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1	Acceleration of global N <sub>2</sub> O emissions seen from two decades of
2	atmospheric inversion
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22	Abstract
23	Nitrous oxide (N <sub>2</sub> O) is the third most important long-lived greenhouse gas and an important
24	stratospheric ozone depleting substance. Agricultural practices and the use of N-fertilizers
25	have greatly enhanced emissions of N <sub>2</sub> O. Here we present estimates of N <sub>2</sub> O emissions
26	determined from three global atmospheric inversion frameworks during 1998-2016. We find
27	that globally $N_2O$ emissions increased substantially from 2009 and at a faster rate than
28	estimated by the Intergovernmental Panel on Climate Change (IPCC) emission factor (EF)
29	approach. The regions of East Asia and South America made the largest contributions to the
30 31	global increase. From the inversion-based emissions, we estimate a global EF of $2.3 \pm 0.6\%$ ,
32	which is significantly larger than the IPCC Tier-1 default for combined direct and indirect emissions of 1.375%. The larger EF and accelerating emission increase found from the
33	inversions suggest that N <sub>2</sub> O emission may have a non-linear response at global and regional
34	scales with high levels of N-input.
35	Main text
36	Atmospheric N <sub>2</sub> O has risen steadily since the mid-20 <sup>th</sup> century <sup>1,2</sup> , from approximately 290
37	ppb in 1940 to 330 ppb in 2017 <sup>3,4</sup> - a trend strongly linked to the increase in reactive nitrogen
38	(Nr) in the environment <sup>5,6</sup> . Nr creation has increased enormously since the mid-20 <sup>th</sup> century
39	largely owing to the Haber-Bosch process (used primarily to produce N-fertilizer), but also
40	to the cultivation of N-fixing crops and the combustion of fossil and bio-fuels <sup>7</sup> . Although
41	increased Nr availability has enabled large increases in food production, it is also associated
42	with a number of environmental problems. Among these is the rise in $N_2O$ emissions: Nr is
43 44	the substrate of the microbial processes of nitrification and denitrification, both of which produce N <sub>2</sub> O as a by-product <sup>8</sup> .
45 46	N <sub>2</sub> O emissions increased from 10-12 TgN y <sup>-1</sup> prior to the industrial era <sup>5,9</sup> to an average of ~17 TgN/y in the last decade. Agriculture is responsible for the largest part of this change,
47	with emissions increasing from 0.3-1.0 TgN y <sup>-1</sup> in 1850 to 3.9-5.3 TgN y <sup>-1</sup> in 2010 <sup>5,9,10</sup> . In

- 48 order to meet ambitious climate targets, non-CO<sub>2</sub> greenhouse gas emissions will also require
- 49 reductions<sup>11</sup>. For N<sub>2</sub>O, this means reducing agricultural emissions while meeting the growing
- demand for food and other agricultural products. This will require changes in human diet and 50
- agricultural practices, and ultimately, improved nitrogen use efficiency (NUE), that is, 51
- increasing Nr in harvest relative to N-input<sup>12,13</sup>. 52
- N-input, in particular N-fertilizer use, is one of the best single predictors of N<sub>2</sub>O emissions 53
- 54 from agriculture with an estimated emission factor (EF) of ~1% based on emissions measured
- 55 from soils<sup>14</sup>. Emission inventories, used for example in reporting under the United
- 56 Framework Convention on Climate Change (UNFCCC), are based predominantly on the EF
- approach. For direct emissions from agricultural land, the default (Tier-1) value used in 57
- 58 reporting to the UNFCCC is 1% with an uncertainty range from 0.3% to 3% owing to the
- 59 variability with agricultural practices, soil properties, and meteorological conditions<sup>14</sup>.
- 60 Similarly, EFs are used to estimate indirect N<sub>2</sub>O emissions from ecosystems downstream and
- 61 downwind of agricultural land, which receive Nr via run-off and atmospheric deposition,
- amounting to an additional but even more uncertain EF of ~0.375% (Ref 12). 62
- 63 Estimates of the global mean EF have also been made by relating observed changes in
- 64 atmospheric N<sub>2</sub>O to N-input, the so-called top-down approach, which includes emissions
- 65 from agricultural land as well as downstream and downwind ecosystems. Top-down EF
- 66 estimates vary from ~2 to 5% and strongly depend on the explanatory variable used,
- specifically whether it includes only newly fixed Nr or all Nr sources<sup>5,15,16</sup>. While there are 67
- 68 differences between the modelled N<sub>2</sub>O emissions depending on the explanatory variable, all
- 69 EF approaches assume a linear response of N<sub>2</sub>O to N-input. Conversely, evidence from field
- experiments suggests the emission response is often nonlinear where N-input is high<sup>17-22</sup>. 70
- However, whether a non-linear response of N<sub>2</sub>O emissions is relevant at large scales and
- 71
- 72 globally is unknown.
- N<sub>2</sub>O emissions can be estimated regionally independently of EFs using the atmospheric 73
- inversion approach, which utilizes spatiotemporal variations in atmospheric N<sub>2</sub>O<sup>23-25</sup>. Here, 74
- we use a global network of N<sub>2</sub>O observations to estimate N<sub>2</sub>O emissions and their trends 75
- 76 during 1998-2016. These are estimated using three independent inversion frameworks and
- 77 transport models (see Supplementary Tables 1&2), providing a range of estimates
- 78 representing the systematic uncertainty from errors in modelled transport and stratospheric
- 79 N<sub>2</sub>O loss (see Methods). Using updated datasets of N-input for the whole agricultural system
- 80 (i.e. including crops and grasslands) and of N-surplus for cropping systems (i.e. the difference
- 81 between N-input and Nr removed through harvest), we determine the response of the
- 82 inversion-based emissions to these two explanatory variables and examine the linear
- 83 assumption.

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## **Emission trends and relation to N-input**

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- From three inversions, we estimate a global mean emission of 17.0 (16.6-17.4) TgN  $y^{-1}$  for 1998 to 2016, with 11.3 (10.2-13.2) TgN  $y^{-1}$  from land and 5.7 (3.4-7.2) TgN  $y^{-1}$  from ocean 86
- (values in parentheses give the range over three inversions, Supplementary Table 3). The 87
- 88 global emissions presented here are consistent with other top-down estimates ranging
- between 15.7 and 18.3 TgN y<sup>-1</sup> for the year 2000<sup>5,9,23-25</sup>. Similarly, our land emissions 89
- estimate is within the range of other top-down estimates of 11.0 to 12.6 TgN y<sup>-1</sup>, also for the 90
- year 2000<sup>9,23-25</sup>, and the recent estimate from the Nitrogen Model Inter-comparison Project 91
- $(NMIP)^{10}$  of  $10.0 \pm 2.0$  TgN  $y^{-1}$ . 92
- 93 Top-down methods, including atmospheric inversions, estimate the source as the sum of the
- 94 observed change in atmospheric N<sub>2</sub>O abundance and the amount lost in the stratosphere. As
- 95 the stratospheric loss is not constrained directly by observations this term has considerable

uncertainty, which is propagated into the source estimate. We calculate that stratospheric loss contributes 1.1 TgN y<sup>-1</sup> to the discrepancy in the source estimate based on the range of modelled atmospheric lifetimes, 118 to 129 years, and a median abundance of 1522 TgN (Supplementary Table 3) (the lifetimes and abundance are comparable to previous findings<sup>26</sup>). The discrepancy, however, is larger than the range in source estimates, indicating

101 compensating effects in the inversions.

From 2000 the atmospheric growth rate increased steadily from a mean of 0.68 ppb y<sup>-1</sup> for 102 103 2000-2005 to 0.98 ppb y<sup>-1</sup> for 2010-2015, with significant bi- to tri-annual periodicity (Figure 104 1). Prior to 2000, calibration accuracy and measurement precision were significantly poorer, 105 hence the growth rate for 1998 to 2000 is more uncertain. Our discussion, therefore, focuses 106 on trends from 2000 onwards. Previous studies found a correlation between inter-annual 107 variability in the growth rate and El Niño-Southern Oscillation (ENSO) and attributed it to changes in soil and ocean emissions<sup>27,28</sup>. El Niño is associated with lower growth rates, likely 108 owing to reduced rainfall in tropical and subtropical regions<sup>29</sup> and suppressed upwelling in 109 the eastern tropical Pacific<sup>30</sup>. One study also hypothesized an influence from stratosphere to 110 troposphere transport on inter-annual variability<sup>31</sup>. The increasing trend, however, is likely 111 112 due to increasing emissions; based on the inversions, emissions increased from 16.3 (15.5-17.1) TgN  $y^{-1}$  for 2000-2005 to 17.9 (17.3-18.5) TgN  $y^{-1}$  for 2010-2015. This increase is 113 114 significantly larger than prior estimates, which showed an increase of 0.5 (0.4-0.6) TgN y<sup>-1</sup>. 115 A change of this magnitude cannot be explained by any known mechanism through the sink, 116 as it would require an increase in atmospheric lifetime of ~20 years, and such a change is 117 unrealistic over this time scale. The atmospheric models used in this study show no trend in 118 lifetime for this period. The growth in emissions is 90% due to emissions over land (Figure 119 2) including the land-ocean aquatic continuum and inland water bodies (the spatial resolution 120 of the inversions does not allow these components to be resolved separately).

121 An increase in emissions is consistent with global trends in total N-input and crop N-surplus, 122 which grew by 59 and 18 TgN, respectively, during 2000-2013 (the last year for which data 123 are available) (Figure 3). We include synthetic fertilizer applied to crop and grasslands and 124 total animal excretion, biologically fixed nitrogen in crops and grassland, and NOx 125 deposition from non-agricultural sources (Methods). A similar trend in N-input and N-126 surplus is seen for China, with increases of 15 and 8 TgN, respectively, as well as for South 127 Asia (i.e., India, Nepal, Bangladesh and Pakistan) and to a lesser extent Brazil. We limit our 128 focus to the global scale and the five countries/regions in Figure 2 because the inversions in 129 other regions are not well constrained due to sparse observations and thus rely on the prior 130 estimates.

131 The regional trends in N-input and N-surplus are consistent with the N<sub>2</sub>O emissions derived 132 from the inversions. Emissions were found to increase in China by 0.40 (0.34-0.47) TgN y<sup>-1</sup> 133 between 2000-2005 and 2010-2015 - significantly larger than prior estimates of 0.23 (0.18-134 0.32) TgN y<sup>-1</sup>. Although there is an offset between INV1/INV2 and INV3 for Global land 135 and China, the trends are very similar. The offset is largely due to residual dependence of the 136 posterior on the prior estimates: INV3 used a larger land (and lower ocean) prior compared 137 to INV1/INV2. The uncertainty in all regions was reduced by the inversions (Supplementary 138 Figure 5). The change in South Asia was significantly smaller than in China, 0.14 (0.11-0.16) 139 TgN y<sup>-1</sup> but larger than indicated by prior estimates of only 0.03-0.05 TgN y<sup>-1</sup>. In USA and Europe, emissions were fairly stable over the past nearly two decades. In Brazil, there was 140 141 an increase between the two periods of 0.26 (0.23-0.29) TgN y<sup>-1</sup>, but it was small compared to the year-to-year variability in emissions of 0.22 TgN y<sup>-1</sup>. The five regions of focus account 142 143 for ~50% of the global increase between the two time periods, while Africa accounts for 144 ~20%, Central and South America (excluding Brazil) account for ~10%, Southeast Asia and Oceania account for 8%, and 10% was due to changes in ocean emissions (Supplementary

146 Figure 6).

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## **Estimation of emission factors**

148 Using the inversion emission trends and N-input data, we estimated EFs globally and 149 regionally. To calculate EFs, we subtracted estimates of the non-soil emissions (i.e., from 150 industry, energy and waste sectors from EDGAR-v4.3.2 (Supplementary Figure 7) and 151 biomass burning from GFED-v4.1s) from the total emissions to give the contribution from 152 soil, which we assume is proportional to N-input. Second, we subtracted the mean of the soil 153 emissions from each inversion over 1998-2016 to remove any offset between inversions. 154 Figure 4 shows scatter plots of N<sub>2</sub>O emission anomalies from all inversions versus N-input. 155 The linear regression coefficients provide an estimate of the EF for additional emissions 156 resulting from additional N availability. The EFs were statistically significant (P < 0.05)157 globally, for China, Brazil and South Asia, but not for USA and Europe where changes in N-158 input and N<sub>2</sub>O emission were small compared to the scatter in the data (Supplementary Table 159 4). The emissions are generally higher than proportionate (and more scattered) at the upper 160 range of N-input globally and for China and Brazil, but using non-linear regressions led to 161 only marginal improvements with no difference between quadratic versus exponential 162 functions. Regressions were also calculated relative to N-surplus but no improvement in the 163 correlation or reduction in the residual standard error was found (Supplementary Table 5 and 164 Figure 8).

165 Globally, we find an EF of  $2.3 \pm 0.6\%$  for the change in total soil N<sub>2</sub>O emission relative to 166 the change in total N-input, including N-fertilizer, manure, biological nitrogen fixation 167 (BNF), and NOx deposition from non-agricultural sources (Figure 5). Our N-input differs 168 slightly from the IPCC 2006 reporting guidelines, which includes (in addition to synthetic 169 fertilizer and manure) Nr from crop residues and mineralization of soil organic matter where soil Nr stocks are changing due to land use or management<sup>14</sup>. On the other hand, our N-input 170 171 includes total livestock excretion and not only that applied as manure as in the IPCC 2006 172 method. While the IPCC 2006 method does not directly include BNF, it assumes that Nr from 173 BNF is relevant for N<sub>2</sub>O production when left on fields in crop residue. We do not have 174 estimates of Nr from mineralization of soil organic matter from land use or management, but 175 this term is likely to be small compared to other N-inputs. Furthermore, our EF estimates 176 assume that trends in natural emissions of N<sub>2</sub>O are negligible over the study time period. 177 Since changes in N<sub>2</sub>O emissions due to anthropogenic N-input to natural ecosystems is 178 counted as an anthropogenic emission, changes in natural N2O emissions are primarily 179 related to climatic changes. Natural emissions changed by an estimated  $0.7 \pm 0.5 \text{ TgN y}^{-1}$ 180 since the pre-industrial era and, therefore, likely have negligible impact on our EFs for 2000- $2013^{10}$ . 181

The IPCC (Tier-1) method gives one EF for direct and another for indirect emissions, whereas we calculate the total EF relative to N-input. To compare the two methods, we estimate the IPCC total EF by adding the equations for direct and indirect emissions (using default parameters) and dividing by total N-input, giving an EF of 1.375% (see Methods). Our global mean EF is higher than the IPCC value but is sensitive to positive emission anomalies in 2010 and 2013 (Figure 2); excluding these values gives an EF that is not statistically different from the IPCC value. A longer time series of inversion-based emissions would help in determining the EF more accurately. However, our estimate of 2.3% agrees well with that of a previous top-down study<sup>5</sup>, which found an EF of ~2.5% (Figure 5). Ref 5 estimated separate EFs for manure and N-fertilizer, of 2% and 2.5%, respectively, and found this gave a better fit to top-down estimated N<sub>2</sub>O emissions throughout the 20<sup>th</sup> century compared to one EF for total N-input. This was because in the first half of the 20<sup>th</sup> century

- 194 Nr in manure was not only derived from contemporaneous N-fixation but was also mined
- 195 from agricultural soils. Over the past two decades, N-mining from soils occurred only in a
- 196 few countries, and manure Nr is predominantly derived from fertilizer Nr used to grow crops
- 197 for livestock feed. Consistent with this, we find for the last nearly two decades that the fit to
- 198 N<sub>2</sub>O emissions did not improve if N-fertilizer and manure were considered separately as
- 199 explanatory variables. A higher EF than the IPCC default, is also plausible considering the
- evidence of a non-linear response of N<sub>2</sub>O emission to high levels of N-input<sup>10,17-22</sup>, which is 200
- 201 discussed below.
- For China, we find an EF of  $2.1 \pm 0.4\%$ , and this estimate is insensitive to emission anomalies. 202
- 203 A high EF for China is credible given the very high rates of fertilizer application, low crop
- 204 NUE (defined as the output/input ratio for cropping systems, Supplementary Figure 9), and
- possibility of a non-linear response of N<sub>2</sub>O emission <sup>10,17-22,32,33</sup>. However, our EF for China 205
- is associated with systematic uncertainty owing to uncertain trends in non-soil emissions, in 206
- 207
- particular from industry, which differ substantially between inventories. If the non-soil 208 emission trend is underestimated the EF would be overestimated and vice-versa. For example,
- 209 using the GAINS inventory estimate for non-soil emissions (instead of EDGAR-v4.32), the
- 210 EF for China would be only  $1.4 \pm 0.4\%$  and not statistically different from the IPCC default.
- 211 The most important difference between EDGAR and GAINS is the change in emissions from
- 212 adipic acid production - in EDGAR these are reduced by ~90% between 2005 and 2010
- 213 whereas in GAINS they increase by a factor of ~2 (Supplementary Figure 7). The discrepancy
- 214 arises from assumptions made about adipic acid plants that became operational after 2005,
- 215 specifically their contribution to the total adipic acid production and what emission
- abatement technologies they use<sup>34,35</sup>. If the GAINS emissions were correct then the increase 216
- in emissions from adipic acid production would account for nearly 20% of the total increase 217
- 218 in China's emissions since 2005. Trend differences between EDGAR and GAINS have
- 219 negligible impact on the global EF calculation and for the other regions in our study.
- 220 For Brazil, we calculate an EF of  $2.6 \pm 0.7\%$ . This value is sensitive to emission anomalies,
- 221 specifically in 2010 and 2013 (as for the global EF). Removing these anomalies reduces the
- 222 EF to  $2.1 \pm 0.7\%$ . Our high EF for Brazil is puzzling due to the relatively high NUE, ~50%,
- 223 a low portion of synthetic fertilizer in the total N-input, and predominantly low EF values
- measured at the plot scale (median 0.38%, range 0.13 to 5.14% in cropland)<sup>36</sup>. Several 224
- 225 speculative explanations are possible, including insufficient field sampling of soil EFs among
- the rapidly changing agricultural management systems<sup>37</sup>, declining NUE in expanding cereal 226
- production<sup>38</sup>, underestimated BNF in pastures and sugar cane production<sup>39</sup>, confounding 227
- effects of ENSO on the large emissions from Amazon forest soils or from fire<sup>40</sup>, varying 228
- 229 deforestation trends, as well as growth and intensification of cropland and livestock
- management<sup>41,42</sup>. 230

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- 231 For South Asia, we find an EF of  $0.8 \pm 0.4\%$ , which was not sensitive to emission anomalies
- 232 and is lower than the IPCC default. Although South Asia has a low NUE, it uses a much
- 233 smaller portion of synthetic fertilizer in total N-input than China, and has lower intensity of
- synthetic fertilizer application over crop area, 96 kgN ha<sup>-1</sup> compared to 281 kgN ha<sup>-1</sup> in China 234
- 235 for the mean over 2000-2013.

## **Evaluation of the emission factor approach**

- 237 Globally, the inversion-based soil N<sub>2</sub>O emissions grew at a faster rate than predicted with the
- 238 IPCC Tier-1 EF from 2009 (Figure 6). The increase in emissions from 2000-2005 to 2010-
- 239 2013, of 1.55 (1.44-1.71) TgN y<sup>-1</sup>, is also more than double that predicted by the IPCC EF,
- 240 of 0.59 TgN y<sup>-1</sup>. Using the EF calculated here (2.3%) tended to overestimate the response
- 241 between 2005-2009 and underestimate it after 2009, when the N-surplus was particularly

- 242 high. Although a non-linear (quadratic or exponential) function did not markedly improve
- 243 the residual standard error in the regressions of N<sub>2</sub>O emission versus N-input (owing to large
- scatter in the data), there are reasons to think the response may be non-linear, as suggested
- from field-based studies<sup>17-22</sup>. Mechanisms proposed for a non-linear response with large N-
- surplus include: 1) more available Nr substrate for nitrification and denitrification<sup>43</sup>, 2) high
- soil concentrations of NO<sub>3</sub><sup>-</sup> associated with a higher N<sub>2</sub>O to N<sub>2</sub> ratio from denitrification<sup>44</sup>,
- 248 3) Nr availability to microorganisms exceeding carbon availability leading to higher rates of
- N<sub>2</sub>O emission<sup>45</sup>, and 4) Nr stimulating microbial mobilization of N bound in soil organic
- 250 matter<sup>46</sup>. We compared the inversion-based soil emissions with the non-linear models in Refs
- 251 17 and 18 (Supplementary Figure 10) and found that both give slightly higher estimates after
- 252 2009 compared to the IPCC EF, but still underestimate the emissions.
- 253 In China, the emissions similarly increased at a faster rate than estimated by the IPCC EF
- after 2009. Although the agreement is better in the scenario where the industrial emissions
- 255 followed the trend in GAINS, if N-input remained at the same high level after 2013, then the
- 256 IPCC Tier-1 EF would considerably underestimate the emissions also in this scenario from
- 257 2013. For Brazil, the IPCC EF again underestimates the growth in emissions after 2009, but
- for South Asia, it reproduces the trend seen in the inversion-based estimates.
- USA and Europe differ from the other regions in that they have stable and decreasing N-
- input, respectively. In USA, the nearly flat inversion-based emissions are consistent with EF
- estimates. The notable negative emission anomaly for 2000-2005, however, is not captured,
- as it is not due to a change in N-input but rather likely to EF changes driven by meteorological
- 263 conditions. Precipitation data<sup>47</sup> and the Palmer Drought Severity Index<sup>48</sup> (PDSI) for the USA
- in regions with non-negligible N<sub>2</sub>O emissions show persistent dry conditions during 1999-
- 265 2003, which may have led to a decrease in the EF during that time (Supplementary Figure
- 266 11). In the other regions studied, however, there was no clear relationship between N<sub>2</sub>O
- emission anomaly and precipitation, PSDI, or soil temperature. For Europe, the emissions
- 268 estimated using the EF approach are close to those from the inversions. Although the EF
- approach shows a small decrease, of 0.01 TgN y<sup>-1</sup> between 2000-2005 and 2010-2013, no
- trend is seen in the inversion-based estimate, but it may be that any trend related to N-input
- is still too small to be captured by global scale inversions.

## **Conclusions and implications**

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- N<sub>2</sub>O emissions increased globally by 1.6 (1.4-1.7) TgN y<sup>-1</sup> between 2000-2005 and 2010-
- 274 2015, however the rate of increase from 2009 is underestimated using the IPCC Tier-1 default
- 275 EF. We hypothesize that this is due to an increase in the EF associated with a growing N-
- 276 surplus. This suggests that the Tier-1 method, which assumes a constant EF, may
- 277 underestimate emissions when the rate of N-input and the N-surplus are high. This has been
- demonstrated at field scale, but here we show this likely also applies at regional and global
- scales. We therefore recommend moving towards IPCC Tier-2 approaches and using region-
- specific EFs, especially for high N-input and/or N-surplus conditions, but this would require
- a body of field measurements to determine accurate values for these EFs. Alternatively,
- process-based modelling (as used in the IPCC Tier-3 method) validated against observations
- could help estimate emissions where the N-input and/or N-surplus is high. Our results show
- 200 Court field Countries where the 14 input alliand 14 Surprus is fight. Our results show
- that reducing N-surplus (and improving NUE) in high N-input regions should have a more
- than proportionate outcome in reducing N<sub>2</sub>O emissions.

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#### 412 Methods

- 413 Emissions were estimated using three independent atmospheric inversion frameworks (see
- 414 Supplementary Table 1). The frameworks all used the Bayesian inversion method, which
- 415 finds the optimal emissions, that is, those, which when coupled to a model of atmospheric
- 416 transport, provide the best agreement to observed N<sub>2</sub>O mixing ratios while remaining with
- 417 the uncertainty limits of the prior estimates. In other words, the emissions that minimize the
- 418 cost function:

419 
$$J(\mathbf{x}) = \frac{1}{2} \begin{pmatrix} \mathbf{x} & \mathbf{x}_{b} \end{pmatrix}^{\mathrm{T}} \mathbf{B}^{\mathrm{T}} \begin{pmatrix} \mathbf{x} & \mathbf{x}_{b} \end{pmatrix} + \frac{1}{2} \begin{pmatrix} \mathbf{y} & H(\mathbf{x}) \end{pmatrix}^{\mathrm{T}} \mathbf{R}^{\mathrm{T}} \begin{pmatrix} \mathbf{y} & H(\mathbf{x}) \end{pmatrix}$$
 (1)

- where  $\mathbf{x}$  and  $\mathbf{x}_b$  are, respectively, vectors of the optimal and prior emissions,  $\mathbf{B}$  is the prior 420
- 421 error covariance matrix, y is a vector of observed N<sub>2</sub>O mixing ratios, R is the observation
- error covariance matrix, and  $H(\mathbf{x})$  is the model of atmospheric transport (for details on the 422
- 423 inversion method see Ref. 49). The optimal emissions, x, were found by solving the first
- 424 order derivative of equation (1):

425 
$$J(\mathbf{x}) = \mathbf{B}^{-1} (\mathbf{x} - \mathbf{x}_{b}) + (H(\mathbf{x}))^{\mathrm{T}} \mathbf{R}^{-1} (\mathbf{y} - H(\mathbf{x})) = 0$$
 (2)

- 426
- where  $(H'(\mathbf{x}))^T$  is the adjoint model of transport. In frameworks INV1 and INV2, equation (2) was solved using the variational approach<sup>50,51</sup>, which uses a descent algorithm and 427
- computations involving the forward and adjoint models<sup>52</sup>. In framework INV3, equation (2) 428
- 429 was solved directly by computing a transport operator, H from integrations of the forward
- 430 model, such that  $\mathbf{H}\mathbf{x}$  is equivalent to  $H(\mathbf{x})$ , and taking the transpose of  $\mathbf{H}^{53}$ .
- 431 Each of the inversion frameworks used a different model of atmospheric transport with
- 432 different horizontal and vertical resolutions (see Supplementary Table 1). The transport

models TOMCAT and LMDz, used in INV1 and INV2 respectively, were driven by ECMWF 433 ERA-Interim wind fields, and the model, MIROC4-ACTM, used in INV3, was driven by 434 435 JRA-55 wind fields. While INV1 and INV2 optimized the emissions at the spatial resolution 436 of the transport model, INV3 optimized the error in the emissions aggregated into 84 land and ocean regions<sup>53</sup>. All frameworks optimized the emissions with monthly temporal 437 438 resolution. The transport models included an online calculation of the loss of N<sub>2</sub>O in the 439 stratosphere due to photolysis and oxidation by O(<sup>1</sup>D) resulting in mean atmospheric 440 lifetimes of between 118 and 129 years, broadly consistent with recent independent estimates

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of the lifetime of  $116 \pm 9 \text{ years}^{26}$ .

442 The inversions used N<sub>2</sub>O measurements of discrete air samples from the National Oceanic 443 and Atmospheric Administration Carbon Cycle Cooperative Global Air Sampling Network 444 (NOAA) and the Commonwealth Scientific and Industrial Research Organisation network 445 (CSIRO). In addition, we used measurements from in-situ instruments in the Advanced 446 Global Atmospheric Gases Experiment network (AGAGE), the NOAA CATS network, and 447 from individual sites operated by University of Edinburgh (UE), National Institute for 448 Environmental Studies (NIES) and the Finish Meteorological Institute (FMI) (see 449 Supplementary Figure 1). Measurements from networks other than NOAA were corrected to the NOAA calibration scale, NOAA-2006A<sup>54</sup>, using the results of the WMO Round Robin 450 inter-comparison experiment (https://www.esrl.noaa.gov/gmd/ccgg/wmorr/). Frameworks 451 452 INV1 and INV2 used a total of 83 discrete air sampling sites, 15 in-situ sampling sites and 453 discrete air samples from the NOAA network of ships and moorings, and INV3 used 37 454 discrete air sampling sites. Daily average observations were assimilated in INV1 and INV3, 455 while INV2 assimilated hourly afternoon values for low altitude sites and nighttime values 456 for mountain sites to minimize errors in the modeled mixing ratios from errors in the modeled

planetary boundary layer heights and local mountain-valley circulation.

Each framework applied its own method for calculating the uncertainty in the observation space, the square of which gives the diagonal elements of the observation error covariance matrix **R**. The observation space uncertainty accounts for measurement and model representation errors and is equal to the quadratic sum of these terms. INV1 assumed a measurement uncertainty of 0.4 ppb and, in addition, estimated the model representation error as the mixing ratio gradient across the grid cell in which the observation is located and the surrounding ones, resulting in a mean total uncertainty of 0.48 ppb. INV2 assumed a measurement uncertainty of 0.3 ppb and estimated the representation error in the same way as INV1, resulting in a mean total uncertainty of 0.50 ppb. INV3 used a measurement uncertainty of 0.32 ppb and estimated the representation error as 1-sigma standard deviation of daily observations at each site.

terrestrial biosphere and ocean biogeochemistry models as well as from inventories (see Supplementary Table 2). INV1 and INV2 used the same prior estimates for emissions from natural and agricultural soils from the model OCN-v1.1, for ocean emissions from the model PlankTOM5, and for biomass burning emissions from the Global Fire Emissions Database (GFED-v4.1s). OCN parameterizes N<sub>2</sub>O emissions from nitrification and denitrification in soils and accounts for N-input from N-fertilizer, manure, atmospheric deposition, and

Prior emissions were used in all frameworks and were based on existing estimates from

biological nitrogen fixation. The model is driven by CRU-NCEP meteorological data and uses inter-annually varying N-input<sup>55</sup>. PlankTOM5 uses the observed correlation between

478 apparent oxygen utilisation and excess N<sub>2</sub>O in oxic waters to estimate the open ocean source

of N<sub>2</sub>O production and the increased yield of N<sub>2</sub>O in suboxic waters from both nitrification and denitrification as an additional source in oxygen minimum zones<sup>56</sup>. The model,

PlankTOM5, is incorporated into the ocean general circulation model, NEMO v3.1, which is

482 forced with NCEP meteorology. For non-soil anthropogenic emissions (namely those from 483 energy, industry and waste sectors), both INV1 and INV2 use the Emission Database for 484 Greenhouse Gas Research (EDGAR) but differing versions (see Supplementary Table 2). 485 INV3 used GEIA (Global Emissions Initiative) for emissions from natural soils and ocean emissions from Manizza et al. 2012<sup>57</sup>. Manizza et al. model ocean emission using the 486 487 correlation of apparent oxygen utilization and excess N<sub>2</sub>O in oxic waters and their model is 488 incorporated into the MIT General Circulation Model. For soil and non-soil anthropogenic 489 emissions, INV3 used a third version of EDGAR (see Supplementary Table 2), which also 490 includes agricultural burning but they did not specifically account for wildfire emissions in 491 the prior estimates.

492 Prior uncertainties were estimated in all the inversion frameworks for each grid cell (INV1 493 and INV2) or for each region (INV3) and square of the uncertainties formed the diagonal 494 elements of the prior error covariance matrix **B**. INV1 and INV2 estimated the uncertainty 495 as proportional to the prior value in each grid cell, and INV2 set lower and upper limits for the uncertainty of  $3\times10^{-9}$  and  $5\times10^{-8}$  kgN m<sup>-2</sup> h<sup>-1</sup>, respectively. INV3, on the other hand, set 496 the uncertainty uniformly for the land regions at 1 TgN y<sup>-1</sup> and for the ocean regions at 0.5 497 498 TgN y<sup>-1</sup>. INV2 was the only framework to account for spatial and temporal correlations in 499 the errors (resulting in off-diagonal elements in the prior error covariance matrix) using an 500 exponential decay model with distance and time with correlation scale lengths of 500 km 501 over land and 1000 km over ocean and 90 days.

502 The optimized emissions were interpolated to 1°×1° (see Supplementary Figure 2) and the 503 regional emissions were calculated by integrating the gridded emissions within each region 504 or country. For each region, estimates of the non-soil anthropogenic emissions (i.e., from 505 industry, energy and waste sectors) from EDGAR-v4.32 and the biomass burning emissions 506 from GFED-v4.1s were subtracted from the total emissions from the inversions to give only 507 the contribution from soil, which is assumed to be proportional to N-input. This assumes that 508 the error in the estimate for non-soil anthropogenic emissions is substantially smaller than 509 that in the soil emissions (Supplementary Figure 7).

510 The inversions were validated by integrating the forward models with the posterior emissions 511 and comparing the simulated mixing ratios with independent observations, i.e., observations 512 that were not assimilated in the inversions. We compared with CONTRAIL (Comprehensive 513 Observation Network for AirLiner, **TRace** gases by http://www.jal-514 foundation.or.jp/shintaikikansokue/contrail\_index.htm), which has N<sub>2</sub>O observations at 515 regular intervals across the Pacific since 2005 (Supplementary Figure 3). All three inversions 516 showed a similar level of performance with differences typically of <0.5 ppb. We also 517 compared with aircraft profile measurements over USA from NOAA from sites with data for 518 the early 2000s (Supplementary Figure 4). We found that INV1 tended to underestimate N<sub>2</sub>O 519 in the lower troposphere over the contiguous USA for the early 2000s, hence we did not 520 include the emissions data for USA prior to 2005 in our analyses.

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We calculated N inputs to the whole agricultural system including crops and grasslands. Total inputs correspond to synthetic fertilizer application, animal excretion (even if finally not reaching crops or grasslands), biological nitrogen fixation, and NOx deposition on agricultural land. Total outputs correspond to crop and animal production. Total surplus is calculated as the difference between inputs and outputs. In this budget, we neglected the small part of crop production that is locally consumed by livestock. Synthetic fertilizer application is based on the FAOSTAT dataset (http://www.fao.org/home/en/) with several inputs from the International Fertilizer Association (https://www.fertilizer.org/). Total animal excretion is calculated using the FAOSTAT livestock inventory and dynamic excretion factors, biological N fixation is calculated from crop productivities<sup>58</sup> and

- 531 atmospheric deposition was from Ref 59. Grassland nitrogen fixation was based on the
- grassland production estimated following Ref 60 and validated through comparison with the 532
- IMAGE model<sup>61</sup>. We consider 20% of grass species to be N fixing legumes and that their N 533
- 534 fixation is equal to 1.4 times the N from aerial production to also account for below ground
- biomass production, which would otherwise not be included<sup>58</sup>. N output in harvested crops 535
- 536 is based on crop productivity and N content of 177 crops, utilizing data from the FAOSTAT
- 537 database. See also the detailed methodology in Refs 32 and 60. We consider the N-surplus
- 538 and NUE of cropping systems, as they are widely used as an indicator of the agronomic and
- 539 environmental performance of agricultural systems.
- 540 Emission factors were determined by a linear regression of N<sub>2</sub>O soil emission versus total N-
- 541 input. The total N-input consisted of sources of N from synthetic fertilizer (N<sub>SF</sub>), organic
- 542 fertilizer and manure (NoN), biological nitrogen fixation (NBNF) and NOx deposition from
- 543 non-agricultural sources. This emission factor represents the total of direct and indirect
- 544 emissions. The emission factors calculated in this study were compared to the IPCC Tier-1
- 545 default values, where the total IPCC EF was calculated by taking the weighted average of the
- 546 direct ( $EF_{dir}$ ) and indirect factors for deposition ( $EF_{dep}$ ) and leaching ( $EF_{leach}$ ) according to:

547 
$$EF_{tot} = EF_{dir} + EF_{dep} \left( f_{SF} \frac{N_{SF}}{N_{tot}} + f_{ON} \frac{N_{ON}}{N_{tot}} \right) + EF_{leach} f_{leach}$$
 (3)

- where  $f_{SF}$  and  $f_{ON}$  are the fractions of synthetic and organic fertilizer volatized, respectively, 548
- and  $f_{leach}$  is the fraction of N lost by leaching and runoff <sup>12</sup>. The modelled N<sub>2</sub>O emission 549
- $(F_{N2O})$  using the IPCC emission factors was calculated as: 550

$$F_{N_2O} = EF_{dir} (N_{SF} + N_{ON} + N_{BNF}) + EF_{dep} (N_{SF} f_{SF} + N_{ON} f_{ON}) + EF_{leach} (N_{SF} + N_{ON} + N_{BNF}) f_{leach}$$
(4)

552 using the N-input dataset described above.

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## **Author contributions**

- 617 RLT designed the study, contributed inversion results and prepared the manuscript; LL
- 618 prepared the N-data and contributed to the manuscript; PKP, CW and MPC contributed
- 619 inversion results and to the manuscript; KCW, AG, ENK, WW and EAD helped with the
- 620 analysis and contributed to the manuscript; HT and JCG contributed to the manuscript.

# 621 Competing interests statement

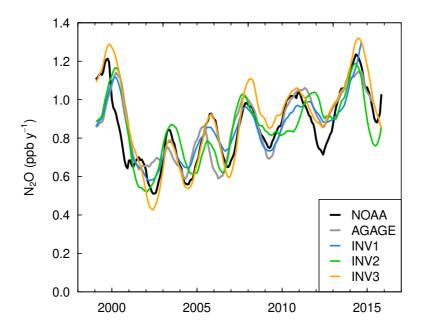
The authors declare that they have no competing interests.

# Data availability

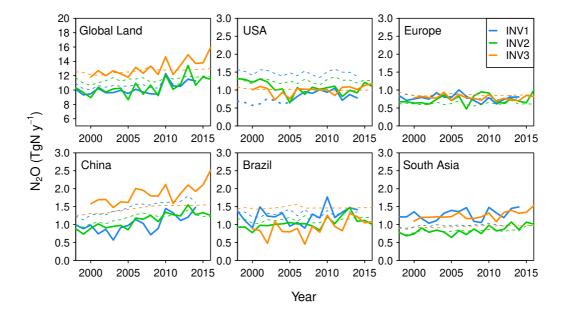
- Atmospheric observations used in the inversions are available from the databases indicated in the Acknowledgements. The CONTRAIL data used in the validation of the inversion results are available on request to H. Matsueda (MRI-JMA). The inversion output data are available from http://doi.org/10.5281/zenodo.3384591 and the N-data are available from https://doi.org/10.5281/zenodo.3384678. The inversion codes are available from the
- following authors on reasonable request: C. Wilson (c.wilson@leeds.ac.uk) for INV1; R. Thompson (rlt@nilu.no) for INV2; and P. Patra (prabir@jamstec.go.jp) for INV3.

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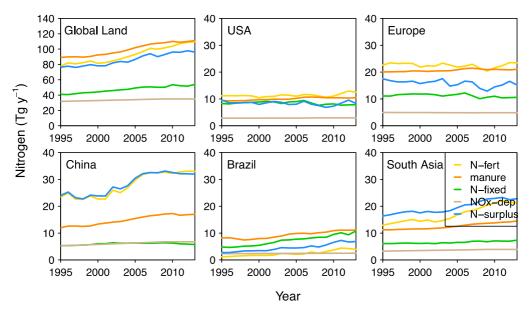
**Figure 1**. Observed and modelled global mean growth rates of N<sub>2</sub>O. Observed growth rates are shown based on the NOAA discrete sampling network and, for comparison, the AGAGE network. Modelled growth rates were calculated by sampling 4D mixing ratio fields at the times and locations of the NOAA observations. All growth rates were calculated with annual time steps and are shown as 1-year running averages.



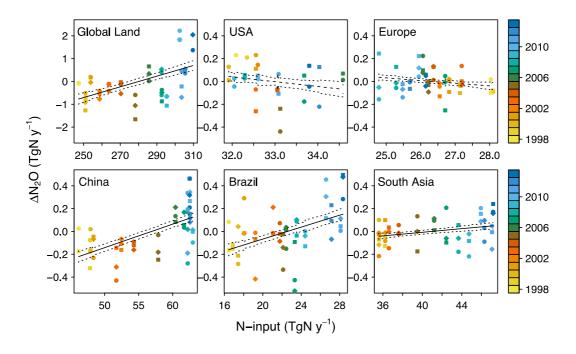
**Figure 2**. Annual N<sub>2</sub>O emissions from the atmospheric inversions for 1998 to 2016 (units TgN y<sup>-1</sup>). Dashed lines show the prior and solid lines the posterior emissions. INV1 data prior to 2005 for USA are shown as a dotted line as these data are more uncertain (see Methods).



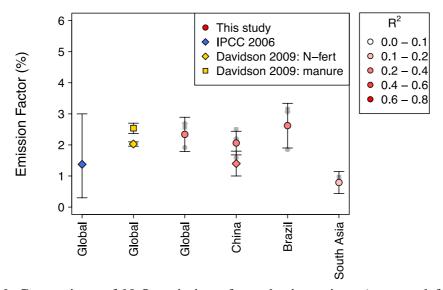
**Figure 3**. N-inputs to world crops and grasslands (units TgN y<sup>-1</sup>) and N-surplus in the cropping systems. (N-fert is synthetic fertilizer, N-fixed is biologically fixed N, NOx-dep is NOx deposition, N-surplus is surplus only for cropping systems).



**Figure 4**. Scatter plots of the  $N_2O$  emission anomalies versus N-input (units  $TgN \ y^{-1}$ ). The emissions were corrected for the non-soil component and the anomalies were calculated relative to the mean for 1998 to 2013. The symbols are colour-coded by year (circles = INV1, squares = INV2, diamonds = INV3). The solid line shows the regression and the dotted lines the confidence range. In the case that the regression is not significant (P > 0.05) a dashed line is used for the regression. (INV1 was excluded for USA owing to the poorer model-observation comparison for 1998-2005).



**Figure 5**. Comparison of emission factors (EF) from this study and from recent literature. The white to red circles are the EFs calculated over all inversions in this study and the colour indicates the correlation coefficient (see legend). The grey points are the EFs calculated from the individual inversions where the correlation was significant (circles = INV1, squares = INV2, diamonds = INV3). A second EF is shown (red diamond) for China using the GAINS estimate for the non-soil anthropogenic emissions. For the values reported by this study, the error bars show the standard error and for the other studies, they show the reported uncertainty.



**Figure 6**. Comparison of  $N_2O$  emissions from the inversions (corrected for the non-soil component) with those calculated using the EF approach (units  $TgN\ y^{-1}$ ). The inversion results are shown as the mean (black line) and range (grey shading). A scalar value was added to the emissions time series' so that they matched the inversion mean in the year 2000. The EF results are shown using the IPCC value (blue) and the linear fit from this study (green). For USA and Europe the regional EFs from this study were not significant so the global EF from this study was used instead. For China, the emissions corrected using GAINS for the non-soil component (instead of EDGAR-v4.32) are also shown (black dotted line).

