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# BRAUER TREES OF UNIPOTENT BLOCKS

DAVID A. CRAVEN, OLIVIER DUDAS, AND RAPHAËL ROUQUIER

ABSTRACT. In this paper we complete the determination of the Brauer trees of unipotent blocks (with cyclic defect groups) of finite groups of Lie type. These trees were conjectured by the first author in [19]. As a consequence, the Brauer trees of principal  $\ell$ -blocks of finite groups are known for  $\ell > 71$ .

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## 1. INTRODUCTION

A basic problem in the modular representation theory of finite groups is to determine decomposition matrices. The theory of blocks with cyclic defect groups that originated with Brauer [5] and was completed by Dade [21], encodes the Morita equivalence class of a block in a planar embedded tree. Its vertices correspond to ordinary irreducible representations, its edges to modular irreducible representations, and the edges containing a given vertex correspond to the composition factors of a modular reduction of the ordinary irreducible representation.

The prospect of determining all Brauer trees associated to finite groups is a fundamental challenge in modular representation theory. In 1984, Feit [32, Theorem 1.1] proved that, up to *unfolding* — broadly speaking, taking a graph consisting of several copies of a given Brauer tree and then identifying all exceptional vertices — the

collection of Brauer trees of all finite groups coincides with that of the quasisimple groups.

For alternating groups and their double covers, the Brauer trees are known [62], and for all but the two largest sporadic groups all Brauer trees are known (see [50] for most of the trees). The remaining quasisimple groups, indeed the ‘majority’ of quasisimple groups, are groups of Lie type  $G(q)$ : if  $\ell$  is a prime dividing  $|G(q)|$  then either  $\ell \nmid q$  or  $\ell \mid q$  — in the latter case, for there to be an  $\ell$ -block with cyclic defect group we must have that  $G/Z(G) = \mathrm{PSL}_2(\ell)$  and the Brauer tree is a line.

Thus the major outstanding problem is to determine the Brauer trees of  $\ell$ -blocks of groups of Lie type when  $\ell \nmid q$ . Conjecturally, all such blocks are Morita equivalent to unipotent blocks ("Jordan decomposition of blocks"). It is known that every block is Morita equivalent to an isolated block of a possibly non-connected reductive group [1], and the case of isolated blocks with cyclic defect is currently under investigation by the first author and Radha Kessar.

Here we complete the determination of the Brauer trees of *unipotent blocks* of  $G(q)$ . We determine in particular the trees occurring in principal blocks. Our main theorem is the following.

**Theorem 1.1.** *Let  $G$  be a finite group of Lie type and let  $\ell$  be a prime distinct from the defining characteristic. If  $B$  is a unipotent  $\ell$ -block of  $G$  with cyclic defect groups then the planar-embedded Brauer tree of  $B$  is known. Furthermore, the labelling of the vertices by unipotent characters in terms of Lusztig’s parametrization is known.*

Theorem 1.1 has the following corollary.

**Corollary 1.2.** *Let  $G$  be a finite group with cyclic Sylow  $\ell$ -subgroups. If  $\ell \neq 29, 41, 47, 59, 71$ , then the (unparametrized) Brauer tree of the principal  $\ell$ -block of  $G$  is known.*

Note that a solution of the Jordan decomposition conjecture for isolated blocks with cyclic defect would extend the previous corollary to all blocks with cyclic defect groups of all finite groups (for  $\ell > 71$  so that no sporadic groups are involved).

A basic method to determine decomposition matrices of finite groups is to induce projective modules from proper subgroups. In the case of modular representations of finite groups of Lie type in non-defining characteristic, Harish-Chandra induction from standard Levi subgroups has similarly been a very useful tool to produce projective modules. Here, we introduce a new method, based on the construction, via Deligne–Lusztig induction, of bounded complexes of projective modules with few non-zero cohomology groups. This is powerful enough to allow us to determine the decomposition matrices of all unipotent blocks with cyclic defect groups of finite groups of Lie type.

In [30], the second and third authors used Deligne–Lusztig varieties associated to Coxeter elements to analyse representations modulo  $\ell$ , where the order  $d$  of  $q$  modulo

$\ell$  is the Coxeter number. Here, we consider cases where that order is not the Coxeter number, but we use nevertheless the geometry of Coxeter Deligne–Lusztig varieties, as they are the best understood, and have certain remarkable properties not shared by other Deligne–Lusztig varieties.

Our main result is the proof of the first author’s conjecture [19], in the case of blocks with cyclic defect groups. That conjecture is about the existence of a perverse equivalence with a specific perversity function. Using the algorithm that determines the Brauer tree from the perversity function [17], the first author had proposed conjectural Brauer trees and proved that his conjecture held in many cases. We complete here the proof of that conjecture.

The methods we use for determining the Brauer trees are a combination of standard arguments and more recent methods developed in [28, 29, 30]. We start with the subtrees corresponding to various Harish-Chandra series, giving a disjoint union of lines providing a first approximation of the tree. The difficulty lies in connecting those lines with edges labelled by cuspidal modules. Many possibilities can be ruled out by looking at the degrees of the characters and of some of their tensor products. These algebraic methods have proved to be efficient for determining most of the Brauer trees of unipotent blocks (see for instance [48, 49]), but were not sufficient for groups of type  $E_7$  and  $E_8$ . We overcome this problem by using the mod- $\ell$  cohomology of Deligne–Lusztig varieties and their smooth compactifications. This is done by analysing well-chosen Frobenius eigenspaces on the cohomology complexes of these varieties and extracting

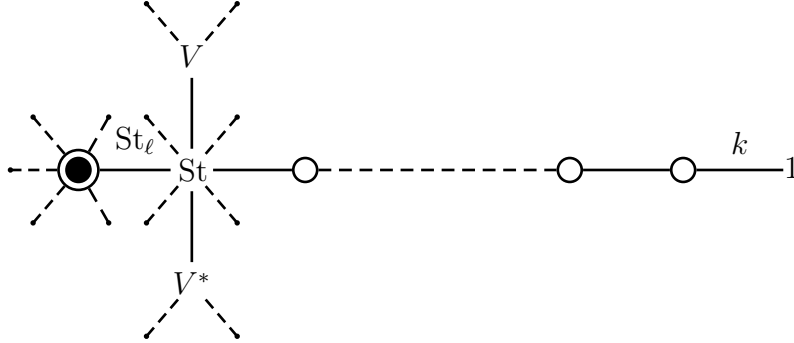
- projective covers of cuspidal modules, giving the missing edges in the tree,
- Ext-spaces between simple modules, yielding the planar-embedded tree.

This strategy requires some control on the torsion part of the cohomology groups, and for that reason we must focus on small-dimensional Deligne–Lusztig varieties only (often associated with Coxeter elements).

The simplest statement is obtained when the order of a Coxeter torus and the order of proper Levi subgroups are prime to  $\ell$ . In that case, we are able to determine part of the tree (Corollary 4.23). The most delicate part is the last statement below. It involves the planar embedding of the tree and unipotent representations corresponding to conjugate eigenvalues of the Frobenius. We show that

- there is a line  $L$  starting with the trivial module  $L_0 = K$ , continuing with  $r$  (=  $\mathbb{F}_q$ -rank of the group) principal series unipotent representations  $L_1, \dots, L_r$ , the last of which  $L_r$  is the Steinberg representation  $\text{St}$ .
- $\text{St}$  is connected to the non-unipotent (usually exceptional) vertex by the edge corresponding to the modular Steinberg module  $\text{St}_\ell$ .
- If a vertex not in  $L$  is connected to  $L$  by an edge, then it must be connected to the Steinberg representation or the non-unipotent vertex.

- The (irreducible) representation  $V$  corresponding to the part of the  $r$ -th cohomology group with compact support of the Coxeter Deligne-Lusztig variety on which the Frobenius acts by an eigenvalue congruent to  $q^r$  modulo  $\ell$  is attached to  $\text{St}$  by an edge. That edge comes after the edge connecting  $\text{St}$  to  $L_{r-1}$  and before the edge connecting  $\text{St}$  to the non-unipotent vertex, in the cyclic ordering of edges around  $\text{St}$ .



We now briefly describe the structure of the article. Section 3 is devoted to general results on unipotent blocks of modular representations of finite groups of Lie type, using algebraic and geometrical methods. In Section 4, we deal specifically with unipotent blocks with cyclic defect groups. After recalling in §4.1 the basic theory of Brauer trees, we consider in §4.2 the local structure of the blocks. In §4.3, we establish general properties of the trees, and in particular we relate properties of the complex of cohomology of Coxeter Deligne–Lusztig varieties with properties of the Brauer tree. A key result is Lemma 4.20 about certain perfect complexes for blocks with cyclic defect groups with only two non-zero rational cohomology groups. In §5 we complete the determination of the trees, which are collected in the appendix. The most complicated issues arise from differentiating the cuspidal modules  $E_8[\theta]$  and  $E_8[\theta^2]$  when  $d = 18$  (§5.2.3) and ordering cuspidal edges around the Steinberg vertex for  $d = 20$  (§5.2.5).

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## 2. NOTATION

Let  $R$  be a commutative ring. Given two elements  $a$  and  $r$  of  $R$  with  $r$  prime, we denote by  $a_r$  the largest power of  $r$  that divides  $a$ . If  $M$  is an  $R$ -module and  $R'$  is a commutative  $R$ -algebra, we write  $R'M = R' \otimes_R M$ .

Let  $\ell$  be a prime number,  $\mathcal{O}$  the ring of integers of a finite extension  $K$  of  $\mathbb{Q}_\ell$  and  $k$  its residue field. We assume that  $K$  is large enough so that the representations

of finite groups considered are absolutely irreducible over  $K$ , and the Frobenius eigenvalues on the cohomology groups over  $K$  considered are in  $K$ .

Given a ring  $A$ , we denote by  $A\text{-mod}$  the category of finitely generated  $A$ -modules, by  $A\text{-proj}$  the category of finitely generated projective  $A$ -modules and by  $\text{Irr}(A)$  the set of isomorphism classes of simple  $A$ -modules. When  $A$  is a finite-dimensional algebra over a field, we identify  $K_0(A\text{-mod})$  with  $\mathbb{Z}\text{Irr}(A)$  and we denote by  $[M]$  the class of an  $A$ -module  $M$ . Given two complexes  $C$  and  $C'$  of  $A$ -modules, we denote by  $\text{Hom}_A^\bullet(C, C') = \bigoplus_{i,j} \text{Hom}_A(C^i, C'^j)$  the total Hom-complex.

Let  $\Lambda$  be either  $k$ ,  $\mathcal{O}$  or  $K$  and let  $A$  be a symmetric  $\Lambda$ -algebra:  $A$  is finitely generated and free as a  $\Lambda$ -module and  $A^*$  is isomorphic to  $A$  as an  $(A, A)$ -bimodule. An  $A$ -lattice is an  $A$ -module that is free of finite rank as a  $\Lambda$ -module.

Given  $M \in A\text{-mod}$ , we denote by  $P_M$  a projective cover of  $M$ . We denote by  $\Omega(M)$  the kernel of a surjective map  $P_M \twoheadrightarrow M$  and we define inductively  $\Omega^i(M) = \Omega(\Omega^{i-1}(M))$  for  $i \geq 1$ , where  $\Omega^0(M)$  is a minimal submodule of  $M$  such that  $M/\Omega^0(M)$  is projective. Note that  $\Omega^i(M)$  is unique up to isomorphism. When  $M$  is an  $A$ -lattice, we define  $\Omega^{-i}(M)$  as  $(\Omega^i(M^*))^*$ , using the right  $A$ -module structure on  $M^* = \text{Hom}_\Lambda(M, \Lambda)$ .

We denote by  $\text{Ho}^b(A)$  and  $D^b(A)$  the homotopy and derived categories of bounded complexes of finitely generated  $A$ -modules. Given a bounded complex of finitely generated  $A$ -modules  $C$ , there is a complex  $C^{\text{red}}$  of  $A$ -modules, unique up to (non-unique) isomorphism, such that  $C$  is homotopy equivalent to  $C^{\text{red}}$  and  $C^{\text{red}}$  has no non-zero direct summand that is homotopy equivalent to 0.

Suppose that  $\Lambda = k$ . We denote by  $A\text{-stab}$  the stable category of  $A\text{-mod}$ , i.e., the additive quotient by the full subcategory of finitely generated projective  $A$ -modules. Note that the canonical functor  $A\text{-mod} \rightarrow D^b(A)$  induces an equivalence from  $A\text{-stab}$  to the quotient of  $D^b(A)$  by the thick subcategory of perfect complexes of  $A$ -modules, making  $A\text{-stab}$  into a triangulated category with translation functor  $\Omega^{-1}$ .

Suppose that  $\Lambda = \mathcal{O}$ . We denote by  $d : K_0(KA) \rightarrow K_0(kA)$  the decomposition map. It is characterized by the property  $d([KM]) = [kM]$  for an  $A$ -lattice  $M$ .

Let  $G$  be a finite group and  $A = KG$ . We identify  $\text{Irr}(A)$  with the set of  $K$ -valued irreducible characters of  $G$ . Given  $\chi \in \text{Irr}(KG)$ , we denote by  $b_\chi$  the block idempotent of  $\mathcal{O}G$  that is not in the kernel of  $\chi$ . We put  $e_G = \frac{1}{|G|} \sum_{g \in G} g$ .

Let  $Q$  be an  $\ell$ -subgroup of  $G$ . We denote by  $\text{Br}_Q : \mathcal{O}G\text{-mod} \rightarrow kN_G(Q)\text{-mod}$  the Brauer functor:  $\text{Br}_Q(M)$  is the image of  $M^Q$  in the coinvariants  $(kM)_Q := k \otimes_{\mathcal{O}Q} M$ . We denote by  $\text{br}_Q : (\mathcal{O}G)^Q \rightarrow kC_G(Q)$  the algebra morphism that is the restriction of the linear map defined by  $g \mapsto \delta_{g \in C_G(Q)} g$ , where  $\delta_{g \in H}$  equals 1 if  $g \in H$  and 0 otherwise.

## 3. MODULAR REPRESENTATIONS AND GEOMETRY

## 3.1. Deligne–Lusztig varieties.

3.1.1. *Unipotent blocks.* Let  $\mathbf{G}$  be a connected reductive algebraic group defined over an algebraic closure of a finite field of characteristic  $p$ , together with an endomorphism  $F$ , a power of which is a Frobenius endomorphism. In other words, there exists a positive integer  $\delta$  such that  $F^\delta$  defines a split  $\mathbb{F}_{q^\delta}$ -structure on  $\mathbf{G}$  for a certain power  $q^\delta$  of  $p$ , where  $q \in \mathbb{R}_{>0}$ . We will assume that  $\delta$  is minimal for this property. Given an  $F$ -stable closed subgroup  $\mathbf{H}$  of  $\mathbf{G}$ , we will denote by  $H$  the finite group of fixed points  $\mathbf{H}^F$ . The group  $G$  is a finite group of Lie type. We are interested in the modular representation theory of  $G$  in non-defining characteristic, so that we shall always work under the assumption  $\ell \neq p$ .

Let  $\mathbf{T} \subset \mathbf{B}$  be a maximal torus contained in a Borel subgroup of  $\mathbf{G}$ , both of which are assumed to be  $F$ -stable. Let  $W = N_{\mathbf{G}}(\mathbf{T})/\mathbf{T}$  be the Weyl group of  $\mathbf{G}$  and  $S$  be the set of simple reflections of  $W$  associated to  $\mathbf{B}$ . We denote by  $r = r_G$  the  $F$ -semisimple rank of  $(\mathbf{G}, F)$ , i.e., the number of  $F$ -orbits on  $S$ .

Given  $w \in W$ , the *Deligne–Lusztig variety* associated to  $w$  is

$$X_{\mathbf{G}}(w) = X(w) = \{g\mathbf{B} \in \mathbf{G}/\mathbf{B} \mid g^{-1}F(g) \in \mathbf{B}w\mathbf{B}\}.$$

It is a smooth quasi-projective variety endowed with a left action of  $G$  by left multiplication.

Let  $\Lambda$  be either  $K$  or  $k$ . Recall that a simple  $\Lambda G$ -module is *unipotent* if it is a composition factor of  $H_c^i(X(w), \Lambda)$  for some  $w \in W$  and  $i \geq 0$ . We denote by  $\text{Uch}(G) \subset \text{Irr}(KG)$  the set of unipotent irreducible  $KG$ -modules (up to isomorphism).

A *unipotent block* of  $\mathcal{O}G$  is a block containing at least one unipotent character.

Given  $\mathbf{P}$  a parabolic subgroup of  $\mathbf{G}$  with unipotent radical  $\mathbf{U}$  and an  $F$ -stable Levi complement  $\mathbf{L}$ , we have a *Deligne–Lusztig variety*

$$Y_{\mathbf{G}}(\mathbf{L} \subset \mathbf{P}) = \{g\mathbf{U} \in \mathbf{G}/\mathbf{U} \mid g^{-1}F(g) \in \mathbf{U} \cdot F(\mathbf{U})\},$$

a variety with a left action of  $G$  and a free right action of  $L$  by multiplication. The *Deligne–Lusztig induction* is defined by

$$R_{\mathbf{L}\mathbf{C}\mathbf{P}}^{\mathbf{G}} : \mathbb{Z}\text{Irr}(KL) \rightarrow \mathbb{Z}\text{Irr}(KG), [M] \mapsto \sum_{i \geq 0} (-1)^i [H_c^i(Y_{\mathbf{G}}(\mathbf{L} \subset \mathbf{P})) \otimes_{KL} M].$$

We also write  $R_L^{\mathbf{G}} = R_{\mathbf{L}\mathbf{C}\mathbf{P}}^{\mathbf{G}}$ . We denote by  ${}^*R_{\mathbf{L}\mathbf{C}\mathbf{P}}^{\mathbf{G}} : \mathbb{Z}\text{Irr}(KG) \rightarrow \mathbb{Z}\text{Irr}(KL)$  the adjoint map. We have  $R_{\mathbf{L}\mathbf{C}\mathbf{P}}^{\mathbf{G}}(\text{Uch}(L)) \subset \mathbb{Z}\text{Uch}(G)$  and  ${}^*R_{\mathbf{L}\mathbf{C}\mathbf{P}}^{\mathbf{G}}(\text{Uch}(G)) \subset \mathbb{Z}\text{Uch}(L)$ .

Let  $w \in W$  and let  $h \in \mathbf{G}$  such that  $h^{-1}F(h)\mathbf{T} = w$ . The maximal torus  $\mathbf{L} = h\mathbf{T}h^{-1}$  is  $F$ -stable. It is contained in the Borel subgroup  $\mathbf{P} = h\mathbf{B}h^{-1}$  with



unipotent radical  $\mathbf{U}$ . In that case, the map  $g\mathbf{U} \mapsto g\mathbf{U}h = gh(h^{-1}\mathbf{U}h)$  identifies  $Y(\mathbf{L} \subset \mathbf{P})$  with the variety

$$Y_{\mathbf{G}}(w) = Y(w) = \{g\mathbf{V} \in \mathbf{G}/\mathbf{V} \mid g^{-1}F(g) \in \mathbf{V}\dot{w}\mathbf{V}\}$$

where  $\mathbf{V} = h^{-1}\mathbf{U}h$  is the unipotent radical of  $\mathbf{B}$  and  $\dot{w} = h^{-1}F(h) \in N_{\mathbf{G}}(\mathbf{T})$ . Furthermore, there is a morphism of varieties

$$Y(w) \rightarrow X(w), \quad g\mathbf{V} \mapsto g\mathbf{B}$$

corresponding to the quotient by  $\mathbf{T}^{wF} \simeq L$ .

**3.1.2. Harish-Chandra induction and restriction.** Given an  $F$ -stable subset  $I$  of  $S$ , we denote by  $W_I$  the subgroup of  $W$  generated by  $I$  and by  $\mathbf{P}_I$  and  $\mathbf{L}_I$  the standard parabolic subgroup and standard Levi subgroup respectively of  $\mathbf{G}$  corresponding to  $I$ . In that case, the maps  $R_{\mathbf{L}_I}^{\mathbf{G}}$  and  $*R_{\mathbf{L}_I}^{\mathbf{G}}$  are induced by the usual Harish-Chandra induction and restriction functors. A  $\Lambda G$ -module  $V$  is *cuspidal* if  $*R_{\mathbf{L}_I}^{\mathbf{G}}(V) = 0$  for all proper  $F$ -stable subsets  $I$  of  $S$ .

The following result is due to Lusztig when  $\mathbf{L}$  is a torus [54, Corollary 2.19]. The same proof applies, using Mackey's formula for the Deligne–Lusztig restriction to a torus.

**Proposition 3.1.** *Let  $\mathbf{L}$  be an  $F$ -stable Levi subgroup of  $\mathbf{G}$  and  $\psi$  an irreducible character of  $L$  such that  $(-1)^{r_G+r_L}R_{\mathbf{L}}^{\mathbf{G}}(\psi)$  is an irreducible character of  $G$ .*

*If  $\psi$  is cuspidal and  $\mathbf{L}$  is not contained in a proper  $F$ -stable parabolic subgroup of  $\mathbf{G}$ , then  $(-1)^{r_G+r_L}R_{\mathbf{L}}^{\mathbf{G}}(\psi)$  is cuspidal.*

*Proof.* Let  $\mathbf{T}$  be an  $F$ -stable maximal torus contained in a proper  $F$ -stable parabolic subgroup  $\mathbf{P}$  of  $\mathbf{G}$ . The Mackey formula (see [22, 7.1]) provides a decomposition

$$*R_{\mathbf{T}}^{\mathbf{G}}R_{\mathbf{L}}^{\mathbf{G}}(\psi) = \frac{1}{|L|} \sum_{\substack{x \in G \\ \mathbf{T} \subset {}^x\mathbf{L}}} *R_{\mathbf{T}}^{x\mathbf{L}}(x\psi)$$

where  $x\psi := \psi \circ \text{ad}x^{-1}$ . Let  $x \in G$  with  $\mathbf{T} \subset {}^x\mathbf{L}$ . By assumption,  ${}^x\mathbf{L} \not\subset \mathbf{P}$ , hence  $\mathbf{T}$  lies in the proper  $F$ -stable parabolic subgroup  ${}^x\mathbf{L} \cap \mathbf{P}$  of  ${}^x\mathbf{L}$ . Since  $\psi$  is cuspidal,  $\psi^x$  is a cuspidal character of  ${}^x\mathbf{L}$ , hence  $*R_{\mathbf{T}}^{x\mathbf{L}}(x\psi) = 0$  by [54, Proposition 2.18]. It follows that  $*R_{\mathbf{T}}^{\mathbf{G}}((-1)^{r_G+r_L}R_{\mathbf{L}}^{\mathbf{G}}(\psi)) = 0$ , hence  $(-1)^{r_G+r_L}R_{\mathbf{L}}^{\mathbf{G}}(\psi)$  is cuspidal by [54, Proposition 2.18].  $\square$

Let  $A = \mathcal{O}Gb$  be a block of  $\mathcal{O}G$ . Let  $\mathbf{P}$  be an  $F$ -stable parabolic subgroup of  $\mathbf{G}$  with unipotent radical  $\mathbf{U}$  and an  $F$ -stable Levi complement  $\mathbf{L}$ . Let  $A' = \mathcal{O}Lb'$  be a block of  $\mathcal{O}L$ . We say that  $A$  is *relatively Harish-Chandra  $A'$ -projective* if the multiplication map  $b\mathcal{O}Ge_Ub' \otimes_{\mathcal{O}Le_U} b'\mathcal{O}Gb \rightarrow \mathcal{O}Gb$  is a split surjection as a morphism of  $(A, A)$ -bimodules. This implies in particular that any projective  $A$ -module is a direct summand of the Harish-Chandra induction of a projective  $A'$ -module.

The first part of the following lemma follows from [24, Proposition 1.11] (see [1, Proposition 3.4.(b)] for the general case of a  $p'$ -solvable group), while the second part is immediate.

**Lemma 3.2.** *Let  $\mathbf{P}$  be an  $F$ -stable parabolic subgroup of  $\mathbf{G}$  with unipotent radical  $\mathbf{U}$  and an  $F$ -stable Levi complement  $\mathbf{L}$ . Let  $Q$  be an  $\ell$ -subgroup of  $L$ .*

*Then  $\mathbf{P} \cap C_{\mathbf{G}}(Q)^\circ$  is a parabolic subgroup of  $C_{\mathbf{G}}(Q)^\circ$  with unipotent radical  $\mathbf{V} = \mathbf{U} \cap C_{\mathbf{G}}(Q)$  and Levi complement  $\mathbf{L} \cap C_{\mathbf{G}}(Q)^\circ$ .*

*Given  $b$  and  $b'$ , block idempotents of  $\mathcal{O}G$  and  $\mathcal{O}L$  respectively, we have an isomorphism of  $(kC_G(Q), kC_L(Q))$ -bimodules  $\mathrm{Br}_{\Delta_Q}(b\mathcal{O}Ge_U b') \simeq \mathrm{br}_Q(b)kC_G(Q)e_V \mathrm{br}_Q(b')$ .*

Let  $D$  be a defect group of  $A$  and let  $\mathbf{H} = C_{\mathbf{G}}^\circ(D)$ . Assume that  $H = C_G(D)$ . Let  $\lambda$  be a character of  $H$  that is trivial on  $Z(D)$  and such that  $\mathrm{br}_D(b)b_\lambda = b_\lambda$ .

The following lemma is a variation on [53, Proposition 4.2].

**Lemma 3.3.** *Let  $\mathbf{P}$  be an  $F$ -stable parabolic subgroup of  $\mathbf{G}$  with unipotent radical  $\mathbf{U}$  and an  $F$ -stable Levi complement  $\mathbf{L}$ .*

*Assume that  $D \leq L$  and let  $\lambda'$  be a character of  $C_L(D)$  such that  $\langle {}^*R_{H \cap L}^H(\lambda), \lambda' \rangle \neq 0$  and such that  $\lambda'$  is the lift to  $C_L(D)$  of a defect zero character of  $C_L(D)/Z(D)$ . Let  $A' = \mathcal{O}b'$  be the block of  $\mathcal{O}L$  of defect group  $D$  such that  $\mathrm{br}_D(b')b_{\lambda'} = b_{\lambda'}$ .*

*Then the block  $A$  is relatively Harish-Chandra  $A'$ -projective.*

*Proof.* Let  $\mathbf{V}$  be the unipotent radical of  $\mathbf{H} \cap \mathbf{P}$  and let  $\mathbf{M} = \mathbf{H} \cap \mathbf{L}$ , a Levi complement of  $\mathbf{V}$  in  $\mathbf{H} \cap \mathbf{P}$ . Note that  $D \subset M = C_L(D)$ .

Recall that  $H = C_G(D)$ . The condition  $\langle {}^*R_{H \cap L}^H(\lambda), \lambda' \rangle \neq 0$  implies that the multiplication map

$$b_\lambda k(H/Z(D))e_V b_{\lambda'} \otimes_{kM/Z(D)} e_V b_{\lambda'} k(H/Z(D))b_\lambda \rightarrow k(H/Z(D))b_\lambda$$

is surjective. It follows from Nakayama's Lemma that the multiplication map

$$b_\lambda kHb_{\lambda'} \otimes_{kM} kHb_{\lambda'} b_\lambda \rightarrow kHb_\lambda$$

is also surjective.

Since  $\mathrm{br}_D(e_U) = e_V$ , the commutativity of the diagram

$$\begin{array}{ccccc}
 kH \otimes_{kM} kH & \xrightarrow{\mathrm{can}} & (kG \otimes_{kL} kG)^{\Delta D} & \xrightarrow{\mathrm{mult}} & (kG)^{\Delta D} \\
 & & \downarrow \mathrm{can} & & \downarrow \mathrm{can} \\
 & & \mathrm{Br}_{\Delta D}(kG \otimes_{kL} kG) & \xrightarrow{\mathrm{Br}_{\Delta D}(\mathrm{mult})} & \mathrm{Br}_{\Delta D}(kG) \\
 & & & & \uparrow \sim \\
 & & & & kH
 \end{array}
 \begin{array}{l}
 \nearrow \mathrm{mult} \\
 \searrow \mathrm{can}
 \end{array}$$

together with Lemma 3.2 shows that the multiplication map induces a surjection

$$\mathrm{Br}_{\Delta D}(bkGe_U b' \otimes_{kL} b'e_U kGb) \twoheadrightarrow \mathrm{Br}_{\Delta D}(bkG).$$

We deduce from [1, Lemma A.1] that the multiplication map gives a split surjective morphism of  $(\mathcal{O}Gb, \mathcal{O}Gb)$ -bimodules  $b\mathcal{O}Ge_U b' \otimes_{\mathcal{O}L} b'e_U \mathcal{O}Gb \twoheadrightarrow b\mathcal{O}G$ .  $\square$

3.1.3. *Complex of cohomology and Frobenius action.* Following [30, Theorem 1.14], given a variety  $X$  defined over  $\mathbb{F}_{q^\delta}$  with the action of a finite group  $H$ , there is a bounded complex  $\tilde{\mathrm{R}}\Gamma_c(X, \mathcal{O})$  of  $\mathcal{O}(H \times \langle F^\delta \rangle)$ -modules with the following properties:

- $\tilde{\mathrm{R}}\Gamma_c(X, \mathcal{O})$  is unique up to isomorphism in the quotient of the homotopy category of complexes of  $\mathcal{O}(H \times \langle F^\delta \rangle)$ -modules by the thick subcategory of complexes whose restriction to  $\mathcal{O}H$  is homotopic to 0;
- the terms of  $\mathrm{Res}_{\mathcal{O}H} \tilde{\mathrm{R}}\Gamma_c(X, \mathcal{O})$  are direct summands of finite direct sums of modules of the form  $\mathcal{O}(H/L)$ , where  $L$  is the stabilizer in  $H$  of a point of  $X$ ;
- the image of  $\tilde{\mathrm{R}}\Gamma_c(X, \mathcal{O})$  in the derived category of  $\mathcal{O}(H \times \langle F^\delta \rangle)$  is the usual complex  $\mathrm{R}\Gamma_c(X, \mathcal{O})$ .

Note that in [30] such a complex was constructed over  $k$  instead of  $\mathcal{O}$ , but the same methods lead to a complex over  $\mathcal{O}$ . Indeed, note first that there is a bounded complex of  $\mathcal{O}(H \times \langle F^\delta \rangle)$ -modules  $C$  constructed in [63, §2.5.2], whose restriction to  $\mathcal{O}H$  has terms that are direct summands of possibly infinite direct sums of modules of the form  $\mathcal{O}(H/L)$ , where  $L$  is the stabilizer in  $L$  of a point of  $X$ . Furthermore, that restriction is homotopy equivalent to a bounded complex whose terms are direct summands of finite direct sums of modules of the form  $\mathcal{O}(H/L)$ , where  $L$  is the stabilizer in  $H$  of a point of  $X$ . One can then proceed as in [30] to construct  $\tilde{\mathrm{R}}\Gamma_c(X, \mathcal{O})$ .

Given  $\lambda \in k^\times$ , we denote by  $L(\lambda)$  the inverse image of  $\lambda$  in  $\mathcal{O}$ . Given an  $\mathcal{O}\langle F^\delta \rangle$ -module  $M$  that is finitely generated as an  $\mathcal{O}$ -module, we denote by

$$M_{(\lambda)} = \{m \in M \mid \exists \lambda_1, \dots, \lambda_N \in L(\lambda) \text{ such that } (F^\delta - \lambda_1) \cdots (F^\delta - \lambda_N)(m) = 0\}$$

the ‘generalized  $\lambda$ -eigenspace mod  $\ell$ ’ of  $F^\delta$ .

The image of  $\tilde{\mathrm{R}}\Gamma_c(X, k)_{(\lambda)}$  in  $D^b(kH)$  will be denoted by  $\mathrm{R}\Gamma_c(X, k)_{(\lambda)}$  and we will refer to it as the generalized  $\lambda$ -eigenspace of  $F^\delta$  on the cohomology complex of  $X$ .

When  $\ell \nmid |\mathbf{T}^{wF}|$ , the stabilizers of points of  $X(w)$  under the action of  $G$  are  $\ell'$ -groups and the terms of the complex of  $\mathcal{O}G$ -modules  $\tilde{\mathrm{R}}\Gamma_c(X(w), \mathcal{O})$  are projective.

**Lemma 3.4.** *Given  $\zeta \in k^\times$ , we have*

$$\mathrm{R}\Gamma_c(X(w), k)_{(q^{-\delta}\zeta)} \simeq \mathrm{R}\Gamma_c(X(w), k)_{(\zeta)}[2] \quad \text{in } kG\text{-stab}.$$

*Proof.* Recall (§3.1.1) that there is a variety  $Y(w)$  acted on by  $G = \mathbf{G}^F$  on the left and acted on freely by  $\mathbf{T}^{wF}$  on the right such that  $Y(w)/\mathbf{T}^{wF} \simeq X(w)$ . Consider the automorphism  $\varphi$  of  $\mathbf{T}^{wF}$  given by the action of  $F^{-\delta}$ . We have a right action of  $\mathbf{T}^{wF} \rtimes \langle \varphi \rangle$  on  $Y(w)$  where  $\varphi$  acts as  $F^\delta$ . We have  $\mathrm{R}\Gamma_c(Y(w), k) \otimes_{k\mathbf{T}^{wF}}^{\mathbb{L}} k \simeq \mathrm{R}\Gamma_c(X(w), k)$ .

Let  $t$  be a generator of the Sylow  $\ell$ -subgroup  $D$  of  $\mathbf{T}^{wF}$  and let  $I = (t-1) \cdot kD$ . We have  $\varphi(t-1) = q^{-\delta}(t-1) \pmod{I^2}$ , hence there is an exact sequence of  $k(D \rtimes \langle \varphi \rangle)$ -modules

$$0 \rightarrow \ker f \rightarrow kD \otimes k_{-q^\delta} \xrightarrow{f} kD \rightarrow k \rightarrow 0$$

where  $k_{-q^\delta}$  is the one-dimensional module with trivial  $D$ -action and where  $\varphi$  acts by multiplication by  $q^{-\delta}$ . The kernel of  $f$  is the socle  $k((1+t+\dots+t^{|D|-1}) \otimes 1)$  of  $kD \otimes k_{-q^\delta}$ . Since  $\varphi$  acts on that line by multiplication by  $q^{-\delta}$ , the exact sequence above gives a  $\varphi$ -equivariant distinguished triangle  $k \rightarrow k_{q^{-\delta}}[2] \rightarrow C \rightsquigarrow$  in  $D^b(k\mathbf{T}^{wF})$ , where  $C$  is perfect.

Applying  $\mathrm{R}\Gamma_c(Y(w), k) \otimes_{k\mathbf{T}^{wF}}^{\mathbb{L}} -$ , we obtain a distinguished triangle in  $D^b(kG)$ , equivariant for the action of  $F^\delta$

$$\mathrm{R}\Gamma_c(X(w), k) \rightarrow \mathrm{R}\Gamma_c(X(w), k) \otimes k_{q^{-\delta}}[2] \rightarrow C' \rightsquigarrow,$$

where  $C'$  is perfect. The lemma follows by taking generalized  $q^{-\delta}\zeta$ -eigenspaces.  $\square$

**3.1.4. Simple modules in the cohomology of Deligne–Lusztig varieties.** By definition, every simple unipotent  $kG$ -module occurs in the cohomology of some Deligne–Lusztig variety  $X(w)$ . If  $w$  is minimal for the Bruhat order, this module only occurs in middle degree. This will be an important property to compute the cohomology of  $X(w)$  over  $\mathcal{O}$  from the cohomology over  $K$ . Let us now recall the precise result [3, Propositions 8.10 and 8.12]. We adapt the result to the varieties  $X(w)$ .

Recall that there is a pairing  $K_0(kG\text{-proj}) \times K_0(kG\text{-mod}) \rightarrow \mathbb{Z}$  defined by

$$\langle [P]; [M] \rangle = \dim_k \mathrm{Hom}_{kG}(P, M)$$

for  $P \in kG\text{-proj}$  and  $M \in kG\text{-mod}$ . The Cartan map  $K_0(kG\text{-proj}) \rightarrow K_0(kG\text{-mod})$  is injective and we identify  $K_0(kG\text{-proj})$  with its image. It is a submodule of finite index. In other words, for any  $f \in K_0(kG\text{-proj})$ , there is a positive integer  $N$  such that  $Nf \in K_0(kG\text{-mod})$ . Consequently the pairing above can be extended to a pairing  $K_0(kG\text{-mod}) \times K_0(kG\text{-mod}) \rightarrow \mathbb{Q}$ .

**Proposition 3.5.** *Let  $M$  be a simple unipotent  $kG$ -module and let  $w \in W$ . The following properties are equivalent:*

- (a)  $w$  is minimal such that  $\mathrm{RHom}_{kG}^\bullet(\mathrm{R}\Gamma_c(X(w), k), M) \neq 0$ ;
- (b)  $w$  is minimal such that  $\mathrm{RHom}_{kG}^\bullet(M, \mathrm{R}\Gamma_c(X(w), k)) \neq 0$ ;
- (c)  $w$  is minimal such that  $\langle [\mathrm{R}\Gamma_c(X(w), k)], [M] \rangle \neq 0$ .

Assume that  $w$  is such a minimal element. We have  $\mathrm{Hom}_{kG}(M, \mathrm{H}_c^{\ell(w)}(X(w), k)) \neq 0$ . If  $\ell \nmid |\mathbf{T}^{wF}|$ , then  $\mathrm{Hom}_{D^b(kG)}(\mathrm{R}\Gamma_c(X(w), k), M[-i]) = \mathrm{Hom}_{D^b(kG)}(M, \mathrm{R}\Gamma_c(X(w), k)[i]) = 0$  for  $i \neq \ell(w)$ .

*Proof.* We use the variety  $Y(w)$  as in the proof of Lemma 3.4. Since the stabilizers for the action of  $G$  on  $Y(w)$  are  $p$ -groups (and hence  $\ell'$ -groups), the complex  $\mathrm{R}\Gamma_c(Y(w), k)$  is perfect (see §3.1.3) and therefore  $[\mathrm{R}\Gamma_c(Y(w), k)] \in K_0(kG\text{-proj})$ .

Let  $\mathbf{T}_{\ell'}^{wF}$  be the subgroup of elements of  $\mathbf{T}^{wF}$  of order prime to  $\ell$  and

$$b_w = \frac{1}{|\mathbf{T}_{\ell'}^{wF}|} \sum_{t \in \mathbf{T}_{\ell'}^{wF}} t$$

be the principal block idempotent of  $k\mathbf{T}^{wF}$ .

All composition factors of  $b_w k\mathbf{T}^{wF}$  are trivial, hence  $\mathrm{R}\Gamma_c(Y(w), k)b_w$  is an extension of  $N = |\mathbf{T}^{wF}|_{\ell}$  copies of  $\mathrm{R}\Gamma_c(X(w), k)$ . As a consequence,  $[\mathrm{R}\Gamma_c(Y(w), k)b_w] = N \cdot [\mathrm{R}\Gamma_c(X(w), k)]$ .

We deduce that  $\langle [\mathrm{R}\Gamma_c(X(w), k)], [M] \rangle \neq 0$  if and only if  $\langle [\mathrm{R}\Gamma_c(Y(w), k)b_w], [M] \rangle \neq 0$ . It follows also that an integer  $r$  is minimal such that  $\mathrm{Hom}_{D^b(kG)}(M, \mathrm{R}\Gamma_c(X(w), k)[r]) \neq 0$  if and only if it is minimal such that  $\mathrm{Hom}_{D^b(kG)}(M, \mathrm{R}\Gamma_c(Y(w), k)b_w[r]) \neq 0$ . It follows that  $w$  is minimal such that  $\mathrm{RHom}_{kG}^{\bullet}(M, \mathrm{R}\Gamma_c(Y(w), k)b_w) \neq 0$  if and only if (b) holds. Similarly,  $w$  is minimal such that  $\mathrm{RHom}_{kG}^{\bullet}(\mathrm{R}\Gamma_c(Y(w), k)b_w, M) \neq 0$  if and only if (a) holds.

Note also that the statements above with  $\mathrm{R}\Gamma_c(Y(w), k)b_w$  are equivalent to the same statements with  $\mathrm{R}\Gamma_c(Y(w), k)$  since  $M$  is unipotent. The equivalence between (a), (b) and (c) follows now from [3, Proposition 8.12].

Suppose that  $w$  is minimal with the equivalent properties (a), (b) and (c). It follows from [3, Proposition 8.10] that the cohomology of  $\mathrm{RHom}_{kG}^{\bullet}(M, \mathrm{R}\Gamma_c(Y(w), k)b_w)$  is concentrated in degree  $\ell(w)$ . The last assertions of the lemma follow.  $\square$

Proposition 3.5 shows that for a minimal  $w$ , if  $\ell \nmid |\mathbf{T}^{wF}|$ , then the complex of  $kG$ -modules  $\widetilde{\mathrm{R}}\Gamma_c(X(w), k)^{\mathrm{red}}$  is isomorphic to a bounded complex of projective modules such that a projective cover  $P_M$  of  $M$  appears only in degree  $\ell(w)$  as a direct summand of a term of this complex.

**3.2. Compactifications.** Let  $\underline{S}$  be a set together with a bijection  $S \xrightarrow{\sim} \underline{S}$ ,  $s \mapsto \underline{s}$ . Given  $\underline{s} \in \underline{S}$ , we put  $\mathbf{B}_{\underline{s}}\mathbf{B} = \mathbf{B}s\mathbf{B} \cup \mathbf{B}$ . The *generalized Deligne–Lusztig variety* associated to a sequence  $(t_1, \dots, t_d)$  of elements of  $S \cup \underline{S}$  is

$$X(t_1, \dots, t_d) = \left\{ (g_0\mathbf{B}, \dots, g_d\mathbf{B}) \in (\mathbf{G}/\mathbf{B})^{d+1} \mid \begin{array}{l} g_i^{-1}g_{i+1} \in \mathbf{B}t_i\mathbf{B} \text{ for } i = 0, \dots, d-1 \\ g_d^{-1}F(g_0) \in \mathbf{B}t_d\mathbf{B} \end{array} \right\}.$$

If  $w = s_1 \cdots s_d$  is a reduced expression of  $w \in W$  then  $X(s_1, \dots, s_d)$  is isomorphic to  $X(w)$  and  $X(\underline{s}_1, \dots, \underline{s}_d)$  is a smooth compactification of  $X(w)$ . It will be denoted by  $\overline{X}(w)$  (even though it depends on the choice of a reduced expression of  $w$ ).

*Remark 3.6.* Proposition 3.5 also holds for  $X(w)$  replaced by  $\overline{X}(w)$  (and the assertions for  $X(w)$  are equivalent to the ones for  $\overline{X}(w)$ ), with the assumption ‘ $\ell \nmid |\mathbf{T}^{wF}|$ ’ replaced by ‘ $\ell \nmid |\mathbf{T}^{vF}|$  for all  $v \leq w$ ’ for the last statement. This follows from the fact that

$$\mathrm{RHom}_{kG}^{\bullet}(\mathrm{R}\Gamma_c(\overline{X}(w), k), M) \simeq \mathrm{RHom}_{kG}^{\bullet}(\mathrm{R}\Gamma_c(X(w), k), M)$$

whenever  $\mathrm{RHom}_{kG}^{\bullet}(\mathrm{R}\Gamma_c(X(v), k), M) = 0$  for all  $v < w$ .

The cohomology of  $\overline{X}(w)$  over  $K$  is known [25]. We provide here some partial information in the modular setting. Recall that two elements  $w, w' \in W$  are  $F$ -conjugate if there exists  $v \in W$  such that  $w' = v^{-1}wF(v)$ .

**Proposition 3.7.** *Let  $w, w' \in W$ . If  $\ell \nmid |\mathbf{T}^{vF}|$  for all  $v \leq w$  or for all  $v \leq w'$ , then  $\mathrm{H}_c^*(\overline{X}(w) \times_G \overline{X}(w'), \mathcal{O})$  is torsion-free.*

*Proof.* Given  $w, w' \in W$ , Lusztig defined in [56] a decomposition of  $\overline{X}(w) \times \overline{X}(w')$  as a disjoint union of locally closed subvarieties  $Z_{\mathbf{a}}$  stable under the diagonal action of  $G$ . The quotient by  $G$  of each of these varieties has the same cohomology as an affine space. More precisely, given  $\mathbf{a}$ , there exists:

- a finite group  $\mathcal{T}$ , isomorphic to  $\mathbf{T}^{vF}$  for some  $v \leq w$  and to  $\mathbf{T}^{v'F}$  for some  $v' \leq w'$  ( $F$ -conjugate to  $v$ ), and a quasi-projective variety  $Z_0$  acted on by  $G \times \mathcal{T}$ , where  $\mathcal{T}$  acts freely, together with a  $G$ -equivariant isomorphism  $Z_0/\mathcal{T} \xrightarrow{\sim} Z_{\mathbf{a}}$ ;
- a quasi-projective variety  $Z_1$  acted on freely by  $G$  and  $\mathcal{T}$ , such that  $\mathrm{R}\Gamma_c(G \backslash Z_1, \mathcal{O})[2 \dim Z_1] \simeq \mathcal{O}$ ;
- a  $(G \times \mathcal{T})$ -equivariant quasi-isomorphism

$$\mathrm{R}\Gamma_c(Z_0, \mathcal{O})[2 \dim Z_{\mathbf{a}}] \xrightarrow{\sim} \mathrm{R}\Gamma_c(Z_1, \mathcal{O})[2 \dim Z_1].$$

From these properties and [3, Lemma 3.2] we deduce that if  $\mathcal{T}$  is an  $\ell'$ -group then

$$\begin{aligned} \mathrm{R}\Gamma_c(G \backslash Z_{\mathbf{a}}, \mathcal{O}) &\simeq \mathrm{R}\Gamma_c(G \backslash Z_0, \mathcal{O}) \otimes_{\mathcal{O}\mathcal{T}} \mathcal{O} \\ &\simeq \mathrm{R}\Gamma_c(G \backslash Z_1, \mathcal{O}) \otimes_{\mathcal{O}\mathcal{T}} \mathcal{O}[2 \dim Z_1 - 2 \dim Z_{\mathbf{a}}] \\ &\simeq \mathcal{O}[-2 \dim Z_{\mathbf{a}}]. \end{aligned}$$

As a consequence, the cohomology groups of  $\overline{X}(w) \times_G \overline{X}(w')$  are the direct sums of the cohomology groups of the varieties  $G \backslash Z_{\mathbf{a}}$  and the proposition follows.  $\square$

**Proposition 3.8.** *Let  $I$  be an  $F$ -stable subset of  $S$  such that  $\ell \nmid |L_I|$ . If  $M$  is a simple  $kG$ -module such that  ${}^*R_{L_I}^G(M) \neq 0$ , then  $M$  is not a composition factor in the torsion of  $\mathrm{H}_c^*(\overline{X}(w), \mathcal{O})$  for any  $w \in W$ .*

*Proof.* Let  $V$  be a simple  $kL_I$ -module such that  $\mathrm{Hom}_{kL_I}(V, {}^*R_{L_I}^G(M)) \neq 0$ . Let  $v \in W_I$  be minimal such that  $V^*$  occurs as a composition factor, or equivalently as a direct summand, of  $\mathrm{H}_c^*(X_{L_I}(v), k)$ . By Remark 3.6, it follows that  $V^*$  occurs only in  $\mathrm{H}_c^{\ell(v)}(\overline{X}_{L_I}(v), k)$ . Since  $\mathcal{O}_{L_I}\text{-mod}$  is a hereditary category, it follows that there is a projective  $\mathcal{O}_{L_I}$ -module  $V'$  such that  $V' \otimes_{\mathcal{O}} k \simeq V^*$  and  $V'$  is a direct summand of  $\mathrm{R}\Gamma_c(\overline{X}_{L_I}(v), \mathcal{O})$ .

Since a projective cover  $P_{M^*}$  of  $M^*$  occurs as a direct summand of  $R_{L_I}^G(V^*)$ , we deduce that it occurs as a direct summand of  $R_{L_I}^G(\mathrm{R}\Gamma_c(\overline{X}_{L_I}(v), \mathcal{O})) \simeq \mathrm{R}\Gamma_c(\overline{X}(v), \mathcal{O})$ . It follows from the Künneth formula that the complex  $P_M^* \otimes_{\mathcal{O}G} \mathrm{R}\Gamma_c(\overline{X}(w), \mathcal{O})$  is



a direct summand of the complex  $\mathrm{R}\Gamma_c(\overline{X}(v) \times_G \overline{X}(w), \mathcal{O})$ . By Proposition 3.7 applied to  $\overline{X}(v) \times_G \overline{X}(w)$ , we deduce that the cohomology of  $P_M^* \otimes_{\mathcal{O}G} \mathrm{R}\Gamma_c(\overline{X}(w), \mathcal{O})$  is torsion-free, and hence  $M$  does not appear as a composition factor of the torsion of  $\mathrm{H}_c^*(\overline{X}(w), \mathcal{O})$ .  $\square$

*Remark 3.9.* Note two particular cases of the previous proposition:

- if  $G$  is an  $\ell'$ -group (i.e. if  $\ell \nmid |G|$ ) then so is every subgroup, therefore  $\mathrm{H}_c^*(\overline{X}(w), \mathcal{O})$  is torsion-free;
- if  $\ell \nmid |L_I|$  for all  $F$ -stable  $I \subsetneq S$ , then the torsion in  $\mathrm{H}_c^*(\overline{X}(w), \mathcal{O})$  is cuspidal.

**Lemma 3.10.** *Let  $\Lambda$  be one of  $k$ ,  $\mathcal{O}$  and  $K$ . Let  $J$  be a subset of  $W$  such that if  $w \in J$  and  $w' < w$ , then  $w' \in J$  and such that given  $w \in W$  and  $s \in S$  with  $l(sw) > l(w)$  and  $l(wF(s)) > l(w)$ , then  $sw \in J$  if and only if  $wF(s) \in J$ .*

*Let  $\mathcal{Z}$  be a thick subcategory of  $D^b(\Lambda G)$  such that  $\mathrm{R}\Gamma_c(X(v), \Lambda) \in \mathcal{Z}$  for all elements  $v \in J$  that are of minimal length in their  $F$ -conjugacy class.*

*We have  $\mathrm{R}\Gamma_c(X(v), \Lambda) \in \mathcal{Z}$  for all  $v \in J$  and  $\mathrm{R}\Gamma_c(\overline{X}(w), \Lambda) \in \mathcal{Z}$  for all  $w \in J$ .*

*Proof.* Consider  $s \in S$  and  $v, v' \in W$  with  $v = sv'F(s)$  and  $v \neq v'$ .

Assume that  $\ell(v) = \ell(v')$ , and furthermore that  $\ell(sv) < \ell(v)$ . We have  $v = sv''$  where  $\ell(v) = \ell(v'') + 1$  and  $v' = v''F(s)$ . The  $G$ -varieties  $X(v)$  and  $X(v')$  have the same étale site, hence isomorphic complexes of cohomology [22, Theorem 1.6]. If  $\ell(sv) > \ell(v)$ , then  $\ell(vF(s)) < \ell(v)$  [51, Lemma 7.2] and  $v = v''F(s)$  with  $\ell(v) = \ell(v'') + 1$  and  $v' = sv''$ . We conclude as above.

Assume now that  $\ell(v) = \ell(v') + 2$ . It follows from [25, Proposition 3.2.10] that there is a distinguished triangle

$$\mathrm{R}\Gamma_c(X(sv'), \Lambda)[-2] \oplus \mathrm{R}\Gamma_c(X(sv'), \Lambda)[-1] \rightarrow \mathrm{R}\Gamma_c(X(v), \Lambda) \rightarrow \mathrm{R}\Gamma_c(X(v'), \Lambda)[-2] \rightsquigarrow .$$

So, if  $\mathrm{R}\Gamma_c(X(v'), \Lambda) \in \mathcal{Z}$  and  $\mathrm{R}\Gamma_c(X(sv'), \Lambda) \in \mathcal{Z}$ , then  $\mathrm{R}\Gamma_c(X(v), \Lambda) \in \mathcal{Z}$ .

By [43, 45], any element  $v \in W$  can be reduced to an element of minimal length in its  $F$ -conjugacy class by applying one of the transformations  $v \rightarrow v'$  above. Note that if  $v \in J$ , then  $v' \in J$ . The lemma follows from the discussion above.  $\square$

**3.3. Steinberg representation.** We denote by  $\mathbf{U}$  the unipotent radical of the Borel subgroup  $\mathbf{B}$ . Let  $\psi$  be a regular character of  $U$  (see [4, §2.1]),  $e_\psi$  be the corresponding central idempotent in  $\mathcal{O}U$  and  $\Gamma_\psi = \mathrm{Ind}_U^G(e_\psi \mathcal{O}U)$  be the Gelfand-Graev module attached to  $\psi$ . It is a projective  $\mathcal{O}G$ -module. Since  $K\Gamma_\psi$  has only one unipotent constituent (namely the Steinberg character, which we denote by  $\mathrm{St}$ ), the projection of  $\Gamma_\psi$  onto the sum of unipotent blocks is indecomposable and does not depend on  $\psi$ . Indeed, it is proved in the proof of [46, Theorem 3.2] that any projective module in a unipotent block has a unipotent constituent in its character (this does not use the connectedness of the center of  $\mathbf{G}$ ). Consequently,  $\Gamma_\psi$  has a unique unipotent simple quotient  $\mathrm{St}_\ell$ . It is called the *modular Steinberg representation*. It is cuspidal if  $\ell \nmid |L_I|$  for all  $F$ -stable  $I \subsetneq S$  [42, Theorem 4.2].

Statement (i) of the proposition below is a result of [27].

**Proposition 3.11.** *Let  $t_1, \dots, t_d$  be elements of  $S \cup \underline{S}$ .*

- (i) *If  $t_i \in S$  for all  $i$ , then  $\mathrm{Hom}_{\mathcal{O}G}^{\bullet}(\Gamma_{\psi}, \mathrm{R}\Gamma_c(X(t_1, \dots, t_d), \mathcal{O})) \simeq \mathcal{O}[-\ell(w)]$  in  $D^b(\mathcal{O})$ , and hence  $\mathrm{St}_{\ell}$  does not occur as a composition factor of  $\mathrm{H}_c^i(X(t_1, \dots, t_d), k)$  for  $i \neq \ell(w)$ .*
- (ii) *If  $t_i \notin S$  for some  $i$ , then  $\mathrm{Hom}_{\mathcal{O}G}^{\bullet}(\Gamma_{\psi}, \mathrm{R}\Gamma_c(X(t_1, \dots, t_d), \mathcal{O}))$  is acyclic, and hence  $\mathrm{St}_{\ell}$  does not occur as a composition factor of  $\mathrm{H}_c^*(X(t_1, \dots, t_d), k)$ .*
- (iii)  *$\mathrm{St}_{\ell}$  does not occur as a composition factor of the torsion part of  $\mathrm{H}_c^*(X(t_1, \dots, t_d), \mathcal{O})$ .*

*Proof.* (i) follows from [27] when  $t_1 \cdots t_d$  is reduced, and the general case follows by changing  $\mathbf{G}$  and  $F$  as in [25, Proposition 2.3.3].

Assume now that  $t_i \in \underline{S}$  for all  $i$ . Using the decomposition of  $X(t_1, \dots, t_d)$  into Deligne–Lusztig varieties associated to sequences of elements of  $S$ , we deduce from the first part of the proposition that the cohomology of  $\mathrm{Hom}_{kG}^{\bullet}(k\Gamma_{\psi}, \mathrm{R}\Gamma_c(X(t_1, \dots, t_d), k))$  is zero outside degrees  $0, \dots, d$ . Since  $X(t_1, \dots, t_d)$  is a smooth projective variety and  $(\Gamma_{\psi})^* = \Gamma_{\psi^*}$ , we deduce that the cohomology is also zero outside the degrees  $d, \dots, 2d$  and therefore it is concentrated in degree  $d$ . As a consequence, the cohomology of  $\mathrm{Hom}_{\mathcal{O}G}^{\bullet}(\Gamma_{\psi}, \mathrm{R}\Gamma_c(X(t_1, \dots, t_d), \mathcal{O}))$  is free over  $\mathcal{O}$  and concentrated in degree  $d$ . By [25, Proposition 3.3.15], we have  $\mathrm{Hom}_{KG}^{\bullet}(K\Gamma_{\psi}, \mathrm{R}\Gamma_c(X(t_1, \dots, t_d), K)) = 0$ , and hence  $\mathrm{Hom}_{\mathcal{O}G}^{\bullet}(\mathcal{O}\Gamma_{\psi}, \mathrm{R}\Gamma_c(X(t_1, \dots, t_d), \mathcal{O})) = 0$ .

(ii) follows now by induction on the number of  $i$  such that  $t_i$  is in  $S$ : if one of the  $t_i$  is in  $S$ , say  $t_1$ , we use the distinguished triangle

$$\mathrm{R}\Gamma_c(X(t_1, t_2, \dots, t_d), \mathcal{O}) \longrightarrow \mathrm{R}\Gamma_c(X(\underline{t_1}, t_2, \dots, t_d), \mathcal{O}) \longrightarrow \mathrm{R}\Gamma_c(X(t_2, \dots, t_d), \mathcal{O}) \rightsquigarrow$$

and use induction. Note that the assumption that one of the  $t_i$  is in  $\underline{S}$  ensures that we never reach  $X(1) = G/B$ .

Note finally that (iii) follows from (i) and (ii). □

**Proposition 3.12.** *If  $\ell \nmid |L_I|$  for all  $F$ -stable  $I \subsetneq S$ , then  $K \otimes_{\mathcal{O}} \Omega^r \mathcal{O} \simeq \mathrm{St}$ .*

*Proof.* Given  $i \in \{1, \dots, r\}$ , let  $M_i = \bigoplus_I R_{L_I}^G \circ^* R_{L_I}^G(\mathcal{O})$ , where  $I$  runs over  $F$ -stable subsets of  $S$  such that  $|I/F| = i$ . By the Solomon–Tits Theorem [20, Theorem 66.33], there is an exact sequence of  $\mathcal{O}G$ -modules

$$0 \rightarrow M \rightarrow M^0 \rightarrow \dots \rightarrow M^r \rightarrow 0,$$

where  $KM \simeq \mathrm{St}$ .

By assumption,  $M^i$  is projective for  $i \neq r$ , while  $M^r = \mathcal{O}$ . We deduce that  $M \simeq \Omega^r \mathcal{O}$ . □

**3.4. Coxeter orbits.** Let  $s_1, \dots, s_r$  be a set of representatives of  $F$ -orbits of simple reflections. The product  $c = s_1 \cdots s_r$  is a *Coxeter element* of  $(W, F)$ . Throughout this section and §4.3.5, we will assume that  $\ell \nmid |\mathbf{T}^{cF}|$ , and hence  $\widetilde{\mathrm{R}}\Gamma_c(X(c), \mathcal{O})$  is a bounded complex of finitely generated projective  $\mathcal{O}G$ -modules.



If  $v \in W$  satisfies  $\ell(v) < \ell(c)$  then  $v$  lies in a proper  $F$ -stable parabolic subgroup, forcing  $\mathrm{Hom}_{kG}^\bullet(\mathrm{R}\Gamma_c(\mathrm{X}(v), k), M)$  to be zero for every cuspidal module  $kG$ -module  $M$ . Therefore Proposition 3.5 has the following corollary for Coxeter elements.

**Corollary 3.13.** *Let  $c$  be a Coxeter element and  $M$  be a cuspidal  $kG$ -module. If  $\ell \nmid |\mathbf{T}^{cF}|$ , then the cohomology of  $\mathrm{RHom}_{kG}^\bullet(\mathrm{R}\Gamma_c(\mathrm{X}(c), k), M)$  and of  $\mathrm{RHom}_{kG}^\bullet(M, \mathrm{R}\Gamma_c(\mathrm{X}(c), k))$  vanishes outside degree  $r$ .*

**Lemma 3.14.** *Assume that  $\ell \nmid |\mathbf{T}^{cF}|$ . Let  $C$  be a direct summand of  $\widetilde{\mathrm{R}}\Gamma_c(\mathrm{X}(c), \mathcal{O})$  in  $\mathrm{Ho}^b(\mathcal{O}G\text{-mod})$  such that*

- (i) *the torsion part of  $\mathrm{H}^*(C)$  is cuspidal, and*
- (ii)  *$\mathrm{H}^i(kC) = 0$  for  $i \neq r$ .*

*Then  $\mathrm{H}^r(C)$  is a projective  $\mathcal{O}G$ -module and  $\mathrm{H}^i(C) = 0$  for  $i \neq r$ .*

*Proof.* Since  $r = \ell(c)$ , the complex  $C$  can be chosen, up to isomorphism in  $\mathrm{Ho}^b(\mathcal{O}G\text{-mod})$ , to be a complex with projective terms in degrees  $r, \dots, 2r$  and zero terms outside those degrees. Let  $i$  be maximal such that  $\mathrm{H}^i(C) \neq 0$  (or equivalently such that  $\mathrm{H}^i(kC) \neq 0$ ). There is a non-zero map  $kC \rightarrow \mathrm{H}^i(kC)[-i]$  in  $D^b(kG)$ . From Corollary 3.13 and the assumption (i) we deduce that  $i = r$ . It follows that the cohomology of  $C$  is concentrated in degree  $r$ . Since  $C$  is a bounded complex of projective modules, it follows that  $\mathrm{H}^r(C)$  is projective.  $\square$

**Proposition 3.15.** *Let  $I \subset S$  be an  $F$ -stable subset and let  $c_I$  be a Coxeter element of  $W_I$ .*

- (i) *If  $\ell \nmid |L_I|$ , then  $\mathrm{H}_c^*(\mathrm{X}(c_I), \mathcal{O})$  is torsion-free.*
- (ii) *If  $\mathrm{H}_c^*(\mathrm{X}(c_I), \mathcal{O})$  is torsion-free, then the torsion of  $\mathrm{H}_c^*(\mathrm{X}(c), \mathcal{O})$  is killed by  ${}^*R_{L_I}^G$ .*

*Proof.* The first statement follows from [29, Corollary 3.3] using  $\mathrm{H}_c^*(\mathrm{X}(c_I), \mathcal{O}) = R_{L_I}^G(\mathrm{H}_c^*(\mathrm{X}_{L_I}(c_I), \mathcal{O}))$ .

The image by  ${}^*R_{L_I}^G$  of the torsion of  $\mathrm{H}_c^*(\mathrm{X}(c), \mathcal{O})$  is the torsion of  $\mathrm{H}_c^*(U_I \setminus \mathrm{X}(c), \mathcal{O})$ . By [55, Corollary 2.10], the variety  $U_I \setminus \mathrm{X}(c)$  is isomorphic to  $(\mathbb{G}_m)^{r-r_I} \times \mathrm{X}_{L_I}(c_I)$ . The second statement follows.  $\square$

**3.5. Generic theory.** We recall here constructions of [7, 8, 9], the representation theory part being based on Lusztig's theory.

**3.5.1. Reflection data.** Let  $K = \mathbb{Q}(q)$  and  $V = K \otimes_{\mathbb{Z}} Y$ , where  $Y$  is the cocharacter group of  $\mathbf{T}$ . We denote by  $\varphi$  the finite order automorphism of  $V$  induced by the action of  $q^{-1}F$ .

We denote by  $|(W, \varphi)| = x^N \prod_{i=1}^{\dim V} (x^{d_j} - \zeta_j)$  the *polynomial order* of  $(W, \varphi)$ . Here,  $N$  is the number of reflections of  $W$  and we have fixed a decomposition into a direct sum of  $(\mathbf{G}_m \times \langle \varphi \rangle)$ -stable lines  $L_1 \oplus \dots \oplus L_{\dim V}$  of the tangent space at 0 of  $V/W$ , so that  $d_j$  is the weight of the action of  $\mathbf{G}_m$  on  $L_j$  and  $\zeta_j$  is the eigenvalue of  $\varphi$  on  $L_j$ .

Recall that there is some combinatorial data associated with  $W$  (viewed as a reflection group on  $V$ ) and  $\varphi$ :

- a finite set  $\text{Uch}(W, \varphi)$ ;
- a map  $\text{Deg} : \text{Uch}(W, \varphi) \rightarrow \mathbb{Q}[x]$ .

We endow  $\mathbb{Z}\text{Uch}(W, \varphi)$  with a symmetric bilinear form making  $\text{Uch}(W, \varphi)$  an orthonormal basis.

In addition, given  $W'$  a parabolic subgroup of  $W$  and  $w \in W$  such that  $\text{ad}(w)\varphi(W') = W'$ , there is a linear map  $R_{W', \text{ad}(w)\varphi}^{W, \varphi} : \mathbb{Z}\text{Uch}(W', \text{ad}(w)\varphi) \rightarrow \mathbb{Z}\text{Uch}(W, \varphi)$ .

We will denote by  ${}^*R_{W', \text{ad}(w)\varphi}^{W, \varphi}$  the adjoint map to  $R_{W', \text{ad}(w)\varphi}^{W, \varphi}$ .

The data associated with  $W$  and  $\varphi$  depends only on the class of  $\varphi$  in  $\text{GL}(V)/W$ . The corresponding pair  $\mathbb{G} = (W, W\varphi)$  is called a *reflection datum*.

A pair  $\mathbb{L} = (W', \text{ad}(w)\varphi)$  as above is called a *Levi subdatum* of  $(W, \varphi)$ . We put  $W_{\mathbb{L}} = W'$ .

There is a bijection

$$\text{Uch}(\mathbb{G}) \xrightarrow{\sim} \text{Uch}(G), \boldsymbol{\chi} \mapsto \boldsymbol{\chi}_q$$

such that  $\text{Deg}(\boldsymbol{\chi})(q) = \boldsymbol{\chi}_q(1)$ .

There is a bijection from the set of  $W$ -conjugacy classes of Levi subdata of  $\mathbb{G}$  to the set of  $G$ -conjugacy classes of  $F$ -stable Levi subgroups of  $\mathbf{G}$ .

Those bijections have the property that given  $\mathbf{L}$  an  $F$ -stable Levi subgroup of  $\mathbf{G}$  with associated Levi subdatum  $\mathbb{L} = (W', \text{ad}(w)\varphi)$ , we have  $(R_{\mathbb{L}}^{\mathbf{G}}(\boldsymbol{\chi}))_q = R_L^{\mathbf{G}}(\boldsymbol{\chi}_q)$  for all  $\boldsymbol{\chi} \in \text{Uch}(W, \varphi)$  (assuming  $q > 2$  if  $(\mathbf{G}, F)$  has a component of type  ${}^2E_6$ ,  $E_7$  or  $E_8$ , in order for the Mackey formula to be known to hold [2]).

**3.5.2.  $d$ -Harish-Chandra theory.** Let  $\Phi$  be a cyclotomic polynomial over  $K$ , i.e., a prime divisor of  $X^n - 1$  in  $K[X]$  for some  $n \geq 1$ . Let  $V'$  be a subspace of  $V$  and let  $w \in W$  such that  $w\varphi$  stabilizes  $V'$  and the characteristic polynomial of  $w\varphi$  acting on  $V'$  is a power of  $\Phi$ . Let  $W' = C_W(V')$ . Then  $(W', \text{ad}(w)\varphi)$  is called a  $\Phi$ -split Levi subdatum of  $(W, \varphi)$ .

An element  $\boldsymbol{\chi} \in \text{Uch}(W, \varphi)$  is  $\Phi$ -cuspidal if  ${}^*R_{\mathbb{L}}^{\mathbf{G}}(\boldsymbol{\chi}) = 0$  for all proper  $\Phi$ -split Levi subdata  $\mathbb{L}$  of  $\mathbb{G}$  (when  $\mathbf{G}$  is semisimple, this is equivalent to the requirement that  $\text{Deg}(\boldsymbol{\chi})_{\Phi} = |\mathbf{G}|_{\Phi}$ ).

A pair  $(\mathbb{L}, \boldsymbol{\lambda})$  is a  $\Phi$ -cuspidal pair of  $\mathbb{G}$  if  $\mathbb{L} = (W', \text{ad}(w)\varphi)$  is a  $\Phi$ -split Levi subdatum of  $\mathbb{G}$  and  $\boldsymbol{\lambda} \in \text{Uch}(W', \text{ad}(w)\varphi)$  is  $\Phi$ -cuspidal. Given such a pair  $(\mathbb{L}, \boldsymbol{\lambda})$ , we denote by  $\text{Uch}(\mathbb{G}, (\mathbb{L}, \boldsymbol{\lambda}))$  the set of  $\boldsymbol{\chi} \in \text{Uch}(\mathbb{G})$  such that  $\langle R_{\mathbb{L}}^{\mathbf{G}}(\boldsymbol{\lambda}), \boldsymbol{\chi} \rangle \neq 0$ . We denote by  $W_{\mathbb{G}}(\mathbb{L}, \boldsymbol{\lambda}) = N_W(W_{\mathbb{L}})/W_{\mathbb{L}}$  the relative Weyl group.

The  $\Phi$ -Harish-Chandra theory states that:

- $\text{Uch}(\mathbb{G})$  is the disjoint union of the sets  $\text{Uch}(\mathbb{G}, (\mathbb{L}, \boldsymbol{\lambda}))$ , where  $(\mathbb{L}, \boldsymbol{\lambda})$  runs over  $W$ -conjugacy classes of  $\Phi$ -cuspidal pairs;
- there is an isometry

$$I_{(\mathbb{L}, \boldsymbol{\lambda})}^{\mathbf{G}} : \mathbb{Z} \text{Irr}(W_{\mathbb{G}}(\mathbb{L}, \boldsymbol{\lambda})) \xrightarrow{\sim} \mathbb{Z}\text{Uch}(\mathbb{G}, (\mathbb{L}, \boldsymbol{\lambda}));$$

- those isometries have the property that  $R_{\mathbb{M}}^{\mathbb{G}} I_{(\mathbb{L}, \boldsymbol{\lambda})}^{\mathbb{M}} = I_{(\mathbb{L}, \boldsymbol{\lambda})}^{\mathbb{G}} \text{Ind}_{W_{\mathbb{M}}(\mathbb{L}, \boldsymbol{\lambda})}^{W_{\mathbb{G}}(\mathbb{L}, \boldsymbol{\lambda})}$  for all  $\Phi$ -split Levi subdata  $\mathbb{M}$  of  $\mathbb{G}$  containing  $\mathbb{L}$ .

The sets  $\text{Uch}(\mathbb{G}, (\mathbb{L}, \boldsymbol{\lambda}))$  are called the  $\Phi$ -blocks of  $\mathbb{G}$ . The *defect* of the  $\Phi$ -block  $\text{Uch}(\mathbb{G}, (\mathbb{L}, \boldsymbol{\lambda}))$  is the integer  $i \geq 0$  such that the common value of  $\text{Deg}(\boldsymbol{\chi})_{\Phi}$  for  $\boldsymbol{\chi} \in \text{Uch}(\mathbb{G}, (\mathbb{L}, \boldsymbol{\lambda}))$  is  $\Phi^i$  (see §4.2.3 for the relation with unipotent  $\ell$ -blocks).

#### 4. UNIPOTENT BLOCKS WITH CYCLIC DEFECT GROUPS

**4.1. Blocks with cyclic defect groups.** We recall some basic facts on blocks with cyclic defect groups (cf. [33] and [32] for the folding).

4.1.1. *Brauer trees and folding.*

**Definition 4.1.** A *Brauer tree* is a planar tree  $T$  with at least one edge together with a positive integer  $m$  (the ‘multiplicity’) and, if  $m \geq 2$ , the data of a vertex  $v_x$ , the ‘exceptional vertex’.

Note that the data of an isomorphism class of planar trees is the same as the data of a tree together with a cyclic ordering of the vertices containing a given vertex.

Let  $d > 1$  be a divisor of  $m$ . We define a new Brauer tree  $\wedge^d T$ . It has a vertex  $\tilde{v}_x$ , and the oriented graph  $(\wedge^d T) \setminus \{\tilde{v}_x\}$  is the disjoint union  $(T \setminus \{v_x\}) \times \mathbb{Z}/d$  of  $d$  copies of  $T \setminus \{v_x\}$ . Let  $l_1, \dots, l_r$  be the edges of  $T$  containing  $v_x$ , in the cyclic ordering. The edges of the tree  $\wedge^d T$  containing  $\tilde{v}_x$  are, in the cyclic ordering,  $(l_1, 0), \dots, (l_1, d-1), (l_2, 0), \dots, (l_2, d-1), \dots, (l_r, 0), \dots, (l_r, d-1)$ . Finally, for every  $i \in \mathbb{Z}/d$ , we have an embedding of oriented trees of  $T$  in  $\wedge^d T$  given on edges by  $l \mapsto (l, i)$ , on non-exceptional vertices by  $v \mapsto (v, i)$  and finally  $v_x \mapsto \tilde{v}_x$ . The multiplicity of  $\wedge^d T$  is  $m/d$ . When  $m \neq d$ , the exceptional vertex of  $\wedge^d T$  is  $\tilde{v}_x$ .

There is an automorphism  $\sigma$  of  $\wedge^d T$  given by  $\sigma(\tilde{v}_x) = \tilde{v}_x$  and  $\sigma(v, i) = (v, i+1)$  for  $v \in T \setminus \{v_x\}$ . Let  $X$  be the group of automorphisms of  $\wedge^d T$  generated by  $\sigma$ . There is an isomorphism of planar trees

$$\kappa : (\wedge^d T)/X \xrightarrow{\sim} T, \quad \tilde{v}_x \mapsto v_x, \quad X \cdot (v, i) \mapsto v \text{ for } v \in T \setminus \{v_x\}.$$

In particular the Brauer tree  $T' = \wedge^d T$  together with the automorphism group  $X$  determine  $T$ .

*Remark 4.2.* Given another planar embedding  $T'$  of  $\wedge^d T$  compatible with the automorphism  $\sigma$  above and such that  $\kappa$  induces an isomorphism of planar trees  $T'/X \xrightarrow{\sim} T$ , then there is an isomorphism of planar trees  $T' \xrightarrow{\sim} \wedge^d T$  compatible with  $\sigma$ .

4.1.2. *Brauer tree of a block with cyclic defect.* Let  $H$  be a finite group and  $A = b\mathcal{O}H$  be a block of  $\mathcal{O}H$ . Let  $D$  be a defect group of  $A$  and let  $b_D$  be a block idempotent of the Brauer correspondent of  $b$  in  $\mathcal{O}N_H(D)$ . We assume  $D$  is cyclic and non-trivial. Let  $E = N_H(D, b_D)/C_H(D)$ , a cyclic subgroup of  $\text{Aut}(D)$  of order  $e$  dividing  $\ell - 1$ .

When  $e = 1$ , the block  $A$  is Morita equivalent to  $\mathcal{O}D$ . We will be discussing Brauer trees only when  $e > 1$ , an assumption we make for the remainder of §4.1.

We define a Brauer tree  $T$  associated to  $A$ . We put  $m = (|D| - 1)/e$ . An irreducible character  $\chi$  of  $KA$  is called *non-exceptional* if  $d(\chi) \neq d(\chi')$  for all  $\chi' \in \text{Irr}(KA)$  for  $\chi' \neq \chi$  (here  $d$  is the decomposition map). When  $m > 1$ , we denote by  $\chi_x$  the sum of the exceptional irreducible characters of  $KA$  (those that are not non-exceptional). We define the set of vertices of  $T$  as the union of the non-exceptional characters together, when  $m > 1$ , with an exceptional vertex corresponding to  $\chi_x$ . The set of edges is defined to be  $\text{Irr}(kA)$ . An edge  $\phi$  has vertices  $\chi$  and  $\chi'$  if  $\chi + \chi'$  is the character of the projective cover of the simple  $A$ -module with Brauer character  $\phi$ . Note that the tree  $T$  has  $e$  edges.

The cyclic ordering of the edges containing a given vertex is defined as follows: the edge  $\phi_2$  comes immediately after the edge  $\phi_1$  if  $\text{Ext}_A^1(L_1, L_2) \neq 0$ , where  $L_i$  is the simple  $A$ -module with Brauer character  $\phi_i$ .

Recall that the full subgraph of  $T$  with vertices the real-valued non-exceptional irreducible characters and the exceptional vertex if  $m > 1$  is a line (the ‘real stem’ of the tree). There is an embedding of the tree  $T$  in  $\mathbb{C}$  where the intersection of  $T$  with the real line is the real stem and taking duals of irreducible characters corresponds to reflection with respect to the real line.

**4.1.3. Folding.** Let  $H'$  be a finite group containing  $H$  as a normal subgroup and let  $b'$  be a block idempotent of  $\mathcal{O}H'$  such that  $bb' \neq 0$ . We put  $A' = b'\mathcal{O}H'$  and we denote by  $T'$  the Brauer tree of  $A'$ , with multiplicity  $m'$ . We assume  $D$  is a defect group of  $b'$ . Let  $b'_D$  be the block idempotent of  $\mathcal{O}N_{H'}(D)$  that is the Brauer correspondent of  $b'$  and let  $E' = N_{H'}(D, b'_D)/C_{H'}(D)$ , an  $\ell'$ -subgroup of  $\text{Aut}(D)$ . Note that  $E$  is a subgroup of  $E'$ . Let  $H'_b$  be the stabilizer of  $b$  in  $H'$ . We have  $H'_b = HN_{H'}(D, b'_D)$  and there is a Morita equivalence between  $b\mathcal{O}H'_b$  and  $b'\mathcal{O}H'$  induced by the bimodule  $b\mathcal{O}H'_b$ .

Suppose that  $E' \neq E$ , i.e.,  $m' \neq m$ , since  $[E' : E] = m/m'$ . The group  $X$  of 1-dimensional characters of  $E'/E \simeq H'_b/H$  acts on  $\text{Irr}(KA')$  and on  $\text{Irr}(kA')$  and this induces an action on  $T'$ , the Brauer tree associated to  $A'$ .

The result below is a consequence of [32, proof of Lemma 4.3] (the planar embedding part follows from Remark 4.2).

**Proposition 4.3.** *There is an isomorphism of Brauer trees  $\wedge^d T \xrightarrow{\sim} T'$  such that  $(\chi, i)$  maps to a lift of  $\chi$ , for  $\chi$  a non-exceptional vertex.*

The previous proposition shows that the data of  $T'$  and of the action of  $X$  on  $T$  determine the tree  $T$  (up to parametrization).

**4.2. Structure of unipotent blocks with cyclic defect groups.** We assume in §4.2 that the simple factors of  $[\mathbf{G}, \mathbf{G}]$  are  $F$ -stable. Note that every finite reductive group can be realized as  $\mathbf{G}^F$  for such a  $\mathbf{G}$ .

From now on, we assume that  $\ell$  is an odd prime.

4.2.1. *Centre.* We show here that a Brauer tree of a unipotent block of a finite reductive group (in non-describing characteristic) is isomorphic to one coming from a simple simply connected algebraic group.

**Lemma 4.4.** *Assume that  $\mathbf{G}$  is simple and simply connected. Let  $A$  be a unipotent block of  $k\mathbf{G}^F$  whose image in  $k(\mathbf{G}^F/(Z(\mathbf{G})^F)_\ell)$  has cyclic defect. Then,  $A$  has cyclic defect and  $Z(\mathbf{G})^F_\ell = 1$ .*

*Proof.* Since  $\ell$  is odd, it divides  $|Z(\mathbf{G})^F|$  only in the following cases [60, Corollary 24.13]:

- $(\mathbf{G}, F) = \mathrm{SL}_n(q)$ ,  $n \geq 2$  and  $\ell \mid (n, q - 1)$ ;
- $(\mathbf{G}, F) = \mathrm{SU}_n(q)$ ,  $n \geq 3$  and  $\ell \mid (n, q + 1)$ ;
- $(\mathbf{G}, F) = E_6(q)$  and  $\ell \mid (3, q - 1)$ ;
- $(\mathbf{G}, F) = {}^2E_6(q)$  and  $\ell \mid (3, q + 1)$ .

Let  $H = \mathbf{G}^F/(Z(\mathbf{G})^F)_\ell$ . Suppose that the image of  $A$  in  $kH$  has non-trivial defect groups.

Assume that  $(\mathbf{G}, F) = \mathrm{SL}_n(q)$ ,  $n \geq 2$  and  $\ell \mid (n, q - 1)$  or  $(\mathbf{G}, F) = \mathrm{SU}_n(q)$ ,  $n \geq 3$  and  $\ell \mid (n, q + 1)$ . In those cases, the only unipotent block  $A$  is the principal block [14, Theorem 13], so  $H$  has cyclic Sylow  $\ell$ -subgroups: this is impossible.

Assume that  $(\mathbf{G}, F) = E_6(q)$  and  $\ell \mid (3, q - 1)$ . Note that  $A$  cannot be the principal block, as  $H$  does not have cyclic Sylow 3-subgroups. There is a unique non-principal unipotent block  $b$ , and its unipotent characters are the ones in the Harish-Chandra series with Levi subgroup  $\mathbf{L}$  of type  $D_4$  [31, ‘‘Données cuspidales 7,8,9’’, p.352–353]. Those three unipotent characters are trivial on  $Z(\mathbf{G})^F$ . It is easily seen that there is no equality between their degrees nor is the sum of two degrees equal the third one. As a consequence, they cannot belong to a block of  $kH$  with cyclic defect and inertial index at most 2.

The same method (replacing  $q$  by  $-q$ ) shows also that  $b$  cannot have cyclic defect when  $(\mathbf{G}, F) = {}^2E_6(q)$  and  $\ell \mid (3, q + 1)$ .  $\square$

Let  $H$  be a finite simple group of Lie type. Then there is a simple simply connected reductive algebraic group  $\mathbf{G}$  endowed with an isogeny  $F$  such that  $H \simeq \mathbf{G}^F/Z(\mathbf{G})^F$ , unless  $H$  is the Tits group,  $(\mathbf{G}, F) = {}^2F_4(2)$  and we have  $H = [\mathbf{G}^F/Z(\mathbf{G})^F, \mathbf{G}^F/Z(\mathbf{G})^F]$ , a subgroup of index 2 of  $\mathbf{G}^F/Z(\mathbf{G})^F$ .

The previous lemma shows that if the image in  $kH$  of a unipotent  $\ell$ -block of  $k\mathbf{G}^F$  has cyclic defect groups, then the block of  $k\mathbf{G}^F$  already has cyclic defect groups. By folding (§4.1.3), the Brauer tree of a unipotent block of  $\mathcal{O}\mathbf{G}^F$  determines the Brauer tree of the corresponding block of  $\mathcal{O}H$ .

**Proposition 4.5.** *Let  $A$  be a unipotent block of  $\mathcal{O}\mathbf{G}^F$  with cyclic defect group  $D$ . We have  $C_{\mathbf{G}}^\circ(x) = C_{\mathbf{G}}^\circ(D)$  and  $C_G(x) = C_{\mathbf{G}}^\circ(x)^F$  for all non trivial elements  $x \in D$ . Furthermore, one of the two following statements hold:*

- $D$  is the Sylow  $\ell$ -subgroup of  $Z^\circ(\mathbf{G})^F$  and there is a finite subgroup  $H$  of  $G$  containing  $[\mathbf{G}, \mathbf{G}]^F$  such that  $G = D \times H$ ;

- $|Z(\mathbf{G})^F|_\ell = 1$ ,  $D \neq 1$  and  $A$  is Morita equivalent to a unipotent block of a simple factor of  $\mathbf{G}/Z(\mathbf{G})$  with cyclic defect groups isomorphic to  $D$ .

In particular,  $Z(\mathbf{G})^F/Z^\circ(\mathbf{G})^F$  is an  $\ell'$ -group.

*Proof.* Let  $\mathbf{H}$  be a simple factor of  $[\mathbf{G}, \mathbf{G}]$ . Consider a simply connected cover  $\mathbf{H}_{\text{sc}}$  of  $\mathbf{H}$ . The restriction of unipotent characters in  $A$  to  $H$  and then to  $\mathbf{H}_{\text{sc}}^F$  are sums of unipotent characters, and the blocks that contain them have a defect group that is cyclic modulo  $Z(\mathbf{H}_{\text{sc}})^F$ . It follows from Lemma 4.4 that  $\ell \nmid Z(\mathbf{H}_{\text{sc}})^F$ , and therefore  $\ell \nmid Z(\mathbf{G}_{\text{sc}})^F$ , where  $\mathbf{G}_{\text{sc}}$  is a simply connected cover of  $[\mathbf{G}, \mathbf{G}]$ . Note that as a consequence, both  $(Z(\mathbf{G})/Z^\circ(\mathbf{G}))^F$  and  $(Z(\mathbf{G}^*)/Z^\circ(\mathbf{G}^*))^F$  are  $\ell'$ -groups, where  $\mathbf{G}^*$  is a Langlands dual of  $\mathbf{G}$ .

Let  $\mathbf{G}_{\text{ad}} = \mathbf{G}/Z(\mathbf{G})$ . By [16, Theorem 17.7], we have  $A \simeq \mathcal{O}Z(\mathbf{G})_\ell^F \otimes A'$ , where  $A'$  is the unipotent block of  $G_{\text{ad}}$  containing the unipotent characters of  $A$ . Also,  $D \simeq Z(\mathbf{G})_\ell^F \times D'$ , where  $D'$  is a defect group of  $A'$ . So, if  $\ell$  divides  $|Z(\mathbf{G})^F|$ , then  $\ell$  divides  $|Z^\circ(\mathbf{G})^F|$ ,  $D' = 1$  and  $D$  is the Sylow  $\ell$ -subgroup of  $Z(\mathbf{G})^F$ . Otherwise, consider a decomposition  $\mathbf{G}_{\text{ad}} = \mathbf{G}_1 \times \cdots \times \mathbf{G}_r$  where the  $\mathbf{G}_i$  are simple and  $F$ -stable factors. There is a corresponding decomposition  $A' = A_1 \otimes \cdots \otimes A_r$  where  $A_i$  is a unipotent block of  $G_i$ . So, there is a unique  $i$  such that  $A_i$  does not have trivial defect groups, and  $A$  is Morita equivalent to  $A_i$ .

Let us now prove the first statement of the proposition. We have  $C_{\mathbf{G}}^\circ(x)^F = C_G(x)$  by [16, Proposition 13.16]. The block idempotent  $\text{br}_x(b)$  gives a (nilpotent) block of  $\mathcal{O}C_G(x)$  with defect group  $D$ . By [10, Theorem 3.2], this is a unipotent block. We deduce from the other part of the proposition that  $D \subset Z(C_{\mathbf{G}}^\circ(x))^F$ , and hence  $C_{\mathbf{G}}^\circ(x) = C_{\mathbf{G}}^\circ(D)$ .  $\square$

4.2.2. *Local subgroups and characters.* Let  $A$  be a unipotent block of  $\mathcal{O}G$  with a non-trivial cyclic defect group  $D$ . Let  $(D, b_D)$  be a maximal  $b$ -subpair as in §4.1.2 and let  $E = N_G(D, b_D)/C_G(D)$ . Recall that we assume that  $\ell$  is odd.

Let  $Q$  be the subgroup of order  $\ell$  of  $D$  and let  $\mathbf{L} = C_{\mathbf{G}}^\circ(Q)$ .

**Theorem 4.6.** •  $\mathbf{L} = C_{\mathbf{G}}^\circ(D)$  is a Levi subgroup of  $\mathbf{G}$ .

- $D$  is the Sylow  $\ell$ -subgroup of  $Z^\circ(\mathbf{L})^F$  and  $L = D \times H$  for some subgroup  $H$  of  $L$  containing  $[\mathbf{L}, \mathbf{L}]^F$ .
- There is a (unique) unipotent character  $\lambda$  of  $L$  such that  $R_L^G(\lambda) = \sum_{\chi \in \text{Uch}(KA)} \varepsilon_\chi \chi$  for some  $\varepsilon_\chi \in \{\pm 1\}$ .
- We have  $|\text{Uch}(KA)| = |E|$  and  $\text{Irr}(KA)$  is the disjoint union of  $\text{Uch}(KA)$  and of  $\{(-1)^{r_G+r_L} R_L^G(\lambda \otimes \xi)\}_{\xi \in (\text{Irr}(KD) - \{1\})/E}$ .
- If  $|E| \neq |D| - 1$ , then  $\text{Uch}(KA)$  is the set of non-exceptional characters of  $A$ .

*Proof.* Let  $A'$  be the block of  $\mathcal{O}L$  corresponding to  $A$ . This is a unipotent block with defect group  $D$ . By Proposition 4.5, we have  $Q \leq Z^\circ(\mathbf{L}) \neq 1$ , hence  $\mathbf{L}$  is a Levi subgroup of  $\mathbf{G}$ , since it is the centralizer of the torus  $Z^\circ(\mathbf{L})$ . Also,  $D$  is the Sylow  $\ell$ -subgroup of  $Z^\circ(\mathbf{L})^F$  and  $L = D \times H$  for some subgroup  $H$  of  $L$  containing  $[\mathbf{L}, \mathbf{L}]^F$ .



There is a (unique) unipotent irreducible representation  $\lambda$  in  $\text{Irr}(KA')$  and  $\text{Irr}(KA') = \{\lambda \otimes \xi\}_{\xi \in \text{Irr}(KD)}$ .

Let  $\xi \in \text{Irr}(KD) - \{1\}$ . The character  $\chi_\xi = (-1)^{r_G+r_L} R_L^G(\lambda \otimes \xi)$  is irreducible and it depends only on  $\text{Ind}_D^{D \times E} \xi$  [23, Theorem 13.25]. Furthermore,  $\chi_\xi = \chi_{\xi'}$  implies  $\xi' \in E \cdot \xi$ .

Assume that  $|E| \neq |D| - 1$ . There are at least two  $E$ -orbits on the set of non-trivial characters of  $D$ , so the  $\chi_\xi$  for  $\xi \in (\text{Irr}(KD) - \{1\})/E$  are exceptional characters. Since  $A$  and  $A'$  have the same number of exceptional characters, we have found all exceptional characters of  $A$ .

Let  $\chi_1 = (-1)^{r_G+r_L} R_L^G(\lambda)$ . We have  $d(\chi_1) = d(\chi_\xi)$  for any  $\xi \in \text{Irr}(KD) - \{1\}$ . There are integers  $n_\chi \in \mathbb{Z}$  such that  $\chi_1 = \sum_{\psi \in \text{Uch}(KA)} n_\psi \psi$ . The restriction of the decomposition map to  $\mathbb{Z}\text{Uch}(KA)$  is injective, since we have removed exceptional characters (if  $|E| \neq |D| - 1$ , otherwise one character) from  $\text{Irr}(KA)$ . It follows that  $\chi_1$  is the unique linear combination of unipotent characters of  $A$  such that  $d(\chi_1) = d(\xi)$  for some  $\xi \in \text{Irr}(D) - \{1\}$ . On the other hand, this unique solution satisfies  $n_\psi = \pm 1$  and the number of unipotent characters in  $A'$  is  $|E|$ .  $\square$

*Remark 4.7.* Choose a bijection  $\text{Irr}(KE) \xrightarrow{\sim} \text{Uch}(KA')$ ,  $\phi \mapsto \chi_\phi$ . Define  $I : \mathbb{Z}\text{Irr}(KD \rtimes E) \xrightarrow{\sim} \mathbb{Z}\text{Irr}(KA')$  by  $I(\text{Ind}_D^{D \times E} \xi) = R_L^G(\xi)$  if  $\xi \in \text{Irr}(KD) - \{1\}$  and  $I(\phi) = \varepsilon_{\chi_\phi} \chi_\phi$  for  $\phi \in \text{Irr}(KE)$ . The proof of Theorem 4.6 above shows that  $I$  is an isotypy, with local isometries  $I_x : \mathbb{Z}\text{Irr}(KD) \xrightarrow{\sim} \mathbb{Z}\text{Irr}(KA')$ ,  $\xi \mapsto \lambda \otimes \xi$  for  $x \in D - \{1\}$ .

4.2.3. *Genericity.* We assume in §4.2.3 that  $F$  is a Frobenius endomorphism. Let  $A$  be a unipotent block of  $\mathcal{O}G$  with a non-trivial cyclic defect group  $D$  and let  $\mathbf{L} = C_{\mathbf{G}}^\circ(D)$ .

Let  $d$  be the order of  $q$  modulo  $\ell$ . Note that  $\ell$  divides  $\Phi_e(q)$  if and only if  $e = d\ell^j$  for some  $j \geq 0$ .

Broué–Michel [11] and Cabanes–Enguehard [15] showed that under a mild additional assumption on  $\ell$  (for quasisimple groups not of type  $A$ ,  $\ell$  good is enough), unipotent characters in  $\ell$ -blocks with abelian defect groups are  $\Phi_d$ -blocks. We show below that this results holds for  $\ell$ -blocks with cyclic defect groups without assumptions on  $\ell$ . Using the knowledge of generic degrees, the unipotent  $\Phi_d$ -blocks with defect 1 for simple  $\mathbf{G}$  can be easily determined, using for example Chevie [61].

**Theorem 4.8.** *With the notations of §4.2.2, we have the following assertions:*

- $\mathbf{L}$  is a  $\Phi_d$ -split Levi subgroup of  $\mathbf{G}$ ;
- $D$  has order  $|\Phi_d(q)|_\ell$ ;
- $\lambda = \lambda_q$  for a unipotent  $\Phi_d$ -cuspidal character  $\lambda$  of  $\mathbf{L}$  and there is a bijection  $\text{Uch}(\mathbf{G}, (\mathbf{L}, \lambda)) \xrightarrow{\sim} \text{Uch}(KA)$  given by  $\chi \mapsto \chi_q$ ;
- the  $\Phi_d$ -block  $\text{Uch}(\mathbf{G}, (\mathbf{L}, \lambda))$  has defect 1;
- if  $\ell$  is a bad prime for  $\mathbf{G}$  or  $\ell = 3$  and  $(\mathbf{G}, F)$  has type  ${}^3D_4$ , then we are in one of the cases listed in Table 1.

$(\mathbf{G}, F)$	$\ell$	$d$	$(\mathbf{L}, \lambda)$
$E_6(q)$	3	2	$(A_5(q) \cdot (q-1), \phi_{321})$
${}^2E_6(q)$	3	1	$({}^2A_5(q) \cdot (q+1), \phi_{321})$
$E_8(q)$	3, 5	1	$(E_7(q) \cdot (q-1), E_7[\pm i])$
$E_8(q)$	3, 5	2	$(E_7(q) \cdot (q+1), \phi_{512,11} \text{ or } \phi_{512,12})$

TABLE 1. Unipotent blocks with cyclic defect for  $\ell$  bad

*Proof.* By Proposition 4.5, we can assume that  $\mathbf{G}$  is simple and simply connected. When  $\ell$  is good and different from  $\ell = 3$  for type  ${}^3D_4$ , the theorem is [15].

Otherwise, the result follows from [31, Théorème A], by going through the list of  $d$ -cuspidal pairs with  $\ell$ -central defect and checking if the defect groups given in [31, §3.2] are cyclic. We list the unipotent blocks with cyclic defect for  $\ell$  bad in Table 1, following [31, §3.2]. Note that in [31, p.358, No 29], ‘ $E_7[\pm\xi]$ ’ should be replaced by ‘ $\phi_{512,11}, \phi_{512,12}$ ’, as in [8, Table 1, Cases 42, 43].  $\square$

Broué [6] conjectured that there is a parabolic subgroup  $\mathbf{P}$  with an  $F$ -stable Levi complement  $\mathbf{L}$  such that  $b\mathrm{R}\Gamma_c(Y_{\mathbf{G}}(\mathbf{L} \subset \mathbf{P}), \mathcal{O})$  induces a derived equivalence between  $A$  and the corresponding block of  $\mathcal{O}N_G(D, b_D)$ . In [17], it is conjectured that such an equivalence should be perverse. It is further shown there how the Brauer tree of  $A$  could then be combinatorially constructed from the perversity function. The perversity function can be encoded in the data of a function  $\pi : \mathrm{Uch}(KA) \rightarrow \mathbb{Z}$  that describes the (conjecturally) unique  $i$  such that  $V \in \mathrm{Uch}(KA)$  occurs in  $H_c^i(Y_{\mathbf{G}}(\mathbf{L} \subset \mathbf{P}), K)$ .

In [19], the first author gave a conjectural description  $\gamma$  of the function  $\pi$ , depending on  $\Phi_d$  and not on  $\ell$  (this is defined for  $\Phi_d$ -blocks with arbitrary defect). Using this function, and the combinatorial procedure to recover a Brauer tree from a perversity function, [19] associates a *generic Brauer tree* to a  $\Phi_d$ -block of defect 1. This is a planar-embedded tree, together with an exceptional vertex (but no multiplicity) and the non-exceptional vertices are parametrized by the unipotent characters in the given  $\Phi_d$ -block. The Brauer tree of  $A$  is conjectured in [19] to be obtained from the generic Brauer tree, by associating the appropriate multiplicity if it is greater than 1, and turning the exceptional vertex into a non-exceptional one if the multiplicity is 1. The trees we construct in this paper in §5 match the generic trees constructed in [19], and hence we prove the following theorem.

**Theorem 4.9.** *Let  $A$  be a unipotent  $\ell$ -block with cyclic defect of  $G$ . Then the unipotent characters of  $KA$  form a unipotent  $\Phi_d$ -block and the Brauer tree of  $A$  is obtained from the generic Brauer tree of that  $\Phi_d$ -block.*

Since the trees constructed in §5 match the conjectural trees in [19] that would result from a perverse equivalence between  $D \rtimes E$  and  $A$ , we get the following corollary.



$G$	$d$	$([\mathbf{L}, \mathbf{L}], \lambda)$	$\ell \mid  L_I $
${}^2E_6$	12		
$E_7$	9		$E_6$
	10	$({}^2A_2(q), \phi_{21})$	$D_6$
	14		
$E_8$	9	$(A_2(q), \phi_3)$	$E_6$
		$(A_2(q), \phi_{21})$	$E_6$
		$(A_2(q), \phi_{13})$	$E_6$
	12	$({}^3D_4(q), {}^3D_4[1])$	$E_6, D_7$
	15		
	18	$({}^2A_2(q), \phi_{21})$	$E_7$
	20		
	24		

TABLE 2. Blocks with unknown Brauer tree

**Corollary 4.10.** *There is a perverse derived equivalence between  $A$  and  $D \rtimes E$  with perversity function  $\gamma$ .*

4.2.4. *Determination of the trees.* Let us now discuss the known Brauer trees. The Brauer trees for classical groups were determined by Fong and Srinivasan [35, 36]. The Brauer trees for the following exceptional groups are known: Burkhart [13] for  ${}^2B_2$ , Shamash [64] for  $G_2$ , Geck [38] for  ${}^3D_4$ , Hiss [47] for  ${}^2G_2$  and  ${}^2F_4$ , Hiss–Lübeck [48] for  $F_4$  and  ${}^2E_6$  (building on earlier work on  $F_4$  by Wings [66]) and Hiss–Lübeck–Malle [49] for  $E_6$ .

More recently, the second and third authors determined in [30] the Brauer trees of the principal  $\Phi_h$ -block of  $E_7$  and  $E_8$  for  $h$  the Coxeter number, using new geometric methods which are also at the heart of this paper. Also, the first author determined in [19] the Brauer trees of several unipotent blocks with cyclic defect of  $E_7$  and  $E_8$ .

We determine the remaining unknown trees. They correspond to certain unipotent blocks of  ${}^2E_6$  (cf. Remark 5.1),  $E_7$  (§5.1) and  $E_8$  (§5.2). We list in Table 2 the group  $G$ , the order  $d$  of  $q$  modulo  $\ell$  and the  $d$ -cuspidal pair (when the block is not principal) associated to each of these blocks. We also indicate the type of the minimal proper standard  $F$ -stable Levi subgroups  $\mathbf{L}_I$  with  $\ell \mid |L_I|$ .

Let us note that the Brauer trees of other blocks of exceptional groups were determined up to choices of fields of character values in each block. Using Lusztig’s parametrization of unipotent characters we can remove this ambiguity by choosing appropriate roots of unity in  $\overline{\mathbb{Q}}_\ell$  with respect to  $q$ .

**Corollary 4.11.** *Let  $G$  be a finite group with cyclic Sylow  $\ell$ -subgroups. If  $\ell \neq 29, 41, 47, 59, 71$ , then the (unparametrized) Brauer tree of the principal  $\ell$ -block of  $G$  is known.*

*Proof.* Let  $G$  be a finite group with a non-trivial cyclic Sylow  $\ell$ -subgroup. Since the principal block of  $G$  is isomorphic to that of  $G/O_\ell(G)$ , we can assume that  $O_\ell(G) = 1$ . If  $G$  has a normal Sylow  $\ell$ -subgroup, then the Brauer tree is a star. So, we assume  $G$  does not have a normal Sylow  $\ell$ -subgroup. It follows from the classification of finite simple groups [34, §5] that  $G$  has a normal simple subgroup  $H$  with  $G/H$  an  $\ell'$ -subgroup of  $\text{Out}(H)$ .

If  $H$  is an alternating group, the Brauer trees are foldings of those of symmetric groups, which are lines as all characters are real. If  $H$  is a sporadic group, then the Brauer tree of the principal block of  $H$  is known under the assumptions of  $\ell$ , [50, 18].

Assume now  $H$  is a finite group of Lie type. If  $\ell$  is the defining characteristic, then  $H = \text{PSL}_2(\mathbb{F}_\ell)$  and the Brauer tree of the principal block is well known. Otherwise, the Brauer tree is known by Theorem 4.9.  $\square$

**4.3. Properties of the trees.** We assume here that  $\mathbf{G}$  is simple and we denote by  $A$  a unipotent block with cyclic defect group  $D$  of  $\mathcal{O}G$ . Let  $E = N_G(D, b_D)/C_G(D)$ , where  $(D, b_D)$  is a maximal  $A$ -subpair. We assume  $|E| > 1$ . We denote by  $T$  the Brauer tree of  $A$ . Recall (Theorem 4.6) that its  $|E|$  unipotent vertices are non-exceptional. We define the *non-unipotent vertex* of  $T$  to be the one corresponding to the sum of the non-unipotent characters in  $KA$ . It is exceptional if  $|E| \neq |D| - 1$ .

**4.3.1. Harish-Chandra branches.** Let  $I$  be an  $F$ -stable subset of  $S$  and  $X$  be a cuspidal simple unipotent  $KL_I$ -module with central  $\ell$ -defect, i.e., such that  $(\dim X)_\ell = [L_I : Z(L_I)]_\ell$ . Since the centre acts trivially on simple unipotent modules, the  $\ell$ -block  $b_I$  of  $L_I$  containing  $X$  has central defect group, and  $X$  is the unique unipotent simple module in  $b_I$ . This yields the following three facts.

- (a) There exists a unique (up to isomorphism)  $\mathcal{O}L_I$ -lattice  $\tilde{X}$  such that  $X \simeq K\tilde{X}$ . The  $kL_I$ -module  $k\tilde{X}$  is irreducible.
- (b)  $X$  is the unique unipotent module that lifts  $k\tilde{X}$ . In particular  $N_G(L_I, X) = N_G(L_I, k\tilde{X})$ .
- (c) If  $P$  is a projective cover of  $\tilde{X}$ , then  $K\text{Ker}(P \twoheadrightarrow \tilde{X})$  has only non-unipotent constituents, therefore  $R_{L_I}^G(X)$  and  $K\text{Ker}(R_{L_I}^G(P) \twoheadrightarrow R_{L_I}^G(\tilde{X}))$  have no irreducible constituents in common.

Under the properties (a) and (b), Geck showed in [37, 2.6.9] that the endomorphism algebra  $\text{End}_{\mathcal{O}G}(R_{L_I}^G(\tilde{X}))$  is reduction-stable, i.e.

$$k\text{End}_{\mathcal{O}G}(R_{L_I}^G(\tilde{X})) \simeq \text{End}_{kG}(R_{L_I}^G(k\tilde{X})).$$

Property (c) was used by Dipper (see [26, 4.10]) to show that the decomposition matrix of  $\text{End}_{\mathcal{O}G}(R_{L_I}^G(\tilde{X}))$  embeds in the decomposition matrix of  $b$ .

It follows from [39] that the full subgraph of  $T$  whose vertices are in the Harish-Chandra series defined by  $(L_I, X)$  is a union of lines. Note that [39] proves a corresponding result for blocks of Hecke algebras at roots of unity, in characteristic 0. The fact that the tree does not change when reducing modulo  $\ell$  follows from the following two facts:

- a symmetric algebra over a discrete valuation ring that is an (indecomposable) Brauer tree algebra over the field of fractions and over the residue field has the same Brauer tree over those two fields;
- the blocks of the Hecke algebra  $\text{End}_{\mathcal{O}G}(R_{L_I}^G(\tilde{X}))$  correspond to blocks of the Hecke algebra in characteristic 0 for a suitable specialization at roots of unity.

Each such line in  $T$  is called a Harish-Chandra branch. In particular, the *principal series part* of  $T$  is the full subgraph whose vertices are in the Harish-Chandra series of the trivial representation of a quasi-split torus.

**Proposition 4.12.** *Let  $N$  be an edge of  $T$  and let  $V_1$  and  $V_2$  be its vertices. Let  $I$  be a minimal  $F$ -stable subset of  $S$  such that  $*R_{L_I}^G(N) \neq 0$ .*

*If  $\ell \nmid |L_I|$ , then given  $i \in \{1, 2\}$ , the  $F$ -stable subset  $I$  is also minimal with respect to the property that  $*R_{L_I}^G(V_i) \neq 0$ .*

*Proof.* Let  $M$  be an  $\mathcal{O}L_I$ -lattice such that  $KM$  is simple and  $N$  is a quotient of  $R_{L_I}^G(M)$ . Note that  $M$  is projective, hence it follows by Harish-Chandra theory that  $KM$  is cuspidal. Since  $R_{L_I}^G(M)$  is projective, it follows that  $V_1$  and  $V_2$  are direct summands of  $KR_{L_I}^G(M)$ . The proposition follows by Harish-Chandra theory.  $\square$

**Corollary 4.13.** *Suppose that  $\ell \nmid |L_I|$  for all  $F$ -stable  $I \subsetneq S$ . Then the edges that are not in a Harish-Chandra branch are cuspidal.*

The following result is a weak form of [46, Theorem 3.5].

**Proposition 4.14.** *If  $\text{St}$  is a vertex of  $T$ , then the edge corresponding to  $\text{St}_\ell$  connects  $\text{St}$  and the non-unipotent vertex.*

*Proof.* Recall that  $b\Gamma_\psi$  is the projective cover of  $\text{St}_\ell$ . Since  $\text{St}$  is the unique unipotent component of  $K\Gamma_\psi$ , the proposition follows.  $\square$

**Proposition 4.15.** *Assume that  $A$  is the principal block and  $\ell \nmid |L_I|$  for any  $F$ -stable  $I \subsetneq S$ . Let  $L$  be the full subgraph of  $T$  whose vertices are at distance at most  $r$  from 1. Then  $L$  is a line whose leaves are 1 and  $\text{St}$ .*

*Proof.* The tables in [44, Appendix F] show that the Brauer tree of the principal block of the Hecke algebra  $\text{End}_{\mathcal{O}G}(R_T^G(\mathcal{O}))$  is a line with  $r + 1$  vertices, with leaves corresponding to the trivial and sign characters. So,  $T$  has a full subgraph  $L$  that is a line with  $r + 1$  vertices and with leaves 1 and  $\text{St}$ . Using Proposition 3.12 and duality, we deduce that all vertices at distance at most  $r$  from 1 are in  $L$ .  $\square$

4.3.2. *Real stem.* We fix a square root of  $q^\delta$  in  $K$  (specific choices will be made in Section 5). Let  $V$  be a unipotent irreducible  $KG$ -module. Let  $w \in W$  such that  $V$  occurs in  $H_c^i(X(w), K)$ . The eigenvalues of  $F^\delta$  on the  $V$ -isotypic component of  $H_c^i(X(w), K)$  are of the form  $\lambda_V q^{\delta j}$  where  $\lambda_V$  is a root of unity (depending only on  $V$ , not on  $w$  nor  $i$ ), for some  $j \in \frac{1}{2}\mathbb{Z}$ . Note that  $\lambda_{V^*} = \lambda_V^{-1}$ .

So, the vertices of the real stem of  $T$  consist of the non-unipotent vertex and the unipotent vertices corresponding to the  $V$  such that  $\lambda_V = \pm 1$ . For classical groups, all unipotent characters have this property, and are real valued, and for exceptional groups, the unipotent characters with this property are principal-series characters and  $D_4$ -series characters, which are real-valued by [41, Proposition 5.6], and cuspidal characters  $G[\pm 1]$ , which are rational-valued by [41, Table 1].

4.3.3. *Exceptional vertex.* Recall from Theorem 4.6 that the  $\ell$ -block  $A$  is attached to a cuspidal pair  $(\mathbf{L}, \lambda)$ . A non-unipotent character in  $A$  is obtained by Deligne–Lusztig induction from an irreducible non-unipotent character of  $L$ . We give here a condition for that character to be cuspidal.

**Proposition 4.16.** *Assume that  $\lambda$  is cuspidal and  $\mathbf{L}$  is not contained in any proper  $F$ -stable parabolic subgroup of  $\mathbf{G}$ . Let  $\mathbf{P}'$  be a proper  $F$ -stable parabolic subgroup of  $\mathbf{G}$  with unipotent radical  $\mathbf{U}'$  and an  $F$ -stable Levi complement  $\mathbf{L}'$ . The  $(\mathcal{O}G, \mathcal{O}L')$ -bimodule  $b\mathcal{O}Ge_{U'}$  is projective and its restriction to  $\mathcal{O}G$  is a direct sum of projective indecomposable  $A$ -modules corresponding to edges that do not contain the non-unipotent vertex.*

*In particular, the non-unipotent characters of  $A$  are cuspidal.*

*Proof.* Let  $Q$  be the subgroup of order  $\ell$  of  $D$  and let  $g \in G$  such that  $Q^g \leq L$ . Let  $\Delta_g Q = \{(x, g^{-1}xg) | x \in Q\}$ . We have  $\text{Br}_{\Delta_g Q}(b\mathcal{O}Ge_{U'}) \simeq \text{Br}_{\Delta Q}(b\mathcal{O}Ge_{gU'g^{-1}}) = b_\lambda kLe_V$  where  $V = gU'g^{-1} \cap L$  (Lemma 3.2). By assumption,  $\lambda$  is cuspidal and  $\mathbf{P}' \cap \mathbf{L}$  is a proper  $F$ -stable parabolic subgroup of  $\mathbf{L}$ , hence  $b_\lambda kLe_V = 0$ , hence  $\text{Br}_{\Delta_g Q}(b\mathcal{O}Ge_{U'}) = 0$ . Since the  $((\mathcal{O}G) \otimes (\mathcal{O}L')^{\text{opp}})$ -module  $b\mathcal{O}G$  is a direct sum of indecomposable modules with vertices trivial or containing  $\Delta_g Q$  for some  $g \in G$ , we deduce that the  $(\mathcal{O}G, \mathcal{O}L')$ -bimodule  $b\mathcal{O}Ge_{U'}$  is projective.

Let  $\xi \in \text{Irr}(KD) - \{1\}$ . Since  $\text{Res}_{[\mathbf{L}, \mathbf{L}]^F}^L(\lambda \otimes \xi) = \text{Res}_{[\mathbf{L}, \mathbf{L}]^F}^L(\lambda)$ , it follows that  $\lambda \otimes \xi$  is cuspidal. Theorem 4.6 shows that every non-unipotent character of  $b$  is of the form  $(-1)^{r_G + r_L}(R_L^G(\lambda \otimes \xi))$  for some  $\xi \in \text{Irr}(KD) - \{1\}$ . Proposition 3.1 shows that such a character is cuspidal.  $\square$

The assumptions of Proposition 4.16 are satisfied in the following cases:

- $\mathbf{L} = \mathbf{T}$  contains a Sylow  $\Phi_d$ -torus of  $G$  and  $d$  is not a reflection degree of a proper parabolic subgroup of  $W$  (e.g.  $G = E_7(q)$  and  $d = 14$  or  $G = E_8(q)$  and  $d \in \{15, 20, 24\}$ ). In that case the trivial character of  $L$  is cuspidal, and no proper  $F$ -stable parabolic subgroup of  $\mathbf{G}$  can contain a Sylow  $\Phi_d$ -torus.
- $G = E_8(q)$ ,  $d = 12$  and  $([\mathbf{L}, \mathbf{L}]^F, \lambda) = ({}^3D_4(q), {}^3D_4[1])$  or  $d = 18$  and  $([\mathbf{L}, \mathbf{L}]^F, \lambda) = ({}^2A_2(q), \phi_{21})$ .

**Lemma 4.17.** *Let  $w \in W$  and let  $M$  be a simple  $A$ -module corresponding to an edge containing  $\chi_{\text{exc}}$ .*

*If  $w$  has minimal length such that  $\text{RHom}_{kG}^\bullet(\text{R}\Gamma_c(X(w), k), M) \neq 0$ , then  $\ell \mid |\mathbf{T}^{wF}|$ .*

*If  $\ell \nmid |\mathbf{T}^{vF}|$  for all  $v \leq w$ , then  $\text{RHom}_{kG}^\bullet(\text{R}\Gamma_c(X(\bar{w}), k), M) = 0$ .*

*Proof.* Let  $M$  be as in the lemma and  $w$  be minimal such that  $\text{RHom}_{kG}^\bullet(\text{R}\Gamma_c(X(w), k), M) \neq 0$ . Assume that  $\ell \nmid |\mathbf{T}^{wF}|$ . We have  $(-1)^{\ell(w)}[b\text{R}\Gamma_c(X(w), k)] = \sum_{\eta} a_{\eta}[P_{\eta}]$ , where  $\eta$  runs over the edges of  $T$  and  $a_{\eta} \in \mathbb{Z}$ . By Proposition 3.5 we have  $a_{\mu} > 0$  where  $\mu$  is the edge corresponding to  $M$ . Since  $\chi_{\text{exc}}$  does not occur in  $[\text{R}\Gamma_c(X(w), K)]$ , it follows that there is an edge  $\nu$  containing  $\chi_{\text{exc}}$  such that  $a_{\nu} < 0$ . Let  $N$  be the simple  $A$ -module corresponding to  $\nu$ . The complex  $\text{RHom}_{kG}^\bullet(\text{R}\Gamma_c(X(w), k), N)$  has non-zero cohomology in a degree other than  $-\ell(w)$ , hence there is  $v < w$  such that  $\text{RHom}_{kG}^\bullet(\text{R}\Gamma_c(X(v), k), N) \neq 0$  by Proposition 3.5, a contradiction. The lemma follows.  $\square$

4.3.4. *In the stable category.* Assume in §4.3.4 that  $\delta = 1$  and  $\mathbf{L}$  is a maximal torus of  $\mathbf{G}$ . This is a  $\Phi_d$ -torus. Let  $w \in W$  be a  $d$ -regular element. The next result follows from [30, Corollary 2.11 and its proof].

**Proposition 4.18.** *Let  $m \in \{0, \dots, d-1\}$ . The complex  $\text{R}\Gamma_c(X(w), k)_{(q^m)}$  is isomorphic in  $kG$ -stab to  $\Omega^{2m}k$ .*

*Remark 4.19.* If  $\mathbf{T}^{vF}$  is an  $\ell'$ -group for all  $v < w$ , then Proposition 4.18 holds with  $X(w)$  replaced by  $\bar{X}(w)$ .

4.3.5. *Coxeter orbits.* The following lemma holds for general symmetric  $\mathcal{O}$ -algebras  $A$  such that  $kA$  is a Brauer tree algebra.

**Lemma 4.20.** *Let  $C$  be a bounded complex of finitely generated projective  $A$ -modules. Assume that  $T$  has a subtree of the form*

$$V_t \xrightarrow{S_{t-1}} V_{t-1} \text{ --- } V_2 \xrightarrow{S_1} V_1 \xrightarrow{S_0} V_0$$

*all of whose vertices are non-exceptional, and:*

- (i)  $\text{KH}^i(C) = 0$  for  $i \notin \{0, -t\}$ ,  $\text{H}^i(kC) = 0$  for  $i < -t$  and  $\text{KH}^0(C) \simeq V_0$ ;
- (ii) *given an edge  $M$  of  $T$ , given an integer  $i < t$  and given a map  $f \in \text{Hom}_{D^b(A)}(C, M[i])$ , the induced map from the torsion part of  $\text{H}^{-i}(C)$  to  $M$  vanishes;*
- (iii) *letting  $M$  be an edge of  $T$  that contains  $V_i$ , and assuming that  $M$  is strictly between  $S_{i-1}$  and  $S_i$  in the cyclic ordering of edges at  $V_i$  (for  $0 < i \leq t-1$ ) or  $M \neq S_0$  (for  $i = 0$ ), then  $\text{Hom}_{D^b(A)}(C, M[j]) = 0$  for  $j \in \{i, i+1\} \cap \{0, \dots, t-1\}$ ;*
- (iv)  $S_i$  is not a composition factor of the torsion part of  $\text{H}^{-i+1}(C)$  for  $1 \leq i \leq t-1$ .

*Then  $C$  is homotopy equivalent to*

$$0 \rightarrow P \rightarrow P_{S_{t-1}} \xrightarrow{\delta_{t-1}} P_{S_{t-2}} \rightarrow \dots \xrightarrow{\delta_1} P_{S_0} \rightarrow 0$$

where  $P$  is a projective  $A$ -module in degree  $-t$  with  $KP \simeq KH^{-t}(C) \oplus V_t$  and  $\text{Hom}_A(P_{S_i}, P_{S_{i-1}}) = \mathcal{O}\delta_i$ . Furthermore, given  $i \in \{0, \dots, t-2\}$ , the composition factors of the torsion part of  $H^{-i}(C)$  correspond to the edges strictly between  $S_i$  and  $S_{i+1}$  in the cyclic ordering of edges at  $V_i$ .

If  $V = KH^{-t}(C)$  is simple and distinct from  $V_{t-1}$ , then there is an edge  $S_t$  between  $V$  and  $V_t$  and  $P \simeq P_{S_t}$ . Furthermore, the composition factors of the torsion part of  $H^{-t+1}(C)$  correspond to the edges strictly between  $S_{t-1}$  and  $S_t$  in the cyclic ordering of edges at  $V_t$ .

*Proof.* We can assume that  $C$  has no non-zero direct summand homotopic to zero. Since  $H^{<-t}(kC) = 0$ , it follows that  $C^{<-t} = 0$ . Let  $m$  be maximal such that  $C^m \neq 0$ . Suppose that  $m > 0$ . By (i),  $H^m(C)$  is a non-zero torsion  $A$ -module. Let  $M$  be a simple quotient of  $H^m(C)$ . Assumption (ii) gives a contradiction. We deduce that  $m = 0$ .

By (iii), any simple quotient of  $H^0(C)_{\text{free}} = H^0(C)/H^0(C)_{\text{tor}}$  is isomorphic to  $S_0$ . Moreover, since  $KH^0(C)_{\text{free}} = V_0$  and  $S_0$  occurs only once in any  $\ell$ -reduction of  $V_0$ , there exists a surjective map  $P_{S_0} \twoheadrightarrow H^0(C)_{\text{free}}$ . It follows that there is an isomorphism  $P_{S_0} \oplus Q \xrightarrow{\sim} C^0$  such that the composite map  $KQ \rightarrow KC^0 \rightarrow KH^0(C)$  vanishes. Let  $N$  be the image of  $P_{S_0}$  in  $H^0(C)$ . Suppose that there is a simple quotient  $M$  of  $H^0(C)$  vanishing on  $N$  (i.e. such that  $N$  is in the kernel of the quotient map  $H^0(C) \twoheadrightarrow M$ ). Then  $M$  is a quotient of the torsion part of  $H^0(C)$  and the composite map  $Q \rightarrow H^0(C) \rightarrow M$  is non-zero. We deduce that this map induces a non-zero map from the torsion part of  $H^0(C)$  to  $M$ , which contradicts (ii). Consequently the restriction of  $C \rightarrow H^0(C)$  to  $P_{S_0}$  is surjective and  $Q = 0$  by minimality of  $C$ .

Given  $1 \leq i \leq t-1$ , fix  $\delta_i : P_{S_i} \rightarrow P_{S_{i-1}}$  such that  $\text{Hom}_A(P_{S_i}, P_{S_{i-1}}) = \mathcal{O}\delta_i$ . We put  $\delta_0 = 0 : P_{S_0} \rightarrow 0$ . We prove by induction on  $i \in \{0, \dots, t-1\}$  that  $0 \rightarrow C^{-i} \rightarrow C^{-i+1} \rightarrow \dots$  is isomorphic to the complex  $0 \rightarrow P_{S_i} \xrightarrow{\delta_i} P_{S_{i-1}} \rightarrow \dots \rightarrow P_{S_1} \xrightarrow{\delta_1} P_{S_0} \rightarrow 0$ , where  $P_{S_0}$  is in degree 0. This holds for  $i = 0$  and we assume now this holds for some  $i \leq t-2$ . We have  $\dim \text{Hom}_{kA}(kP_{S_{i+1}}, kP_{S_i}) = 1$  and we denote by  $N$  the image of a non-zero map  $P_{S_{i+1}} \rightarrow P_{S_i}$ . It is contained in  $k \ker \delta_i$ . Let  $M$  be a composition factor of  $(k \ker \delta_i)/N$ . If  $i = 0$ , then the edge corresponding to  $M$  contains  $V_0$  and  $M \neq S_0$  or it contains  $V_1$  and is strictly between  $S_0$  and  $S_1$  in the cyclic ordering of edges at  $V_1$ . If  $i > 0$ , then the edge corresponding to  $M$  contains  $V_i$  and is strictly between  $S_{i-1}$  and  $S_i$  in the cyclic ordering of edges at  $V_i$  or it contains  $V_{i+1}$  and is strictly between  $S_i$  and  $S_{i+1}$  in the cyclic ordering of edges at  $V_{i+1}$ . By (iii),  $P_M$  is not a direct summand of  $C^{-i-1}$ . It follows from (iv) that there is an isomorphism  $P_{S_{i+1}} \oplus Q \xrightarrow{\sim} C^{-i-1}$  such that the composition  $Q \rightarrow C^{-i-1} \rightarrow C^{-i}$  vanishes. Let  $M$  be a simple quotient of  $Q$ . By minimality of  $C$ ,  $M$  occurs as a quotient of  $H^{-i-1}(C)$ , which is torsion by (i). So (ii) gives a contradiction. We

deduce that  $C^{-i-1} \simeq P_{S_{i+1}}$  and the differential  $kC^{-i-1} \rightarrow kC^{-i}$  is not zero. This shows that the induction statement holds for  $i + 1$ .

We deduce that  $C$  is isomorphic to

$$0 \rightarrow P \rightarrow P_{S_{t-1}} \xrightarrow{\delta_{t-1}} P_{S_{t-2}} \rightarrow \cdots \rightarrow P_{S_1} \xrightarrow{\delta_1} P_{S_0} \rightarrow 0$$

for some projective  $A$ -module  $P$  in degree  $-t$ . We have  $[KP] = (-1)^t[KC] + [KP_{S_{t-1}}] - [KP_{S_{t-2}}] + \cdots + (-1)^t[KP_{S_0}] = [KH^{-t}(C)] + [V_t]$ , hence  $KP \simeq KH^{-t}(C) \oplus V_t$ .

If  $V = KH^{-t}(C)$  is simple, then  $KP \simeq V \oplus V_t$ , hence  $P \simeq P_{S_t}$  where  $S_t$  is the edge containing  $V$  and  $V_t$ . The last statement follows from the fact that the differential  $kP \rightarrow kP_{S_{t-1}}$  is non-zero.  $\square$

The following theorem deals with direct summands of  $\widetilde{\mathbf{R}}\Gamma_c(X(c), \mathcal{O})$  that have exactly two non-zero cohomology groups over  $K$ . Extra assumptions on the block are needed here.

**Theorem 4.21.** *Assume that  $\ell \nmid |\mathbf{T}^{cF}|$ . Let  $C$  be a direct summand of  $b\widetilde{\mathbf{R}}\Gamma_c(X(c), \mathcal{O})$  in  $\mathrm{Ho}^b(\mathcal{O}G\text{-mod})$ . Suppose that there are  $r' \geq r$  and  $t > 0$  such that*

- (i) *the torsion part in  $H^*(C)$  is cuspidal,*
- (ii)  *$H^i(KC) = 0$  for  $i \notin \{r', r' + t\}$  and  $V_0 = H^{r'+t}(KC)$  and  $V' = H^{r'}(KC)$  are simple  $KG$ -modules, and*
- (iii)  *$T$  has a subgraph with non-exceptional vertices and non-cuspidal edges*

$$V_t \xrightarrow{S_{t-1}} V_{t-1} \text{ --- } V_2 \xrightarrow{S_1} V_1 \xrightarrow{S_0} V_0$$

*such that  $V_{t-1} \text{ --- } V_2 \xrightarrow{S_1} V_1 \xrightarrow{S_0} V_0$  is a connected component of the subgraph of  $T$  obtained by removing the edge  $S_{t-1}$  and all cuspidal edges.*

*Then:*

- *there is an edge  $S_t$  between  $V_t$  and  $V'$  and  $C$  is homotopy equivalent to*

$$C' = 0 \longrightarrow P_{S_t} \longrightarrow P_{S_{t-1}} \longrightarrow \cdots \longrightarrow P_{S_0} \longrightarrow 0$$

*with  $P_{S_i}$  in degree  $r'$ ;*

- *the complex  $C'$  is, up to isomorphism, the unique complex such that the differential  $P_{S_i} \rightarrow P_{S_{i-1}}$  generates the  $\mathcal{O}$ -module  $\mathrm{Hom}(P_{S_i}, P_{S_{i-1}})$  for  $1 \leq i \leq t$ ;*
- *the composition factors of the torsion part of  $H^{r'+t-i}(C)$  correspond to the edges strictly between  $S_i$  and  $S_{i+1}$  in the cyclic ordering of edges at  $V_{i+1}$  (for  $0 \leq i \leq t-1$ ). In particular, the edges between  $S_{t-1}$  and  $S_t$  around  $V_t$  are also cuspidal.*

*Proof.* We apply Lemma 4.20 to  $C[r' + t]$ . Assumptions (i), (ii) and (iv) of the lemma follow from the assumptions of the theorem. By Corollary 3.13, we have  $\mathrm{Hom}_{D^b(A)}(C, M[i]) = 0$  for  $i > r$  and  $M$  cuspidal. If  $M$  is simple non-cuspidal and



not in  $\{S_0, \dots, S_{t-1}\}$ , then  $M$  does not occur as a composition factor of  $H^i(kC)$  for  $i > r'$ . This shows that Assumption (iii) of the lemma holds. The theorem follows.  $\square$

Assumption (iii) in Theorem 4.21 may look rather difficult to check if only part of the tree is known. However, it will be satisfied for most of the Brauer trees we will consider, thanks to the following proposition.

**Proposition 4.22.** *Let  $V$  be a simple unipotent  $KA$ -module. Assume that*

- $\ell \nmid |\mathbf{T}^{cF}|$ ,
- $V$  is a leaf of  $T$ , i.e.  $V$  remains irreducible after  $\ell$ -reduction,
- the Harish-Chandra branch of  $V$  has at least  $t$  edges, and
- $\ell \nmid |L_I|$  for all  $F$ -stable subsets  $I \subsetneq S$ .

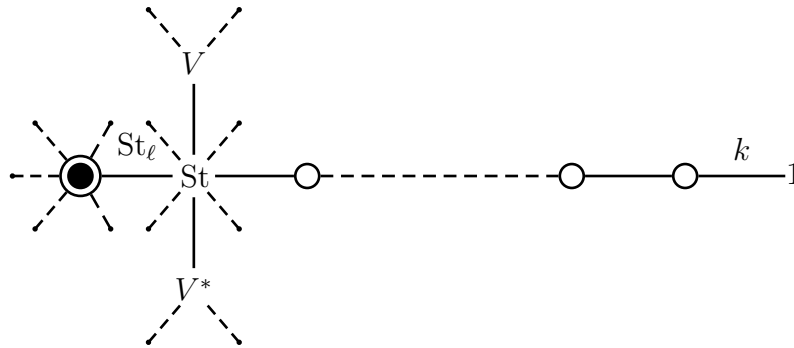
Then assumptions (i) and (iii) in Theorem 4.21 are satisfied with  $C = b\widetilde{R}\Gamma_c(X(c), \mathcal{O})$  and  $V_t, \dots, V_0 = V$  being the Harish-Chandra branch ending at the leaf  $V$ .

*Proof.* Assumption (i) is satisfied by Proposition 3.15, while assumption (iii) is satisfied by Corollary 4.13.  $\square$

**Corollary 4.23.** *Let  $b$  be the block idempotent of the principal block of  $\mathcal{O}G$ . Assume that  $\ell \nmid |\mathbf{T}^{cF}|$  and  $\ell \nmid |L_I|$  for all  $F$ -stable subsets  $I \subsetneq S$ .*

*Let  $T'$  be the full subgraph of  $T$  with vertices at distance at most  $r+1$  of the trivial character.*

- The real stem of  $T'$  is a line with leaves 1 and the non-unipotent vertex
- the edge  $St_\ell$  has vertices  $St$  and the non-unipotent vertex
- any non-real vertex of  $T'$  is connected to  $St$  by an edge
- $V = KH_c^r(X(c), \mathcal{O})_{(q^r)}$  is a non-real simple  $KGb$ -module and the edge connecting  $V$  and  $St$  comes between the one connecting  $St$  to a unipotent vertex and  $St_\ell$  in the cyclic ordering of edges at  $St$ .



*Proof.* The description of Frobenius eigenvalues on the cohomology of  $X(c)$  in [55, (7.3)] shows that  $KH_c^i(X(c), \mathcal{O})_{(q^r)} = 0$  for  $i \notin \{r, 2r\}$  and  $V = KH_c^r(X(c), \mathcal{O})_{(q^r)}$  is simple, under our assumptions on  $\ell$ . The result follows now from Theorem 4.21, Corollary 4.22 and Propositions 4.15 and 3.11(iii).  $\square$



By Remark 3.9, the previous results have a counterpart for the compactification.

**Proposition 4.24.** *Lemma 3.14, Theorem 4.21, Proposition 4.22 and Corollary 4.23 hold with  $\bar{X}(c)$  instead of  $X(c)$  if we replace the assumption  $\ell \nmid |\mathbf{T}^{cF}|$  by  $\ell \nmid |\mathbf{T}^{vF}|$  for all  $v \leq c$ .*

*Remark 4.25.* We have  $|\mathbf{T}^{cF}| = (q+1)(q^6 - q^3 + 1) = \Phi_2(q)\Phi_{18}(q)$  for  $\mathbf{G}$  simple of type  $E_7(q)$ , and  $|\mathbf{T}^{cF}| = q^8 + q^7 - q^5 - q^4 - q^3 + q + 1 = \Phi_{30}(q)$  for  $\mathbf{G}$  simple of type  $E_8(q)$ . In particular, when  $\ell$  is good and  $d \notin \{2, h\}$ , the condition  $\ell \nmid |\mathbf{T}^{cF}|$  will always be satisfied for  $E_7(q)$  and  $E_8(q)$ .

*Remark 4.26.* We can easily read off the cohomology of a complex  $C$  as in Theorem 4.21 from the Brauer tree. As a consequence of Theorem 4.9, one can check that the cohomology of  $C$  is concentrated in degrees  $r$  and  $r+t$  (and irreducible in degree  $r+t$ ), and is torsion-free. Other calculations in §5 give a strong evidence that the cohomology of a variety associated to a Coxeter element is always torsion-free. By [4] this holds for groups of type  $A$ . Such a statement does not hold for more general Deligne–Lusztig varieties: if  $H_c^{2\ell(w)-1}(X(w), K) = 0$  and  $\ell$  divides  $|\mathbf{T}^{wF}|$ , then  $H_c^{2\ell(w)-1}(X(w), k) = H^1(X(w), k)^*$  is non-zero since the connected Galois covering  $Y(\dot{w}) \twoheadrightarrow X(w)$  yields non-trivial connected abelian  $\ell$ -coverings. Therefore by the universal coefficient theorem,  $H_c^{2\ell(w)-1}(X(w), \mathcal{O})$  is a torsion module. However, one can ask whether the property  $\ell \nmid |\mathbf{T}^{wF}|$  forces the cohomology to be torsion-free (see also Proposition 3.8).

**4.4. Summary of the algebraic methods.** We summarize here some facts and arguments about Brauer trees that we shall use throughout §5. We consider a unipotent block with a cyclic defect group and non-trivial automizer. We also assume that the block is real (this is the case for all the unipotent blocks we will consider).

**(Parity)** The distance between two unipotent vertices is even if and only if their degree are congruent modulo  $\ell$ .

**(Real stem)** The collection of unipotent vertices  $V$  with  $\lambda_V = \pm 1$ , together with the non-unipotent vertex, form a subgraph of the Brauer tree in the shape of a line, called the *real stem*. Taking duals of characters corresponds to a reflection of the tree in the real stem.

**(Hecke)** The union of the full subgraphs of  $T$  obtained by considering unipotent characters in a given Harish-Chandra series is a collection of lines, which is known.

**(Degree)** The dimension of the simple module corresponding to an edge is the alternating sum of the degrees of the vertices in a minimal path from the edge to a leaf. This dimension is a positive integer, and this can be used to show that certain configurations are not possible. Broadly speaking, the effect of this condition is to force the degrees of the unipotent characters, as polynomials in  $q$ , to increase towards the non-unipotent node.

**(Steinberg)** The vertices of the edge  $\text{St}_\ell$  are  $\text{St}$  and the non-unipotent vertex. If the proper standard Levi subgroups of  $G$  are  $\ell'$ -groups, then the full subgraph of  $T$  whose vertices are at distance at most  $r$  from 1 is a line whose leaves are 1 and  $\text{St}$  and the edge  $\text{St}_\ell$  is cuspidal.

Our strategy is to first study the ‘mod- $\ell$  generalized eigenspaces’ of  $F$  on the complex of cohomology of a Coxeter Deligne–Lusztig variety (or its compactification), for those eigenvalues corresponding to unipotent cuspidal  $KG$ -modules. This gives information about the location of the corresponding vertex with respect to the real stem.

A second step is required if there are cuspidal unipotent  $KG$ -modules in the block that do not occur in the cohomology of a Coxeter Deligne–Lusztig variety. In that case, we consider the eigenspaces in the complex of cohomology of a Deligne–Lusztig variety associated to a  $d$ -regular element, which is minimal for the property that this module occurs in the cohomology.

## 5. DETERMINATION OF THE TREES

We now determine the Brauer trees of the blocks from Table 2. The edges corresponding to cuspidal simple modules will be drawn as double lines.

Throughout this section,  $A$  denotes a block of  $\mathcal{O}G$  with cyclic defect and  $b$  is the corresponding block idempotent.

We shall start with the case of exceptional groups of type  $E_7$  and  $E_8$ , for which  $\delta = 1$ . If  $G$  is a standard Levi of a simple group of type  $E_8$ , it follows from Lusztig’s classification that a cuspidal unipotent character  $\rho$  of  $G$  is uniquely determined by the eigenvalue of  $F$  on the  $\rho$ -isotypic part of the cohomology of the various Deligne–Lusztig varieties. Following the convention in Chevie [61], we will denote by  $G[\alpha]$  a cuspidal simple unipotent  $KG$ -module such that the eigenvalues of  $F$  in the  $G[\alpha]$ -isotypic component of  $H_c^*(X(w), K)$  are in  $q^{\frac{1}{2}\mathbb{Z}}\alpha$  for any  $w \in W$ , with the exception of the cuspidal unipotent character of  $D_4(q)$  which will be denoted by  $D_4$  and not  $D_4[-1]$ . The choice of a square root of  $q$  is actually only needed when considering the two cuspidal characters of  $E_7(q)$ . The roots of unity  $\alpha$  which occur has always order 6 or less.

For the  $\Phi_d$ -blocks we will study it will be enough to consider the following situations.

- If  $3 \mid d$  (resp.  $4 \mid d$ ,  $5 \mid d$ ), we denote by  $\theta$  (resp.  $i$ ,  $\eta$ ) the unique third (resp. fourth, fifth) root of unity in  $\mathcal{O}$  whose image in  $k$  is  $q^{d/3}$  (resp.  $q^{d/4}$ ,  $q^{d/5}$ ). The corresponding cuspidal characters are  $E_6[\pm\theta]$ ,  $E_6[\pm\theta^2]$ ,  $E_8[\pm\theta]$  and  $E_8[\pm\theta^2]$  (resp.  $E_8[\pm i]$ ,  $E_8[\eta^j]$  for  $j = 1, \dots, 4$ ).
- If  $d = 2e$  with  $e$  odd, we fix a square root  $\sqrt{q}$  of  $q$  in  $\mathcal{O}^\times$  and we denote by  $i$  the unique fourth root of unity in  $\mathcal{O}$  whose image in  $k$  is  $(\sqrt{q})^e$ . The corresponding cuspidal characters are  $E_7[\pm i]$ .

5.1. **Groups of type  $E_7$ .** For groups of type  $E_7$ , we need to consider the principal  $\Phi_d$ -blocks for  $d = 9, 14$  and the  $\Phi_{10}$ -block corresponding to the  $d$ -cuspidal pair  $({}^2A_2(q).(q^5 + 1), \phi_{21})$ .

5.1.1.  $d = 14$ . In that case, the proper Levi subgroups of  $G$  are  $\ell'$ -groups. Let us determine the Brauer tree of the principal  $\Phi_{14}$ -block of  $E_7(q)$ . Using (Hecke), (Degree) and (Steinberg) arguments, we obtain the real stem as shown in Figure 11 (see the Appendix). The difficult part is to locate the two complex conjugate cuspidal unipotent characters. Let  $C = b\tilde{R}\Gamma_c(X(c), \mathcal{O})_{(-1)}$  be the generalized ‘ $-1$  (mod  $\ell$ )-eigenspace’ of  $F$ . By [55, Table 7.3], we have

$$KC \simeq (E_7[i])[-7] \oplus K[-14],$$

where  $E_7[i]$  is defined as the unipotent cuspidal  $KG$ -module that appears with an eigenvalue of  $F$  congruent to  $-1$  modulo  $\ell$  in  $H_c^7(X(c), K)$ .

Corollary 4.23 shows that  $E_7[i]$  is connected to  $\text{St}$  and that it is the first edge coming after the edge  $S_6$  in the cyclic ordering of edges containing  $\text{St}$ . This completes the determination of the tree.

Let us describe more explicitly the minimal representative of the complex  $C$ . Let  $k = S_0, S_1, \dots, S_6$  be the non-cuspidal modules forming the path from the characters 1 (the character of the trivial  $KG$ -module  $K$ ) to  $\text{St}$  in the tree (see Figure 1).

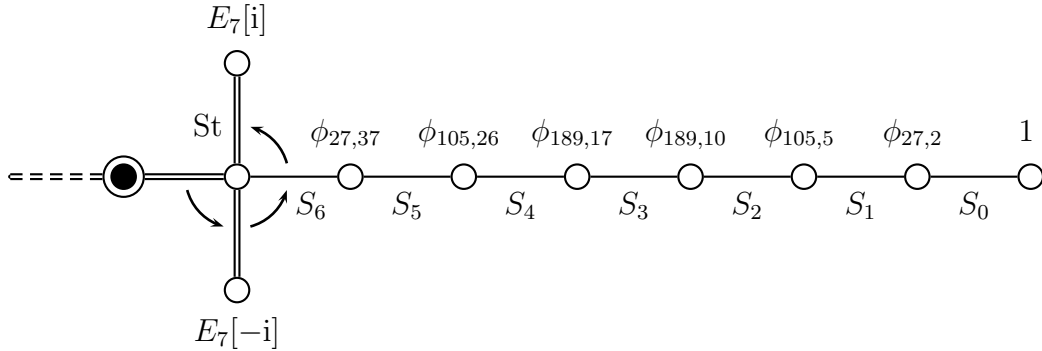


FIGURE 1. Right-hand side of the Brauer tree of the principal  $\Phi_{14}$ -block of  $E_7(q)$

The complex  $b\tilde{R}\Gamma_c(X(c), k)_{(-1)}^{\text{red}}$  is given as follows:

$$0 \longrightarrow \begin{array}{c} E_7[i] \\ \text{St}_\ell \\ E_7[-i] \\ S_6 \\ \boxed{E_7[i]} \end{array} \longrightarrow \begin{array}{c} S_6 \\ E_7[i] \\ \text{St}_\ell \\ E_7[-i] \\ S_6 \end{array} \xrightarrow{S_5} \begin{array}{c} S_5 \\ S_6 \\ S_4 \\ S_5 \end{array} \xrightarrow{S_4} \begin{array}{c} S_4 \\ S_5 \\ S_3 \\ S_4 \end{array} \longrightarrow \cdots \longrightarrow \begin{array}{c} \boxed{k} \\ S_1 \\ k \end{array} \longrightarrow 0.$$

*Remark 5.1.* This argument applies to many other trees, especially to those associated to the principal  $\Phi_d$ -block when  $d$  is the largest degree of  $W$  distinct from the Coxeter number (in that case the assumptions on  $\ell$  in Proposition 4.22 are satisfied). This shows for example that the Brauer tree of the principal  $\Phi_{12}$ -block of  ${}^2E_6(q)$  given in [48] is valid without any restriction on  $q$ . It is also worth mentioning that it gives not only the planar embedding but also the labelling of the vertices with respect to Lusztig's classification of unipotent characters (in terms of eigenvalues of Frobenius). In the previous example  $\text{Ext}_{kG}^1(E_7[i], \text{St}_\ell) \neq 0$  whereas  $\text{Ext}_{kG}^1(E_7[-i], \text{St}_\ell) = 0$ .

5.1.2.  $d = 9$ . It follows from Lemma 3.3 that  $A$  is Harish-Chandra projective relatively to the principal block of  $E_6(q)$ , hence  $A$  has no cuspidal simple modules.

The real stem gives most of the Brauer tree of the principal  $\ell$ -block (see Figure 9). It remains to locate the pairs of complex conjugate characters  $\{E_6[\theta]_\varepsilon, E_6[\theta^2]_\varepsilon\}$  and  $\{E_6[\theta]_1, E_6[\theta^2]_1\}$ . To this end we use the homological information contained in the cohomology of the Coxeter variety  $X(c)$ . Let  $I$  be a proper subset of  $S$ . If  $\mathbf{L}_I$  is not a group of type  $E_6$ , then  $L_I$  is an  $\ell'$ -group and the cohomology of  $X(c_I)$  is torsion-free by [29, Proposition 3.1]. This remains true when  $\mathbf{L}_I$  has type  $E_6$ .

**Lemma 5.2.** *If  $q$  has order 9 modulo  $\ell$ , the cohomology of the Coxeter variety in a simple group of type  $E_6$  is torsion-free.*

*Proof.* Denote by  $X$  the Coxeter variety of  $E_6(q)$ . By Proposition 3.15, the torsion of  $H_c^*(X, \mathcal{O})$  is cuspidal. Let  $\lambda \in k^\times$  and let  $C_\lambda = R\Gamma_c(X, \mathcal{O})_{(\lambda)}$ .

Assume that  $\lambda \notin \{1, q^6\}$ . The cohomology of  $H^*(KC_\lambda)$  is an irreducible module  $V$  corresponding to a block idempotent  $b_\lambda$  of defect zero.

If  $V$  is cuspidal, then it occurs in degree 6 in  $H^*(KC_\lambda)$ , hence  $H^*(C_\lambda)$  is torsion-free by Lemma 3.14. If  $V$  is not cuspidal, then  $H^*(b_\lambda C_\lambda)$  has no torsion. On the other hand,  $H^*((1 - b_\lambda)C_\lambda)$  is torsion and cuspidal, hence 0 by Lemma 3.14.

Assume now that  $\lambda = 1$ . We have  $H^6(KC_1) = \text{St} \oplus E_6[\theta^2]$  and  $H^i(KC_1) = 0$  for  $i \neq 6$ , so  $H^*(C_1)$  is torsion-free by Lemma 3.14 (so,  $\text{St} + E_6[\theta^2]$  is a projective character of  $E_6(q)$ , as was shown in [49]).

Assume finally that  $\lambda = q^6$ . We have  $H^6(KC_\lambda) = E_6[\theta]$ ,  $H^{12}(KC_\lambda) = 1$  and  $H^i(KC_\lambda) = 0$  for  $i \notin \{6, 12\}$ . Corollary 4.23 shows that  $H^*(C_\lambda)$  is torsion-free.  $\square$

From this lemma together with Proposition 3.15, we deduce that the torsion of  $H_c^*(X(c), \mathcal{O})$  is cuspidal, hence the principal block part of  $H_c^*(X(c), \mathcal{O})$  is torsion-free. In particular, the complexes  $D_\lambda = bR\Gamma_c(X(c), \mathcal{O})_{(\lambda)}$  for  $\lambda \in \{q^6, q^7\}$  have no torsion in their cohomology. We have

$$\begin{aligned} KD_{q^6} &\simeq E_6[\theta]_\varepsilon[-7] \oplus \phi_{7,1}[-13], \\ KD_{q^7} &\simeq E_6[\theta]_1[-8] \oplus K[-14]. \end{aligned}$$

Theorem 4.21 gives the planar-embedded Brauer tree as shown in Figure 9.

5.1.3.  $d = 10$ . For the  $\Phi_{10}$ -block the situation is similar: there is a unique proper  $F$ -stable subset  $I$  of  $S$  such that  $L_I$  is not an  $\ell'$ -group. This Levi subgroup  $\mathbf{L}_I$  has type  $D_6$ . Since the Coxeter number of  $D_6$  is 10, [29, Theorem] asserts that  $H_c^*(X_{\mathbf{L}_I}(c_I), \mathcal{O})$  is torsion-free. Let  $E_7[i]$  be the unipotent cuspidal  $KG$ -module that appears with eigenvalue congruent to  $q^6$  modulo  $\ell$  in  $H_c^7(X(c), K)$ . Theorem 4.21 applied to  $C = b\tilde{R}\Gamma_c(X(c), k)_{(q^6)}$  gives the planar-embedded Brauer tree as shown in Figure 10.

5.2. **Groups of type  $E_8$ .** The blocks we need to consider are:

- the three  $\Phi_9$ -blocks associated to the  $d$ -cuspidal pairs  $(A_2.(q^6 + q^3 + 1), \phi)$  for  $\phi = \phi_3, \phi_{21}$  and  $\phi_{13}$ ;
- the  $\Phi_{12}$ -block associated to the  $d$ -cuspidal pair  $({}^3D_4(q).(q^4 + q^2 + 1), {}^3D_4[1])$ ;
- the  $\Phi_{18}$ -block associated to the  $d$ -cuspidal pair  $({}^2A_2(q).(q^6 - q^3 + 1), \phi_{21})$ ;
- the principal  $\Phi_d$ -blocks for  $d = 15, 20$  and  $24$ . In those cases, the proper Levi subgroups of  $G$  are  $\ell'$ -groups.

5.2.1.  $d = 9$ . There are three unipotent blocks with non-trivial cyclic defect. The real stem is given by Figure 9, where we have given the correspondence with vertices of the  $E_7$  tree. For each of the three trees, there are two pairs of complex conjugate characters that need to be located, namely:

- (1)  $\{E_6[\theta]_{\phi_{1,0}}, E_6[\theta^2]_{\phi_{1,0}}\}$  and  $\{E_6[\theta]_{\phi'_{1,3}}, E_6[\theta^2]_{\phi'_{1,3}}\}$  for the block  $b_1$  associated to the  $d$ -cuspidal pair  $(A_2, \phi_3)$ ;
- (2)  $\{E_6[\theta]_{\phi_{2,1}}, E_6[\theta^2]_{\phi_{2,1}}\}$  and  $\{E_6[\theta]_{\phi_{2,2}}, E_6[\theta^2]_{\phi_{2,2}}\}$  for the block  $b_2$  associated to the  $d$ -cuspidal pair  $(A_2, \phi_{21})$ ;
- (3)  $\{E_6[\theta]_{\phi_{1,6}}, E_6[\theta^2]_{\phi_{1,6}}\}$  and  $\{E_6[\theta]_{\phi'_{1,3}}, E_6[\theta^2]_{\phi'_{1,3}}\}$  for the block  $b_3$  associated to the  $d$ -cuspidal pair  $(A_2, \phi_{13})$ .

To this end we again use the cohomology of the Coxeter variety  $X(c)$ , which we first show to be torsion-free on each block  $b_i$ . Lemma 3.3 shows that all three unipotent blocks are Harish-Chandra projective relative to the principal block of  $E_6(q)$ . By Lemma 5.2, the cohomology of the Coxeter variety of  $E_6$  is torsion-free. Therefore by Proposition 3.15 the cohomology of  $X(c)$ , cut by the sum of the  $b_i$ , is torsion-free.

We can now use the same argument as for the principal  $\Phi_9$ -block of  $E_7$ : Theorem 4.21 shows that the part of the tree to the right of the non-unipotent node in Figure 9 is correct. We consider the standard Levi subgroup  $L_I$  of semisimple type  $E_7$  and we use the Harish-Chandra induction of the isomorphism  $E_6[\theta]_1 \simeq \Omega^7 \mathcal{O}$  in  $\mathcal{O}L_I$ -mod. It gives

$$E_6[\theta]_1 \oplus E_6[\theta]_{\phi_{2,1}} \oplus E_6[\theta]_{\phi_{2,2}} \oplus E_6[\theta]_{\phi'_{1,3}} \simeq \Omega^7(\mathcal{O} \oplus \phi_{8,1} \oplus \phi_{35,2} \oplus \phi_{112,3})$$

in  $\mathcal{O}G$ -stab. By cutting by each  $b_i$  and using the information above (on the part of the tree to the right of the non-unipotent node) we get  $E_6[\theta]_{\phi_{2,2}} \simeq \Omega^7 \phi_{35,2}$  and  $E_6[\theta]_{\phi'_{1,3}} \simeq \Omega^7 \phi_{112,3}$ . The same procedure starting with the isomorphism  $E_6[\theta]_\varepsilon \simeq$

$\Omega^7\phi_{7,1}$  yields  $E_6[\theta]_{\phi_{1,3}''} \simeq \Omega^7\phi_{160,7}$ . This completes the determination of the three planar-embedded trees.

Note that even though each of these three blocks is Morita equivalent to the principal  $\Phi_9$ -block of  $E_7$ , the Harish-Chandra induction functor (cut by each block) does not induce that equivalence.

5.2.2.  $d = 12$ . The real stem is as given in Figure 12, therefore knowing the tree amounts to locating the cuspidal character  $E_8[-\theta^2]$ .

Let  $C = b\widetilde{\text{R}}\Gamma_c(X(c), \mathcal{O})_{(q^6)}$ . The non-cuspidal simple  $A$ -modules are in the principal series, hence they cannot occur in the torsion of  $H_c^*(X(c), \mathcal{O})$ . It follows that Assumption (i) of Theorem 4.21 is satisfied. Assumption (iii) follows from the knowledge of the real stem of the tree. Finally, Assumption (ii) follows from the decomposition

$$bH_c^*(X(c), K)_{(q^6)} \simeq E_8[-\theta^2][-8] \oplus \phi_{28,8}[-14].$$

Theorem 4.21 shows that Figure 12 gives the correct planar-embedded Brauer tree.

5.2.3.  $d = 18$ . The real stem is as in Figure 14.

- Step 1: position of  $E_8[-\theta^2]$ .

The only proper standard  $F$ -stable Levi subgroup  $\mathbf{L}_I$  with  $\ell \mid |L_I|$  has type  $E_7$ . It follows from Proposition 4.16 that  $bR_{L_I}^G(M)$  is projective for any  $M \in \mathcal{O}L_I\text{-mod}$ . Since 18 is the Coxeter number of  $E_7$ , [29, §4.3] shows that the cohomology of the perfect complex  $b\text{R}\Gamma_c(X(c_I), \mathcal{O})$  is torsion-free. It follows from Proposition 3.15 that the torsion of  $bH_c^*(X(c), \mathcal{O})$  is cuspidal. Since

$$bKH_c^*(X(c), K)_{(q^7)} = (E_8[-\theta^2])[-8] \oplus \phi_{8,1}[-15],$$

Proposition 4.22 and Theorem 4.21 show that there is an edge between  $\phi_{35,74}$  and  $E_8[-\theta^2]$ , and that edge comes between the edges  $\phi_{35,74} \text{ --- } \phi_{300,44}$  and  $\phi_{35,74} \text{ --- } \phi_{8,91}$  in the cyclic ordering of edges around  $\phi_{35,74}$ .

- Step 2:  $E_8[\theta]$  is connected to the non-unipotent node.

From the Brauer tree of the principal  $\Phi_{18}$ -block given in [19], we know that  $\Omega^{12}k$  lifts to an  $\mathcal{O}G$ -lattice of character  $E_6[\theta]_1$ . Now, if  $E_8[\theta]$  is not connected to the non-unipotent node, then  $\Omega^{12}\phi_{8,1}$  lifts to an  $\mathcal{O}G$ -lattice of character  $D_{4,\phi_{1,12}''}$  or  $\phi_{8,91}$  depending on whether  $E_8[\theta]$  is connected to the  $D_4$ -series or the principal series. Since the degree of  $\phi_{8,1} \otimes E_6[\theta]_1$  is smaller than that of  $\phi_{8,91}$  and of  $D_{4,\phi_{1,12}''}$ , we obtain a contradiction. This proves that  $E_8[\theta]$  and  $E_8[\theta^2]$  are connected to the non-unipotent node, and we obtain the planar-embedded Brauer tree up to swapping these two characters (see Figure 14).

- Step 3: description of  $b\text{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q)}$ .

Let  $C = b\widetilde{\text{R}}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q)}^{\text{red}}$ . Its cohomology over  $K$  is given by

$$KC \simeq (\phi_{8,1}^{\oplus 7} \oplus \phi_{35,2}^{\oplus 14} \oplus \phi_{300,8}^{\oplus 10} \oplus \phi_{840,13}^{\oplus 4})[-2] \oplus (E_8[-\theta])[-8].$$

Corollary 3.13 (or rather its analogue for compactifications, which holds since  $\ell \nmid \mathbf{T}^{vF}$  for all  $v \leq c$ , see also Remark 3.6) shows that the terms of  $C$  are projective and do not involve the projective cover of a cuspidal module, except possibly in degree 8. The character of  $KC$  shows that only the projective cover of  $E_8[-\theta]$  can occur, and it occurs once in degree 8. In addition, the torsion of the cohomology of  $C$  must be cuspidal by Proposition 3.8 (there are no modules lying in an  $E_7$ -series in  $b$ ). Let  $i < 2$  be minimal such that  $H^i(kC) \neq 0$ . Then  $H^i(kC)$  is cuspidal and  $(kC)^i$  contains an injective hull of  $H^i(kC)$ , a contradiction. So,  $H^i(kC) = 0$  for  $i < 2$ . Let  $P_0, \dots, P_7$  be the projective indecomposable modules lying in the principal series of  $A$ , with  $[P_0] = \phi_{8,1} + \phi_{35,2}$  and  $\text{Hom}(P_i, P_{i+1}) \neq 0$  for  $0 \leq i < 7$ , so that  $[P_7] = \phi_{35,74} + \phi_{8,91}$ . It follows from Lemma 4.20 that

$$(5.1) \quad C \simeq 0 \rightarrow P \rightarrow P_2 \rightarrow \cdots \rightarrow P_6 \rightarrow P_{E_8[-\theta]} \rightarrow 0$$

where  $P \simeq P_0^{\oplus 7} \oplus P_1^{\oplus 7} \oplus P_2^{\oplus 4}$  is in degree 2.

- Step 4: the torsion part of  $b\text{R}\Gamma_c(\overline{X}(w), \mathcal{O})_{(q)}$  is cuspidal.

Let  $w \in W$  be the unique (up to conjugation) element of minimal length for which  $E_8[\theta]$  occurs in  $H_c^*(X(w))$ . Here  $\ell(w) = 14$ . Let us consider  $R = b\text{R}\Gamma_c(\overline{X}(w), \mathcal{O})_{(q)}$ . Using the trace formula (see [25, Corollaire 3.3.8]), we find that

$$KR \simeq (\phi_{8,1}^{\oplus 4} \oplus \phi_{35,2}^{\oplus 6} \oplus \phi_{300,8}^{\oplus 3} \oplus \phi_{840,13})[-2] \oplus (E_8[\theta^2])[-14].$$

By Proposition 3.8, the torsion-part of the cohomology in  $R$  is either cuspidal or in an  $E_7$ -series. Since there are no modules in  $E_7$ -series in  $A$ , we deduce that the torsion part is cuspidal. In particular, if  $j = 4, 5, 6$  then  $\text{Hom}_{kG}^\bullet(P_j, kR) \simeq 0$ , and if  $j = 0, \dots, 3$  the cohomology of  $\text{Hom}_{kG}^\bullet(P_j, kR)$  vanishes outside degree 2. Note that  $\text{Hom}_{kG}^\bullet(P_j, kR) \simeq P_j \otimes_{kG} kR$  where  $P_j$  is viewed as a right  $kG$ -module via the anti-automorphism  $g \mapsto g^{-1}$  of  $G$ , since  $P_j$  is self-dual.

- Step 5:  $E_8[-\theta^2]$  is not a composition factor of  $H^*(kR)$ .

Let  $C'$  be the cone of the canonical map  $P_{E_8[-\theta]}[-8] \rightarrow kC$ . By (5.1) it is homotopic to a complex involving only projective modules in the principal series. Tensoring by  $kR$  gives a distinguished triangle

$$P_{E_8[-\theta]}[-8] \otimes_{kG} kR \rightarrow kC \otimes_{kG} kR \rightarrow C' \otimes_{kG} kR \rightsquigarrow .$$

From the explicit representative of  $C'$  and step 4 above we know that the cohomology of  $C' \otimes_{kG} kR$  vanishes outside the degrees 4, 5 and 6. Proposition 3.7 shows that the cohomology of  $kC \otimes_{kG} kR$  vanishes outside degree 4. The previous distinguished triangle shows that the cohomology of  $P_{E_8[-\theta]} \otimes_{kG} kR$  vanishes outside the degrees  $-4, \dots, -1$ . Since  $H^i(kR) = 0$  for  $i < 0$  this proves that  $E_8[-\theta^2]$  is not a composition factor of  $H^*(kR)$ .

- Step 6:  $E_8[-\theta]$  is not a composition factor of  $H^i(kR)$  for  $i \neq 6, 7, 8$ .



The same method as in Step 5 with  $D = b\mathrm{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q^7)} \simeq C^*[-16]$  and  $D' = \mathrm{Cone}(kD \rightarrow P_{E_8[-\theta^2]}[-8])$  yields a distinguished triangle

$$kD \otimes_{kG} kR \rightarrow P_{E_8[-\theta^2]}[-8] \otimes_{kG} kR \rightarrow D' \otimes_{kG} kR \rightsquigarrow .$$

Here  $kD \otimes_{kG} R$  has non-zero cohomology only in degree 16 by Proposition 3.7. As for  $D' \otimes_{kG} kR$ , its cohomology vanishes outside the degrees 14, 15 and 16, and we deduce from the distinguished triangle that  $E_8[-\theta]$  is not a composition factor of  $H^i(kR)$  for  $i \neq 6, 7, 8$ .

If  $v < w$  and  $\ell \mid |\mathbf{T}^{vF}|$ , then  $v$  is conjugate to  $c_I$ , the Coxeter element of type  $E_7$ . Since  $b\mathrm{R}\Gamma_c(X(c_I), \mathcal{O})$  is perfect, it follows from Lemma 3.10 that  $b\mathrm{R}\Gamma_c(X(v), \mathcal{O})$  is perfect for all  $v < w$ .

- Step 7: given  $v < w$ , the complex  $b\mathrm{R}\Gamma_c(X(v), k)$  is quasi-isomorphic to a bounded complex of projective modules whose indecomposable summands correspond to edges that do not contain the non-unipotent vertex.

Consider  $v < w$ . If  $v$  is not conjugate to a Coxeter element  $c_I$  of  $E_7$ , then  $\ell \nmid |\mathbf{T}^{vF}|$  and  $b\mathrm{R}\Gamma_c(X(v), k)$  is perfect and quasi-isomorphic to a bounded complex of projective modules whose indecomposable summands correspond to edges that do not contain the non-unipotent vertex by Lemma 4.17. If  $v = c_I$ , the perfectness has been shown in Step 1 and the second part holds, because the edges that contain the non-unipotent vertex are cuspidal. We deduce that the statement of Step 7 holds for all  $v < w$  by Lemma 3.10.

- Step 8:  $H^{>14}(R) = 0$ .

Steps 4, 5 and 6 show that the composition factors of  $H^i(kR)$  for  $i > 14$  are cuspidal modules  $M$  corresponding to an edge containing the non-unipotent vertex. Let  $M$  be a simple module corresponding to an edge containing the non-unipotent vertex. Step 7 shows that the canonical map  $\mathrm{R}\Gamma(\overline{X}(w), k) \rightarrow \mathrm{R}\Gamma(X(w), k)$  induces an isomorphism

$$\mathrm{RHom}_{kG}^\bullet(\mathrm{R}\Gamma(X(w), k), M) \simeq \mathrm{RHom}_{kG}^\bullet(\mathrm{R}\Gamma(\overline{X}(w), k), M).$$

Let  $M_0 = H^{i_0}(kR)$  be the non-zero cohomology group of  $kR$  of largest degree. We have  $\mathrm{Hom}_{D^b(kG)}(\mathrm{R}\Gamma(\overline{X}(w), k), M_0[-i_0]) \neq 0$ . Since  $\mathrm{R}\Gamma(X(w), k)$  has a representative with terms in degrees  $0, \dots, \ell(w) = 14$ , we deduce from the previous isomorphism that  $i_0 \leq 14$ .

- Step 9:  $E_8[\theta]$  and  $E_8[\theta^2]$  do not occur as composition factors of the torsion part of  $H^*(R)$  and  $E_8[\theta^2]$  is a direct summand of  $H^{14}(kR)$ .

Step 7 shows that  $E_8[\theta]$  and  $E_8[\theta^2]$  are not composition factors of  $H_c^*(X(v), k)$  for  $v < w$ . It follows that if  $M$  is any of the simple modules  $E_8[\theta]$  or  $E_8[\theta^2]$ , then the canonical map  $H_c^*(X(w), k) \rightarrow H_c^*(\overline{X}(w), k)$  induces an isomorphism

$$(5.2) \quad \mathrm{Hom}_{kG}(P_M, H_c^i(X(w), k)) \xrightarrow{\sim} \mathrm{Hom}_{kG}(P_M, H_c^i(\overline{X}(w), k)).$$

Since  $H_c^i(X(w), k) = 0$  for  $i < 14$ , we deduce that  $E_8[\theta]$  and  $E_8[\theta^2]$  do not occur as composition factors of  $H_c^i(\overline{X}(w), k)$  for  $i < 14$ . By Poincaré duality and the



isomorphism (5.2), it follows that  $E_8[\theta]$  and  $E_8[\theta^2]$  cannot occur as composition factors of  $H_c^i(X(w), k)$  for  $i > 14$ . On the other hand,  $E_8[\theta]$  does not occur in  $[K\mathrm{R}\Gamma_c(X(w), \mathcal{O})_{(q)}]$  (nor does  $\chi_{\mathrm{exc}}$ ), hence  $E_8[\theta]$  does not occur as a composition factor of  $H_c^{14}(X(w), k)_{(q)}$  or  $H_c^{14}(\overline{X}(w), k)_{(q)}$ . Similarly,  $E_8[\theta^2]$  occurs with multiplicity 1 as a composition factor of  $H_c^{14}(X(w), k)_{(q)}$  and of  $H_c^{14}(\overline{X}(w), k)_{(q)}$ . Proposition 3.5 shows that  $E_8[\theta^2]$  is actually a submodule of  $H_c^{14}(X(w), k)_{(q)}$  and hence of  $H_c^{14}(\overline{X}(w), k)_{(q)}$  by (5.2). Since  $KH_c^{14}(\overline{X}(w), K)_{(q)} = E_8[\theta^2]$ , it follows that  $E_8[\theta^2]$  is a quotient of  $H_c^{14}(\overline{X}(w), k)_{(q)}$ , hence it is a direct summand.

- Step 10:  $(E_8[\theta^2])[-14]$  is a direct summand of  $kR$  in  $D^b(kG)$ .

Let  $Z$  be the cone of the canonical map  $\mathrm{R}\Gamma_c(X(w), k)_{(q)} \rightarrow \mathrm{R}\Gamma_c(\overline{X}(w), k)_{(q)}$ . Step 7 shows that  $Z$  can be chosen (up to isomorphism in  $D^b(kG)$ ) to be a bounded complex of projective modules that do not involve edges containing the non-unipotent vertex. The complex  $kR$  is quasi-isomorphic to the cone  $D'$  of a map  $Z[-1] \rightarrow b\mathrm{R}\Gamma_c(X(w), k)_{(q)}$ , hence to the truncation  $\tau^{\leq 14}(D')$ , a complex  $N$  with  $N^i = 0$  for  $i < 0$  and  $i > 14$  and with  $N^i$  a direct sum of projective modules corresponding to edges that do not contain the non-unipotent vertex for  $i \leq 13$ . Note that  $E_8[\theta]$  and  $E_8[\theta^2]$  are not composition factors of  $N^{13}$ , hence  $E_8[\theta]$  is not a composition factor of  $N^{14}$  while  $E_8[\theta^2]$  is a composition factor of  $N^{14}$  with multiplicity 1 (see Step 9 above). Consider a non-zero morphism  $P_{E_8[\theta^2]} \rightarrow N^{14}$  and let  $U$  be its image. Since  $E_8[\theta^2]$  is a direct summand of  $H^{14}(N)$ , it follows that the image of  $U$  in  $H^{14}(N)$  is  $E_8[\theta^2]$ . On the other hand, the simple modules corresponding to edges containing the non-unipotent vertex but not  $E_8[\theta]$  nor  $E_8[\theta^2]$  are not quotients of  $N^{13}$ , hence  $U \simeq E_8[\theta^2]$  embeds in  $H^{14}(N)$ . It follows that  $U[-14]$  is a direct summand of  $N$ .

- Step 11:  $\mathrm{R}\Gamma_c(\overline{X}(w), \mathcal{O})_{(q-2)} \simeq V[-14]$ , where  $V$  is an  $\mathcal{O}G$ -lattice such that the simple factors of  $KV$  are in the  $D_4$ -series.

Let  $R' = \mathrm{R}\Gamma_c(\overline{X}(w), \mathcal{O})_{(q-2)}$ . We have  $KH^i(R') = 0$  for  $i \neq 14$  and the simple factors of  $KH^{14}(R')$  are in the  $D_4$ -series. As in Step 4, one shows that the torsion of  $\mathrm{R}\Gamma_c(\overline{X}(w), \mathcal{O})_{(q-2)}$  is cuspidal. We show as in Steps 5 and 6 that  $E_8[-\theta]$  and  $E_8[-\theta^2]$  are not composition factors of  $H^*(kR')$ . Furthermore,  $H^{>14}(R') = 0$  as in Step 8. Proceeding as in Step 8, one sees that the canonical map  $\mathrm{R}\Gamma_c(X(w), k) \rightarrow \mathrm{R}\Gamma_c(\overline{X}(w), k)$  induces an isomorphism

$$\mathrm{RHom}_{kG}^\bullet(M, \mathrm{R}\Gamma_c(X(w), k)) \simeq \mathrm{RHom}_{kG}^\bullet(M, \mathrm{R}\Gamma_c(\overline{X}(w), k)).$$

Let  $i_0$  be minimal such that  $H^{i_0}(kR') \neq 0$ , and suppose that  $i_0 < 14$ . Then  $H^{i_0}(kR')$  is cuspidal and

$$\mathrm{Hom}_{D^b(kG)}(H^{i_0}(kR'), \mathrm{R}\Gamma_c(X(w), k)[i_0]) \simeq \mathrm{RHom}_{D^b(kG)}(H^{i_0}(kR'), \mathrm{R}\Gamma_c(\overline{X}(w), k)[i_0]) \neq 0.$$

This contradicts the fact that  $\mathrm{R}\Gamma_c(X(w), k)$  has no cohomology in degrees less than 14. Thus,  $H^i(kR') = 0$  for  $i \neq 14$ .

- Step 12: conclusion.

Lemma 3.4 shows that  $\mathrm{R}\Gamma_c(X(w), k)_{(q^{-2})} \simeq \mathrm{R}\Gamma_c(X(w), k)_{(q)}[6]$  in  $kG$ -stab. By Step 11, we deduce that  $kV$  has a direct summand isomorphic to  $\Omega^{-6}(E_8[\theta^2])$  in  $kG$ -stab. If the Brauer tree is not the one given in Figure 14 (i.e.,  $E_8[\theta]$  and  $E_8[\theta^2]$  need to be swapped), then  $\Omega^{-6}(E_8[\theta^2])$  is the reduction of a lattice in  $\phi_{300,44}$ , which cannot be a direct summand of  $kV$ . We deduce that the planar-embedded tree is as shown in Figure 14.

*Remark 5.3.* We use the determination of the tree to obtain a character-theoretic statement that will be needed in the study of the case  $d = 15$ .

The Brauer tree of the principal  $\Phi_{18}$ -block of  $G$  is given in [30, Remark 3.11]. In particular,  $E_6[\theta^2]_1 \simeq \Omega^{24}k$ . Since  $\Omega^{24}\phi_{8,1} \simeq E_8[\theta^2]$ , we deduce that  $\phi_{8,1} \otimes E_6[\theta^2]_1$  is isomorphic to  $E_8[\theta^2]$  plus a projective  $\mathcal{O}G$ -module  $P$ . If  $E_8[\theta]$  occurs in the character of  $P$ , then the non-unipotent vertex occurs as well. As the degree of the non-unipotent vertex is larger than the degree of  $\phi_{8,1} \otimes E_6[\theta^2]_1$ , we obtain a contradiction. So, the character of  $E_8[\theta]$  is not a constituent of  $\phi_{8,1} \otimes E_6[\theta^2]_1$ .

5.2.4.  $d = 15$ . The real stem is known and comprises the principal series characters in the principal  $\ell$ -block. A (Hecke) argument also gives the two subtrees consisting of characters in the  $E_6[\theta]$ -series and the  $E_6[\theta^2]$ -series as shown in Figure 13.

Except for the two characters  $E_8[\theta]$  and  $E_8[\theta^2]$ , each Harish-Chandra series meeting the principal  $\Phi_{15}$ -block has a character which appears in the cohomology of the Coxeter variety. The generalized  $(\lambda)$ -eigenspaces on the cohomology of the Coxeter variety are given by

$$\begin{aligned} b\mathrm{H}_c^*(X(c), K)_{(q^8)} &\simeq E_6[\theta]_\varepsilon[-8] \oplus K[-16], \\ b\mathrm{H}_c^*(X(c), K)_{(q^{10})} &\simeq (E_8[\zeta^2])[-8] \oplus E_6[\theta]_1[-10], \\ b\mathrm{H}_c^*(X(c), K)_{(q^7)} &\simeq (E_8[\zeta])[-8] \oplus \phi_{8,1}[-15]. \end{aligned}$$

Corollary 4.23 applied to  $\lambda = q^8$  shows that there is an edge between  $\mathrm{St}$  and  $E_6[\theta]_\varepsilon$ , and this edge comes between the one containing  $\phi_{84,64}$  and  $\mathrm{St}_\ell$  in the cyclic ordering of edges around  $\mathrm{St}$ .

Only cuspidal characters remain to be located, and since they have a larger degree than  $E_6[\theta]_1$ , we deduce that  $E_6[\theta]_1$  remains irreducible modulo  $\ell$ .

From Proposition 4.22 and Theorem 4.21 applied to  $C = b\mathrm{R}\Gamma_c(X(c), \mathcal{O})_{(q^{10})}$ , we deduce that there is an edge between  $E_8[\zeta^2]$  and  $E_6[\theta]_\varepsilon$ .

Similarly, using  $C = b\mathrm{R}\Gamma_c(X(c), \mathcal{O})_{(q^7)}$ , we deduce that there is an edge between  $\phi_{112,63}$  and  $E_8[\zeta]$ , and this edge comes between the one containing  $\phi_{1400,37}$  and the one containing  $\phi_{8,91}$  in the cyclic ordering of edges around  $\phi_{112,63}$ .

Consequently, the trees in Figures 2 and 3 are subtrees of the Brauer tree  $T$  (although as of yet we cannot fix the planar embedding around  $E_6[\theta]_\varepsilon$ ).

We claim that  $E_8[\theta]$  and  $E_8[\theta^2]$  are not connected to the subtree shown in Figure 2. Let us assume otherwise. By a (Parity) argument, they are not connected to the non-unipotent node. Let  $w \in W$  be an element of minimal length such that  $E_8[\theta^2]$

appears in the cohomology of  $X(w)$  (we have  $\ell(w) = 14$ ). We have

$$[bH_c^*(X(w), K)_{q^2}] = [E_8[\theta^2]] + [\phi_{8,91}] = ([\phi_{8,91}] + [\chi_{\text{exc}}]) - ([\text{St}] + [\chi_{\text{exc}}]) + \eta,$$

where  $\eta = [KP]$  and  $P$  is a projective  $b\mathcal{O}G$ -module whose character does not involve  $\chi_{\text{exc}}$ . Therefore there is an odd integer  $i$  such that  $\text{Hom}_{D^b(kG)}(\text{R}\Gamma_c(X(w), k), \text{St}_\ell[i]) \neq 0$ . Since  $i \neq 14$ , it follows from Proposition 3.5 that  $\langle [H_c^*(X(v), k)], \text{St}_\ell \rangle \neq 0$  for some  $v < w$ . One easily checks on the character of  $H_c^*(X(v), K)$  that this is impossible. As a consequence,  $E_8[\theta]$  and  $E_8[\theta^2]$  are connected to the subtree shown in Figure 3.

We next return to the planar embedding of the edges around the node  $E_6[\theta]_\varepsilon$ . If the embedding is not as in Figure 2 then  $\Omega^{-13}k$  would lift to an  $\mathcal{O}G$ -lattice of character  $E_6[\theta^2]_1$ , and as  $\Omega^{30}k$  lifts to  $\phi_{8,1}$ , we get that  $\Omega^{30}k \otimes \Omega^{-13}k$  lifts to an  $\mathcal{O}G$ -lattice with character the sum of the non-unipotent character plus a projective  $\mathcal{O}G$ -module. The sum of the degrees of the non-unipotent characters is  $(q^6 - 1)(q^8 - 1)(q^{10} - 1)(q^{12} - 1)(q^{14} - 1)(q^{18} - 1)(q^{20} - 1)(q^{24} - 1)\Phi_{30}$ . Since this is larger than the degree of  $E_6[\theta^2]_1 \otimes \phi_{8,1}$ , we deduce that  $\Omega^{-13}k$  does not lift to  $E_6[\theta^2]_1$ , and we obtain the planar-embedded Brauer tree as in Figure 2.

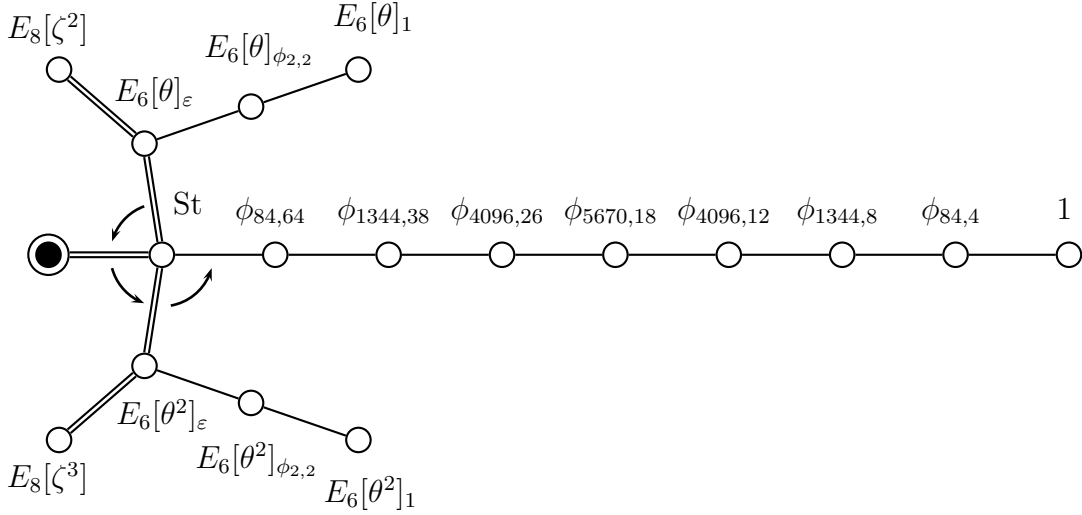
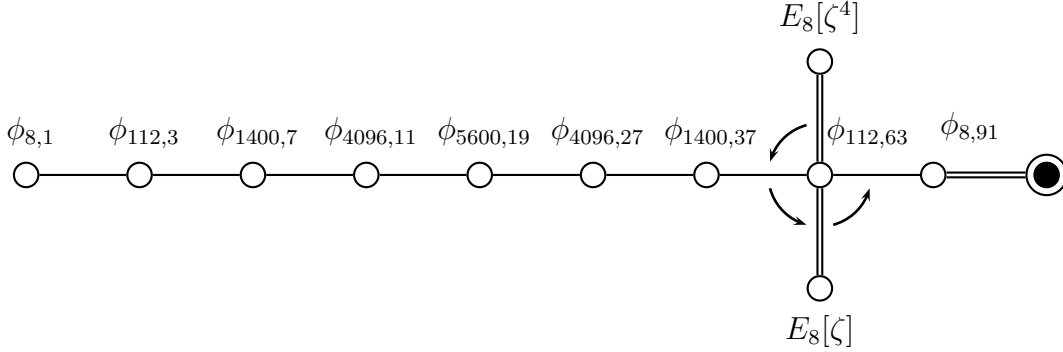


FIGURE 2. Subtree of the principal  $\Phi_{15}$ -block of  $E_8(q)$

It remains to locate  $E_8[\theta]$  and  $E_8[\theta^2]$ . If they were not connected to  $\phi_{8,91}$ , then  $\Omega^{19}k$  would lift to an  $\mathcal{O}G$ -lattice of character  $\phi_{112,63}$ , although  $\Omega^{30}k \otimes \Omega^{-11}k$  lifts to a lattice of character  $\phi_{8,1} \otimes E_6[\theta^2]_1$  plus a projective module. Since that tensor product has a degree smaller than  $\phi_{112,63}$ , we obtain a contradiction. Consequently, we obtain the planar-embedded Brauer tree given in Figure 13, up to swapping  $E_8[\theta]$  and  $E_8[\theta^2]$ . Assume the planar embedded tree shown in Figure 13 is not correct. Then  $\Omega^{19}k$  lifts to a lattice of character  $E_8[\theta]$ . Since  $\Omega^{30}k \otimes \Omega^{-11}k$  lifts to a lattice of character  $\phi_{8,1} \otimes E_6[\theta^2]_1$ , we deduce that  $E_8[\theta]$  occurs as a constituent of that tensor product, contradicting Remark 5.3. Consequently, the tree in Figure 13 is correct.

FIGURE 3. Subtree of the principal  $\Phi_{15}$ -block of  $E_8(q)$ 

5.2.5.  $d = 20$ . The real stem of the tree is easily determined (see Figure 15). The difficult part is to locate the six cuspidal characters in the block.

We have

$$\begin{aligned} b\mathrm{H}_c^*(\bar{X}(c), K)_{(q^8)} &\simeq (E_8[\zeta])[-8] \oplus K[-16], \\ b\mathrm{H}_c^*(\bar{X}(c), K)_{(q^{16})} &\simeq (E_8[\zeta^3])[-8] \oplus D_{4,1}[-12]. \end{aligned}$$

Proposition 4.24 and Corollary 4.23 show that there is an edge connecting  $E_8[\zeta]$  to  $\mathrm{St}$  and that this edge comes between the edge containing  $\phi_{112,63}$  and the one containing  $\mathrm{St}_\ell$  in the cyclic ordering of edges containing  $\mathrm{St}$ . Also, there is no cuspidal edge connected to a principal series character other than  $\mathrm{St}$  and we have

$$(5.3) \quad b\mathrm{R}\Gamma_c(\bar{X}(c), k)_{(q^8)} \simeq 0 \rightarrow P_{E_8[\zeta]} \rightarrow P_7 \rightarrow \cdots \rightarrow P_0 \rightarrow 0,$$

where  $P_0$  is in degree 16 and  $P_0, \dots, P_7$  are projective indecomposable modules labelling the principal series edges from 1 to  $\mathrm{St}$ .

Proposition 4.24 and Theorem 4.21 show that there is an edge connecting  $E_8[\zeta^3]$  and  $D_{4,\varepsilon}$ .

We now want to locate the characters  $E_8[i]$  and  $E_8[-i]$ . A (Parity) argument shows that they are not connected to the non-unipotent vertex. The smallest Deligne–Lusztig variety in which they appear is associated to a 24-regular element  $w$  of length 10. Note that  $\ell \nmid |\mathbf{T}^{vF}|$  for all  $v \leq w$ . In particular, the character  $\eta = [b\mathrm{H}_c^*(X(w), K)_{(1)}] = [\mathrm{St}] + [E_8[-i]]$  is virtually projective. It follows from Lemma 4.17 that  $\chi_{\mathrm{exc}} + D_{4,\varepsilon}$  does not occur in the decomposition of  $\eta$  in the basis of projective indecomposable modules. As a consequence,  $E_8[-i]$  is not connected by an edge to the  $D_4$ -series, hence  $E_8[i]$  and  $E_8[-i]$  are connected to the Steinberg character.

We are therefore left with determining the planar embedding around  $D_{4,\varepsilon}$  and  $\mathrm{St}$ . Assume that we are in the case shown in Figure 4. Let  $S_0, \dots, S_4$  be the simple modules labelling the edges from  $D_{4,1}$  to the non-unipotent node so that

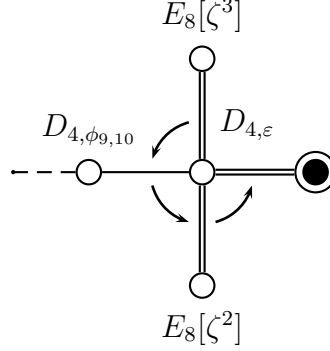


FIGURE 4. Wrong planar embedding for the principal  $\Phi_{20}$ -block of  $E_8(q)$

$[P_{S_4}] = \chi_{\text{exc}} + D_{4,\varepsilon}$ . A minimal representative of  $b\widetilde{\text{R}}\Gamma_c(\overline{X}(c), k)_{(q^{16})}$  is given by

$$D = 0 \longrightarrow \begin{array}{|c|} \hline E_8[\zeta^3] \\ \hline S_3 \\ \hline E_8[\zeta^2] \\ \hline S_4 \\ \hline E_8[\zeta^3] \\ \hline \end{array} \longrightarrow \begin{array}{|c|} \hline E_8[\zeta^2] \\ \hline S_4 \\ \hline E_8[\zeta^3] \\ \hline S_3 \\ \hline \end{array} \xrightarrow{S_2} \begin{array}{|c|} \hline S_2 \\ \hline S_3 \quad S_1 \\ \hline S_2 \\ \hline \end{array} \longrightarrow \begin{array}{|c|} \hline S_1 \\ \hline S_2 \quad S_0 \\ \hline S_1 \\ \hline \end{array} \longrightarrow \begin{array}{|c|} \hline S_0 \\ \hline S_1 \\ \hline S_0 \\ \hline \end{array} \longrightarrow 0,$$

where the cohomology groups (represented by the boxes) are non-zero in degrees 8, 9 and 12 only. A non-zero map  $P_{E_8[\zeta^2]} \rightarrow P_{E_8[\zeta^3]}$  gives a non-zero element of  $\text{Hom}_{D^b(kG)}(D^*[-16], D)$ . Consequently,  $H^{16}(D \otimes_{kG} D) \neq 0$ . We have

$$b\text{R}\Gamma_c(\overline{X}(c), K)_{(q^{16})} \otimes_{KG} b\text{R}\Gamma_c(\overline{X}(c), K)_{(q^{16})} \simeq K[-24],$$

and Proposition 3.7 shows that the cohomology of  $b\text{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q^{16})} \otimes_{\mathcal{O}G} b\text{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q^{16})}$  is torsion-free, hence  $H^i(b\text{R}\Gamma_c(\overline{X}(c), k)_{(q^{16})} \otimes_{kG} b\text{R}\Gamma_c(\overline{X}(c), k)_{(q^{16})}) = 0$  for  $i \neq 24$ : this gives a contradiction.

We now turn to the four possibilities for the planar embedding around the node labelled by the Steinberg character. We need to rule out the three of them shown in Figure 5. Recall that  $w$  denotes a 24-regular element. As in the case of  $\overline{X}(c)$ ,

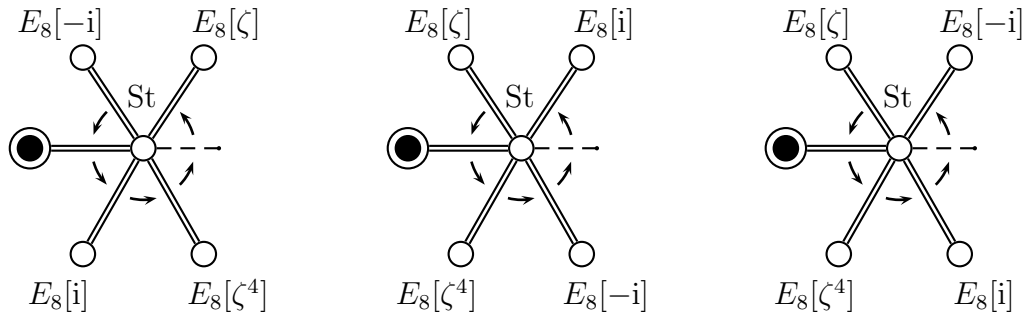


FIGURE 5. Wrong planar embeddings for the principal  $\Phi_{20}$ -block of  $E_8(q)$

Proposition 3.8 ensures that the torsion part in the cohomology of  $b\mathrm{R}\Gamma_c(\overline{X}(w), \mathcal{O})$  is cuspidal. Let  $C = (b\widetilde{\mathrm{R}}\Gamma_c(\overline{X}(w), \mathcal{O})_{(q^{10})})^{\mathrm{red}}$ , a complex with 0 terms in degrees less than 0 and greater than 20. We have

$$KC \simeq E_8[i][-10] \oplus K[-20].$$

We will describe completely the complex  $C$ , and rule out the wrong planar embeddings. We will proceed in a number of steps.

- Step 1: the only non-cuspidal simple module that can appear as a composition factor of  $H^*(kC)$  is  $K$ , and it can only appear in  $H^{20}(kC)$ . The simple modules  $\mathrm{St}_\ell$ ,  $E_8[\zeta^2]$  and  $E_8[\zeta^3]$  do not occur as composition factors of  $H^*(kC)$ .

The first statement follows from the discussion above. As a consequence, we have  $P_{S_i} \otimes_{kG} kC \simeq 0$  for  $i = 0, \dots, 3$  and therefore

$$P_{E_8[\zeta^3]}[-8] \otimes_{kG} kC \simeq b\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(q^{16})} \otimes_{kG} kC.$$

The latter is a direct summand of  $\mathrm{R}\Gamma_c(\overline{X}(c) \times_G \overline{X}(w))$ , which by Proposition 3.7 has no torsion in its cohomology. We deduce that  $P_{E_8[\zeta^3]} \otimes_{kG} kC$  is quasi-isomorphic to zero, which means that  $E_8[\zeta^2]$  does not occur as a composition factor in  $H^*(kC)$ . The same result can be shown to hold for  $E_8[\zeta^3]$ , by replacing  $b\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(q^{16})}$  by  $(b\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(q^{16})})^*[-16] \simeq b\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(q^4)}$ . The statement about  $\mathrm{St}_\ell$  follows from Proposition 3.11.

- Step 2:  $E_8[\zeta^4]$  does not occur as a composition factor of  $H^*(kC)$  and  $E_8[\zeta]$  does not occur as a composition factor of  $H^i(kC)$  for  $i \notin \{12, 13\}$ .

We have  $b\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(1)} \otimes_{kG} kC \simeq k[-20]$  and  $\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(q^8)} \otimes_{kG} kC \simeq k[-36]$ . Moreover,  $P_i \otimes_{kG} kC \simeq 0$  for  $i = 1, \dots, 7$  but  $P_0 \otimes_{kG} kC \simeq k[-20]$ , so we obtain from (5.3) a distinguished triangle

$$P_{E_8[\zeta]}[-9] \otimes_{kG} kC \rightarrow k[-36] \rightarrow k[-36] \rightsquigarrow$$

Using  $\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(q^{12})} \simeq (\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(q^8)})^*[-16]$  instead of  $\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(q^8)}$ , we obtain a distinguished triangle

$$k[-20] \rightarrow k[-20] \rightarrow P_{E_8[\zeta^4]}[-7] \otimes_{kG} kC \rightsquigarrow$$

The variety  $\overline{X}(w)$  has dimension 10, and therefore its cohomology vanishes outside the degrees  $0, \dots, 20$ . Therefore  $P_{E_8[\zeta]} \otimes_{kG} kC \simeq 0$ . We also deduce that  $P_{E_8[\zeta^4]} \otimes_{kG} kC$  is quasi-isomorphic to either 0 or  $k[-12] \oplus k[-13]$ .

- Step 3:  $P_{S_4}$ ,  $P_{\mathrm{St}_\ell}$  and  $P_{E_8[-i]}$  and do not occur in  $C$ , while  $P_{E_8[i]}$  occurs with multiplicity 1 in  $C$  (and this is in  $C^{10}$ ).

The statements about  $P_{E_8[\pm i]}$  are clear using Proposition 3.5, while the other two statements follow from Lemma 4.17.

We have now enough information to determine  $C$  and rule out the planar embeddings given in Figure 5.

- Step 4:  $C^i = 0$  for  $i < 10$ .

Let  $i$  be the smallest degree for which  $H^i(C)$  has non-zero torsion. Assume that  $i \leq 10$ . The cohomology  $H^{i-1}(kC)$  is cuspidal with socle in  $\{S_4, E_8[i], E_8[-i]\}$ . On the other hand,  $kC^{<(i-1)} = 0$  and the injective hulls of  $S_4$  and  $E_8[\pm i]$  do not occur as direct summands of  $kC^{i-1}$ , a contradiction. It follows that  $H^i(C) = 0$  for  $i < 10$  and  $H^{10}(C)$  is torsion-free. So,  $H^i(kC) = 0$  for  $i < 10$ , hence  $(kC)^i = 0$  for  $i < 10$ .

- Step 5: We have  $H^i(C) = 0$  for  $14 \leq i \leq 19$  and  $H^{20}(C) = \mathcal{O}$ .

Lemma 4.20 applied to the stupid truncation  $C^{13} \rightarrow C^{14} \rightarrow \dots \rightarrow C^{20}$  (viewed in degrees  $-7, \dots, 0$ ) shows that

$$C \simeq 0 \rightarrow C^{10} \rightarrow C^{11} \rightarrow C^{12} \rightarrow C^{13} \rightarrow P_6 \rightarrow P_5 \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow 0,$$

$H^i(C) = 0$  for  $14 \leq i \leq 19$  and  $H^{20}(C) = \mathcal{O}$ .

- Step 6:  $H^{10}(kC) = E_8[i]$  and  $H^{11}(C) = 0$ .

By the universal coefficient theorem  $H^{10}(kC)$  is an extension of  $L = \text{Tor}_1^{\mathcal{O}}(H^{11}(C), k)$  by  $kH^{10}(C) = E_8[i]$ . Since  $\text{Ext}^1(M, E_8[i]) = 0$  for all  $kG$ -modules  $M$  with composition factors in  $\{S_4, E_8[i], E_8[-i]\}$ , it follows that the  $kG$ -module  $L$  is a direct summand of  $H^{10}(kC)$ , hence  $C^{10}$  has an injective hull of  $L$  as a direct summand of  $kC^{10}$ . This shows that  $C^{10} = P_{E_8[i]}$  and  $L = 0$ .

- Step 7:  $\text{Ext}^1(\text{St}_\ell, E_8[i]) = 0$ .

The differential  $C^{10} \rightarrow C^{11}$  induces an injective map  $\Omega^{-1}E_8[i] \hookrightarrow C^{11}$ . Since  $P_{\text{St}_\ell}$  is not a direct summand of  $C^{11}$ , it follows that  $\text{St}_\ell$  does not occur in the socle of  $C^{11}$ , hence not in the socle of  $\Omega^{-1}E_8[i]$ .

This rules out the first possibility of the planar embedding around  $\text{St}$  in Figure 5.

- Step 8:  $H^{12}(C) = 0$ .

Let  $L = \text{Tor}_1^{\mathcal{O}}(H^{12}(C), k)$ . The  $kG$ -module  $\Omega^{-2}E_8[i]$  has no composition factors isomorphic to  $S_4$ ,  $E_8[i]$  or  $E_8[-i]$ , hence  $\text{Hom}(L, \Omega^{-2}E_8[i]) = 0$ . It follows that  $\text{Ext}^1(L, \Omega^{-1}E_8[i]) = 0$ , hence an injective hull of  $L$  is a direct summand of  $kC^{11}$ , which forces  $L = 0$ , hence  $H^{12}(C) = 0$ .

- Step 9:  $C^{13} \simeq P_7$ .

We have  $C^{13} \simeq P_7 \oplus R$  for some projective  $kG$ -module  $R$ , whose head is in  $H^{13}(kC)$ . It follows from Steps 1-3 that  $R \simeq P_{E_8[\zeta]}^{\oplus n}$  for some  $n \geq 0$ . Assume that  $n > 0$ . Since  $\text{St}_\ell$  does not occur as a composition factor of  $H^{13}(kC)$ , it follows that  $E_8[\varepsilon i]$  must occur immediately after  $E_8[\zeta]$  in the cyclic ordering around  $\text{St}$  for some  $\varepsilon \in \{+, -\}$  and  $P_{E_8[\varepsilon i]}$  occurs as a direct summand of  $C^{12}$ : this is a contradiction. We deduce that  $C^{13} \simeq P_7$ .

- Step 10: conclusion.

Assume that the configuration around  $\text{St}$  is the second one in Figure 5. Then  $\Omega^{-3}E_8[i]$  is an extension of  $S_6$  by  $S_5$ . Since  $S_5$  does not occur as a composition factor of  $H^{12}(kC)$ , it follows that  $P_5$  is a direct summand of  $C^{13}$ , a contradiction. Assume now the configuration is the third one in Figure 5. The socle of  $\Omega^{-3}E_8[i]$  is  $\text{St}_\ell$ . Since  $\text{St}_\ell$  does not occur as a composition factor of  $H^{12}(kC)$  and a projective



cover does not occur as a direct summand of  $C^{13}$ , we obtain a contradiction. This concludes the determination of the Brauer tree. Note that now  $\Omega^{-2}E_8[i] = E_8[\zeta]$ ,  $C^{12} \simeq P_{E_8[\zeta]}$  and  $H^{12}(kC) = 0$ . In particular,  $H^*(C)$  is torsion-free and  $C$  is

$$0 \rightarrow P_{E_8[i]} \rightarrow P_{E_8[\zeta]} \rightarrow P_{E_8[\zeta]} \rightarrow P_7 \rightarrow P_6 \rightarrow \cdots \rightarrow P_0 \rightarrow 0.$$

5.2.6.  $d = 24$ . Several Harish-Chandra series lie in the principal  $\Phi_d$ -block, and a (Hecke) argument gives the corresponding subtrees, as well as the real stem, as shown in Figure 16.

- Step 1: cuspidal modules  $E_8[-\theta]$  and  $E_8[-\theta^2]$ .

The two cuspidal characters  $E_8[-\theta]$  and  $E_8[-\theta^2]$  appear in the cohomology of a Coxeter variety  $X(c)$ . To locate them on the Brauer tree we shall look at the cohomology of a compactification  $\bar{X}(c)$  and proceed as in the beginning of §5.2.5. We have

$$bR\Gamma_c(\bar{X}(c), K)_{(q^8)} \simeq (E_8[-\theta^2])[-8] \oplus K[-16].$$

we deduce from Corollary 4.23 and Theorem 4.21 (see Proposition 4.24) that

$$(5.4) \quad bR\Gamma_c(\bar{X}(c), \mathcal{O})_{(q^8)} \simeq 0 \rightarrow P_{E_8[-\theta^2]} \rightarrow P_7 \rightarrow \cdots \rightarrow P_0 \rightarrow 0,$$

where  $P_1, \dots, P_7$  is the unique path of projective covers of non-cuspidal simple modules corresponding to edges from  $k$  to  $\text{St}$  in the Brauer tree, and the tree in Figure 6 is a subtree of  $T$ . Furthermore, the only principal series vertex connected by an edge to a non-principal series vertex is  $\text{St}$ .

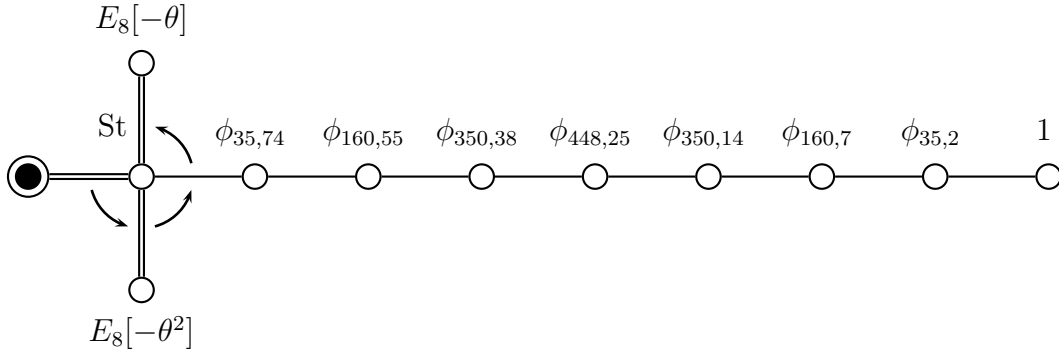


FIGURE 6. Subtree of the principal  $\Phi_{24}$ -block of  $E_8(q)$

- Step 2:  $E_6$ -series.

We now locate the  $E_6$ -series characters. By a (Degree) argument,  $E_6[\theta]_{\phi''_{1,3}}$  and  $E_6[\theta^2]_{\phi''_{1,3}}$  are not leaves in the tree, so, by a (Parity) argument, must be connected to one of the non-unipotent vertex,  $D_{4,\phi''_{8,9}}$  or  $D_{4,\phi''_{8,3}}$ , and they are connected to the same node. Note that  $E_6[\theta^{\pm 1}]_{\phi''_{1,3}}$  is connected to exactly two characters:  $E_6[\theta^{\pm 1}]_{\phi_{2,2}}$  and the real character above (by a (Parity) argument, it cannot be connected to  $E_8[\pm i]$ ). For all  $q$ , the degree of

$$[D_{4,\phi''_{8,9}}] - ([E_6[\theta]_{\phi''_{1,3}}] - [E_6[\theta]_{\phi_{2,2}}] + [E_6[\theta^2]_{\phi''_{1,3}}] - [E_6[\theta^2]_{\phi_{2,2}}])$$

is negative, hence it cannot be the class of a  $kG$ -module. As a consequence,  $E_6[\theta^{\pm 1}]_{\phi''_{1,3}}$  is not connected to  $D_{4,\phi''_{8,9}}$ . The same statement holds for  $D_{4,\phi'_{8,3}}$ , hence  $E_6[\theta^{\pm 1}]_{\phi''_{1,3}}$  is connected to the non-unipotent node.

Again, (Parity) and (Degree) arguments show that the characters  $E_8[\pm i]$  are connected to the non-unipotent node, or one of the nodes  $D_{4,\phi''_{8,9}}$ ,  $E_6[\theta]_{\phi_{2,2}}$  or  $E_6[\theta^2]_{\phi_{2,2}}$ . Note that, from the subtree constructed so far and that  $E_8[\pm i]$  and  $E_6[\theta^{\pm 1}]_{\phi'_{1,3}}$  have the same parity, they must both be leaves in the tree and so remain irreducible modulo  $\ell$ .

Let  $w \in W$  be a regular element of order 24 and length 10. We have

$$b\mathrm{R}\Gamma_c(\overline{X}(w), K)_{(q^{11})} \simeq E_8[i][-10],$$

$$b\mathrm{R}\Gamma_c(\overline{X}(w), K)_{(q^{14})} \simeq E_6[\theta]_{\phi'_{1,3}}[-12].$$

- Step 3:  $E_8[-\theta]$  and  $E_8[-\theta^2]$  do not occur in  $H_c^*(\overline{X}(w), k)_{(\lambda)}$ .

Let  $\lambda$  be either  $q^{11}$  or  $q^{14}$ . The torsion part in  $b\mathrm{R}\Gamma_c(\overline{X}(w), \mathcal{O})_{(\lambda)}$  is cuspidal by Proposition 3.8. Since its character has no composition factor in the principal series we have  $\mathrm{R}\Gamma_c(\overline{X}(w), k)_{(\lambda)} \otimes_{kG} P_i = 0$  for  $i \in \{0, \dots, 7\}$ . Using Proposition 3.7 for the variety  $\overline{X}(w) \times_G \overline{X}(c)$  together with (5.4) and the dual description of  $b\mathrm{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(1)}$ , we deduce that  $\mathrm{R}\Gamma_c(\overline{X}(w), k)_{(\lambda)} \otimes_{kG} P_{E_8[-\theta]} = \mathrm{R}\Gamma_c(\overline{X}(w), k)_{(\lambda)} \otimes_{kG} P_{E_8[-\theta^2]} = 0$ . This ensures that neither  $E_8[-\theta]$  nor  $E_8[-\theta^2]$  can occur as composition factors of the cohomology of  $b\mathrm{R}\Gamma_c(\overline{X}(w), k)_{(\lambda)}$ .

- Step 4:  $b\mathrm{R}\Gamma_c(\overline{X}(w), k)_{(q^{11})}$  and position of  $E_8[\pm i]$ .

Let  $C = b\mathrm{R}\Gamma_c(\overline{X}(w), k)_{(q^{11})}$  and let  $M = H^i(C)$  be the non-zero cohomology group with largest degree. Suppose that  $i > 10$ . The module  $M$  is cuspidal and its composition factors are cuspidal modules different from  $E_8[-\theta]$  and  $E_8[-\theta^2]$ . Proposition 3.5 shows that  $\mathrm{RHom}_{kG}^\bullet(\mathrm{R}\Gamma_c(X(v), k), M) = 0$  for all  $v < w$ . By the construction of the smooth compactifications, we obtain an isomorphism

$$\mathrm{RHom}_{kG}^\bullet(\mathrm{R}\Gamma(X(w), k), M) \xrightarrow{\sim} \mathrm{RHom}_{kG}^\bullet(\mathrm{R}\Gamma_c(\overline{X}(w), k), M).$$

Since  $\mathrm{R}\Gamma(X(w), k)$  has a representative with terms in degrees  $0, \dots, \ell(w) = 10$ , we deduce that  $\mathrm{Hom}_{D^b(kG)}(\mathrm{R}\Gamma_c(\overline{X}(w), k), M[-i]) = 0$ , which is impossible since  $C$  is a direct summand of  $\mathrm{R}\Gamma_c(\overline{X}(w), k)$  and the map  $C \rightarrow M[-i] = H^i(C)[-i]$  is non-zero. This shows that  $H^j(C) = 0$  for  $j > 10$ . Using the same argument with the isomorphism

$$\mathrm{RHom}_{kG}^\bullet(M, \mathrm{R}\Gamma_c(X(w), k)) \xrightarrow{\sim} \mathrm{RHom}_{kG}^\bullet(M, \mathrm{R}\Gamma_c(\overline{X}(w), k))$$

and the fact that  $\mathrm{R}\Gamma_c(X(w), k)$  has a representative with terms in degrees  $10 = \ell(w), \dots, 2\ell(w) = 20$ , we deduce that  $H^j(C) = 0$  for  $j < 10$ . Therefore  $C \simeq H^{10}(C)[-10]$ .

Now, Proposition 4.18 and Remark 4.19 show that  $E_8[i] \simeq \Omega^{12}k$ . We deduce that  $E_8[\pm i]$  are connected to the non-unipotent node and this gives the whole tree as shown in Figure 16, up to swapping the  $E_6[\theta]$  and the  $E_6[\theta^2]$ -series.

- Step 5:  $b\mathrm{R}\Gamma_c(\overline{X}(w), k)_{(q^{14})}$  and conclusion.

The previous argument applied to the complex  $D = b\mathrm{R}\Gamma_c(\overline{X}(w), k)_{(q^{14})}$  shows that the cohomology of  $D$  vanishes outside the degrees 10, 11 and 12, and that  $H^{12}(D)$  is a module with simple head isomorphic to  $E_6[\theta]_{\phi'_{1,3}}$ . The radical of  $H^{12}(D)$  is cuspidal. Since  $E_6[\theta]_{\phi'_{1,3}}$  has no non-trivial extensions with simple cuspidal modules, we deduce that  $H^{12}(D) = E_6[\theta]_{\phi'_{1,3}}$  and  $H_c^{12}(\overline{X}(w), \mathcal{O})_{(q^{14})}$  is torsion-free.

Let us denote the simple modules in the  $E_6[\theta]$ -series as in Figure 7. There exists

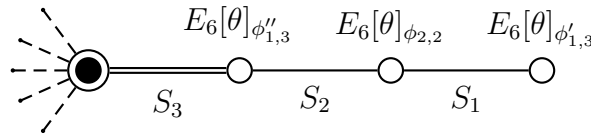


FIGURE 7. Subtree of the principal  $\Phi_{24}$ -block of  $E_8(q)$

a representative of  $D$  of the form

$$D = 0 \rightarrow X \rightarrow P' \oplus P_{S_2} \rightarrow P_{S_1} \rightarrow 0,$$

where  $P'$  is a projective module with no cuspidal simple quotient except possibly  $E_8[-\theta]$  or  $E_8[-\theta^2]$  (by Proposition 3.5). Since  $H^{11}(D)$  is a cuspidal module with no composition factor isomorphic to  $E_8[-\theta]$  or  $E_8[-\theta^2]$ , we deduce that the representative of  $D$  can be chosen so that  $P' = 0$ . By the universal coefficient theorem, we have  $H^{10}(D) \simeq H^{11}(D)$ . We have  $H^{11}(D) = 0$  or  $H^{11}(D) = S_3$ . In both cases, we find that  $X$  is a module with composition factors  $S_2$  and  $S_3$ .

Proposition 4.18 and Remark 4.19 show that  $X \simeq \Omega^{18}k$  in  $kG$ -stab. We deduce that  $\Omega^{18}k$  lifts to an  $\mathcal{O}G$ -lattice of character  $E_6[\theta]_{\phi'_{1,3}}$ , which gives the planar embedding.

**5.3. Other exceptional groups.** The Brauer trees of unipotent blocks for exceptional groups other than  $E_7(q)$  and  $E_8(q)$  were determined in [13, 64, 47, 38, 48, 49] (under an assumption on  $q$  for one of the blocks in  ${}^2E_6(q)$ ), but only up to choice of field of values in each block. This ambiguity can be removed using Lusztig's parametrization of unipotent characters. We achieve this by choosing carefully the roots of unity in  $\overline{\mathbb{Q}}_\ell$  associated with the cuspidal characters, as we did in the previous sections.

**5.3.1.  $E_6(q)$ ,  ${}^2E_6(q)$ ,  $F_4(q)$  and  $G_2(q)$ .** For each of the exceptional groups of type  $E_6(q)$ ,  ${}^2E_6(q)$ ,  $F_4(q)$  and  $G_2(q)$  there are only two blocks with cyclic defect groups whose Brauer trees are not lines. One of the blocks corresponds to the principal

$\Phi_h$ -block with  $h$  the Coxeter number, and this case was solved in [29]. For the other one, one proceeds exactly as in §5.1.1, where only a pair of conjugate cuspidal characters lies outside the real stem (these characters appear in the cohomology of a Coxeter variety). The planar-embedded Brauer trees can be found in [19].

5.3.2.  ${}^2B_2(q^2)$  and  ${}^2G_2(q^2)$ . For the Suzuki groups  ${}^2B_2(q^2)$  and the Ree groups  ${}^2G_2(q^2)$ , the Frobenius eigenvalue corresponding to each unipotent character is known by [12]. It is enough to locate a single non-real character to fix the planar embedding. One can take this character to be a non-real cuspidal character occurring in the cohomology of the Coxeter variety and proceed as before to get the trees given in [19]. Note that for these groups the Coxeter variety is 1-dimensional, therefore its cohomology is torsion-free and  $\Omega^2 k$  is isomorphic in  $kG$ -stab to the generalized  $(q^2)$ -eigenspace of  $F^2$  in  $\mathrm{R}\Gamma_c(\mathrm{X}(c), k)$  (when  $d$  is not the Coxeter number).

5.3.3.  ${}^2F_4(q^2)$ . We now consider the Ree groups  ${}^2F_4(q^2)$ , whose Brauer trees have been determined in [47] using the parametrization given in [59], but not using Lusztig's parametrization.

Here, there are three trees that are not lines. One of them corresponds to the case solved in [29], and another one is similar to §5.1.1. The only block which deserves a specific treatment is the principal  $\ell$ -block with  $\ell \mid (q^4 + \sqrt{2}q^3 + q^2 + \sqrt{2}q + 1)$  (so,  $q$  is a 24-th root of unity modulo  $\ell$ ). Let  $\eta$ ,  $i$  and  $\theta$  be the roots of unity in  $\mathcal{O}$  having the same image as respectively  $q^{15}$ ,  $q^6$  and  $q^{16}$  in the residue field  $k$ .

A (Hecke) argument gives the real stem of the Brauer tree as shown in Figure 8 as well as the two edges for the  ${}^2B_2$ -series.

We consider the two generalized ‘mod-  $\ell$ -eigenspaces’ of  $F^2$  on the cohomology of the Coxeter variety given by

$$\begin{aligned} \mathrm{R}\Gamma_c(\mathrm{X}(c), K)_{(q^{-2})} &= ({}^2B_2[\eta^3]_\varepsilon \oplus {}^2F_4[-\theta^2])[-2], \\ \mathrm{R}\Gamma_c(\mathrm{X}(c), K)_{(q^4)} &= {}^2B_2[\eta^5]_\varepsilon[-2] \oplus K[-4]. \end{aligned}$$

Lemma 3.14 and Proposition 3.15 show that  ${}^2B_2[\eta^3]_\varepsilon + {}^2F_4[-\theta^2]$  is the character of a projective module  $P_{2F_4[-\theta^2]}$ , hence  ${}^2B_2[\eta^3]_\varepsilon$  and  ${}^2F_4[-\theta^2]$  are connected by an edge. Furthermore,  $\mathrm{R}\Gamma_c(\mathrm{X}(c), \mathcal{O})_{(q^{-2})} \simeq P_{2F_4[-\theta^2]}[-2]$ .

Corollary 4.23 show that there is no non-real vertex connected to 1 or  $\phi_{2,3}$ , that there is an edge  $S[\eta^5]$  connecting  $\mathrm{St}$  and  ${}^2B_2[\eta^5]_\varepsilon$ , and, in the cyclic ordering of edges containing  $\mathrm{St}$ , the edge  $S[\eta^5]$  comes after the one containing  $\phi_{2,3}$  and before  $\mathrm{St}_\ell$ . Furthermore,

$$\mathrm{R}\Gamma_c(\mathrm{X}(c), \mathcal{O})_{(q^{-2})} \simeq 0 \rightarrow P_{S[\eta^5]} \rightarrow P_1 \rightarrow P_k \rightarrow 0,$$

where  $P_k$  is in degree 4 and  $P_1$  is projective with character  $\phi_{2,3} + \mathrm{St}$ .

We can now deduce the corresponding complexes of cohomology for  $\overline{\mathrm{X}}(c)$ . For  $\lambda \in \{q^{-2}, q^4\}$  and  $I$  an  $F$ -stable proper subset of  $S$ , we have  $b\mathrm{R}\Gamma_c(\mathrm{X}(c_I), \mathcal{O})_{(\lambda)} = 0$

unless  $(L_i, F)$  has type  ${}^2B_2$ , in which case the complex has cohomology concentrated in degree 1. In addition, using duality for the case  $\lambda \in \{q^6, 1\}$ , we find

$$\begin{aligned} b\mathrm{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q^{-2})} &\simeq 0 \rightarrow 0 \rightarrow P_{2B_2[\eta^3]_1} \rightarrow P_{2F_4[-\theta^2]} \rightarrow 0 \rightarrow 0 \rightarrow 0, \\ b\mathrm{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q^6)} &\simeq 0 \rightarrow 0 \rightarrow 0 \rightarrow P_{2F_4[-\theta]} \rightarrow P_{2B_2[\eta^5]_1} \rightarrow 0 \rightarrow 0, \\ b\mathrm{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q^4)} &\simeq 0 \rightarrow 0 \rightarrow P_{2B_2[\eta^5]_1} \rightarrow P_{S[\eta^5]} \rightarrow P_1 \rightarrow P_k \rightarrow 0, \\ b\mathrm{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(1)} &\simeq 0 \rightarrow P_k \rightarrow P_1 \rightarrow P_{S[\eta^3]} \rightarrow P_{2B_2[\eta^3]_1} \rightarrow 0 \rightarrow 0, \end{aligned}$$

where  $S[\eta^3]$  is the edge connecting  $\mathrm{St}$  and  ${}^2B_2[\eta^3]_\varepsilon$ . Since  $\mathrm{R}\Gamma_c(\overline{X}(c) \times_G \overline{X}(c), \mathcal{O})$  is torsion-free (Proposition 3.7), we deduce that the differentials between non-zero terms of the complexes above cannot be zero. This determines uniquely the four complexes above up to isomorphism.

We have

$$\begin{aligned} &b\mathrm{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q^{-2})} \otimes_{\mathcal{O}_G} b\mathrm{R}\Gamma_c(\overline{X}(c), \mathcal{O})_{(q^4)} \simeq \\ &\mathrm{Hom}_{kG}^\bullet(0 \rightarrow P_{S[\eta^3]} \rightarrow P_{2B_2[\eta^3]_1} \rightarrow 0, 0 \rightarrow P_{2B_2[\eta^3]_1} \rightarrow P_{2F_4[-\theta^2]} \rightarrow 0)[-3]. \end{aligned}$$

By Proposition 3.7, this complex  $D$  has homology  $\mathcal{O}$  concentrated in degree 2. Assume that, in the cyclic ordering of edges containing  ${}^2B_2[\eta^3]_\varepsilon$ , the edge containing  ${}^2F_4[-\theta^2]$  comes after the edge containing  ${}^2B_2[\eta^3]_1$  but before the edge containing  $\mathrm{St}$ . Then a non-zero map  $kP_{S[\eta^3]} \rightarrow kP_{F_4[-\theta^2]}$  does not factor through  $kP_{2B_2[\eta^3]_1}$ : so, it gives rise to a non-zero element of  $H^4(kD)$ , a contradiction. It follows that the subtree obtained by removing  ${}^2F_4[\pm i]$  is given by Figure 8.

Let  $w \in W$  of length 6 such that  $wF$  has order 8 and let  $C = b\mathrm{R}\Gamma_c(\overline{X}(w), \mathcal{O})_{(-1)}$ . There are 12 such elements and they are all  $F$ -conjugate. The complex  $C$  is a perfect complex; the torsion part of its cohomology is cuspidal by Proposition 3.8 and it does not involve  $\mathrm{St}_\ell$  by Proposition 3.11. In addition, there is a representative of  $C$  that involves neither  $P_{2F_4^{\mathrm{IV}}[-1]}$  nor  $P_{\mathrm{St}_\ell}$  by Lemma 4.17. It follows that  ${}^2F_4^{\mathrm{IV}}[-1]$  does not occur as a composition factor of the cohomology of  $kC$ . Therefore the possible composition factors in the torsion part of  $H^*(C)$  are the cuspidal simple modules  ${}^2F_4[\pm i]$ ,  ${}^2F_4[-\theta^j]$  and  $S[\eta^m]$ .

The cohomology of  $KC$  is given by

$$KC \simeq (F_4[i])[-6] \oplus F_4[-\theta]^{\oplus 3}[-8] \oplus {}^2B_2[\eta^5]_1^{\oplus 5}[-9] \oplus K[-12].$$

Using Proposition 3.7 one can easily compute  $kC \otimes_{kG}^{\mathbb{L}} b\mathrm{R}\Gamma_c(\overline{X}(c), k)_{(\lambda)}$  for the various eigenvalues  $\lambda$  of  $F^2$ . With the same method as in Steps 1 and 2 of §5.2.5, the cases  $\lambda = q^{-2}, q^6, q^4, 1$  show that

- ${}^2F_4[-\theta]$  can occur as a composition factor of  $H^*(kC)$  only in degrees 8 or 9, because  $\mathrm{Hom}_{D^b(kG)}(P_{2B_2[\eta^5]_1}, kC[i]) = 0$  for  $i \neq 9$ ;
- ${}^2F_4[-\theta^2]$  does not occur as a composition factor of  $H^*(kC)$  because  $\mathrm{Hom}_{D^b(kG)}(P_{2B_2[\eta^3]_1}, kC[i]) = 0$  for all  $i$ ;



APPENDIX A. BRAUER TREES FOR  $E_7(q)$  AND  $E_8(q)$

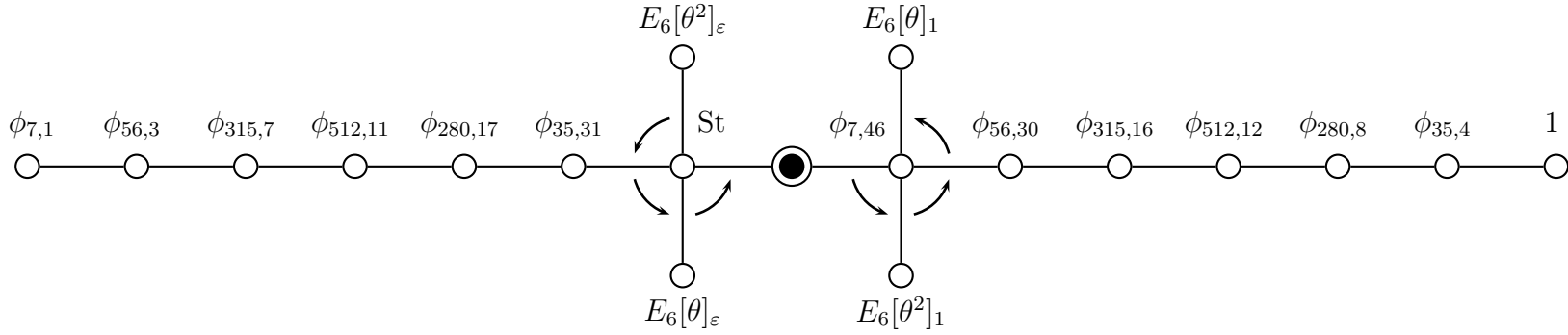


FIGURE 9. Brauer tree of the principal  $\Phi_9$ -block of  $E_7(q)$

The  $\Phi_9$ -blocks of  $E_8(q)$  have isomorphic trees, with bijection of vertices given as follows.

$E_7(q)$	$\phi_{7,1}$	$\phi_{56,3}$	$\phi_{315,7}$	$\phi_{512,11}$	$\phi_{280,17}$	$\phi_{35,31}$	St	$E_6[\theta^2]_\epsilon$	$E_6[\theta]_\epsilon$
$E_8(q), (A_2, \phi_3)$	$\phi_{160,7}$	$\phi_{1008,9}$	$\phi_{2800,13}$	$\phi_{5600,21}$	$\phi_{4096,27}$	$\phi_{560,47}$	$\phi_{112,63}$	$E_6[\theta^2]_{\phi'_{1,3}}$	$E_6[\theta]_{\phi''_{1,3}}$
$E_8(q), (A_2, \phi_{21})$	$\phi_{35,2}$	$\phi_{700,6}$	$\phi_{2240,10}$	$\phi_{3150,18}$	$\phi_{2240,28}$	$\phi_{700,42}$	$\phi_{35,74}$	$E_6[\theta^2]_{\phi_{2,2}}$	$E_6[\theta]_{\phi_{2,2}}$
$E_8(q), (A_2, \phi_{1^3})$	$\phi_{112,3}$	$\phi_{560,5}$	$\phi_{4096,11}$	$\phi_{5600,15}$	$\phi_{2800,25}$	$\phi_{1008,39}$	$\phi_{160,55}$	$E_6[\theta^2]_{\phi'_{1,3}}$	$E_6[\theta]_{\phi'_{1,3}}$
$E_7(q)$	$\phi_{7,46}$	$\phi_{56,30}$	$\phi_{315,16}$	$\phi_{512,12}$	$\phi_{280,8}$	$\phi_{35,4}$	1	$E_6[\theta^2]_1$	$E_6[\theta]_1$
$E_8(q), (A_2, \phi_3)$	$\phi_{28,68}$	$\phi_{1575,34}$	$\phi_{4096,26}$	$\phi_{3200,22}$	$\phi_{700,16}$	$\phi_{50,8}$	1	$E_6[\theta^2]_{\phi_{1,0}}$	$E_6[\theta]_{\phi_{1,0}}$
$E_8(q), (A_2, \phi_{21})$	$\phi_{8,91}$	$\phi_{400,43}$	$\phi_{1400,29}$	$\phi_{2016,19}$	$\phi_{1400,11}$	$\phi_{400,7}$	$\phi_{8,1}$	$E_6[\theta^2]_{\phi_{2,1}}$	$E_6[\theta]_{\phi_{2,1}}$
$E_8(q), (A_2, \phi_{1^3})$	$\phi_{1,120}$	$\phi_{50,56}$	$\phi_{700,28}$	$\phi_{3200,16}$	$\phi_{4096,12}$	$\phi_{1575,10}$	$\phi_{28,8}$	$E_6[\theta^2]_{\phi_{1,6}}$	$E_6[\theta]_{\phi_{1,6}}$



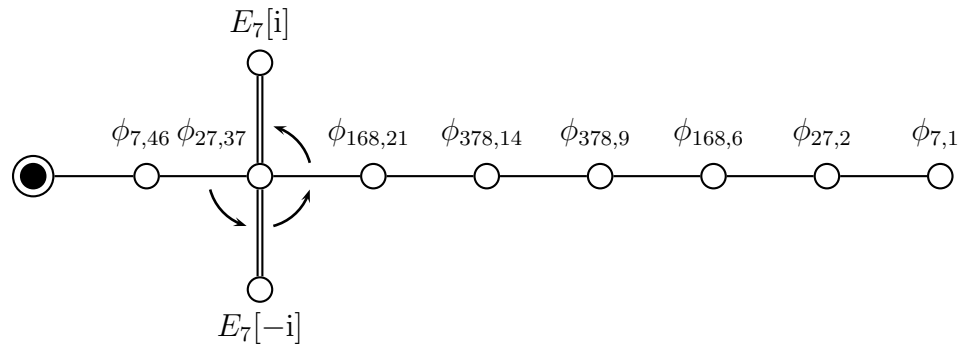


FIGURE 10. Brauer tree of the  $\Phi_{10}$ -block of  $E_7(q)$  associated to  $({}^2A_2(q).(q^5 + 1), \phi_{21})$

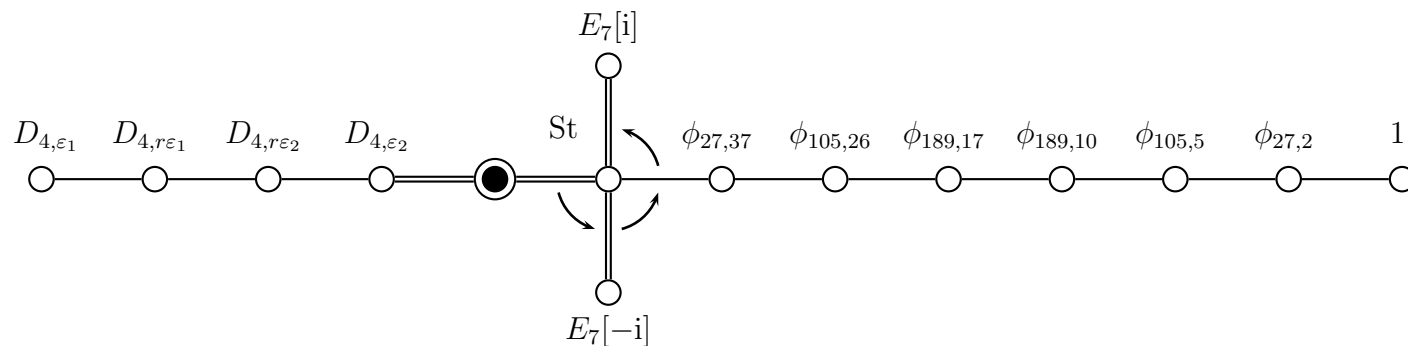


FIGURE 11. Brauer tree of the principal  $\Phi_{14}$ -block of  $E_7(q)$

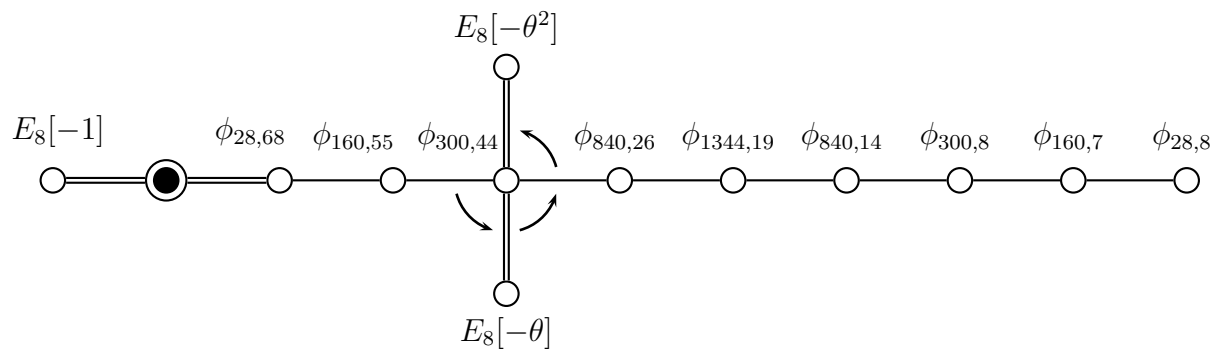


FIGURE 12. Brauer tree of the  $\Phi_{12}$ -block of  $E_8(q)$  associated to  $({}^3D_4(q), {}^3D_4[1])$

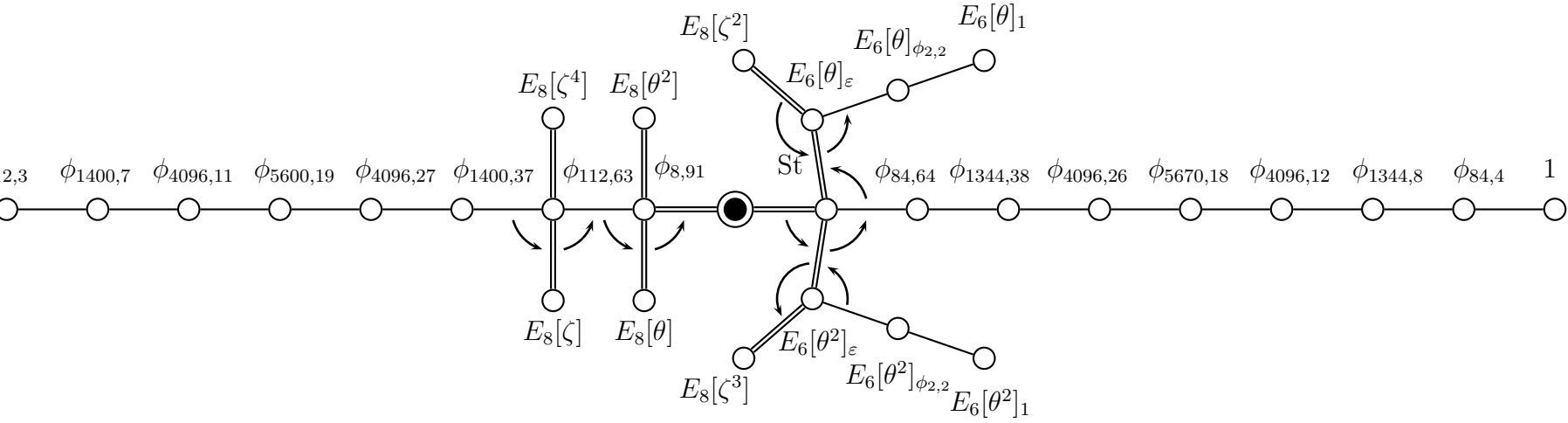


FIGURE 13. Brauer tree of the principal  $\Phi_{15}$ -block of  $E_8(q)$

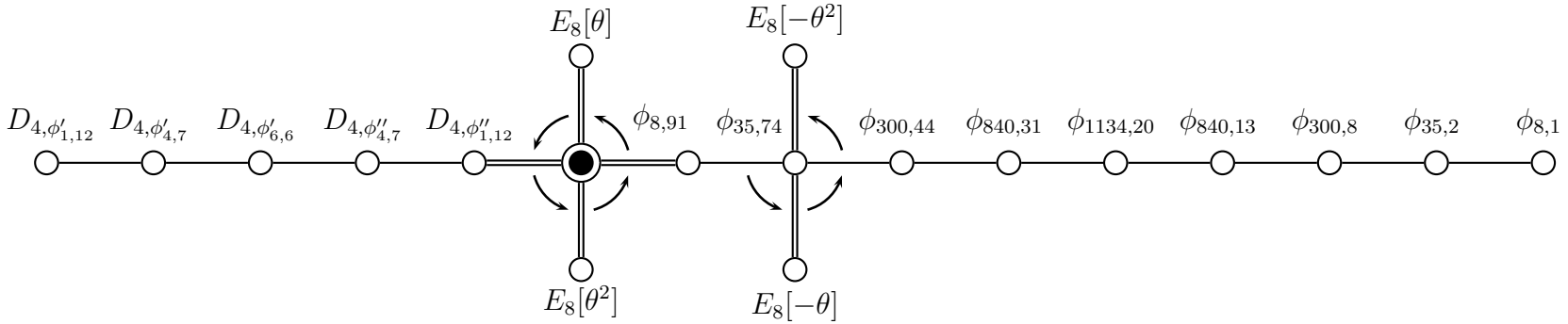


FIGURE 14. Brauer tree of the  $\Phi_{18}$ -block of  $E_8(q)$  associated to  $({}^2A_2(q), \phi_{21})$

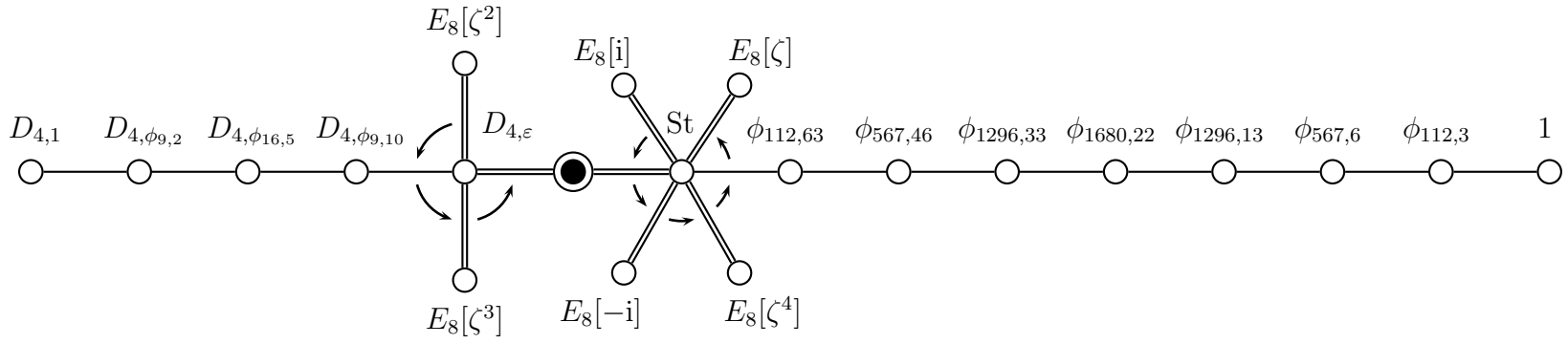
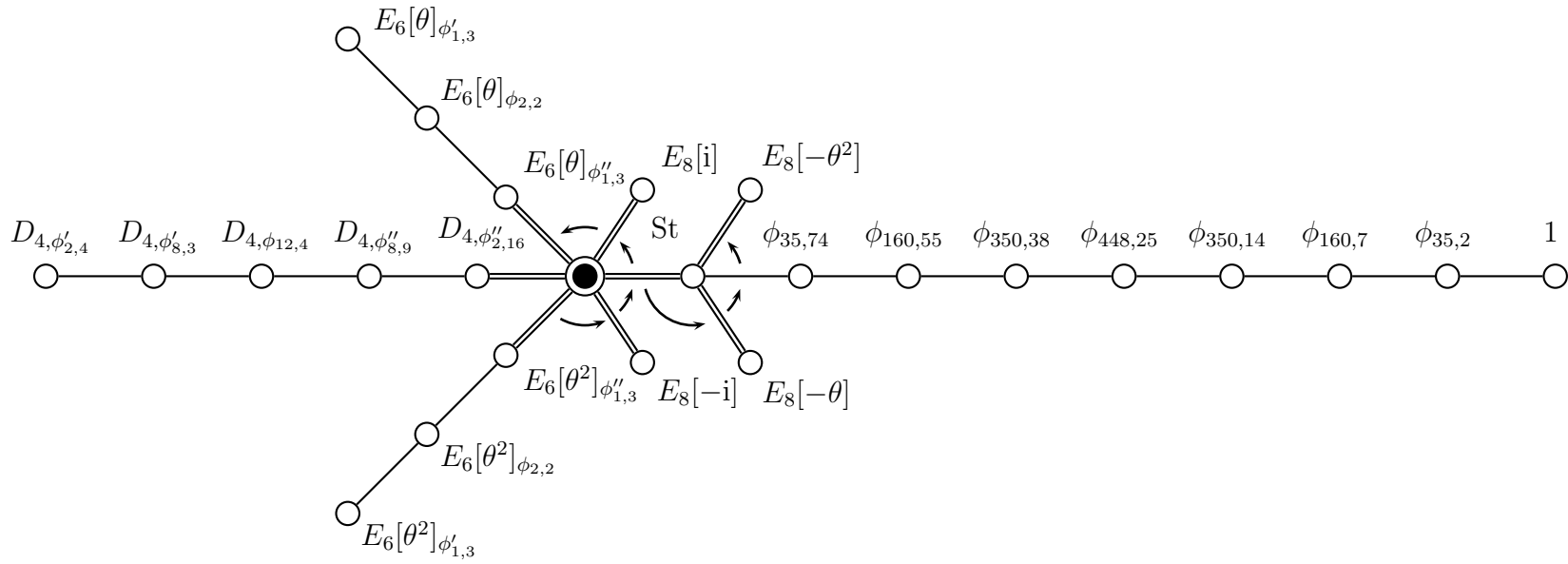


FIGURE 15. Brauer tree of the principal  $\Phi_{20}$ -block of  $E_8(q)$

FIGURE 16. Brauer tree of the principal  $\Phi_{24}$ -block of  $E_8(q)$

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