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Taiwo, Adewale M.; Harrison, Roy M.; Shi, Zongbo

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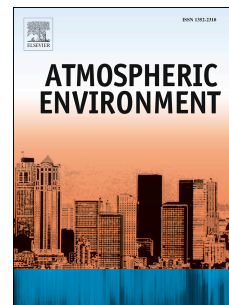
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A Review of Receptor Modelling of Industrially Emitted Particulate Matter

Adewale M. Taiwo, Roy M. Harrison^{*1}, Zongbo Shi

**Division of Environmental Health and Risk Management
School of Geography, Earth & Environmental Sciences
University of Birmingham
Edgbaston, Birmingham, B15 2TT
United Kingdom**

^{*} To whom correspondence should be addressed

Tele: +44 121 414 3494; Fax: +44 121 414 3708; Email: r.m.harrison@bham.ac.uk

¹ Also at: Department of Environmental Sciences / Center of Excellence in Environmental Studies, King Abdulaziz University, Jeddah, 21589, Saudi Arabia

- 22 Industrial processes have been identified as an important source of airborne PM.
23
24 PM from different sites within the same industry may vary appreciably in composition.
25
26 PM from different processes within the same industrial site can differ substantially.
27
28 Local source profile measurements are needed for industrial PM source apportionment.
29

30

31

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33 This review summarises the different receptor models that have been adopted at industrial and
34 urban sites to apportion the sources of particulate matter (PM) from industries. Industrial processes
35 and those associated with industry (such as transportation) are an important source of airborne PM
36 which includes trace elements, organic and elemental carbon, and PAHs. Industry also emits
37 gaseous pollutants which form secondary aerosol in the atmosphere. Most published studies have
38 employed chemical mass balance (CMB), positive matrix factorization (PMF) and/or principal
39 component analysis (PCA) models as source apportionment tools. These receptor models were
40 mostly applied to fine particulate matter (PM_{2.5}) and PM₁₀ compositional data, particularly the
41 inorganic constituents. Some studies have combined two or more of these receptor models, which
42 provides useful information on the uncertainties associated with different models. Industry has been
43 reported to contribute from 0 to 70% of PM mass at industrial sites. It appears that some studies are
44 unsuccessful in apportioning PM from industry, e.g., unable to distinguish industrial emissions from
45 other sources. A critical evaluation of the literature data also showed that the choice of appropriate
46 tracers for industry, both generically and for specific industries, varies between different PM source
47 apportionment studies. This is not surprising considering the significant difference in source
48 profiles of PM from different types of industry, which may compromise source apportionment of
49 industrial emissions using CMB with non-local source profiles. It may also affect the attribution of
50 industrial emissions in multivariate statistical models (e.g. PMF and PCA). It is concluded that a
51 general classification of the source “industry” is rarely appropriate for PM source apportionment.
52 Indeed, such studies may even need to consider the different processes within a particular industry,
53 such as a steelworks, which emit PM with significantly different chemical signatures. It is suggested
54 that future source apportionment studies should make every effort to measure source profiles of PM
55 from different industrial processes, and where possible, use multiple models in order to more
56 accurately apportion the source emissions from industry.

57 **Keywords:** Source apportionment; industrial emissions; receptor modelling; metals; particulate
58 matter; steel industry

60 Airborne particulate matter (PM) is a complex pollutant emitted directly from anthropogenic and
61 natural activities (Poschl, 2005) or formed indirectly as secondary aerosol (Harrison and Yin, 2000).
62 Particulate pollutants are composed of a complex mixture of substances with diverse physical,
63 chemical and biological composition. A number of health problems have been associated with
64 exposure to PM. For example, epidemiological studies have found strong correlations between
65 concentrations of PM and hospital admissions and mortality due to respiratory and cardiovascular
66 diseases (Pope and Dockery, 2006).

67
68 Rapid economic and industrial developments have led to increased energy consumption, emissions
69 of air pollutants and poor air quality in major cities of the world, especially in developing countries
70 (Chan and Yao, 2008). Hence, there is a compelling need for quantification, identification and
71 apportionment of these pollutants in order to facilitate their reduction through proper management
72 plans. Emission inventories and chemistry-transport models are important tools in the evaluation of
73 particulate matter pollution. However, these models have some limitations, e.g., due to the fact that
74 important sources of PM are fugitive and hence often poorly quantified (Almeida et al., 2005).
75 Additionally, gas-to-particle transformation models are not always able to describe adequately the
76 contribution from secondary aerosol. Therefore, receptor modelling remains an important tool.
77 Receptor modelling uses physical and chemical characteristics of air pollutants to identify and
78 apportion their contributing sources. The two generic method types of receptor models are the
79 Chemical Mass Balance (CMB) model (Watson et al., 2002) and factor analytical methods (Hopke,
80 2003). The latter includes Principal Component Analysis-Absolute Principal Component Scores
81 (PCA-APCS) (Thurston and Spengler, 1985; Garcia et al., 2006), Positive Matrix Factorization
82 (PMF) (Paatero and Tapper, 1994) and UNMIX (Henry, 1997, 2002).

83
84 Application of receptor models for source apportionment of PM has been well established in
85 published pollution studies (Viana et al., 2008a; Yatkin and Bayram, 2008; Mansha et al., 2012;

86 Belis et al., 2013, 2014; Pant and Harrison, 2012; Pant and Harrison, 2013). Industry has frequently
87 been reported to be one of the important sources of airborne PM, alongside other sources such as
88 traffic, crustal material, secondary aerosol, sea spray, incineration, fuel oil burning, biomass
89 burning, and coal combustion (Harrison et al., 2003; Marcazzan et al., 2003; Qin and Oduyemi,
90 2003; Chio et al., 2004; Karar and Gupta, 2007; Pant and Harrison, 2012), particularly in industrial
91 cities (Oravisjarvi et al., 2003; Querol et al., 2007; Tsai et al., 2007; Alleman et al., 2010). In EU
92 member states, industrial processes are the second and third largest source of primary PM_{2.5} and
93 PM₁₀ respectively ([http://www.eea.europa.eu/data-and-maps/figures/sector-contributions-of-](http://www.eea.europa.eu/data-and-maps/figures/sector-contributions-of-emissions-of-2)
94 [emissions-of-2](http://www.eea.europa.eu/data-and-maps/figures/sector-contributions-of-emissions-of-2)). The objective of this review is to examine critically the application of various
95 receptor models used for source apportionment of particulate matter from industry. It will first give
96 an overview of the emitted PM pollutants from industries and particularly the iron and steel
97 industries. It will then review the receptor modelling of PM from industries. Finally it will compare
98 the PMF profiles used in the literature with the USEPA SPECIATE database for different processes
99 for iron and steel-making in order to evaluate the results from current receptor modelling on
100 industrial pollutants from this source.

101

102 **2. INDUSTRIAL EMISSIONS OF PARTICULATE MATTER POLLUTANTS**

103 In this section, the major types of PM-related pollutants will be briefly introduced. It will also
104 include a specific exemplar industry, iron and steel manufacturing, which has been the subject of
105 several studies.

106

107 **2.1 Major Particulate Phase Pollutants from Industries**

108 There are many types of industry. Major primary industries that contribute to PM emissions include
109 but are not limited to: manufacturing (including automotive, steel and metal-making industries),
110 aerospace, agriculture, chemical, construction, energy. Particulate phase pollutants from industries
111 include metals (Querol et al., 2007; Cetin et al., 2007), OC/EC (organic carbon, elemental carbon),
112 PAHs (Rehwagen et al., 2005; Jang et al., 2013), and water soluble ions (Querol et al., 2002).

114 Trace metals are one of the most characteristic chemical species associated with PM emission from
115 many industries and are the major tracers used in many receptor modelling studies. Trace elements
116 in industrial PM emission are related to the handling and processing of raw materials, handling of
117 intermediate products and production of end products.

118
119 EEA (2012) reported that industrial processes make a significant contribution to the total EU-27
120 emissions of heavy metals (36% Pb, 25% Cd, and 41% Hg), despite significant reductions since
121 1990. In Canada, industrial processes account for 72%, 79% and 32% of total emissions of Pb, Cd
122 and Hg (<http://www.ec.gc.ca/inrp-npri/default.asp?lang=En&n=0EC58C98-1>). Metal production is
123 one of the major industrial processes contributing the emissions of total trace elements such as Cd,
124 Cr, Cu, Hg, Ni, Se, V, and Zn in the UK in 2009 (Table 1). A study by Querol et al. (2007) also
125 showed a number of trace elements in airborne PM are associated with industrial emissions in
126 Spain.

127
128 Analysis of airborne PM close to steel plants has shown that Fe, Mn, Zn, Pb, Cd and K are
129 associated with emissions from the steel and iron plants. Microscopic analysis of individual
130 particles has confirmed the presence of individual Fe-rich particles close to steel plants. For
131 example, Moreno et al. (2004) identified iron spherules in both fine and coarse PM fractions at a
132 steelworks in Port Talbot, South Wales, UK; Ebert et al. (2012) observed a significant fraction of
133 individual iron oxides and iron mixtures in airborne PM near a steel industry in Duisburg, Rhine-
134 Ruhr area, Germany. Elevated concentrations of some elements at the steel industry sites derive
135 from the raw materials being used for steel production. For example, raw materials including iron
136 ores (FeO , Fe_2O_3 , Fe_3O_4), limestone (CaCO_3) and dolomite ($\text{CaMg}(\text{CO}_3)_2$) are used in a blast
137 furnace (BF) while lime (CaO) and fluorspar (CaF_2) are used in a Basic Oxygen Steel plant
138 (Machemer, 2004). Integrated steel plants are also known for high emissions of mercury (Pacyna
139 and Pacyna, 2002; Themelis and Gregory, 2002; Borderieux et al., 2004). Asia and Europe are the

140 regions where steel industries contribute most to the global mercury budget (Pirrone et al., 2001;
141 Pacyna et al., 2006). Mukherjee et al. (2008) reported that annual mercury emissions from iron and
142 steel industries in India increased by 25% between 2000 and 2004.

143

144 **2.1.2 Organic/elemental carbon (OC/EC)**

145 Carbonaceous particles comprising OC and EC are another pollutant generated from industrial
146 emissions. Some of the OC/EC are directly emitted from particular industrial processes and some
147 are associated with relevant activities of industries (see Section 2.3). Globally, industries contribute
148 about 10% and 15% of OC and BC (black carbon) emissions respectively (Bond et al., 2004). In
149 early 21st century China, industrial BC emissions arise primarily from uncontrolled coal-fired
150 stokers and from the production and use of coke in the iron and steel industry; total coal-derived
151 emissions are 83 Gg (85% of the industrial sector total of 97 Gg) (Streets et al., 2001).

152

153 Tsai et al. (2007) measured elevated concentrations of OC and EC at the cold forming unit of an
154 integrated steelworks in southern Taiwan. Highly time-resolved ambient measurements made at a
155 fence-line site adjacent to a large coke production plant in the USA also revealed high
156 concentrations of OC (40% of total measured $PM_{2.5}$) and EC (25% of measured $PM_{2.5}$) (Weitkamp
157 et al., 2005).

158

159 Polycyclic Aromatic Hydrocarbons (PAHs) are a group of organic compounds that are mainly
160 produced by incomplete combustion and pyrolysis of organic material (Manahan, 2009). Industrial
161 processes are a minor source (5%) of PAHs in the UK in 2008 (AEA, 2010) and contributes 9% of
162 PAHs in the EU in 2011 (EEA, 2012). The most important industrial sources of PAHs include
163 primary aluminium and coke production (e.g. as part of iron and steel production), waste
164 incineration, cement manufacture, petrochemical industries, creosote and wood preservation,
165 bitumen and asphalt industries, rubber tyre manufacturing, and commercial heat/power production
166 (European Commission, 2001) and paper mills (Fauser et al., 2011). The PAH emission factors are

167 affected by incoming fuel, the manufacturing process, and the air pollution control devices
168 (Ravindra et al., 2008). The significantly higher PAH levels at an industrial site than at control site
169 and the La Plata city centre in Argentina also suggest that the refinery and petrochemical plants are
170 important sources of PAHs (Rehwagen et al., 2005).

171

172 **2.1.3 Water soluble ions**

173 Water soluble ions have been observed at significant concentrations in industrial sites (Kumar et al.,
174 2001; Oravisjarvi et al., 2003; Samara et al., 2003; Karar and Gupta, 2007; Gildermeister et al.,
175 2007; Viana et al., 2008b; Amato et al., 2009; Zeng et al., 2010; Pancras et al., 2013). K and Cl
176 have been suggested to be associated with sinter plant emissions (Dall'Osto et al., 2008; Hleis et al.,
177 2013).

178

179 **2.2 PM Pollutants from Different Processes within a Particular Industry: Steel** 180 **manufacturing as an example**

181 A modern integrated plant is usually a complex operation, with more than one industrial process
182 unit. For example, steel manufacture involves coke production, sintering, blast furnaces and basic
183 oxygen furnace steelmaking (BOF). Sintering involves mixing iron ores, filter dusts and mill scale
184 all fused together as appropriate feedstock for the BF (Brigden et al., 2000), while in coking
185 processes, pulverized bituminous coal is used as fuel in order to reduce iron oxides and remove
186 volatile impurities (<http://ecm.ncms.org/ERI/new/IRRironsteel.htm>). The BF is a high temperature
187 driven process where metallic iron reduction from the oxide form takes place by burning with coke
188 produced in the coking process. The steelmaking section involves addition of various alloying
189 elements to give the finished materials the combination of properties desired. This takes place in
190 three ways, i.e. the BOF for processing pig iron and the electric arc furnace (EAF)-for recycled
191 materials and the open hearth furnace (OHF) where excess carbon and other impurities are burnt out
192 of the pig iron to produce steel. Presently, about 70% of the world steel is produced from BOF
193 while 29% comes from EAF (<http://www.worldcoal.org/coal/uses-of-coal/coal-steel/>).

194 Each unit may emit PM with specific characteristics. Figure 1 shows the source profiles of PM from
195 two industrial processes in a steel plant and charcoal manufacturing from the USEPA SPECIATE
196 database. There are significant differences in the emission profiles. For example, BOF with an
197 electrostatic precipitator produces high concentrations of sulphate and Si, whereas BOF without
198 control emits high concentrations of Fe and Mn but lower concentrations of sulphate and Si; a sinter
199 plant generates high emissions of Fe, Pb, K and Cl, whereas charcoal manufacturing (not
200 necessarily for a steel plant) has a high concentration of Al, Ca, and Se (Figure 1). A recent study
201 by Tsai et al. (2007) also suggested that K and Pb, which contribute a significant percentage (15 and
202 2 %) to the total observed particle mass, are associated with the sintering process. Similarly,
203 Oravisjarvi et al. (2003) found that the sinter plant contributes 96% and 95% of the total measured
204 concentrations of Pb and Cd in PM at Rahee, Finland. The study of Machemer (2004) showed
205 elevated concentration of Fe, Al, Si, S and Zn at sections close to both BOF and blast furnaces (BF).
206 At the coke making process, major elements observed by Tsai et al. (2007) were S, Fe and Na. In
207 the cold forming aspect of the iron and steel industry, major elements observed in the particles were
208 S, Fe, Na, K and Ni. The hot forming process showed a high abundance of S, Fe, Na and Ca (Tsai et
209 al., 2007). These reports highlight the importance of using local profiles for CMB type models, and
210 provide useful references for identifying tracers for factor analysis-based models. More detailed
211 discussion on these aspects will be given later.

212

213 **2.3 PM Pollutants from Industrially Related Activities**

214 When considering PM emission from a particular industry, one should also consider the other
215 processes that are associated with that industry, for example, transportation of raw materials and
216 end products and energy consumption. This leads to primary emission of combustion aerosols,
217 including vehicular emissions as well as re-suspended dust. Based on emission reports by EU
218 countries under the CLRTAP and NEC Directive, EEA (2012) estimated that 36%, 25%, and 41%
219 of the Pb, Cd and Hg in the EU is emitted from energy use in industry. It is however challenging to
220 apportion the emissions from energy use in industry relative to non-industrial sources. It is also

221 difficult to distinguish the re-suspended dust from the industry itself and those from other processes
222 such as wind-blown dust and the dust generated from working agricultural land. Dust resuspension
223 from raw material transportation is especially relevant in the case of the ceramic industry.

224

225 Apart from the primary particulate pollutants discussed above, industries are also known for
226 emission of gaseous pollutants such as carbon monoxide (CO), sulphur dioxide (SO₂), nitrogen
227 oxides (NO_x) and hydrogen gas (H₂), and volatile organic carbon (Ogulei et al., 2006; Ogulei et al.,
228 2007; Tsai et al., 2008; Johansson and Söderström, 2011; Pancras et al., 2013). Some of these
229 gaseous pollutants can be transformed into secondary compounds which are commonly detected in
230 urban aerosols. It is very challenging for receptor modelling to estimate how much of the secondary
231 aerosols are from the primary pollutants emitted from different industries.

232

233 **3. RECEPTOR MODELLING OF PM FROM INDUSTRIAL EMISSIONS**

234 **3.1. Industrial Sites**

235 Table 2 summarizes different methods of receptor modelling applied to ambient PM measurements
236 at industrial sites all over the world. The types of industries where receptor monitoring sites were
237 located include steelworks, metallurgical plants, oil refineries, petrochemical works and small
238 factories. Most of the studies collected PM₁₀ or PM_{2.5} samples, with a few also collecting data on
239 total suspended particles (TSP) or PM_{2.5-10}. Trace metal concentrations were often used as the
240 source data for receptor modelling (Table 2). OC/EC and ionic components were also included in
241 combination with the metals in some studies. A few of the studies included PAHs as source data.
242 Several types of models have been used including PCA, PCFA (Principal Component/Factor
243 Analysis), CMB, Nested CMB, PMF or Multilinear Engine-2 (ME-2).

244

245 Elevated PM mass concentrations have been observed at some industrial sites compared to
246 residential stations. For example, Xue et al. (2010) reported that the annual PM₁₀ concentrations
247 ranged from 131 to 179 $\mu\text{g m}^{-3}$ at industrial sites compared to 86 $\mu\text{g m}^{-3}$ at a rural background site

248 in Panzhihua, China. Kim and Jo (2006) showed that the average PM_{10} mass levels was 81 and 71
249 $\mu\text{g m}^{-3}$ during winter and summer at an industrial site in Pohang, Korea compared to 52 and 42 μg
250 m^{-3} observed at a residential site. Yatkin and Bayram (2008) found that PM_{10} mass levels were 80
251 $\mu\text{g m}^{-3}$ at an industrial site, which is about twice that of a suburban site in Izmir, Turkey. Querol et
252 al. (2006) reported that the PM_{10} mass level at an industrial site (Changqian, China) was 197 $\mu\text{g m}^{-3}$,
253 which was 41 $\mu\text{g m}^{-3}$ higher than that at an urban site (Hankou, China). An extremely high PM_{10}
254 concentration, 305 $\mu\text{g m}^{-3}$, was also reported at an industrial site in China (Zeng et al., 2010). It is
255 apparent that many of these studies conducted at both industrial and residential/background/urban
256 sites report mass levels of PM_{10} greater than the European Union 24-hour mean Limit Value of 50
257 $\mu\text{g m}^{-3}$.

258

259 The sources (factors) identified in different studies include industry, fuel/oil/coal combustion,
260 traffic (including exhaust and non-exhaust emissions), crustal (soil/dust/minerals), secondary,
261 marine and waste incineration. Literature reports have identified many different types of sources,
262 some of which are similar but with different terminology (e.g., Kim and Jo, 2006; Querol et al.,
263 2006; Ogulei et al., 2006; Viana et al., 2008; Lim et al., 2010). To simplify the comparisons, we
264 also combined some of the sources together to report in Tables 2 and 3. The details of such
265 combinations are shown in the footnotes of Tables 2 and 3. If one or more sources are not classified
266 into one of the categories in Tables 2 and 3, they are listed as “others”. “Others” also include mixed
267 sources such as metallurgy/fossil fuel combustion and waste incineration/marine aerosol by Kim
268 and Jo (2006), steel and fuels by Yatkin and Bayram (2008), incineration and Pb-related industry by
269 Lim et al. (2010), and regional and marine by Viana et al. (2008b); vehicle and industrial oil
270 burning by Lodhi et al. (2009).

271

272 In some cases, up to 48% of the source contributions were not identified, suggesting that the model
273 resolution was not good enough. This could be due to inadequate data (e.g. insufficient samples,
274 poor quality analytical data or inappropriate sets of analytes) causing a failure of the modelling.

275 A few studies have adopted two or more receptor modelling approaches for source apportionment.
276 Some of them produced similar results from different models, such as Viana et al. (2008) and
277 Callen et al. (2009). However, source contributions estimated in some studies (e.g., Yatkin and
278 Bayram, 2008; Srivastava and Jain, 2008) are significantly different using different models. In the
279 latter cases, it is possible that one of the models or both failed to produce satisfactory resolution
280 and/or that the datasets are insufficient to resolve the sources. For application of the multivariate
281 statistical models (PCA, PMF) it is strongly advisable for the ratio of the number of independent
282 samples to the number of species entered in the model to exceed three (Thurston and Spengler,
283 1985), but this guideline is not observed in all cases, leading potentially to model instability.

284 The reported contribution of industry to the PM mass is highly variable. Most studies have
285 apportioned less than 10% of PM to industrial sources (Table 2). A very low industrial contribution
286 of 1% has been reported (Ogulei et al., 2006; Gupta et al., 2007). In one case, no contribution from
287 industry was identified at an industrial site (Samara et al., 2003). In Hammond et al. (2008), iron-
288 steel manufacturing/waste incineration together contributed 0.1 and 4% to PM_{2.5} at East and
289 Southwest Detroit, respectively. In Callen et al. (2009), industry and traffic sources were identified
290 as a single factor. In these studies, the specific contribution from industry cannot be ascertained. It
291 is clear that in some studies, the contribution of PM from “industry” is beyond the resolution
292 capabilities of the RMs used (contributions of 1-2% or less). In Lodhi et al. (2009), the contribution
293 to PM_{2.5} from the steel industry was resolved to be 8% but the full contribution from industries must
294 be more than 8% because another mixed source include industrial emissions. Several studies have
295 apportioned more than 10% of PM to industry (Alleman et al., 2010; Viana et al., 2008b; Chung et
296 al., 2006; Yatkin and Bayram, 2008; Karar and Gupta, 2007; Oravisjarvi et al., 2003). The highest
297 contribution from industry to ambient PM reviewed in this study was estimated to be 70% by Cetin
298 et al. (2007).

299

300 There are many reasons for the large difference in the apportioned contributions of PM from
301 industry including: (i) distance of the industrial units to the sampling sites; (ii) meteorological

302 conditions (e.g. whether the site is downwind or upwind); (iii) particulate emission control
303 measures in place in most industrial plants. Another potentially crucial reason is the choice of
304 industrial tracers in the receptor models in these studies. Multiple emission sources of certain
305 marker elements could create conflicts during apportionment exercises. This will be discussed in
306 more detail in the next section.

307

308 The contribution of combustion sources, encompassing stationary burning of oil, fuel, wood or coal,
309 to the total PM ranges from 0.4 to 58% (Table 2). Combustion is reported to be a major source
310 (>20%) of PM in some of the studies (e.g. Xue et al., 2010; Karar and Gupta, 2007; Querol et al.,
311 2006; Mazzei et al., 2008; Chung et al., 2006; Samara et al., 2003; Castanho and Artaxo, 2001).
312 Nested CMB applied for source apportionment of PM₁₀ by Xue et al. (2010) at industrial sites in
313 China indicated coal combustion ash to represent the largest source of PM₁₀ (26%). However, it
314 needs to be emphasized that the influence of combustion sources on ambient PM may or may not be
315 directly related to industry. No contribution from combustion was identified in a few studies
316 (Oravisjarvi et al., 2003; Cetin et al., 2007; Viana et al., 2008b; Yatkin and Bayram, 2008; Alleman
317 et al., 2010). This could be due to an insignificant contribution from the combustion sources but
318 model bias or incapability of the models to identify the source could not be ruled out. Jang et al
319 (2013) found a similarity in the PAH congener profiles of coal combustion and steel industry
320 emissions, which was resolved only by inclusion of a large number of congeners.

321

322 The traffic source is often a major source of PM even at the industrial sites. It is typical of industrial
323 areas to have high traffic flows due to transportation of raw and processed goods as well as
324 personnel mobility. Heavy-duty vehicles, known large emitters of particles (Charron and Harrison,
325 2005), are often used for transportation of raw materials and processed goods in industry. This is in
326 addition to the contribution from vehicular emissions not associated with the industry and long-
327 range transported sources at those sites.

328

329 Particles with a crustal signature comprised of soil and road dusts as well as cement dust are another
330 important source of PM at industrial sites. Most studies listed in Table 2 have attributed an
331 appreciable proportion of PM, especially in $PM_{2.5-10}$, PM_{10} and TSP fractions, to crustal matter. Re-
332 suspended dusts from roads and all forms of construction works, and windblown soil at the
333 industrial sites are probable contributors. Vehicular movements at the industrial sites could increase
334 dust particles through resuspension processes (Charron and Harrison, 2005). However, it is difficult
335 to apportion the crustal matter from industry due to the overlapping signatures of the possible
336 contributing sources.

337

338 **3.2 Urban/Residential Sites**

339 As stated above, PM mass concentrations in a number of selected studies in residential areas were
340 generally lower compared to the values reported at the industrial sites. Table 3 compiles selected
341 source apportionment studies carried out in urban/residential areas. Despite the fact that studies
342 presented in Table 3 were conducted around the perimeter of residential/urban areas sites, an
343 industrial factor was still prominent in some of the receptor modelling studies. The percentage
344 contributions assigned to industry range between 2 and 37%. Elevated percentages assigned to the
345 industrial source in the residential areas might be related to prevailing meteorological conditions
346 (wind direction) during sampling, and the source to receptor site distances maximising the impact
347 through local dispersion processes (e.g. from an elevated point source) (Almeida et al., 2005;
348 Yatkin and Bayram, 2008).

349

350 Table 3 also shows that some studies were unable to differentiate between industry and traffic by
351 the receptor models used and therefore reported them as a single source (Almeida et al., 2005;
352 Callen et al., 2009). Coal combustion is another significant source of PM pollution in the residential
353 areas, especially in studies from India and China (Chowdhury et al., 2007; Xue et al., 2010). This
354 may arise partly from industrial processes.

355

358 The choice of marker elements for industrial factors/sources in source apportionment is a crucial
359 aspect of receptor modelling. In source apportionment studies, different authors have chosen
360 different marker elements for “industry” (as a general term), for example: As, Zn, Pb, Cs, Tl, Zr,
361 Hf, Ce and Cu (Almeida et al., 2005; Viana et al., 2008b); Pb, Co, Ce, Cr (Hien et al., 2001); Zn,
362 Pb, Si, Ni, Mn, Fe, S (Castanho and Artaxo, 2001); Cd, Pb, Cr, Ni (Heal et al., 2005); Zn and Pb
363 (Zn and Pb smelters, Connell et al., 2006; Kim et al., 2007; Mazzei et al., 2008). It needs to be
364 emphasized that “industry” here refers to a general term rather than a specific industry. A more
365 comprehensive list of marker elements attributed to industrial sources is shown in Tables 2 and 3.

366
367 A range of marker elements have been used for the steel industry. For example, in the study of Xue
368 et al. (2010) at a mixed industrial location with iron and steel industries, Ti, Cr and Mn were used
369 as markers for metallurgical industry. Tsai et al. (2007) and Oravisjarvis et al. (2003) used K, Pb,
370 Fe, Ca, S/SO₂ and Na as tracers for steel production. In the work of Cetin et al. (2007), Zn, Fe, Pb,
371 Mn and Cd were used as steel industry fingerprints. The study by Hammond et al. (2008) adopted
372 Zn, Fe, Mn, K and Pb as steel emission tracers, some of which are also used by other authors.

373
374 There are several major issues arising from the above discussion on the choice of tracer elements
375 for industries. The first issue is how “industry” is defined. “Industry” is in general referred to as one
376 category of emission sources which encompasses a wide range of plants. It is clear that “industry”
377 in one study is not necessarily the same as that in another study. In some cases, there is only one
378 dominant industry that may affect the PM in a particular area. This should to some extent facilitate
379 the choice of tracer elements for receptor modelling. However, frequently, there is more than one
380 industry in a particular area. Since each industry may emit PM with sharp differences in source
381 profiles, using a single set of tracer elements to distinguish the source contribution from “industry”
382 as a whole can be problematic.

383 The second issue is related to different emission source profiles from industrial processes in a
384 particular industrial unit. Marker elements for industries depend on the nature of different processes
385 and activities taking place within the industry (Querol et al., 2007). As shown in Figure 1, different
386 processes at a steel and iron plant can have significant differences in their source profiles.
387 Therefore, using a single set of elements as tracers for a particular industry as a whole can
388 sometimes be problematic as well.

389

390 The third issue is the presence of abatement plant for a particular industrial process in a particular
391 industrial unit. For example, the source profiles of BOF with an electric precipitator are
392 significantly different to those without control (Figure 1). This may to some extent support the
393 choice of different tracer elements for a particular industrial process in different studies but this
394 choice needs to be justified by actual source profile measurements.

395

396 The fourth issue of concern is that the multiple sources of some elements that have been used as
397 marker elements may lead to wrong attribution of a source. For example, K is emitted from burning
398 wood or other biomass, vehicular sources, sinter plants and incinerators (Hays et al., 2005; Lim et
399 al., 2010; Hleis et al. 2013). Fe is a component of crustal matter and Fe, Cu, Zn, and Ba are
400 associated with non-exhaust emissions from road traffic (Thorpe and Harrison, 2008; Pant and
401 Harrison, 2013). OC/EC are emitted from many sources including road traffic. Calculation of
402 enrichment factors (EF) can be useful to differentiate natural and anthropogenic emissions (Kothai
403 et al., 2011). The ratios of some specific elements may also be employed to differentiate steelworks
404 emissions from either traffic or other anthropogenic sources. Connell et al. (2006) used the Mn/Zn
405 ratio to identify steelworks emissions in $PM_{2.5}$ sampled at Steubenville, OH, USA. Cl and S are
406 sometimes used as tracers for industries (Prati et al., 2000; Chung et al., 2006) but there are
407 obviously many other potential sources for these elements. It is therefore difficult to resolve the
408 emission sources of elements such as K, Cl, S and Fe in receptor modelling except by inclusion of

409 other tracers. A typical example is the use of levoglucosan along with K as tracers for biomass
410 burning (Zhang et al., 2010; Harrison et al., 2012).

411

412 The summary in Tables 2 and 3 shows a wide range of tracer elements for “industries”. This to
413 some extent is justifiable because different industries have different chemical signatures (tracers).
414 Many previous receptor modelling studies appear to over-simplify the source apportionment of
415 industrially emitted PM. There is a tendency in some studies using PMF or PCA methods to
416 associate factors containing trace metal signatures with “industry” without supporting information
417 on industrial emission profiles. We recommend that the choices of tracers for industries should be
418 supported and justified by comprehensive source profiles from major industries in the area of
419 interest.

420

421 In the following, we will quantitatively compare the profiles of PMF factors used for source
422 apportionment of PM from steel production processes with those of the USEPA SPECIATE source
423 profile. Our intention is to further examine the appropriateness of the choice of tracer elements for
424 different processes associated with steel industry activities.

425

426 **5. PMF FACTORS VERSUS USEPA SPECIATE PROFILES FOR STEEL** 427 **PRODUCTION PROCESSES**

428 Here, we compare the factor profiles identified by PMF with USEPA SPECIATE source profiles
429 from general steel production processes, sintering and coking processes and a blast furnace
430 (Figures 2a-2d). We have chosen these processes mainly because of the data availability (both the
431 USEPA source profiles and the PMF factors). Only a few PMF studies have identified the steel
432 industry and/or process units related to the steel industry as a source. Some studies have identified
433 a steel industry factor but this was mixed with another source such as waste incinerator. In this
434 latter case, the factor was not included in the comparison in Figure 2. It should be emphasised that

435 these PMF factors are different from ambient concentrations of PM components and represent the
436 fractional composition of the factor attributed to the specific source.

437

438 Figure 2a shows that there are some differences in the PMF profiles of steel production with
439 general iron production source profiles in the USEPA SPECIATE database, but most of the
440 elements and in particular the tracer elements, including Fe, Zn and Mn, fall within the 1:10 and
441 10:1 lines. Some elements such as Ni, Cr, Cu and sometimes K are outside of the 10:1 lines. This
442 may be linked to their use in specific processes not represented in either the PMF or SPECIATE
443 profiles. The level of information associated with each dataset is insufficient to make a specific
444 judgement.

445

446 Figure 2b shows that the Fe content in the PMF profile of the sinter plant factor from Alleman et
447 al. (2010) is similar to that in USEPA SPECIATE database. However, most of the other elements
448 are outside of the 1:10 line. In terms of coking, there seem to be large discrepancies between the
449 Alleman et al. (2010) PMF factor profile with that in the USEPA SPECIATE database (Figure 2c).
450 This may result from differences in the trace element composition of feedstocks for the respective
451 plants that were sampled. The PMF blast furnace factor (Steel 1) profile from Taiwo et al. (2014)
452 agrees very well with the USEPA SPECIATE profiles from the blast furnace process (Figure 2d).
453 Fe, K, Mn, and Zn are close to the 1:1 line, while Ni and Cu were outside of the 1:10 line and Cr
454 was not identified in the PMF factor.

455

456 In the USEPA SPECIATE/PMF scatter plot shown in Figure 2(a-d), good agreement was observed
457 for some marker elements adopted in different studies, suggesting that the choice of relevant factors
458 was appropriate. However, some discrepancies were also observed for tracers related to steelworks
459 processes. This may be caused by several factors.

460

461 One reason may be differing materials inflow at the steelworks processing units reported in the
462 USEPA SPECIATE database and the published work, which may result in a dissimilar chemical
463 profile of PM emissions from each process from plant to plant. Unfortunately, there are limited
464 source profile data available in the literature to evaluate this possibility. The relationship of the
465 source profile measured directly upon emissions from the sintering process from Tsai et al. (2007)
466 against USEPA SPECIATE data is shown in Figure 3. Most elements are within 1:10 and 10:1
467 lines except for Cu and Ba, which gives some confidence in the comparison of source profiles from
468 different studies. However, there are clear differences for many of the elements.

469

470 A second reason may be related to pollution control systems in place at some steelworks. On the
471 issue of pollution control systems, the USEPA SPECIATE data showed that there are significant
472 differences in source profiles of PM from BOF with and without an electrostatic precipitator
473 (Figure 1). Information on the pollution control system at the steelworks foundry, sintering and
474 EAF are not revealed in the USEPA SPECIATE database. However, no control system was in
475 place at the coking plant represented in the USEPA SPECIATE data.

476

477 These above discussions indicate that even with the PMF receptor modelling, it is preferable to
478 obtain the source profiles from on-plant measurements to support the choice of factors and tracer
479 elements.

480

481 **7. CONCLUSIONS AND RECOMMENDATIONS**

482 Industrial emissions are an important source of particulate substances including metals,
483 carbonaceous species and PAHs in the atmospheric environment. Receptor models such as CMB,
484 PMF and PCA have been used to quantify the contribution of industrial emissions to ambient PM.
485 A few studies have combined two or more models which makes it possible to compare the
486 performance of each model. Receptor modelling at industrial sites has assigned between 0 and 71%
487 of PM to industrial emissions. This assignment does not generally include the likely contribution of

488 industrially-related activities such as combustion and transportation of materials. A comprehensive
489 evaluation of different receptor modelling studies at industrial sites demonstrated that many
490 different elemental profiles have been attributed to industry, often without any check against known
491 source profiles for local industrial processes. This makes it difficult to evaluate the results from
492 these source apportionment studies, in particular when more complete information including the
493 control technologies at the plants and the source profiles of PM from the industries around the
494 receptor sites is not available.

495
496 We suggest that in future receptor modelling of industrially emitted PM:

- 497
- 498 (1) Where possible, multiple receptor modelling techniques are used in order to provide a means
499 to evaluate the uncertainties.
 - 500
 - 501 (2) Receptor modelling at paired sites, one close to an industrial site and a background site, are
502 conducted to allow a quantitative evaluation of the impact of a particular industrial plant.
 - 503
 - 504 (3) Local source profiles of PM from different industries which may contribute to the receptor
505 site are measured to support the assignment of source profiles in non-CMB type modelling
506 techniques.
 - 507
 - 508 (4) More source profiles associated with different industrial processes in different industries
509 should be measured to enhance the data available for use in CMB models and to assist source
510 attribution in PCA, PMF and related models.

511
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TABLE LEGENDS

- 880 **Table 1:** Contribution of each trace metal from industrial processes to total emissions and
881 their major sources in the UK based on 2009 United Kingdom National Atmospheric
882 Emissions Inventory.
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- 884 **Table 2:** Results of Source Apportionment (SA) with different receptor models at industrial
885 sites.
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- 887 **Table 3:** Source Apportionment with different receptor models at residential sites (selected
888 studies) with a reported industrial contribution.
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FIGURE LEGENDS

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- 894 **Figure 1:** Source profiles of charcoal manufacturing, sinter plant and BOF plant (with
895 electrostatic precipitator). From US EPA SPECIATE database.
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- 897 **Figure 2:** Scatter plots of PMF factor profiles (in percentage) from published studies versus
898 USEPA SPECIATE source profiles for (a) general steel production, (b) sinter plant,
899 (c) coke plant and (d) and blast furnace. In Fig. 2a, Gildemeister et al. (2007) (1):
900 site 1-Allen Park site; Gildemeister et al. (2007) (2): Dearborn site at Detroit
901 industrial area, USA; PMF profile in Taiwo et al. (2014) (Fig. 2a) was a mixed factor
902 comprising Steel 2 (BOS) and Steel 4 (sinter plant); PMF factor profiles in
903 Gildemeister et al. (2007) were kindly provided by the authors; PMF profiles of
904 iron/steel factor from Pancras et al. (2013) were estimated (so carries small
905 subjective error) from concentration of each element (in ng m^{-3}) in Fig. 3 in the
906 original paper and the apportioned iron/steel factor concentration of $0.36 \mu\text{g m}^{-3}$
907 (Table 4 of the original paper). PMF factor profiles of sinter plant (Fig. 2b) and coke
908 dust (Fig. 2c) are from Alleman et al., (2010) and that of blast furnace is from Taiwo
909 et al. (2014). The USEPA SPECIATE blast furnace profile: PM (0-38 μm) from kish
910 graphite from blast furnace process in iron and steel manufacturing. Ni and Ba made
911 negligible contribution to factor Steel 2 and 4 in Taiwo et al. (2014) so were not
912 included in comparison (Fig. 2a); similarly Cr made negligible contribution to factor
913 Steel 1 in Taiwo et al. (2014) so was not included in comparison (Fig. 2d). Please
914 note that some elements were reported in USEPA SPECIATE source profiles but not
915 in PMF factor profiles; and vice versa. In those cases, the data could not be shown in
916 the figures.
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- 918 **Figure 3:** Regression plots of USEPA SPECIATE vs Tsai et al. (2007) source profiles for the
919 sintering process in the steel industry.

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923 **Table 1:** Contribution of each trace metal from industrial processes to total emissions and their
 924 major sources in the UK based on 2009 United Kingdom National Atmospheric Emissions
 925 Inventory.
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	Total Emissions (tonnes)	Industrial contribution %	Major sources
As	13	93	Treated wood for industrial combustion; metal production; public electricity and heat production
Cd	2	78	Non-ferrous metal production and iron and steel manufacture (as well as other forms of industrial combustion), energy production (include a significant proportion from waste combustion and fuel oil combustion for electricity generation)
Cr	26	89	Coal combustion, iron and steel production in integrated works and in electric arc furnaces and the production of chromium based chemicals
Cu	52	49	Metal production, combustion of lubricants in industry and coal combustion
Pb	60	87	Metal production and combustion of lubricants in industry
Hg	7	99	Iron and steel production processes, public electricity and heat production, waste incineration, the manufacture of chlorine in mercury cells, coal and other forms of industrial combustion
Ni	83	54	Combustion of heavy fuel oil
Se	31	92	Glass production and combustion for public electricity and heat production
V	477	21	Fuel oil combustion
Zn	339	72	Metal production and combustion in industry

927 Note: this table is adapted from UK emissions of air pollutants 1970 to 2009 by AEA (2011):
 928 available at http://uk-air.defra.gov.uk/reports/cat07/1401131501_NAEI_Annual_Report_2009.pdf
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Table 2: Results of Source Apportionment (SA) with different receptor models at industrial sites.

Ref.	Study Area	Setting of Study Area and population	Method of SA	Parameter used for SA	Types of Industry	PM Size	Marker elements for industries	PM conc.: $\mu\text{g}/\text{m}^3$	Source Contribution (%)							
									Combustion (coal, wood, oil, gas, biomass)*	Marine or other salt source (e.g. road salt)	Vehicle emission (exhaust & non-exhaust)	Secondary aerosol, Regional or long range transport	Crustal matter **	Industry	Incineration or waste Incineration	Un-explained or Others
Hien et al., 2001	Ho Chi Minh City, Vietnam	Industrial, commercial 4.5 million	PCFA	Metals	Small factories	TSP PM _{2.5} PM ₂	Ce, Co, As, Cr, Pb, Sb	74 32 16	11 16 18	18	6 17 17	25	77 33 27	4 2 13	2 14	
Kumar et al., 2001	Mumbai India	Industrial/ Residential	PCA	Metals, OC/EC, ions, NO ₂	Medium scale industries	SPM, likely to be TSP	Cu, Mn, Ni	1032-1176	6-11	15	15-18		33-41	6-8	15-17	
Oravisjarvi et al., 2003	Raahe, Finland	Industrial, population-17,000	PCA	Metals, Ions	Steel/Mechanical engineering Works	PM _{2.5}	Mn, F, Zn, Fe, Ca, Cd, K, Na, Pb, Cl Cu, Mo, Ni, Cr	10				44	7	14	35	
Samara et al., 2003	Thessaloniki, Greece	Industrial	CMB	Metals, ions, PAHs	Steel, Oil refineries, metallurgy, cement	PM ₁₀	V, Fe, Zn, Pb, Cl, Ca, Na, SO ₄ , NO ₃	-	8-28		47-64		19-29	0-7	-	

Chung et al., 2006	Urban Daehwa, Korea	Industrial 1.4 million	PMF	Metals	Soap, cosmetics, metallurgy, plastic, chemicals	PM _{2.5} PM _{2.5-10}	Al, Cl, Cr, Ti, Fe, Co, As, Cu	10 23	36 24	3 9	24 5		2 55	28 2	9 5	
Connell et al., 2006	Steubenville, USA	Industrial, 132,000	PMF	Metals, anions	Coke, Metal Smelting and processing	PM _{2.5}	Fe, Mn, Zn, Mg, As, Zn, Cu, Cd, Pb	18	3		20	57	6	13		
Kim and Jo, 2006	Pohang, Korea	Industrial	PCA	Metals	Metal industries	PM ₁₀	Cu, Mn, Tl,	76			9		35	10	18	28
Ogulei et al., 2006	Baltimore Supersite, USA	Industrial	PMF	Metals, ions, OC/EC, Gases	Steel, Automotive painting	PM _{2.5}	Fe, Cu, Pb		44		10	33	3	2	8	
Querol et al., 2006	Changqian, Wuhan City, China	Industrial 9 million	PCA	Metals, ions, OC/EC	Steel, Petrochemical	PM ₁₀	Cd, Bi, Rb, As, Cu, Pb, Sb, Sn and K	197	20		10	16	34	15		5
Gupta et al., 2007	Kolkata, India	Industrial	CMB	Metals, PAHs, Ions	Metal, electroplating	PM ₁₀ TSP			34 17		47 7		1 52	1		17 24
Cetin et al., 2007	Aliaga, Turkey	Industrial	CMB	Metals, PAHS	Steel	PM ₁₀	Zn, Fe, Pb, Mn, Cd	87 w 60 s	25 w 7 s	1 w	7 w		12 s	70w 55 s		26 s
Karar and Gupta, 2007	Kolkata, India	Industrial	PCA-APCS	Metals, PAHs, TC, OC, Ions		PM ₁₀	Cr	197	29		45	1		18		7

Gildemeister et al., 2007	Dearborn, Detroit, USA	Industrial	PMF	Metals, Anions, OC/EC	Metallurgy, Steel, Oil refinery	PM _{2.5}	Fe, K, Mn, Zn, Ca	19	8	4	30	43	12	12		
Chavent et al., 2007	Anglet, South West, France	Industrial 170, 000	Factor Analysis	Metals	Steel	PM _{2.5}	Zn, Pb		78	5	9		6	3		
Mazzei et al., 2008	Cornigliano, Genoa, Italy	Industrial	PMF	Metals	Steel	PM ₁ PM _{2.5} PM ₁₀	Fe, Mn, Zn, Pb	18 19 42	58 40 -	- - 17	9 17 15	11 7 36	7 17 8	7 20 23		6 3 1
Viana et al., 2008b	Castello, Spain	Industrial	PCA PMF CMB	Metals, OC/EC, Ions	Ceramic, petrochemical, organic chemicals	PM ₁₀	As, Zn, Pb, Cs, Tl, Zr, Hf, Ce	34		3	10 10 13	25 18	12	48 32 47		42 33 7
Yatkin and Bayram, 2008	Izmir, Turkey	Industrial, 3 millions	PMF CMB	Metals	Iron & steel, cement, minerals	PM _{2.5}	Zn, Pb, Mn, Fe, V, Ni	64	7-11	2-3	15 80-81		1 1-3	1-1		70
Amato et al., 2009	Bacelonia, Spain	Industrial, 1.6 million	ME-2	Metals, ions, total carbon	Asphalt, Ferrous and non ferrous smelters, Cement Power plants	PM ₁ PM _{2.5} PM ₁₀	Pb, Zn, Fe, Mn, Cd	17 28 40	8 6 5	0 3 10	36 32 21	48 26 24	3 21 42	2 2 1		
Amato et al., 2009	Bacelonia, Spain	Industrial, 1.6 million	PMF	Metals, ions, total carbon	Asphalt, Ferrous and non ferrous smelters, Cement Power plants	PM ₁ PM _{2.5} PM ₁₀	Pb, Zn, Fe, Mn, Cd	17 28 40	8 7 6	0 3 11	30 29 28	53 45 25	0 14 31	3 3 2		

Lodhi et al., 2009	Lahore, Pakistan	Industrial, Urban, 6.6 million	PMF	Metals, ions	Steelworks, Power plants	PM _{2.5}	Co, Cr, Fe, Mo, Ni, Sn				5	51	18	8		18
Alleman et al., 2010	Dunkirk, France	Industrial 210, 000	PMF	Metals	Mixed Industrial zone	PM ₁₀	Fe, Ca, Si, Mo, As, Cd, Pb, V, Ni, Ti, Zn			12	15		24	37		12
Lim et al., 2010	Daejeon, Korea	Industrial 1.45 million	PMF	Metals, ions	Metallurgy, plastic, chemicals, cement	PM ₁₀	As, In, Cl, Fe, K, SO ₄ , NH ₄ , Pb	86	6		9	23	48	7		7
Xue et al., 2010	Panzhihua, China	Urban/Industrial	NCMB	Metals, Anions	Iron & steel, metallurgy	PM ₁₀	TC, V, Ti, Cr, Mn,	131-179	26		14	23	11	20		8
Zeng et al., 2010	Taiyuan, China	Industrial	PCA/MLR	Metals, ions, OC	Steel, Construction, chemical, energy	PM ₁₀	Co, Cr, Fe, Mo, Ni, Sn	305	18		13	16	38	12		3
Mansha et al., 2012	Karachi, Pakistan	Industrial 14 million	PMF	Metals, ions	Steel, Cement, Textile, Refineries, Petrochemical	PM _{2.5}	Co, Cr, Fe, Mo, Ni, Sn	84			19	12	16	53		
Pancras et al., 2013	Dearborn, Michigan, USA	Industrial	PMF	Metals, ions, OC/EC	Metallurgy, Steel, Oil refinery	PM _{2.5}	Se, SO ₂ , Fe, Mn, Pb, Cu, Zn, K, Rb	16	4		8	54	13	7	2	
Pancras et al., 2013	Dearborn, Michigan, USA	Industrial	Unmix	Metals, ions, OC/EC	Metallurgy, Steel, Oil refinery	PM _{2.5}	SO ₂ , Fe, Cu, K, Rb	16	3		28	60		14	1	

Farao et al., 2014	Ferrara, Po Valley, Italy	Industrial 132, 000	PMF	Metals, ions, OC/EC		PM ₁₀ PM _{2.5}	As, Cd, Pb, Tl, Zn			5 2	13	40	20 20	10 4		56 27
Taiwo et al., 2014	Port Talbot, South Wales, UK	Industrial 35, 000	ME-2	Metals, ions	Steel	PM ₁₀ PM _{2.5-10} PM _{2.5}	Fe, Mn, Ca, Ni, Cd, Pb, Zn	8 12 20		20 30 28	13 16 16	20 13		14 31 23		33 10 33

Note: NCMB-Nested chemical mass balance, CPF- Conditional Probability Function, PSCF- Potential Source Contribution Function. LMR-Least Multiple Regression, W-winter, S-summer; Sometimes two or more sources were grouped as one factor for example, in Kim and Jo (2006), Lim et al. (2010), Lodhi et al. (2009), Yatkin and Bayram (2008) and Viana et al. (2008b), in which the factor will be counted as “others”. For these reasons, readers are strongly advised to read the original articles for more details

*, Different authors used different definition of this combustion source for example, coal, wood, oil, and gas combustion, and biomass burning; they were grouped together for simplicity in this review. **, this category includes all crustal element based source, for example, soil dust, road dust, cement or minerals.

Table 3: Source apportionment with different receptor models at residential sites (selected studies) with a reported industrial contribution.

Authors	Study Area	Setting of Study Area	SA Method	Parameter	PM Size	Marker element for industry	PM conc. ($\mu\text{g}/\text{m}^3$)	Sources Contribution (%)								
								Combustion, coal, wood, oil, (cooking)	Marine aerosol	Traffic (Exhaust and non-exhaust)	Secondary aerosol	Crustal matter	Industry	Waste, Incineration	Others	
Castanho, and Artaxo, 2001	Sao Paulo, Brazil	Residential 5.5 millions	APFA	Metals, OC, EC	PM _{2.5}	Zn, Mn, Pb, Fe, Ni, S	30 w, 15 s	19 21			28 24	23 17	25 31	5 6		
Almeida et al., 2005	Bobadela, Portugal	Residential	PCA/MLRA	Metals, OC, BC, Anions	PM _{2.5} PM _{2.5-10}	Zn, Cu, Sb, Pb	24 16	8 5	8 47	22	25 15	16 20	0.2 0.4		21 13	
Alastuey et al., 2007	Tarragona harbour, Spain	Harbour	PCA-MLRA	Metals, Anions	PM ₁₀	V, Ni, Mn, Co	40		13	34		17	12		24	
Kim et al., 2007	Ohio River Valley, USA	Residential	PMF	Metals, OC, EC, Ions	PM _{2.5}	Fe, Mn, Ca, SO ₄ , Zn, Pb	14			2.5	69	2.5	6		20	
Srivastava and Jain, 2008	Delhi, India	Residential 14 millions	CMB	Metals	>1.6 μm <1.6 μm	Fe, Mn, Cu, Cr, Ni, Pb				29 62		68 36	3 2			
Srivastava and Jain, 2008	Delhi, India	Residential 14 millions	PCA	Metals	>1.6 μm <1.6 μm					23 86		68 10				
Yatkin and Bayram, 2008	Izmir, Turkey	Sub-urban, 3 millions	PMF	Metals	PM _{2.5}	Zn, Pb, Mn, Fe, V, Ni	24		4	12		9			75	
Yatkin and Bayram, 2008	Izmir, Turkey	Sub-urban, 3 millions	CMB	Metals	PM _{2.5}	Zn, Pb, Mn, Fe, V, Ni	20 w 29 s	13 10	6 10	71 63		8 15	1 2		0.4 0.1	
Callen et al., 2009	Zaragora, Spain	Urban	PCA-APCS Unmix PMF	Metals, OC, EC, Ions, PAHs, NH ₄	PM ₁₀		32	13 12	4 10 7	15 7		56 65 40			27 9 34	

Zhang et al., 2013	Beijing, China	urban	PMF	Metals, anions, OC/EC	PM _{2.5}	OC, EC, Zn, Mn, and Cr	135	30			26	15	25		4
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Note: W-winter, S-summer; Sometimes two or more sources were grouped as one factor, in which the factor will be counted as “others”. For these reasons, readers are strongly advised to read the original articles for more details; Different authors used different definition of this combustion source for example, coal, wood, oil, and gas combustion, and biomass burning; they were grouped together for simplicity in this review

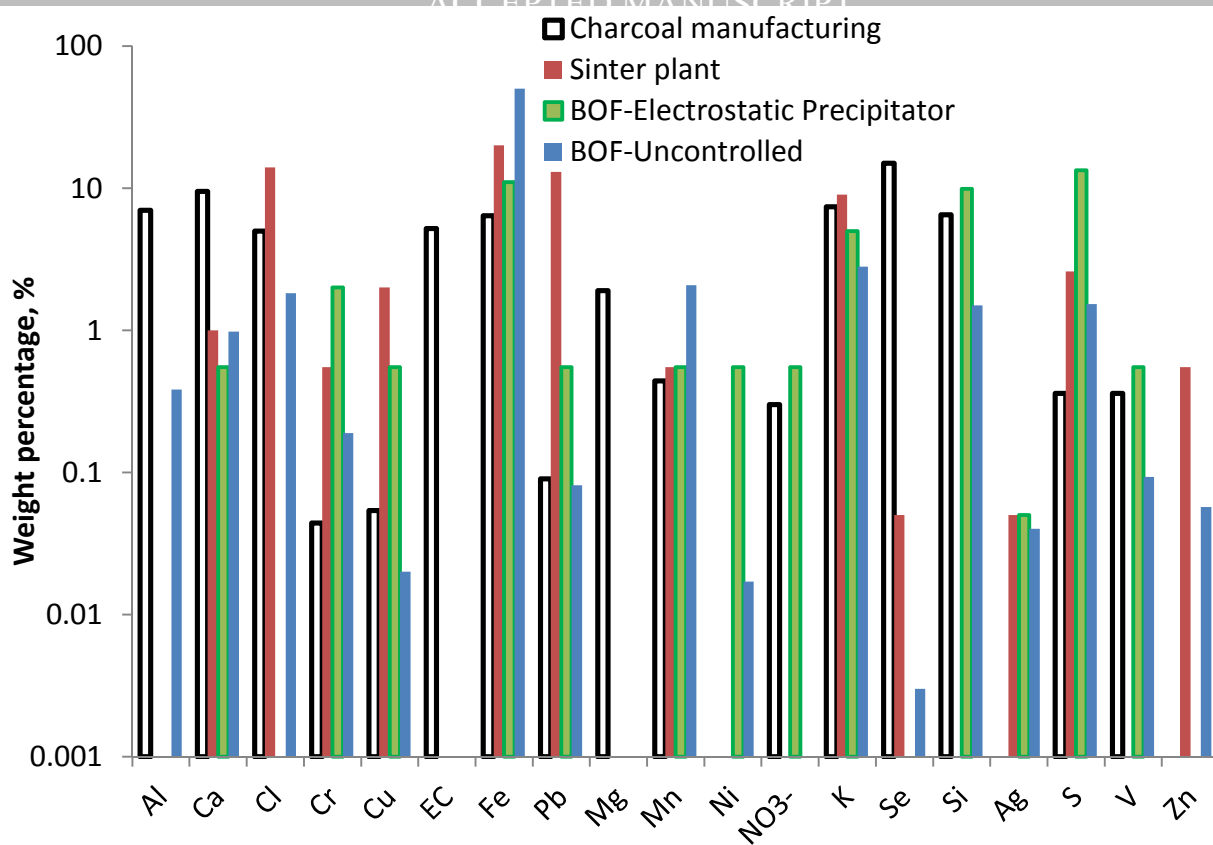
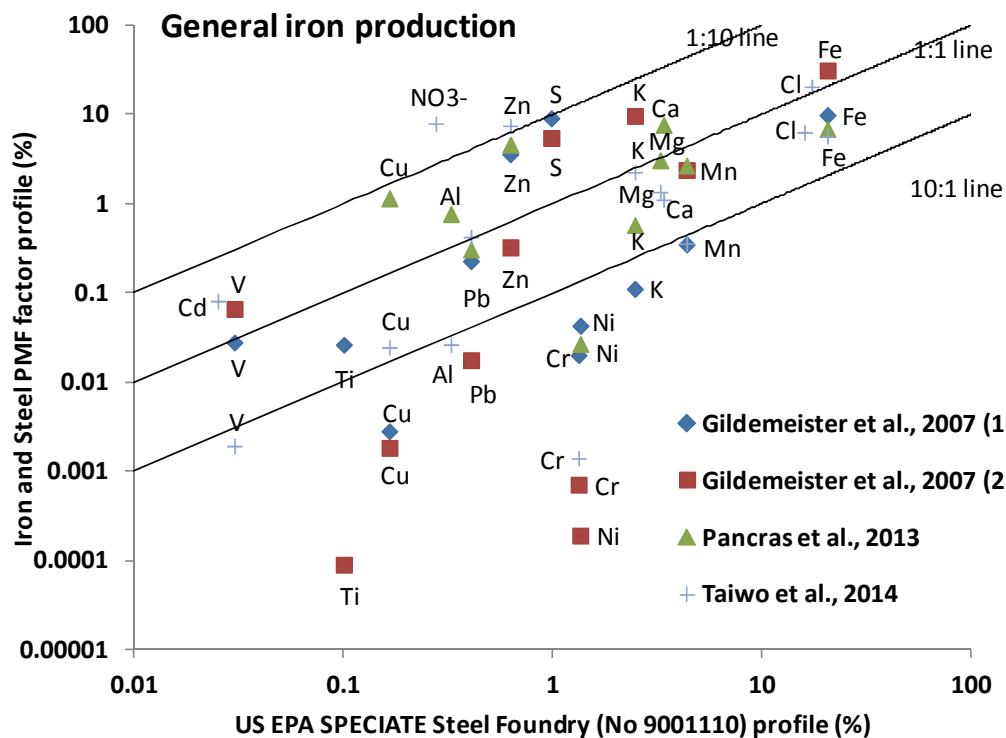
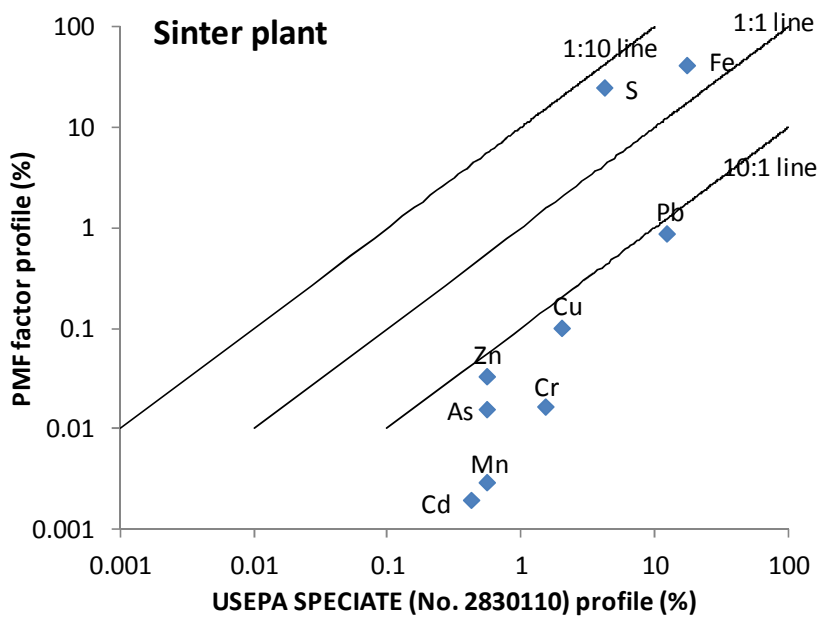


Figure 1: Source profiles of charcoal manufacturing, sinter plant and BOF plant (with electrostatic precipitator and uncontrolled). From US EPA SPECIATE database.



(a)



(b)

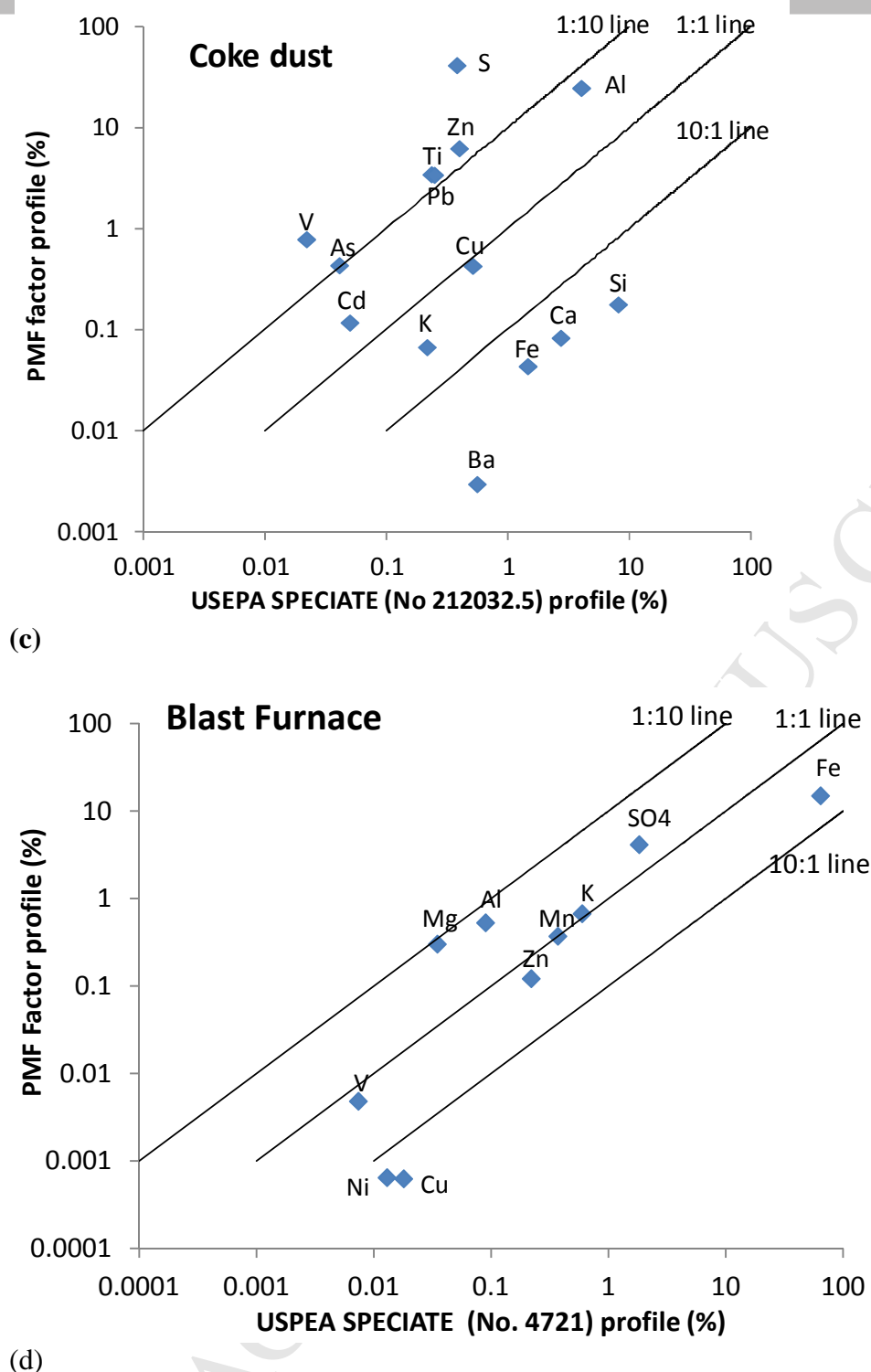


Figure 2: Scatter plots of PMF factor profiles (in percentage) from published studies versus USEPA SPECIATE source profiles for (a) general steel production, (b) sinter plant, (c) coke plant and (d) and blast furnace. In Fig. 2a, Gildemeister et al. (2007) (1): site 1-Allen Park site; Gildemeister et al. (2007) (2): Dearborn site at Detroit industrial area, USA; PMF profile in Taiwo et al. (2014) (Fig. 2a) was a mixed factor comprising Steel 2 (BOS) and Steel 4 (sinter plant); PMF factor profiles in Gildemeister et al. (2007) were kindly provided by the authors; PMF profiles of iron/steel factor from Pancras et al. (2013) were estimated (so carries small subjective error) from concentration of each element (in ng m^{-3}) in Fig. 3 in the original paper and the apportioned iron/steel factor concentration of $0.36 \mu\text{g m}^{-3}$ (Table 4 of the original paper). PMF factor profiles of sinter plant (Fig. 2b) and coke dust (Fig. 2c) are from Alleman et al., (2010) and that of blast

furnace (Fig. 2d) is from Taiwo et al. (2014). The USEPA SPECIATE blast furnace profile: PM (0-38 μm) from kish graphite from blast furnace process in iron and steel manufacturing. Ni and Ba made negligible contribution to factor Steel 2 and 4 in Taiwo et al. (2014) so were not included in comparison (Fig. 2a); similarly Cr made negligible contribution to factor Steel 1 in Taiwo et al. (2014) so was not included in comparison (Fig. 2d). Please note that some elements were reported in USEPA SPECIATE source profiles but not in PMF factor profiles; and vice versa. In those cases, the data could not be shown in the figures.

ACCEPTED MANUSCRIPT

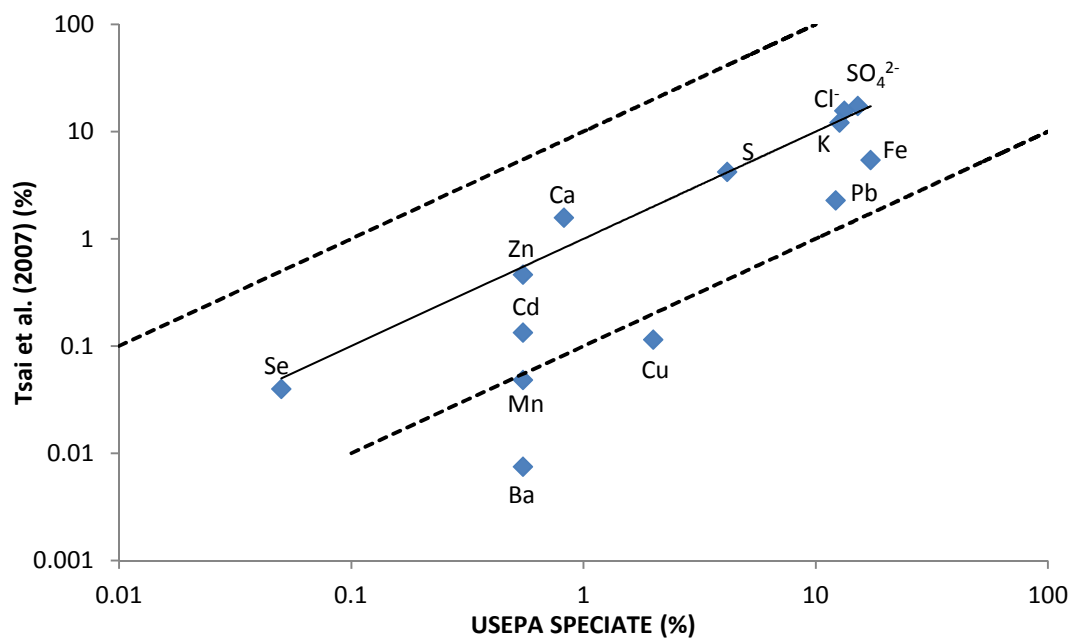


Figure 3: Regression plots of USEPA SPECIATE vs Tsai et al. (2007) source profiles for the sintering process in the steel industry.

A Review of Receptor Modelling of Industrially Emitted Particulate Matter

Adewale M. Taiwo, Roy M. Harrison and Zongbo Shi

HIGHLIGHTS

Industrial processes have been identified as an important source of airborne PM.

PM from different sites within the same industry may vary appreciably in composition.

PM from different processes within the same industrial site can differ substantially.

Local source profile measurements are needed for industrial PM source apportionment.