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# **1** Modelling the dispersion and transport of reactive pollutants in

# 2 a deep urban street canyon: Using large-eddy simulation

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#### 8 **Abstract:**

9 This study investigates the dispersion and transport of reactive pollutants in a deep urban street 10 canyon with an aspect ratio of 2 under neutral meteorological conditions using large-eddy 11 simulation. The spatial variation of pollutants is significant due to the existence of two unsteady 12 vortices. The deviation of species abundance from chemical equilibrium for the upper vortex is 13 greater than that for the lower vortex. The interplay of dynamics and chemistry is investigated using 14 two metrics: the photostationary state defect, and the inferred ozone production rate. The latter is 15 found to be negative at all locations within the canyon, pointing to a systematic negative offset to 16 ozone production rates inferred by analogous approaches in environments with incomplete emission mixing. This study demonstrates an approach to quantify parameters for a simplified two-box 17 18 model, which could support traffic management and urban planning strategy or personal exposure 19 assessment.

#### 20 Capsule:

Reactive pollutants in a deep street canyon exhibit significant spatial variation driven by two
unsteady vortices. A method of quantifying parameters of a two-box model is developed.

#### 23 Keywords:

24 Urban street canyon, Large-eddy simulation, Pollutant removal, Turbulence, Two-box model.

#### 25 **1 Introduction**

The term "street canyon" describes a restricted space (poor air ventilation) with surrounding 26 27 buildings along both sides of the urban street. Vehicle emissions are dominant among various 28 anthropogenic pollutant sources in urbanized areas (Liu et al., 2005). A combination of vehicle 29 emissions and reduced dispersion caused by surrounding buildings could result in poor air quality at 30 the pedestrian level, thereby leading to associated public health effects for those exposed to such environments (Solazzo et al., 2011). Understanding the dispersion and transport of reactive 31 32 pollutants in urban street canyons is important to effectively quantify – and develop policies to 33 mitigate – such impacts.

34 Various approaches have been undertaken over recent years to tackle the issue of air pollution 35 inside street canyons. The most fundamental approach is direct field measurement, which can provide the first-hand and useful information. Examples of such approach include the studies by 36 37 Xie et al. (2003), Kumar et al. (2008) and Prajapati et al. (2009). However, there are several disadvantages of field measurements, e.g. challenges to data interpretation, uncontrollable 38 39 meteorological conditions, low spatial coverage, and high expense. An alternative approach is physical modelling, such as wind tunnels, e.g. Sagrado et al. (2002), Kovar-Panskus et al. (2002), 40 41 Park et al. (2004) and Michioka et al. (2011), and water channels, e.g. Caton et al. (2003), Jiang et 42 al. (2007) and Li et al. (2008a). Physical modelling offers the advantages of fully controlling testing 43 parameters and sampling points so as to provide useful data for the evaluation of numerical models. However, there is a challenge for such models to replicate fully the large-scale atmospheric 44 45 turbulence of the real world due to the scale limitation. Another useful alternative approach is 46 numerical simulation (e.g. computational fluid dynamics, CFD). With rapid development of advanced computer technology, CFD has become a useful tool to explore experimental flow and 47 48 pollutant dispersion problems (Chang, 2006), providing a complete view of distribution of flow and 49 pollutant fields at high-resolution in both time and space. The most comprehensive applications of 50 CFD have been based on Reynolds-averaged Navier-Stokes (RANS) equations and large-eddy

simulation (LES). RANS can only predict mean information about the flow and pollutant fields,
while LES also provides the turbulent information about unsteadiness and intermittency (Cai et al.,
2008).

54 The flow patterns in a street canyon under neutral meteorological conditions can be classified into three main regimes (Oke, 1987): isolated roughness, wake interference and skimming flow, 55 depending on the aspect ratio (AR, the ratio of building height H to street width W). Skimming flow, 56 57 which has been the subject of several studies and will be further investigated here, normally occurs 58 in the regular street canyons (0.7 < AR < 1.5) and deep street canyons (AR > 1.5) (Murena et al., 59 2009). A single primary vortex is formed within the regular street canyon (e.g. AR=1), which has 60 been extensively studied. Most studies only considered passive pollutants (i.e. non-reactive scalars). 61 However, vehicle emissions are chemically reactive, evolving on the timescale of typical canyon circulation and residence times. Such chemical processes are expected to play a role in determining 62 63 abundance, alongside dispersion and transport, of reactive pollutants. Baker et al. (2004) introduced 64 simple  $NO_x$ - $O_3$  chemistry into a LES model and examined reactive pollutant dispersion and transport inside a regular street canyon (AR=1). The concept of the photostationary state (PSS) 65 defect was introduced and served as a sensitive indicator of reactive mixing. Baik et al. (2007) 66 67 carried out a RANS model of a regular street canyon (AR=1) using the same chemistry as the study by Baker et al. (2004). Both these studies showed that the chemistry is close to equilibrium within 68 69 the primary canyon vortex, but far from equilibrium at the canyon roof level where air exchange 70 between the canyon and the overlying background takes place. Kikumoto and Ooka (2012) 71 investigated the transport and dispersion of atmospheric pollutants within a regular street canyon (AR=1) by using LES coupled with a bimolecular chemical reaction ( $O_3 + NO \rightarrow$  product). They 72 73 found that  $NO_x$  and  $O_3$  have contrasted mechanism of transport and the correlation between the 74 reactants' concentration fluctuations strongly influences the reaction rates at the canyon roof level. 75 Kwak and Baik (2012) and Kwak et al. (2013) employed a RANS model coupled with the carbon 76 bond mechanism IV (CBM-IV) considering the chemistry of  $O_3$ ,  $NO_x$  and volatile organic

compounds (VOCs) in idealized street canyons (AR=1,2). They found that both  $O_3$  and OH oxidation processes are of vital importance in the canyon-scale chemistry and that there are two counter-rotating vortices in the street canyon with AR=2. According to Li et al. (2009), there are multiple vortices within a deep street canyon, which may create very poor ventilation conditions for pollutants. Thus the dispersion of pollutants in a deep street canyon could be substantially different from the AR=1 case, very complex in terms of both dynamical and chemical processing, and deserves a thorough examination.

This study investigates the dispersion and transport of reactive pollutants in a deep urban street canyon (AR=2). The LES methodology coupled with a simple chemical mechanism is employed as described in Section 2. In Section 3, the results of the LES dynamical model are evaluated against a water-channel experiment, and the characteristics of reactive pollutant dispersion from the LES coupled with the simple  $NO_x$ - $O_3$  chemistry are presented. A two-box model framework is developed. Finally, the conclusions are presented in Section 4.

#### 90 2 Methodology

#### 91 2.1 Numerical model

#### 92 **2.1.1 Flow equations**

The LES model employed here is OpenFoam v2.1.1 (OpenFOAM, 2012), in which incompressible
flow and neutral condition are assumed. The filtered momentum equations and continuity equations
are

96 
$$\frac{\partial \overline{u}_i}{\partial t} + \frac{\partial}{\partial x_j} \overline{u}_i \overline{u}_j = -\Delta P \delta_{i1} - \frac{\partial \overline{p}}{\partial x_i} - \frac{\partial \tau_{ij}}{\partial x_j} + \upsilon \frac{\partial^2 \overline{u}_i}{\partial x_j \partial x_j}$$
(1)

97 
$$\frac{\partial \overline{u}_i}{\partial x_i} = 0$$
 (2)

98 where the overbar ( $\overline{\bullet}$ ) represents the filtered quantity,  $\overline{u}_i$  (*i*=1,2,3) are the filtered velocities,  $\Delta P$  is 99 the large-scale kinematic pressure difference,  $\delta_{ij}$  is the Kronecker delta,  $\overline{p}$  is the filtered kinematic 100 pressure,  $\nu$  is the kinematic molecular viscosity and  $\tau_{ij}$  represents the sub-grid scale (SGS) 101 stresses, which are parameterised as follows:

103 
$$S_{ij} = \frac{1}{2} \left( \frac{\partial \overline{u}_i}{\partial x_j} + \frac{\partial \overline{u}_j}{\partial x_i} \right)$$
(4)

$$104 \qquad \upsilon_{SGS} = C_k k_{SGS}^{1/2} \Delta \tag{5}$$

105 
$$\Delta = (\Delta_1 \Delta_2 \Delta_3)^{1/3}$$
(6)

$$106 \qquad \frac{\partial k_{SGS}}{\partial t} + \frac{\partial}{\partial x_i} (k_{SGS} \overline{u}_i) = 2\nu_{SGS} S_{ij} S_{ij} + (\nu + \nu_{SGS}) \frac{\partial^2 k_{SGS}}{\partial x_i \partial x_i} - C_{\varepsilon} \frac{k_{SGS}^{3/2}}{\Delta}$$
(7)

107 where  $k_{SGS}$  is the SGS turbulent kinetic energy,  $\Delta_i$  (*i*=1,2,3) are the local grid spacings and the 108 modelling constants  $C_k = 0.094$ ,  $C_{\varepsilon} = 1.048$ .

109 The study simulates the high Reynolds number  $(\sim 10^6)$  turbulent flow (see Section 2.2) in a deep 110 street canyon with rough surfaces and the logarithmic law of the rough-wall (Schlichting and 111 Gersten, 2000) is applied for the near-wall treatment:

112 
$$\overline{u}_{\parallel} = \frac{u_{\tau}}{\kappa} \ln \frac{z_{\perp}}{z_0}$$
 (8)

113 where  $\overline{u_{\parallel}}$  is the resolved scale velocity component parallel to the wall,  $u_{\tau}$  is the wall friction 114 velocity,  $\kappa$  (=0.42) is the von K árm án constant,  $z_{\perp}$  is the distance normal to the wall and  $z_{0}$ 115 (=0.015 m representing a characteristic physical length of 0.15 m, e.g. window frames) is the 116 aerodynamic surface roughness length.  $u_{\tau}$  is calculated by Equation (8) and used to derive  $v_{SGS}$ 117 near the wall using

118 
$$\upsilon_{SGS} = \frac{u_{\tau}^2}{|\nabla u_{\parallel} \cdot n|} - \upsilon$$
(9)

119 where  $\hat{n}$  is the unit vector normal to the wall.

#### 120 **2.1.2 Equations for reactive pollutants**

121 The reactive pollutants concerned here are nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>) and ozone 122 (O<sub>3</sub>). The associated chemical reactions are (Carpenter et al., 1998):

$$123 \qquad \text{NO}_2 + hv \to \text{NO} + \text{O} \tag{10}$$

$$124 \qquad \mathbf{O} + \mathbf{O}_2 + \mathbf{M} \to \mathbf{O}_3 + \mathbf{M} \tag{11}$$

$$125 \qquad O_3 + NO \rightarrow NO_2 + O_2 \tag{12}$$

where M denotes a third body molecule (usually  $O_2$  or  $N_2$ ) which absorbs excess energy so that O and  $O_2$  may recombine to form an  $O_3$  molecule. The filtered equations for the concentrations of reactive pollutants are:

$$129 \qquad \frac{\partial[\overline{NO}]}{\partial t} + \frac{\partial}{\partial x_i}(\overline{u_i}[\overline{NO}]) = \frac{\partial}{\partial x_i}([\frac{\upsilon + \upsilon_{SGS}}{Sc}] \cdot \frac{\partial[\overline{NO}]}{\partial x_i}) + J_{NO_2}[\overline{NO_2}] - k_1[\overline{O_3}][\overline{NO}] + S_{NO}$$
(13)

130 
$$\frac{\partial [\overline{NO_2}]}{\partial t} + \frac{\partial}{\partial x_i} (\overline{u}_i [\overline{NO_2}]) = \frac{\partial}{\partial x_i} ([\frac{\upsilon + \upsilon_{SGS}}{Sc}] \cdot \frac{\partial [\overline{NO_2}]}{\partial x_i}) - J_{NO_2} [\overline{NO_2}] + k_1 [\overline{O_3}] [\overline{NO}] + S_{NO_2}$$
(14)

131 
$$\frac{\partial [\overline{O_3}]}{\partial t} + \frac{\partial}{\partial x_i} (\overline{u}_i [\overline{O_3}]) = \frac{\partial}{\partial x_i} ([\frac{\upsilon + \upsilon_{SGS}}{Sc}] \cdot \frac{\partial [\overline{O_3}]}{\partial x_i}) + k_2 [\overline{O}] [\overline{O_2}] [\overline{M}] - k_1 [\overline{O_3}] [\overline{NO}]$$
(15)

where  $J_{NO_2}$  is the photolysis rate for NO<sub>2</sub> in Reaction (10);  $k_1$  and  $k_2$  are the rate constants for Reactions (11) and (12), respectively;  $S_{NO}$  and  $S_{NO_2}$  are the emission rates for NO and NO<sub>2</sub>, respectively; Sc (=0.72) is the Schmidt number. Based on the pseudo-steady-state approximation for the highly reactive oxygen atom (O) (Seinfeld and Pandis, 1998), the formation rate of O by 136 Reaction (10) is the same as the depletion rate of O by Reaction (11). Thus, the following equation

137 is derived:

138 
$$k_2[\overline{O}][\overline{O_2}][\overline{M}] = J_{NO_2}[\overline{NO_2}].$$
 (16)

139 Therefore, substituting Equation (16) into Equation (15) yields:

140 
$$\frac{\partial [\overline{O_3}]}{\partial t} + \frac{\partial}{\partial x_i} (\overline{u}_i [\overline{O_3}]) = \frac{\partial}{\partial x_i} ([\frac{\upsilon + \upsilon_{SGS}}{Sc}] \cdot \frac{\partial [\overline{O_3}]}{\partial x_i}) + J_{NO_2} [\overline{NO_2}] - k_1 [\overline{O_3}] [\overline{NO}]$$
(17)

141 For further analysis, the photostationary state (PSS) defect  $d_{ps}$  (Baker et al., 2004) is defined:

142 
$$d_{ps}(\%) = (\frac{k_1[\overline{O_3}][\overline{NO}]}{J_{NO_2}[\overline{NO_2}]} - 1) \times 100,$$
 (18)

143 where the values of  $J_{NO_2}$  and  $k_1$  are 0.0092 s<sup>-1</sup> and 0.0004 ppb<sup>-1</sup> s<sup>-1</sup>, respectively (Bright et al., 2013). 144  $d_{ps}$  is a widely-used measure of the deviation from chemical equilibrium. The larger is the 145 magnitude of  $d_{ps}$ , the higher is the deviation from the chemical equilibrium.  $d_{ps} = 0$  means that the 146 chemistry is at the equilibrium state.

We may also define  $PO_3$  as the ozone production rate associated with the VOCs chemistry under the perfect mixing condition as follows. Atmospheric chemical reactions of hydro- and organic-peroxy radicals ( $HO_2$  and  $RO_2$ ) with NO generate NO<sub>2</sub> through:

$$150 \qquad NO + HO_2 \to NO_2 + OH \tag{19}$$

$$151 \qquad NO + RO_2 \to NO_2 + RO \tag{20}$$

152 Considering a chemical equilibrium system with perfect mixing comprising Reactions (10)-(12),153 (19) and (20), we obtain

154 
$$J_{NO_2}[NO_2] - k_1[O_3][NO] = k_3[NO][HO_2] + \sum_i k_{4,i}[NO][RO_2]_i$$
 (21)

where  $k_3$  and  $k_{4,i}$  are the rate constants for Reactions (19) and (20), respectively; *i* is the *i*<sup>th</sup> organicperoxy radical. The terms  $k_3[NO][HO_2] + \sum_i k_{4,i}[NO][RO_2]_i$  represent the rate of conversion of NO to NO<sub>2</sub> (through the VOCs chemistry) which is subsequently photolysed leading to O<sub>3</sub> production. Thus we define  $PO_3 = k_3[NO][HO_2] + \sum_i k_{4,i}[NO][RO_2]_i$ . Due to the difficulties of evaluating  $HO_2$  and  $RO_2$  from measurement, we may use (21) to infer  $PO_3$  from the  $NO_x$  and  $O_3$ measurements:

161 
$$PO_3 = J_{NO_2}[\overline{NO_2}] - k_1[\overline{O_3}][\overline{NO}],$$
 (22)

162 This is referred to as the  $NO_x$ -O<sub>3</sub>-steady-state-defect approach. In this approach, we assume that 163 deviations from PSS arising from imperfect mixing are negligible (Volz-Thomas et al., 2003). We 164 evaluate the accuracy of this assumption within the canyon environment in Section 3.2.1.

#### 165 **2.2 Model configuration and initialisation**

Fig.1 illustrates schematically the computational domain of an idealised deep street canyon (AR=2, i.e.  $H = 36 \ m$  and  $W = 18 \ m$ ). The building width *B* is 18 m. The domain width sizes  $(L_x \times L_y \times L_z)$  are 36  $m \times 40 \ m \times 112 \ m$ . The grid resolutions ( $\Delta x \times \Delta y \times \Delta z$ ) are 0.3  $m \times 1 \ m \times 0.3 \ m$ , with  $\Delta z$  gradually increasing from 0.3 m at the canyon roof level to a maximum value of 5.54 m at the top. The number of grid cells in the *x*- , *y*- and *z*-directions is  $60 \times 40 \times 120$  within the canyon and  $120 \times 40 \times 40$  above the canyon, respectively.

A constant pressure gradient ( $\Delta P$ ) across the free surface layer (above the canyon) is imposed in the *x*-direction to drive the atmospheric flow (perpendicular to the street axis), representing the worst-case scenario for the dispersion of reactive pollutants within a street canyon (Li et al., 2008b). The prevailing wind speed  $U_f$  is about 2.2 m s<sup>-1</sup> and the Reynolds number Re (= $U_f H/\nu$ ) is the order of 10<sup>6</sup>. For velocity components, the symmetry boundary condition is employed at the domain top. Cyclic boundary conditions are specified in both the *x*- and *y*- directions. Therefore, the model 178 configuration represents an infinite number of idealised street canyons along the *x*-direction and 179 each canyon is infinitely long in the *y*-direction, which is a good approximation of real street 180 canyons relevant to traffic management or urban planning.

181 Emissions sources are assumed to be two continuous line sources representing two lanes of traffic 182 located at 2.5 m from both sides of the canyon centre at z=1 m with a Gaussian distribution (i.e.  $\delta_x = 3 \ m$  and  $\delta_z = 1 \ m$ ) so that the near-vehicle dispersion is approximated. Drawing upon the UK 183 Road Vehicle Emission Factors (Boulter et al., 2009b), the emission rate of  $NO_x$  is determined as 184 620 g km<sup>-1</sup> h<sup>-1</sup>, which represents an urban continuous road traffic of 1500 vehicles  $h^{-1}$  with an 185 186 average speed of 30 mph for a fleet composition representing the year of 2010 (Zhong et al., 2014). The total emission for NO<sub>x</sub> applied here is equivalent to 1000 ppb s<sup>-1</sup> released into a typical LES 187 model grid (0.3  $m \times 1 m \times 0.3 m$ ) but this total emitted NO<sub>x</sub> is re-distributed based on the Gaussian 188 189 distribution mentioned above. The ratio of NO to NO<sub>2</sub> emission rate is 9:1 by volume. The 190 background concentrations of NO, NO<sub>2</sub> and O<sub>3</sub> used are 3.07, 6.02 and 43.62 ppb (Bright et al., 191 2013), respectively, which are uniformly distributed among the whole domain initially and also 192 employed as the inlet boundary conditions, i.e. signifying no emissions from upwind canyons. For the outlet, the advective boundary condition (i.e.  $\frac{\partial \overline{c}_i}{\partial t} + \overline{u} \frac{\partial \overline{c}_i}{\partial x} = 0$ ) is applied, representing no 193 reflection of pollutants back into the computational domain. For the solid boundaries, zero-gradient 194 195 boundaries are applied without considering the effect of pollutant deposition. The symmetry boundary is set on the top boundary and a cyclic boundary condition is adopted in the y- direction 196 for the pollutants. 197

Initially, the LES model is run with dynamics only for 5 hours in order to generate a statistically steady turbulent flow turbulent flow. We take the dynamical-equilibrium flow field as the initial condition (i.e. t = 0 min) for the model in this study and further run the LES model without chemistry for the first 30 min before considering chemistry. At t = 30 min, the chemistry scheme and emissions modules are turned on for the next 210 min (t = 30 to 240 min) with a time step of 0.03 s in order to reach chemical quasi-equilibrium. For the analysis, the simulation 3-D outputs over the last 60 min period (t = 180 to 240 min) at a time interval of 3 s are stored and postprocessed to derive the resolved-scale turbulent statistics based on the averages over the period and along the y-direction. This temporal average over  $t \in [t_1, t_2]$  and spatial average over  $y \in [0, L_y]$  of any quantity  $\overline{\phi}(=\langle \overline{\phi} \rangle + \phi')$  gives  $\langle \overline{\phi} \rangle$ , which is a 2D function of (x, z):

$$208 \qquad \left\langle \overline{\phi} \right\rangle(x,z) = \frac{1}{L_{y}(t_{2}-t_{1})} \int_{t_{1}}^{t_{2}} \int_{0}^{L_{y}} \overline{\phi}(x,y,z,t) dy dt \tag{23}$$

209 and the fluctuation component  $\phi'$  is described as follows:

210 
$$\phi'(x,z) = \frac{1}{L_y(t_2 - t_1)} \int_{t_1}^{t_2} \int_0^{L_y} [\overline{\phi}(x,y,z,t) - \langle \overline{\phi} \rangle(x,z)] dy dt$$
 (24)

#### 211 **3 Results and discussion**

#### 212 **3.1 Flow field**

A water-channel experiment (Li et al., 2008a) is employed to evaluate the performance of the current LES simulation with respect to the flow field. This water-channel experiment was conducted in a laboratory flume, which was 10 m in length, 0.3 m in width and 0.5 m in height. Several identical building blocks ( $0.1 \ m \times 0.3 \ m \times 0.1 \ m$  in the *x*-, *y*- and *z*- directions) were placed perpendicular to the flow with the street width of 0.05 m (i.e. AR=2). The laser Doppler anemometer technique was applied for the data acquisition of the velocities and turbulent statistics.

Fig. 2 shows the comparison of vertical profiles of the normalized averaged streamwise and vertical velocities and their fluctuation intensities at the upstream, centre and downstream locations for the deep street canon (AR=2) between the current LES simulation and the water-channel experiment.

All of the quantities in Fig. 2 are normalized by  $u_{norm}$  (the averaged value of  $\langle \overline{u} \rangle$  at the height 222 223  $1.0 \le z/H \le 1.1$ ). Fig 2a presents the mean streamwise velocity and there is clear evidence of a shear layer across the canyon roof level, at which strong wind shear strength is observed. Fig. 2b 224 225 shows the vertical mean velocities and there is clear evidence of the complicated flow pattern: the 226 clockwise vortex in the upper part of the canyon and the weak anti-clockwise vortex in the lower 227 part of the canyon. The upper recirculation is created by the strong wind shear at the roof level and 228 the lower recirculation is generated by a relatively weaker wind shear induced by the upper 229 recirculation. Figs. 2c and 2d illustrate the intensity of resolved-scale fluctuations of the two 230 velocity components, which display local maxima at the canyon roof level. These maxima may be 231 caused by the instability of the wind shear-layer at the canyon roof level. As shown in Fig. 2, there 232 are some small discrepancies between the current LES simulation and the water-channel experiment. 233 The current LES simulation generally slightly underestimates all the quantities. There are several 234 possible reasons for this. Firstly, due to the computational cost, a limited computational domain is 235 employed in the current LES simulation, only representing eddies with sizes smaller than half of the 236 domain width. However, eddies in the experiment are created by the vortex generators and there 237 may be larger eddies which are not modelled in the LES simulation. Secondly, the grid mesh might not be fine enough across the shear-layer, and so some small eddies within the shear-layer and the 238 239 momentum exchange caused by these small eddies might not be resolved. Finally, these 240 discrepancies may be attributed to different averaging approaches. In the LES simulation, the 241 temporal and spatial averaging approach is adopted to derive the flow quantities. In the experiment, 242 these quantities were only measured on a middle vertical plane in the y- direction (Li et al., 2008a).

Fig. 3 illustrates the vortex structure in the current LES simulation compared with a wind tunnel experiment carried out by Kovar-Panskus et al. (2002). Both the model and experiment shows that there are two counter-rotating vortices formed within the deep street canyon (AR=2). This is a major difference from the single-vortex flow for a street canyon with AR=1 (e.g. Bright et al., 2013). The two-vortex mean flow was also found by other studies for AR=2 using RANS, e.g. Kwak et al. (2013), but their RANS model generated a larger lower vortex than the one found in the water tank experiment and in the LES result here. It is also noted that the upper vortex is centred lower within the canyon compared with the experiment. Also, the centre of the lower vortex is shifted downstream closer to the windward wall compared with that of the upper vortex both in the model and experiment.

Overall, the current LES simulation agrees well with the experiments in terms of the velocities, turbulent intensities and vortex structure, which provides confidence that the simulated dynamics within the canyon is reasonable. However, there are currently no suitable water-channel or windtunnel experiments to evaluate the dispersion of reactive species, especially in deep street canyons.

#### **3.2 Pollutant dispersion and transport**

#### 258 **3.2.1 Spatial variation of reactive pollutants**

Figs. 4a-f depict contour plots of the spatially and temporally averaged mixing ratios of (a)  $\langle \overline{NO} \rangle$ ,

(b)  $\langle \overline{NO_2} \rangle$ , (c)  $\langle \overline{O_3} \rangle$ , (d)  $\langle \overline{NO_x} \rangle$ , (e)  $\langle \overline{O_x} \rangle$ , and (f)  $\langle \overline{NO} \rangle / \langle \overline{NO_2} \rangle$ . The plots show the influence of 260 two primary vortices, which span the deep street canyon: the upper clockwise vortex, and the lower 261 anti-clockwise vortex. This influence was also found by Kwak et al. (2013) for the street canyon 262 with AR=2. In general, the spatial patterns of the quantities for the upper vortex resemble those for 263 the single vortex in a street canyon with AR=1, e.g. Baker et al. (2004), Baik et al. (2007), Bright et 264 al., 2013, Garmory et al. (2009), Tong and Leung (2012), and Kwak and Baik (2012). There also 265 266 exist two shear layers. The first one is at the canyon roof level with increasing turbulence from the leeward (upwind) building to the windward (downwind), which traps  $NO_x$  emissions near the 267 leeward building, allows more exchange near the windward building and entrains O<sub>3</sub> into the 268 canyon toward the windward building. The other one is at the level of around z/W=0.5, which 269 allows the  $NO_x$  emissions generated at the ground level inside the lower vortex to intrude into the 270 271 upper vortex and the ambient  $O_3$  inside the upper vortex to be entrained into the lower vortex.  $NO_x$  272 and O<sub>3</sub> are allowed to mix and react with each other inside the two vortices in the presence of the 273 two shear layers where exchanges take place. It is also noted that there is an accumulation of traffic 274 emissions with a maximum value of about 1100 ppb for NO<sub>x</sub> and a low level of O<sub>3</sub> with a minimum 275 value of about 4 ppb in the lower vortex. This is attributed to a high level of  $NO_x$  emitted into the 276 very weak lower vortex to react with the limited O<sub>3</sub> entrained along the windward wall from above. 277 This is very different from what Kwak et al. (2013) showed in their Fig. 2(d) which gives a local 278 maximum of about 30 ppb near the centre of the lower vortex. One explanation is that their emission rate of NO<sub>x</sub> is much lower than ours (20 vs. 90 ppb s<sup>-1</sup> released into 1 m<sup>3</sup>). Considering 279 the NO<sub>x</sub>-O<sub>3</sub> photochemistry,  $NO_x = (NO + NO_2)$  and the total oxidant  $O_x = (O_3 + NO_2)$  are 280 effectively passive, exhibiting a similar spatial distribution to each other. The ratio of NO/NO2 also 281 282 shows a similar pattern across the two vortices ranging from about 6 at the right corner to about 3 at 283 the canyon roof level, which indicates the conversion of NO to NO<sub>2</sub> by the within-canyon chemistry. Figs. 4g-h show contour plots of the spatially and temporally averaged  $d_{ps}$  and  $PO_3$ . The 284 magnitudes of  $d_{ps}$  and  $PO_3$  are smaller in the lower vortex than that in the upper vortex indicating 285 286 that there is greater mixing for the chemistry system to reach the chemical equilibrium in the lower 287 vortex compared to that in the upper vortex. This can be explained by the weaker vortex in the 288 lower part of the canyon, where time scale is adequate to approach chemical equilibrium. A local maxima in  $d_{ps}$  (about 150%) and  $PO_3$  (about -0.68 ppb s<sup>-1</sup>) are observed across the canyon roof 289 290 level in the presence of the strong turbulence. It is also observed that there are significantly larger values of  $d_{ps}$  and  $PO_3$  along the upper part of the windward building, indicating larger deviation 291 from photochemical equilibrium in the region where two air parcels with very different chemical 292 293 compositions interact.

Fig. 5 illustrates vertical profiles of (a)  $\langle \overline{NO} \rangle$ , (b)  $\langle \overline{NO_2} \rangle$ , (c)  $\langle \overline{O_3} \rangle$ , (d)  $\langle \overline{NO_x} \rangle$ , (e)  $\langle \overline{O_x} \rangle$ , (f)  $\langle \overline{NO} \rangle / \langle \overline{NO_2} \rangle$ , (g)  $d_{ps}$  and (h)  $PO_3$  along the leeward and windward walls. These quantities are 296 averaged within the nearest three cells adjacent to the walls. The concentrations of NO, NO<sub>2</sub>, NO<sub>x</sub>,  $O_x$  and NO/NO<sub>2</sub> on the leeward wall are higher (around 1.5 to 2 times) than those on the windward 297 298 wall within the upper part of the canyon, but lower (around 50% to 70%) within the lower part. This 299 indicates that traffic emissions are mainly trapped within the anti-clockwise lower vortex. For O<sub>3</sub>, 300 the situation is reversed with much lower values on the leeward wall (around 6 ppb) compared to 301 those on the windward wall (ranging from about 6 ppb to 20 ppb) within the upper part of the 302 canyon, but with slightly higher values (about 2 ppb difference) within the lower part. This is 303 attributed to ambient O<sub>3</sub> being brought into the upper part of canyon along the windward wall. It is 304 also noted that the concentration reduces with height by a factor of about 0.8 on the leeward wall 305 and by a factor of about 0.1 on the leeward wall for NO, NO<sub>2</sub>, NO<sub>x</sub>, O<sub>x</sub> and NO/NO<sub>2</sub>, but increases by a factor of about 1.3 on the leeward wall and by a factor of about 5.5 on the leeward wall for  $O_3$ . 306 307 For the leeward wall, there is a sharp transition at the canyon roof level where each species rapidly 308 approaches its background level, and a small gradient in concentration within the canyon. For the windward wall, there are two gradual transitions at the roof level and at the middle level of the 309 310 canyon, respectively. These results for the upper part of the canyon match those of the field 311 measurements by Xie et al. (2003), in which there was only one primary vortex inside the street canyon. The magnitudes of  $d_{ps}$  and  $PO_3$  are very small (around 3% and 0.03 ppb s<sup>-1</sup>, respectively) 312 along the leeward wall inside the canyon, but increase rapidly within the shear layer at the roof level 313 (around 80% and 0.40 ppb s<sup>-1</sup>, respectively), where two air parcels with different compositions 314 interact. Along the windward wall, the magnitudes of  $d_{ps}$  and  $PO_3$  increase with height with much 315 higher values in the upper part of the canyon, ranging from about 10% to 140% and from -0.08 ppb 316  $s^{-1}$  to -0.53 ppb  $s^{-1}$ , respectively. This indicates that the deviation from chemical equilibrium is 317 318 much larger in the upper vortex than that in the lower vortex.

319 Fig. 6 illustrates vertical profiles of the spatially and temporally averaged total, turbulent and 320 advective fluxes, defined as  $F_{total} = F_{turb} + F_{adv} = \langle w \phi' \rangle(x, z) + \langle \overline{w} \rangle(x, z) \langle \overline{\phi} \rangle(x, z)$ , for NO, NO<sub>2</sub>, O<sub>3</sub>, 321  $NO_x$ ,  $O_x$  and  $NO/NO_2$  averaged across the canyon. It is interesting to note that the advective fluxes are dominant for both the upper and lower vortices while turbulent fluxes are dominant for the shear 322 layers. It is observed that there is a positive (upward) total flux for NO and NO<sub>2</sub> from the canyon 323 324 roof level into the background atmosphere aloft, and a negative (downward) total flux for  $O_3$ 325 indicating that O<sub>3</sub> is brought into the canyon from the overlying background atmosphere. A rapid 326 increase in the total flux of NO and NO<sub>2</sub> is observed from the ground to the level at z/W=0.1. This 327 is due to the elevation of traffic emissions from the ground level. The total flux generally decreases 328 with height for NO, but increases for NO<sub>2</sub> indicating the conversion of NO to NO<sub>2</sub> within the 329 canyon chemical processing before they escape to the wider background environment. This 330 conversion is also indicated as the ratios of total fluxes of NO to NO<sub>2</sub> decrease with height from 9 near the emission source to about 4 at the canyon roof level. For the effective passive scalars  $NO_x$ 331 and  $O_x$ , the total fluxes generally remain almost constant with height (around 5 ppb m s<sup>-1</sup> for NO<sub>x</sub>) 332 and 0.5 ppb m s<sup>-1</sup> for  $O_x$ ) except a rapid increase near the ground level. 333

334 In the model scheme used here, no peroxy radical reactions [Reactions (19) and (20)] are considered, i.e. *net* chemical ozone production cannot occur. Non-zero values for  $d_{ps}$ , therefore reflect the 335 impact of imperfect mixing (heterogeneity) within the canyon, rather than ozone production 336 337 chemistry. The values of  $PO_3$  obtained here may therefore be regarded as measures of a systematic 338 error in the NO<sub>x</sub>-O<sub>3</sub>-steady-state-defect approach to assess ozone production rates (via NO<sub>x</sub>/O<sub>3</sub>) 339 measurements in the real atmosphere), i.e. indicating the magnitude of the imperfect-mixinggenerated deviation from steady-state. The canyon averaged  $PO_3 = -0.074$  ppb s<sup>-1</sup> (i.e. -266 ppb h<sup>-1</sup>) 340 341 (see Fig. 4h) indicates a negative bias results at all locations, which is large compared with measured free boundary layer / free troposphere ozone production rates [typically a few ppb h<sup>-1</sup>, up 342 to 50 ppb h<sup>-1</sup> in the most polluted regions, e.g. Mexico City (Wood et al., 2009)]. This reflects the 343 344 fact that the  $PO_3$  term effectively represents a small difference between two large quantities, such that the impact of mixing may be very substantial. In fact, this effect (imperfect mixing in the 345 vicinity of NO<sub>x</sub> emission sources) is general, and so a systematic negative contribution to NO<sub>x</sub>-O<sub>3</sub>-346

347 steady-state derived ozone production rates will recur throughout the urban atmosphere, to an extent348 dependent upon the local heterogeneity.

#### 349 **3.2.2 Development of a two-box model**

The preliminary results from the LES model show the formation of two primary counter-rotating 350 351 vortices in the deep street canyon (AR=2), providing the potential to develop an alternative simplified two-box model. By using a plane at the level of z/W=0.5 (or z/H=0.25), the deep street 352 353 canyon is divided into two boxes with the corresponding vortex inside each box (Figs. 3 and 7). It is assumed that each vortex has sufficient intensity for the chemical species to be well-mixed within 354 355 the corresponding box (Murena et al., 2011). The mass transfer between two adjacent boxes is expressed by the introduction of an 'exchange velocity'. A one-box chemistry model has been 356 previously adopted by Liu and Leung (2008) to study reactive pollutant dispersion in street canyons 357 358 (AR=0.5, 1, 2), using the values of exchange velocity derived from LESs for different ARs. 359 Because they treated the whole canyon as one well-mixed box for all ARs, the model is unable to reproduce the significant contrasts of pollutant concentration between the lower and upper canyon 360 361 as shown in Fig. 4. In this study, a more complex box model (i.e. a two-box model) is adopted:

$$362 \qquad \frac{dc_{i,L}}{dt} = -\frac{w_{i,L}}{H_L}(c_{i,L} - c_{i,U}) + E_{i,L} + \Delta S_{i,L}$$
(25)

$$363 \qquad \frac{dc_{i,U}}{dt} = \frac{W_{t,L}}{H_U} (c_{i,L} - c_{i,U}) - \frac{W_{t,U}}{H_U} (c_{i,U} - c_{i,b}) + \Delta S_{i,U}$$
(26)

where  $c_{i,L}$ ,  $c_{i,U}$  and  $c_{i,b}$  are concentrations of  $i^{th}$  species (*i*=NO, NO<sub>2</sub> and O<sub>3</sub>) in the lower box, upper box and overlying background atmosphere, respectively;  $H_L$  and  $H_U$  are the heights of the lower and upper boxes, respectively;  $w_{i,L}$  is the exchange velocity between the lower and upper boxes, and  $w_{i,U}$  is the exchange velocity between the upper box and the overlying background atmosphere;  $\Delta S_{i,L}$  and  $\Delta S_{i,U}$  are chemical sources of  $i^{th}$  species in the lower and upper boxes, respectively; and  $E_{i,L}$  is emission rates of  $i^{th}$  species.

370 Exchange velocities implemented into the two-box model are determined from the current LES 371 model by calculating the ventilation of a passive scalar, i.e.  $w_{t,L} = \frac{F_L}{c_{ns,L} - c_{ns,U}}$  and

372 
$$w_{t,U} = \frac{F_U}{c_{ps,U} - c_{ps,b}}$$
, where  $F_L$  is the flux between the lower and upper boxes,  $F_U$  is the flux

between the upper box and the overlying background atmosphere and 'ps' denotes the passive 373 scalar. The resulting values applied into the two-box model are 0.018 m s<sup>-1</sup> for  $w_{t,L}$  and 0.014 m s<sup>-1</sup> 374 for  $w_{t,U}$ . The two-box model is then compared with the LES model in terms of the time evolution 375 376 of the volume averaged mixing ratios of NO, NO<sub>2</sub>, O<sub>3</sub>, NO<sub>x</sub> and O<sub>x</sub> (see Fig. 8). There are apparent 377 fluctuations in the mixing ratios of chemical species inherent in the LES approach due to 378 dynamically-driven variability of large scale eddies and unsteady ventilation caused by the two 379 primary vortices in the canyon. The two-box model generally matches the LES approach with 380 respect to the mixing ratios for the lower box, but slightly underestimates for the upper box 381 compared with the LES results. This may attributed to the greater mixing for the lower vortex than 382 that for the upper vortex in the LES, indicated by the very small values for the PSS defects (Fig. 4g 383 and Fig. 5g).

## 384 4 Conclusions

The dispersion and transport of reactive pollutants in a deep urban street canyon (AR=2) has been examined using an LES model coupled with simple  $NO_x$ - $O_3$  photochemistry. It is observed that there exist two vertically aligned vortices, agreeing reasonably well with a previous water channel experiment. Reactive pollutants exhibit significant spatial variation caused by the two unsteady vortices. Ground level sourced pollutants ( $NO_x$ ) are found to be largely trapped within the lower

390 vortex with a maximum value of about 1100 ppb. The deviation from chemical equilibrium in the 391 upper vortex is much greater than that in the lower vortex. Imperfect mixing (reflected in non-zero 392 values for the PSS defect) results in negative apparent chemical ozone production, representing a 393 systematic error if such an approach is applied to obtain ozone production rates within a poorly-394 mixed environment close to  $NO_r$  emissions sources. The substantial magnitude of the apparent ozone loss rate, relative to those encountered in the wider boundary layer / free troposphere, further 395 396 suggests that even at some distance from fresh emissions, mixing-derived PSS defects may limit 397 this approach in inferring chemical ozone production. This study demonstrates an approach to 398 quantify parameters for a simplified two-box model, which could support traffic management and 399 urban planning strategy or personal exposure assessment. A challenging research task for future study is to incorporate complex chemical mechanisms and consider various aspect ratios and wind 400 401 speeds.

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408 Fig. 1 Schematic diagram of the computational domain where  $L_x=36$  m,  $L_y=40$  m and  $L_z=112$  m. H (=36 m) is the 409 building height, W (=18 m) is the street width and B (=18 m) is the building width.



Fig 2. Comparison of the vertical profiles of the normalized averaged streamwise and vertical velocities and their fluctuations at the upstream (*x*/W=-0.25), centre (*x*/W=0) and downstream position (*x*/W=0.25) for the deep street canon with an aspect ratio of 2: (a)  $\langle \overline{u} \rangle / u_{norm}$ , (b)  $\langle \overline{w} \rangle / u_{norm}$ , (c)  $u' / u_{norm}$  and (d)  $w' / u_{norm}$ . Solid lines represent the current LES simulation; Dark squares denote the water-channel experiment carried out by Li et al. (2008a).





432 Fig 3. Vortex structure in the (a) current LES simulation (b) wind tunnel experiment carried out by (Kovar-Panskus et

- 433 al., 2002).



446 Fig. 4 Contour plots of the spatially and temporally averaged [see Equation (23)] (a)  $\langle \overline{NO} \rangle$ , (b)  $\langle \overline{NO_2} \rangle$ , (c)  $\langle \overline{O_3} \rangle$ , (d) 447  $\langle \overline{NO_x} \rangle$ , (e)  $\langle \overline{O_x} \rangle$ , (f)  $\langle \overline{NO_2} \rangle$ , (g)  $d_{ps}$  and (h)  $PO_3$ .



455 Fig. 5 Vertical profiles of the spatially and temporally averaged [see Equation (23)] (a)  $\langle \overline{NO} \rangle$ , (b)  $\langle \overline{NO_2} \rangle$ , (c)  $\langle \overline{O_3} \rangle$ , (d) 456  $\langle \overline{NO_x} \rangle$ , (e)  $\langle \overline{O_x} \rangle$  and (f)  $\langle \overline{NO_2} \rangle$ , (g)  $d_{ps}$  and (h)  $PO_3$  along the leeward wall represented by the dash lines, and 457 along the windward wall represented by the solid lines.



Fig. 6 Vertical profiles of the spatially and temporally averaged total, turbulent and advective fluxes for (a) NO, (b) NO<sub>2</sub>, (c) O<sub>3</sub>, (d) NO<sub>x</sub>, (e) O<sub>x</sub> and (f) NO/NO<sub>2</sub> averaged across the canyon. The total, turbulent and advective fluxes for each quantity are represented by the solid, dash and dotted lines, respectively.

The two-box model framework





Fig. 7 Sketch of the two-box model framework.  $c_{i,L}$  (ppb),  $c_{i,U}$  (ppb) and  $c_{i,b}$  (ppb) are the concentrations of i<sup>th</sup> species (i=NO, NO<sub>2</sub> and O<sub>3</sub>) in the lower box, upper box, and overlying background atmosphere, respectively;  $H_L$  (m) and  $H_U$  (m) are the height of the lower and upper boxes, respectively;  $w_{t,L}$  (m s<sup>-1</sup>) is the exchange velocity between the lower and upper boxes, and  $w_{t,U}$  (m s<sup>-1</sup>) is the exchange velocity between the upper box and the overlying background atmosphere; and  $E_{i,L}$  (ppb s<sup>-1</sup>) is the emission rates of i<sup>th</sup> species.

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Fig. 8 Time evolution of the volume averaged mixing ratios of (a) NO, (b) NO<sub>2</sub>, (c)  $O_3$  and (d) NO<sub>x</sub> and  $O_x$  calculated using the LES simulation (LES) and the two-box model (BOX), respectively. 'L' represents the lower box while 'U' represents the upper box.

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