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# Analysis of flow competence in an alluvial gravel bed stream, Dupuyer Creek, Montana

Andrew C. Whitaker<sup>1,2</sup> and Donald F. Potts<sup>1</sup>

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[1] Critical shear stress and unit discharge flow competence models were tested against coarse bed load data from Dupuyer Creek, Montana, United States. Maximum particle sizes sampled ( $D_{\max}$ ) and  $D_{50}$  to  $D_{90}$  percentiles in the bed load grain size distribution were well correlated with both shear stress and unit discharge. Bed load grain sizes became coarser with increasing flow strength. For the  $D_{\max}$  curve, Shields dimensionless parameter for the surface  $D_{50}$  was estimated at 0.044, and the exponent for relative particle size ( $D_i/D_{50}$ ) was  $-0.59$ . In the unit discharge criterion the critical flow to entrain the surface  $D_{50}$  was poorly predicted. Flow competence relationships based on  $D_{\max}$  are prone to the influence of outliers and sample mass variability. The mean of the three largest particles,  $D_{\max}(3)$ , is more sensitive to changes in flow strength than the  $D_{50}$  to  $D_{90}$  bed load grain sizes, and may represent a good compromise.

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## 1. Introduction

[2] Critical flow condition for the entrainment of bed material is an important consideration for the assessment of stability in gravel bed streams. The concept of flow competence is commonly used to estimate the magnitude of flows necessary to entrain particle sizes present on the stream bed. The broad range of particle sizes present in gravel bed streams makes prediction of bed load initiation extremely complex. Sampling of bed load to validate model predictions has been limited by dangerous conditions during floods, high rates of bed load transport, the need for large orifice samplers, and unmanageable sample sizes [Gomez *et al.*, 1991; Custer, 1992]. Empirical predictive models of bed load transport have therefore been largely developed in laboratory flume experiments, and there is a need to further evaluate their performance in streams with coarse bed materials. Two approaches are described for predicting the competence of streamflow to entrain the channel bed grain sizes, including the critical shear stress approach [Andrews, 1983; Petit, 1994], and the critical unit discharge approach [Bathurst, 1987; Ferguson, 1994]. The validity of these methods, however, in gravel bed streams remains uncertain.

[3] Several studies have shown bed load transport to be size selective over a significant range of flow for gravel bed rivers [e.g., Lenzi *et al.*, 1999; Powell *et al.*, 2001]. Progressively larger particle sizes are entrained with increasing flows [Milhous, 1973; Carling, 1983; Komar, 1987; Ashworth and Ferguson, 1989], and the bed load size distribution becomes coarser [Bunte, 1996; Whitaker, 1997; Powell *et al.*, 2001]. This strong hydraulic control on

the bed load transport process can be explored through the development and validation of flow competence models. The key difficulty lies in sampling bed load effectively, so that we can link critical flow conditions to the mobility of different sizes or size fractions on the stream bed.

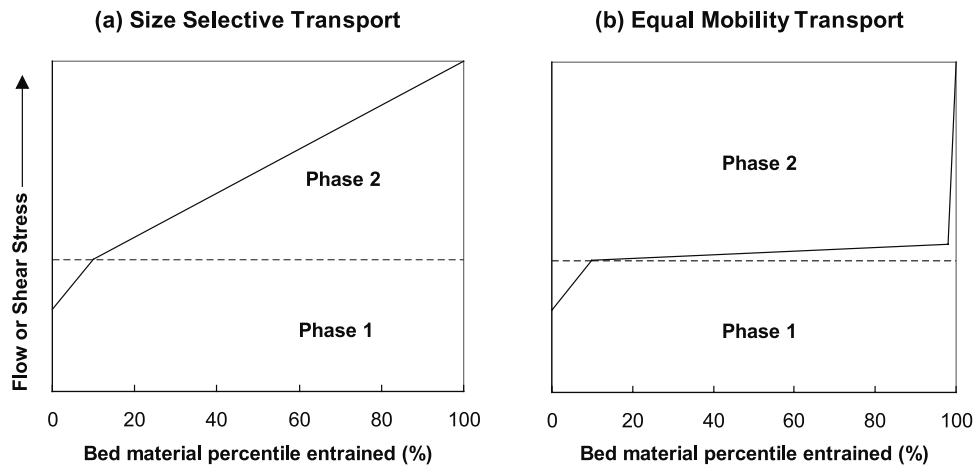
[4] The main objective of this study was to determine whether flow competence relationships could be established in practice for a gravel bed stream and, if so, whether the critical shear stress or the critical unit discharge model is the better predictor. To achieve this objective, we used unique bed load sampling methods which capture only the coarse fractions. A secondary objective was to explore the relative merits of alternative variables in flow competence modeling, such as the mean of the three largest particles, and percentiles of the bed load grain size distribution. We also investigated the sensitivity of flow competence models to estimates of the stream bed surface size distribution (especially the  $D_{50}$ ), and address the issue of variability in sample mass in the bed load data set.

## 2. Flow Competence Models

[5] Intuitively, the concept of flow competence [Gilbert and Murphy, 1914] suggests that stream power limits the maximum particle size that a given flow can transport. Simply put, larger heavier particles require greater stream power before they are entrained than smaller, more lightweight particles, such that relationships can be established between flow and the maximum particle size in motion. We present in Figure 1 a conceptual model of flow competence, which is combined with the two-phase conceptual model of bed load transport proposed by Jackson and Beschta [1982]. Both of the relationships (Figures 1a and 1b) show that a threshold flow or shear stress must be exceeded before bed material is mobilized in phase I transport. Tendency toward selective transport or equal mobility can be illustrated through the different shapes of the flow competence curves. In Figure 1a, mobilization of riffle

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**Figure 1.** Conceptual illustration of size selective transport (a) versus a combination of selective and equal mobility (b) for bed load transport in heterogeneous sediments. The transition from phase 1 to phase 2 transport occurs when the flow competence curves cross the dashed line.

sediments in phase 2 transport may potentially be accompanied by a change in slope of the flow competence curve. Increasing discharge or shear stress results in progressively larger bed material percentiles being entrained. A more discontinuous relationship for flow competence is proposed in Figure 1b. Particle interactions during entrainment of the riffle sediments cause the majority of the bed material size distribution to be mobilized over a relatively narrow range of flow. In this situation, prediction of stream bed mobility is simplified to the identification of this narrow range of flow or threshold flow. Recent work by *Ryan et al.* [2005] has shown that the transition from phase 1 to phase 2 bed load transport occurs, on average, at about 80 per cent of the bankfull (1.5-year return interval) discharge, and that movement of the  $D_{16}$  to  $D_{25}$  grain size of the bed surface seems to correspond with the onset of phase 2 transport.

[6] Several potential problems have been identified which may limit our ability to quantify flow competence as presented in Figure 1. Flow competence curves based upon the maximum particle size entrained have been criticized, because characterizing the transported sediments by single or relatively few large particles may be unduly influenced by outliers and sampling errors [Wilcock, 1992]. Additionally, estimates of flow competence based on  $D_{max}$  may be sensitive to the effect of variability in sample mass, a common feature in gravel bed streams with unsteady transport rates [Wilcock, 1992, 2001]. While we acknowledge these difficulties, it has been shown that maximum particle sizes are an integral part of the overall bed load size distribution, responding clearly to changing flow hydraulics [Komar and Carling, 1991].

[7] The flow components in flow competence analyses can be quantified through either shear stress or unit discharge. In the following section we introduce these two approaches, and describe how they may be applied in practice.

### 2.1. Critical Shear Stress

[8] Flow competence analyses are most commonly based on relationships between flow shear stress exerted at the stream bed and the maximum particle size entrained in bed load transport. The Shields criterion [Shields, 1936] is the

most widely used method of predicting thresholds in bed load initiation:

$$\theta_{ci} = \tau_{ci} / (\rho_s - \rho)gD_i \quad (1)$$

where  $\theta_{ci}$  and  $\tau_{ci}$  are, respectively, the Shields dimensionless parameter and critical shear stress to entrain a particle of diameter  $D_i$  (m),  $\rho_s$  and  $\rho$  are the densities of sediment and water respectively ( $\text{kg/m}^3$ ), and  $g$  is the acceleration due to gravity ( $\text{m/s}^2$ ). Mean cross-sectional shear stress,  $\tau$  ( $\text{N/m}^2$ ), is most easily estimated using Du Boys formula:

$$\tau = \rho gRS \quad (2)$$

where  $\rho$  is the fluid density ( $\text{kg/m}^3$ ),  $g$  the acceleration due to gravity ( $\text{m/s}^2$ ),  $R$  the hydraulic radius (m), and  $S$  the water surface slope.

[9] Work in gravel bed rivers has shown that the critical shear stress varies as a function of both absolute particle size  $D_i$  and the relative size  $D_i/D_{50}$  [White and Day, 1982; Parker et al., 1982; Andrews, 1983; Komar, 1987]. The importance of relative particle size is attributed to the hiding/exposure effect. Larger-than-average particles are relatively easier to move because of exposure, and smaller-than-average particles are relatively more difficult to move because of hiding. Andrews [1983] described the effects of hiding and exposure on critical shear stress via the Shields dimensionless parameter:

$$\theta_{ci} = \theta(D_i/D_{50})^x \quad (3)$$

where  $D_{50}$  is the median particle size of the bed material,  $\theta$  represents the Shields dimensionless parameter for the surface  $D_{50}$ , and the exponent  $x$  indicates the rate at which  $\theta_{ci}$  diminishes as  $D_i$  increases. Theoretically, values of  $\theta$  and  $x$  may be assumed from the literature [e.g., Komar, 1989; Petit, 1994], allowing the critical dimensionless shear stress in (3) to be calculated and used in (1) to determine the critical shear stress for entrainment of a given particle size. Equation (2) can be rearranged to determine the critical hydraulic radius or depth for entrainment, which can then be translated into a critical discharge through a stage discharge

curve. In practice, however, appropriate values of  $\theta$  and  $x$  are not known a priori for any given stream site, and there is no algorithm from which to predict appropriate values.

[10] Values for  $x$  are negative, but for reasons of simplicity are often reported as positive values. Reported values range from  $-0.65$  to  $-1.0$  [Andrews, 1983; Ashworth and Ferguson, 1989; Komar, 1989; Parker et al., 1982; Whitaker and Potts, 1996]. Values close to  $-1.0$  suggest that the critical stress to mobilize a particle depends more on particle size relative to the  $D_{50}$  than actual size. In the extreme case of  $x = -1.0$ , all particle sizes present will move at the same critical stress or discharge demonstrating equal mobility in entrainment [Parker and Klingeman, 1982]. There is consensus that equal mobility is reached at high excess stresses and transport rates, but there is disagreement concerning the range of flows for which entrainment is size-selective [Bunte, 1992a, 1992b; Komar and Shih, 1992].

[11] One reason for the wide range of values obtained for the exponent  $x$  is that the critical flow for the entrainment and transport of a given particle size has been defined in two different ways. In one technique, the maximum particle size sampled in transport at different flows is used to define the critical flow condition for mobilization. Similarly, if tracers are placed in the channel, the peak flow in a given flood is defined as critical for the largest particle entrained in that flood, provided larger immobile sizes are present in the channel [Carling, 1983; Komar, 1987; Ashiq and Bathurst, 1999]. An alternative technique examines the relative transport rates of the different grain-size fractions, and the threshold of motion for each size fraction is defined as the flow which produces a small reference transport rate [Parker et al., 1982; Wilcock and Southard, 1988]. This often involves extrapolation of the measured transport discharge rating curve, and hence can be in error if there are discontinuities in this relationship. The technique using maximum sampled particle size may also be in error as bed load sampling is generally undertaken with sample times insufficient to capture the maximum particle size [Wilcock, 1988].

[12] Several practical disadvantages have been documented in applying the critical shear stress approach, especially for steep mountain rivers [Bathurst et al., 1987]. To estimate the hydraulic radius requires accurate information on the channel cross section and flow depth during flood flows. Hydraulic radius is often approximated by mean flow depth when the width to depth ratio is high. However, active scour and deposition or the movement of bed forms during intense bed load transport leads to errors in estimating the channel cross section and mean flow depth [Bathurst et al., 1987].

[13] A further theoretical problem is caused by the fact that total shear stress has two separate components owing to (1) grain resistance over the stream bed surface and (2) bed form resistance related to the shape of the stream bed and banks. In theory, only the shear stress due to grain resistance should be considered in the transport of bed load, but in practice the division of total shear stress into these two components remains problematic. Therefore in most cases, authors have related total shear stress to particle entrainment in deriving flow competence relationships. This approximation is reasonable in relatively wide and shallow channels where bank effects are negligible and grain resistance is the

dominant shear stress component [Hey, 1979], but in relatively narrow channels a significant proportion of total shear stress is taken up in overcoming bed form resistance [Carling, 1983].

## 2.2. Critical Unit Discharge

[14] An alternative entrainment criterion follows the Schoklitsch approach [Schoklitsch, 1962, p. 174] which is based on the water discharge per unit flow width rather than on the mean shear stress exerted by the flow. Bathurst et al. [1987] proposed the following equation to predict entrainment of individual size fractions, with adjustment necessary for the hiding and exposure effects:

$$q_c = 0.15g^{0.5}D^{1.5}S^{-1.12} \quad (4)$$

where  $q_c$  is the critical unit discharge ( $m^2/s$ ) needed to entrain a particle of diameter  $D$  (m),  $g$  is acceleration due to gravity ( $m/s^2$ ), and  $S$  is the water surface slope. The above semiempirical equation is based on flume experiments using uniform sediments ranging 3–44 mm in size, and slopes of 0.25–20%. Bathurst [1987] used this equation to predict entrainment of the reference particle size in heterogeneous stream bed gravels. The reference size is the particle diameter which is unaffected by any hiding or exposure, as in the case of a uniform size bed. The hiding and exposure effects are then modeled in the same form as equation (3):

$$q_{ci} = q_{cr}(D_i/D_r)^b \quad (5)$$

where  $q_{ci}$  is the critical unit discharge for entrainment of a given particle size,  $q_{cr}$  is the critical unit discharge for the reference size calculated from (4), and  $b$  is an exponent. Bathurst [1987] proposed the following relationship to estimate the exponent  $b$  in equation (5):

$$b = 1.5(D_{84}/D_{16})^{-1} \quad (6)$$

where  $D_{84}$  and  $D_{16}$  refer to the stream bed surface. For uniform sediments  $b$  assumes a value of 1.5, and for widely ranging particle sizes (large ratio  $D_{84}/D_{16}$ ),  $b$  tends toward zero. A smaller value for  $b$  will be obtained when a relatively narrow range of discharge entrains all available particle sizes, and indicates a tendency toward greater equal mobility in the initiation of bed load transport.

[15] The unit discharge criterion may be more suitable in steep mountain rivers with boulder beds, where individual particles extend through a significant portion of the flow depth, or even extend above the water surface [Bathurst, 1987; Bathurst et al., 1987]. Under such conditions the assumptions in the shear stress approach are violated, and it is easier to define flow discharge than mean shear stress. In gravel bed streams with slope of about one percent or more, a case may be made for the use of either shear stress or unit discharge entrainment criteria.

[16] Differences in the arrangement and mix of particle sizes also play a significant role in determining the critical stress or flow required to initiate movement of particles on the stream bed [Reid et al., 1985; Powell and Ashworth, 1995], so that for any given particle size, the critical flow can vary several fold, even after allowance for the hiding/exposure effect. This unpredictable variation in the critical

flow condition required to mobilize stream bed sediments hinders the application of either the shear stress or unit discharge criteria.

### 3. Study Area

[17] Dupuyer Creek originates east of the Continental Divide in the Sawtooth Range of Montana's Rocky Mountain Front, a tributary of the Missouri River (Figure 2a). Stream gauging and bed load sampling were carried out approximately 8 km from the mountain front, giving a catchment area of 83 km<sup>2</sup> [Whitaker and Potts, 2007]. The seasonal flow regime is dominated by May and June floods caused by frontal rainfall and snowmelt, and throughout the rest of the year low flow conditions are common. Above the sampling site the channel is meandering single thread in an alluvial valley, with alternate, transverse, and midchannel bars, and sequences of riffles and pools. Bankfull width is 9 m, mean slope is 1.0 percent, and channel bed materials are predominantly gravels and cobbles with a surface D<sub>50</sub> of 56 mm at the riffle sampling point. Wolman pebble counts [Wolman, 1954] in three different areas of the riffle where bed load was sampled showed that the D<sub>50</sub> ranged from 45–63 mm, and the D<sub>84</sub> ranged from 100–112 mm. At the reach scale (76% riffle, 24% pool), a stratified pebble count encompassing five riffles and five pools upstream from the sampling bridge showed the D<sub>50</sub> to be 42 mm. High sediment supply and channel bed mobility is evidenced by the absence of an obvious coarse armor layer, loose arrangement of particles, smooth and rounded particle shapes, and freshly deposited bars containing the full range of available particle sizes. Widespread bank erosion and channel migration also indicate that bed load transport is unlimited by the supply of sediment.

## 4. Methods

### 4.1. Sampling of Maximum Bed Load Sizes

[18] Classic flow competence criteria relate streamflow parameters to a maximum particle size that can be entrained. In validating such flow competence criteria it is essential that the largest particles in motion on the stream bed can be efficiently sampled, and related to flow conditions at the time of sampling. Helley-Smith type bed load samplers [Helley and Smith, 1971] have been designed to capture the finer bed load fractions, and they are incapable of capturing the maximum particle sizes in motion when these sizes approach or exceed the sampler nozzle size in coarse gravel channels [Custer, 1992; Sterling and Church, 2002; Vericat et al., 2006]. Pit traps may be effective in capturing the maximum particle sizes [e.g., Reid et al., 1985] although integrated samples are generally obtained over a complete flood event making it difficult to link critical flows with specific particle sizes. This investigation used a large-frame (0.45 m by 1 m) bed load sampler with a large mesh size (32 mm) to capture coarse particles in motion over discrete periods of the flood hydrograph (Figure 2b). This type of sampler was first used by Bunte [1992a, 1996], and aspects of the sampler design and operation are discussed by Whitaker and Potts [2007]. The fine bed load fraction, and total rates of bed load flux cannot be measured with a large mesh size, but this compromise was necessary when

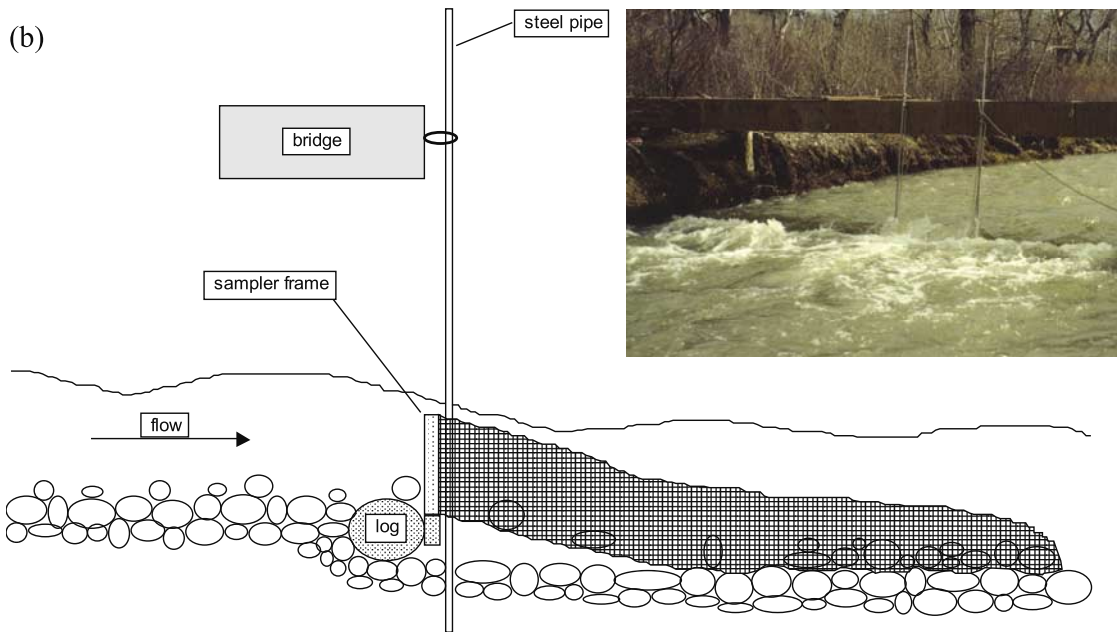
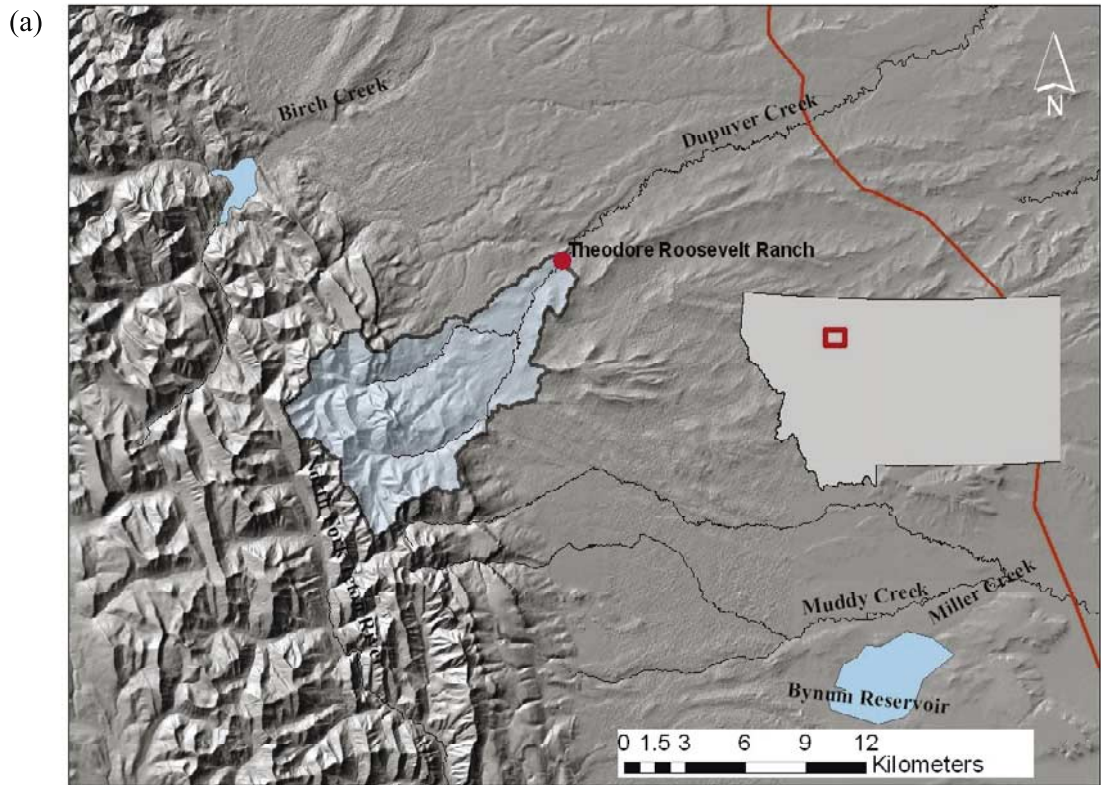
the primary purpose of sampling was to investigate coarse bed load characteristics. Trap efficiency was assumed to be 100 percent for particles 38 mm or larger in the *b* axis dimension and the data were truncated at this size (equivalent to D<sub>30</sub> on stream bed surface at midriffle sampling point). The truncation at 38 mm (mesh size plus 6 mm) was dictated in part by the available sieve sizes. All bed load samples were hand sieved in the field with Gilson screens of 76, 64, 51, and 38 mm sizes, and the *b* axis of particles larger than 76 mm measured by ruler to the nearest mm.

[19] A total of 120 individual bed load samples was obtained during two spring runoff events at Dupuyer Creek (Table 1). Sample duration ranged from 1 minute during peak bed load activity, to 60 minutes during marginal bed load activity, with a mean sample size of 21 kg and a maximum of 116 kg (respectively 40 kg and 242 kg before truncation at 38 mm). As found in previous studies, bed load transport was unsteady with sediment moving in pulses or waves, even during steady flow conditions [e.g., Leopold and Emmett, 1976; Ergenzinger and Custer, 1983; Reid et al., 1985; Iseya and Ikeda, 1987; Kuhnle and Southard, 1988; Dinehart, 1989; Gomez et al., 1989]. A single sample may not contain the largest particles in motion under the prevailing flow conditions. Therefore the data were analyzed by grouping sequential individual samples into composite samples (hereafter referred to as sample groups) over periods of 1–3 hours during which discharge remained relatively steady ( $\pm 5\%$ ), giving total sample times of between 8 and 140 minutes (Table 2). To test the flow competence criteria, the mean flow condition during each sample group period was plotted against the maximum particle size (and the mean of the three largest particle sizes). By grouping individual samples into sample groups, we effectively increased the sample duration to improve our chances of capturing the largest particles in motion at any given level of shear stress or discharge. The grouping of samples is also discussed in Whitaker and Potts [2007] which describes trends in the fractional transport of bed load at Dupuyer Creek.

### 4.2. Estimation of Hydraulic Parameters

[20] Flow velocities were measured with a Price AA current meter. When flows could be waded, the current meter was attached to a wading rod with readings taken every 30 cm across the channel. At high flows, the current meter was attached above a 14 kg sounding weight and operated using a cable and hand reel from the sampling bridge (Figure 2b). Flow depths were less than 0.7 m, so that velocities could be measured at 0.6 times depth from the surface to give an estimate of average velocity at each vertical [Rantz et al., 1982]. To confirm the stage-rating curve, discharge was measured on each occasion that bed load samples were taken.

[21] At the sampling point, the channel cross section is relatively wide and shallow (trapezoidal) so that when using the Du Boys equation (2) to estimate mean cross-sectional shear stress, the hydraulic radius can be approximated by mean flow depth ( $R = 0.97d$  when bed load activity marginal, and  $0.95d$  for maximum sampled flow). Mean flow depth should be calculated only across that portion of the channel bed which is active in transporting bed load [Andrews, 1983]. At Dupuyer Creek, bed load was detected



**Figure 2.** (a) Location of Dupuyer Creek on the Rocky Mountain Front of Montana. Watershed boundary is defined for the gauging station and point of bed load sampling, located at the Theodore Roosevelt Ranch. (b) Schematic illustration of large-frame bed load sampler with inset photograph showing deployment during low flow conditions.

across almost the entire width, and only the outer meter against each bank was considered inactive and excluded from the mean depth calculations (for a discussion on lateral variation in shear stress, see *Ferguson [2003]*).

[22] Water surface slope is an important hydraulic variable, which is rarely measured in space and time at field sites during sediment transport [*Prestegard, 1983; Meirovich et al., 1998*]. We tried the “slope tube” technique [*Custer,*

**Table 1.** Three Largest Particle Sizes Together With Sample Mass (>38 mm Fraction) and Flow Conditions for Each of the 120 Individual Bed Load Samples Obtained in the May and June Floods, 1995<sup>a</sup>

Sample ID	Mass, kg	Largest Bed Load <i>b</i> Axes, mm				$\tau$ , N/m <sup>2</sup>	$q$ , m <sup>2</sup> /s
		D <sub>max</sub>	Second	Third	Mean		
1	10.6	120				57.2	1.02
2	4.9	100				56.9	1.01
3	5.7	90				56.4	0.99
4	28.6	135				56.1	0.98
5	25.8	104				55.9	0.97
6	34.7	134				55.6	0.96
7	17.0	90				55.3	0.95
8	115.7	155	130		143	55.3	0.91
9	49.9	120	116	95	110	55.3	0.91
10	62.0	105	103	98	102	55.3	0.91
11	2.0	70	60		65	55.0	0.90
12	100.9	125	110	110	115	55.0	0.90
13	12.2	110	100	74	95	55.0	0.90
14	8.2	75	74	64	71	55.0	0.90
15	5.2	74	60	54	63	55.0	0.90
16	18.4	131	80	67	93	55.0	0.90
17	12.3	89	80	75	81	53.7	0.85
18	18.1	78	68	68	71	53.7	0.85
19	8.8	70	65	65	67	54.5	0.88
20	24.6	117	98	85	100	54.0	0.86
21	35.9	175	100	95	123	54.0	0.86
22	21.8	76	72	69	72	53.4	0.84
23	49.2	124	100	100	108	53.4	0.84
24	12.7	80	73	66	73	54.8	0.89
25	22.3	106	95	80	94	54.8	0.89
26	35.3	105	93	90	96	54.8	0.89
27	22.6	98	87	87	91	55.0	0.90
28	6.5	76	76	71	74	52.3	0.81
29	6.3	75	75	58	69	52.3	0.81
30	49.2	109	93	86	96	52.3	0.81
31	26.9	85	83	80	83	52.1	0.80
32	4.9	68	64	62	65	52.3	0.81
33	9.1	88	74	70	77	52.3	0.81
34	20.0	85	80	70	78	51.8	0.79
35	20.1	123	87	85	98	51.0	0.76
36	10.4	86	84	75	82	51.5	0.78
37	2.8	64	62	58	61	51.0	0.76
38	21.0	89	88	79	85	51.5	0.78
39	6.9	70	70	61	67	51.8	0.79
40	2.6	59	58	55	57	47.5	0.65
41	1.0	65	52	50	56	47.2	0.64
42	23.9	100	78	75	84	48.0	0.67
43	36.8	90	82	81	84	47.5	0.65
44	0.5	54	50	49	51	47.2	0.64
45	12.6	78	72	70	73	46.9	0.63
46	0.9	58	57	52	56	46.4	0.62
47	7.5	70	65	61	65	46.1	0.61
48	15.6	75	74	65	71	46.4	0.62
49	77.7	94	88	74	85	45.8	0.60
50	4.5	88	80	76	81	45.0	0.58
51	7.3	75	70	66	70	45.3	0.59
52	6.5	82	80	64	75	45.3	0.59
53	5.7	85	70	58	71	45.0	0.58
54	4.0	82				45.3	0.59
55	3.9	103	80	75	86	56.7	0.89
56	29.4	103	99	81	94	57.2	0.91
57	7.6	100	75	70	82	57.5	0.92
58	18.5	120	110	106	112	57.5	0.92
59	1.8	71	60	60	64	57.2	0.91
60	4.7	74	73	64	70	57.7	0.93
61	3.6	108	72	63	81	57.5	0.92
62	31.8	94	88	77	86	58.0	0.94
63	23.8	105	85	82	91	57.7	0.93
64	7.8	115	88	76	93	57.7	0.93
65	16.4	96	88	85	90	58.0	0.94
66	24.0	87	79	75	80	58.0	0.94
67	30.5	103	95	83	94	58.3	0.95

**Table 1.** (continued)

Sample ID	Mass, kg	Largest Bed Load <i>b</i> Axes, mm				$\tau$ , N/m <sup>2</sup>	$q$ , m <sup>2</sup> /s
		D <sub>max</sub>	Second	Third	Mean		
68	5.5	93				58.0	0.94
69	19.0	91	76	72	80	59.1	0.98
70	6.9	89	87	86	87	63.2	1.13
71	5.9	105	89	77	90	62.9	1.12
72	17.4	113	87	82	94	63.7	1.15
73	16.9	100	93	75	89	64.0	1.17
74	1.5	64				64.2	1.18
75	12.6	122	103	67	97	64.0	1.17
76	20.5	120	104	87	104	64.5	1.19
77	48.2	124	120	105	116	65.1	1.21
78	10.0	102	96	89	96	66.1	1.25
79	47.3	146	113	105	121	66.4	1.27
80	24.2	96	91	89	92	66.7	1.28
81	84.7	144	124	120	129	67.2	1.30
82	9.1	110	85	83	93		1.69
83	24.0	98	95	81	91	63.6	1.14
84	5.6	95	90	75	87	62.1	1.09
85	21.8	114	88	77	93	64.4	1.17
86	25.9	107	100	92	100	62.1	1.09
87	15.3	94	94	90	93	62.4	1.10
88	30.1	96	94	91	94	61.8	1.08
89	50.9	127	112	98	112	63.6	1.14
90	21.6	105	86	86	92	63.0	1.12
91	2.8	84	59	59	67	61.8	1.08
92	5.4	67	65	61	64	63.0	1.12
93	32.5	95	85	81	87	62.1	1.09
94	13.3	144	107	84	112	63.6	1.14
95	46.3	110	92	92	98	60.6	1.04
96	21.5	85	71	68	75	53.2	0.84
97	28.8	84	74	74	77	53.8	0.86
98	38.7	121	98	84	101	51.1	0.78
99	37.0	98	89	88	92	52.6	0.82
100	10.4	97	75	68	80	51.7	0.80
101	1.8	62	56	46	55	53.2	0.84
102	5.5	109	65	63	79	51.7	0.80
103	9.4	80	78	69	76	51.7	0.80
104	1.9	66	59	58	61	51.7	0.80
105	46.8	92	87	83	87	52.3	0.81
106	14.7	76	75	74	75	47.3	0.69
107	81.4	95	89	87	90	47.3	0.69
108	10.4	94	69	64	76	47.9	0.70
109	10.2	84	81	76	80	48.8	0.73
110	4.7	75	63	53	64	49.4	0.75
111	10.3	80	65	65	70	49.1	0.74
112	5.7	65	56	56	59	48.5	0.72
113	32.2	95	83	80	86	49.1	0.74
114	57.6	98	90	87	92	48.8	0.73
115	4.5	89	85	69	81	49.7	0.75
116	19.9	87	67	67	74	48.8	0.73
117	25.5	97	95	81	91	48.8	0.73
118	17.0	94	87	86	89	49.7	0.75
119	11.2	100	89	73	87	49.1	0.74
120	20.5	81	73	70	75	49.4	0.75

<sup>a</sup>Shear stress,  $\tau$ , and unit discharge,  $q$ , are mean cross sectional values. Samples 1–54: 6–10 May 1995. Samples 55–120: 6–11 June 1995.

1992; Bunte, 1996] to measure slope during floods, but found that accurate readings were made impossible owing to continual fluctuations in the water level of the raised tube. Therefore surveyed reach average stream bed slope (1.0 percent) was used in all flow competence analyses.

**4.3. Stream Bed Size Distribution**

[23] The particle size distribution of the stream bed surface was sampled by Wolman pebble counts [Wolman, 1954], using a grid spacing of 0.5 m across the bankfull

**Table 2.** Characteristics of the Bed Load Sample Groups Including Particle Sizes and Hydraulic Conditions Used in the Flow Competence Analysis<sup>a</sup>

Group	Samples			Bed Load Particle Sizes, >38 mm fraction, mm				$\tau$ , N/m <sup>2</sup>	q, m <sup>2</sup> /s	Q/Q <sub>bf</sub>
	ID	Time, min	Mass, kg	D <sub>max</sub>	D <sub>max</sub> (3)	D <sub>90</sub>	D <sub>50</sub>			
1	1–7	13	127	135				56.2	0.98	1.15
2	8–10	8	228	155	135	89	59	55.3	0.91	1.11
3	11–19	36	186	131	122	89	50	54.7	0.89	1.08
4	20–26	14	202	175 <sup>b</sup>	122	87	50	54.1	0.87	1.06
5	28–39	26	184	123	108	72	49	51.7	0.79	0.96
6	40–45	28	77	100	91	64	48	47.3	0.65	0.79
7	46–49	56	102	94	86	62	47	46.1	0.61	0.75
8	50–54	140	28	88	85			45.2	0.58	0.71
9	55–69	30	229	120	115	80	53	57.7	0.93	1.22
10	70–81	24	296	146	138	113	60	64.9	1.20	1.58
11	83–95	15	296	144	128	93	55	62.5	1.11	1.56
12	96–105	40	202	121	109	74	50	52.3	0.81	1.12
13	106–114	48	227	98	96	71	50	48.5	0.72	0.97
14	115–120	24	99	100	97	79	52	49.3	0.74	1.00

<sup>a</sup>Bankfull discharge, Q<sub>bf</sub>, estimated as 6.5 m<sup>3</sup>/s with a bankfull width of approximately 9 m.

<sup>b</sup>Removing this outlier (as in D<sub>max(or)</sub>), the value becomes 124 mm.

channel width, and measuring particle *b* axis by ruler to the nearest mm. Two types of pebble count were performed over the study reach. The first type took samples of 100 pebbles each from three zones (upper, middle, and lower riffle) to estimate the size distribution immediately upstream from where bed load was sampled. The second type of pebble count was undertaken to obtain an estimate of the size distribution at the reach scale, sampling over several hundred meters of channel, encompassing five riffles and five pools upstream from the sampling bridge. One thousand particles were measured in this larger-scale stratified pebble count. The pool/riffle ratio was determined through pacing, and the proportions were used to dictate the number of pebbles measured in pools versus riffles.

## 5. Results

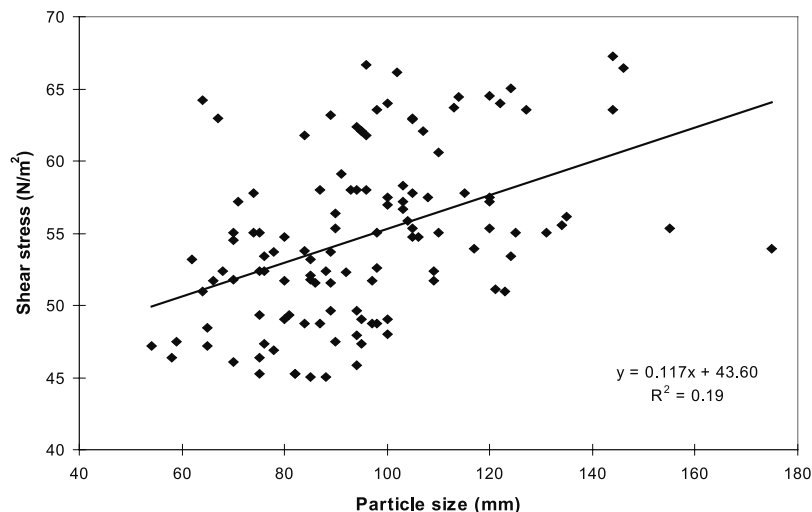
### 5.1. Critical Shear Stress

[24] First, we show the results of applying the critical shear stress method through equations (1) to (3) in the

evaluation of flow competence. We present the results for flow competence as defined by maximum particle sizes, followed by an examination of the sensitivity of flow competence relationships to estimated particle sizes, and finally we examine flow competence in terms of the changing caliber of sampled bed load percentiles with varying flow stress.

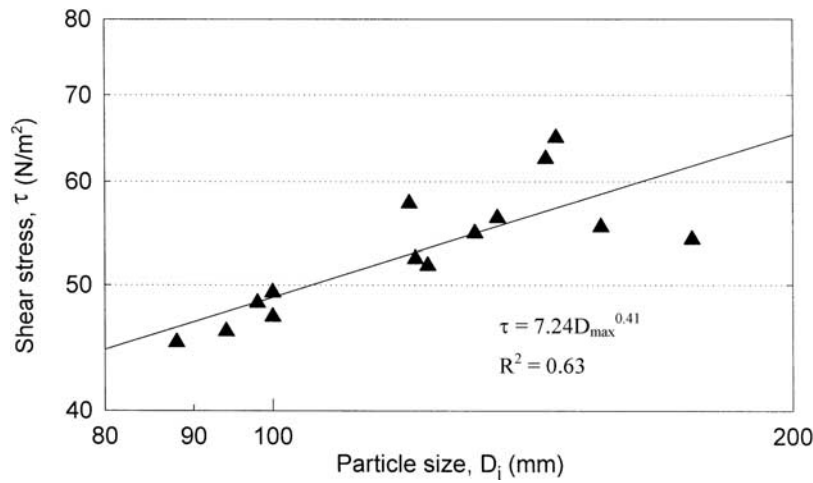
#### 5.1.1. Maximum Particle Size Relationships

[25] When mean cross-sectional shear stress is plotted against the maximum particle size for each individual bed load sample, a wide range of scatter is seen (Figure 3). The pulsing nature of the bed load means that any single sample may not contain the largest particle size capable of being transported under the prevailing flow conditions. The largest particles move in pulses with intervals that often exceed the manageable sample duration. Unsteady transport of coarse bed load, together with the practical constraints on sample sizes which could be removed from the stream bed, necessitated the aggregation of series of smaller individual samples into sample groups (Table 2).



**Figure 3.** Relationship between cross-sectional shear stress and the maximum particle size, D<sub>max</sub>, for the 120 individual bed load samples taken during the two floods of 6–10 May and 6–11 June 1995.





**Figure 4.** Averaged cross-sectional shear stress against the absolute maximum particle size ( $D_{max}$ , not averaged) for each bed load sample group. Scales are log-log, and power regression plots as a straight line.

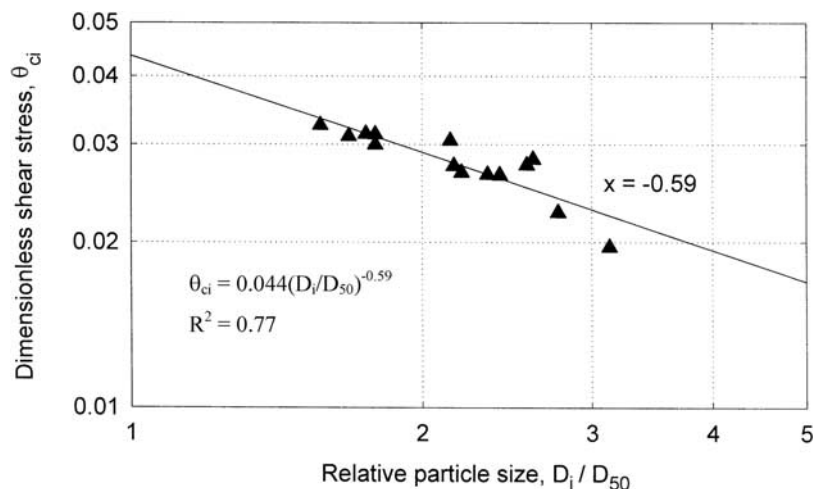
[26] The relationship between the absolute maximum particle size ( $D_{max}$ , not averaged) in each sample group and the mean shear stress shows considerably less scatter (Figure 4). A power regression line was fitted, although for higher shear stresses and particle sizes there is a noticeable increase in the scatter of the data ( $R^2 = 0.63$ ).

[27] Plotting critical dimensionless shear stress against relative particle size computed for the Dupuyer Creek data (Figure 5) shows an inverse relationship similar to the one shown by *Andrews* [1983]. A power regression relationship can be fitted ( $R^2 = 0.77$ ) to determine the slope  $x$  and the coefficient  $\theta$  in equation (3). However, the slope of  $-0.59$  is a little less steep compared to values found in other studies (Table 3, by definition,  $x = \text{Figure 5 slope} = \text{Figure 4 slope} - 1$ ). Reported values of  $x$  range between  $-0.68$  and  $-0.98$ , while the  $-0.59$  for Dupuyer Creek indicates slightly greater size selectivity in bed load entrainment. At Dupuyer Creek the smallest  $D_{max}$  for the sample groups was a relatively large 88 mm, corresponding to a relative particle

size of 1.57. We found that even when coarse bed load flux was marginal on the falling limb of the May flood ( $0.001 \text{ kg/s/m}$  for  $>38 \text{ mm}$  fraction), we were still capturing relatively coarse bed load particles approximately 1.5 times larger than the surface  $D_{50}$  size. Therefore to estimate  $\theta$ , representing the critical dimensionless shear stress coefficient for the surface  $D_{50}$ , required extrapolation of the power equation beyond the sampled data range. The obtained value of 0.044 for  $\theta$  (95% confidence limits: 0.0402, 0.0474) is similar to lower-end values found by researchers on other streams (Table 3).

**5.1.2. Sensitivity to Estimated Particle Sizes**

[28] Flow competence analysis is dependent on accurate estimates of the stream bed size distribution and the maximum particle sizes entrained across a range of flow conditions. The modeling of particle hiding and exposure relies upon a good estimate of the stream bed surface  $D_{50}$ , while the maximum particle sizes captured in bed load sampling ( $D_{max}$ ) are critical in defining the flow competence relationship. In this section, we examine the sensitiv-



**Figure 5.** Critical dimensionless shear stress against relative particle size. The slope of the power regression line gives a value of  $-0.59$  for  $x$  in equation (3). The critical dimensionless shear stress for  $D_{50}$  ( $\theta$  in equation (3)) is estimated to be 0.044 (95% confidence limits: 0.040 and 0.047).

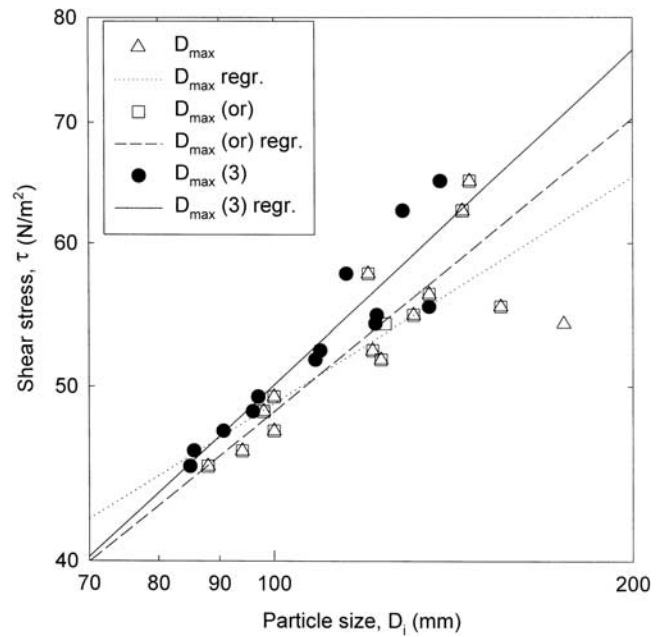
**Table 3.** Values of  $\theta$  and  $x$  in  $\theta_{ci} = \theta(D_i/D_{50})^x$  From *Petit* [1994]

$\theta$	$x$	$D_{50}$ , mm	$D_i/D_{50}$	Reference
0.088	-0.98	1.3–25	0.045–4.2	<i>Parker et al.</i> [1982]
0.083	-0.87	54–74	0.3–4.2	<i>Andrews</i> [1983]
0.045	-0.68	20	0.4–5.9	<i>Milhous</i> [1973] in <i>Komar</i> [1987]
0.045	-0.68	20	0.5–10	<i>Carling</i> [1983]
0.045	-0.71	7.5	0.67–5.33	<i>Hammond et al.</i> [1984]
0.089	-0.74	23–98	0.1–2	<i>Ashworth and Ferguson</i> [1989]
0.047	-0.88	73	0.04–1.2	<i>Ferguson et al.</i> [1989]
0.049	-0.69	18–32	0.15–3.2	<i>Ashworth et al.</i> [1992]
0.044	-0.59	56	1.57–3.13	This study

ity of the parameters in the shear stress criterion to different estimates of these characteristic particle sizes in Dupuyer Creek (Table 4).

[29] We suggest that a surface-area-based pebble count is the correct procedure for estimating the stream bed size distribution and  $D_{50}$  in flow competence analyses. However, there is considerable variability in the exact technique used in the field to select the particles for measurement, and different variants of the pebble count will produce different results [*Marcus et al.*, 1995; *Wohl et al.*, 1996; *Kondolf*, 1997; *Bunte and Abt*, 2001a, 2001b]. At the current time there is little consensus as to which form of pebble count should be employed in a flow competence analysis. Here the result of using a stream bed surface  $D_{50}$  estimate from a reach-scale pebble count (42 mm) is compared with that obtained using a  $D_{50}$  estimate restricted to the riffle where bed load was sampled (56 mm) as shown in Table 4. The reach-scale pebble count gives a finer stream bed size distribution because of the inclusion of finer deposits around pool regions and bar features which are absent from the sampled riffle. However, the resulting values of the critical dimensionless shear stress for the  $D_{50}$  ( $\theta$  in Table 4) are not significantly different at the 95% confidence level.

[30] Difficulty in estimating the surface size distribution ( $D_{50}$ ) is caused not only by the spatial variability, but the potential temporal variability during the passage of a flood hydrograph. Pebble counts undertaken before and after the floods sampled in this study showed that the  $D_{50}$  size decreased from 56 to 48 mm after the second flood. While there is evidence of persistence in the surface size distribution during floods in other settings [*Andrews and Erman*, 1986; *Wilcock and DeTemple*, 2005], it seems highly probable that the  $D_{50}$  is fluctuating in our study riffle in response to the size distribution of sediment supplied from upstream and unsteady flow dynamics [*De Jong and Ergenzinger*, 1992; *De Jong*, 1993; *Ergenzinger et al.*,



**Figure 6.** Flow competence curves of the form  $\tau = aD_i^b$  for  $D_{max}$  = maximum particle size;  $D_{max}$  (or) = maximum size with outlier removed; and  $D_{max}$  (3) = mean of the three largest particle sizes. Regression equations are given in Table 4.

1994]. As described in section 3, Dupuyer Creek is a very dynamic system with poor armor layer development.

[31] When sampling for the maximum particle size in transport there is concern that extreme values and outliers can distort the flow competence relationship and render it unreliable [*Komar and Carling*, 1991; *Wilcock*, 1992]. To address this concern, the mean of the largest three to five particles captured has been used to represent the maximum size in transport [*Carling*, 1983]. In this analysis, flow competence was examined for the following definitions of maximum particle size (Figure 6):

[32]  $D_{max}$  = absolute maximum particle size captured in each sample group.

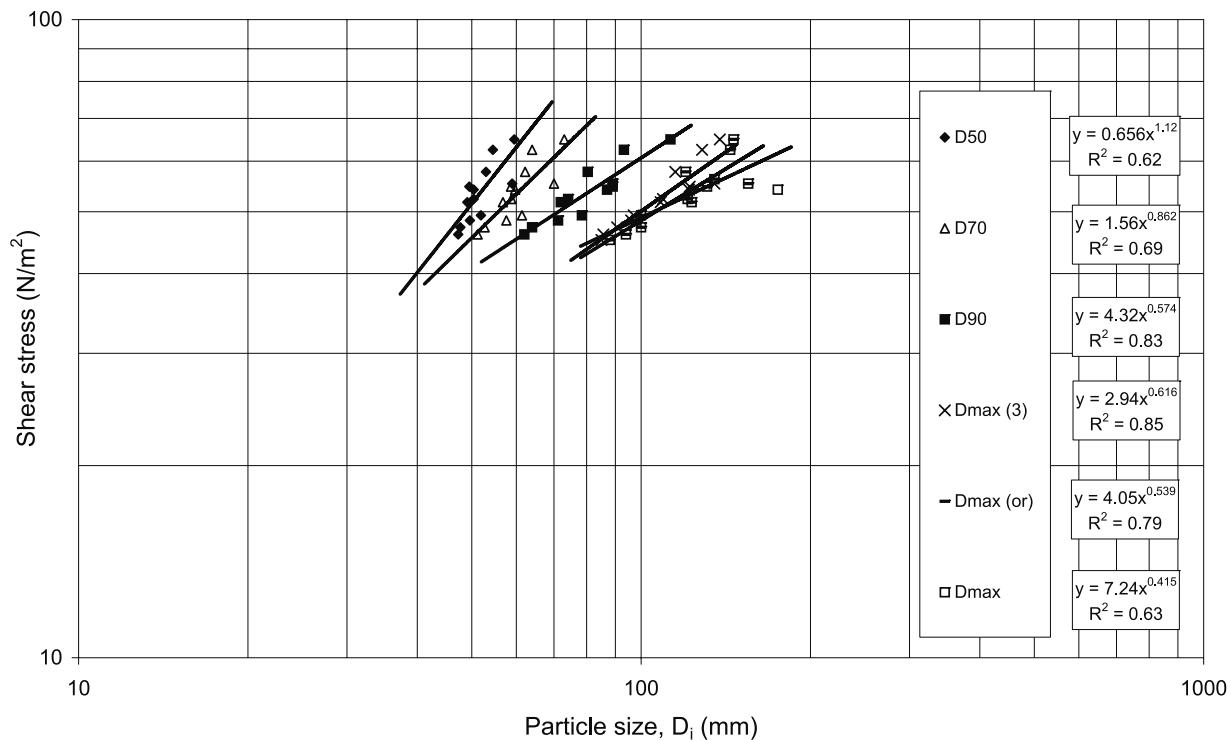
[33]  $D_{max}$  (or) = absolute maximum particle size captured in each sample group, but with the removal of a suspected outlier from one sample group (175 mm particle size in group 4).

[34]  $D_{max}$  (3) = arithmetic mean of the three largest particles captured in each sample group, excluding the suspected outlier.

**Table 4.** Sensitivity of Flow Competence Curves to the Values Assumed for Maximum Size Entrained and Stream Bed  $D_{50}$  in Relationship Between Shear Stress  $\tau$  and Maximum Size Entrained  $D_i$  and Relationship Between Critical Dimensionless Shear Stress  $\theta_{ci}$  and Relative Particle Size  $D_i/D_{50}$ <sup>a</sup>

$D_i$	$\tau = aD_i^b$			$\theta_{ci} = \theta(D_i/D_{50})^x$			
	$a$	$b$	$R^2$	$\theta$ , $D_{50} = 56$ mm	$\theta$ , $D_{50} = 42$ mm	$x$	$R^2$
$D_{max}$	7.24	0.41	0.63	0.044 (0.040, 0.047) <sup>b</sup>	0.052 (0.048, 0.055) <sup>b</sup>	-0.59	0.77
$D_{max}$ (or)	4.05	0.54	0.79	0.040 (0.037, 0.043) <sup>b</sup>	0.046 (0.043, 0.049) <sup>b</sup>	-0.46	0.73
$D_{max}$ (3)	2.94	0.62	0.85	0.040 (0.037, 0.043) <sup>b</sup>	0.045 (0.042, 0.047) <sup>b</sup>	-0.38	0.69

<sup>a</sup>Units for  $\tau$  are  $N/m^2$ ; units for  $D_i$  are mm. Note that by definition of the equations  $\tau = aD_i^b$  and  $\theta_{ci} = \theta(D_i/D_{50})^x$ ,  $b - x = 1$ .  
<sup>b</sup>The 95% confidence limits.



**Figure 7.** Flow competence relationships of the form  $\tau = aD_i^b$  for bed load percentiles  $D_{50}$ ,  $D_{70}$ , and  $D_{90}$ ; maximum particle size,  $D_{max}$ ; maximum size with outlier removed,  $D_{max}$  (or); and mean of the three largest particle sizes,  $D_{max}$  (3). Regression lines are extended  $\pm 10$  mm on the  $x$  axis. Regression equations show that the exponent  $b$  becomes larger (steeper slope) as one moves from the  $D_{max}$  to  $D_{max}$  (3) and again from  $D_{90}$  to  $D_{50}$  percentiles.

[35] Table 4 shows that the relationship between shear stress,  $\tau$ , and maximum particle size,  $D_i$ , improves when first the outlier is removed, and then the mean of the three largest particles is considered. However, the corresponding relationships for the dimensionless shear stress,  $\theta_{ci}$ , show a slight decrease in the R-squared value. The exponent  $x$  in the dimensionless shear stress equation is particularly sensitive to the definition of maximum particle size in transport. Discounting the outlier and taking the mean of the three largest particles results in a fitted flow competence curve with values for the coefficient and exponent even further removed from those obtained by previous workers (Table 3). This is shown in Figure 6 by the steeper regression line for the mean of the three largest particles, compared to the other definitions of maximum particle size.

[36] Flow competence relationships based on the single largest particle in each sample are very sensitive to the influence of outliers. More consistent results may be achieved if the mean of the three largest particles captured is used to model flow competence. Values for the second largest particle size were very close to the mean values of the three largest particles, giving almost identical flow competence relationships. The value of  $\theta$ , the dimensionless shear stress coefficient for the median particle size, is by definition dependent on our estimate of the stream bed surface  $D_{50}$ , but also our estimate of the maximum size entrained as shown in Table 4. However, less certainty can be placed on the estimates of  $\theta$ , compared to  $x$ , because they were derived by extrapolation outside the range of particle sizes sampled.

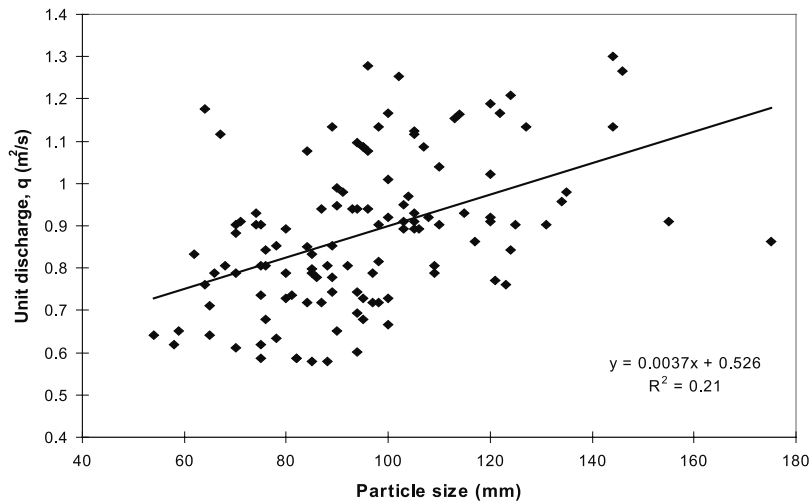
### 5.1.3. Bed Load Percentile Relationships

[37] In determining flow competence, the problems associated with using an extreme value of the transport grain-size distribution have led to the consideration of alternative definitions [Wilcock, 1992]. Flow competence may be defined for the central tendency of the bed load size distribution such as the  $D_{50}$ , or some coarse percentile that is established by a reasonable number of grains. The following formula may be used to examine flow competence curves in terms of the changing caliber of sampled bed load percentiles with varying flow stress:

$$\tau = aD_i^b \quad (7)$$

where  $\tau$  is the shear stress required to bring the bed load percentile  $D_i$  to a given size. This analysis was undertaken with the Dupuyer Creek data by determining bed load size distribution percentiles for each sample group as a whole, ranging from  $D_{50}$  to  $D_{max}$  (here bed load truncated at 38 mm). Regression analysis was used to determine the coefficient  $a$  and exponent  $b$ , and the results are summarized in Figure 7.

[38] The  $D_{max}$  competence curve for the Dupuyer Creek data is similar in terms of the coefficient  $a$  and exponent  $b$  to the reported values for Oak Creek, a rainfall-dominated gravel bed stream in Oregon (Milhous [1973], as analyzed by Komar and Carling [1991]). The bed load percentiles ( $D_{50}$  to  $D_{90}$ ) cannot be directly compared because of the truncation of Dupuyer Creek data at 38 mm. However, we can compare the trends in how the slope exponent,  $b$ , varies



**Figure 8.** Relationship between unit discharge and the maximum particle size,  $D_{\max}$ , for the 120 individual bed load samples taken during the two floods of 6–10 May and 6–11 June 1995.

between bed load percentiles. For Dupuyer Creek, the slope increases toward the lower percentiles, indicating that bed load percentiles closer to the  $D_{50}$  are less sensitive to changes in shear stress compared with higher bed load percentiles. The same trend was found by *Bunte* [1992b, 1996] who measured coarse bed load in a snowmelt-dominated gravel bed stream using a similar sampler to the one we have used. However, the opposite trend is seen in the Oak Creek data [*Komar and Carling*, 1991], and the reason for the different trends may be due to the lack of armoring in Dupuyer Creek compared to Oak Creek (relatively fine subarmor and a  $D_{50}$  of 20 mm). In Oak Creek, increasing flows would release more and more of the subsurface fines, causing the medium size fractions to increase while the coarse sizes remain less changed. In contrast, there is less fine sediment to be released at Dupuyer Creek.

[39] Flow competence curves for Dupuyer Creek show best fit between shear stress and the mean of the three largest particles ( $D_{\max}$  (3) in Figure 7,  $R^2 = 0.85$ ), closely followed by the relationship for coarse bed load  $D_{90}$  ( $R^2 = 0.83$ ). In Oak Creek the pattern is very similar, with lowest  $R$ -squared values for the  $D_{50}$  and  $D_{\max}$  sizes and the best fit for the  $D_{90}$  ( $R^2 = 0.81$ ). This suggests that a coarse bed load percentile such as the  $D_{90}$  or the mean of the three largest particles would be the most suitable parameter to model in flow competence analyses. For Dupuyer Creek these coarse percentiles are also more sensitive to changes in flow stress than the bed load  $D_{50}$ , at least over the range of flows sampled. In other words, changes in flow shear stress produce a greater response in the maximum bed load particle sizes ( $D_{\max}$  (3)) than the median bed load particle size ( $D_{50}$ ).

## 5.2. Critical Unit Discharge

[40] In this section we show the results of applying the critical unit discharge method through equations (4) to (6) in the evaluation of flow competence. As in the previous section, we present the results for flow competence as defined by maximum particle sizes, followed by an examination of the sensitivity of flow competence relationships to estimated particle sizes.

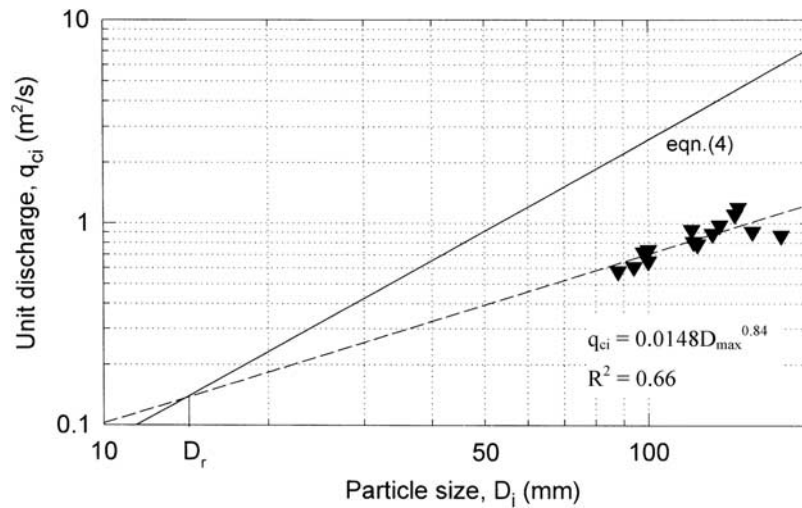
### 5.2.1. Maximum Particle Size Relationships

[41] When unit discharge is plotted against the maximum particle size for each individual bed load sample, a wide range of scatter is seen (Figure 8) similar to the shear stress plot (Figure 3). The relationship between maximum particle size in each sample group and the mean unit discharge shows much less scatter, and a power function is fitted with an  $R$ -squared value of 0.66 (Figure 9). Also plotted in Figure 9 is the empirical relationship for uniform sediments given by equation (4), which *Bathurst* [1987] suggested could be used to predict the critical flow for entrainment of the reference particle size ( $D_{50}$ ) in mixed-sized sediments.

[42] However, for Dupuyer Creek equation (4) does not predict the critical flow for the entrainment of the reference particle size ( $D_{50}$ ), because the intersection of the two relationships at 14 mm is a significant departure from the  $D_{50}$  value of 56 mm. Equation (4) predicts the critical flow for entrainment of the  $D_{50}$  at Dupuyer Creek to be  $1.08 \text{ m}^2/\text{s}$ , whereas we sampled particle sizes as large as the  $D_{90}$  at such flows. By extrapolating our fitted flow competence curve to smaller particle sizes (Figure 10), we predict that the  $D_{50}$  will be entrained at a flow of  $0.43 \text{ m}^2/\text{s}$  (95% confidence limits:  $0.22, 0.65 \text{ m}^2/\text{s}$ ), showing that for Dupuyer Creek coarse bed load is entrained at much lower flows than those predicted by equation (4).

[43] The relationship between critical unit discharge and relative particle size is modeled through regression to determine the exponent  $b$  in equation (5), represented by the line gradient (Figure 10). The  $b$  value of 0.84 obtained for Dupuyer Creek is 2–4 times greater than those values found by *Bathurst* [1987] for the Roaring River, and greater than other reported values summarized by *Ferguson* [1994], indicating greater size selectivity in bed load transport for Dupuyer Creek. The higher gradient in the critical unit discharge particle size relationship is one reason why the relationships in Figure 9 intersect well below the measured  $D_{50}$ . In section 5.2.2 we consider the difference in bed load sampling methods between studies, which has also been shown to influence the slope of flow competence curves [*Bunte et al.*, 2004].

[44] At Dupuyer Creek we found that the value of  $b$  obtained through regression analysis on the data (0.84) was



**Figure 9.** Critical unit discharge against the maximum particle size entrained,  $D_{max}$ , for the sample group data (regression shown). Equation (4) represents the relationship for uniform sediments. The two relationships intersect at 14 mm, which is much finer than the  $D_{50}$  of 56 mm.

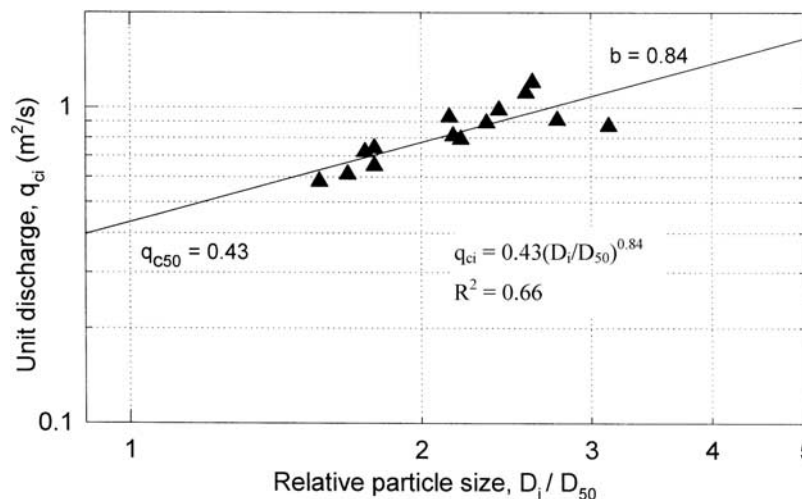
much greater than the value of 0.36 obtained through equation (6). This is likely due to factors other than the  $D_{84}/D_{16}$  sorting ratio which influence the slope of the flow competence curve. Differences in climate, runoff regime, and sediment supply, all influence stream bed characteristics such as the degree of armoring and imbrication. Dupuyer Creek is notable for the high sediment supply, the looseness of the stream bed particles, and the lack of armoring or imbrication. This could produce higher size selectivity by allowing smaller particles to be entrained from the loose matrix, whilst movement of larger particles remains marginal. A tightly packed stream bed, or one that exhibits greater structure, increases the interdependence between particles and narrows the range of discharge over which all particles are entrained. This reasoning is in agreement with the work of Barry *et al.* [2004] in their discussion on the variability of bed load rating curve exponents. The relatively shorter duration of intermediate flows in rain-

fall-dominated climates (i.e., flashier hydrograph) leads to a decrease in the degree of armoring [Laronne and Reid, 1993; Lisle *et al.*, 2000] and greater size selectivity, whereby the stream bed size distribution is entrained over a relatively wider range in discharge.

**5.2.2. Sensitivity to Estimated Particle Sizes**

[45] The sensitivity of parameters in the unit discharge criterion to different estimates of the characteristic particle sizes was also examined for the Dupuyer Creek data (Table 5). Again the result of using a stream bed  $D_{50}$  estimate from a reach-scale pebble count (42 mm) is compared with that obtained using a  $D_{50}$  estimate restricted to the riffle where bed load was sampled (56 mm). Flow competence was examined for the same definitions of maximum particle size as given before in section 5.1.2.

[46] In a similar pattern to the shear stress analysis, the degree of fit of the flow competence curve improves when first the outlier is removed and then the mean of the three



**Figure 10.** Critical unit discharge against relative particle size. Equation (5) is represented by the regression line, with slope  $b = 0.84$ . The critical unit discharge for the surface  $D_{50}$  is estimated to be  $0.43 \text{ m}^2/\text{s}$  (95% confidence limits:  $0.22$  and  $0.65 \text{ m}^2/\text{s}$ ).

**Table 5.** Sensitivity of Flow Competence Curves to the Values Assumed for Maximum Size Entrained and Stream Bed  $D_{50}$  in the Relationship Between Critical Unit Discharge  $q_{ci}$  and Maximum Size in Transport  $D_i^a$

$D_i$	$b$	$R^2$	$D_{50} = 56 \text{ mm}$		$D_{50} = 42 \text{ mm}$	
			$q_{c50}$ , equation (4)	$q_{c50}$ , from Dupuyer Regression	$q_{c50}$ , equation (4)	$q_{c50}$ , from Dupuyer Regression
$D_{max}$	0.84	0.66	1.08	0.43 (0.22, 0.65) <sup>b</sup>	0.70	0.34 (0.12, 0.56) <sup>b</sup>
$D_{max}$ (or)	1.08	0.82	1.08	0.37 (0.21, 0.53) <sup>b</sup>	0.70	0.27 (0.11, 0.43) <sup>b</sup>
$D_{max}$ (3)	1.22	0.89	1.08	0.36 (0.24, 0.49) <sup>b</sup>	0.70	0.26 (0.13, 0.38) <sup>b</sup>

<sup>a</sup>Critical Unit Discharge:  $q_{ci} = q_{c50}(D_i/D_{50})^b$ . Units for  $q_{ci}$  are  $m^2/s$ .

<sup>b</sup>The 95% confidence limits.

largest particles is considered (Table 5,  $R^2$  increases from 0.66 to 0.89). The slope exponent,  $b$ , increases from 0.84 to 1.22 (Figure 11). Estimates of  $b$  are not dependent on our estimate of surface  $D_{50}$ , but they are shown to be very sensitive to how the maximum particle size is defined, and therefore to sampling methods and strategy. The wide range in values for  $b$  in the literature (0.2 to 1.2) may be attributed, in part, to different sampling methods and definitions of  $D_{max}$ , although variability in the grain sorting and packing characteristics of stream channels is likely to play a dominant role.

[47] In terms of  $Q$  versus  $D_{max}$  flow competence curves, we would expect relatively steeper slopes when a Helley-Smith sampler is used, given that the  $D_{max}$  would be underestimated as a result of limited sampler intake size [Sterling and Church, 2002; Vericat et al., 2006] and limited sampling time [Bunte and Abt, 2005]. Bunte et al. [2004] have confirmed the tendency of Helley-Smith samplers to produce steeper  $Q$  versus  $D_{max}$  competence curves when compared with portable bed load traps. However, these sampler effects cannot explain the relatively low values of slope ( $b = 0.2$  to  $0.4$ ) obtained through Helley-Smith sampling in the boulder bed Roaring River [Bathurst, 1987]. In the gravel bed Oak Creek, bed load was sampled using a vortex tube [Milhous, 1973], giving a value of 1.22 for  $b$  [Komar, 1989], which is the same value as found at Dupuyer Creek when maximum particle size is defined by the three largest particles ( $D_{max}$  (3), Table 5).

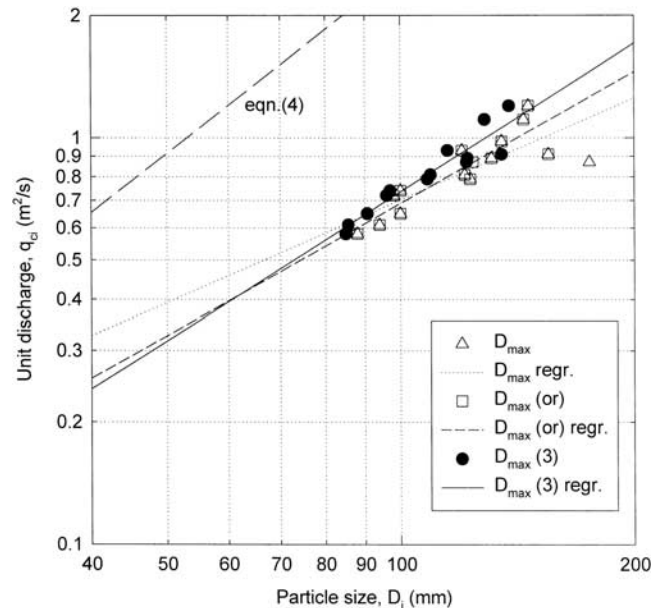
[48] For each definition of maximum particle size assumed at Dupuyer Creek, the observed flow competence curves do not intersect the empirical flow competence curve for uniform sediments even close to the surface  $D_{50}$  (Figure 11). Table 5 shows the large disparity in predicted values for  $q_{c50}$  between equation (4) and the regression fits on the Dupuyer Creek data. Even when we assume a smaller particle size for the  $D_{50}$  value, the estimate from equation (4) lies well outside of the 95% confidence limits for the Dupuyer Creek regression fit.

**5.3. Sample Mass Variability**

[49] Wilcock [1992] criticized the classical concept of flow competence, and suggested the relationships are sensitive to the effect of sample mass that tends to vary widely in bed load samples. In this section we analyze the effects of variable sample mass on flow competence curves. As shown in Table 2, sample mass is highly variable between sample groups. We examine the degree to which flow competence relationships are explained by the fact that larger samples at higher flows have a greater probability of containing the larger particles in the coarse tail of the bed load size distribution.

[50] The Dupuyer Creek bed load data show correlations between sample mass and flow variables, with Pearson correlation coefficients of 0.81 and 0.83 for unit discharge and shear stress respectively (99% significance level). These correlations are inevitable because higher rates of bed load transport during high flows produce larger samples, even when sample time is greatly reduced. The result is that we find significant correlations between sample mass and the caliber of bed load sampled (Table 6).

[51] Partial correlation coefficients are used to determine the true relationship between flow strength and bed load percentiles by controlling for the variability of sample mass. The correlation coefficients are lower when calculated in this way, but many are still significant at the 99 percent level. This indicates that the flow competence relationships derived between flow strength (shear stress and unit discharge) and bed load caliber remain valid even after accounting for bias due to variation in sample mass. Partial correlation coefficients improve greatly when the outlier observation is removed from the  $D_{max}$  data series. Peak coefficient values occur with the bed load  $D_{90}$ , indicating



**Figure 11.** Flow competence relationships of the form  $q_{ci} = aD_i^b$  for  $D_{max}$  = maximum particle size;  $D_{max}$  (or) = maximum size with outlier removed; and  $D_{max}$  (3) = mean of the three largest particle sizes. Equation (4) for uniform sediments is also plotted. Regression equations are given in Table 5.

**Table 6.** Correlation and Partial Correlation Coefficients to Examine the Effect of Sample Mass Variability on Flow Competence Relationships Based on Shear Stress and Unit Discharge

Bed Load Percentile	Pearson Correlation Coefficients			Partial Correlation Coefficients Controlling for Sample Mass	
	Shear Stress	Unit Discharge	Sample Mass	Shear Stress	Unit Discharge
D <sub>50</sub>	0.79	0.81	0.65	0.61 <sup>a</sup>	0.67
D <sub>60</sub>	0.80	0.82	0.70	0.53 <sup>a</sup>	0.59 <sup>a</sup>
D <sub>70</sub>	0.82	0.85	0.73	0.55 <sup>a</sup>	0.63 <sup>a</sup>
D <sub>75</sub>	0.85	0.87	0.74	0.61 <sup>a</sup>	0.69
D <sub>80</sub>	0.85	0.88	0.70	0.68 <sup>a</sup>	0.76
D <sub>90</sub>	0.91	0.94	0.76	0.78	0.87
D <sub>95</sub>	0.86	0.88	0.70	0.72	0.78
D <sub>max</sub> (3)	0.91	0.92	0.84	0.66	0.70
D <sub>max</sub> (or)	0.87	0.88	0.74	0.67	0.71
D <sub>max</sub>	0.73	0.74	0.65	0.45 <sup>a</sup>	0.48 <sup>a</sup>

<sup>a</sup>At the 95% significance level (one-tailed test); all other coefficients are at the 99% significance level.

coarse tail variables are more reliable than  $D_{\max}$  for flow competence modeling.

#### 5.4. Comparison of Shear Stress and Unit Discharge Approaches

[52] Plots of critical shear stress and critical unit discharge against maximum sampled bed load sizes both showed good correlations for the sample groups (Figures 4 and 9). For maximum particle sizes or coarse bed load percentiles, flow competence curves were established using dimensionless critical shear stress (equation (3) and Figure 5). Variation in the dimensionless shear stress coefficient  $\theta$  appears to relate to relative particle size in the manner suggested by *Andrews* [1983], although the significance of the hiding and exposure adjustment decreases toward smaller bed load percentiles. For maximum particle size curves, the value derived for  $\theta$  is just within the range of values seen in other studies on gravel bed streams, but the value for the exponent  $x$  appears outside of the range of published values (Table 3), especially when  $D_{\max}$  (3) is taken as the definition of maximum particle size (Table 4). Therefore without coarse bed load sampling to determine suitable values for  $\theta$  and  $x$ , it would be difficult to make accurate predictions of flow competence using the critical shear stress approach.

[53] With the unit discharge criterion a further problem emerges. The method assumes that the critical unit discharge for entrainment of the median particle size in mixed sediments can be estimated by equation (4). However, coarse bed load sampling at Dupuyer Creek showed that equation (4) cannot be applied in this manner. The equation is semiempirical and based on flume experiments using uniform sediments over a limited range of particle sizes (3–44 mm), which may explain why the equation did not give realistic predictions for Dupuyer Creek.

[54] We found coarse bed load percentile sizes and maximum particle sizes were both highly correlated to the flow variables of shear stress and unit discharge, even after sample size effects were removed (Table 6). The difficulty lies in determining suitable values for the coefficients and exponents in the empirical flow competence equations (3) and (5). In the shear stress criterion we must set values for  $\theta$  and  $x$  in equation (3). In the unit discharge criterion we would have to revise equation (4), and set the value for  $b$  in equation (5). In the absence of sampled bed load data for a given gravel bed stream, we can have little confidence in

predicting the prevailing flow competence curves. This study has shown that coarse bed load sampling over two major floods allowed us to establish the coefficient  $\theta$  and exponent  $x$  in the shear stress criterion, within somewhat wide confidence limits due to the small number of sample groups (14) available. However, in attempting to use the unit discharge criterion we found that there were major conceptual problems in the application of equation (4). Therefore the shear stress approach is more suitable for the prediction of flow competence curves at Dupuyer Creek.

## 6. Discussion

[55] The Dupuyer Creek data has been used to demonstrate the relative performances of the critical shear stress and critical unit discharge approaches in modeling flow competence. The purpose of flow competence modeling is to enable the following questions to be addressed for stream channels composed of mixed size sediments:

[56] 1. For maximum particle sizes in transport, (1) What critical flow is required to transport a given particle size? (2) What is the maximum particle size that will be transported at a given flow?

[57] 2. For percentiles in the bed load size distribution, (1) What critical flow is required to bring a given bed load percentile to a given size? (2) What is the size attained for a given bed load percentile at a given flow?

[58] The Dupuyer Creek data shows that flow competence relationships can be formulated and used to answer the above questions. However, it is important to stress that these relationships apply only to the range of flows and particle sizes for which they were developed. Observations of bed load transport behavior in Dupuyer Creek suggest that major discontinuities may exist in flow competence curves. At approximately 0.58 m<sup>2</sup>/s or 45 N/m<sup>2</sup> on the falling limb of the May flood, coarse bed load flux had dropped to a marginal rate (0.001 kg/s/m for >38 mm fraction) and we were just able to operate a handheld 76 mm nozzle Helley-Smith sampler in addition to the large-frame sampler. At a similar flow shortly before, the large-frame sampler (mesh 32 mm) had captured particles up to 88 mm in size, but now no longer captured bed load, while the Helley-Smith captured particles up to 35 mm in size. Although we are comparing the results of two different samplers here, it suggests that the maximum particle size

entrained was suddenly reduced from 88 to 35 mm (surface  $D_{70}$  to  $D_{25}$ ). Further sampling is needed to confirm this bed load behavior at Dupuyer Creek.

[59] Without an intensive bed load sampling scheme, the difficulty is in knowing whether any such discontinuity may occur in the flow competence curve, in addition to the calibration of the coefficient and exponent in the relationship. Our ability to predict flow competence curves in gravel bed streams will be strongly limited by the variability of local stream channel characteristics, and our ability to accurately characterize the stream bed size distribution.

[60] Even when bed load sampling is undertaken, the maximum particle sizes obtained depend on the sampling device used [e.g., Bunte *et al.*, 2004] and the sampling strategy followed. Determination of optimum sample duration remains problematic because of the unsteady and pulsing characteristics of bed load transport [e.g., Bunte and Abt, 2005]. There is currently no consensus on suitable standards for bed load sampling and characterization of stream bed size distributions in the development of flow competence curves, although it has been suggested that a few observations of small transport rates may be the most effective approach to calibrate transport formulae [Wilcock, 2001]. Use of the portable large-frame bed load sampler in this study demonstrates the potential of alternative sampling devices, which are needed to develop, validate, and calibrate flow competence criteria and sediment transport formulae.

## 7. Conclusions

[61] For the gravel bed Dupuyer Creek, flow competence curves can be usefully employed over the range of particle sizes (88–175 mm or surface  $D_{70}$ – $D_{95}$ ) and flows (0.58–1.2  $m^2/s$ ) for which transport was shown to be size selective. Model coefficients and exponents derived for the shear stress criterion are close to the range of values published for other gravel bed streams, although with a slightly lower dependence on relative particle size and the hiding/exposure effect. Equation (4) in the unit discharge criterion could not be used to predict entrainment of the surface  $D_{50}$  size. The equation coefficient (0.15) or exponent for the water surface slope term (–1.12) would need to be redefined. Therefore the shear stress criterion is recommended for estimating flow competence at Dupuyer Creek.

[62] Consideration must be given to the presence of outliers in developing flow competence curves for sampled maximum particle sizes. Removal of a single outlier in the Dupuyer Creek data has a very large effect on the regression relationship for maximum particle sizes. Modeling the mean of the three largest particles sampled,  $D_{max}$  (3), reduces the influence of possible outliers. Additionally, the effects of sample mass variability are much reduced for the  $D_{max}$  (3) variable, suggesting this could be a more suitable variable with which to model flow competence. Coarse bed load grain sizes such as the  $D_{max}$  (3) variable are more sensitive to changes in flow strength than the median bed load grain size, and therefore are superior parameters for flow competence modeling. Significant flow competence relationships have been derived for a wide range of bed load grain sizes from the  $D_{50}$  to  $D_{max}$ . The most useful relationship will depend on the particular problem to which modeling is being applied.

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