

1 **Local Characteristics of and Exposure to Fine Particulate Matter (PM<sub>2.5</sub>) in**  
2 **Four Indian Megacities**

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18 **Highlights:**

- 19 • PM<sub>2.5</sub> increased by 75% during Diwali in Delhi, causing 20 extra daily mortality
- 20 • A weekend effect is found in Mumbai and Chennai but not in Delhi and Hyderabad
- 21 • Distinct differences in diurnal pattern of PM<sub>2.5</sub> in different seasons and cities

22 **Abstract:**

23 Public health in India is gravely threatened by severe PM<sub>2.5</sub> exposure. This study presents an  
24 analysis of long-term PM<sub>2.5</sub> exposure in four Indian megacities (Delhi, Chennai, Hyderabad  
25 and Mumbai) based on in-situ observations during 2015-2018, and quantifies the health risks  
26 of short-term exposure during Diwali Fest (usually lasting for ~5 days in October or November  
27 and celebrating with lots of fireworks) in Delhi for the first time. The population-weighted  
28 annual-mean PM<sub>2.5</sub> across the four cities was 72 µg/m<sup>3</sup>, ~3.5 times the global level of 20 µg/m<sup>3</sup>  
29 and 1.8 times the annual criterion defined in the Indian National Ambient Air Quality Standards  
30 (NAAQS). Delhi suffers the worst air quality among the four cities, with citizens exposed to  
31 ‘severely polluted’ air for 10% of the time and to unhealthy conditions for 70% of the time.  
32 Across the four cities, long-term PM<sub>2.5</sub> exposure caused about 28,000 (95% confidence interval:  
33 17,200–39,400) premature mortality and 670,000 (428,900–935,200) years of life lost each  
34 year. During Diwali Fest in Delhi, average PM<sub>2.5</sub> increased by ~75% and hourly concentrations  
35 reached 1676 µg/m<sup>3</sup>. These high pollutant levels led to an additional 20 (13–25) daily  
36 premature mortality in Delhi, an increase of 56% compared to the average over October-  
37 November. Distinct seasonal and diurnal variations in PM<sub>2.5</sub> were found in all cities. PM<sub>2.5</sub>  
38 mass concentrations peak during the morning rush hour in all cities. This indicates local traffic  
39 could be an important source of PM<sub>2.5</sub>, the control of which would be essential to improve air  
40 quality. We report an interesting seasonal variation in the diurnal pattern of PM<sub>2.5</sub>  
41 concentrations, which suggests a 1-2 hours shift in the morning rush hour from 8 a.m. in pre-  
42 monsoon/summer to 9-10 a.m. in winter. The difference between PM<sub>2.5</sub> concentrations on  
43 weekdays and weekend, namely weekend effect, is negligible in Delhi and Hyderabad, but  
44 noticeable in Mumbai and Chennai where ~10% higher PM<sub>2.5</sub> concentrations were observed in  
45 morning rush hour on weekdays. These local characteristics provide essential information for

46 air quality modelling studies and are critical for tailoring the design of effective mitigation  
47 strategies for each city.

48 **Keywords:** PM<sub>2.5</sub>; Health effect; Diwali festival effect; Weekend effect; Long-term; Short-  
49 term

50

## 51 **1. Introduction**

52 Exposure to fine particulate matter (particles with an aerodynamic diameter less than 2.5  
53  $\mu\text{m}$ , PM<sub>2.5</sub>) can pose a major threat to human health (Chowdhury and Dey, 2016; Gao et al.,  
54 2018a; Gao et al., 2017; Huang et al., 2018; Pope et al., 2009; Wang et al., 2017). As a rapidly  
55 developing country with an expanding population, India is suffering severe PM<sub>2.5</sub> pollution,  
56 with nine cities among the top ten most polluted cities in the world as reported by the World  
57 Health Organization (WHO, 2016). Exposure to high levels of PM<sub>2.5</sub> causes ~1 million  
58 premature mortality per year across India (Conibear et al., 2018a). In order to tackle this PM<sub>2.5</sub>  
59 pollution, the Central Pollution Control Board (CPCB) of India set revised National Ambient  
60 Air Quality Standards (NAAQS) in 2009 that included PM<sub>2.5</sub> regulations (CPCB, 2009). Some  
61 mitigation policies have been implemented in major Indian cities (Chowdhury et al., 2017;  
62 Sharma and Dixit, 2016), but limited improvement in air quality (~10% reduction in PM<sub>2.5</sub>) has  
63 been seen (Chowdhury et al., 2017). PM<sub>2.5</sub> pollution is expected to further deteriorate in the  
64 coming decades (Chowdhury et al., 2018; Conibear et al., 2018b), due to rapid ongoing  
65 urbanization. This surface pollution over India also has important global implications through  
66 effective transport by the Asian summer monsoon to the upper troposphere and lower  
67 stratosphere, where pollutants can be re-distributed on a global scale and thus affect global  
68 climate forcing and air quality (Lelieveld et al., 2018; Liu et al., 2015; Yu et al., 2017).

69 Previous studies estimated health risks in India of exposure to PM<sub>2.5</sub> based on model  
70 analysis or satellite retrieves and mainly focused on long-term exposure (e.g., Chowdhury and  
71 Dey, 2016; Conibear et al., 2018a, b; Gao et al., 2018b; Lelieveld et al., 2015; van Donkelaar  
72 et al., 2015). In addition, intensive emissions and unfavourable meteorological condition for  
73 dispersion can significantly increase PM<sub>2.5</sub> and lead to hazardous short-term exposure with high  
74 health risks (Atkinson et al., 2014; Héroux et al., 2015). In-situ observations at high temporal  
75 resolution are valuable for more firmly grounded estimates of health risks. Furthermore,  
76 characterizing the seasonal and diurnal variations of urban PM<sub>2.5</sub> concentrations and their  
77 relationships to meteorology is the key to understanding the drivers of air pollution and  
78 devising effective mitigation strategies in Indian megacities (Schnell et al., 2018). Long-term  
79 in-situ monitoring studies are critical for a better understanding of these factors. However, only  
80 a few studies providing long-term observations of PM<sub>2.5</sub> have been undertaken, and most of  
81 these have focused on Delhi only (Sahu and Kota, 2017; Sharma et al., 2018). Information on  
82 local characteristics such as the diurnal variation in pollutant emissions is also critical for  
83 modelling studies. This information is scarce in India and models typically use a constant  
84 diurnal profile of emissions (e.g., Mohan and Gupta, 2018) or standard profiles from American  
85 or European cities to represent conditions in India (e.g., Marrapu et al., 2014). Long-term  
86 observations of the diurnal variation of pollutants would provide essential information for  
87 improving model performance.

88 This study presents a comprehensive summary of the seasonal and diurnal variation of  
89 urban PM<sub>2.5</sub> in four Indian megacities (Delhi, Chennai, Hyderabad and Mumbai), based on  
90 ground observations from 2015 to 2018. This analysis reveals the observation-based patterns  
91 of human activity and local temporal characteristics of emissions in each city, and hence  
92 provides valuable input for modelling studies. In addition, for the first time, we report the  
93 influences of weekend effect on the diurnal variations and quantify the health risks of short-

94 term exposure during Diwali Fest. Finally, the cumulative exposure of urban residents to PM<sub>2.5</sub>  
95 and the corresponding health burdens are estimated for each city. The results of this study are  
96 valuable for the designation and implementation of mitigation policies on a city level aimed at  
97 improving air quality to meet the Indian NAAQS standards.

98

## 99 **2. Materials and Methods**

### 100 **2.1 Data**

101 Datasets of pollutants measured between 1 March 2015 and 31 December 2018 are  
102 analysed in this study. An overview of the data is given in Table S1. Hourly PM<sub>2.5</sub> observations  
103 in Delhi, Chennai, Mumbai and Hyderabad (Fig. S1) are routinely made at U.S. Embassy and  
104 consulates using a beta attenuation monitor (San Martini et al., 2015). These records are  
105 available from the AirNow website (<https://www.airnow.gov/>). The instruments are maintained  
106 and calibrated following the regulations of the U.S. Environmental Protection Agency (EPA,  
107 2009, 2015). PM<sub>2.5</sub> observations from the U.S. Embassy are widely used in previous studies in  
108 India (Wang and Chen, 2019) and China (Lv et al., 2017; Lv et al., 2015; San Martini et al.,  
109 2015), and have been shown to be of good quality and in good agreement with other  
110 observations (Jiang et al., 2015; Mukherjee and Toohey, 2016).

111 We use hourly meteorological observations at the airport in each city (VIDP-Delhi,  
112 VOMM-Chennai, VABB-Mumbar and VOHY-Hyderabad). The flat topography surrounding  
113 these airports suggests that the observations are broadly representative of the dominant  
114 meteorological conditions in these cities. Historical records are archived by the National  
115 Oceanic and Atmospheric Administration, and are available from the National Climatic Data  
116 Center (<https://www.ncdc.noaa.gov/data-access/>). The height of the planetary boundary  
117 layer (PBL) is obtained from the European Centre for Medium-Range Weather Forecasts

118 (ECMWF) ERA-interim reanalysis at a 3-hour interval and  $0.125^\circ \times 0.125^\circ$  spatial resolution  
119 (<https://www.ecmwf.int/>).

## 120 **2.2 Method**

121 We estimate the long-term health impacts from exposure to ambient PM<sub>2.5</sub> concentrations,  
122 as these account for the majority of the health effects through capturing both acute and chronic  
123 responses. Following our previous works (Conibear et al., 2018a, b), we use integrated  
124 exposure-response (IER) functions (Burnett Richard et al., 2014), updated for the Global  
125 Burden of Disease GBD2016 (GBD, 2016) to estimate the relative risk (RR) of premature  
126 mortality due to exposure to PM<sub>2.5</sub> concentrations. There are IER functions with age-specific  
127 modifiers for chronic obstructive pulmonary disease (COPD), lower respiratory infection (LRI),  
128 ischaemic heart disease (IHD), cerebrovascular disease (CEV), and lung cancer (LC). We use  
129 the parameter distributions from the GBD2016 for 1,000 simulations to derive the mean IER  
130 with 95% uncertainty intervals. The IER functions have uniform theoretical minimum risk  
131 exposure levels for PM<sub>2.5</sub> between 2.4–5.9  $\mu\text{g}/\text{m}^3$ .

132 We use multi-year average annual-mean PM<sub>2.5</sub> concentrations from measurements made  
133 at U.S. diplomatic missions in Delhi (110  $\mu\text{g}/\text{m}^3$ ), Chennai (33  $\mu\text{g}/\text{m}^3$ ), Hyderabad (56  $\mu\text{g}/\text{m}^3$ ),  
134 and Mumbai (60  $\mu\text{g}/\text{m}^3$ ). Baseline mortality data are taken from the GBD2016 for India (GBD,  
135 2018). Population size was taken from the latest Indian Census data for 2011. Population age  
136 composition was taken from the GBD2016 population estimates for 2015 for India (GBD,  
137 2017a).

138 Annual premature mortality (M) for each age and disease were estimated as a function of  
139 population (P), baseline mortality rates (I), and the attributable fraction (AF) for a specific  
140 relative risk (RR) (Equation 1). The disease burden from LRI, IHD, CEV, COPD, and LC was  
141 estimated between 0 and 95 years upwards in 5 year groupings.

142

$$M = P \times I \times AF, \quad AF = \frac{RR - 1}{RR} \quad (1)$$

143

144 Annual years of life lost (YLL) for each age and disease were estimated as a function of  
 145 premature mortality and age-specific life expectancy (LE) from the standard reference life table  
 146 from the GBD2016 (Equation 2) (GBD, 2017b).

$$YLL = M \times LE \quad (2)$$

148

149 We estimate the short-term health impacts during Diwali Fest in Delhi from exposure to  
 150 ambient PM<sub>2.5</sub> concentrations as all-cause premature mortality. The short-term health impacts  
 151 are accounted for within the long-term health impacts, and are used to indicate the variation in  
 152 the daily burden from acute responses (Héroux et al., 2015). We use the summary risk estimates  
 153 ( $\gamma$ ) from Atkinson et al. (2014) of 1.04% (0.52–1.56) per 10  $\mu\text{g}/\text{m}^3$  change in daily mean PM<sub>2.5</sub>  
 154 concentrations ( $C_d$ ), with respect to a reference PM<sub>2.5</sub> concentration ( $C_r$ ) of 0  $\mu\text{g}/\text{m}^3$ . We assume  
 155 no upper concentration cutoff. India-specific risk functions for ambient PM<sub>2.5</sub> exposure do not  
 156 currently exist, however, the use of the summary risk estimate of 1.04% is conservative when  
 157 compared with the summary risk estimate of 1.2% from Levy et al. (2012) and 1.23% from  
 158 WHO (2013). Baseline mortality data are taken from the GBD2016 for India for all ages for  
 159 both genders combined (GBD, 2018). We convert these annual rates to daily rates ( $I_d$ ) by  
 160 dividing by 365.25, consistent with previous work due to the lack of daily data (West et al.,  
 161 2007). We use first-three-day of Diwali Fest (320  $\mu\text{g}/\text{m}^3$ ) and October-November two-month  
 162 (183  $\mu\text{g}/\text{m}^3$ ) averaged daily-mean PM<sub>2.5</sub> concentrations during 2015-2018 from the U.S.  
 163 Embassy measurements for Delhi.

$$RR_d = 1 + [\gamma \times (C_d - C_r) \times 0.1] \quad (3)$$

164

165 
$$M_d = P \times I_d \times \frac{RR_d - 1}{RR_d} \quad (4)$$

166 We use a linear exposure-response function with no cap on daily relative risk ( $RR_d$ ),  
167 similar to a previous work (van Donkelaar et al., 2011), estimating daily relative risks following  
168 Equation 3. Daily premature mortality ( $M_d$ ) is then estimated using Equation 4.

169 Using a logarithmic exposure-response function as in previous work (Crippa et al., 2016),  
170 our estimates of short-term premature mortality are about 10% larger than with a linear  
171 exposure-response function. To be conservative, we use the linear exposure-response function  
172 in this study.

173

### 174 **3. Results**

#### 175 **3.1 Overview of $PM_{2.5}$ in Four Megacities**

176 The locations of Delhi, Chennai, Hyderabad and Mumbai are shown in Fig. 1, together  
177 with annual mean surface concentrations of  $PM_{2.5}$  of anthropogenic origin over India in 2015  
178 (van Donkelaar et al., 2015; van Donkelaar et al., 2011). Fig. 2 shows a calendar-view of daily  
179 average  $PM_{2.5}$  concentrations in the four cities during 2015-2018, and monthly statistics are  
180 shown in Fig. S1. There is no clear inter-annual trend in  $PM_{2.5}$  observed in these cities during  
181 2015-2018. The Indian NAAQS classifies six different levels of air quality based on daily 24-  
182 hour averaged  $PM_{2.5}$  concentrations (Fig. 2). The two cleanest air quality levels, ‘good’ and  
183 ‘satisfactory’, are defined as healthy, and the others ( $PM_{2.5} > 60 \mu\text{g}/\text{m}^3$ ) are defined as  
184 unhealthy (CPCB, 2014). Delhi suffers the worst air quality among these cities, and the air  
185 quality levels are categorized as ‘poor’, ‘very poor’ or ‘severe’ for ~50% of the time. These  
186 hazy days mostly occur during October-February. The air quality in Chennai and Hyderabad  
187 is much better than Delhi, with few ‘poor’ air-quality days; and ‘healthy’ days counted up to



188 50% of the time in Hyderabad and most of the time in Chennai. Mumbai has better air quality  
189 than Delhi. This may be due to its coastal climate, where surface PM<sub>2.5</sub> is often diluted by clean  
190 air from the ocean. However, Mumbai still experiences about four months per year with air  
191 quality of ‘poor’ standard or worse. The Diwali Fest and New Year festivals make the air  
192 quality substantially worse in Delhi, as shown by the ‘severe’ days at the beginning of  
193 November and January (Fig. 2a). This suggests that the fireworks during the festivals contribute  
194 to an increase of PM<sub>2.5</sub> loading in Delhi significantly. However, there is no clear festival effect  
195 observed in the other three cities. It is unclear why no festival effect is observed in these other  
196 cities, although it may reflect lower firework use and more favourable meteorological  
197 conditions for dispersion in coastal cities.

198 All cities suffered severe episodes of poor air quality, with maximum hourly PM<sub>2.5</sub>  
199 concentrations of 1676 µg/m<sup>3</sup>, 1334 µg/m<sup>3</sup>, 1107 µg/m<sup>3</sup> and 758 µg/m<sup>3</sup> in Delhi, Chennai,  
200 Hyderabad and Mumbai, respectively. In Delhi, the maximum hourly PM<sub>2.5</sub>, observed during  
201 the Diwali Fest nights in 2016 and 2018, is ~70% higher than the highest level recorded in  
202 Beijing (980 µg/m<sup>3</sup>), China (San Martini et al., 2015; Wang et al., 2014; Zheng et al., 2015).  
203 This strongly suggests that control of fireworks during the Diwali Fest would efficiently  
204 mitigate short-term PM<sub>2.5</sub> exposure in Delhi. This is also implied by a previous study (Singh et  
205 al., 2010), where a significant increase in particle loading by a factor of 2-6 compared with the  
206 period before and after Diwali Fest was found in Delhi during 2002-2007. Extreme episodes in  
207 other cities were observed at night-time (10 p.m.-2 a.m.) from the end of October to the  
208 beginning of December. The shallow planetary boundary layer (PBL) at night and intensive  
209 crop burning in this season are the likely reasons for these extremely high concentrations  
210 (Tiwari et al., 2013). Fig. S2 shows that there is a clear decrease in the frequency of high PM<sub>2.5</sub>  
211 concentrations in all cities as the PBL height increases. We also observe an anti-correlation  
212 between wind speed and PM<sub>2.5</sub> loading. With the same PBL height, PM<sub>2.5</sub> loading generally

213 decreases as wind speed increases, and  $PM_{2.5}$  is generally less than  $100 \mu g/m^3$  when the wind  
214 speed is greater than 4 m/s in all cities (Fig. S2). This is because the higher PBL and larger  
215 wind speed dilute the surface  $PM_{2.5}$  (Chen et al., 2009; Mohan and Gupta, 2018).

216 In order to investigate the possible source regions of  $PM_{2.5}$  for each city, we analyse the  
217 relationship between  $PM_{2.5}$  concentration and wind direction (Fig. 3). Delhi is influenced by  
218 easterly and westerly/northwesterly winds, with high  $PM_{2.5}$  concentrations ( $>150 \mu g/m^3$ ) from  
219 both directions. The westerly and northwesterly winds have the highest frequency ( $\sim 33\%$ ) and  
220 are associated with the most polluted episodes in Delhi. About 30% of the time  $PM_{2.5}$   
221 concentration in Delhi are higher than  $150 \mu g/m^3$ ,  $\sim 50\%$  of which is associated with a westerly  
222 or northwesterly wind. This indicates that crop biomass burning and desert dust could be major  
223 sources of  $PM_{2.5}$  in Delhi. Punjab and Haryana are located to the northwest of Delhi, and are  
224 major sources of particles and gaseous precursors from crop burning during October-November  
225 (Cusworth et al., 2018; Jethva et al., 2018; Rastogi et al., 2014), when the worst air quality is  
226 observed in Delhi. Furthermore, previous modelling studies show significant increases ( $> 50\%$ )  
227 in aerosol loading when the westerly and northwesterly wind transports dust from the Thar  
228 Desert to Delhi during April-June (Kumar et al., 2014a; Kumar et al., 2014b). In Hyderabad,  
229 another inland city, the easterly/westerly wind pattern is also dominant. The easterly wind  
230 brings a substantial amount of  $PM_{2.5}$  to Hyderabad, but the conditions are better than in Delhi,  
231 with limited episodes of  $PM_{2.5}$  concentration higher than  $150 \mu g/m^3$ . Chennai and Mumbai are  
232 coastal cities with a prevailing onshore wind for 70-80% of the time which brings relatively  
233 clean marine air masses. The  $PM_{2.5}$  concentrations are generally lower than  $75 \mu g/m^3$  when an  
234 onshore wind is present. The offshore wind brings pollutants from inland regions to the cities,  
235 but this occurs much less frequently (20-30%). These results indicate that there is a strong  
236 interaction between meteorology and  $PM_{2.5}$  pollution, and strong local characteristics are found

237 in each city. Detailed investigation of these local characteristics would be helpful in tailoring  
238 an effective mitigation policy for each city.

### 239 **3.2 Seasonal and Diurnal Patterns of PM<sub>2.5</sub>**

240 A distinct seasonal variation in the diurnal patterns is found, and this has different  
241 characteristics in each city (Fig. 4). Generally, the climate in India is characterised by four  
242 seasons: pre-monsoon/summer (March-May), monsoon (June-August), post-monsoon  
243 (September-November) and winter (December-February). Notable inter-seasonal changes in  
244 meteorology lead to significant differences in PM<sub>2.5</sub> loading. Benefitting from the cleansing  
245 effect of precipitation in the monsoon season (Ghosh et al., 2015), the hourly PM<sub>2.5</sub> is generally  
246 less than 50 µg/m<sup>3</sup> in the inland cities (Delhi and Hyderabad) and less than 30 µg/m<sup>3</sup> in the  
247 coastal cities (Chennai and Mumbai). Apart from cleansing by precipitation, frequent deep  
248 convection during summer monsoon in India can lift air pollutants near the surface to free  
249 troposphere or even upper troposphere, as reported by previous modelling and observational  
250 studies (Fadnavis et al., 2011; Kumar et al., 2015; Lelieveld et al., 2018). This transport process  
251 dilutes air pollutants near the surface and could be one of the reasons that surface PM<sub>2.5</sub>  
252 concentration is the lowest during the monsoon season. Future works, with aircraft  
253 observations and modelling, are needed to quantify the relative importance of wash out and  
254 vertical transport in reducing concentrations of surface pollutants. Chennai benefits from  
255 prevailing onshore winds, with low PM<sub>2.5</sub> loadings in both the pre-monsoon and monsoon  
256 seasons (< 30 µg/m<sup>3</sup>). As a result of unfavourable meteorological conditions for dispersion and  
257 an increase in emissions from heating (Guttikunda and Calori, 2013; Guttikunda and Gurjar,  
258 2012), winter is the most polluted season in all cities. The slow wind speeds and shallow PBL  
259 (Fig. S2) can trap PM<sub>2.5</sub> in the surface layer and increase its concentration (Hu et al., 2019;  
260 Zheng et al., 2015). The post-monsoon is the second most polluted season, with PM<sub>2.5</sub> higher

261 than the annual averages. This inter-seasonal variation is consistent with the observations  
262 during 2013-2016 (Sreekanth et al., 2018) despite the rapid increase of anthropogenic  
263 emissions in India over the past decade (Li et al., 2017), indicating the importance of  
264 meteorology on the seasonal variation.

265 A clear diurnal pattern is found in all cities during winter, post-monsoon and pre-monsoon  
266 seasons (Fig. 4). However, no clear diurnal pattern is found during the monsoon season due to  
267 the influence of precipitation. The minimum PM<sub>2.5</sub> concentration during a day is generally  
268 found at 3-4 p.m. local time, possibly resulting from the dilution effect of the fully developed  
269 PBL in the afternoon (Fig. S3). PM<sub>2.5</sub> concentrations peak during the morning rush hour in all  
270 cities, the peaks approach 280 µg/m<sup>3</sup> (Delhi), 90 µg/m<sup>3</sup> (Chennai), 115 µg/m<sup>3</sup> (Hyderabad) and  
271 140 µg/m<sup>3</sup> (Mumbai) in winter, respectively. It is interesting that the morning rush hour  
272 consistently shifts 1-2 hours later from around 8 a.m. (pre-monsoon) to 10 a.m. (winter) in  
273 Delhi and Mumbai, and to 9 a.m. (winter) in Chennai and Hyderabad. A remarkably strong  
274 PM<sub>2.5</sub> peak is found during morning rush hour in Chennai and Hyderabad, with hourly PM<sub>2.5</sub>  
275 increased by ~50% and ~30% in two hours, respectively. However, only a slight increase in  
276 PM<sub>2.5</sub> concentration is observed in Delhi and Mumbai, with an increase of ~10% in winter.  
277 These characteristics of PM<sub>2.5</sub> variation during morning rush hour may be related to the size of  
278 the population of each city. According to the latest census of India, there are around 4.6 and  
279 7.0 million citizens in Chennai and Hyderabad, respectively; but more than 10 million citizens  
280 in Delhi and Mumbai (India Office of the Registrar General and Census Commissioner, 2011).  
281 Our results suggest that there is much greater human activity and emissions during the night in  
282 these two larger megacities leading to higher night-time PM<sub>2.5</sub> concentration but less variation  
283 during the morning. The morning rush hour lasts longer until 10 a.m. in winter in these  
284 megacities, in contrast to 9 a.m. in Chennai and Hyderabad. This is possibly because the busy  
285 traffic, also a larger city size would prevent a smooth commute and lead to longer commuting

286 times (Alam and Ahmed, 2013; Srinivas, 2018). In addition, traffic is a major local source of  
287 PM<sub>2.5</sub> (~45%) in Delhi (Sahu et al., 2011). These results suggest that developing a more  
288 convenient and efficient public transport system and encouraging the usage could be a key to  
289 mitigate PM<sub>2.5</sub> pollution, especially in the biggest cities. More work on source apportionment  
290 is needed for each city to inform better targeted mitigation strategies.

291

### 292 **3.3 Weekend Effect in Four Cities**

293 We report the influence of a weekend effect on the diurnal patterns of PM<sub>2.5</sub> in these cities,  
294 as shown in Fig. 5. No noticeable weekend effect is found in Delhi and Hyderabad. This is  
295 similar to Beijing and Chengdu in China (San Martini et al., 2015), with the diurnal patterns of  
296 PM<sub>2.5</sub> similar during weekdays and at the weekend. However, a notable weekend effect can be  
297 found in Chennai and Mumbai. The difference in the diurnal pattern of PM<sub>2.5</sub> between weekday  
298 and weekend is greatest before 10 a.m. A stronger morning rush hour is found in Chennai and  
299 Mumbai on weekdays, with ~10% higher PM<sub>2.5</sub> than at the weekend. This indicates that the  
300 decrease of traffic emissions in Mumbai and Chennai during weekend is probably the reason  
301 of weekend effect, and control of traffic emissions could be an efficient measure for improving  
302 air quality. In Chennai, PM<sub>2.5</sub> concentrations are about 5 µg/m<sup>3</sup> higher during night (12-5 a.m.)  
303 at the weekend than on weekdays; in contrast, PM<sub>2.5</sub> concentration is about 5 µg/m<sup>3</sup> lower at  
304 the weekend in Mumbai. These different weekend effects possibly indicate different life styles  
305 and PM<sub>2.5</sub> sources in each city. Further modelling and emission flux studies are needed to better  
306 understand the sources of PM<sub>2.5</sub> in each city.

### 307 **3.4 Exposure to PM<sub>2.5</sub> and Health Impacts**

308 We use these long-term in-situ observations to estimate the exposure of the population to  
309 PM<sub>2.5</sub> in Delhi, Chennai, Hyderabad and Mumbai. The annual averaged PM<sub>2.5</sub> loading in these  
310 cities is 110 µg/m<sup>3</sup>, 33 µg/m<sup>3</sup>, 56 µg/m<sup>3</sup> and 60 µg/m<sup>3</sup>, respectively. The population-weighted  
311 annual mean PM<sub>2.5</sub> loading is 72 µg/m<sup>3</sup> across the four cities, which is about 3.5 times higher  
312 than the global population-weighted value (20 µg/m<sup>3</sup>, van Donkelaar et al., 2010) and ~22%  
313 higher than average Chinese city-level value (Zhang and Cao, 2015). The annual averaged  
314 PM<sub>2.5</sub> loading in Delhi is much higher than all Chinese major cities in the last five years (Wang  
315 et al., 2019). Fig. 6 shows the time integrated exposure, which indicates the proportion of time  
316 that a citizen is exposed to PM<sub>2.5</sub> concentrations over a given level over the four years  
317 measurement period. Citizens are exposed to unhealthy air quality (PM<sub>2.5</sub> > 60 µg/m<sup>3</sup>) for about  
318 70% (Delhi), 15% (Chennai), 50% (Hyderabad) and 45% (Mumbai) of the time. The air quality  
319 is especially unhealthy in Delhi where citizens are exposed to ‘severe’ PM<sub>2.5</sub> pollution (>250  
320 µg/m<sup>3</sup>) for about 10% of the time. It is noteworthy that citizens of all four cities are exposed to  
321 air quality exceeding the 10 µg/m<sup>3</sup> WHO guideline nearly 100% of the time. PM<sub>2.5</sub> in all the  
322 cities except Chennai severely exceeds the revised Indian NAAQS standards of an annual  
323 average of 40 µg/m<sup>3</sup>.

324 These continuous in-situ measurements give us an opportunity to make a robust  
325 assessment of long-term health impacts on a city scale in India (Fig. 7). We estimate that long-  
326 term ambient PM<sub>2.5</sub> exposure causes 10,200 (95% confidence interval: 6,800–14,300), 2,800  
327 (1,500–4,100), 5,200 (3,100–7,400), and 9,500 (5,800–13,600) premature mortality each year  
328 in Delhi, Chennai, Hyderabad, and Mumbai, respectively. Our premature mortality estimate  
329 for Delhi is reasonably agreed (~10% negative bias) with a previous estimate from the  
330 GBD2016 (GBD, 2016). We estimate that about 248,000 (168,000–340,700), 66,000 (37,400–  
331 96,800), 125,000 (78,300–176,100), and 230,000 (145,200–321,700) years of life are lost each  
332 year in Delhi, Chennai, Hyderabad, and Mumbai, respectively. The annual mortality rate per

333 100,000 population, which is independent of population size, is 93 (62–130), 60 (33–89), 74  
334 (45–106), 76 (46–108) in Delhi, Chennai, Hyderabad, and Mumbai, respectively.  
335 Cardiovascular disease dominates the disease burden, with ischaemic heart disease (IHD)  
336 contributing ~40% and cerebrovascular disease (CEV) contributing ~30% in each city.

337 We estimate the health risks of short-term exposure during the New Year and Diwali Fest  
338 in Delhi and provide quantitative evidence to support control of fireworks. The fireworks  
339 during New Year enhance the PM<sub>2.5</sub> pollution in Delhi to some extent. The averaged PM<sub>2.5</sub>  
340 concentration during 1-3 January (276 µg/m<sup>3</sup>) was about 20% higher than the monthly average  
341 of January (227 µg/m<sup>3</sup>). This makes the daily premature mortality in Delhi slightly increase  
342 from January average of 43 (24-59) person per day to 50 (28-67) person per day during the  
343 New Year. The fireworks during Diwali Fest contribute substantially to the extremely high  
344 hourly concentration of PM<sub>2.5</sub> in Delhi (up to 1676 µg/m<sup>3</sup>), leading to hazardous short-term  
345 exposure. Crop burning in Punjab and Haryana makes a large contribution to PM<sub>2.5</sub> loading in  
346 Delhi during October-November (Cusworth et al., 2018; Jethva et al., 2018), while fireworks  
347 in Diwali Fest can greatly worsen PM<sub>2.5</sub> pollution over the period of a few days (Singh et al.,  
348 2010). We find that the PM<sub>2.5</sub> concentration during Diwali Fest (including the festival start day  
349 and the following two days) is 75% higher (~320 µg/m<sup>3</sup>) than the two-month average (~183  
350 µg/m<sup>3</sup> in October-November) in Delhi over this four-year period. We estimate the short-term  
351 health impacts from ambient PM<sub>2.5</sub> concentrations during Diwali Fest at 56 (32-75) premature  
352 mortality per day in Delhi. This is an additional 20 (13-25) daily premature mortality, an  
353 increase of 56% compared with the October-November average of 36 (19–50) daily premature  
354 mortality. This highlights the importance of reducing firework emissions during Diwali Fest to  
355 improve public health.

### 356 **3.5 Spatial Representativeness and Uncertainty**

357 In order to analyse the spatial representativeness of observations in U.S. diplomatic  
358 missions in each city and the corresponding uncertainty, we extract surface  $PM_{2.5}$   
359 concentrations from a global high spatial resolution satellite-retrieved dataset (van Donkelaar  
360 et al., 2015, [http://fizz.phys.dal.ca/~atmos/martin/?page\\_id=140](http://fizz.phys.dal.ca/~atmos/martin/?page_id=140)). The extracted dataset  
361 includes the annual averaged (2015-2016)  $PM_{2.5}$  concentration at locations of U.S. diplomatic  
362 missions and their surrounding regions within a distance of 20-100 km. This satellite-retrieved  
363 dataset is of high horizontal-resolution of 0.01 deg.  $\times$  0.01 deg. (lat-lon, about 1km  $\times$  1km).  
364 The retrieved data has been validated and widely adopted for global health effect analysis in  
365 previous studies (van Donkelaar et al., 2010; van Donkelaar et al., 2015). The standard  
366 deviation and ratios of  $PM_{2.5}$  concentrations between U.S. diplomatic missions' locations and  
367 averages of surrounding regions are given in Fig. 8.

368 As shown in Fig. 8, the uncertainty in Chennai and Hyderabad is negligible, with  
369 difference between U.S. diplomatic missions and surrounding regions less than 5%, and the  
370 standard deviations increase slowly with the increase of distance from U.S. diplomatic missions  
371 but always less than 5%. This indicates a relatively homogeneous spatial distribution of  $PM_{2.5}$   
372 concentrations in Chennai and Hyderabad. In Mumbai, the standard deviation varies between  
373 5-7%, with the minimum at a distance of  $\sim$ 60 km. This may be due to the influence of nearby  
374 large cities, such as Pune which is about 100 km away from Mumbai. The difference between  
375 U.S. diplomatic mission in Mumbai and the surrounding regional average is less than 6% in  
376 general, with the maximum underestimation of  $\sim$ 6% when the distance is about 40 km. This  
377 indicates that the observations of U.S. diplomatic mission in Mumbai well represent the nearby  
378 region, at least the region within 100 km. The representativeness of observations in the U.S.  
379 Embassy of Delhi decreases as the distance increases. The U.S. Embassy's observations may  
380 overestimate the  $PM_{2.5}$  concentrations in Delhi compared with the regional average, but this  
381 overestimation is less than 5% and with standard deviations less than 6% when the distance (or



382 region radius) is less than 60 km. However, the overestimation increases to ~10% with a  
383 standard deviation of ~10% when the distance is 100 km. This indicates a good  
384 representativeness of U.S. Embassy's observation for Delhi and its surrounding region within  
385 60 km, but may overestimate the PM<sub>2.5</sub> concentration and the corresponding human exposure  
386 by ~10% if using U.S. Embassy's observations to estimate the PM<sub>2.5</sub> human exposure in a  
387 larger region of Delhi, such as with a radius of 100 km. This could be due to the higher  
388 urbanization level of Delhi, leading to a higher pollution level in/near the city center.

389

#### 390 **4. Conclusions and Discussion**

391 This study has estimated the health risks of long-term exposure to PM<sub>2.5</sub> based on in-situ  
392 observations in four Indian megacities (Delhi, Hyderabad, Chennai and Mumbai) during 2015-  
393 2018, and quantified the health risks of short-term exposure during Diwali Fest in Delhi for the  
394 first time. We also summarized the local characteristics of seasonal and diurnal variations of  
395 PM<sub>2.5</sub>, and report the influence of a weekend effect on diurnal patterns. The results from this  
396 study are valuable for modelling studies and helpful in tailoring city-specific mitigation  
397 strategies.

398 Generally, substantial inter-seasonal variations in PM<sub>2.5</sub> are observed in the four cities,  
399 with the highest concentration during winter and the lowest during the monsoon season, when  
400 intensive wet scavenging lowers pollutant concentrations (Naja et al., 2014; Ojha et al., 2012).  
401 Winter is the most polluted season as a consequence of the shallow PBL and increased  
402 emissions from heating (Guttikunda and Calori, 2013; Guttikunda and Gurjar, 2012). Solid fuel  
403 burning is a common form of household heating in winter over India (Dumka et al., 2019;  
404 Jagadish and Dwivedi, 2018). To increase the efficiency of energy use and reduce PM<sub>2.5</sub>  
405 emissions in cities, we would suggest reduction in use of solid fuels (e.g., replace wood and

406 coal with liquid petroleum gas or compressed natural gas) and implementation of  
407 central/electric heating systems with heating centres located in non-upwind regions (e.g., north  
408 or south of Delhi). The megacities of Delhi and Mumbai show a weak morning rush hour effect,  
409 but there is a strong one in Hyderabad and Chennai. For the first time, we report an interesting  
410 and consistent shift of about two hours in the timing of the morning rush hour from pre-  
411 monsoon/summer (8 a.m.) to winter (9-10 a.m.), and analyse the influence of a weekend effect  
412 on the diurnal patterns of PM<sub>2.5</sub> in Indian megacities. The coastal cities of Chennai and Mumbai  
413 show a clear difference in morning PM<sub>2.5</sub> concentrations between weekdays and the weekend,  
414 but no noticeable difference was observed in the inland cities of Delhi and Hyderabad. These  
415 results indicate traffic emissions could be important sources of PM<sub>2.5</sub> and highlight the distinct  
416 local characteristics of human activity in each city, which is critical information for modelling  
417 studies. The four cities show significant differences in wind patterns and transport of PM<sub>2.5</sub>,  
418 suggesting that different control strategies are needed for each city that take into account its  
419 local emission characteristics and meteorological conditions.

420 In this study, we report the high health risks of exposure to PM<sub>2.5</sub> pollution in Indian cities  
421 and highlight hazardous short-term exposure during Diwali Fest in Delhi. Across the four cities,  
422 long-term exposure to PM<sub>2.5</sub> causes about 28,000 (95% confidence interval: 17,200–39,400)  
423 premature mortality and 670,000 (428,900–935,200) years of life lost each year. Fireworks  
424 during the Diwali Fest lead to severe air pollution in Delhi, and this is responsible for 56 (32-  
425 75) premature mortality per day, a 56% increase over the monthly average. More effective  
426 control policies are urgently required to mitigate the health burden and achieve sustainable  
427 development. Previous studies have shown that the dominant emission sources contributing to  
428 the disease burden from ambient PM<sub>2.5</sub> exposure are land transport in Delhi, residential solid  
429 fuel burning in Chennai and Hyderabad, and industrial coal burning in Mumbai (Conibear et  
430 al., 2018a). The disease burden is likely to increase substantially in future due to population

431 ageing and growth, which enhance the susceptibility to disease, unless stringent emission  
432 control policies are implemented (Conibear et al., 2018b).

433 We have estimated the PM<sub>2.5</sub> exposure in the four cities with continuous observations, but  
434 it is noteworthy that some other Indian cities experience more severe air pollution (WHO,  
435 2016). Continuous, widespread pollutant measurements across India would provide more  
436 complete information on regional pollutant characteristics and overall pollutant levels. More  
437 detailed measurements of the physicochemical properties of PM<sub>2.5</sub> in major cities, e.g., their  
438 composition and size distribution, would permit better characterisation of urban sources, and  
439 provide the information needed to design appropriate mitigation strategies.

440

#### 441 **Author contributions**

442 Y. C. and O. W. conceived the study. Y. C. performed the analysis and interpreted the results with  
443 input from all co-authors. L. C. helped with the health effect assessment. The manuscript was written with  
444 input from all co-authors.

#### 445 **Additional Information**

446 The authors declare no competing financial interest.

#### 447 **Acknowledgments**

448 Hourly measurements of PM<sub>2.5</sub> made at U.S. diplomatic missions in India are available through the  
449 AirNow platform maintained by the U.S. Department of State and the U.S. Environmental Protection Agency  
450 at <https://www.airnow.gov/>. Meteorological variables are available through the Integrated Surface  
451 Database—Surface Data Hourly Global data product maintained by the U.S. National Oceanic and  
452 Atmospheric Administration—National Climatic Data Center at <https://www.ncdc.noaa.gov/>. Y. W. would  
453 like to thank the China Scholarship Council for support through a PhD scholarship. Y. C. and O. W. would  
454 like to thank the NERC for funding (NE/P01531X/1 and NE/N006976/1). R. L. would like to thank the  
455 National Natural Science Foundation of China (grant no. 41305114). L. C. would like to thank the N8

456 consortium and EPSRC (grant EP/K000225/1). The paper is based on interpretation of scientific results and  
457 in no way reflect the viewpoint of the funding agencies.

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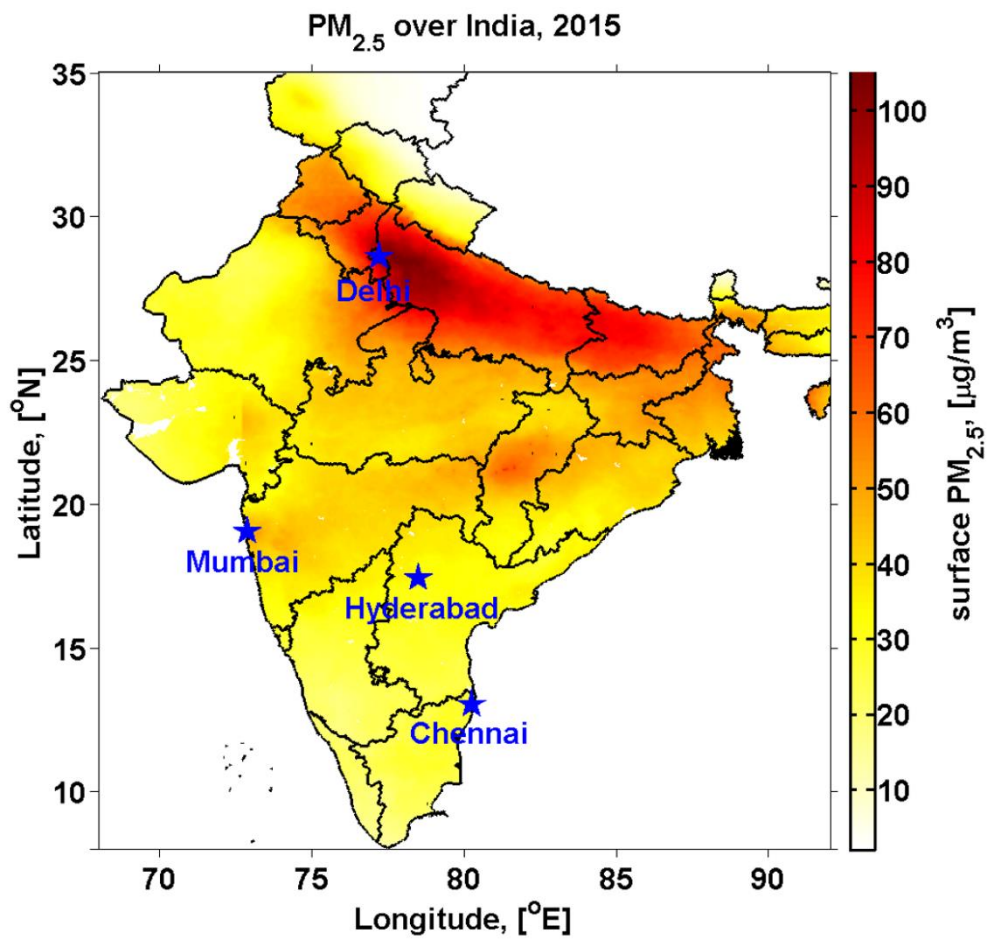
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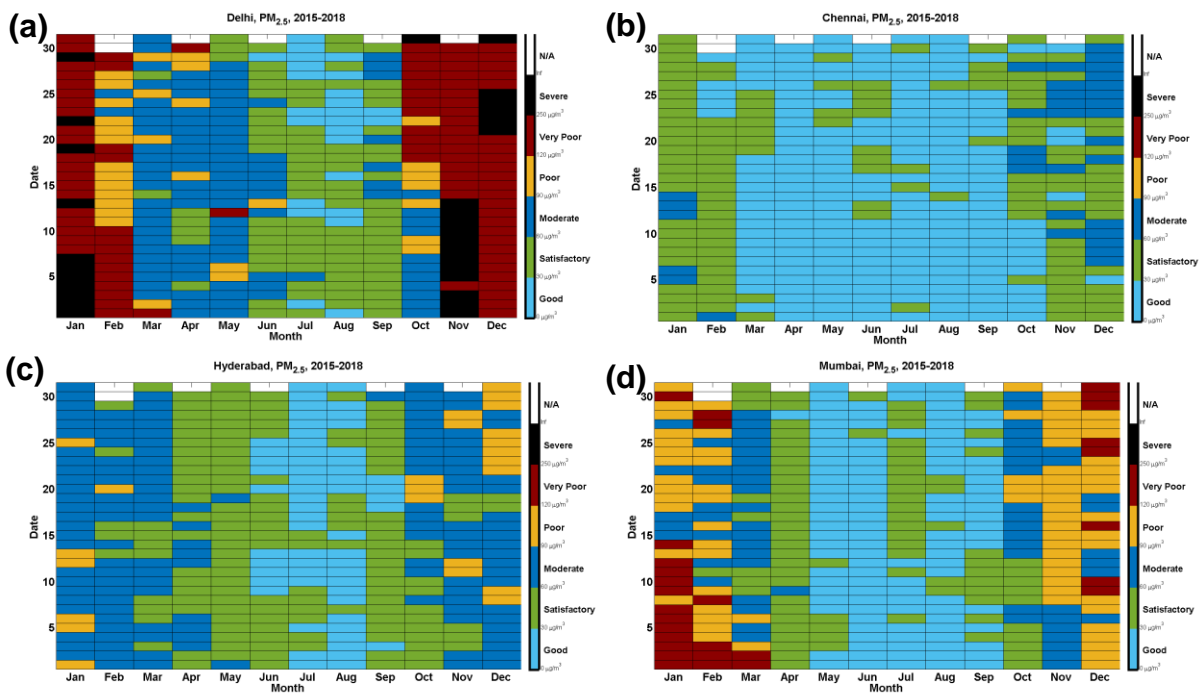
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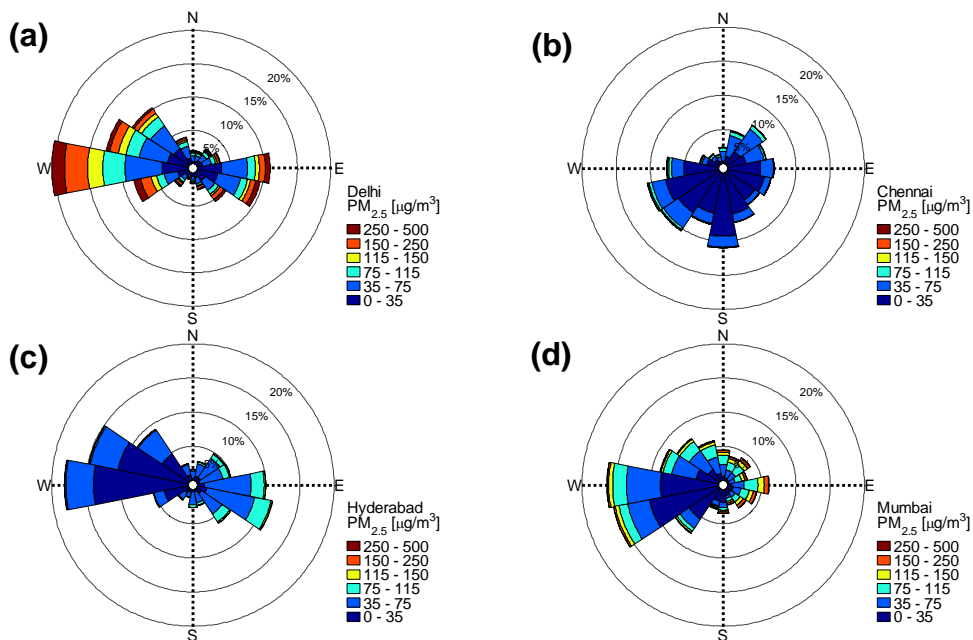




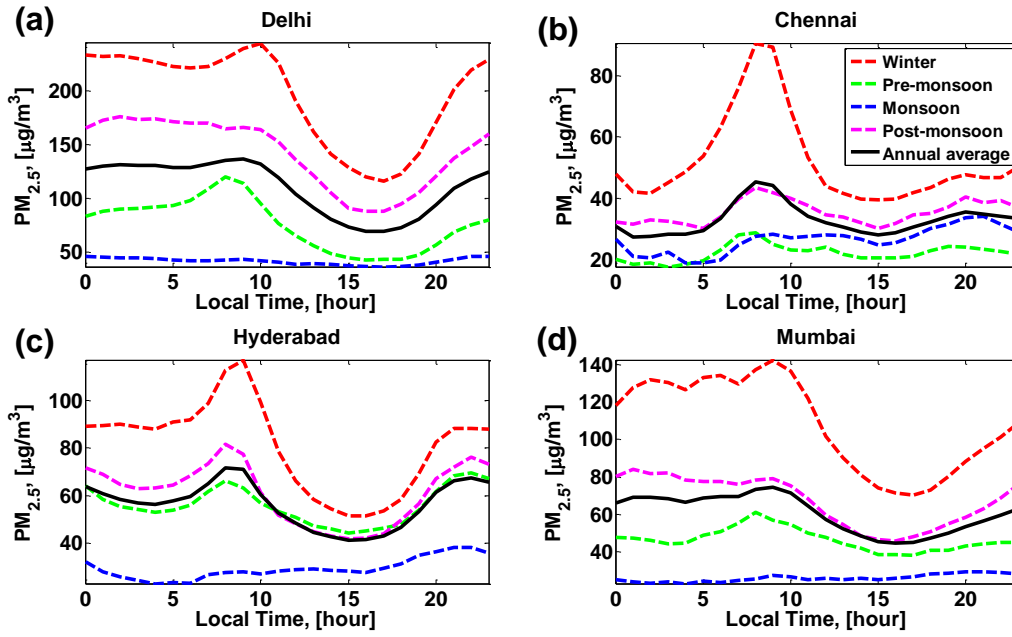
**Figure 1. Map of Delhi, Chennai, Hyderabad and Mumbai.** Surface annual (2015) average of  $PM_{2.5}$  is retrieved from satellite observations with sea-salt and dust excluded and at a relative humidity of 35% (van Donkelaar et al., 2015).



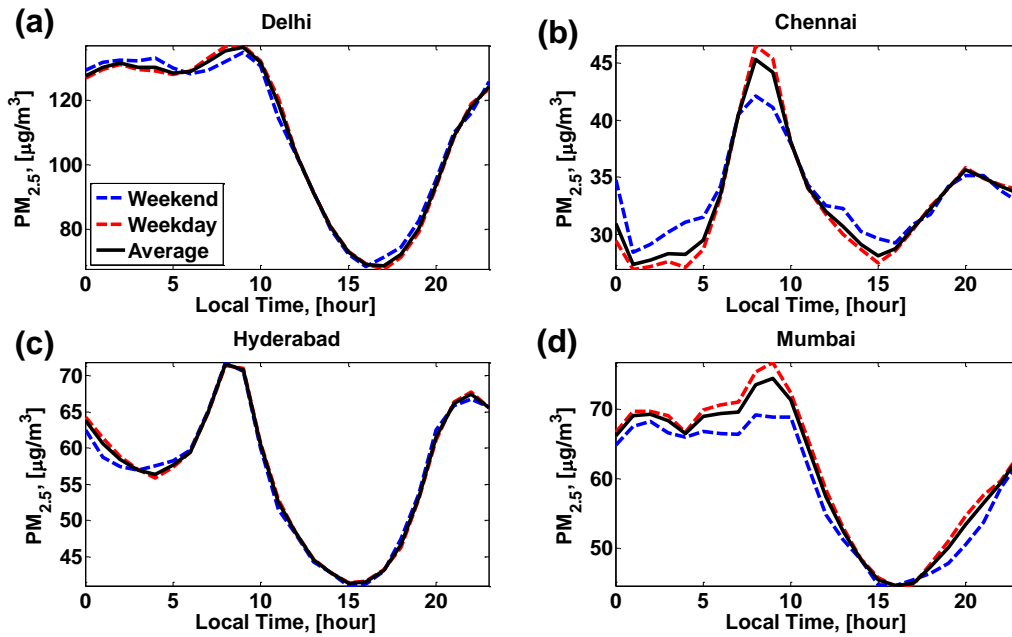
**Figure 2. Calendar-view of daily  $PM_{2.5}$  air quality levels averaged over 2015-2018. (a) Delhi, (b) Chennai, (c) Hyderabad, and (d) Mumbai. The air quality levels are categorized following the Indian national air quality index definitions ([https://app.cpcbcr.com/AQI\\_India](https://app.cpcbcr.com/AQI_India)).**



**Figure 3. Frequency distributions of  $PM_{2.5}$  concentration as a function of wind direction. (a) Delhi, (b) Chennai, (c) Hyderabad, and (d) Mumbai.**



**Figure 4. Average diurnal variation of  $PM_{2.5}$  concentrations for each season. (a) Delhi, (b) Chennai, (c) Hyderabad, and (d) Mumbai. The statistical values for each city in each season, including average, median, 75% percentile, 25% percentile, 95% percentile and 5% percentile, are given in Fig. S4-S8.**



**Figure 5. Average diurnal variation of  $PM_{2.5}$  concentrations on weekdays and at the weekend. (a) Delhi, (b) Chennai, (c) Hyderabad, and (d) Mumbai.**

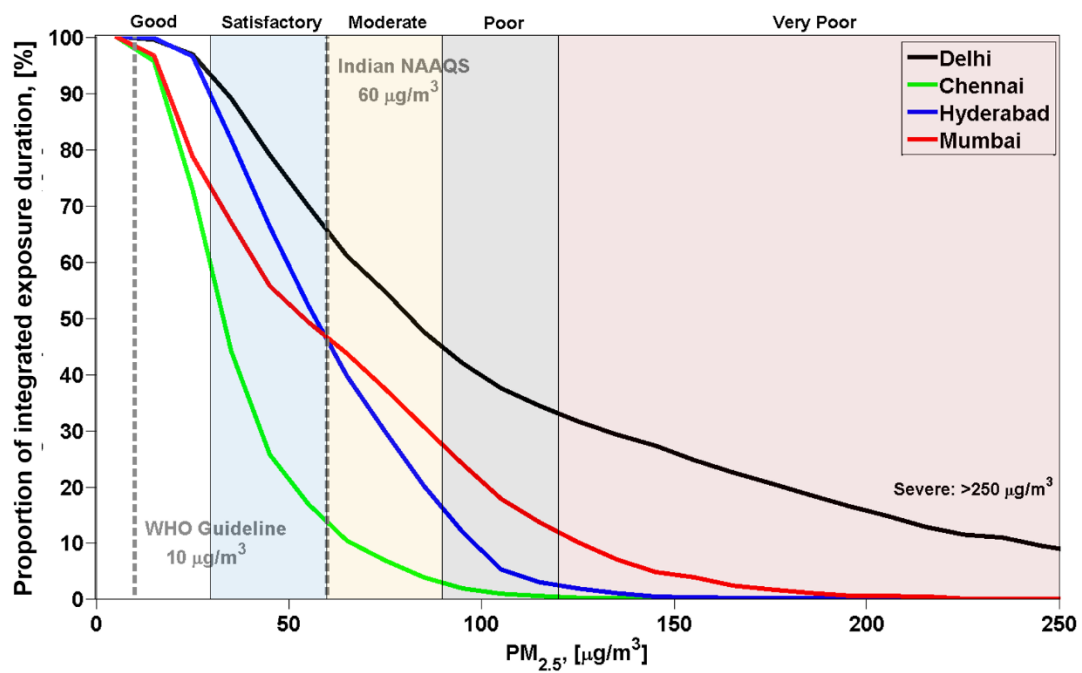
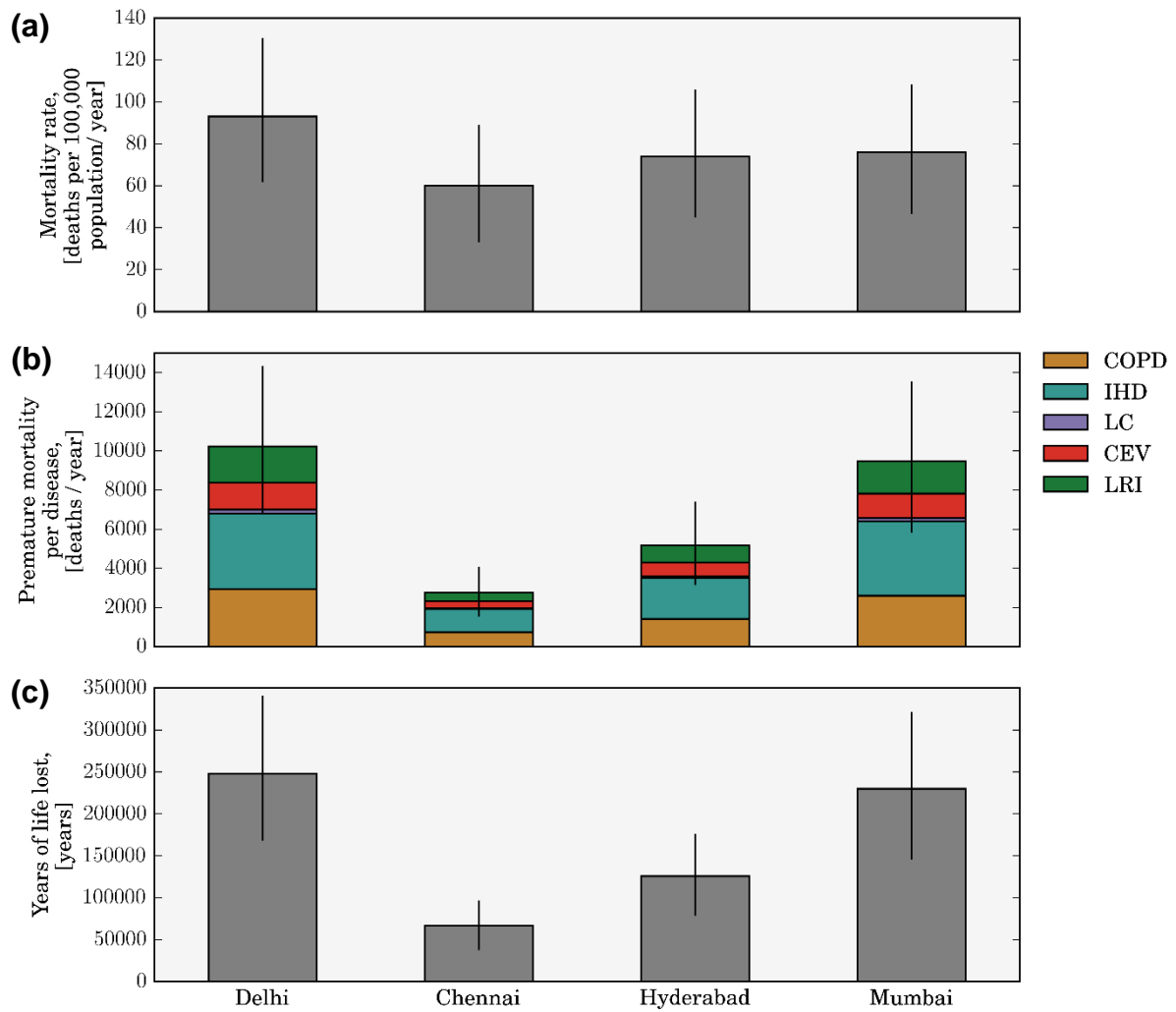
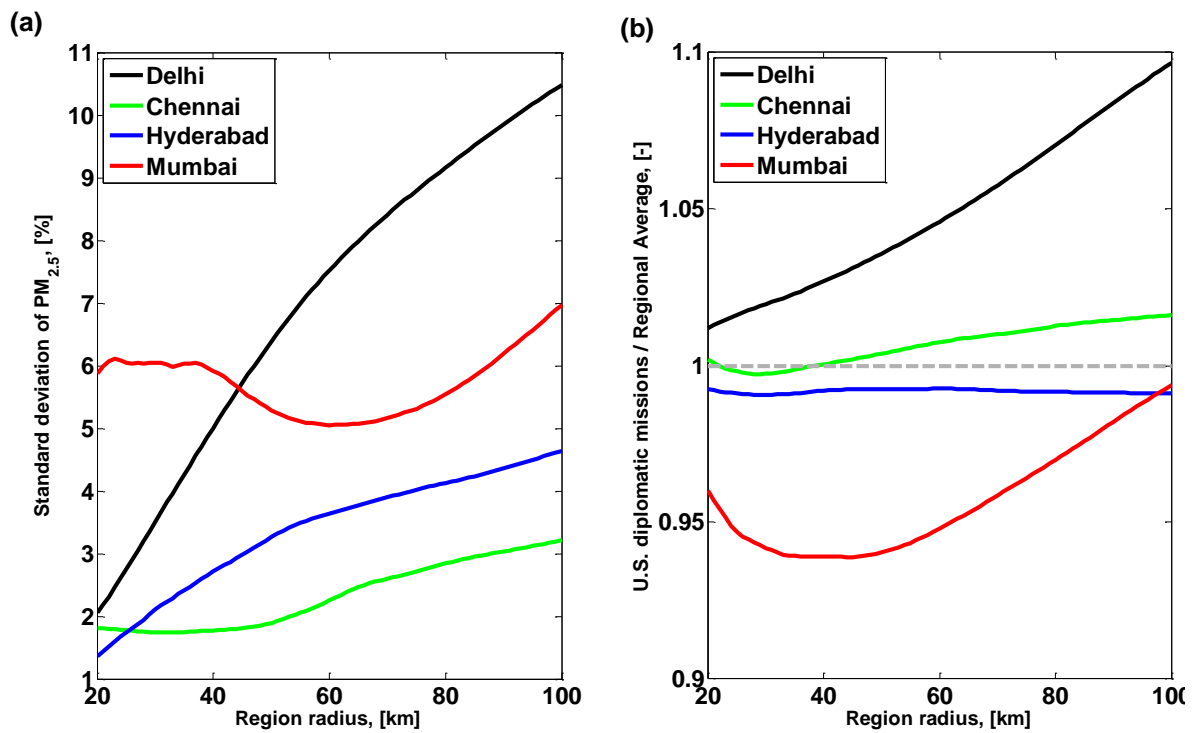


Figure 6. Proportion of integrated exposure duration to PM<sub>2.5</sub> pollution at different levels in four cities.



**Figure 7. Annual city-specific disease burden from long-term ambient PM<sub>2.5</sub> exposure. (a)** Mortality rate per 100,000 population. **(b)** Premature mortality per disease of chronic obstructive pulmonary disease (COPD), lower respiratory infection (LRI), ischaemic heart disease (IHD), cerebrovascular disease (CEV), and lung cancer (LC). **(c)** Years of life lost.



**Fig. 8. Spatial representativeness of U.S. diplomatic mission observations in each city.** (a) Standard deviation of PM<sub>2.5</sub> mass concentrations in surrounding region as a function of region radius. (b) The ratio between U.S. diplomatic mission observation and regional average as a function of region radius.

## Supporting Information

for

# Local Characteristics of and Exposure to Fine Particulate Matter (PM<sub>2.5</sub>) in Four Indian Megacities

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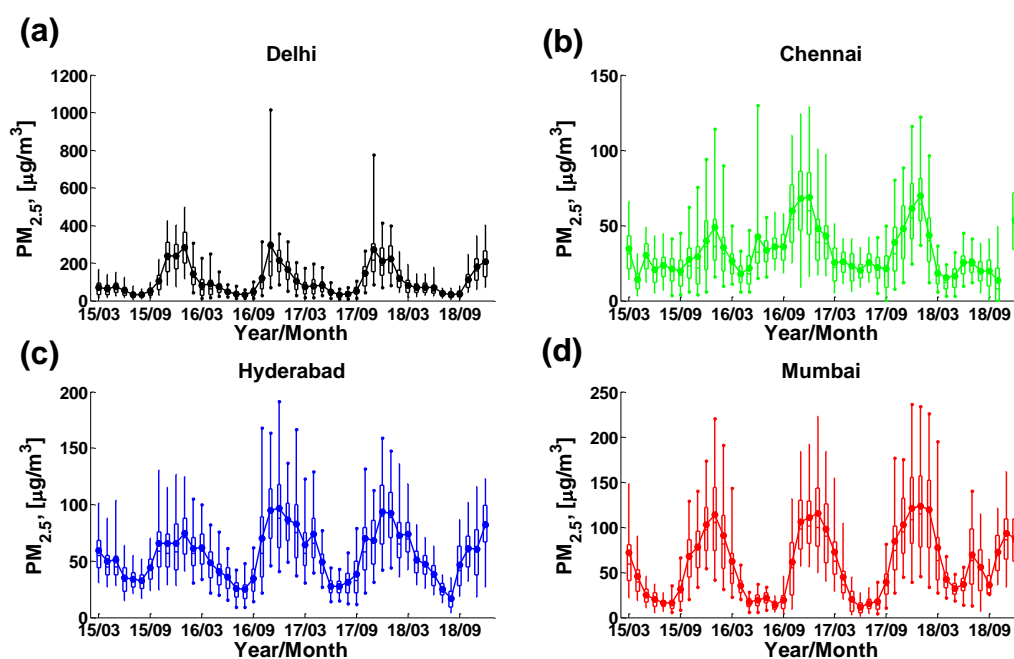
Figure S8 – The monsoon averaged diurnal pattern of PM<sub>2.5</sub> in each city.

**Table S1.** Overview of PM<sub>2.5</sub> observations in four cities.

City	Lat. / Long. [°N / °E]	Population* [million]	Climate Description	Annual PM <sub>2.5</sub> [µg/m <sup>3</sup> ]	Unhealth/Valid# [days]
Delhi	28.60 / 77.19	11.0	Subtropical/continental	110	837/1373
Chennai	13.05 / 80.22	4.6	Tropical/coastal	33	133/1274
Hyderabad	17.44 / 78.47	7.0	Tropical/continental	56	528/1335
Mumbai	19.06 / 72.87	12.5	Tropical/coastal	60	565/1293

\*source from census of India 2011 (India Office of the Registrar General and Census Commissioner, 2011)

#Valid days show how many days with valid data during March 2015 to December 2018. The unhealth-day is counted the days with daily-averaged PM<sub>2.5</sub> > 60 µg/m<sup>3</sup>, following the definition of Indian NAAQS (CPCB, 2009).



**Figure S1.** Monthly statistical overview of PM<sub>2.5</sub> hourly concentrations. (a) Delhi, (b) Chennai, (c) Hyderabad, and (d) Mumbai. The dots indicate the average value; short-scores in the middle indicate the median value; the boxes indicate the 25% and 75% percentage values; and the error bars indicate the 5% and 95% percentage values.



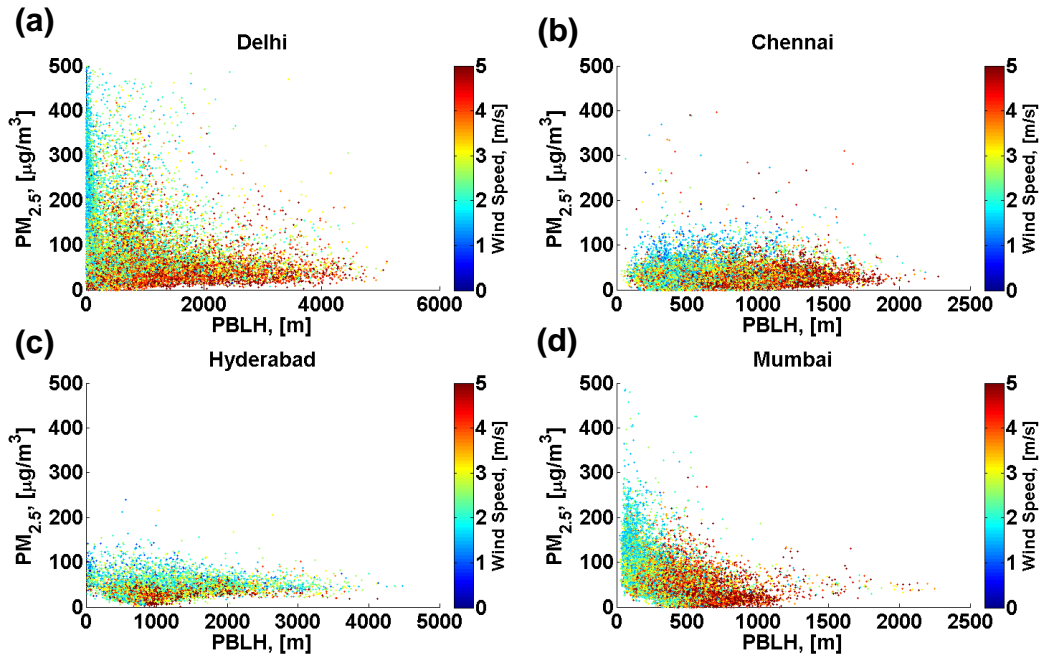


Figure S2. Hourly  $PM_{2.5}$  concentration as a function of PBL height and wind speed. (a) Delhi, (b) Chennai, (c) Hyderabad, and (d) Mumbai.

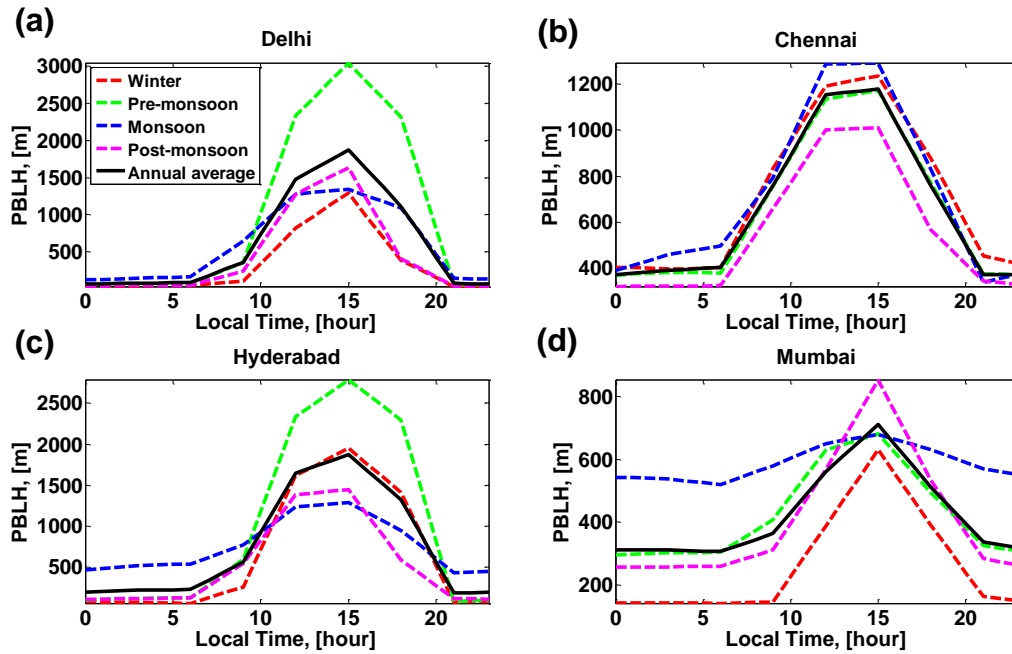
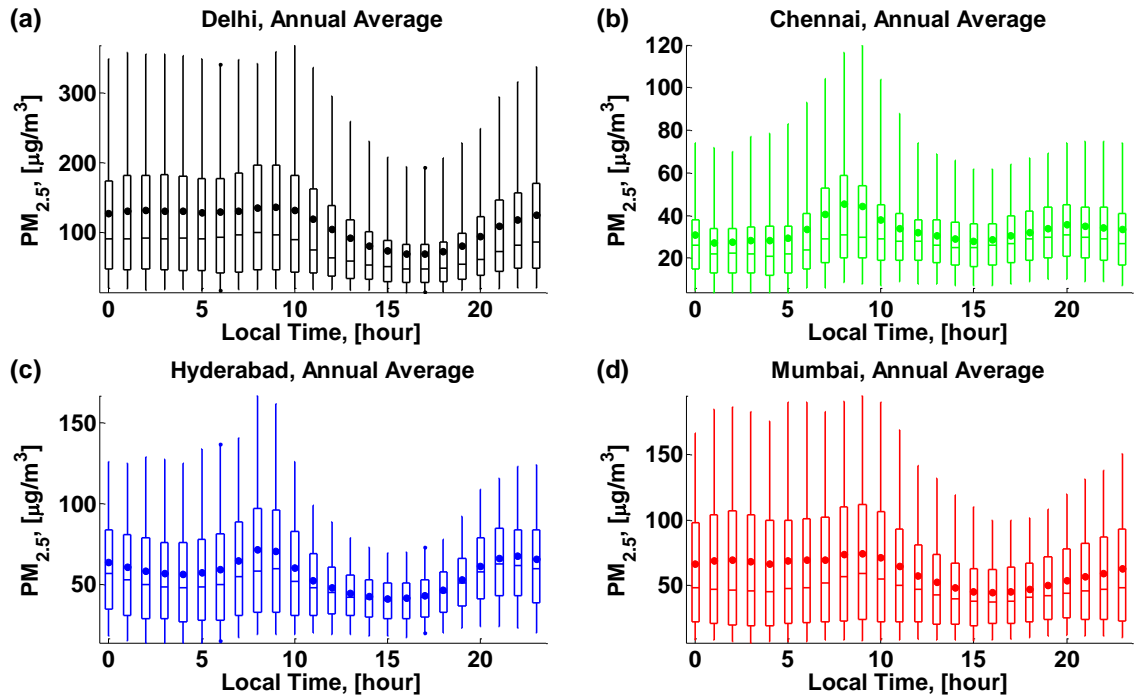
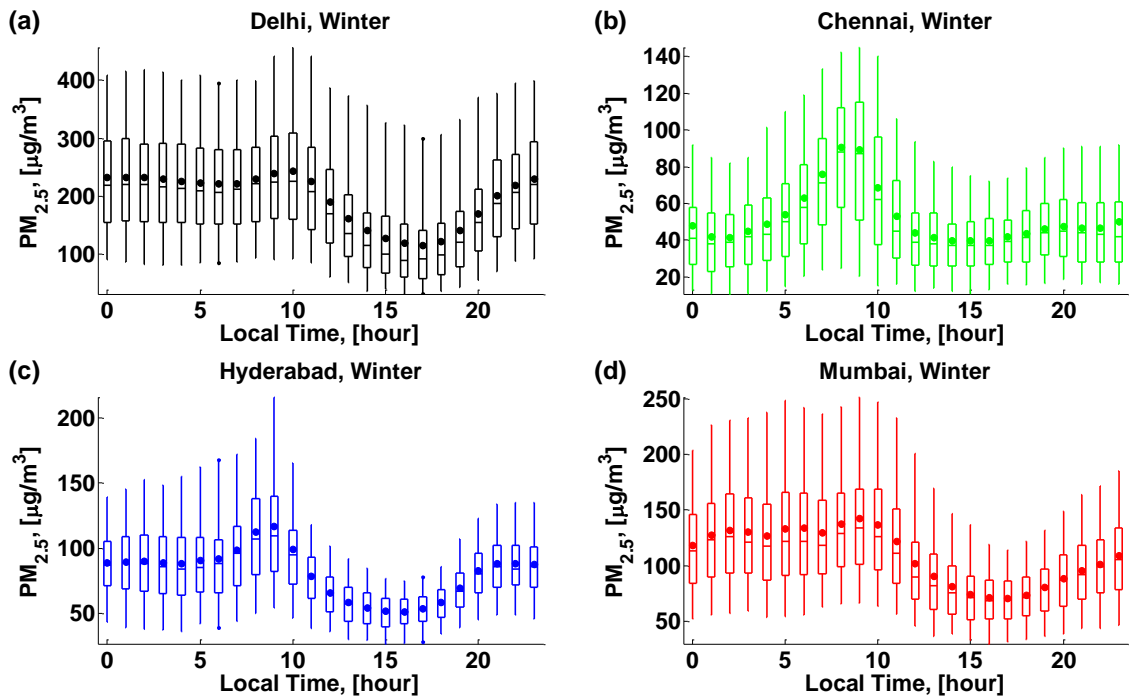


Figure S3. The averaged diurnal pattern of the height of PBL (PBLH) for each season. (a) Delhi, (b) Chennai, (c) Hyderabad, and (d) Mumbai.



**Figure S4. Annual averaged diurnal pattern of PM<sub>2.5</sub> hourly concentration.** (a) Delhi, (b) Chennai, (c) Hyderabad, and (d) Mumbai. The dots indicate the average value; short-scores in the middle indicate the median value; the boxes indicate the 25% and 75% percentage values; and the error bars indicate the 5% and 95% percentage values.



**Figure S5. Same as Fig. S4, but during the winter season.**

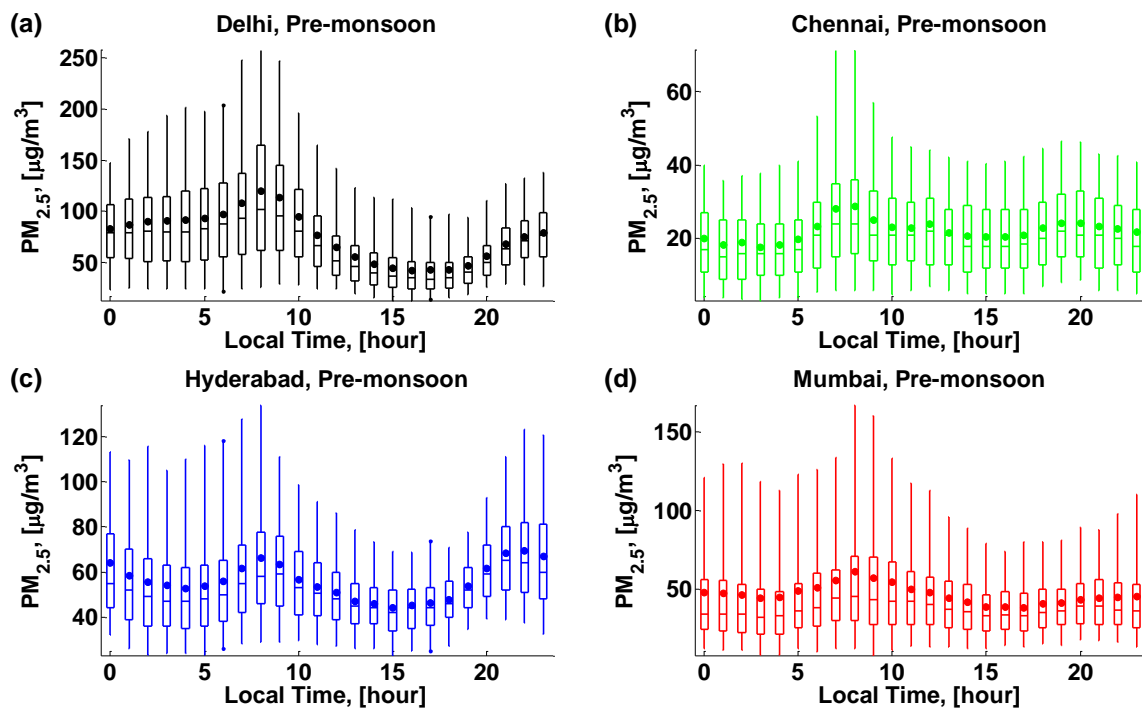


Figure S6. Same as Fig. S4, but during the pre-monsoon season.

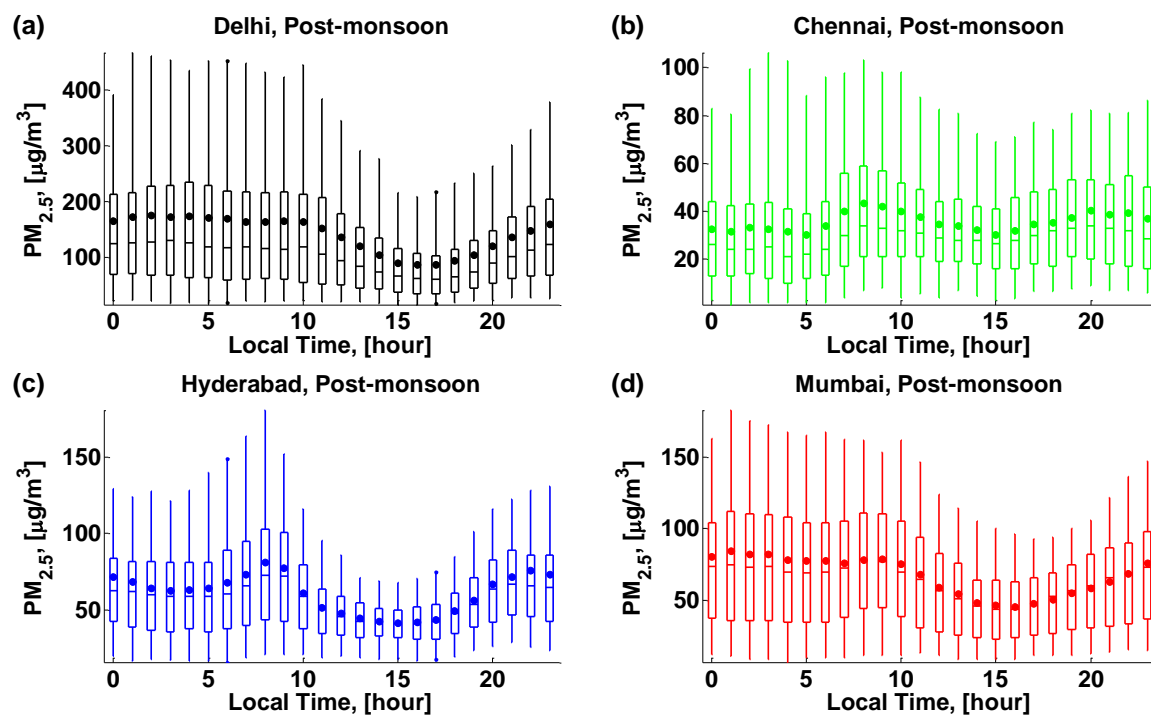


Figure S7. Same as Fig. S4, but during the post-monsoon season.

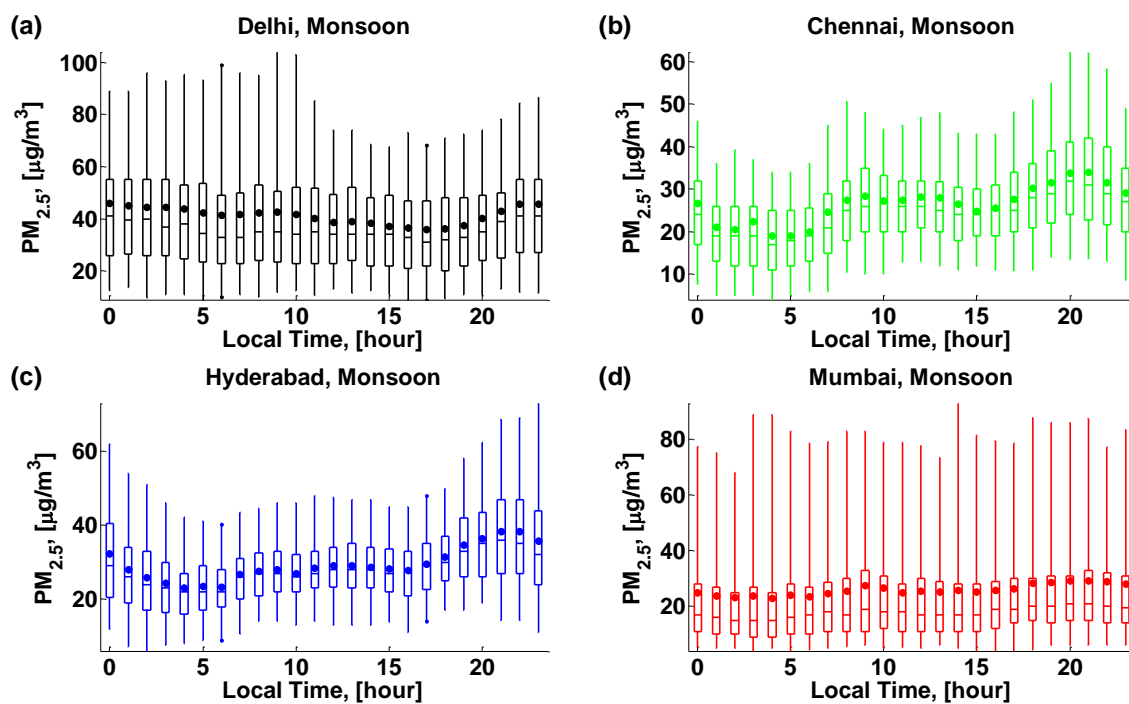


Figure S8. Same as Fig. S4, but during the monsoon season.

### Supplementary References:

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