2001; Uehlinger et al., 2010), and 783 reflecting previous observations of this system (e.g. Gurnell, 1983; Petts & Bickertons, 784 785 1994). These high turbidity values reflect the release of stored sediment during flushes and effectively counterbalance the reduction in turbidity during low flows by providing very 786 787 high turbidity during flushes. Mean suspended sediment concentrations are higher than the 788 maxima typical of glaciers upstream (Clifford et al., 1995; Swift et al., 2005) reflecting the combined effect of flushing by glacially derived melt with fine sediment stored in the 789 sediment traps. Euphotic depths then reduce rapidly (Figure 3d). However, the duration of 790 791 turbidity peak is short and whilst it leads to a marked reduction in the euphotic depth, there is rapid recovery to higher depths once the flush has passed (Figure 3d). The short duration 792 is unlikely then to impact significantly upon the duration of time when organic matter 793 growth is possible. However, the flushing leads to marked destabilisation of the stream bed 794 (Figure 3i, Figure 7a) which is likely to remove organic matter accumulated between 795 flushes. This destabilisation is likely to have a mixed scour and burial effect, as occurs in all 796 braided streams during flushing events (e.g. see Bakker et al., 2019, for an illustration in this 797 798 system), and it is this scour-burial process, as well as impacts on organic matter accumulation, that may be highly detrimental. Figure 8 illustrates for Baetidae the effects of 799 including only habit preferences alone (Y) as compared with scour-burial and habitat 800 801 preferences (G and Y) upon the optimum discharge for habitat at each point in the floodplain shown in Figure 5. Including G reduces the discharge that produces optimal habitat and this 802 reduction is spatially variable, greater in the channel marginal zones where shear stresses 803 increase most as discharge rises. Thus, geomorphic effects of erosion and deposition seem to 804 render the stream more sensitive to discharge change than changes due to habitat preferences 805 alone. 806

Fourth, the analyses suggested that both the abstraction-flushing regime and the natural flow regime tended to increase absolute habitat availability under higher flows (Figure 3e). This was a function of the braided nature of the stream, which resulted in the spatial reconfiguration of where habitat was most suitable as discharge changed (Figures 4, 5), observed elsewhere for Alpine braided rivers (Arscott et al., 2002; Sukhodolov et al., 2009; Vorste et al., 2016). The increase in absolute but decrease in weighted habitat availability occurs because the rate of creation of newly inundated zones is faster than the rate of 814 creation of more suitable habitat in these zones, whilst in the areas inundated at low flows, habitat availability declines rapidly as discharge rises. The low flow inundated zones are 815 also the ones that are most likely to become unstable at high flows (Figure 7a). However, 816 these patterns overlook a more notable consequence of flushing: the discharge (Figure 3a) 817 passes from 0.55 m³s⁻¹ to 5 m³s⁻¹, sometimes 10 m³s⁻¹, very rapidly. Whilst there is 818 progressive displacement in where habitat is optimum for all three orders as discharge rises 819 (Figure 5) in practice there is a rapid switch between the low flow and the high flow state, 820 much more rapid than would be the case under natural flow conditions (as also shown in 821 Figures 3e through 3h). Macroinvertebrates can respond in two ways to such changes: burial 822 823 in the gravel bed or drift (Gayraud et al., 2000). The hyporheic zone is recognised as an important refugee during disturbance (Williams & Hynes, 1974; Resh et al., 1988; Sedell et 824 825 al., 1990; Stanford & Ward, 1993; Malard et al., 2001; Oldmeadow et al., 2010). 826 Macroinvertebrate penetration into substrate is however only possible under two conditions. First, the bed sediment porosity must be sufficient (Maridet et al., 1996). We have no data to 827 evaluate this. Second, the bed should remain relatively stable, with scour no greater than the 828 829 depth of burial (Dole-Olivier et al., 1997), and not so much deposition that it becomes impossible to emerge after the flush has passed. The high level of bed instability in the low 830 flow zones suggests there is likely to be substantial erosion and deposition during flushes 831 (Figure 7). 832

- Drift has been reported as a possible response to perturbation in glacial streams (Ilg et al., 833 2001; Saltveit et al., 2001), responsible for 82% of the stream bed macroinvertebrate 834 colonisation in one case (Townsend & Hildrew, 1982) but still limiting the development of 835 high levels of taxonomic richness (Jacobsen & Dangles, 2012). Baetidae, Leuctridae and 836 Limnephilidae typically have their active drift maximum during the night (Brittain & 837 Eikeland, 1988) while Chironimidae appear to be adapted to both daytime drift (Allan, 838 1987) and nocturnal drift (Ali & Mulla, 1979; Stoneburner & Smock, 1979). Passive 839 840 (catastrophic) drift results from sudden increases in discharge or substrate movement 841 (Waters, 1961; Lancaster et al., 1996; Oldmeadow et al., 2010; Harby & Noack, 2013), such as might occur during a flushing event. Drift can occur when sediment is agitated even 842 843 without transport (Gibbins et al., 2007). In the presence of disturbance, mean drift distances of 3-4 m have been observed in 10 to 15 minutes for Baetidae (Oldmeadow et al., 2010) 844 845 while others suggest drift is only possible for shorter times, such as 5 to 10 minutes (Bruno 846 et al., 2009). In the example studies here, drift would need to be both out of the low flow channel into anabranches and then back again to avoid stranding. This would need to happen 847 often. The majority of flushes last 30 to 45 minutes (Gabbud et al., 2019), which is twice or 848 849 even three times the duration that can be endured by macroinvertebrates, and the frequency of habitat condition disturbance can reach more than ten times a day in very extreme cases 850 (Gabbud et al., 2019). Thus, whilst there may be suitable habitat available at flushing-851 852 induced high flows, it is not clear macroinvertebrates can migrate rapidly enough to get to such zones. There is evidence that at least some macroinvertebrates can survive the harsh 853 854 conditions of glacial streams, and our study reach is well downstream of glaciers in zones where under natural flows the families investigated should be present (e.g. Milner et al., 855 2001). Thus, the fact that Gabbud et al. (2019) did not find macroinvertebrates in the low 856 857 flow channel during August when flushes are of high frequency may be due to the combination of the bed instability caused by flushing flows coupled with the rapidity of flow 858 859 change. It explains why macroinvertebrate recovery in this stream occurs as soon as the frequency of flushing events decreases (Gabbud et al., 2019). 860
- To summarise the policy implications arising from this discussion, we argue that introduction of a minimum flow will probably not give the significant habitat improvement

863 needed. There are at least three reasons for this. First, given the morphology of the braided river here, increasing minimum flows causes negligible increase in absolute habitat 864 availability and reductions in weighted habitat availability for each order considered (Figure 865 6). This is because for discharges up to $1 \text{ m}^3\text{s}^{-1}$, substantially greater than the minimum 866 flows required by Swiss law (here the required Q_{347} is about 0.12 m³s⁻¹), the flow remains 867 within its low flow bed, and the increases in discharge are not expressed in lateral flow 868 expansion. The reason why a hydrological definition of low flow is insufficient in ecological 869 terms is that stream bed morphology conditions the relationship between habitat availability 870 and flow. Research is needed to better understand how braided river morphology conditions 871 the response of macroinvertebrates to discharge change, ideally through the comparison of 872 different Alpine braided rivers. Second, such minimum flows have to come from the sources 873 874 that are being regulated, which are kryal. Water coming from kryal sources will be colder and turbid, the latter potentially leading to abrasion of accumulated organic matter (Church 875 & Gilbert, 1975) and light penetration reduction (Robinson et al., 2001). Third, even with 876 some optimisation of a minimum flow, the results emphasise that the real problem is the 877 flushing of sediment. As long as flushing events continue to occur, habitats will be abruptly 878 879 and frequently degraded and macroinvertebrates will not be able to recover. Flushing at present is needed for two reasons: (1) preventative, by emptying partly filled traps at night 880 so that they don't need to be emptied during the following day, when there is a risk that 881 tourists might be in the stream; and (2) because the sediment trap is full. Both of these issues 882 might be addressed with upstream sediment management and, following Wohl et al. (2015) 883 we argue that this is why this kind of stream needs sediment flows (or sed-flows) as well as 884 e-flows. 885

5 Conclusion

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The aim of this study was to explain why flow abstraction and associated intake flushing can 889 890 lead to dramatic impacts on macroinvertebrate populations in high altitude Alpine streams. Our analyses suggest that whilst the water abstraction itself may reduce the harshness of 891 such streams (in terms of temperature, turbidity, bed stability), flushing events lead to rapid 892 893 degradation in the habitat conditions found in the channels inundated at low flow, in terms of rapid turbidity increase and increase in the probability of in-channel instability. Basic 894 895 modelling of habitat preferences suggested that the kind of braided stream studied can have suitable habitat at all discharges (at least until those greater than the discharge which fully 896 occupies the braidplain width). It is the rate of displacement of the sites of suitable habitat 897 898 across the floodplain, driven by the speed of flushing flow rise and fall, that makes it unlikely that macroinvertebrates can profit from the theoretical resilience associated with the 899 mosaic of braided river habitats. Burial and drift strategies are unlikely to be allow 900 macroinvertebrates to profit from the rapid spatial reorganisation of suitable habitat. We 901 902 argue that this explains why Gabbud et al. (2019) observed such a strong correlation between the absence of macroinvertebrates during intense flushing and the recovery of 903 macroinvertebrate populations when flushing frequency fell even if the latter was in mid to 904 late autumn. 905

In restoration terms, the work suggests that recommendations for increasing minimum flows in glaciated catchments need to be sensitive to the extent to which flow from unregulated parts of the basin and from groundwater, provide a minimum flow. If the regulated flows are kryal-sourced, using these to provide minimum flows may degrade low flow habitat through increasing turbidity and reducing stream temperature. Even then, a change in minimum flows should be evaluated with respect to where the current flow is in the relationship

between absolute/weighted habitat availability and discharge, as this is conditioned by the 912 morphology of the stream bed as well as the size of the flow itself. This observation 913 questions policies that set minimum flows in terms of flow distributions, without taking into 914 account local geomorphological context. Even if it is concluded that a minimum flow is 915 beneficial, it is unlikely that this kind of stream can be restored until there has also been 916 some kind of sediment management, capable of reducing flushing rates. In addition to 917 thinking of e-flows, it is also necessary to think of the kinds of management options that 918 might better manage sediment delivery to intakes. 919

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1315 Captions

1316 Figure 1: The Arolla river basin in the Swiss Alps with the water intakes (left) and the focus study area at Satarma (right).

Figure 2: Measured abundance versus mean habitat score with grey lines showing the standard deviation of the habitat
score in the main channel zone; the data points are classed in two ways, in Figure 2a by time period and in Figure 2b by
family.

Figure 2: Time series of the variables measured and modelled for 9 days from the 6th of August 201: a) discharge under 1320 flushing (in red) and natural (in black) conditions $[m^3s^{-1}]$; b) temperature $[^{\circ}C]$; c) Turbidity [NTUs]; d) euphotic depth [m], 1321 1322 the depth at which 95% of incoming light can penetrate to the stream bed; e) absolute habitat availability with a flushing 1323 regime for the four modelled families; f) weighted habitat availability with a flushing regime for the four modelled families; 1324 g) absolute habitat availability with a natural regime for the four modelled families; h) weighted habitat availability with a 1325 natural regime for the four modelled families; i) percentage of the bed that is inundated at base flow (0.55 $m^3 s^{-1}$) that is 1326 active given the discharge time-series in Figure 3a; j) the inundated area where the water depth is lower than the euphotic 1327 depth and light can reach the stream bed; k) the percentage of the total inundated area where the water depth is lower than 1328 the euphotic depth and light can reach the stream bed.

Figure 3: Spatial evolution of habitat suitability index according to discharge for the family Leuctridae using the fuzzy
 habitat modelling approach described in the text.

1331 *Figure 4*: The discharge (Q) at each point in the floodplain that produces the optimum habitat suitability at that point.

Figure 5: Absolute (left) and Weighted (right) Habitat Availability for: the four families studied here: (a) and (b), Baetidae;
(c) and (d) Chironomidae; (e) and (f) Leuctridae; and (g) and (h) Limnephilidae.

Figure 7: a) A map of modelled peak shear stress as multiples of the critical (Shields) shear stress for the highest discharge simulated, showing those zones inundated at low flow; b) DEM of difference between the 26th of July and the 12th of August 2016 with in blue = positive change = deposition and in red = negative change = erosion, with LoD = Limit of Detection of change (= 0.13 m).

Figure 8: Change in optimal discharge for Baetidae when the model is run with habitat preferences only (Y) as opposed to both habitat preferences and geomorphic suitability (Y and G, Figure 5a).

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1344 Tables

1345 *Table 1:* Hydraulic model calibration with highest interpolation results; K = Kappa; m = Slope of the regression; r = 1346 Correlation.

Discharge	Manning's	K	m	r
(m ³ s- ¹)	п	Λ	111	
0.5	0.04	0.837	2.64	0.731
0.5	0.05	0.845	1.989	0.729
0.5	0.06	0.868	1.253	0.726
0.55	0.04	0.881	1.122	0.713
0.55	0.05	0.879	1.068	0.722
0.55	0.06	0.876	1.02	0.732
0.55	0.07	0.87	0.968	0.736
0.55	0.08	0.868	1.125	0.754
0.6	0.04	0.878	1.254	0.762
0.6	0.05	0.876	1.026	0.727
0.6	0.06	0.753	0.218	0.701

1352 *Table 2: The (symmetrical) definition of habitat classes in relation to the rule set defined in [7].*

Symmetrical	Y Poor (presence rarely found)	Y Medium	Y Good (presence often found)
G Poor (risk of burial or scour)	Unsuitable Habitat 0	Very Poor Habitat 1	Poor Habitat 2
G Medium	Very Poor Habitat 1	Good Habitat 3	Very Good Habitat 4
G Good (fines washed out but no risk of catastrophic scour)	Poor Habitat 2	Very Good Habitat 4	Excellent Habitat 5

Figure1.



Figure2.



Figure3ai.



Figure3jk.



Figure4.



Figure5.



Figure6.



Figure7.



Figure8.

