

Review Paper

Integrating evidence of land use and land cover change for land management policy formulation along the Kenya-Tanzania borderlands

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Abstract

This paper presents an overview of the scientific evidence providing insights into long term ecosystem and social dynamics across the northern Tanzania and southern Kenya borderlands. The data sources covered a range from palaeoenvironmental records and archaeological information to remote sensing and social science studies that examined human-environmental interactions and land use land cover changes (LULCC) in the region. This knowledge map of published LULCC research contributes to current debates about the drivers and dynamics of LULCC. The review aims to facilitate both multidisciplinary LULCC research and evidence-based policy analyses to improve familiarity and engagement between LULCC knowledge producers and end-users and to motivate research integration for land management policy formulation. Improving familiarity among researchers and non-academic stakeholders through the collation and synthesis of the scientific literature is among the challenges hindering policy formulation and land management decision-making by various stakeholders along the Kenya-Tanzania borderlands. Knowledge syntheses are necessary; yet, do not fully bridge the gap between knowledge and policy action. Cooperation across the science-policy interface is fundamental for the co-production of research questions by academics, policy makers and diverse stakeholders aimed at supporting land management decision making. For improved co-development and co-benefitting outcomes, the LULCC scientific community needs to mobilise knowledge for a broader audience and to advance co-development of relevant and meaningful LULCC products.

Keywords

Landscape, Multidisciplinary, Science-policy interface, Serengeti, Socio-ecological systems, Policy support

Highlights (for review)

- Summarise evidence sources of past-present-future socio-ecological change
- Review scope focuses on the Kenya-Tanzania border from mid-Holocene to present
- Strengthened integration and co-production is needed at the science-policy interface
- Multifunctional, transparent, and reliable LULCC knowledge support decision-making

27 1. Introduction

28

29 The highlands and lowland semi-arid savannahs and woodlands along the Tanzania-Kenya
30 borderland region are complex socio-ecological systems that are particularly sensitive to
31 changes in climatic variability and land use (Rass, 2006; Mongi et al., 2010; ICSU 2015),
32 although the underlying human-environmental mechanisms of these processes are not fully
33 understood (Grove, 1977; Willis et al., 2018). These ecosystems support a high biomass of
34 domestic and wild mammals with human populations relying on these ecosystems for their
35 livelihoods. Environmental and land use change vulnerabilities underpin the formulation of
36 several of the United Nations' (2015) Sustainable Development Goals (SDGs) and other
37 development goals. Climate changes, ecosystem degradation, biodiversity losses and
38 sociopolitical pressures all impact development, conservation and livelihoods of
39 socioecological systems along the Tanzania-Kenya border (Western, 2000; Sinclair et al.,
40 2008; Cai et al., 2014; IPCC, 2014). Agriculture, livestock and wildlife are impacted through
41 the disruption of historically more reliable rainy and drier seasons (Munishi et al., 2015)
42 modifying livelihoods and land management strategies (Reid, 2012; Goldman and
43 Riosmena, 2013; Thornton and Herrero, 2015). Intensive land use of integration zones
44 surrounding protected areas (Estes et al., 2012; Pfeifer et al., 2012; Veldhuis et al., 2019)
45 also places pressure on ecosystems and local populations that challenges the adaptive
46 potential of rural communities (Gilbert, 2015). Generating scientific evidence on historical
47 socio-ecological systems establishes a knowledge pillar that *can* inform present and future
48 land management decision making.

49

50 Land use and land cover change (LULCC) research investigates the spatio-temporal
51 characteristics and multiscale processes of variability in Earth surface systems, including
52 anthropogenic modifications (Foley et al., 2005; Ellis, 2007). But, signals of LULCC are
53 confounding and challenging to disentangle (Loveland and DeFries, 2004), especially in
54 retrospection. Understanding the character, timing and phase-relationships of these
55 interactions is vital to the development of adaptive management plans, particularly with
56 respect to savannah and forest ecosystems (Kruger, 2015). Accessible, transparent and
57 reliable data repositories are necessary to apply knowledge and data in support of
58 management planning (Wilkinson et al., 2016; Gorddard et al., 2016; Figure 1). Knowledge
59 is not the only pillar to function as a context for decision making. The pillars of societal rules
60 and values are equally important and all interact and co-evolve as decision contexts.
61 Concerted efforts in consensus-driven science that account for each of these interacting
62 pillars and their inherent uncertainties should be at the fore of research used at the
63 science-policy interface and developed for broad stakeholder audiences (IPCC, 2013; Cook
64 et al., 2016). Knowledge products and services provide an evidence base for engaging
65 stakeholders with tools for exploring, formulating, monitoring and adapting land management
66 decisions (Magliocca et al., 2018).

67

68 In acknowledgement of knowledge and practice gaps, we present a LULCC
69 knowledge mapping approach and its ongoing application to research at the borderlands of
70 southern Kenya and northern Tanzania. This approach is especially important because,
71 communication and mobilisation of synthesised scientific knowledge should be integrated
72 within a model of continuous engagement between communities, local practitioners, policy
73 makers, researchers and other stakeholders (Okello, 2005; Shetler, 2007; Reid, 2010; Reid
74 et al., 2016; Løvschal et al., 2017; Galvin et al., 2018), in a manner that promotes community
75 based decision making (Goldman and Millariy, 2014). As a contribution to addressing
76 existing gaps, we review several disciplines active in LULCC-relevant research along the
77 borderlands to identify the benefits and challenges of harnessing a broad evidence base to
78 construct the knowledge pillar as a consideration in stakeholder dialogues and decision
79 support. We develop this knowledge map and discuss future steps in anticipation of growing
80 requests from non-academic stakeholders across the science-policy interface that scholars
81 provide critical syntheses to support LULCC policy formulation. Communicating knowledge

82 mapping is a useful precondition for integrated LULCC research and co-development of a
83 research culture for engaging stakeholders interested in LULCC policy. Through an
84 evidence-source classification and typology we interlink the dispersed state of LULCC
85 knowledge products with the objective of improving multi-audience familiarity across the
86 science-policy interface (Figure 2). Developing new research insights of historical
87 human-environment interactions that inform our future foresight tools also requires improved
88 criticality on how best to mobilise this knowledge and integrate research cultures (Stump,
89 2010; de Bont et al., 2019) for application in policy formulation and decision support for land
90 management along the borderlands (Figure 2).

91 1.1. The scope of the review

92
93
94 The geographical scope of our review covers a complex region having a wide range of
95 environmental conditions with steep gradients and high rates of change (Marchant et al.,
96 2018), overlain with diverse socio-cultural histories and interacting spatiotemporal scales
97 and trajectories of social interaction, exchange, cooperation and conflict (Reid, 2012). The
98 temporal scope focuses from the mid Holocene onward that was characterised by high
99 hydroclimatic variability after the African Humid Period, introduction of pastoralism,
100 emergence of iron using material cultures, intensifying local-to-global connectivity, montane
101 deforestation, defaunation, introduced species, and physical and jurisdictional fragmentation
102 of landscapes. The borderlands of southern Kenya and northern Tanzania (henceforth the
103 borderlands) host a rich diversity of environmental capital ranging from Kilimanjaro's peak
104 with glaciers, montane forests and mesic woodlands, to semi-arid lowland savannahs and
105 freshwater systems. The region is also rich in cultural diversity with a long and complex
106 history of human-environment interactions (Marchant et al., 2018), but there are few
107 transborder mechanisms for addressing ongoing LULCC challenges (Okumu, 2010).
108 Currently, the borderlands include ethnically and culturally diverse urbanised and rural
109 populations and multiple institutional actors and management regimes (Shetler, 2007;
110 Sinclair, 2012). Past and present livelihoods range from shifting or intensive agriculture,
111 livestock keeping, fishing, and hunting and gathering (Prendergast and Mutundu, 2009);
112 alongside employment in tourism, conservation, resource extraction, and manufacturing,
113 public sector, commercial and service industries (Homewood et al., 2009). The pressures on
114 socio-ecological systems and anticipated consequences of future environmental and social
115 changes are unevenly distributed - leaving ecosystems, communities and sections of society
116 less adaptable and more vulnerable than others (Goldman and Riosmena, 2013;
117 KayeZwiebel and King, 2014; Miller et al., 2014; Salerno et al., 2016; Pecl et al., 2017).

118 2. Literature review

119
120
121 The knowledge mapping collated peer-reviewed publications and ongoing studies of LULCC
122 at the borderlands since the mid Holocene (6000 cal yr BP) to present (CE2019) through a
123 manual literature review of archaeological, palaeoenvironmental, remote sensing, historical,
124 anthropological and ethnographic research (Figure 3) using specific search terms
125 (Supplementary Material, SM1). The search included digitally-available university theses and
126 the few examples of grey literature produced by researchers known to have worked in the
127 region. The review should not be considered exhaustive. However, this review does not
128 make use of the white papers, briefing notes, pamphlets and multimedia that capture
129 non-academic viewpoints, writing for non-academic audiences and the policy landscape. We
130 advocate that a review of these materials be undertaken as a future step. We have
131 organised the evidence streams into categories based on the dominant approach used,
132 while remaining aware of overlaps between disciplines as some studies are inherently
133 interdisciplinary or used integrated research approaches (Figure 4). In the next section, we
134 characterise the research categories and discuss opportunities and caveats related to the
135 evidence that each discipline provides on past, present and potential future LULCCs.

3. Typology of knowledge sources of Land Use Land Cover Change (LULCC) research

3.1. Sources of terrestrial late Holocene palaeoenvironmental studies

Long-term archives of Earth system variability are primarily generated from geological evidence. Sediments, rock and biogenic deposits are analysed for physical, chemical and biological evidence of past environmental characteristics and variability (Last and Smol, 2001; Smol et al., 2001a, 2001b). Common geoarchives are lacustrine and palustrine sediments; cave deposits; and biogenic archives such as tree rings (dendrochronology). Robust geochronological data constrain the temporality of the information and archiving these data is crucial (Millard, 2014; Courtney Mustaphi and Marchant, 2016; Courtney Mustaphi et al., 2019). The spatial resolution of a geoarchive is often nested with frequently unquantified uncertainties and temporal resolutions are dictated by the characteristics and preservation of the material and the resolution of sampling and statistical analyses. Challenges and opportunities for applying palaeoenvironmental records to investigate LULCC in eastern Africa are numerous: these range of spatial and temporal biases, lack of taxonomic resolutions, and temporal uncertainties, through to not understanding the taphonomic issues associated with palaeoenvironmental data.

A total of 36 paleoenvironmental records have been published for the area (Figure 5; Table SM2): with 13 sites in Kenya, 22 from Tanzania and one in Uganda (Lake Victoria). Lake sediment (n=17) and peat (n=10) core analysis were the most prevalent. Five studies included analyses of satellite or air photograph imagery. Other geoarchives used included four soil studies, three dendrochronological studies, one ice core record, and one fluvial sediment core analysis. The most frequent palaeoenvironmental proxy measurements were pollen (n=23), charcoal (n=13; see also Marlon et al., 2016), and sedimentology/geochemistry (n=16). Other proxy measurements included diatoms (n=7), sedimentary isotopes (n=5), phytoliths (n=4) and non-pollen palynomorphs (n=3). Data sampling resolutions ranged from sub-centennial (n=18) or multi-centennial (n=14) and two studies provided sub-millennial data for the late Holocene. Two studies presented no geochronological data but likely date within the late Holocene. There were no studies with annual or consistent sub-decadal scale sampling during the late Holocene. Major challenges to mobilising these data are the variable data qualities, variety of metrics used and that only four sites have open access data availability (Table SM2).

Additional palaeoenvironmental records from nearby regions also provide regional insights to socio-ecological dynamics (Kendall, 1969; Talbot and Livingstone, 1989; Verschuren et al., 2002; Stager et al., 2003; Stager et al., 2005; Andama et al., 2012; Morgan and Lejju, 2012; Courtney Mustaphi et al., 2016; Nakintu and Lejju, 2016; Githumbi, 2017). Comparative analyses that bring together observations from modern ecosystems and paleoenvironmental records from the geologic record provide perspective and insights on contemporary discussions on environmental change and analogues for ancient human-environment interactions (Ashley et al., 2002; 2011; Deocampo et al., 2002; Lane, 2016). Research effort has focused around Lake Victoria and on highlands where permanent lakes exist with fewer explorations of deposits in semi-arid ecosystems. Large swathes across the borderlands have no palaeoenvironmental records; such as the Greater Serengeti Ecosystem, Kisii, Narok, Loita, western Kajiado, and the Rift Valley (Marchant et al., 2018) (Figure 5). The semi-arid climate of the lowlands results in few locales where ideal geoarchive sampling targets have accumulated (Grove, 1977). Yet, in many key locations such as the Serengeti and Rift Valley, there are wetland deposits that accumulate palustrine sediments of the kind used successfully elsewhere in the region to reconstruct historical environmental conditions (Figure 5: sites 5, 1, 14, 15, 25, 26: Gillson, 2006; Rucina et al., 2010; Githumbi et al., 2018a, 2018b; respectively) and there is great potential for soil-based palaeo-vegetation studies (e.g. site 13, Zech et al., 2011). This incomplete

191 palaeoenvironmental history is compounded by the strong environmental, cultural, land use,
192 and ecological gradients of the region that complicate meaningful spatiotemporal
193 extrapolations. New palaeoenvironmental records from key socio-ecological systems
194 focusing on relevant timescales and resolutions should be developed within multidisciplinary
195 and multi-stakeholder projects.

196

197 3.2. Archaeological studies and survey areas

198

199 Archaeology provides evidence for human land and resource use, settlement, material
200 culture, and connectivity that informs current LULCC narratives (Muchiru et al., 2009;
201 Marchant and Lane, 2014; Lane, 2015a and b). However, this is rarely a continuous
202 temporal record and archaeological knowledge of LULCC is usually intermittent and
203 synchronic. The archaeological literature demonstrates the complexity and fluidity of the
204 spectrum of livelihood strategies in the borderlands, while offering insights into how
205 huntergatherer-fisher, agriculturalist, and pastoralist livelihoods are expressed under varying
206 environmental conditions and societal factors. There is strong evidence that people and
207 cultures have had a high degree of fluidity between strategies and have shifted their
208 identities accordingly (Kusimba and Kusimba, 2005; Crowther et al., 2017).

209

210 Archaeological surveying effort has tended toward areas where preservation is
211 strong, visibility is high and access is possible. The intensity of archaeological exploration
212 across the borderlands is variable and consequently future research may alter current
213 understanding of the chronologies of significant land use changes, site distributions, and the
214 processes and agents involved in initiating change (Marchant et al., 2018). In particular,
215 spatial knowledge gaps occur at mid and high elevation mountain regions and across vast
216 areas of savannah and bushland that have likely experienced extensive land use, limiting the
217 potential to evaluate palaeodemographic assumptions prior to the European colonial
218 experiences.

219

220 A total of 82 published archaeological sites are located within the borderlands (Figure
221 5; Table SM3) and are unevenly distributed in space and time. More sites have certainly
222 been documented in the relevant national sites and monuments registers, but are not
223 considered here owing to the lack of detailed investigation and publication of these (e.g.
224 URT, 1976; PPTCH, no date). Published sites dating to earlier intervals, c.6000-5000 BP,
225 are much more frequent in Kenya than in Tanzania. Indeed, Kenya accounts for 48% of sites
226 but comprises 32% of our geographic scope. The Rift Valley hosts the greatest
227 concentration of sites, particularly toward Lake Naivasha (Figure 5), and several records are
228 found near Lakes Eyasi and Manyara (Mabulla, 2007; Prendergast et al., 2013; 2014;
229 Seitsonen, 2006; Seitsonen et al., 2013), as well as Eastern Arc Mountains and the
230 highlands around Narok and Lemek in southwestern Kenya (Robertshaw, 1990). Fewer sites
231 are reported for other regions, with the areas west and southwest of the Serengeti National
232 Park having received only limited systematic study (Mabulla, 2005), and the park itself
233 yielding few published sites (Bower, 1973; 1976; Bower and Chadderdon, 1986). Some sites
234 provide evidence of intensive land use (Stump, 2006) and other regions have limited
235 material evidence due to extensive land use practices, commonly yielding ephemeral surface
236 scatters rather than clearly bounded dense artefact concentrations. Some studies have
237 begun to redress this balance (Foley, 1981; Masao, 2015; Githumbi et al., 2018; Shoemaker,
238 2018).

239

240 The complexity of the archaeological record coupled with the diversity of ecosystems
241 and livelihood strategies have discouraged spatial interpolations between known sites to
242 create spatially continuous palaeodemographic maps. A range of economic strategies are
243 represented over the past 6000 years and understanding the complexity of livelihood
244 strategy variation and impacts on landscapes remains a key area of research. Targeted
245 survey work and selective excavation of key sites coupled with new modelling approaches

246 are needed. For example, land use categorisation and mapping approaches aggregate
247 available knowledge and have been applied across West Africa (Kay and Kaplan, 2016;
248 Hughes et al., 2018; Widgren, 2018; Kay et al., 2019). A further step would be to develop
249 historical land use and land cover maps for the borderlands to motivate land management
250 and policy formulation discussions.

251

252 A significant portion of archaeological research in the region has been directed
253 toward the development and spread of food production systems - the two key narratives
254 being the southward expansion of livestock production along the conduit of the Rift Valley
255 (Marshall, 1990; Marshall and Hildebrand, 2002) from c. 4800 BP and possible disease
256 barriers (Chritz et al., 2015; Gifford-Gonzalez, 2017), and the dispersal of crop cultivation
257 following its establishment in highlands west of Lake Victoria (van Grunderbeek, 1992)
258 around 2500 BP. The former is largely reconstructed through analyses of faunal remains
259 from archaeological sites, ranging from traditional species quantifications (Robertshaw,
260 1991) to stable isotope analyses (Prendergast, 2018; Chritz et al., 2019) and genetics
261 (Skoglund et al., 2017; Prendergast et al., 2019). The latter draws frequently on perceived
262 associations between farming and certain forms of pottery (van Grunderbeek, 1992; Stewart,
263 1993; Ashley, 2010) rather than the direct evidence offered by archaeobotany (e.g. pollen
264 grains recovered from archaeological contexts) and, in later periods (e.g. later second
265 millennium CE), evidence of large-scale landscape manipulation in the form of terracing,
266 wall-construction and irrigation (Stump, 2006; Stump and Tagseth, 2009; Onjala, 2003). A
267 common theme in these studies is the shifting and fluid social and geographical boundaries
268 that existed between land use practices in the borderlands: whether herding, hunting or
269 farming (Lane, 2004).

270

271 3.3. Humanities and social sciences

272

273 Anthropological, ethnographic, sociological, and historical linguistic studies contribute
274 knowledge of socio-ecological systems and human-environment interactions (Ellis et al.,
275 2016). Social scientific enquiry elucidates the potential for understanding historical, present,
276 and future anthropogenic drivers of LULCC, as well as stakeholder perceptions of change
277 and its drivers. Furthermore, combining qualitative and quantitative approaches can
278 crosscorroborate lines of evidence of human-environment interactions and frame solutions-
279 based research on socio-ecological topics (Kopnina and Shoreman-Ouimet, 2013). Social
280 scientific enquiry provides critical analysis of the arguments, actors and agents involved in
281 LULCC public policy debates. Arguments of the spatiality of policy goals, advocacy by
282 different stakeholders, and the trade-offs necessary between conservation, traditional land
283 use, and economic development goals (Howe et al., in press) are some examples of the
284 complementarity of quantitative and qualitative research.

285

286 Several anthropological and ethnographic studies synthesise multiple evidence
287 streams to assess human land use patterns in northern Tanzania (Figure 5). A 2000-year
288 history of socio-ecological systems was developed from oral histories about life in western
289 Serengeti corroborated with historical linguistic, archaeological and documentary evidence
290 (Shetler, 2003; 2007). Questionnaires and interviews were used to examine the seasonal
291 timing, location and purposes of fires set by communities and understand the spatiotemporal
292 patterns of human agency behind fire regimes in Chyulu Hills and Engikareti (Butz, 2009;
293 Kamau, 2013; Kamau and Medley, 2014). Results from these types of studies have strong
294 potential to connect with vegetation models to resolve human contributions to fire regimes
295 and environmental outcomes. Oral histories and ethnographic data have been combined for
296 analyses of contemporary and historical responses to drought and household
297 decisionmaking processes (Miller et al., 2014) and to determine generational differences in
298 perceptions of wellbeing (Woodhouse and McCabe, 2018). A large resource of relevant
299 social science literature could be collated and synthesised as an early step toward
300 integrating qualitative and quantitative data concerning the borderlands. Understanding how

301 social constructs manifest in LULCC is crucial to developing land management regulations
302 for environmental futures and exploring coupled socio-ecological legacy effects and
303 trajectories.

304

305 3.4. Documentary, archival and historical sources

306

307 Several LULCC-relevant studies making use of primary sources stored in libraries,
308 repositories and archives, come from historically-oriented disciplines (historical geography,
309 historical cartography, political ecology, institutional history, and environmental histories).
310 Studies have used historical cartography, digitisation of land cover and land use maps, to
311 analyse socio-ecological patterns at various scales including subnational (Willcock et al.,
312 2016), regional (Sunseri, 2013), and continental (Aleman et al., 2018) assessments. Few
313 collations and critical syntheses of LULCC have used historical cartography combined with
314 documentary evidence derived from accounts of early European missionaries, explorers and
315 sport hunters (Sinclair, 2012), but there are examples from elsewhere across eastern Africa
316 (Börjeson et al., 2008; Börjeson, 2009; Mitchell, 2011; Mitchell et al., 2006). Creating
317 archives, whether documentary, oral transcripts, photographic, or cartographic, is selective
318 (Hamilton et al., 2002; Pickles, 2004; Bastian, 2006; Burton, 2006; Kitchin et al., 2013;
319 Morton and Newbury, 2015; Abrams, 2016) and particular attention has been directed to
320 colonial archives and how these silence certain perspectives (Stahl, 2001; Stoler, 2010).
321 There is also growing recognition that these gaps and biases reveal important information
322 and insights that are as crucial as the archived records themselves.

323

324 Oral and documentary sources of precolonial and early colonial histories describe
325 settlement and land use patterns (Lamprey and Waller, 1990; Shetler, 2007). Observations
326 by Anglo-German Expeditions and cartographers provide valuable records of Serengeti
327 socio-ecology prior to, during, and following the nineteenth century experiences of peak ivory
328 trading, slave trading and Rinderpest epidemics (Wakefield, 1870; 1872; Farler, 1882;
329 Baumann, 1894; Smith, 1907; Rempel, 1998; Kelly, 2014; Sinclair et al., 2015); yet require
330 critical analysis from an LULCC perspective. The interactions between herbivores and
331 vegetation cover mediated by anthropogenic changes over the course of the precolonial to
332 independence timeframes have important implications for historical and future
333 socioecological trajectories (Ford, 1971; Waller, 1990; Sinclair et al., 2008; Sinclair et al.,
334 2015) and require further multidisciplinary investigations to improve relevance for
335 management.

336

337 LULCC dynamics are also elucidated through studies of environmental policies. Local
338 ruling elites, colonial authorities, post-independence governments and nongovernmental
339 institutions have used policy instruments and interventions to extend and reinforce power
340 into marginal areas by leveraging natural resource use (Conte, 2004; Håkansson and
341 Widgren, 2007; Sunseri, 2009; Mapedza, 2010; Hodge, 2011) or influencing access to
342 natural capital (Chuhila, 2016; Chuhila and Kifyasi, 2016; de Bont, 2018; Boles et al., 2019).
343 Political ecologies of cattle production and destocking show that the long history and
344 patterns of beef industry industrialisation have had effects on the landscape, people and
345 ecology of Tanzanian savannahs (Sunseri, 2013). The political ecology of forestry and the
346 efforts of globalised conservation, scientific management, resource governance, and forestry
347 industrialisation have also had profound ecological effects and pervasive impacts on how
348 power and governance of land and resources are negotiated from local-to-national and
349 global levels (Sunseri, 2009; Green and Friis Lund, 2015). Both the beef and forestry
350 industries connect rural areas to global economies and continue to be important realms for
351 potential land cover and land use change and socio-economic power relations. Historical
352 food production and lumber extractions and export actuary tables have yet to be investigated
353 to examine agroforestry land uses and intensities, to characterise historical vegetation,
354 labour estimates, actor networks or trade connectivity.

355

356 Perspectives on institutional histories of protected area creation and LULCC impacts
357 are themes highlighted in autobiographies and memoirs of contemporary travellers,
358 employees and settlers. Material such as Bernhard Grzimek's (1959) *The Serengeti Shall*
359 *Not Die* documentary and accompanying book (Grzimek and Grzimek, 1960) were notably
360 influential (Neumann, 1995; Kidegesho et al., 2005; Beinart and McKeown, 2009; Lekan,
361 2011; Boes, 2013). One set of historical studies focuses on the timing, context, and actors
362 involved with establishing protected areas (Richter, 1994; Homewood, 1995; Neumann,
363 2002); including Serengeti National Park (Neumann, 1995, 2003; Sinclair, 1995, 2012),
364 Tarangire National Park (Davis, 2010; Årlin, 2011), Ngorongoro Conservation Area (Århem,
365 1985a), and Mount Meru and Arusha National Parks (Neumann, 1992, 1994). There are no
366 similar studies of the Maasai Mara Game Reserve and no critical institutional histories or
367 visual anthropological studies for infrastructure development and or NGO projects, which
368 have a strong potential to provide LULCC insights over the past decades to century. A
369 second strand of studies examine gazetting in broader comparative historical perspectives
370 (Collett, 1987; Knowles and Collett, 1989; Århem, 1985b; Neumann, 2003) and document
371 the changing patterns of conservation, land fragmentation and wildlife management
372 approaches (Charnley, 2005; Galvin et al., 2008; Kaltenborn et al., 2008; Goldman, 2011;
373 Goldman and Riosema, 2013; Bluwstein, 2018). A considerable amount of media
374 (photographic, videographic and cartographic material) exist to reconstruct LULCC changes
375 with a strong potential for relevance and meaning in land management discourses.

376

377 3.5. Stakeholder perceptions and future expectations

378

379 People's perceptions and expectations of LULCC patterns collected through questionnaires,
380 interviews, focus groups, and even artistic expressions are analysed using qualitative
381 research methods (Anana and Nique, 2010; Schreier, 2012; Leal Filho et al., 2017). Future
382 and retrospective stakeholder perspectives on LULCC benefit from established
383 methodologies (Sardar, 2010; O'Brien, 2012) and are combined with public, practitioner and
384 expert knowledge for producing outputs relevant to support LULCC management decisions
385 (Miller et al., 2014). Exploring scenarios of potential futures is a philosophical and pragmatic
386 exercise in exploring modal narratives of (im)possibility, necessity and contingency (Booth et
387 al., 2009), which connect with retrospective data and model projections. Generating
388 scenarios in itself is a form of synthesis and an active example of science-policy integration.
389 Inclusivity and exclusivity issues need to be assessed when directly engaging with
390 stakeholders. Participatory approaches to scenario development are active tools for
391 facilitating evidence-based decision-making by combining different thematic dimensions and
392 temporal and spatial scales with high viewpoint diversity.

393

394 Participatory research assessments of perceptions of climate change impacts by
395 subsistence-oriented communities examine several spatial scales of human-environment
396 interactions (Vervoort et al., 2013; Savo et al., 2016), and assess vulnerability and mediating
397 effects of local ecological knowledge on adaptation and resilience for informing policy
398 interventions. Multi-stakeholder studies require analyses of the role powerful actors and
399 institutional stakeholders have in shaping environmental policy discourses and the manner in
400 which this may foreclose certain perspectives and approaches (Adams et al., 2004; Gardner,
401 2017; Hissen et al., 2017; Armstrong and Brown, 2019). Participatory frameworks are
402 increasingly used for planning in place-based social-ecological research (Oteros-Rozas et
403 al., 2015) and are encouraged for biodiversity and ecosystem services assessments (IPBES,
404 2016; Kok et al., 2017). A novel framework for integrating participatory approaches with
405 spatial modelling to produce quantitative scenarios of the impacts of alternative
406 socioeconomic and policy trajectories was applied to explore land use and ecosystem
407 service changes across East Africa (Capitani et al., 2016, 2019a, 2019b). Regional-scale
408 scenarios were developed by engaging local stakeholders in assessing land use changes for
409 eastern Tanzania, including Eastern Arc Mountains (Swetnam et al., 2011) and for
410 smallholder farmers in South Pare (Enfors et al., 2008). Local-scale scenarios have explored

411 how communities bordering the southwest areas of Serengeti National Park would respond
412 to the reintroduction of painted dogs (*Lycaon pictus*) (Masenga et al., 2017). This
413 long-ranging predator uses many land use and cover types (Masenga et al., 2015) and
414 threatens livestock through depredation and as a disease vector; yet, is integral to
415 ecosystem functioning (Gascoyne et al., 1993; van de Bildt et al., 2002). Emerging work
416 gives greater emphasis to issues surrounding land tenure, livelihood security and
417 establishing relations of trust and respect between different stakeholders (Davis and
418 Goldman, 2019). Qualitative techniques and outputs can be integrated with computer
419 modelling frameworks to analyse patterns of community and stakeholder perceptions and
420 expectations of land and environmental change (Lesorogol and Boone, 2016). Ecosystem
421 services modelling frameworks such as InVEST and ARIES are often used to link
422 environmental processes with societal behaviours, and used to evaluate environmental and
423 socioeconomic outcomes and support decision making (Christin et al., 2016).

424

425 3.6. Earth observation and socio-environmental monitoring

426

427 Empirical measurements of Earth systems provide detailed information covering the recent
428 past across local-to-global scales. Earth observations monitor a wide range of phenomena:
429 meteorological to ecological, water quality, lake levels, river flows and many more, yielding
430 datasets on natural and anthropogenic processes. Combining several forms of observation
431 products strengthens such approaches and adds complementary perspectives (Pohl and
432 Van Genderen, 1998) with further compatibility with other types of Earth systems data. For
433 example, Verschuren et al. (2000) combined historical field-based lake level measurements
434 with sediment derived palaeoecological data to understand long-term processes affecting a
435 freshwater system in Kenya. Willcock et al. (2016), combined ground-based measurements
436 of carbon, with historical maps and remotely-sensed products to examine carbon emissions
437 and land use changes. A preliminary summary survey of available earth observation
438 products and data identified in the literature review is presented in SM4.

439

440 3.6.1. Remote sensing

441

442 Earth observation through satellite-based sensors provide unprecedented measurements
443 and products at multiple spatiotemporal scales. Since the 1960s, satellite imagery has
444 captured land cover variations at variable spatiotemporal resolutions, spectra, and ground
445 coverage. Remotely sensed land cover products are used to derive maps of ecosystem
446 services to characterise and evaluate the spatiality of socio-ecological interactions (Kariuki et
447 al., 2018a). Satellite-based meteorological observations and optical and multispectral
448 imagery are commonly available products; for example, the Tropical Rainfall Measurement
449 Mission (TRMM) and Climate Hazards Group InfraRed Precipitation with Station data
450 (CHIRPS) (Andreae, 2004; Winkler et al., 2007; Funk et al., 2014). Sporadic air photography
451 began during the 1930s and systematic coverage of northern Tanzania and southern Kenya
452 dates from the 1950s, with repeated photography over subsequent decades. Additional
453 imagery has also been captured at various times by contract to private companies for
454 development and wildlife census (e.g. Sanya, 2008). Air photography libraries in Kenya and
455 Tanzania archive the original photographs and there are sets available in the UK (Bodleian
456 Library, Oxford and National Collection of Aerial Photography, Edinburgh). Since the launch
457 of Landsat in 1972, a sequence of satellite imagery is available with increasing frequency
458 and spatial resolutions. MODIS imagery and observations track fire activity since 2001 to
459 present and have been used to develop products including a pyrodiversity index
460 representing the variation in savannah fire regimes (Hempson et al., 2017; Beale et al.,
461 2018; Andela et al., 2019). The European Union's Earth Observation Programme
462 Copernicus through the Land Monitoring Service (CLMS) provides free access to high
463 resolution spatial data and global products to improve sustainability and efficiency of land
464 use. The GMES & Africa Programme (jointly between African Union and European
465 Commissions) specifically addresses African stakeholder needs for land management

466 decisions (<http://gmes4africa.blogspot.com>). Government and private sector initiatives
467 making high-resolution imagery and web-based map services available to researchers are
468 useful for co-developing research questions and integration between imagery producers,
469 custodians and users (Kwok, 2018). Such partnerships broaden the use of these products
470 and ensure plurality in stakeholder engagement in research and policy development
471 agendas.

472

473 Multiple studies have included Earth observation products to assess trajectories and
474 rates of recent LULCC at decadal and multiannual resolutions (Shilling et al., 2013; Detsch
475 et al., 2016; Mwaura et al., 2016; Higgins, 2017; Mmbaga et al., 2017; Higgins and Caretta,
476 2019; Kilungu et al., 2019), correlations between changes in settlement distributions,
477 vegetation and wildlife densities (Homewood et al., 2001; Sernels et al., 2001; Lamprey and
478 Reid, 2004; Ogutu et al., 2009), and trends in deforestation and agricultural expansion
479 (Mwangi et al., 2018). There are also unpublished theses that contain analyses of remote
480 sensing data (Msoffe, 2010; Snider, 2012; Lariu, 2015; Fox, 2017) that require critical
481 synthesis. The scale of remote sensing studies varies, focusing on characterising changes to
482 single wetlands over the past few decades (Seki et al., 2018) or larger lake or river
483 catchments (Bachofer et al., 2014; Mwangi et al., 2018). McNaughton (1979) presented a
484 comparison of air photographs taken in 1959 and 1968 showing changes in woody thickets
485 at Bologonja spring, Serengeti National Park. Muriuki et al. (2011) combined repeat air
486 photographs from 1967 and 1978 with satellite images from 1999 and 2001 to examine
487 patterns of land cover change in community areas on the eastern slopes of Chyulu Hills,
488 Kenya. Recent LULCC surrounding the Greater Serengeti Ecosystem has been
489 characterised using satellite imagery (Niboye, 2010; Estes et al., 2012; Probert et al., 2019;
490 Veldhuis et al., 2019). Mixing several types of remote sensing products at varying scales
491 strengthens the utility of these studies to inform management and policy decisions and
492 visualisations improve usefulness for non-expert stakeholders (Baynard, 2013).

493

494 3.6.2. Sensor-based and ground-based observations and monitoring data

495

496 Meteorological data are available through the Tanzania Meteorological Agency, Kenya
497 Meteorological Department, and the World Meteorological Organization (members since
498 1962-09-14 and 1964-06-02 respectively). Weather station data from research networks
499 exists within Serengeti National Park (Sinclair, 1979b; Wake Forest University) and across
500 transects of Kilimanjaro (Schüler et al., 2014). Meteorological data aggregated into
501 spatiotemporal products are available covering several scales, such as 1 km² monthly
502 WorldClim climate data using a 1970-2000 reference (Fick and Hijmans, 2017). The volcanic
503 history of the region has shaped much of the landscape and several volcanoes have the
504 potential for impactful events and modify LULCC (Hay, 1989; Dawson et al., 1995; Dawson,
505 2008). Emissions and ashfall events continue to occur at Oldoinyo Lengai (Keller et al.,
506 2010) and basalts around Chyulu Hills forming barren or sparsely vegetated lava fields date
507 to as recently as the past few hundred years (Saggerson, 1963; Williams, 1972). Ecological
508 recovery following volcanic activity and land uses on impacted terrain have been
509 insufficiently investigated but can be tracked through chemical analyses of ash layers in lake
510 sediments, for example.

511

512 Ecological monitoring emerged from observing the early Rinderpest epizootic impacts
513 on cattle and wild ruminants which erupted several times in the 1890s, 1917-1918, 1923,
514 and 1938-1941 (Sinclair, 1979a; Shetler, 2007). Rinderpest monitoring continued (Plowright
515 and McCulloch, 1967; Taylor and Watson, 1967) and is ongoing in Tsavo, Kenya, but the
516 disease has been largely eradicated across the borderlands area (Njeumi et al., 2012) and
517 systematic wildlife monitoring in protected areas developed from observing ecosystem
518 recovery throughout the twentieth century. This frequently combines several quantification
519 methods. Local socio-ecological knowledge was important to containment efforts, such as

520 cattle exposure and immunity, but was also counterproductive through cattle-wildlife
521 transmission of low-virulence strains associated with long-distance pastoralism
522 (Kock et al., 2006; Njeumi et al., 2012; Roeder et al., 2013). Recovery of herbivore
523 populations and human populations were major drivers of changing vegetation patterns,
524 most conspicuously in savannah woody and grassy structure distributions, tsetse fly
525 abundances and distributions, fires, nutrient redistribution, and human population
526 distributions (Waller, 1990). The recovery trajectories of large herbivores in Serengeti was
527 observed by wildlife counts beginning as early as 1958 and 1961 (Sinclair, 1979c) and
528 continue to present. Increasing herbivore populations in SNP reduced the area burned, with
529 above ground grass biomass consumption changing from fire to grazing dominated
530 (NortonGriffiths, 1979; Archibald and Hempson, 2016), with consequences for changes to
531 soil, vegetation cover, and trophic cascades (McNaughton, 1985; Archibald, 2008;
532 Donaldson et al., 2018). Wildlife monitoring of conservancy lands show that ungulates make
533 trade-offs between feeding, drinking and human land use and predation risks, which
534 highlights the potential sensitivity to hydroclimatic and land use changes (Anderson et al.,
535 2010; Schuette et al., 2016; Veldhuis et al., in press). Synthesis information on vegetation
536 changes dominantly are available from the Serengeti ecosystem which hosts several long-
537 term vegetation plots (Serengeti book series I to IV and references therein). Human activities
538 related to development (construction, road maintenance, ornamental plants, refuse dumping
539 and water abstraction) introduce exotic plant species (John et al., 2017). Several key
540 ecosystem components have yet to be fully explored; such as vegetation change studies in
541 kopjes, highlands and wetlands. While there are relatively few invasive species in the park
542 there is considerable pressure from outside the park with a strong potential to initiate or
543 accelerate impactful changes in vegetation cover and composition (Witt et al., 2017).

544

545 3.6.3. Data on population dynamics

546

547 Longitudinal datasets of social and institutional systems are a rich source of human and
548 cultural dynamics that come from many sources with different scales or spatiotemporal
549 explicitness, for example, census data (Pallaver, 2014), settlement patterns (Lamprey and
550 Waller, 1990; Lamprey and Reid, 2004), economic indicators, market analyses, land
551 purchasing (Harding and Chamberlain, 2016), environmental degradation and demographic
552 change (Warner et al., 2010). These data are dispersed (Kenyan and Tanzanian National
553 Bureau of Statistics), with different accessibility and data qualities, and require bespoke
554 methods to assemble for further analyses using other LULCC data to examine trajectories of
555 change. Recent applications of Earth Observation datasets have converted census tabular
556 data into spatial products of population distribution harmonised across national borders to
557 deliver realistic estimates of population dynamics and spatial patterns. The Gridded
558 Population of the World (NASA Socioeconomic Data and Applications Center SEDAC), the
559 Global Human Settlement-Population Grid (European Commission - Joint Research Centre
560 (JRC)), and the WorldPop program use the same census data sources but different ancillary
561 datasets and modeling approaches to deliver population and demographic indicators change
562 over the past decades using online tools and open data (see the comparison webservice
563 <https://sedac.ciesin.columbia.edu/mapping/popgrid/#>).

564

565 3.7. Computer modeling outputs and products

566

567 Numerical models with spatially explicit input data and defined rules produce spatially explicit
568 outputs of LULCC (Boone et al., 2011), which can then be used in combination with other
569 data and model products. Novel conceptual and modeling techniques make use of coupling
570 land use and land cover classifications with other types of socio-environmental models (Kay
571 and Kaplan, 2015; Klein Goldewijk, 2017) and integrating methods and knowledge from
572 social sciences (e.g. valuing ecosystem services, Kariuki et al., 2018a). Coupling multiple
573 models provides methods of representing the interaction between people and their
574 environment while incorporating spatial heterogeneity (Bithell and Brasington, 2009). In

575 addition, multiple time intervals of analysis permit estimates of rates, directions, and types of
576 changes that have occurred (Funk et al., 2008; Alcamo et al., 2011; López-Carr et al., 2014;
577 Shukla et al., 2014) with example studies available for West Africa (Kay et al., 2019). Of
578 particular interest are models with the ability to investigate retrospective trends and that
579 produce projections of potential futures or that permit harmonisation between historical and
580 future model inputs (e.g. Frieler et al., 2017). Data-data and data-model comparisons, as
581 well as model-model intercomparisons are key sets of research frontiers for combining
582 retrospective, contemporary and future projection products to explore and support decision
583 making.

584
585 Global LULCC future projection models suggest high possibilities of changes in
586 pasture, forest and cropland, but moderate to high disagreement exists between models for
587 eastern Africa (Prestele et al., 2016) due to complex interactions between biophysical and
588 socio-economic factors. Two retrospective global reconstructions of land cover, land use and
589 human population estimates are available: HYDE (Klein Goldewijk et al., 2011; 2017) and
590 KK10 scenarios (Kaplan and Krumhardt, 2011; Kaplan et al., 2011) - both span 10000 years
591 BP to present, although uncertainty vastly increases earlier than 2000 years BP.
592 Palaeoenvironmental data are not directly incorporated in these models but are useful for
593 comparisons and to inform spatial downscaling. Analyses across modern national borders
594 are difficult because of compatibility problems within the underlying data used in developing
595 hindcast demographic data. For instance, KK10 products show a clear difference in land-use
596 intensity for all time periods prior to CE1850 across the current Kenya-Tanzania border
597 (Kaplan and Krumhardt, 2011) with the now-Tanzanian side appearing underestimated
598 (Figure 6). This arises from inconsistent historical census methodologies and varying
599 spatiality, thus more integrated modeling is necessary to improve outputs. Conceptual
600 models of human extensive and intensive land use work in combination with new
601 computational methods and techniques for integrating palaeoenvironmental and
602 archaeological datasets (Kay and Kaplan, 2015; Hughes et al., 2018). Statistically
603 downscaled Regional Circulation Models are more relevant for management decisions over
604 global circulation model projections (Platts et al., 2015). Multiple global vegetation models
605 are available for the region, notably static and dynamic global vegetation models, which are
606 used to project the potential effects of climatic change and human disturbances on
607 vegetation distribution (Peng, 2000). Coupling projected climate models with species
608 distribution models explores potential species distribution changes, presence/absence and
609 interactive effects of biotic and abiotic elements (Platts et al., 2010).

610
611 Static models provide a tool for converting past vegetation into palaeoclimatic
612 patterns (Haxeltine and Prentice, 1996; Cramer et al., 2001) and include BIOME models
613 (Prentice et al., 1992; Hexaltine et al., 1996), while dynamic global vegetation models
614 (DGVM) capture transient responses of vegetation distribution and processes to changing
615 climates (Cramer et al., 2001; Sitch et al., 2003; Bond et al., 2005). Existing dynamic global
616 vegetation models differ in their degree of complexity and suitability for different tasks. For
617 example, the Sheffield DGVM is used to investigate and compare the impact of fire and
618 climate in driving the distribution of vegetation globally (Bond et al., 2005) and to
619 demonstrate changes in global woody biomass in long-term savanna burning experiments
620 (Bond and Keeley, 2005). The adaptive DGVM specific to savannah ecosystems has been
621 used for studying tree-grass interactions in African savannas (Scheiter and Higgins, 2009;
622 Higgins and Scheiter, 2012; Baudena et al., 2015). The Lund-Potsdam-Jena General
623 Ecosystem Simulator (LPJ-GUESS: Smith et al., 2001; Sitch et al., 2003) has been used to
624 produce coupled data-model analyses of mid-Holocene and present biome distributions in
625 eastern Africa (Fer et al., 2016) and to project futures (Fer et al., 2017). Additionally, coupled
626 LPJ-GUESS and agent-based models for eastern African rangelands suggest that
627 hydroclimate variability and conservation regimes are key in shaping land use patterns and
628 herbivory (Kariuki et al., 2018b). Biophysical models, including Savanna Dynamics (Holdo et
629 al., 2009) and SAVANNA (Boone et al., 2002) further connect vegetation and herbivory

630 dynamics. SAVANNA has been linked to an agent-based model and used to explore
631 pastoral household wellbeing in response to droughts in southern Kenya (Boone et al.,
632 2011). Other models for southern Kenya have explored the potential of payment for
633 ecosystem services to promote conservation land uses (Bulte et al., 2008) and the impacts
634 of land subdivision on livestock numbers and pastoral households (Thornton et al., 2006).
635 The Fire Model Intercomparison Project (FireMIP) envelopes many fire models and connects
636 dynamic vegetation models to understand drivers and consequences of changing patterns of
637 fire on the globe (Hantson et al., 2016; Rabin et al., 2017). Population models have been
638 developed and used by international organisations (FAO, UNEP, UNDP; Bhaduri et al.,
639 2002). Meteorological products are available covering the area of interest, with the most
640 commonly used being the NCEP/NCAR reanalysis global products with historical weather
641 and meteorological products since 1 January 1948 to the present (Kalnay et al., 1996; Anyah
642 et al., 2006).

643

644 3.8. Syntheses studies and integrated landscape analyses

645

646 Synthesis studies summarise research within a single discipline (e.g. archaeology,
647 Prendergast, 2011) or across several (Marchant et al., 2018) and garner assessments of
648 data quality, biases and uncertainties (Boyd, 2013). The source materials draw from
649 academic peer-reviewed papers and books, but also include forms of grey (white papers and
650 reports) and popular science literature (essays, biographies and institutional histories). To
651 date, conceptualisations of transdisciplinary approaches guide project development from an
652 academic stakeholder perspective (Willis et al., 2007; Rull, 2010; Gillson and Marchant,
653 2014; Seddon et al., 2014; Reed et al., 2016; Kaufman et al., 2018) and it remains difficult to
654 assess reporting of case studies, successful cases of implementing integrated approaches,
655 and to evaluate outcomes (Reed et al., 2017).

656

657 The Serengeti book series aggregates many datasets and publications relevant to
658 LULCC (Sinclair, 1979a; Sinclair and Norton-Griffiths, 1979; Sinclair and Arcese, 1995;
659 Sinclair et al., 2008; 2015) and Hamilton (1982) summarises Quaternary vegetation in
660 eastern Africa. The Serengeti book series assemble decades of ecological research and
661 increasingly have focused on human-environment interactions and anthropogenic topics as
662 drivers of ecological change and the complexity of public stakeholders and protected area
663 management. Autobiographies summarise major advances in savannah ecology, the life
664 experiences of researchers in Serengeti, its political ecology, and institutional history of the
665 Greater Serengeti Ecosystem (Turner, 1978; Turner, 1988; Sinclair, 2012). Shetler (2007)
666 provided a synthesis of oral histories including human-environment interactions of the
667 western Serengeti region over the last 2000 years with an emphasis on precolonial times to
668 present. Multiple regional archaeological syntheses have also been presented (Ambrose,
669 1982; Mabulla, 2005; Prendergast, 2011; Lane, 2013) and Marchant et al. (2018) assembled
670 a multidisciplinary synthesis of palaeoenvironmental, archaeological, and modeling studies
671 of late Holocene LULCC. Willcock et al. (2016) produced estimates of LULCC trajectories
672 and impacts on carbon in Tanzania by combining several historical maps (1907-2000) and
673 remote sensing products with local measurements of carbon storage. The results showed
674 the effectiveness of protected areas at reducing land cover rates of change and demonstrate
675 that additional data types could be used to extend these types of analysis further back in
676 time and to incorporate socio-ecological data.

677

678 Synthesis studies promote familiarity and integration of several disciplines into public
679 policy discourses for LULCC policy formulation and thus, the role of knowledge within those
680 debates (Kaufman et al., 2018). Overcoming barriers and challenges related to data
681 archiving (Wilkinson et al., 2016), transparency and access require solutions for uptake by
682 non-academic stakeholders and open further academic advancements. In order to be
683 incorporated into a knowledge pillar that is useful and meaningful to decision support,
684 scientific data quality, robustness and lifecycles need to conform to evidence-based policy

685 requirements set in principles-based frameworks. A conscious effort to develop syntheses
686 for multiple audiences and to co-develop syntheses with stakeholders improves relevancy
687 and meaning within LULCC dialogues and reliability for supporting policy and justifying
688 decisions.

689

690 **4. Considerations for future research projects**

691

692 This knowledge map of evidence types available for the borderlands, the array of disciplines
693 and methodologies, how they have been used, and relevant published synthesis studies, is
694 presented from academic perspectives on anticipated needs for evidence-based land
695 management support in the region. The collation and synthesis of data into usable formats is
696 an academic step expected by end users of LULCC knowledge from outside of academia.
697 Assembling LULCC knowledge syntheses prepares interdisciplinary researchers as a
698 foundation for extending LULCC research authority (*sensu* Gieryn, 1983), usefulness and
699 accessibility, as well as improving integration and co-production of research across the
700 science-policy interface. Table 1 explores some of the opportunities and challenges related
701 to each evidence stream with some example studies from the literature review and broader
702 relevant discourse on LULCC research. Socio-ecological changes, driven by climatic, local,
703 or anthropogenic factors potentially initiate or exacerbate debates in contested places
704 (Anderson and Lochery, 2008; Shanguhya and Koster, 2014) and are superimposed upon
705 ecological legacies and long-standing narratives (Koning and Smaling, 2005; Boles et al.,
706 2019). It is important to avoid solely basing policy interventions on arguments of
707 environmental degradation and move toward also addressing issues of unequal access to
708 resources and the wider political economy of natural resource management (Smucker et al.,
709 2015).

710

711 **4.1. Reframing a research culture that extends to the science-policy interface**

712

713 Calls to address land management challenges (Shetler, 2007; Watson et al., 2014) emerge
714 because current strategies frequently have had limited success as evidenced by questions
715 of accessibility and exclusion and competition between strategies, which interlink with
716 broader processes of population pressure increases, low education indices, increasing
717 inequality and challenges for redistributing benefits from resource extraction/use. Climate
718 change adaptation and mitigation initiatives have been identified in high-level development
719 policies, but implementation and measures of success are patchy at local scales. Yet, most
720 broad-scale sustainability targets either remain unmet or appear challenging to achieve with
721 current technology, institutions, and behaviours (Rockström et al., 2009). Several
722 landscapes across the Tanzania-Kenya borderlands have been intensively researched from
723 diverse academic perspectives, yet, many factors remain underexplored including
724 uncertainties, contradictory assessments, and emerging challenges that influence land-use
725 planning and management. We contend this is partly because there is little synthesised
726 knowledge of environmental, social and heritage interactions at landscape or operational
727 scales (10s-10,000s km²) of sufficient temporal depth (extending decades to millennia), in
728 digestible and tractable formats communicated to broader audiences (Jackson, 2007;
729 Kruger, 2015; Kaufman et al., 2018). There are deficiencies in appreciating the usefulness of
730 antecedent data to planning sustainable futures (Birks, 2012) and in effectively articulating
731 the relevance of long-term socio-ecological knowledge between the scientific community,
732 policy formulators and public stakeholders (Seddon et al., 2014; Armstrong et al., 2017).
733 Analyses combining diverse insights generate balanced assessments (Schindler et al.,
734 2016) and consensus-building opportunities because the various foci presented by each
735 stakeholder leads to different perspectives on the co-benefits of land-use policy outcomes
736 (e.g. Howe et al., in press). Additionally, most of the academic-generated information does
737 not account for interdisciplinary approaches, thereby limiting the capacity for findings to be
738 effectively integrated into policy and practice (Reid et al., 2016). As such, scale mismatches
739 compound challenges because evidence from 'bottom-up', fine-scale, studies can potentially

740 be aggregated inappropriately and because downscaling global academic assessments lack
741 sufficient detail and integrated information relevant to usefully inform national- and local-scale
742 planning. Any form of data inaccessibility or black-box methods precludes reliability in
743 LULCC public policy formulation and implementation.

744

745 4.2. The LULCC knowledge, values and rules pillar nexus

746

747 The three pillars that form the decision context overlap and interact in LULCC public policy
748 formulation (Gorddard et al., 2016; Figures 1 and 7). Addressing complex challenges
749 manifested by rapid climate, environmental, policy and livelihood changes and exploring the
750 ensuing trade-offs between goals in socio-economic development and environmental
751 sustainability in the region encourages new frameworks for envisioning and planning
752 desirable futures (Fokou and Bonfoh, 2016; Galvin et al., 2018; Reid et al., 2016).
753 Combining physical sciences, with its tendency toward quantification and prioritization of the
754 biophysical (climate, ecology, geology), with social sciences and humanities balances the
755 research, encapsulating the dynamism of human agency and the role of cultural systems as
756 key features of socio-ecological sustainability (Davidson, 2010). Cultural values influence the
757 decision making processes, and consequently, changes in culture are likely to shape
758 socioecological interactions. Consequently, human values and choices influence both
759 environmental and societal resilience. How this plays out depends on the interactions with
760 the environment at a given time (Bollig, 2014) and human-environment relations should be
761 considered to always be recursive in nature; thus, landscapes are always in a state of
762 constant 'becoming' (Ingold, 1993). Without totally ignoring basic research, LULCC
763 researchers should co-design projects to internalise the constant dynamics and variety of
764 perspectives, explicitly improving usefulness and relevance to more stakeholders and to
765 build community confidence in research activities and outputs. This requires well-supported
766 and transparent syntheses and data archiving by researchers in support of public use,
767 evidenced-based public policy formulation, and legal and regulatory requirements that have
768 their foundations based on evidence. Strengthening the knowledge pillar benefits all pillars of
769 consideration for LULCC decision making.

770

771 4.3. Beyond conventional academic sources

772

773 Many LULCC future foresight tools and information exist and are continuously being
774 developed and more frequently used outside of the academic sector. Spatiotemporal
775 datasets on policy regimes, land tenure and global trading exist in a plethora of accessibility
776 levels and formats but requires concerted effort to develop links and interoperability. There is
777 a need to think creatively about what material might be available and the research efforts
778 needed to access this. Emerging research approaches from academia are enhanced by
779 strong links with non-academic partners (like museums and NGOs), especially efforts that
780 require engagement and commitment from the public (e.g. Webb, 2010; White, 2012;
781 Berger, 2017; Fritz and Fraisl, 2018). The use of Big Data and computer learning
782 methodologies to mine the web and social media for relevant texts and images, sometimes
783 referred to as web-scraping (Munzert et al., 2014), should be used to investigate LULCC and
784 future trajectories (Marres and Weltevrede, 2013; Viotolo et al., 2015). Likewise, geo-explicit
785 deep dive search engines collate relevant information from all media sources to examine
786 environmental changes (Niu et al., 2012; Zhang et al., 2013; Peters et al., 2014; Callaway,
787 2015). Repeat photography embedded within citizen science frameworks has produced
788 successful scientific endeavours and can also serve as outreach and communication toolkits
789 (Swanson et al., 2015; 2016).

790

791 4.4. Widening perspectives and connecting science-policy

792

793 Optimising the delivery of LULCC outputs for application in policy formulation must balance
794 the competing desires, politically-motivated reasonings and conflicts of interests that modify
795 LULCC trajectories (Barnett et al., 2016; Tschakert et al., 2016; Fazey et al., 2018). By being
796 inclusive of multiple scientific sources of LULCC knowledge, biases begin to be constrained,
797 arguments are more richly nuanced, and generalizations have deeper and broader support.
798 Syntheses and integrated landscape narratives encourage bidirectional flows of awareness,
799 requirements, opportunities and remaining challenges, between researcher and public policy
800 formulation communities. Improved familiarity and co-development of integrated research
801 across the science-policy interface enables the alignment of research and increases
802 capacity for designing policy interventions and achieving intended LULCC outcomes (Hahn,
803 2001). Lastly, condensing wider syntheses to generate education and outreach outputs to
804 engage stakeholders at several levels promotes transparency, fairness and democratic
805 processes in public policy. A lack of knowledge is not the only barrier to socio-ecological
806 resilience in the borderlands (Reid et al., 2016). Our intention here has been to build a
807 foundation to address the deficit in shared understanding that limits connecting knowledge
808 and policy action. This deficit exists among researchers, stakeholders and agents of policy
809 formulation (and political system) and requires syntheses of scientific knowledge. Steps to
810 close these gaps include: transmission of knowledge to stakeholders, improved capacity for
811 critical assessment of several scientific evidence sources, transparency, access to research
812 products, well supported knowledge generalisations, and robustness assurances elevating
813 the suite of scientific support to comply with evidence-based policy requirements levels
814 (Figure 7).

815

816 **5. Conclusion**

817

818 Socio-ecological systems, and the conditionalities and practices that uphold them, are
819 compromised by knowledge and communication deficits concerning changing climate
820 dynamics, ecosystem behaviour and societal drivers of change. The scientific community
821 participates in efforts of outcomes-oriented research for adaptive capacity and
822 socioecological transformation pathways to buffer against undesirable long-term changes
823 (O'Brien, 2012), and approach this through multidisciplinary, multi-stakeholder and broad
824 audience interactions, cyberinfrastructure, and integration at the science-policy interface.
825 Improving familiarity and mutual understanding of the knowledge sources across several
826 LULCC disciplines and between knowledge producers and end users facilitates applying the
827 evidence base to land management policy formulation. Knowledge summaries of
828 humanenvironment interactions disseminate coherent narratives of socio-ecological change.
829 Knowledge co-produced and used from diverse viewpoints better serve and support
830 dialogues on equitable bioculturally-based routes to sustainable futures that accommodate
831 customary practices, heritage and multifunctional landscapes, and provide livelihoods for
832 people, space for wildlife, and resilience against future social environmental change (Poole,
833 2018; Ekblom et al., 2019). This would provide a basis for iteratively exploring informed
834 approaches to developing scenarios of future LULCC for decision making that offer greater
835 possibility of achieving the sought after multiple wins that enhance conservation,
836 development and livelihoods in continuously evolving systems of human-environment
837 interactions.

838

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2325 **List of Table Captions**

2326 Table 1: Scientific approaches to multidisciplinary investigations of land use and land cover
2327 change trajectories in eastern Africa.

2328

2329 **List of Figure Captions**

2330 Figure 1: Three pillars of decision context (at base: values, rules, knowledge; Gorddard et
2331 al., 2016). The knowledge pillar is explored and expanded to show the conceptual
2332 interactions across academic, political system, public, and non-academic stakeholder
2333 perspectives contributing, interacting and participating in knowledge production and end use.
2334 Note that knowledge is one pillar of context for decision making on LULCC policies, and
2335 equivalent explorations of societal rules and values are not explicitly explored in this paper.

2336

2337 Figure 2: A challenge, solutions, and benefits framework for science-policy engagement for
2338 LULCC research in support of land management policy formulation. We used these
2339 requirement perspectives to orient our research goals and in this paper we explore the

2340 dispersed state of knowledge sources (green boxes) in support of syntheses and improving
2341 familiarity across the science-policy interface (yellow boxes). Gray boxes represent other
2342 related aspects that are not the focus of this paper.

2343

2344 Figure 3: Infographic of scientific evidence streams of LULCC and their temporal scope and
2345 potential ranges of relative uncertainties. Evidence streams of land use and land cover
2346 change and their associated temporal range coverage (black lines). Gray lines represent
2347 time intervals when coverage is less frequent due to the availability of records, sampling
2348 effort, or statistical properties of the available data. Gray envelopes represent the relative
2349 data and interpretation uncertainties that generally increase as you move away from the
2350 present day.

2351

2352 Figure 4: Multidisciplinary linkages providing evidence streams of land use and land cover
2353 change.

2354

2355 Figure 5: Map presenting the palaeoenvironmental, archaeological and
2356 anthropological/ethnographic research in the Kenya-Tanzania borderlands. Numbers in
2357 black boxes are palaeoenvironmental sites presented in Supplemental Material (SM2) and
2358 numbers in white boxes show archaeological sites listed in Supplemental Material (SM3).
2359 Letters (red ellipses) present study regions of LULCC anthropological and/or historical
2360 research: A) Machakos, Kitui (Bernard et al., 1991); B) western Serengeti (Shetler, 2007); C)
2361 Ngorongoro and borderlands (Homewood et al., 2009); D) Engikareti (Butz, 2009); E) Chyulu
2362 Hills (Kamau and Medley, 2014); F) Simanjiro (Miller et al., 2014); G) North and South Pare
2363 Mountains (Håkansson and Widgren, 2007); H) Serengeti-Maasai Mara, Ngorongoro,
2364 Kaputiei, Amboseli (Reid, 2012).

2365

2366 Figure 6: A retrospective projection of land-use intensity in the study area at 2000 cal yr BP
2367 according to the KK10 land-use model (Kaplan and Krumhardt, 2011), with archaeological
2368 sites dating 6000-2000 cal yr BP (purple circles; Marchant et al., 2018). Scale shows the
2369 fraction of each grid cell under anthropogenic land use. Note the disparity between
2370 reconstructions for Kenya and Tanzania, which contrasts with archaeological evidence for
2371 human land-use intensity. The visibility of the modern national border as an artefact in the
2372 projection is related to inconsistencies in historical population estimates used to generate
2373 existing land use scenarios.

2374

2375 Figure 7: A generalised knowledge map of the nested steps to integration across the land
2376 use land cover change science and land management policy interface from the perspective
2377 of the research community. This study sits between the blue and green components as part
2378 of several other developments that need further work to improve the relationship across the
2379 knowledge and policy action gap.

2380

2381 **List of Supplementary Material (SM)**

2382 **SM1:** List of search terms used in the literature search

2383 **SM2:** Table of Palaeoenvironmental study sites in the borderlands region found in the
2384 literature review and expanded on from Marchant et al. (2018)

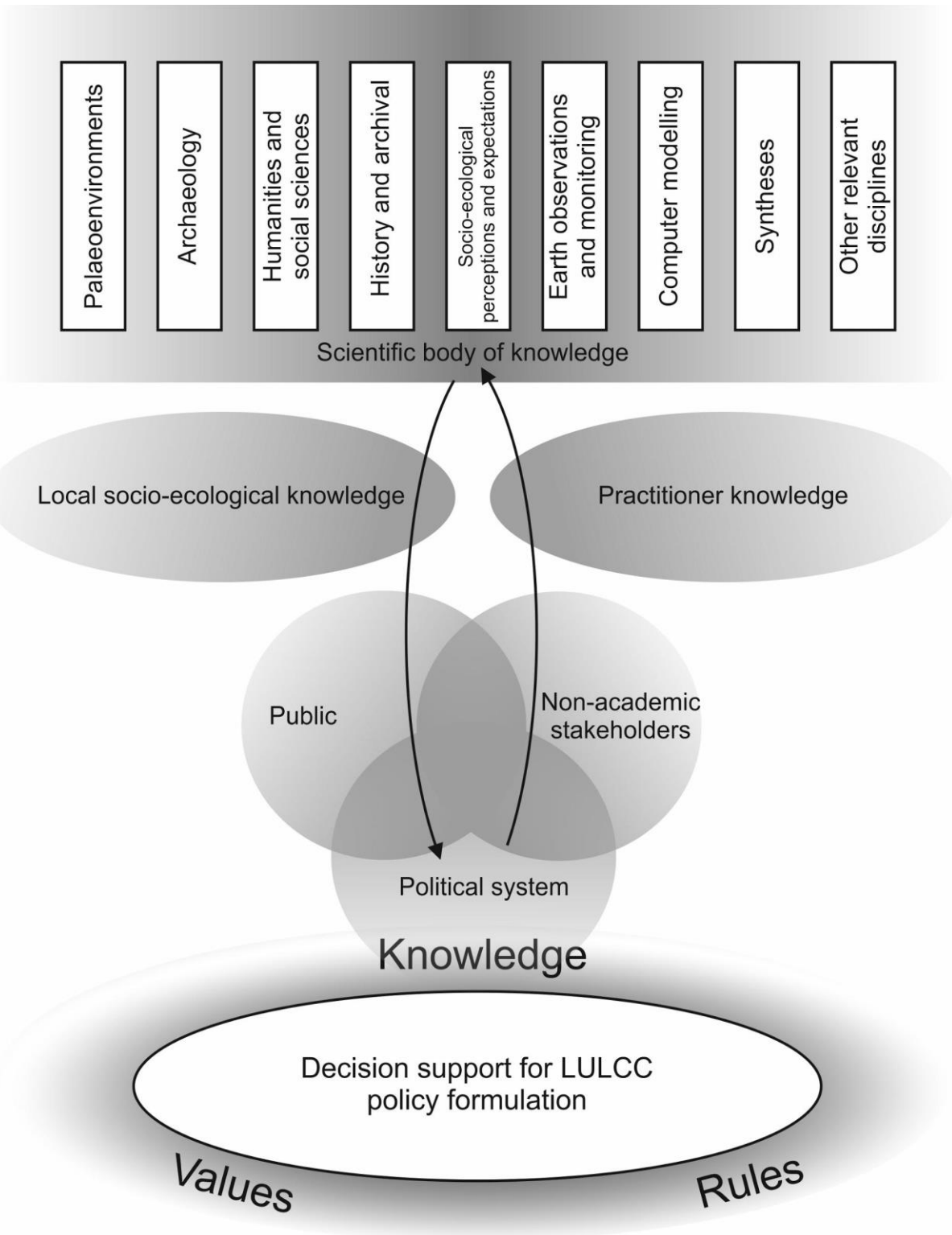
2385 **SM3:** Table of Archaeological study sites in the borderlands region found in the literature
2386 review (Marchant et al., 2018)

2387 **SM4:** Table of Earth Observation sources of LULCC in the borderlands region

2388

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Challenges and needs for increasing impact of LULCC research

Science-policy culture for familiarity and engagement

Dispersed state of academic knowledge

Transparency of data and insights

Inclusivity and empowerment of stakeholder voices

Potential solutions

Conceptual framework and guidance documents

Typology and classification of sources of scientific support

Data lifecycle management

Quality judgements on sources of scientific support by experts

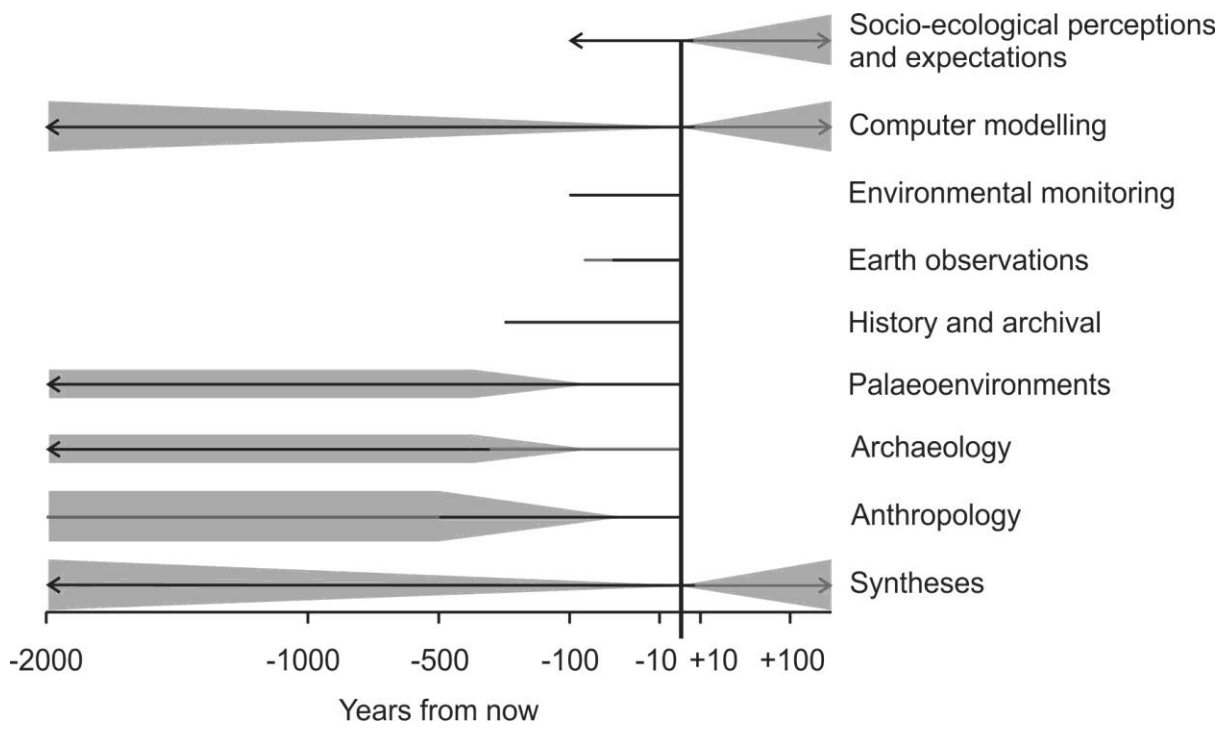
Potential benefits

Facilitation of useful and effective science-policy interaction

Integrated syntheses and knowledge generalisations

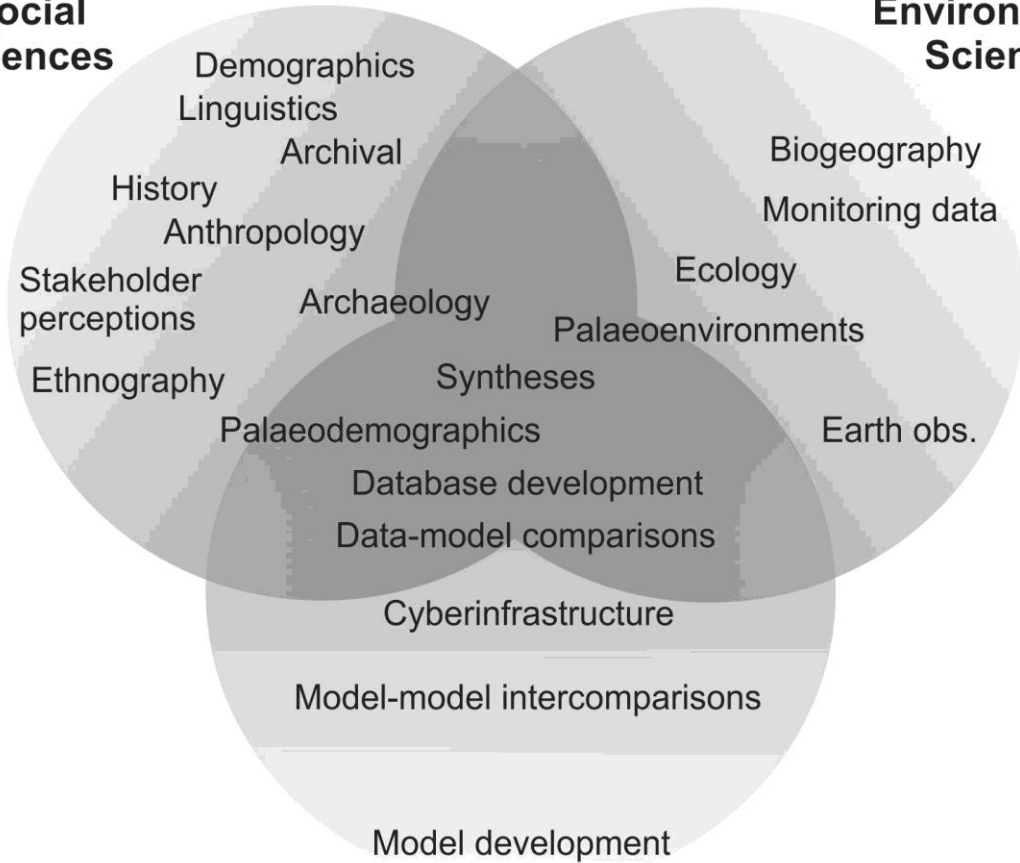
Wider dissemination and improved knowledge exchange tools

Uptake for decision support

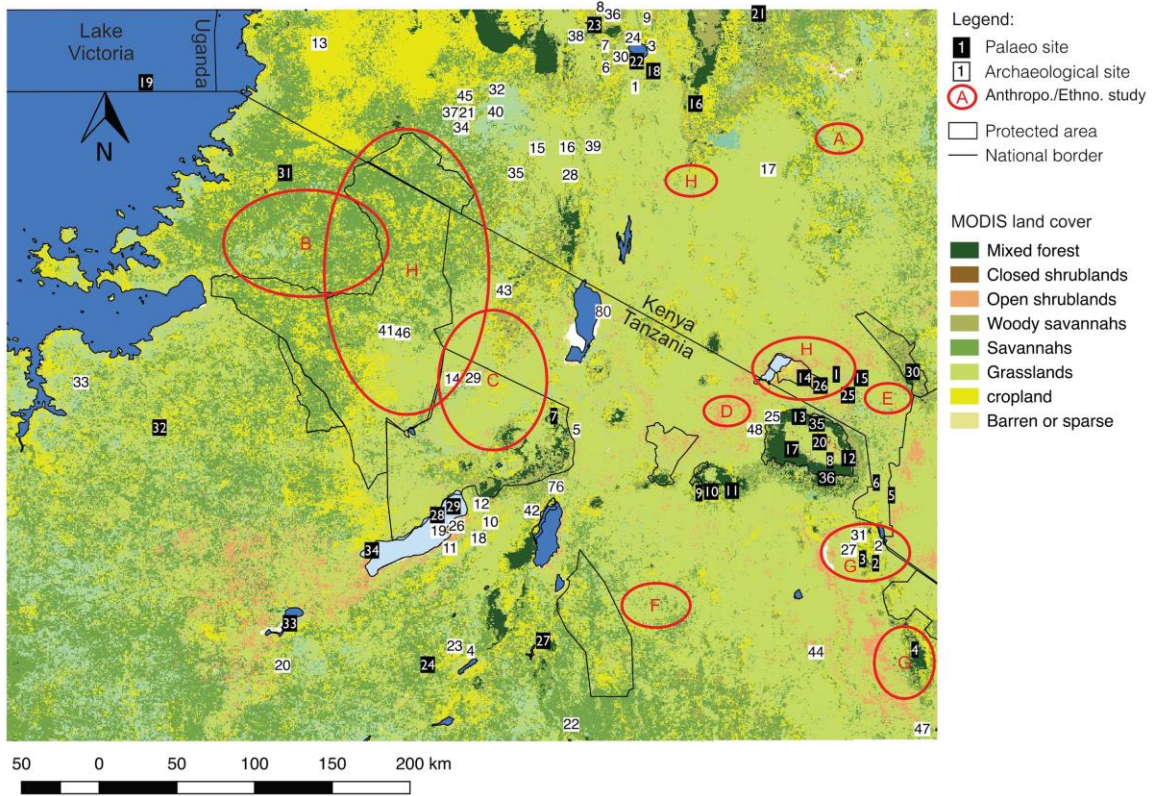


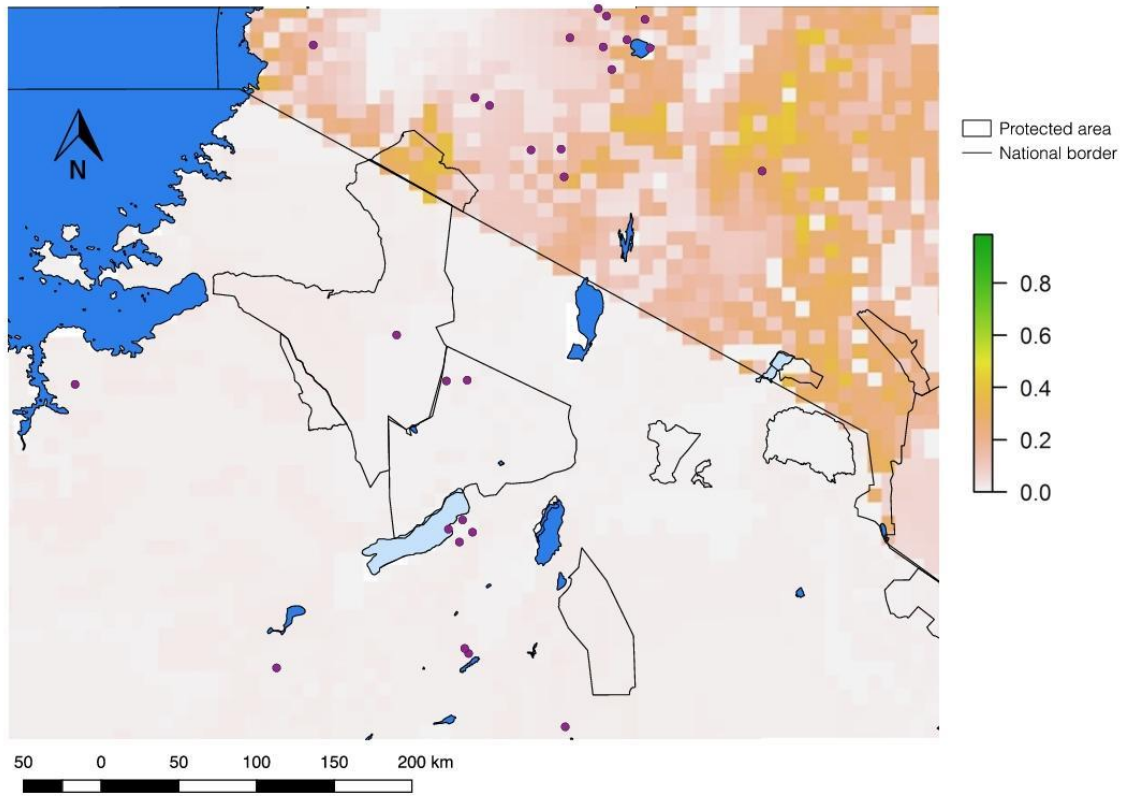
**Social
Sciences**

**Environmental
Sciences**



**Computer
Modeling**





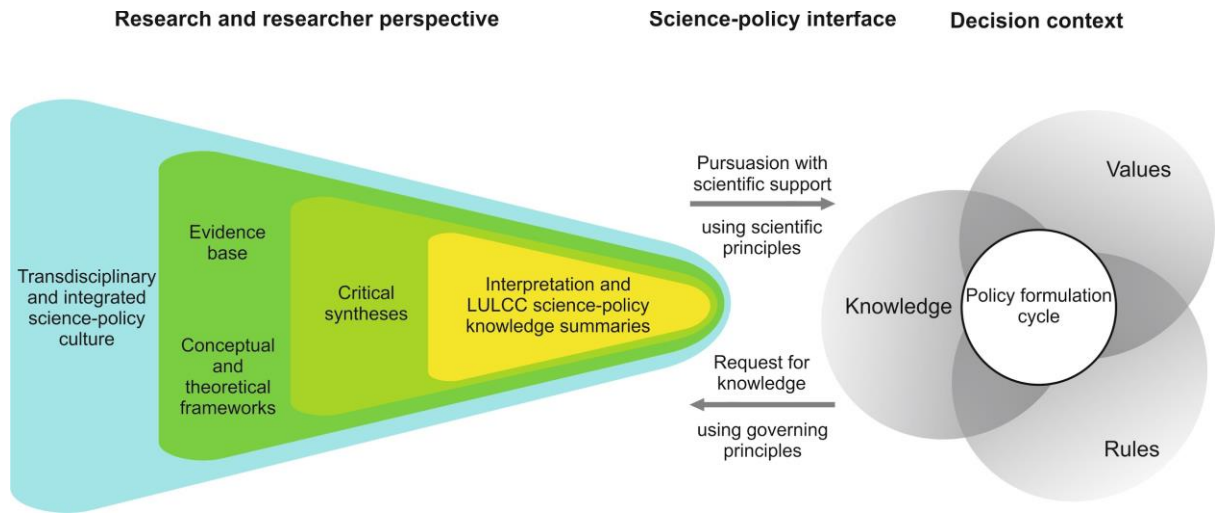


Table1

Evidence stream	Approach	Challenges	Benefits	Unexploited potentials	Solutions	Example studies
Community perceptions and expectations	<ul style="list-style-type: none"> -Questionnaire -Interviews -Focus groups -Artistic expressions - Content analyses 	<ul style="list-style-type: none"> - languages, translations - Quantification - Demographic distributions - Access, Permissions -Engagement -Nested scales -Consent from public -Permits to contact government employees - Payments 	<ul style="list-style-type: none"> -explicit land uses -perceptions of change -identification of values -perceived relative importance of change -Expectations of future 	<ul style="list-style-type: none"> -Voice of marginalized groups, women, children -Identifying flows (monetary, nonpecuniary, ideas, power, commodities) 	<ul style="list-style-type: none"> -New socioecological modelling techniques - Continued engagement - knowledge exchange - continuous dialogue -Academic-NGO partnerships 	Shetler, 2007; Capitani et al., 2016
Computer modelling	<ul style="list-style-type: none"> -Social models -Ecological models -Environmental models -Coupled models 	<ul style="list-style-type: none"> -constraining uncertainties -specialist skillsets -how to incorporate data into models? -data-model comparisons rely on several expert 	<ul style="list-style-type: none"> -exploration of hypotheses - retrospective and future projective - Africa-specific DGVM models exist -Savanna-specific models exist 	<ul style="list-style-type: none"> -data-model comparisons - educational outreach -exploring scenarios with policy interface 	<ul style="list-style-type: none"> - transdisciplinarity -multi-audience communication 	Kaplan and Krumhardt, 2011; Platts et al., 2015; Klein Goldewijk et al., 2017

		groups working together				
Environmental monitoring	-Measurement monitoring (ex. Meteo, moisture) -repeat plot studies	-lack of committed funding support - short-term operations -fine geographic	-high temporal resolution -high taxonomic resolution	-data-data/ datamodel comparisons - communications and growth	-FAIR -open access - increased interdisciplinarity	-Sinclair, 1979c; Funk et al., 2014

	<ul style="list-style-type: none"> -field experiments and manipulations -species counts 	<ul style="list-style-type: none"> scales -detection limitations - patchy data - varying methodologies -different metrics -data accessibility - collaboration and authorship - ownership - complex institutional landscapes -limited data validation and replication 		<ul style="list-style-type: none"> between research communities 		
Earth observation	<ul style="list-style-type: none"> -Satellite products -Multispectral -Multi-messenger -Air photographs -Repeat photography 	<ul style="list-style-type: none"> -Geomatics expertise in high demand -accessibility -Scale issues - Varying temporal resolutions 	<ul style="list-style-type: none"> -Strong linkage to other evidence streams -Can derive useful and meaningful 'products' 	<ul style="list-style-type: none"> -Combine products to increase temporal depth 		<ul style="list-style-type: none"> Anderson and Lochery, 2008; Higgins, 2017; Hamidu et al., 2018
Documentary and historical	<ul style="list-style-type: none"> -Historical Cartography -landscape art -Archival 	<ul style="list-style-type: none"> -Findability -Accessibility - Multilingual sources (German, English, Kiswahili, local) -Recording biases -Hegemonic biases 	<ul style="list-style-type: none"> -High temporal resolution -Spatially explicit 	<ul style="list-style-type: none"> -Dispersed archives at many levels of government and agencies 	<ul style="list-style-type: none"> -Increase interaction with Environmental historians to improve criticality and interpretation of sources 	<ul style="list-style-type: none"> Aleman et al., 2018; Orozco-Quintero and King, 2018

Palaeoenvironmental	-	-Few studies	-Forest histories	-trees are common	-not widely used	Krishnamurthy
	Dendrochronology -Forest histories	-difficult to define growth ring proxy relationship	describe land cover changes -High spatiotemporal resolution -Spatially explicit - Works well for gallery forests and fine-scale land cover	to many areas	in the tropics	and Epstein, 1985; Wyant and Reid, 1992; Stahle et al., 2005; Maingi, 2006; Patrut et al., 2010; Staver et al., 2011
	-Geoarchives	-Scale issues - Spatially constrained - Environmental (dis)continuity -few traditional target study site sources in arid areas -Communication between disciplines and other audiences	-long-term environmental records -high to moderate temporal resolution	-Co-located study sites with archaeology -co-generating studies with neoecologists and land cover modelers -new techniques specific to arid environments	-Multi-core, multi-site compositing - multi-scalar analyses -lots of geoarchives in arid areas remain unexplored - alternative archives (bird nests, phosphatite)	Ryner et al., 2008; Rucina et al., 2010; Öberg et al., 2012; Schüler et al., 2012; Githumbi et al., 2018

Archaeological		<ul style="list-style-type: none"> -Difficult to find in wet environments -Dispersed sources from private collections, national archives, peer-reviewed literature -not always spatially-explicit data 	<ul style="list-style-type: none"> -High number of sites -Many in arid environments 	<ul style="list-style-type: none"> -information on human land use, social drivers and interactions 	<ul style="list-style-type: none"> -Identifying knowledge gaps to direct research effort -Improved quantification methods 	<p>Foley, 1981; Bower and Chadderdon, 1986; van Grunderbeek, 1992; Stump, 2006; Prendergast, 2011</p>
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		<ul style="list-style-type: none"> -temporality varies through time with newer information -Discontinuous time series data -recent artefacts/sites often not radiometric dated -level of quantification relative to Environmental Sciences 				
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<p>Anthropological, ethnographic, sociological</p>	<p>-Oral histories - Historical linguistics</p>	<p>-Difficult to establish dates with certainty - Multiple language groups</p>	<p>-Reconstruction of how landscapes are imagined -Learn how values have changed over time</p>	<p>-Continued corroboration of results with other evidence streams - Capturing and sharing of geospatial information</p>	<p>-more crossdisciplinary interaction - project co-design</p>	<p>Shetler, 2003; 2007; Orozco-Quintero and King, 2018</p>
<p>Syntheses and Integrated studies</p>	<p>-Coupled syntheses -multidisciplinary syntheses - regional studies</p>	<p>-Harmonising lexicons -communicating uncertainties appropriately - media miscommunication -complexities in ownership, authorship, and credit -FAIR databases</p>	<p>-Inherently multidisciplinary -Produces stateof-knowledge reports -Reports can be translated into other languages - Reports in plain language</p>	<p>-Increasing and garnering momentum -co-developing cyberinfrastructure for data -Co-production with stakeholders -Online visualization tools</p>	<p>-co-creation of outputs scientific publications with white papers and briefings for other user groups/audiences -Identifying knowledge gaps to direct research effort</p>	<p>Kay and Kaplan, 2015; Hamilton et al., 2016; Aleman et al., 2018; Hughes et al., 2018; Marchant et al., 2018</p>

***Conflict of Interest Statement**

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: