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Article

# Effects of siRNA-Mediated Knockdown of GSK3 $\beta$ on Retinal Ganglion Cell Survival and Neurite/Axon Growth

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**Abstract:** There are contradictory reports on the role of the serine/threonine kinase isoform glycogen synthase kinase-3 $\beta$  (GSK3 $\beta$ ) after injury to the central nervous system (CNS). Some report that GSK3 activity promotes axonal growth or myelin disinhibition, whilst others report that GSK3 activity prevents axon regeneration. In this study, we sought to clarify if suppression of GSK3 $\beta$  alone and in combination with the cellular-stress-induced factor RTP801 (also known as REDD1: regulated in development and DNA damage response protein), using translationally relevant siRNAs, promotes retinal ganglion cell (RGC) survival and neurite outgrowth/axon regeneration. Adult mixed retinal cell cultures, prepared from rats at five days after optic nerve crush (ONC) to activate retinal glia, were treated with siRNA to GSK3 $\beta$  (siGSK3 $\beta$ ) alone or in combination with siRTP801 and RGC survival and neurite outgrowth were quantified in the presence and absence of Rapamycin or inhibitory Nogo-A peptides. In *in vivo* experiments, either siGSK3 $\beta$  alone or in combination with siRTP801 were intravitreally injected every eight days after ONC and RGC survival and axon regeneration was assessed at 24 days. Optimal doses of siGSK3 $\beta$  alone promoted significant RGC survival, increasing the number of RGC with neurites without affecting neurite length, an effect that was sensitive to Rapamycin. In addition, knockdown of GSK3 $\beta$  overcame Nogo-A-mediated neurite growth inhibition. Knockdown of GSK3 $\beta$  after ONC *in vivo* enhanced RGC survival but not axon number or length, without potentiating glial activation. Knockdown of RTP801 increased both RGC survival and axon regeneration, whilst the combined knockdown of GSK3 $\beta$  and RTP801 significantly increased RGC survival, neurite outgrowth, and axon regeneration over and above that observed for siGSK3 $\beta$  or siRTP801 alone. These results suggest that GSK3 $\beta$  suppression promotes RGC survival and axon initiation whilst, when in combination with RTP801, it also enhanced disinhibited axon elongation.

**Keywords:** retinal ganglion cells; GSK3 $\beta$ ; RTP801; neurite outgrowth; optic nerve injury; axon regeneration

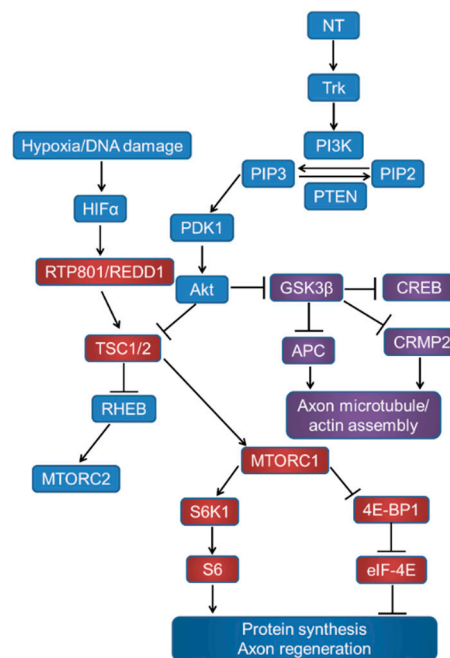
## 1. Introduction

The role of the serine/threonine kinase isoform glycogen synthase kinase-3 $\beta$  (GSK3 $\beta$ ) [1–4], which is expressed in the central nervous system (CNS) [5], is controversial, since GSK3 activity is reported by some to promote axonal growth or myelin disinhibition, whilst other studies claim the opposite is true [6–10]. These reported differences have been attributed to a variety of factors including cell

type, neuronal age, axon environment, and the ability of GSK3 to influence the activity of multiple downstream targets and, hence, the adoption of several diverse functions in nerve regeneration [11]. For example, collapsin response mediator protein 2 (CRMP2) and microtubule-associated protein 1B are both substrates for GSK3, but CRMP2, which is inhibited by GSK3-mediated phosphorylation, promotes microtubule polymerisation and myelin disinhibition, whilst MAP1B, which is directly phosphorylated by GSK3-mediated phosphorylation, promotes axon growth [9,12–16]. It has also been suggested that ectopic expression of non-physiological levels of GSK3 may be detrimental, since the focus on one of the two isoforms of GSK3, mainly GSK3 $\beta$ , may elicit compensatory responses by GSK3 $\alpha$  and vice-versa [10].

In an attempt to clear up some of the potential pitfalls mentioned above, Gobrecht et al. (2014) [9] used well-defined phosphorylation resistant GSK3 $\alpha^{S21A}/\beta^{S9A}$  [GSK3( $\alpha/\beta$ )<sup>S/A</sup>] double knock-in mice to show that elevated GSK3 activity accelerated peripheral nerve regeneration [9]. This observed effect was based on phosphorylated MAP1B-associated inhibition of microtubule detyrosination and subsequent increase in microtubule dynamics in axon growth cones [9,17]. However, using the transgenic as well as the conditional retinal ganglion cell (RGC) specific GSK3 $\alpha$  and GSK3 $\beta$  knock out (KO) mice, the elevation of GSK3 activity in GSK3<sup>S/A</sup> mice was compromised, and GSK3 $\beta$  KO potentiated inflammatory stimulation-mediated RGC axon regeneration [10]. These effects were associated with varying degrees of inactive CRMP2 in optic nerve axons, whereas no CRMP2 phosphorylation was observed in peripheral nerve regeneration [10]. Furthermore, elevated GSK3 activity enhanced neurite outgrowth in RGC only when constant CRMP2 activity was maintained, suggesting that CRMP2 inhibition masks the positive effects of MAP1B activity in CNS neurons [10].

Whilst Rapamycin inhibition of the mammalian target of Rapamycin (mTOR) has no effect on GSK3 $\beta$  activation, the inhibition of GSK3 $\beta$  reportedly activates mTOR in cultured dorsal root ganglion neurons (DRGN) [3,18], presumably by releasing the tuberous sclerosis complex (TSC) from direct GSK3 $\beta$ -dependent activation [19] (Figure 1). Thus, suppression of GSK3 $\beta$  activity may enhance CNS neuron (including RGC) survival and disinhibit axon growth. We recently demonstrated that siRNA-mediated suppression of the cellular-stress-induced factor RTP801 (also known as REDD1, or DDIT4-regulated in development and DNA damage response protein or DDIT4–DNA damage inducible transcript 4), an approach that is already translated to the clinic, promotes RGC survival and limited axon elongation in vivo after optic nerve crush (ONC) [20]. We suggest that, since siRTP801-induced mTORC1/2 activity may partly be suppressed by GSK3 $\beta$ , additional inhibition of GSK3 $\beta$  may potentiate siRTP801-induced mTORC1/2 activity and consequent RGC survival and axon regeneration effects by reducing TSC. Accordingly, a siGSK3 $\beta$  + siRTP801 combinatorial treatment may enhance RGC neuroprotective/axogenic signalling after ONC. Here, we report the results of in vitro and in vivo experiments, investigating the effects of single siGSK3 $\beta$  and dual siGSK3 $\beta$  + siRTP801 treatment on downstream substrate activity in RGC in the presence of activated retinal glia.



**Figure 1.** Signalling through the mTORC1, GSK3 $\beta$ , and pathways related to proteins synthesis and axon regeneration. Neurotrophins (NT) act on tyrosine kinase (Trk) receptors and induce phosphatidylinositol kinase (PI3K) activity, which converts phosphatidylinositol (4,5) bisphosphate (PIP2) to phosphatidylinositol (3,4,5) triphosphate (PIP3). Phosphatase and tensin homolog deleted on chromosome 10 (PTEN) catalyses the reverse reaction. PIP3 activates phosphatidylinositol-dependent protein kinase 1 (PDK1), Akt phosphorylation, and inhibits tuberous sclerosis complex (TSC1/2). TSC1/2 can stimulate the Ras homolog enriched in the brain (Rheb) to upregulate mTOR activity. Akt can also inhibit GSK3 $\beta$ , which in turn disinhibits CREB-mediated NT transcription, APC, and CRMP2 to promote growth cone assembly.

## 2. Materials and Methods

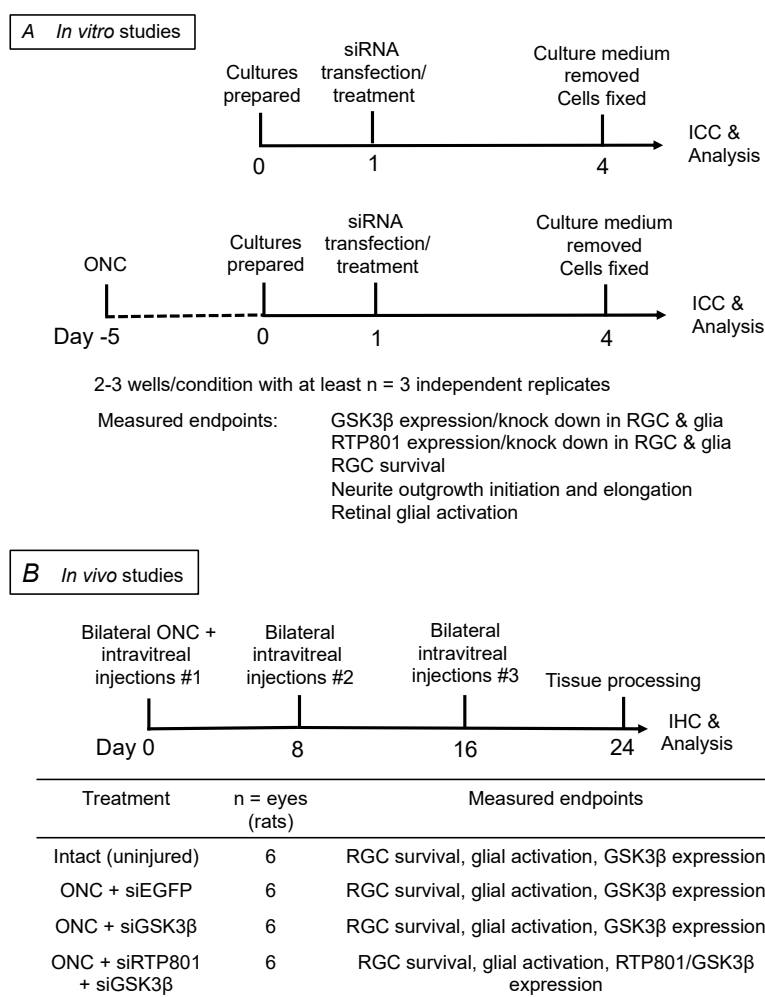
### 2.1. Reagents

All reagents were purchased from Sigma (Poole, UK) unless otherwise stated.

### 2.2. Experimental Design

In vitro and in vivo experiments were designed to evaluate a role for GSK3 $\beta$  in RGC neuroprotection and axon regeneration.

For in vitro experiments, retinal cultures were used to evaluate the effects of siGSK3 $\beta$  and/or siRTP801 using treatment regimens and groups, detailed in Figure 2A, by investigators masked to treatment conditions. Dissociated mixed adult rat retinal cultures were prepared from injured rats at 5 days after ONC to activate the retinal glia, as described previously [21]. Briefly, retinal cells were cultured on poly-D-lysine and laminin-coated glass slides and transfected using Lipofectamine 2000 reagent (Invitrogen, Paisley, UK) with either 50 nM siGSK3 $\beta$ , 25 nM siGSK3 $\beta$  + 25 nM siRTP801, 50 nM siEGFP, or culture medium alone, and incubated for 3 days in supplemented Neurobasal-A (sNBA; containing supplement B and L-glutamine; all from Invitrogen). Cultures were then fixed in 4% paraformaldehyde and immunostained with a variety of markers for analysis of RGC survival, neurite outgrowth, siGSK3 $\beta$ /siRTP801-target knockdown, and reactive glial marker expression. Specific wells were also treated with rapamycin (10 nM) and added at the end of the siRNA transfection period [21]. In vitro experiments composed of two to three wells per treatment condition, repeated with retinae from at least three independent animals.



**Figure 2.** Schematic of the in vitro and in vivo studies, treatment paradigms, and their timelines. (A) experimental plan for in vitro studies; (B) experimental plan for in vivo studies.

For in vivo experiments, adult male Sprague-Dawley rats (6–8-week-old, 180–220 g; Charles River, Margate, UK) were randomly assigned to treatment groups, and investigators were masked to treatment conditions during analyses. In experiments to evaluate the contribution of GSK3 $\beta$  to RGC survival and axon regeneration, 20  $\mu$ g of siGSK3 $\beta$  was intravitreally injected in one eye and 20  $\mu$ g control siRNA to enhanced green fluorescent protein (siEGFP) was injected into the fellow eye on days 0, 8, and 16 (Figure 2B). In further experiments, and to evaluate the contribution of GSK3 $\beta$  to RTP801-mediated activation of the mTOR pathway in RGC neuroprotection and axon regeneration, either 20  $\mu$ g siGSK3 $\beta$  + 20  $\mu$ g siEGFP (control siEGFP was used to complement total siRNA dose) or 20  $\mu$ g siGSK3 $\beta$  + 20  $\mu$ g siRTP801 was intravitreally injected into one eye with 40  $\mu$ g siEGFP into the fellow eye on days 0, 8, and 16. In further experiments, and to evaluate the contribution of GSK3 $\beta$  to RTP801-mediated activation of the mTOR pathway in RGC neuroprotection and axon regeneration, either 20  $\mu$ g siGSK3 $\beta$  + 20  $\mu$ g siEGFP (control siEGFP was used to complement total siRNA dose) or 20  $\mu$ g siGSK3 $\beta$  + 20  $\mu$ g siRTP801 was intravitreally injected into one eye with 40  $\mu$ g siEGFP into the fellow eye on days 0, 8, and 16. Tissues were harvested at day 24 for immunohistochemistry and analysis of RGC survival, axon regeneration, glial activation, and GSK3 $\beta$ /RTP801 expression. Uninjured eyes were used as intact controls, acting as a benchmark for determining the effects of siGSK3 $\beta$  and siGSK3 $\beta$  + siRTP801 compared to siEGFP controls after ONC.

### 2.3. Small Interfering RNA (siRNA)

All siRNA compounds used in this study were chemically synthesized at BioSpring GmbH (Frankfurt, Germany) for Quark Pharmaceuticals Inc. (Newark, CA, USA), and provided to us as a gift. siEGFP and siGSK3 $\beta$  compounds were as previously described [21]. The sequence and chemical modifications to siRTP801 are proprietary to Quark Pharmaceuticals Inc., and the siRNAs may be obtained on request.

### 2.4. In Vitro Experiments

#### 2.4.1. Adult Rat Retinal Cultures

To assess the effects of siGSK3 $\beta$  with and without siRTP801 on RGC survival and neurite outgrowth in the presence of activated glia, mimicking the in vivo injury-induced scenario, retinal cell cultures were prepared from animals 5 days after ONC [21]. Briefly, adult male Sprague-Dawley rats were killed by CO<sub>2</sub> overdose and retinae was harvested and dissociated into single cell suspensions using a papain dissociation kit, following the manufacturer's instructions (Worthington Biochemicals, Lakewood, NJ, USA) [21]. Retinal cells were plated at a density of 125,000 cells per well in 8-well chamber slides (BD Biosciences, Watford, UK), pre-coated with poly-D-lysine and laminin in sNBA (Invitrogen), and were incubated at 37 °C and 5% CO<sub>2</sub>. Cultures were allowed to settle overnight before treatment the next day. The identity of wells was masked from the investigator and experiments were performed in duplicate and repeated on 3 independent occasions.

#### 2.4.2. Knockdown of GSK3 $\beta$ and GSK3 $\beta$ + RTP801 in Retinal Cultures

Retinal cells were transfected with Lipofectamine 2000, as previously described [21]. Briefly, the siRNA and Lipofectamine 2000 were diluted in sNBA, mixed gently to form complexes, and added to cells dropwise for 5 h before addition of sNBA to a final volume of 500  $\mu$ L/well and incubated at 37 °C and 5% CO<sub>2</sub> for 3 days. Culture medium alone and Lipofectamine 2000 served as controls. Some wells were also treated with Rapamycin at 10 nM and added at the end of the siRNA transfection period [21] to determine the effects of mTOR signalling in siGSK3 $\beta$  and/or siRTP801-mediated RGC survival and neurite outgrowth. Experiments were performed in duplicate wells and repeated on 3 independent occasions.

A dose–response assay was undertaken to identify the optimum concentration of siRNA for use in later experiments using the following treatment conditions: sNBA culture medium alone; Lipofectamine transfection reagent; siEGFP (at 10 nM, 20 nM, 50 nM, 100 nM, and 200 nM); and siGSK3 $\beta$  (at 10 nM, 20 nM, 50 nM, 100 nM, and 200 nM), with up to 3 wells/condition (repeated on 3 independent occasions). High concentrations (>100 nM) of siRNA doses were toxic to RGC and reduced their viability. Cultures were incubated for 3 days and subsequently analysed for  $\beta$ III-tubulin<sup>+</sup> RGC survival, neurite outgrowth initiation/elongation, and immunohistochemical localisation of GSK3 $\beta$  in RGC, as described below.

For the combined siRTP801 + siGSK3 $\beta$  experiments, retinal cell cultures were established from rats 5 days after ONC, as described above, grown in sNBA culture medium, and transfected using Lipofectamine 2000 with 50 nM siGSK3 $\beta$ , 50 nM siRTP801, or 25 nM siGSK3 $\beta$  + 25 nM siRTP801 and 50 nM siEGFP (to give an equivalent total siRNA concentration to the test combination). RGC survival, neurite outgrowth, and RGC localisation of RTP801 and GSK3 $\beta$  were evaluated by immunocytochemistry, as described below. In these experiments, up to 3 wells/condition were used and each was repeated on 3 independent occasions.

#### 2.4.3. Confirmation of siRNA Specificity and Target Knock-Down with qPCR

RNA was obtained from mixed retinal cultures incubated under appropriate experimental conditions, and with siRNA, as described above. The specificity of the GSK3 $\beta$  primer was confirmed by running a qPCR reaction with cDNA from an untreated sample in duplicate and undertaking



melt–curve analysis to detect a single amplicon [21]. GSK3 $\beta$  and RTP801 knockdown was evaluated by measuring fold-changes in GSK3 $\beta$  and RTP801 mRNA expression, relative to the housekeeping gene GAPDH, in samples from the different treatment conditions, as described below. Experiments were performed in duplicate and repeated on 3 independent occasions.

#### 2.4.4. Immunocytochemistry

After incubation for 3 days, cell culture medium was removed from wells and fixed in 4% PFA in PBS for 10 min before immunostaining, as described previously [21]. Briefly, cells were washed three times in rinsing buffer (0.1% Triton X-100 in PBS) and nonspecific protein binding was blocked with 10% normal goat/donkey serum and 3% BSA in PBS for 1 h at room temperature. Primary antibodies diluted in antibody diluting buffer (3% bovine serum albumin and 0.05 Tween-20 in PBS) (Table 1) were added to wells and incubated for 1 h at RT before washing cells in rinsing buffer and incubation with Alexa 488 or Alexa 594-labelled secondary antibodies (Table 1). After further washes, coverslips were mounted with Vectashield containing DAPI (Vector Laboratories, Peterborough, UK) and viewed under a Zeiss Axioplan 2 epi-fluorescence microscope, equipped with an AxioCam HRc and running Axiovision Software (all from Carl Zeiss Ltd., Hertfordshire, UK).

**Table 1.** List of primary and secondary antibodies used in this study.

	Antigen	Species	Dilution	Supplier
Primary	Brn3a	Goat	1:250	Santa Cruz Biotechnology, Santa Cruz, CA, USA
	GAP43	Mouse	1:500	Invitrogen. Paisley, UK
	$\beta$ III-tubulin	Mouse	1:200	Sigma, Poole, UK
	GFAP	Mouse	1:200 (ICC), 1:250 (IHC)	Sigma, Poole, UK
	Phospho-S6	Rabbit	1:200 (ICC)	Cell Signalling Technology, Hitchin, UK
	RTP801	Rabbit	1:100 (ICC & IHC)	Abcam, Cambridge, UK
	GSK3 $\beta$	Mouse	1:200 (ICC & IHC)	Abcam, Cambridge, UK
Secondary	Goat IgG AlexaFluor 488	Donkey	1:500 (IHC)	Invitrogen. Paisley, UK
	Mouse IgG AlexaFluor 488	Goat	1:400 (ICC), 1:500 (IHC)	Invitrogen. Paisley, UK
	Rabbit IgG AlexaFluor 594	Goat	1:400 (ICC), 1:500 (IHC)	Invitrogen. Paisley, UK

#### 2.4.5. Assessment of RGC Survival and Neurite Outgrowth in Retinal Cultures

RGC survival and neurite outgrowth in the retinal cultures were quantified from captured images, as described previously [21]. Briefly, each well was divided into 9 squares and 4 photomicrographs were captured within each square, giving a total of 36 images/well. Surviving  $\beta$ III-tubulin<sup>+</sup> RGCs were counted from each of these images and RGC numbers/well were determined. The number of  $\beta$ III-tubulin<sup>+</sup> RGCs extending neurite(s) over a length greater than the diameter of the somata were also counted, as well as the length of the longest neurite/RGCs measured using Image Pro 6.2 (Media Cybernetics, Bethesda, MD, USA). The proportion of siGSK3 $\beta$ <sup>+</sup>/ $\beta$ III-tubulin<sup>+</sup> RGCs were expressed as a % of total numbers of  $\beta$ III-tubulin<sup>+</sup> RGCs. The number of GFAP<sup>+</sup> astrocytes was also counted in a similar way to RGCs.

#### 2.4.6. Disinhibition of Neurite Outgrowth on Nogo-P4 Inhibitory Peptide after siGSK3 $\beta$ -Mediated Knockdown

Glial activated retinal cultures were prepared 5 days after ONC, as described above, and treated with recombinant Nogo-P4 peptide (Alpha Diagnostics, San Antonio, TX, USA) at a final concentration of 25  $\mu$ M known to inhibit RGC neurite outgrowth (pre-determined in a separate dose response assay) in a final volume of 500  $\mu$ L/well. The Nogo-P4 peptide was added to cultures after the 5 h period for siRNA transfection and left for the full duration of the experiment. Controls comprised cultures of sNBA culture medium alone and cultures with added Lipofectamine 2000 + ciliary neurotrophic factor

(CNTF; 20 ng/mL) as a positive control [22]. Experiments were undertaken using up to 3 wells/condition and were repeated on 3 independent occasions using cultures of retinal cells obtained from the eyes of different rats.

## 2.5. *In Vivo* Experiments

### 2.5.1. Animals and Surgical Procedures

Adult male Sprague Dawley rats (Charles River, Kent, UK), weighing 200–250 g at the commencement of experiments, were housed at 21 °C/55% humidity in a 12 h-light-12 h-dark cycle with ad libitum access to food and water. All surgery was carried out in accordance with the UK home office regulations for the care and use of experimental animals and the UK Animals (Scientific Procedures) Act 1986, licensed by the UK home office and approved by the University of Birmingham animal welfare and ethical review board. Experiments with animals also conformed to the association for research into vision and ophthalmology (ARVO) statement for the use of animals in research, except the bilateral ONC, which is imposed by the UK home office and is considered as ‘reduction’ in keeping with the 3 R’s principles.

Optic nerves were crushed bilaterally in anesthetized rats using calibrated watchmaker’s forceps through a supra-orbital approach 2 mm from the lamina cribrosa, preserving the dura and retinal vascular supply, as described previously [21]. Preliminary dose–response studies showed that 20 µg of siGSK3β injected immediately after ONC optimally reduced GSK3β mRNA in the retina. Intravitreal injections were performed using glass micropipettes prepared in-house. Animals were injected with 20 µg siRNA, dissolved in 10 µL PBS, with intravitreal delivery of siGSK3β in one eye ( $n = 6$ ) and control siEGFP to the contralateral eye ( $n = 6$ ), and this was repeated on day 8 and 16 after ONC, as described previously [21,23]. Tissues were harvested at 24 days after ONC and processed for immunohistochemistry, as described below. An additional group of uninjured animals were similarly processed and used as controls ( $n = 6$  eyes).

To evaluate the effects of siGSK3β + siRTP801, ONC was performed, as described above, in 12 rats (24 eyes) and immediately injected intravitreally with 10 µL PBS containing 40 µg siEGFP ( $n = 6$  eyes), 20 µg siGSK3β + 20 µg siEGFP (siEGFP used to make up equivalent dose of combined siRNAs;  $n = 6$  eyes), and 20 µg siGSK3β + 20 µg siRTP801 ( $n = 6$  eyes). Intravitreal injections of these treatments were repeated at 8 days and 16 days post-ONC. Rats receiving intravitreal PBS without ONC were used as baseline controls ( $n = 6$  eyes). Tissues were harvested at 24 days after ONC and processed for immunohistochemistry, as described below.

### 2.5.2. Tissue Preparation

Animals were killed 24 days after ONC by rising concentrations of CO<sub>2</sub> and processed for immunohistochemistry as described previously [21]. Briefly, animals were transcardially perfused with PBS followed by 4% paraformaldehyde (PFA; TAAB, Reading, UK). Eyes and ON were post-fixed for a further 2 h before cryoprotection through a graded series of sucrose solutions, blocked up in optimal cutting temperature embedding medium (OCT; Thermo Fisher, Runcorn, UK), and 15 µm thick radial sections of eyes and longitudinal sections of ON were cut on a Bright cryostat (Brights Instrument, Huntingdon, UK), adhered onto Superfrost Plus microscope slides (Fischer Scientific, Loughborough, UK) and stored at –20 °C until required. Sections of the ON containing a defined lesion site and radial ocular sections taken through the ON head were selected for further analysis.

### 2.5.3. Immunohistochemistry

Immunohistochemistry for a variety of markers were performed, as described previously [21]. Briefly, eye and ON sections were thawed at room temperature, permeabilised in rinsing buffer containing 0.1% Triton X-100 in PBS and blocked in 10% normal goat serum/donkey serum and 3% bovine serum albumin (BSA) for 1 h in a humidified chamber. Sections were then incubated with



primary antibodies (Table 1), diluted in antibody diluting buffer (ADB) containing 3% BSA in PBS overnight at 4 °C in a humidified chamber. Sections were then washed in several changes of PBS and incubated with fluorescently-labelled secondary antibodies (Alexa 488 or Alexa 594; Invitrogen), diluted in ADB, and incubated for 1 h at RT. Sections were then washed in PBS and coverslips were mounted using Vectashield containing DAPI (Vector Laboratories) and stored in the dark at 4 °C until required for microscopic analysis, as described below.

#### 2.5.4. Assessment of RGC Survival and Axon Regeneration

All sections were masked to the treatment conditions by an independent researcher and were viewed under an upright AxioPlan-2 fluorescence microscope, and images were captured using an AxioCam HRc controlled by Axiovision software (all from Carl Zeiss Ltd.). RGC survival was assessed, as previously described [21]. Briefly, RGC were counted in a standard 250 µm linear strip of the ganglion cell layer (GCL) in radial sections on either side of the ON head (four radial sections/retina,  $n = 6$  eyes/treatment group), using the RGC antibody marker Brn3a [21] and results were expressed as the number of RGC/250 µm GCL. This method of counting RGC yields equivalent scores to those obtained from Fluorogold back-labelled or Brn3a stained retinal wholemounts [24].

Quantification of axon regeneration in longitudinal ON sections was performed, as described previously [25]. Briefly, composite images were constructed from individual ON sections, identifying the ONC site by laminin<sup>+</sup> immunostaining and the number of GAP43<sup>+</sup> regenerating axons extending 100, 200, 400, 800, and 1200 µm from the centre of the ONC site were counted (3 sections/ON,  $n = 6$  eyes/group). GAP43 is the gold standard method of quantifying RGC axon regeneration in the distal segment of the rat ON and correlates with the number of axons detected by the anterograde tracer Rhodamine B [26]. The cross-sectional width of the ON was measured where axon counts were taken and used to calculate the number of axons/mm ON width to derive  $\sum ad$ , the total number of axons extending distance  $d$  in an ON with radius  $r$  using the following formula:

$$\sum ad = \pi r^2 \times (\text{average number of axons/mm width}) / (\text{section thickness } 0.015 \text{ mm}) \quad (1)$$

#### 2.5.5. Assessment of Retinal Glial Activation

Astrocytes in the GCL/nerve fibre layer (NFL) and Muller glial processes and somata were detected using GFAP and S100b immunohistochemistry and quantified as described previously [21,25]. Briefly, the number of GFAP<sup>+</sup> Muller cell processes intersecting a 250 µm horizontal linear sampling line passing through the inner plexiform layer (IPL) was counted at the midpoint between the GCL and inner nuclear layer (INL) (4 sections/retina,  $n = 6$  eyes/group).

#### 2.6. Statistical Analysis

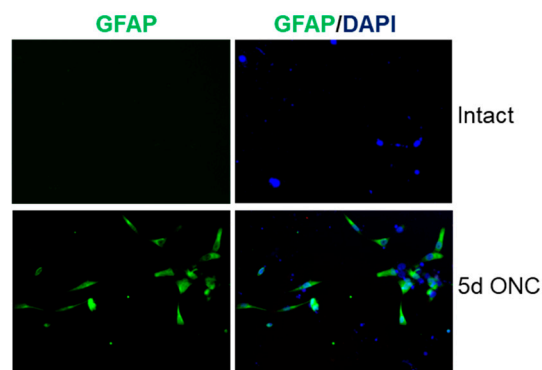
Data are expressed as mean  $\pm$  S.E. One-way analysis of variance (ANOVA) was used to compare means, and Tukey's post hoc test was used where appropriate. A  $p$ -value of less than 0.5 was considered statistically significant. All data were analysed using SPSS software (Version 20, IBM, New York, NY, USA).

### 3. Results

#### 3.1. GSK3 $\beta$ -Induced Knockdown and Neuroprotection of RGC

Firstly, we confirmed that retinal cultures prepared from rats five days after ONC contained activated GFAP<sup>+</sup> astrocytes and Müller glia, in contrast to cultures prepared from intact rats that contain few, if any, activated retinal glia (Figure 3; [21]). We then determined the optimal concentration of siGSK3 $\beta$  to knock down GSK3 $\beta$  mRNA and protein in retinal cultures and determined its effects of RGC survival and neurite outgrowth. In sNBA- and siEGFP-treated control cultures, abundant GSK3 $\beta$ <sup>+</sup> RGCs were present. However, treatment of cultures with increasing concentrations of siGSK3 $\beta$

from 10–50 nM significantly reduced the numbers of GSK3 $\beta$ <sup>+</sup> RGCs ( $p < 0.0001$ ) to an optimum 50% compared with both sNBA-treated or siEGFP-treated control cultures (Figure 4A,B). Higher concentrations of siGSK3 $\beta$  (100–200 nM) were not as effective at reducing GSK3 $\beta$ <sup>+</sup> RGCs. At the optimum concentration of siGSK3 $\beta$ , few GSK3 $\beta$ <sup>+</sup>/ $\beta$ III-tubulin<sup>+</sup> RGC were present compared to those in cultures treated with either an equimolar concentration of siEGFP or sNBA (Figure 4B). Knockdown of GSK3 $\beta$  mRNA with 50 nM siGSK3 $\beta$  was also confirmed in retinal cultures by qPCR, reducing GSK3 $\beta$  mRNA by 64% (Figure 4C). These results showed that siGSK3 $\beta$  significantly reduced GSK3 $\beta$  mRNA and protein and that 50 nM concentrations gave optimal knockdown in adult rat retinal cultures. Treatment of cultures with increasing concentrations of siGSK3 $\beta$  from 10–50 nM significantly enhanced the number of surviving  $\beta$ III-tubulin<sup>+</sup> RGCs ( $p < 0.0001$ ) from  $400 \pm 39$  to  $620 \pm 45$  and  $580 \pm 37$  in sNBA and 10 and 20 nM siGSK3 $\beta$ , respectively, reaching a maximum of  $780 \pm 27$  RGCs after treatment with 50 nM siGSK3 $\beta$  (Figure 4D). This equated to almost a 2-fold increase in RGC neuroprotection, compared to either sNBA or siEGFP-treated cultures. Higher concentrations of siGSK3 $\beta$  (100 and 200 nM) reduced the number of RGCs and were thus potentially neurotoxic (Figure 4D). Increasing concentrations of siGSK3 $\beta$  up to 50 nM also significantly increased the numbers of RGC bearing neurites ( $p < 0.001$ ) from  $15 \pm 1.3$  to  $35 \pm 2\%$  with 50 nM siEGFP or siGSK3 $\beta$ , respectively, equating to a 43% increase in the number of RGCs bearing neurites. Increasing the concentration of siGSK3 $\beta$  beyond 50 nM was detrimental to neurite outgrowth (Figure 4E). High concentrations of siEGFP were also detrimental to RGC survival. Concentration-dependent cellular toxicity has been well described in the literature and is attributed, in part, to saturation of the RNAi machinery [27]. Interestingly, increasing the concentration of siGSK3 $\beta$  did not affect the length of the longest neurite (Figure 4F) compared to siEGFP-treated control cultures. These results suggest that knockdown of GSK3 $\beta$  is RGC neuroprotective, and promotes RGC neurite growth initiation, but does not affect neurite length.

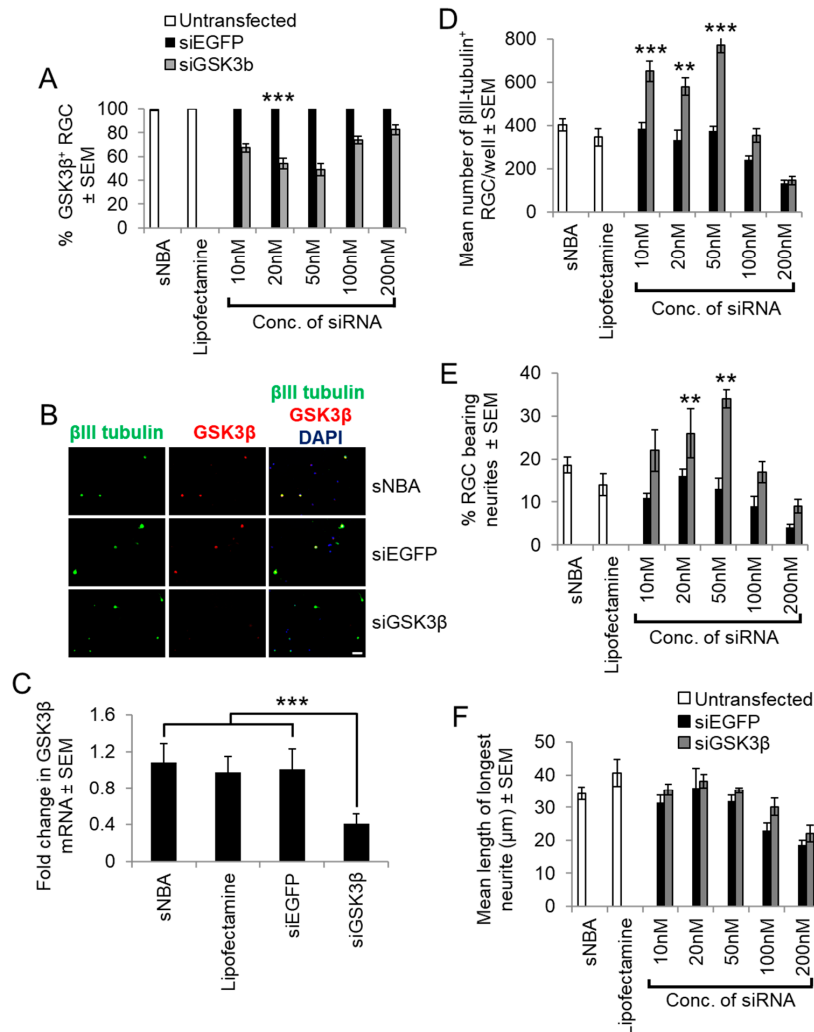


**Figure 3.** GFAP immunoreactivity in retinal cultures prepared from intact adult rats and at 5 days after ONC. Few, if any, GFAP<sup>+</sup> glia were present in cultures from intact rats whereas lots of GFAP<sup>+</sup> glia were present in cultures prepared from rats at five days after ONC.

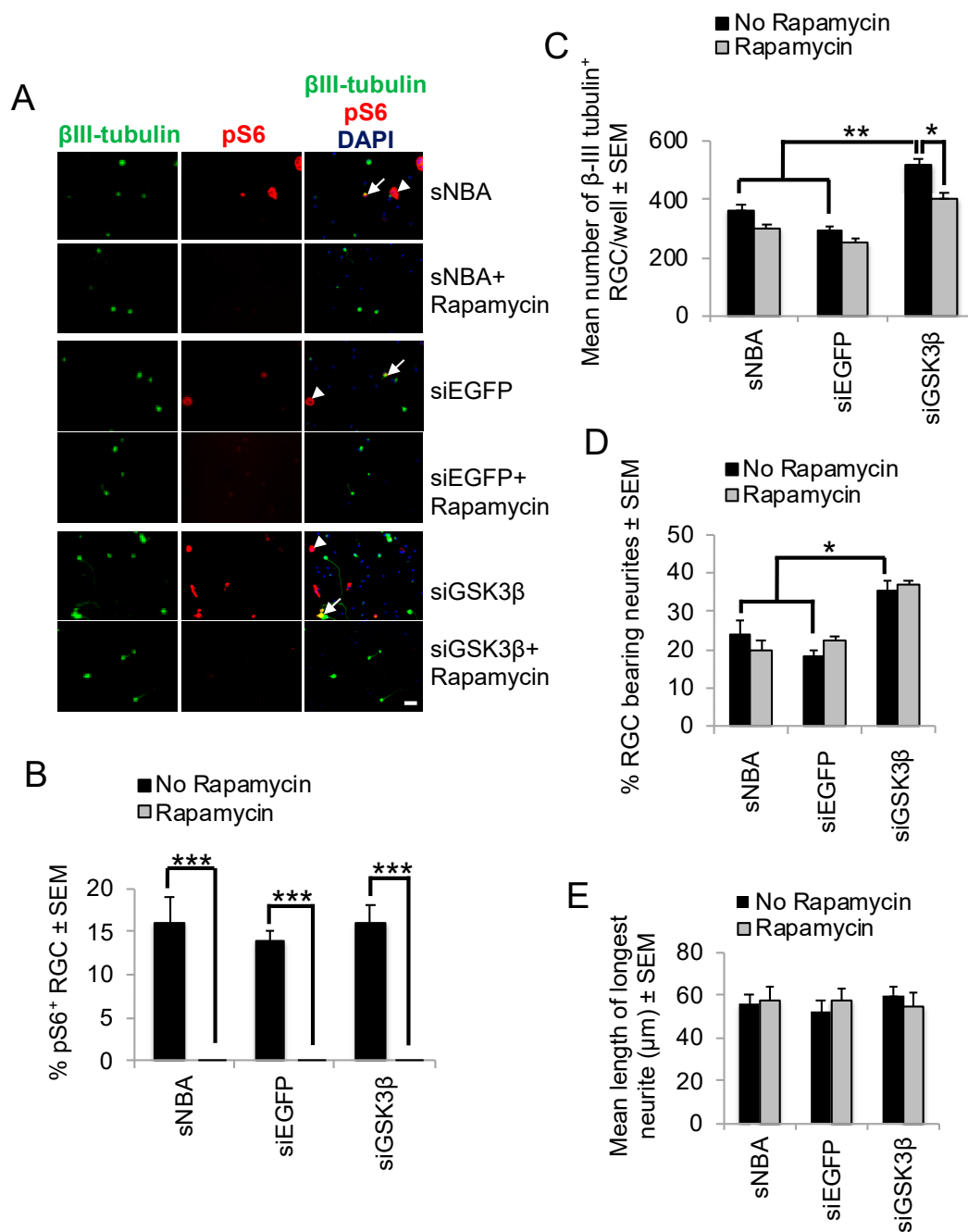
### 3.2. mTORC1-Mediation of GSK3 $\beta$ Effects on RGC Survival and Neurite Outgrowth

We then determined if GSK3 $\beta$ -mediated RGC survival and neurite outgrowth were mediated through mTORC1 activation using Rapamycin, which effectively blocks mTOR activation. Retinal cultures stained with phosphorylated (p)S6 (pS6) antibodies after treatment with either sNBA or siEGFP confirmed many pS6<sup>+</sup>/ $\beta$ III-tubulin<sup>+</sup> RGCs, along with other cells that were pS6<sup>+</sup>/ $\beta$ III-tubulin<sup>-</sup> (Figure 5A). There was no significant difference in the number of pS6<sup>+</sup>/ $\beta$ III-tubulin<sup>+</sup> RGCs between wells incubated with sNBA alone ( $15.8 \pm 2.8\%$ ) and after transfection with siEGFP ( $14.3 \pm 1.0\%$ ), or siGSK3 $\beta$  ( $16.4 \pm 1.9\%$ ; Figure 5B). Exposure to Rapamycin abolished the expression of pS6 in both  $\beta$ III-tubulin<sup>+</sup> RGCs and  $\beta$ III-tubulin<sup>-</sup> cells (Figure 5A,B), irrespective of all other treatments applied. RGC survival was not significantly reduced in control wells by Rapamycin treatment, but Rapamycin did significantly reduce siGSK3 $\beta$ -enhanced RGC survival from  $550 \pm 27$  to  $400 \pm 36$  RGC ( $p < 0.001$ ; Figure 5C). Neither the mean number of surviving  $\beta$ III-tubulin<sup>+</sup> RGC (Figure 5C), the

proportion of siGSK3 $\beta$ -stimulated RGC bearing neurites (Figure 5D), nor the mean length of the longest neurite (Figure 5E) were affected by Rapamycin treatment. Furthermore, siGSK3 $\beta$  did not increase the numbers of pS6 $^{+}$  RGCs in retinal cultures (Figure 5B). These results suggest that RGC neurite outgrowth initiation was independent of mTORC1 activity, that GSK3 $\beta$  signalling did not regulate mTORC1 activity and that siGSK3 $\beta$  mediated RGC neurite outgrowth initiation occurred through mTORC1-independent pathways.



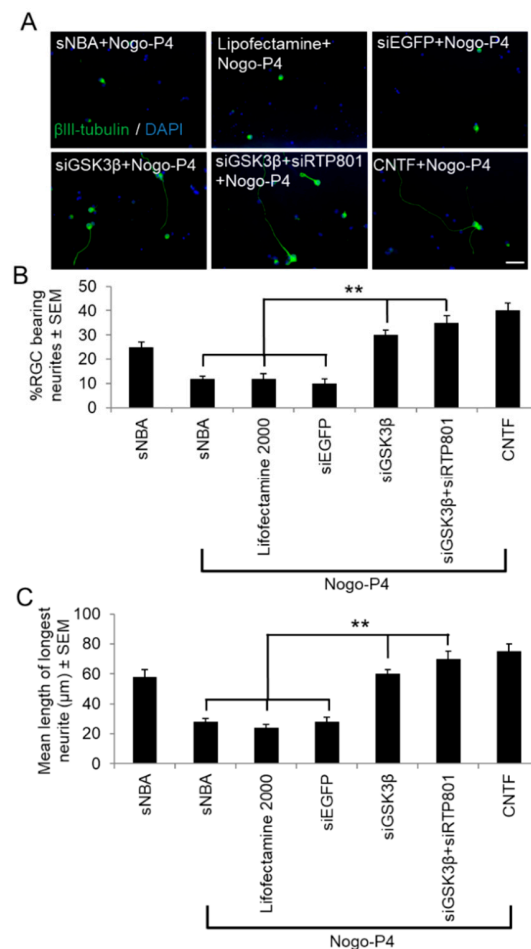
**Figure 4.** siGSK3 $\beta$ -mediated knockdown in retinal cultures prepared from adult rats at five days after ONC. (A) Quantification of the proportion of GSK3 $\beta$  $^{+}$  RGC with increasing concentrations of siGSK3 $\beta$  when compared to sNBA and Lipofectamine 2000-treated controls. (B) Representative retinal cultures established five days after ONC in vivo to activate retinal glia and treated with siGSK3 $\beta$  (50 nM) demonstrated a lack of GSK3 $\beta$  (red) detection in  $\beta$ III-tubulin $^{+}$  RGC (green), whilst abundant immunoreactivity was present in  $\beta$ III-tubulin $^{+}$  RGC in sNBA and siEGFP-treated (50 nM) control cultures. (Scale bar in B = 20  $\mu$ m; \*\* =  $p < 0.01$ ; \*\*\* =  $p < 0.001$ ). (C) Analysis of GSK3 $\beta$  mRNA levels in cultured adult rat retinal cells after transfection with the optimal concentration of siGSK3 $\beta$  (50 nM) to confirm GSK3 $\beta$  knockdown. (\*\*\*) =  $p < 0.001$ ). (D) Quantification of the proportion of surviving  $\beta$ III-tubulin $^{+}$  RGC with increasing concentrations of siGSK3 $\beta$  shows that 50 nM optimally promotes RGC survival. (E) Quantification of the proportion of RGC bearing neurites with increasing concentrations of siGSK3 $\beta$  shows that 50 nM was optimal to stimulate initiation of neurite outgrowth. (F) Quantification of the longest RGC neurite length shows that increasing concentrations of siGSK3 $\beta$  does not affect the length. (\*\* =  $p < 0.01$ ; \*\*\* =  $p < 0.001$ ).  $n = 2$  wells/treatment, 3 independent repeats (total  $n = 6$  wells/treatment).



**Figure 5.** Effects of Rapamycin on siGSK3β-mediated RGC survival and mTORC1 activity in vitro. (A) Representative retinal cultures established five days after ONC in vivo to activate retinal glia, treated for three days with sNBA, siEGFP, and siGSK3β in the presence and absence of the mTORC1 inhibitor Rapamycin and immunostained for βIII-tubulin (green) and pS6 (red), with DAPI (blue) as a nuclear counterstain. Note that pS6 is detected both in βIII-tubulin+ RGC (long arrows) and βIII-tubulin- cells (short arrows) and the abolition of pS6 immunostaining in the presence of Rapamycin. (B) The % of RGC exhibiting pS6 immunoreactivity indicated abolition of pS6 expression in the presence of Rapamycin, but there was no significant effect of siGSK3β on the proportion of pS6+ RGC (\* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p < 0.001$ ). (C) Quantification of the number surviving βIII-tubulin+ RGC after treatment with siGSK3β in the presence of Rapamycin shows that RGC survival is significantly enhanced. (Scale bar in A = 20 μm; \*\* =  $p < 0.01$ ). (D) Quantification of the % RGC bearing neurites and (E) length of the longest RGC neurite are both significantly enhanced after siGSK3β treatment, but this effect was unaffected by Rapamycin.  $n = 2$  wells/treatment, 3 independent repeats (total  $n = 6$  wells/treatment).

### 3.3. Treatment of Retinal Cultures with siGSK3 $\beta$ Disinhibits RGC Neurite Outgrowth in the Presence of Nogo Peptide

We next determined if GSK3 $\beta$ -mediated knockdown alone and in combination with siRTP801 can overcome the potent axon growth inhibitor, Nogo-A. The number of RGCs bearing neurites in control wells treated with sNBA and without Nogo-P4 peptide ( $25 \pm 2\%$ ) was reduced by 50% to  $12 \pm 2\%$  in the presence of inhibitory Nogo-P4 peptide (Figure 6A,B). Nogo-P4 peptide also reduced the mean neurite length in control sNBA treated cultures from  $58 \pm 5\%$  to  $28 \pm 2\%$  (Figure 6C). Neither Lipofectamine 2000 nor siEGFP control treatments disinhibited neurite outgrowth in the presence of Nogo-P4 peptide (Figure 6A–C). However, siGSK3 $\beta$ , siGSK3 $\beta$  + siRTP801, and CNTF similarly disinhibited RGC neurite outgrowth, increasing the proportion of RGCs bearing neurites to  $30 \pm 2\%$ ,  $35 \pm 3\%$ , and  $40 \pm 3\%$ , respectively (Figure 6A,B), and the mean neurite length to  $60 \pm 7 \mu\text{m}$ ,  $70 \pm 5\%$ , and  $75 \pm 5 \mu\text{m}$ , respectively (Figure 6C). Taken together, these data show that siGSK3 $\beta$  alone and in combination with siRTP801, stimulated RGC neurite initiation and disinhibited neurite elongation in the presence of inhibitory Nogo-P4 peptide.



**Figure 6.** Inhibition of GSK3 $\beta$  activity promotes disinhibition of RGC neurite outgrowth in the presence of inhibitory Nogo-P4 peptide. **(A)** Immunocytochemistry showing disinhibited neurite outgrowth from  $\beta$ III-tubulin<sup>+</sup> RGC in the presence of Nogo-P4 peptide after treatment with siGSK3 $\beta$  whilst control cultures treated with sNBA, Lipofectamine 2000, or siEGFP were unable to achieve disinhibited neurite outgrowth. CNTF-treated cultures were used as positive controls. **(B)** Quantification of the proportion of RGC bearing neurites and **(C)** the mean longest RGC neurite after siRNA treatment in the presence of Nogo-P4 peptide, showing significant disinhibition of neurite outgrowth after treatment with siGSK3 $\beta$ . (Scale bar in A = 20  $\mu\text{m}$ ; \*\* =  $p < 0.01$ ).  $n = 2$  wells/treatment, 3 independent repeats (total  $n = 6$  wells/treatment).

### 3.4. Cellular Localisation of GSK3 $\beta$ and the Effects of siGSK3 $\beta$ on RGC Survival and Axon Regeneration 24 Days after ONC

We next determined the cellular localisation of GSK3 $\beta$  in the adult rat retina and the effects of siGSK3 $\beta$  on RGC survival, axon regeneration, and glial activation after ONC *in vivo*. In the intact uninjured retina, GSK3 $\beta$  was primarily localised to Brn3a<sup>+</sup> RGCs in the GCL (Figure 7A), results similar to those obtained from retinal cultures (see Figure 4B). RGC survival was reduced after ONC + PBS or siEGFP, compared to intact controls, reducing numbers of Brn3a<sup>+</sup> RGCs/250  $\mu$ m GCL from  $20 \pm 0.5$  to  $11 \pm 1$  (Figure 7B,C). However, after ONC and the intravitreal delivery of siGSK3 $\beta$ , RGC survival was improved significantly to  $14 \pm 1/250 \mu$ m GCL ( $p < 0.01$ ; Figure 7B,C). Thus, suppression of GSK3 $\beta$  promoted modest, but significant, RGC neuroprotection at 24 days after ONC.

Although there was a trend towards greater numbers of GAP43<sup>+</sup> axons regenerating beyond the ONC site (\*) into the distal ON after treatment with siGSK3 $\beta$ , these differences were small and did not reach significance until 800 and 1200  $\mu$ m past the lesion site (Figure 7D,E). These results are consistent with the *in vitro* observations and suggest that, in isolation, GSK3 $\beta$  knockdown promotes limited, but significant, RGC axogenesis.

### 3.5. Reactive Retinal GFAP<sup>+</sup> Gliosis after ONC + siGSK3 $\beta$

There was a significant increase in the number of GFAP<sup>+</sup> Müller glial processes in the IPL after ONC, with  $10 \pm 2$  processes/250  $\mu$ m IPL (Figure 7F,G). Also, there was no significant difference in Müller glial activation between eyes injected with either PBS, siEGFP, or siGSK3 $\beta$  (Figure 7F,G). These results indicated that GSK3 $\beta$  signalling did not affect Müller glial activation *in vivo*.

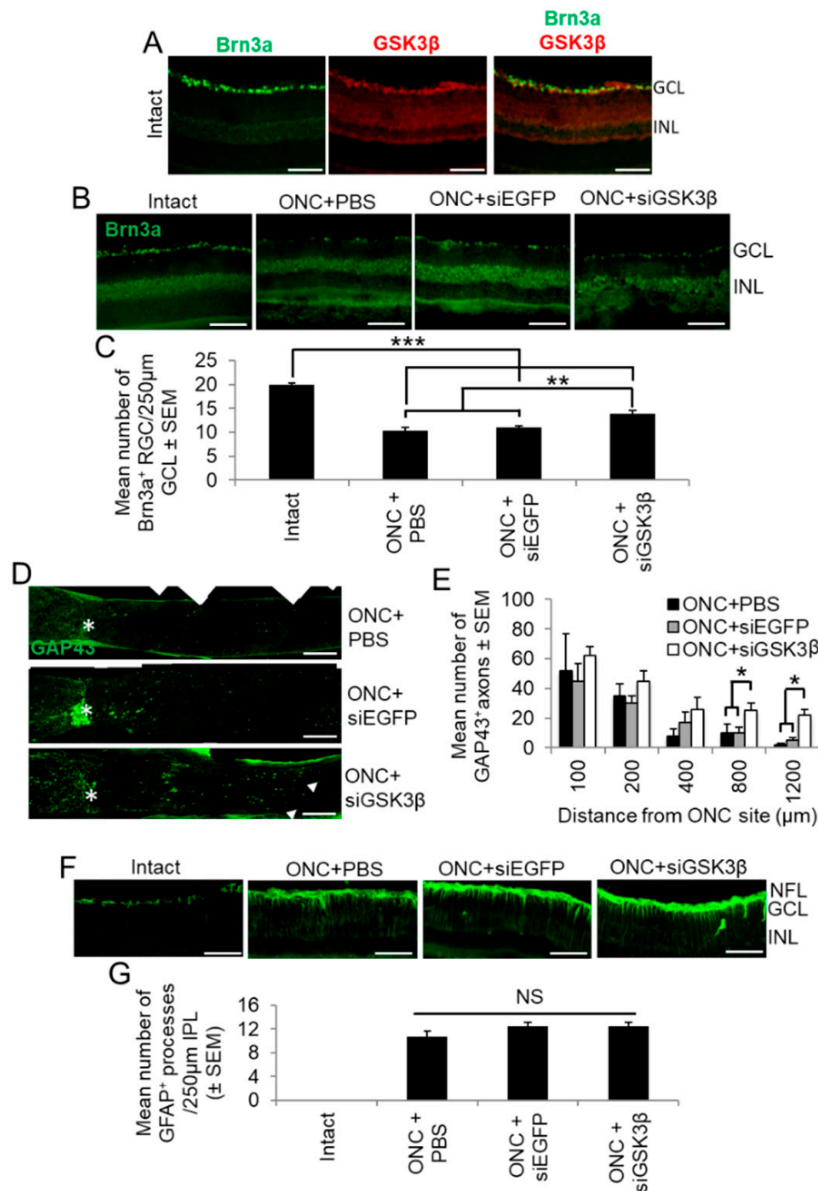
### 3.6. Effects of Combined siGSK3 $\beta$ + siRTP801 on Target Knockdown, RGC Survival, and Neurite Outgrowth

We then determined if a combination of siGSK3 $\beta$  + siRTP801 enhanced RGC survival and neurite/axon regeneration *in vitro* and *in vivo*, respectively. After titration of the combined siRNAs, 25 nM each of siGSK3 $\beta$  and siRTP801 optimally and significantly reduced RTP801 and GSK3 $\beta$  mRNA (Figure 8A) and immunoreactivity compared to sNBA or siEGFP treated cultures (Figure 8B). Combined siGSK3 $\beta$  + siRTP801 significantly knocked down the number of RGCs expressing both GSK3 $\beta$  and RTP801<sup>+</sup> by 40% ( $p < 0.0001$ ; Figure 8C,D), significantly improved RGC survival by 50% ( $p < 0.001$ ; Figure 8E), increased the number of RGCs bearing neurites from  $21 \pm 2\%$  to  $38 \pm 3\%$  ( $p < 0.05$ ; Figure 8F), increased the mean longest neurite length from  $30.8 \pm 3.7 \mu$ m to  $80 \pm 4 \mu$ m ( $p < 0.001$ ; Figure 8G), when compared to siEGFP controls. These results suggest that combined siGSK3 $\beta$  + siRTP801 do not affect RGC survival nor the % of RGC bearing neurites over that induced by the individual siRNAs, but have significant additive effects on the length of longest RGC neurites when combined (compare with Figure 5).

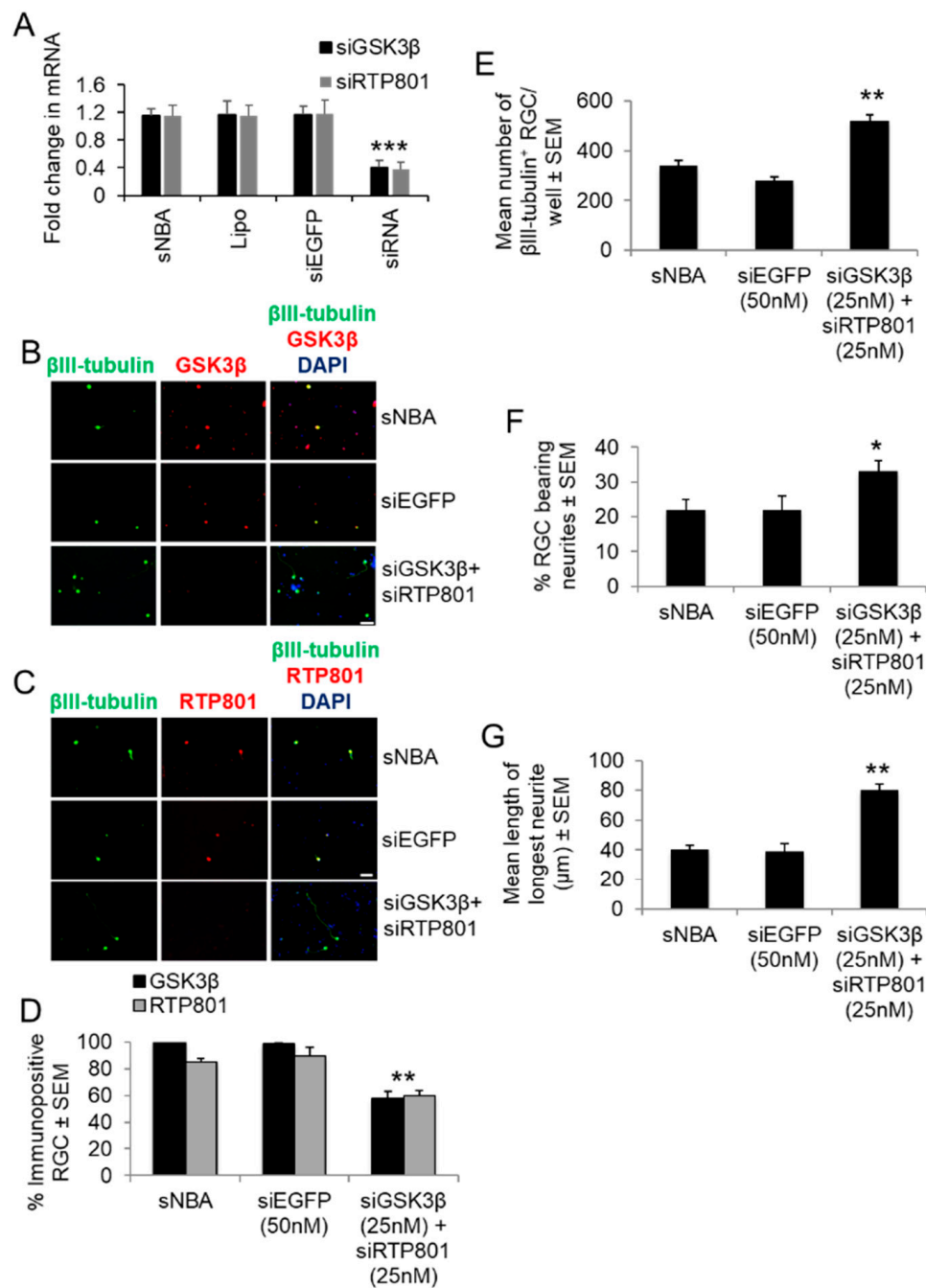
### 3.7. RGC Survival and Axon Regeneration 24 days after ONC and Combined Treatment with siGSK3 $\beta$ and siRTP801

After the injection of combined siGSK3 $\beta$  + siRTP801, RGC survival was significantly greater than after injection of either PBS or siEGFP ( $p < 0.0001$ ), but not significantly different from either siGSK3 $\beta$  or siRTP801 administered singularly (Figure 9A,B). Interestingly, siRTP801 alone promoted significantly more RGC survival than siGSK3 $\beta$  alone ( $p < 0.01$ ; Figure 9A,B). In contrast to single administrations of siRTP801 and siGSK3 $\beta$ , combined delivery of siRTP801 + siGSK3 $\beta$  resulted in a significant increase in the number of GAP43<sup>+</sup> regenerating axons compared to PBS, siEGFP, and siGSK3 $\beta$  treatments at all distances (Figure 9C,D). There were also more GAP43<sup>+</sup> regenerating RGC axons in siRTP801 + siGSK3 $\beta$ -treated animals than after siRTP801 treatment alone at each of the distances along the ON [21], although the differences only reached statistical significance at 800  $\mu$ m. These results demonstrated that the combination of siGSK3 $\beta$  + siRTP801 is superior in promoting long distance RGC axon regeneration after ONC than single administration of either siRNA.

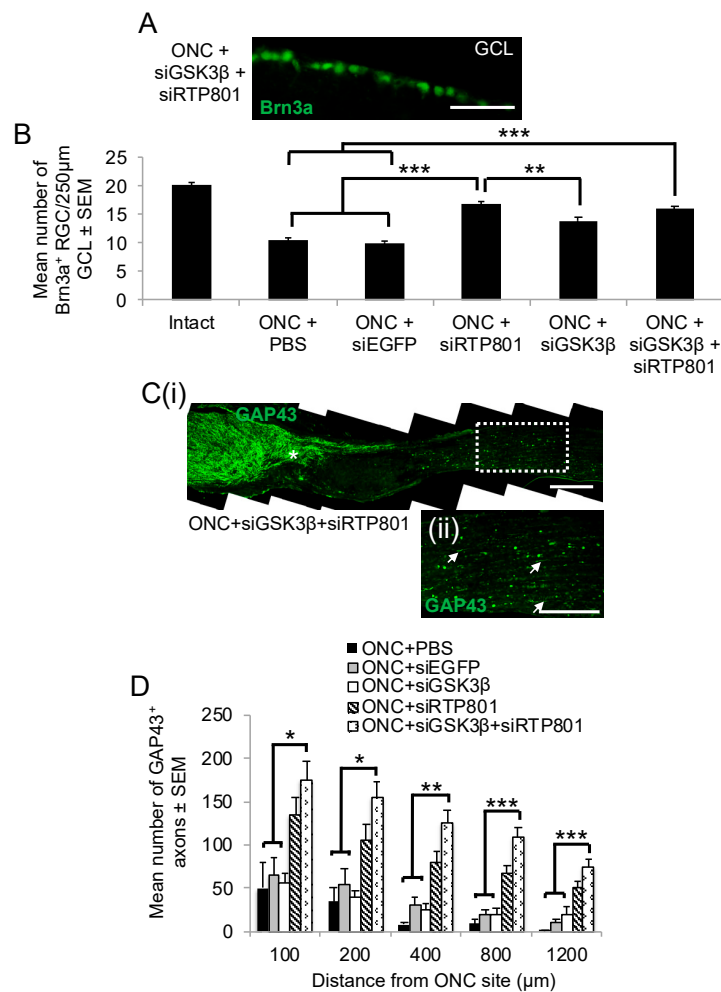




**Figure 7.** Cellular localisation of GSK3β and the effects of siGSK3β on RGC neuroprotection in vivo. (A) Retinal sections from uninjured adult rats immunostained for Brn3a (green) and GSK3β (red), demonstrating that constitutive expression of GSK3β was limited to the GCL, where it localised to Brn3a<sup>+</sup> RGC (INL = inner nuclear layer). (B) siGSK3β protects RGC (Brn3a<sup>+</sup>) from death at 24 days after ONC, compared to ONC + PBS and ONC + siEGFP. Intact uninjured controls show baseline levels of Brn3a<sup>+</sup> RGC. (C) Quantification of Brn3a<sup>+</sup> RGC survival in the 250 μm counting area of the GCL. (Scale bars in A and B = 100 μm; \*\* = *p* < 0.01; \*\*\* = *p* < 0.001). (D) Longitudinal ON sections immunostained to demonstrate GAP43<sup>+</sup> regenerating axons (arrows) after ONC + PBS (top panel), ONC + siEGFP (middle panel) and ONC + siGSK3β (bottom panel). The asterisk demarcates the ON site and the boxed area in the lower panel represents the magnified area. (E) Quantification of GAP43<sup>+</sup> regenerating axons 100, 200, 400, 800, and 1200 μm beyond the ONC site in eyes after intravitreal injection of PBS, siEGFP, and siGSK3β. (Scale bars = 200 μm; \* *p* < 0.05). (F) GFAP<sup>+</sup> glial activation occurs after ONC and is not further enhanced by siGSK3β treatment. (G) Quantification of the number of GFAP<sup>+</sup> glial processes crossing a 250 μm line in the inner plexiform layer corroborates this observation. (Scale bar in F = 100 μm; NS = not significant). *n* = 6 eyes (from 6 rats)/treatment.



**Figure 8.** The effect of combined siGSK3β + siRTP801 on GSK3β and RTP801 immunoreactivity in RGC in vitro. (A) GSK3β and RTP801 mRNA levels in cultured adult rat retinal cells after transfection with the optimal concentrations of siGSK3β (25 nM each) to confirm significant GSK3β and RTP801 knockdown. Lipo = Lipofectamine 2000 (\*\*\*) =  $p < 0.001$ ). Both (B) RTP801 and (C) GSK3β+ immunoreactivity was present in RGC from sNBA and siEGFP control cultures, whilst treatment with combined siGSK3β + siRTP801 abolished GSK3β and RTP801 immunoreactivity in RGC. (Scale bar in B and C = 20 μm). Combined siGSK3β + siRTP801 significantly increased (D) the number of surviving βIII-tubulin+ RGC, (E) % of RGC with neurites and (F) the mean longest neurite length. (G) GSK3β+ and RTP801+ immunoreactivity was present in sNBA and siEGFP control cultures, whilst treatment with combined siGSK3β + siRTP801 significantly reduced RTP801 and GSK3β immunoreactivity in RGC. \* =  $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* =  $p < 0.001$ ).  $n = 2$  wells/treatment, 3 independent repeats (total  $n = 6$  wells/treatment).



**Figure 9.** The effect of combined siGSK3β + siRTP801 on RGC survival and axon regeneration 24 days after ONC. Although (A) many Brn3a<sup>+</sup> RGC were present after combined siGSK3β + siRTP801 treatment, (B) quantification of the number of RGC/250 μm GCL did not show any additive or synergistic effects of both siRNAs, despite individual and combination treatments being equally RGC neuroprotective. (C(i)) Many GAP43<sup>+</sup> regenerating axons were present after combined siGSK3β + siRTP801 treatment; (C(ii)) shows a high power view of boxed region in C(i). (D) Quantification of the number of GAP43<sup>+</sup> axons at all measured distances beyond the lesion site showed that combined siGSK3β + siRTP801 treatment was significantly more axogenic than PBS, siEGFP, or siGSK3β alone treatment. Note that combined siGSK3β + siRTP801 was better than siRTP801 alone treatment, but the difference only became statistically significant at 800 μm. (Scale bars in A and C = 100 μm; \* =  $p < 0.05$ , \*\* =  $p < 0.01$ ; \*\*\* =  $p < 0.001$ ).  $n = 6$  eyes (from 6 rats)/treatment.

#### 4. Discussion

Here, we have shown in vitro that siRNA-mediated suppression of GSK3β caused a significant neuroprotection of RGCs and enhanced the number of RGCs with neurites, but not their neurite length, in the presence of activated GFAP<sup>+</sup> retinal glia. Further, that this neuroprotection was dependent (Rapamycin-sensitive), but neurite outgrowth was independent (Rapamycin-insensitive) of mTORC1 activity. In the presence of an inhibitory Nogo-P4 peptide, siGSK3β treatment disinhibited RGC neurite outgrowth to enhance neurite initiation and extension. Combined siGSK3β + siRTP801 treatment was also RGC neuroprotective and promoted non-additive/non-synergistic neurite outgrowth and elongation in the presence of activated GFAP<sup>+</sup> retinal glia in culture. After ONC in vivo, siGSK3β was RGC neuroprotective and modestly stimulated numbers of regenerating RGC axons in the ON beyond that observed for PBS and siEGFP-treated controls. However, combined siGSK3β + siRTP801

treatment better neuroprotected RGCs and increased both the number and length of regenerating axons over that observed for individual treatments with siGSK3 $\beta$  or siRTP801 [21].

#### 4.1. Role of Activated GFAP<sup>+</sup> Retinal Glia in GSK3 $\beta$ -Mediated RGC Survival and Axon Regeneration

In the *in vivo* experiments, GFAP<sup>+</sup> retinal astrocytes and Müller cells were activated after ONC and probably produced growth factors claimed to promote the survival of axotomised RGCs and the regeneration of their axons [26,28–30]. To simulate the *in vivo* retinal ONC paradigm, we prepared activated retinal glial cultures to evaluate the contribution of activated retinal glial-derived factors to the siGSK3 $\beta$  effects. We initially prepared non-activated glial cultures and performed all of the *in vitro* experiments described here and this showed that there was a subpopulation of RGCs (probably intrinsically photosensitive (ip) RGCs; see later) that survived irrespective of either the presence or absence of GFAP<sup>+</sup> glia. Although siGSK3 $\beta$  treatment *in vitro* significantly increased RGC survival and the number of RGCs with neurites without affecting their length compared to controls, this was not different in either the presence or absence of activated retinal glia.

Moreover, despite Rapamycin treatment reducing the number of pS6<sup>+</sup> RGCs, this had no effect on neurite outgrowth parameters, but did reduce RGC survival. Thus, we have been unable to obtain any *in vitro* evidence for a role of GSK3 $\beta$  in RGC neurite elongation through either activated GFAP<sup>+</sup> retinal glia or by activating the mTOR signalling pathway, and conclude that the endogenous activated glial reaction seen *in vivo* after ONC was irrelevant to GSK3 $\beta$ -stimulated RGC axon regeneration. GSK3 $\beta$  was expressed in RGCs but not in activated retinal glia, and thus the neuroprotective effects we see after GSK3 $\beta$  treatment are explained by specific RGC targeting of siGSK3 $\beta$ .

#### 4.2. GSK3 $\beta$ and Neuroprotection

Although a role for GSK3 $\beta$  in apoptosis is controversial [31–35], dual-regulation has been proposed, promoting intrinsic but inhibiting extrinsic apoptotic pathways by transcription of caspase-3 [36], pro-apoptotic p53 tumour suppressor [37], and bax genes [38]. Conversely, transfection with siGSK3 $\beta$  neuroprotects against glutamate-induced NMDA-receptor-mediated toxicity [39,40] and shGSK3 $\beta$  suppresses the up-regulation of pro-apoptotic dynamin-related protein 1 (Drp1) in the retina in response to raised intra-ocular pressure [41]. Lithium-induced GSK3 $\beta$  inhibition protects against the death of axotomised RGCs [42], although lithium enhances mTOR activity downstream of Akt and also affects other kinases and phosphatases. Here, Rapamycin obliterated pS6 expression in RGC and reduced siGSK3 $\beta$ -induced RGC neuroprotection to control levels, suggesting recruitment of an mTORC1-dependent anti-apoptotic mechanism, mediated through pS6 [2,43]. An alternative mTORC1 transcription route through 4E-BP134 could also be active, since RGC survive after ONC in 4E-BP knock-out mice [44], possibly through a direct link (recently demonstrated in a cancer cell line [45]) between GSK3 $\beta$  and 4E-BP1. Most pS6<sup>+</sup> RGCs are melanopsin intrinsically photosensitive (ip) RGC and are resistant to apoptosis [46], and thus siGSK3 $\beta$  probably mediates neuroprotection of non-ipRGCs at least in part by activation of either 4E-BP or other substrates.

#### 4.3. GSK3 $\beta$ and Axon Regeneration

Reports on the role of GSK3 $\beta$  in axon regeneration are currently inconsistent and require further elucidation. For example, studies in peripheral nerve regeneration have shown that sustained GSK3 activity using the GSK3 $\alpha$ <sup>S21A</sup>/ $\beta$ <sup>S9A</sup> [GSK3( $\alpha$ / $\beta$ )<sup>S/A</sup>] double knock-in mice, in which GSK3 $\alpha$ /GSK3 $\beta$  cannot be inactivated by AKT-mediated phosphorylation, nerve regeneration was either unaffected [47] or markedly facilitated [9]. In the CNS, pharmacological inactivation of GSK3 by lithium administration stimulated axon regeneration after spinal cord injury [6]. In the CNS however, and in particular in RGCs, GSK3 $\beta$  KO enhanced inflammatory stimulation-mediated and AKT-induced RGC axon regeneration [7,10]. In addition, inactivation of eIF2B-epsilon reduced both GSK3 $\beta$  and AKT-mediated axon regeneration whilst elevated GSK3 activity enhanced RGC neurite outgrowth only when

CRMP2 activity was maintained [7,10], suggesting that several downstream effectors are important in GSK3 $\beta$ -mediated RGC axon regeneration.

GSK3 $\beta$  is a key axogenic factor [12,48] regulating *nif* gene transcription and the phosphorylation of multiple axon growth substrates, including the nuclear factor of activated T cells (NFAT), CREB, and  $\beta$ -catenin [3], many of which may be potentiated by activated retinal glial-derived NTF known to influence gene transcription via CREB [49,50]. For example, GSK3 $\beta$  inhibition after NGF activation of the PI3K-Akt axis is required for axon growth [51], but Akt-induced phosphorylation of GSK3 $\beta$  may not be the sole determinant of GSK3 $\beta$  activity [52]. In our study, GSK3 $\beta$  suppression alone enhanced the number of RGCs bearing neurites (i.e., initiation) and increased the length of the longest neurite (i.e., elongation). This suggests that GSK3 $\beta$  is important for RGC growth initiation and axon elongation. The same results were recapitulated in the *in vivo* paradigm where more axons were present at all distances beyond the ONC site, but the effect only became significant at 800 and 1200  $\mu$ m.

In the absence of activated retinal glia-derived NTF *in vitro*, siRNA suppression of GSK3 $\beta$  baseline activity may initiate axon growth on a permissive laminin substrate. Treatment of retinal cultures with siGSK3 $\beta$  did not increase the frequency of RGCs expressing pGSK3 $\beta$ (Ser9), pCRMP2, pSmad1, and pMAP1B, but alternative substrates include: (i), Tyr216, which potentiates of GSK3 $\beta$  activity either by auto-phosphorylation or post-translational modification [3,53]; (ii), Smad1, which increases pGSK3 $\beta$ (Ser9) and concomitantly decreases pCRMP2, reversing axon growth repression induced by PI3K-inhibitors [48]; and (iii), phosphorylated and non-phosphorylated CRMP2, which promote axonal elongation by regulating microtubule assembly in axonal growth cones, an effect which is reduced by GSK3 $\beta$ -dependent phosphorylation [54].

We found that siGSK3 $\beta$  altered RGC expression of the GSK3 $\beta$  substrate pCRMP2 downstream of RhoA/ROCK, while others have shown that inactivation of CRMP2 by phosphorylation inhibits microtubule polymerisation, resulting in axon/neurite growth collapse [55–57]. MAP1B is activated in response to GSK3 $\beta$ -dependent phosphorylation, leading to polymerisation of axonal growth cone microtubules and growth cone advancement [3,15]. However, our unpublished findings indicate that pMAP1B did not play a major role in siGSK3 $\beta$ -induced RGC neurite growth and is consistent with results showing that, in spinal neurons in which GSK3 $\beta$  is deleted, axon growth is also independent of MAP1B activity [12]. Nonetheless, MAP1B may function as a GSK3 $\beta$  substrate in initial axonal polarisation and growth during development [58].

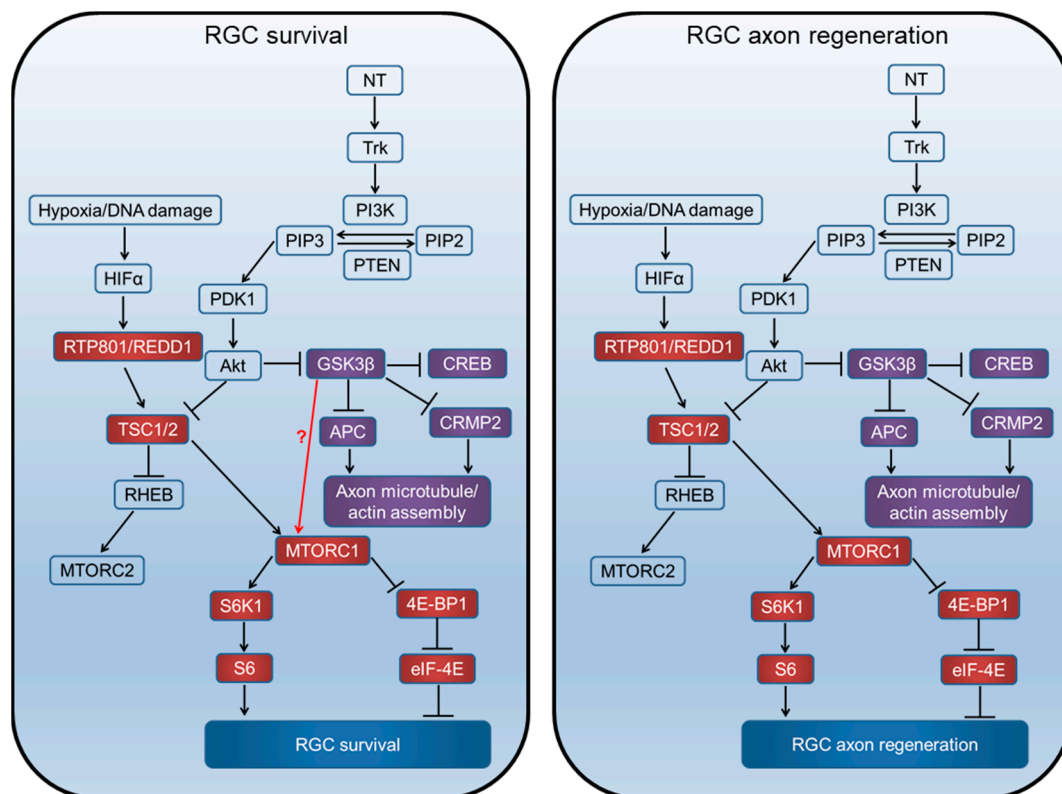
GSK3 $\beta$  regulates microtubule dynamics in axon growth cones and is thus implicated in growth cone collapse induced by CNS myelin and scar-derived inhibitors [58,59]. Our observation that GSK3 $\beta$  suppression disinhibited RGC neurite growth in the presence of Nogo-P4 peptide agrees with observations that myelin associated glycoprotein- and chondroitin sulphate proteoglycan-activated GSK3 $\beta$  signals reduce neuron number and suppress neurite elongation, all of which are reversed by GSK3 $\beta$  inhibitors [16,17]. However, the effect of siGSK3 $\beta$  treatment was marginal and only brought neurite outgrowth to levels observed with CNTF alone. Paradoxically, GSK3 $\beta$  over-expression attenuates myelin-dependent axon growth inhibition through interaction between CRMP4 and RhoA and, conversely, GSK3 $\beta$  inhibitors repress neurite outgrowth and neither have growth promoting nor repressive effects in the presence of CNS axon growth inhibitors [8]. These conflicting observations probably relate to relative activation/inhibition of the diverse range of GSK3 $\beta$  substrates such as APC, CLASP, Tau, CREB [3,60], and their moderation by phosphorylation, cellular localisation (e.g., soma vs. axon), and stages of development [3].

#### 4.4. Combined siRNA Approach for RGC Neuroprotection and Axon Regeneration

*In vitro*, combined treatment with siRTP801 + siGSK3 $\beta$  reduced RGC GSK3 $\beta$  and RTP801 expression by 40%, but only improved neurite elongation and not initiation nor RGC survival compared to each siRNA given separately (for separate delivery of siRTP801, see [21]). *In vivo*, the RGC neuroprotection afforded by siRTP801 + siGSK3 $\beta$  combination was neither additive nor synergistic compared with siRTP801 and siGSK3 $\beta$  given alone, although the combination promoted significantly



more GAP43<sup>+</sup> RGC axons to regenerate in the ON for longer distances (i.e., axon elongation) than in PBS and siEGFP controls or after siGSK3 $\beta$  alone treatment. siGSK3 $\beta$  may protect growth cones from collapse by suppression of growth inhibitory ligands, but treatment with siRTP801, coupled with activated retinal glia-derived NTF, promoted limited RGC axon regeneration; probably explained if residual GSK3 $\beta$  activity acts to subdue the regenerative effect without altering RGC viability, thereby accounting for both the inhibitory action of TSC on mTORC1/2 activity and potentiated RGC axon regeneration by moderating axonal growth cone dynamics after suppression of GSK3 $\beta$  activity. We therefore propose a working hypothesis that RGC survival is mediated through mTOR activation, whilst RGC axon regeneration is not. Hence, RGC survival is not additive after GSK3 $\beta$  and RTP801 knockdown, but RGC axon regeneration is enhanced by the combined treatment, probably independently of each other (Figure 10).



**Figure 10.** Proposed mechanism of RGC survival and axon regeneration by GSK3 $\beta$  and RTP801. RGC survival is signalled through the mTORC1 pathway downstream of GSK3 $\beta$ , whilst axon regeneration is signalled independently by mTOR and GSK3 $\beta$  pathways. The question mark and the red arrow represent unknown effectors downstream of GSK3 $\beta$ .

## 5. Conclusions

In conclusion, GSK3 $\beta$  promotes RGC death possibly by suppressing downstream mTORC1 activity, while siGSK3 $\beta$  knockdown enhances RGC viability, possibly by activating the mTORC1-pS6/4E-BP1 axis; effects that were robust *in vitro* but more muted *in vivo* where the presence of multiple other signalling complexes, including ON scar-derived, and possible activated retinal glial-factors, probably also contribute. *In vitro*, siGSK3 $\beta$  did not affect neurite length, but promoted initiation of RGC neurite outgrowth, activities shown to be independent of activated retinal glia. *In vivo*, siGSK3 $\beta$  treatment alone also enhanced RGC survival and modest axon growth initiation in the transected ON. Furthermore, RGC survival was no greater after combined treatment with siRTP801 + siGSK3 $\beta$  than with either siRTP801 or siGSK3 $\beta$  alone, but both axon initiation and elongation were significantly enhanced by the combined treatment.



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## Abbreviations

BSA	bovine serum albumin
CNTF	ciliary neurotrophic factor
CRMP2	collapsin response mediator protein 2
DAPI	4',6-diamidino-2-phenylindole
DDIT4	DNA damage inducible transcript 4
EGFP	enhanced green fluorescent protein
GAP43	growth associated protein 43
GCL	ganglion cell layer
GFAP	glial fibrillary acidic protein
GSK3 $\beta$	glycogen synthase kinase 3 $\beta$
INL	inner nuclear layer
IPL	inner plexiform layer
KO	knock out
MAP1B	microtubule-associated protein 1B
Mtor	mammalian target of rapamycin
NFL	nerve fibre layer
NTF	neurotrophic factor
ON	optic nerve
ONC	optic nerve crush
PBS	phosphate buffered saline
PFA	paraformaldehyde
qPCR	quantitative polymerase chain reaction
RGC	retinal ganglion cell
REDD1	regulated in development and DNA damage response protein
RT	room temperature
siRNA	short interfering RNA
sNBA	supplemented Neurobasal-A
TSC	tuberous sclerosis complex

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