

# Dissecting Effects of Anti-cancer Drugs and Cancer-Associated Fibroblasts by On-Chip Reconstitution of Immunocompetent Tumor Microenvironments

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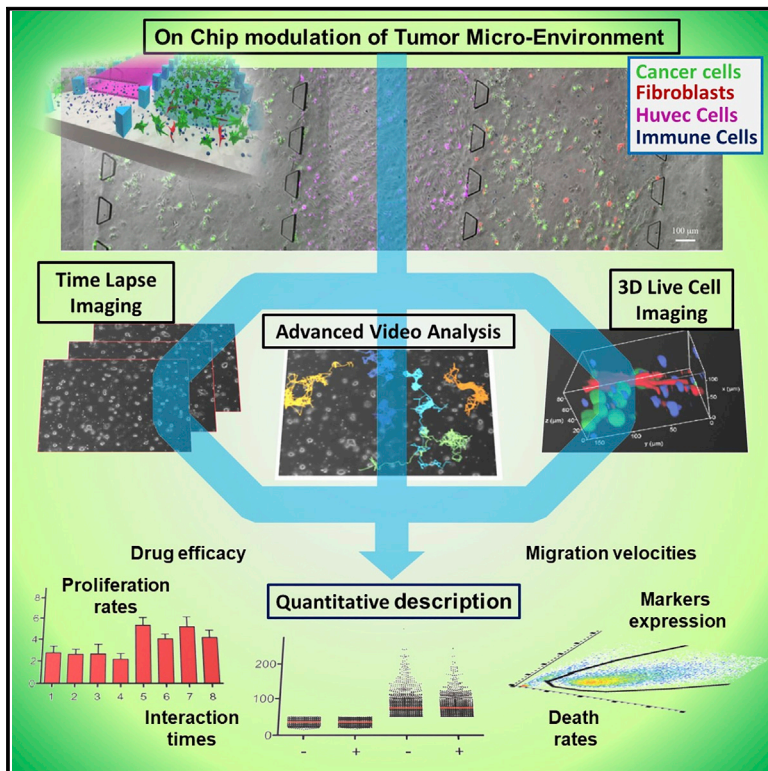
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# Cell Reports

## Dissecting Effects of Anti-cancer Drugs and Cancer-Associated Fibroblasts by On-Chip Reconstitution of Immunocompetent Tumor Microenvironments

### Graphical Abstract



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### In Brief

Inspired by the emerging technology of tumor-on-chip, Nguyen et al. reconstituted *ex vivo* a human tumor microenvironment (HER2<sup>+</sup> breast cancer), characterized the ecosystem-level responses to the drug trastuzumab (Herceptin), and dissected the roles of stromal components (immune cells and fibroblasts), demonstrating the power of immunocompetent tumors-on-chip for preclinical drug studies.

### Highlights

- A complex HER2<sup>+</sup> breast cancer ecosystem is reconstituted and quantitatively described
- The effects of the drug trastuzumab (Herceptin) are directly visualized *ex vivo*
- Trastuzumab promotes long cancer-immune interactions and an ADCC immune response
- Trastuzumab and CAFs have antagonist immunomodulation effects



# Dissecting Effects of Anti-cancer Drugs and Cancer-Associated Fibroblasts by On-Chip Reconstitution of Immunocompetent Tumor Microenvironments

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## SUMMARY

A major challenge in cancer research is the complexity of the tumor microenvironment, which includes the host immunological setting. Inspired by the emerging technology of organ-on-chip, we achieved 3D co-cultures in microfluidic devices (integrating four cell populations: cancer, immune, endothelial, and fibroblasts) to reconstitute *ex vivo* a human tumor ecosystem (HER2<sup>+</sup> breast cancer). We visualized and quantified the complex dynamics of this tumor-on-chip, in the absence or in the presence of the drug trastuzumab (Herceptin), a targeted antibody therapy directed against the HER2 receptor. We uncovered the capacity of the drug trastuzumab to specifically promote long cancer-immune interactions (>50 min), recapitulating an anti-tumoral ADCC (antibody-dependent cell-mediated cytotoxicity) immune response. Cancer-associated fibroblasts (CAFs) antagonized the effects of trastuzumab. These observations constitute a proof of concept that tumors-on-chip are powerful platforms to study *ex vivo* immunocompetent tumor microenvironments, to characterize ecosystem-level drug responses, and to dissect the roles of stromal components.

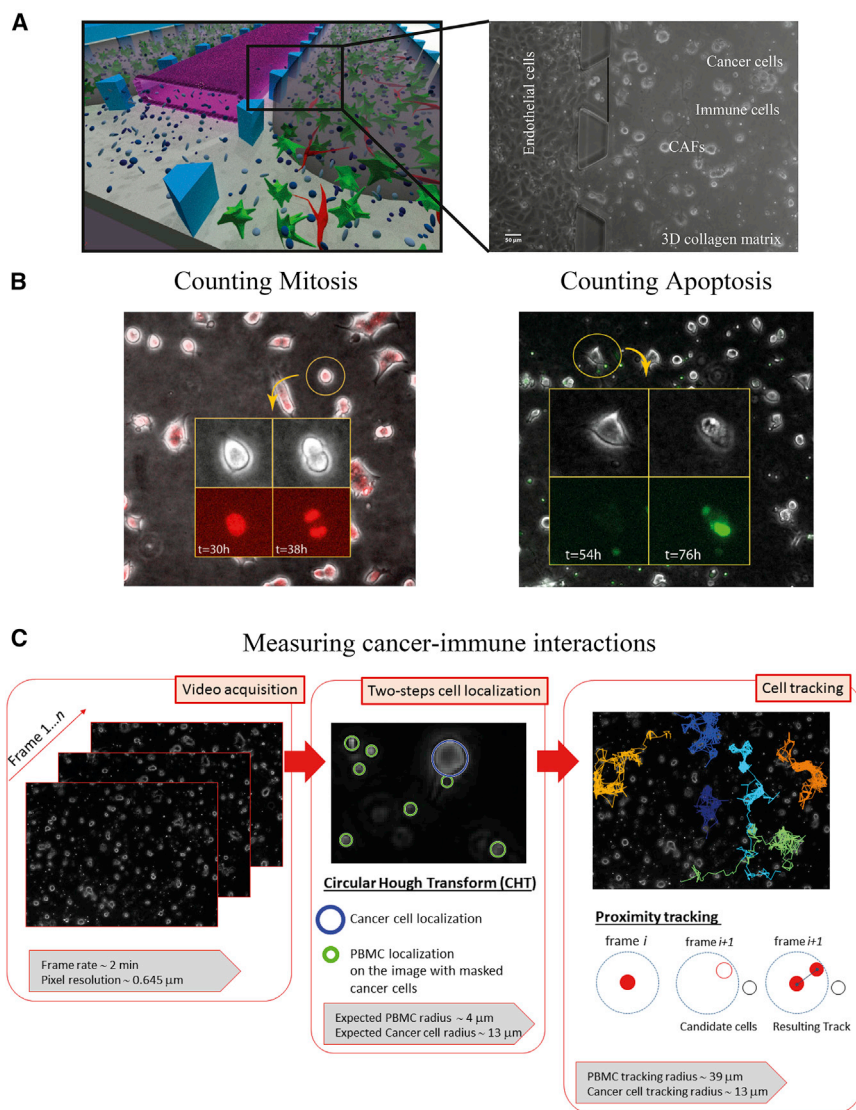
## INTRODUCTION

Tumor microenvironments are ecosystems composed of a variety of cell types (e.g., cancer cells, fibroblasts, immune cells,

endothelial cells) positioned in complicated physicochemical contexts. It is now well established that understanding the complexity of the tumor ecosystems and their organizational principles is crucial, as they play a pivotal role in cancer progression, metastasis, and response to therapies (Klemm and Joyce, 2015). This complexity is commonly studied using animal models, usually immunodeficient mice to which human tumors are xenografted, with 2 major limitations: poor control of all variable factors and the impossibility of addressing questions about the role of the immune system. In addition, animal experimentation is long, expensive, and ethically questionable, and the transposition of results to humans is still debatable.

Recent advances in microtechnology inspired new strategies to reproduce *ex vivo* the complexity of biological systems. For example, functional units of some organs (e.g., lungs) and specific biological processes (e.g., angiogenesis) were reconstituted by placing human cells in microfluidic devices, giving rise to the field of organs-on-chip (Huh et al., 2013). These approaches mimic organ topography, functionality, and complexity much better than conventional 2-dimensional (2D) or even 3D culture systems (van Duinen et al., 2015). In the cancer field, pioneering works reported 3D microarchitectures on-chip that mimic certain features of the tumor microenvironments, building the basis for the technology of tumors-on-chip (Bersini et al., 2014; Hassell et al., 2017; Sung and Beebe, 2014; Zervantonakis et al., 2012). In particular, our recent papers (Businaro et al., 2013; Parlato et al., 2017; Vacchelli et al., 2015) demonstrated how powerful these devices are in monitoring cancer-immune interactions. In these previous studies, the allogeneic nature of the immune cells did not apparently lead to major interferences, presumably because the co-culture times were too short for a mixed lymphocyte reaction





**Figure 1. Reconstitution and Quantitative Description of an HER2<sup>+</sup> Tumor Ecosystem with Four Cell Populations**

(A) The tumor-on-chip approach. At left, a scheme of the chip, with a central vascular compartment made of a monolayer of endothelial cells (pink), 2 lateral chambers with 3D collagen I hydrogels (gray) in which cancer cells (green) and CAFs (red) are embedded, together with immune cells (blue). At right, a real image from a representative video is shown. Scale bar, 50  $\mu\text{m}$ .

(B) Counting mitosis and apoptotic death of cancer cells. Representative events are shown. To monitor mitosis, nuclei were live stained using a far-red nuclear dye (SiR-DNA). To monitor death, cells were live stained using a green probe, which detects caspase 3 and 7 activity (CellEvent caspase-3/7).

(C) CellHunter method to track cancer-immune interactions. The method implies 3 steps: video acquisition, cell localization, and cell tracking.

dent cell-mediated cytotoxicity [ADCC]) (Arnould et al., 2006; Clynes et al., 2000; Kute et al., 2012; Varchetta et al., 2007).

In this work, we reconstituted the HER2<sup>+</sup> breast tumor microenvironment in 3D hydrogels on-chip containing a central endothelium compartment. We visualized and quantified the ecosystem dynamics (proliferation, apoptosis, motility, and cancer-immune cell-cell interactions) over 4 days, according to a defined stromal composition: with or without immune cells or with or without cancer-associated fibroblasts (CAFs).

We demonstrated that the presence of trastuzumab, in addition to inhibiting cancer cell proliferation and stimulating cancer cell death as expected, increased cancer-immune interactions and induced

(MLR) (Nguyen et al., 2003). Despite this huge potential, so far the use of tumors-on-chip has been restrained to a few specialized laboratories and has not reached the broad community of cancer research.

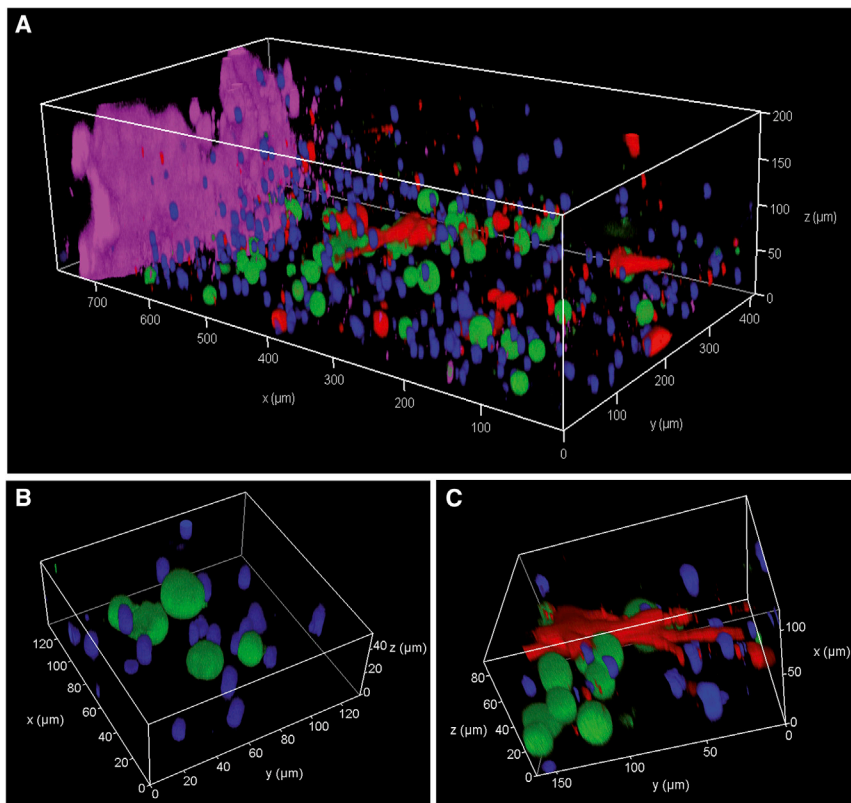
To validate the concept that tumors-on-chip could be exploited to study *ex vivo* responses to treatments that depend on the tumor ecosystem and to address oncoimmunology questions by monitoring complex multi-cell interactions involving immune cells, we chose the model of HER2<sup>+</sup> breast cancer subtype (with HER2-receptor gene amplification) because of the availability of a targeted monoclonal antibody against the HER2 receptor, trastuzumab (brand name Herceptin). Trastuzumab has been a reference drug for patients with HER2<sup>+</sup> breast cancers for more than a decade, with convincing overall survival benefits (Marty et al., 2005). It has been proposed that trastuzumab acts via not only a direct effect on cancer cells (inhibition of proliferation and induction of programmed cell death) but also an indirect effect mediated by the innate immune system (antibody-depend-

ent anti-tumoral ADCC immune response. In addition, we uncovered an inhibitory role for CAFs regarding cancer-immune interactions, supporting the emerging concept of an immune-suppressive role for CAFs in the tumor microenvironment (Costa et al., 2018; Feig et al., 2013; Givel et al., 2018; Kraman et al., 2010; Liao et al., 2009; Yang et al., 2016; Zhang and Ertl, 2016) and suggesting that CAFs may contribute to resistance to trastuzumab.

## RESULTS

### Reconstitution of an HER2<sup>+</sup> Tumor Ecosystem On-Chip, Including CAFs and Immune Cells

We designed a microfluidic device for cell co-cultures (called a chip for short), based on published works (Chen et al., 2017; Lucarini et al., 2017; Parlato et al., 2017; Zervantonakis et al., 2012) (Figure 1A). The chips were microfabricated by soft lithography using PDMS (polydimethylsiloxane), a silicone rubber that is



**Figure 2. Confocal Imaging of the Reconstituted Tumor Ecosystem**

(A) The various cell types were differentially live stained with CellTrace dyes before seeding inside the tumor-on-chip. The confocal image reconstruction shows the 3D positioning of BT474 cancer cells (green), Hs578T CAF cells (red), PBMC immune cells (blue), and HUVECs (violet).

(B) This magnified 3D view shows physical cell-cell interactions between BT474 cancer cells (green) and immune cells (blue).

(C) This magnified 3D view shows a CAF cell (red), which is contacting several cancer cells (green) and immune cells (blue).

biocompatible, gas permeable, and transparent. The chip design consisted of 5 parallel microchambers (500- to 1000- $\mu\text{m}$  wide, 150- to 200- $\mu\text{m}$  high), separated by regularly spaced micropillars that allow the confinement of hydrogels by means of a balance between surface tension and capillary forces. The various cell types were positioned inside the chips, mimicking their original *in vivo* architecture in tumors.

Cancer cells (the HER2<sup>+</sup> breast cancer BT474 cell line), cancer-associated fibroblasts (the breast CAF cell line Hs578T), and immune cells (PBMCs [peripheral blood mononuclear cells] from healthy donors) were embedded into 3D biomimetic hydrogels (made of collagen type I at 2.3 mg/mL, the major component of the extracellular matrix [ECM]), inside the 2 inner lateral chambers. To compare conditions with and without CAFs within the same chip, the left gel chamber was without CAFs, while the right gel chamber was with CAFs. The 2 outer lateral chambers were used as medium reservoirs. This work was focused on the effects of CAFs and immune cells; however, endothelial cells were always included since *in vivo* they contribute to shaping the biochemical environment by secreting a variety of cytokines and other soluble factors (Buchanan et al., 2012; Lee et al., 2015). Endothelial cells (primary human umbilical vein endothelial cells [HUVECs]) were grown as 2D monolayers in the central chamber, as previously reported (Zervantonakis et al., 2012). For simplicity, the majority of co-cultures and observations were performed without adding perfusion in this vessel compartment. Considering that 3D cell co-cultures were achieved in microfluidics devices and that the literature extensively uses

the organ-on-chip terminology even for systems without perfusion, we adopted the tumor-on-chip terminology for our approach.

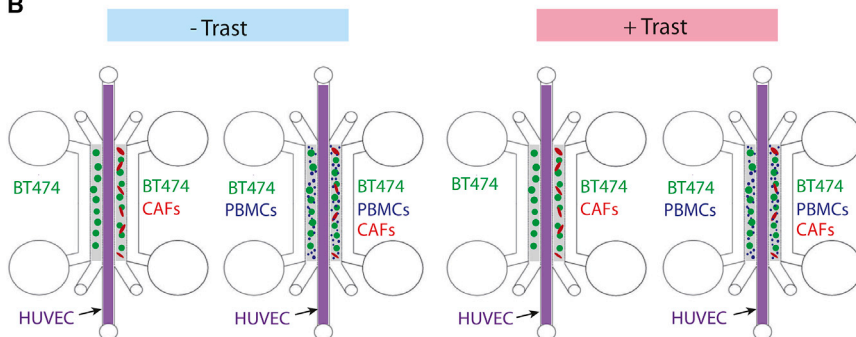
These tumors-on-chip were visualized by high-content video-microscopy (multi-positioning, multi-colors, 2- to 120-min time intervals) for 4–5 days (Video S1). To discriminate cancer cells from CAFs, the fibroblasts were pre-stained in red with a live dye (CellTrace Yellow reagent) (Video S2). In addition, to quantitatively describe the tumor ecosystem, we implemented appropriate methods to measure on-chip proliferation, apoptotic death, and cell-cell interactions (Figures 1B and 1C). To monitor proliferation, nuclei were live stained using a far-red nuclear dye (SiR-DNA), which allows for the identification and counting of mitotic events (Video S3). To monitor cell death, apoptotic cells were identified using a green probe detecting caspase 3 and 7 activity (CellEvent caspase-3/7) (Video S4). Cells undergoing apoptosis, in addition to emitting green fluorescence, also showed a change in morphology. The overall observation of hundreds of videos revealed extremely rich and complex cell-cell interactions involving immune cells (Video S5). Using the *ad hoc*-designed CellHunter automated method (Biselli et al., 2017; Parlato et al., 2017), we tracked the cell dynamics within the co-cultures and measured the interaction times with each immune cell for each cancer cell (Figure 1C), thus providing a high-content description of the cancer-immune cell interactions inside the reconstituted tumor ecosystem. The relative positioning and morphologies of the different cell populations were investigated by live snapshot confocal microscopy; cancer cells, immune cells, and fibroblasts are distributed along the z dimension of the collagen gel, and several cell-cell contacts occur in this true 3D ecosystem. The endothelial cells create vertical barriers, although not continuous ones, at the interfaces between the endothelium channel and the collagen gels (Figure 2).

We modulated this experimental setting by adding or removing specific cellular components (CAF and immune cells) and the drug trastuzumab, the invariable components being cancer and endothelial cells; in this way, we analyzed 8 different co-culture conditions, which are summarized in Figure 3.

A

	Condition 1	Condition 2	Condition 3	Condition 4	Condition 5	Condition 6	Condition 7	Condition 8
Cancer cell	+	+	+	+	+	+	+	+
CAF	-	+	-	+	-	+	-	+
PBMCs	-	-	+	+	-	-	+	+
HUVEC	+	+	+	+	+	+	+	+
Trastuzumab 10 $\mu\text{g}/\text{mL}$	-	-	-	-	+	+	+	+

B



### Pro-invasive Effects of CAFs in the HER2<sup>+</sup> Tumor Ecosystem

CAFs promote the motility and invasion of cancer cells by a multiplicity of possible mechanisms (Attieh et al., 2017; De Wever et al., 2004; Gaggioli et al., 2007; Glentis et al., 2017; Goetz et al., 2011; Labernadie et al., 2017). The heterogeneity of CAFs was very recently characterized in breast and ovarian cancers, identifying at least 4 different CAF subpopulations, referred to as CAF-S1–CAF-S4, with distinct properties and levels of activation (Costa et al., 2018; Givel et al., 2018). By using the same markers as in the aforementioned studies (epithelial cell adhesion molecule [EPCAM], fibroblast activation protein [FAP], CD29, platelet-derived growth factor subunit B [PDGFB], alpha smooth muscle actin [aSMA]), we characterized by fluorescence-activated cell sorting [FACS] the molecular identity of our CAF cell model, the Hs578T cell line, and found that it corresponds to the CAF-S1 subtype (Figure 4A). Pathway enrichment analysis had previously pointed out that genes upregulated in the CAF-S1 subset were involved in cell adhesion, ECM organization, and immune response; in particular, the CAF-S1 subset was shown to specifically promote immunosuppression through a multistep mechanism (Costa et al., 2018; Givel et al., 2018).

We questioned whether the presence of Hs578T CAF-S1 cells modulated cancer cell motility within the collagen type I matrix in the tumor-on-chip. We measured the movements of the BT474 cancer cells inside the tumors-on-chip by tracking these cells with CellHunter, in the presence or the absence of CAFs (conditions 3, 4, 7, and 8). Figure 4B shows representative results from 1 experiment (n tracks per condition >600), which were reproduced in 3 independent experiments (Figure S1). Similar results were obtained with manual tracking (n cells per condition = 45–65) (Figure S2).

The presence of CAFs stimulated the velocities of BT474 cells, in a robust and highly reproducible manner, both in the presence

### Figure 3. Experimental Design

(A) Summary of co-culture conditions.

(B) Experimental setting. The chips have a central endothelium compartment, where HUVECs are seeded (violet), and 2 gel compartments (gray), where BT474 cancer cells (green) are embedded, with or without Hs578T CAF cells (red) and PBMC immune cells (blue), depending on the conditions. To compare conditions with and without CAFs, the left gel chamber was without CAFs, and the right gel chamber was with CAFs. To compare conditions with and without PBMC immune cells, parallel chips were used in the same experiment. To compare conditions with and without trastuzumab, the drug was added at the beginning of the co-cultures in the medium of all 3 chambers without gels: the endothelium central chamber and the 2 outer lateral chambers. Video-microscope acquisitions were initiated 3–24 hr after the start of co-culture.

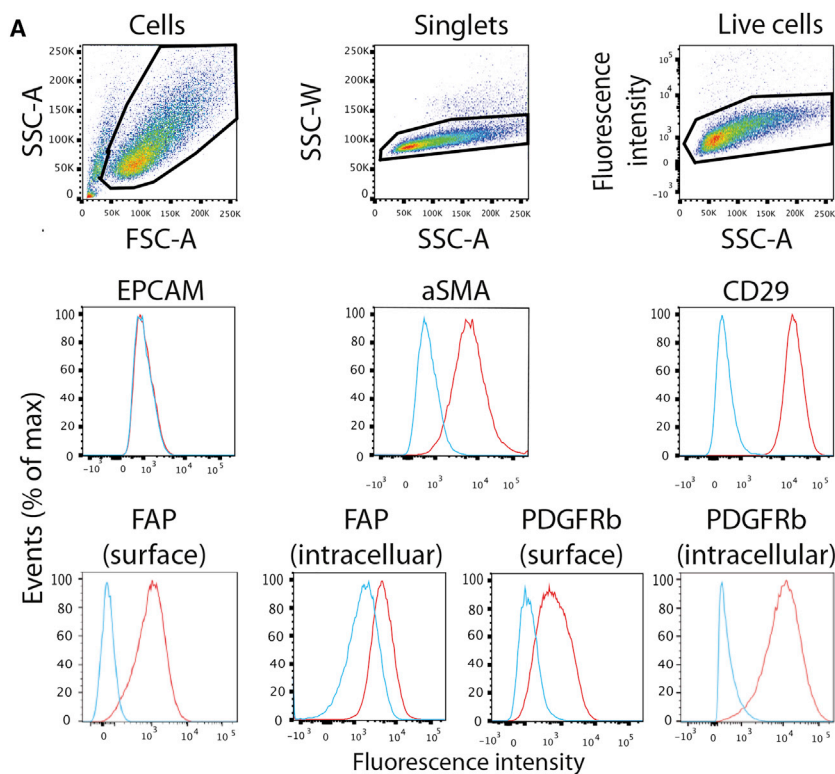
and the absence of trastuzumab (~20% increase) (Figures 4B, S1, and S2). The addition of trastuzumab led to a modest

but statistically significant increase in the velocities of BT474 cells, both with and without CAFs (Figures 4B, S1, and S2). In our ecosystem, the interactions between CAFs and BT474 cancer cells were rare and transitory (Video S2), which argues against direct pulling mechanisms by the highly motile CAFs on sluggish cancer cells and suggests the existence of long-range mechanisms of communication, such as via secreted molecules or ECM remodeling.

### Direct Visualization of Trastuzumab Effects on the HER2<sup>+</sup> Tumor Ecosystem

Trastuzumab is expected to specifically affect the growth of cells overexpressing the HER2 receptor. In standard 2D cultures, we verified that trastuzumab did inhibit the growth of HER2<sup>+</sup> BT474 cells, but not that of the luminal MCF7 breast cancer cell line (Figure S3). The drug was used at a 10- $\mu\text{g}/\text{mL}$  concentration, which likely corresponds to a clinically relevant range since it is on the same order of magnitude as the median concentration measured in the plasma of trastuzumab-treated patients (60  $\mu\text{g}/\text{mL}$ ) (Jamieson et al., 2009). This concentration was saturating since the half-maximal inhibitory concentration ( $\text{IC}_{50}$ ) for the antiproliferative effects of trastuzumab on BT474 cells is 1.18  $\mu\text{g}/\text{mL}$  (Shim et al., 2012). We showed that as a negative control, as expected, 10  $\mu\text{g}/\text{mL}$  trastuzumab did not have any impact on the 2D growth of CAFs or HUVECs (Figure S3).

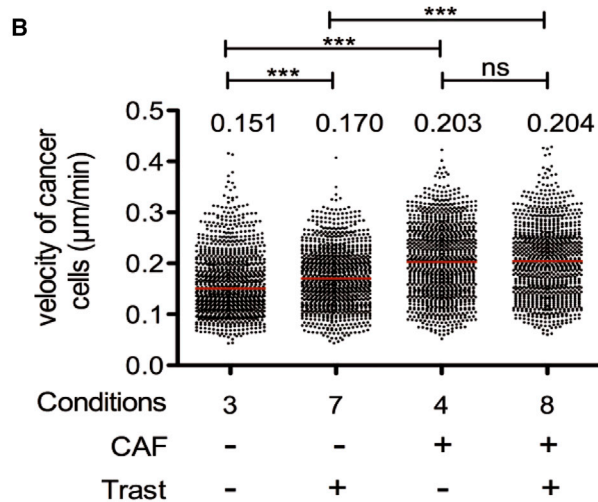
When 10  $\mu\text{g}/\text{mL}$  trastuzumab was added to the HER2<sup>+</sup> tumor-on-chip medium (in central and 2 outer chambers), we observed a cytostatic effect, evaluated as a reduction (~0.4-fold) in the percentage of BT474 mitoses per day (Figure 5A). Without the drug, the BT474 cancer cell population doubling time on-chip was approximately 10-fold slower than in 2D (10–20 days versus 1–2 days). We speculate that the reduced rate may be due to the fact that the cells are embedded in the gel and that they must divide in a “real 3D” context. Of note, while very



**Figure 4. Characterization of the Hs578T CAF Cell Line**

(A) CAF-S1 identity of the Hs578T cell line. Using flow cytometry analysis, Hs578T cells were first gated based on forward (FSC-A) and side (SSC-A) scatters (measuring cell size and granularity, respectively) to exclude debris. Single cells were next selected based on SSC-A versus SSC-W parameters. Dead cells were excluded based on their positive staining for live/dead (for intracellular staining) or DAPI (for surface staining). Cells were next examined using 1 epithelial marker (EPCAM) and 4 CAF markers (aSMA, CD29, FAP, and PDGFB, the last 2 both intracellular and at surface, as indicated). Blue lines are isotype controls, while red lines are staining with specific antibodies. Hs578T cells are clearly EPCAM<sup>-</sup>, aSMA<sup>+</sup>, CD29<sup>+</sup>, FAP<sup>+</sup>, and PDGFB<sup>+</sup>.

(B) Pro-invasive effects of CAFs in the HER2<sup>+</sup> tumor ecosystem. Velocity of BT474 cancer cells was measured for the indicated conditions. Each point represents the track of a cancer cell. The median values are shown by red bars and explicitly written above the points. Results from 1 representative experiment. Number of tracks  $n > 600$  per condition. \* $p < 0.05$ , \*\* $p < 0.001$ , \*\*\* $p < 0.0001$ ; ns, not significant, using Kolmogorov-Smirnov tests.



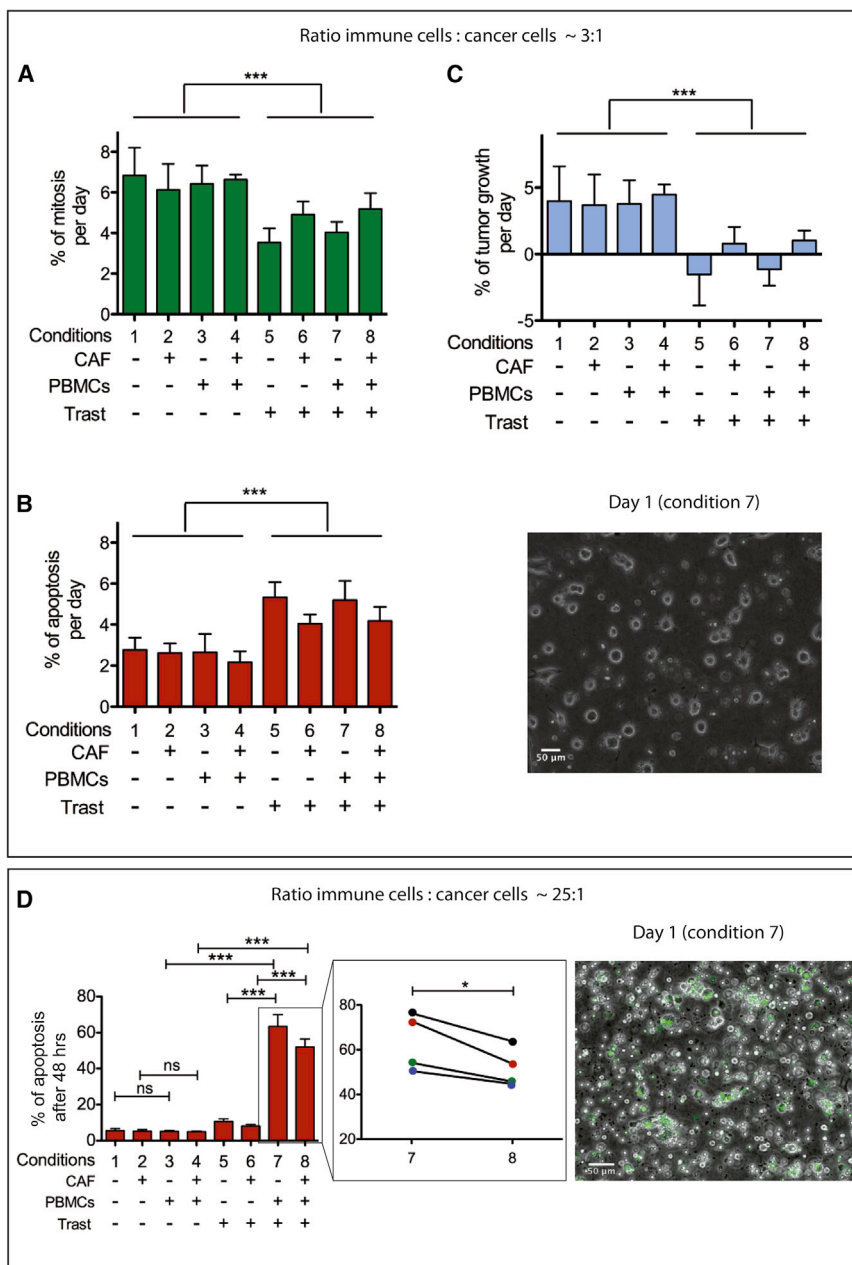
heterogeneous, the estimated breast tumor doubling times *in vivo* in patients are on the order of tens or hundreds of days (Heuser et al., 1979; Yoo et al., 2015).

In addition, trastuzumab had a cytotoxic effect, evaluated as an increase (~2.5-fold) in the percentage of apoptosis of BT474 cells per day (Figure 5B). This mild cytotoxic effect of trastuzumab (up to 5% apoptosis per day) is in agreement with previous data obtained by FACS on 2D BT474 cultures (Diemeier-Daucher et al., 2011; Nahta et al., 2004). As a consequence, the tumor growth, calculated by subtracting the apoptosis rate from the mitosis rate, was impaired by the presence of trastuzumab (Figure 5C).

In the majority of the experiments presented in this work, the overall cell density was kept moderate ( $1 \times 10^6$  cells/mL for cancer cells,  $0.25 \times 10^6$  cells/mL for CAFs,  $5 \times 10^6$  cells/mL for PBMCs) to maintain compatibility with cell dynamics quantifications. Moreover, the proportion of the various cell types (roughly 25 CAFs and 500 immune cells for 100 cancer cells) reflected the *in vivo* situation, as assessed by FACS analysis on dissociated breast tumor samples. Our FACS measurements indicated a median 2.3:1 ratio for immune cells versus cancer cells and a median 1:5.8 ratio for CAFs versus cancer cells (Table S1).

In these conditions, with a local immune cell-to-cancer cell ratio in the range of 2:1–5:1, the presence or absence of immune cells or of CAFs did not have a noticeable impact on mitosis, apoptosis, and tumor growth rates (Figures 5A–5C). This was

somewhat surprising since, in the presence of trastuzumab, the addition of immune cells (PBMCs) was expected to increase the apoptotic death of BT474 cancer cells, which is consistent with ADCC. We reasoned that ADCC efficacy may require high immune cell density. When we increased the immune cell-to-cancer cell ratio to 25:1, we did not observe any effect on the apoptosis rate of cancer cells as compared to the conditions without or with low PBMC density in the absence of trastuzumab, but we did observe a massive apoptotic death of BT474 cancer cells in the presence of trastuzumab (Figure 5D; Video S6). This demonstrates that we could recapitulate and directly



**Figure 5. Trastuzumab Effects on HER2<sup>+</sup> Tumor Ecosystem, Depending on Stromal Composition (Immune Cells and CAFs)**

(A) Percentage of BT474 cells undergoing mitosis per day of observation.

(B) Percentage of BT474 cells undergoing apoptotic death per day of observation. Right: a representative image at day 1 of co-culture shows very few dying cells (green) for condition 7.

(C) Calculated percentage of tumor growth per day of observation.

(D) Percentage of BT474 cells that died for apoptosis after 48 hr of co-culture when the immune cell-to-cancer cell ratio was increased to ~25:1. The magnified image shows the paired quantifications for each experiment (same PBMC donor), without and with CAFs. Right: a representative image at day 1 of co-culture shows many dying cells (green) for condition 7.

Mitotic and apoptotic events were counted on the video images from 4 to 5 independent experiments. For clarity, the same apoptosis results for conditions 1, 2, 5, and 6 were reported twice in (B) and (D). Number of cells (n) = 200–500 per condition per experiment. The bars show means ± SEMs. \*p < 0.05, \*\*p < 0.001, \*\*\*p < 0.0001; ns, not significant, using unpaired Student t test, except for the magnification in (D), for which a paired t test was used. Scale bars, 50 μm.

of the interaction times with the surrounding immune cells during the video observations (typically 3 days), using our Cell-Hunter method (Figure 1C). Interaction is defined as a situation in which the distance between the center of a cancer cell and the center of an immune cell is less than twice the sum of the radii of the 2 cells.

The overall analysis of interactions, in 3 independent experiments, with 3 different PBMC donors, showed clear tendencies; the presence of trastuzumab appears to increase mean and maximum interaction times, while CAFs appear to decrease mean and maximum interaction times (Figure S4).

To better untangle these antagonist effects, we studied the time course impact

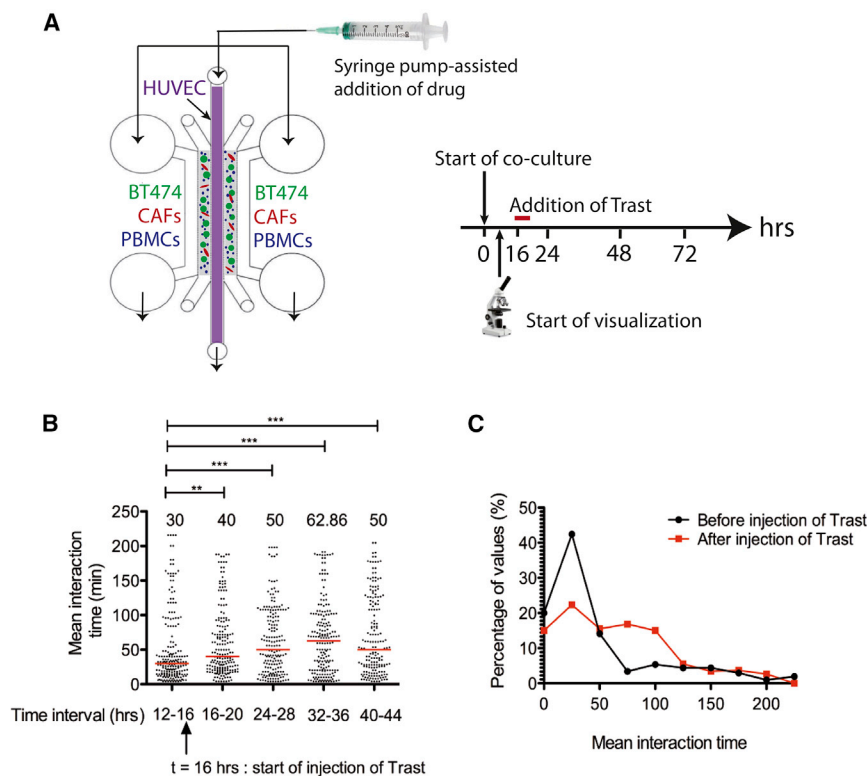
visualize on-chip the trastuzumab-induced ADCC (i.e., a drug response that depends on the immune system). Moreover, these results suggest that *in vivo*, the local density of infiltrating immune cells may modulate the ADCC response to trastuzumab. The presence of CAFs slightly inhibited the trastuzumab-induced ADCC, suggesting a potential immunomodulation effect mediated by CAFs (Figure 5D, compare conditions 7 and 8).

#### Antagonist Immunomodulation Effects of Trastuzumab and CAFs in the HER2<sup>+</sup> Tumor Ecosystem

Next, we analyzed the effects of trastuzumab on cancer-immune cell interactions. To do so, for each cancer cell, we measured all

of adding trastuzumab on an established tumor ecosystem (with CAFs). After 16 hr of co-cultures, moderate flow (1 μL/min) was applied for 1.5 hr with a syringe pump system to replace the original medium (without the drug) with medium containing trastuzumab (Figure 6A). The cancer-immune interaction times (basal median value = 30 min) slowly increased upon the addition of the drug, up to a 2.1-fold increase at 16–20 hr after drug injection (Figure 6B). The analysis of the distributions of cancer-immune interaction times before and after injection of the drug showed that trastuzumab increases the frequency of interactions in the range of 50–125 min (Figure 6C). Shorter interactions may include random collisions and HER2-independent cancer-immune contacts.





**Figure 6. Trastuzumab Promotes Long Cancer-Immune Interactions**

(A) Experimental setting. Tumors-on-chip with all 4 cell populations were put under perfusion using a syringe pump. Visualization was started after 12 hr of co-cultures without flow. At 16 hr,  $1 \mu\text{l}/\text{min}$  flow (corresponding to a very moderate  $0.03 \text{ dyn}/\text{cm}^2$  shear stress) was applied for 1.5 hr in the 3 chambers without gels to replace  $90 \mu\text{L}$  of the original medium (without drug) with medium containing trastuzumab ( $10 \mu\text{g}/\text{mL}$ ). Flow was then stopped, and visualization was continued up to 3 days.

(B) Kinetics of cancer-immune interactions before and after trastuzumab injection. For each BT474 cancer cell, the mean interaction time (defined as the average of the 100 longest interaction times of the cancer cell with several immune cells) was measured and plotted as 1 point in the graph, using time windows of 4 hr. The median values are shown by red bars and explicitly written above the points. Number of cancer cells  $n > 180$  per time windows. \* $p < 0.05$ , \*\* $p < 0.001$ , \*\*\* $p < 0.0001$ ; ns, not significant, using Kolmogorov-Smirnov tests. (C) Distribution of cancer-immune interactions before (12–16 hr) and after (24–36 hr) trastuzumab injection.

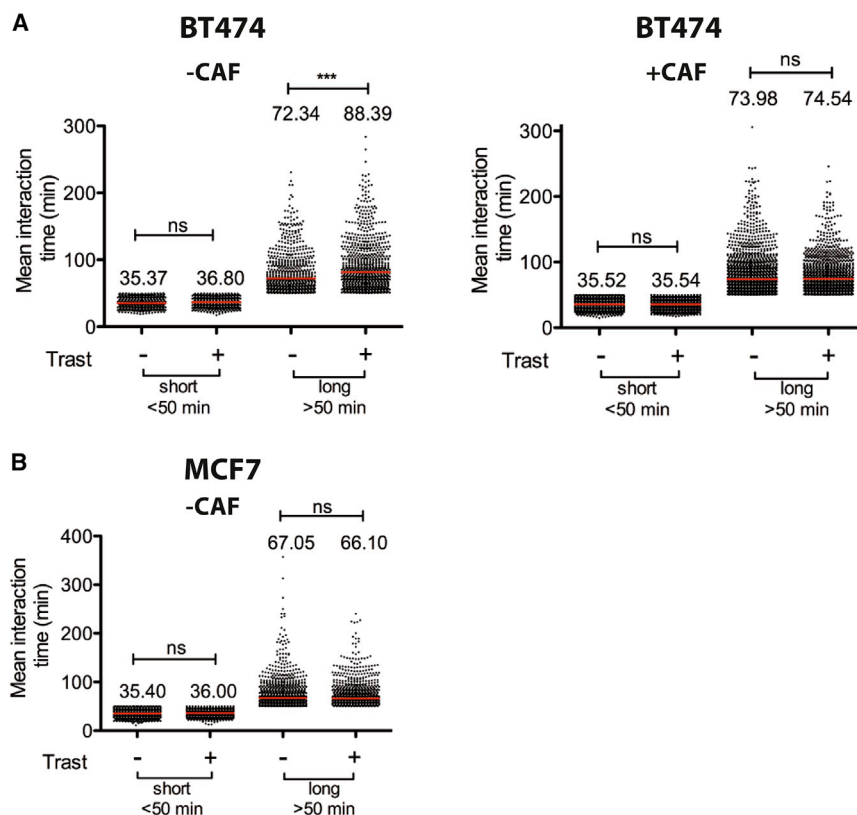
We re-analyzed the parallelized tumor-on-chip experiments (without flow) with and without drug and with and without CAFs (experimental setting described in Figure 3B), separately considering “short” interactions (<50 min) and “long” interactions (>50 min). Figure 7A shows representative results from 1 experiment, which were reproduced in 3 independent experiments, using immune cells from different donors (Figure S5). In the absence of CAFs, the treatment with trastuzumab stimulated the long cancer-immune interactions, but it did not significantly affect the short cancer-immune interactions (Figure 7A, left). Trastuzumab did not stimulate cancer-immune interactions when MCF7 breast cancer cells (not HER2<sup>+</sup>) were used (Figure 7B), supporting the notion that the observed effects are indeed a consequence of trastuzumab binding the HER2 receptor. The presence of Hs578T CAFs, which we showed as representing the CAF-S1 subtype, inhibited the capacity of trastuzumab to stimulate long cancer-immune cell interactions (Figures 7A and S5, right), arguing that CAF-S1 cells, by participating in immunomodulation, may contribute to trastuzumab resistance.

## DISCUSSION

Models have always been of central importance in science since they make a particular part or feature of a complex system easier to understand, define, quantify, visualize, or simulate, by referencing it to existing and commonly accepted knowledge. In this work, we report how to build an experimental model of the tumor ecosystem by using its different “bricks.” While the chip platform design is based on previous work (Chen et al., 2017; Lucarini et al.,

2017; Parlato et al., 2017; Zervantonakis et al., 2012), we provide here a significant step forward by simultaneously introducing multiple types of cells (cancer, immune, endothelial, and fibroblasts) and combining the microengineered cancer model with advanced live imaging and automated image analysis, allowing high-throughput tracking at the single-cell level and massive quantifications of cell-cell contacts on-chip. The biological relevance of our tumor microenvironment model is proved by the fact that we did recapitulate *ex vivo* complex system-level behaviors previously described in *in vivo* studies, such as the pro-invasive and immunomodulation roles of CAFs, the anti-tumoral ADCC immune response, and the 2 modes of action of trastuzumab (direct action on cancer cells and indirect action via the immune system).

This tumor-on-chip model could be used for basic studies focusing on the tumor microenvironment and for drug preclinical testing within immunocompetent settings. In the era of emerging immunotherapies for cancer (Chen and Mellman, 2017), the technological development of standardized tumors-on-chip could be very valuable to study new oncoimmunotherapies, to increase their efficacy, and to understand the mechanisms of resistance. These tumor-on-chip platforms will be complementary to organotypic tumor spheroids (Jenkins et al., 2018) in that they will provide 2 specific advantages: (1) controllability of each ecosystem parameter (e.g., cell density, ratio between cell types and relative positions, specific cell subsets, local oxygen content, ECM features, vascular perfusion) and (2) direct visualization of cellular dynamics (e.g., proliferation, death, cell-cell interactions, motility) and of their modulations by drugs and ecosystem parameters.



**Figure 7. Antagonist Immunomodulation Effects of Trastuzumab and CAFs in the HER2<sup>+</sup> Tumor Ecosystem**

(A) Impact of trastuzumab and CAFs on cancer-immune interactions. The cancer-immune interactions were classified in 2 categories: short (<50 min) and long (>50 min). For each BT474 cancer cell, the mean interaction time was measured and plotted as 1 point in the graph. The median values are shown by red bars and explicitly written above the points. Number of cancer cells  $n > 460$  per conditions. \* $p < 0.05$ , \*\* $p < 0.001$ , \*\*\* $p < 0.0001$ ; ns, not significant, using Kolmogorov-Smirnov tests.

(B) Trastuzumab does not stimulate the physical interactions between MCF7 cells and immune cells. The tumors-on-chip were generated with MCF7 cells (not HER2<sup>+</sup>) instead of BT474 cells (HER2<sup>+</sup>).

Here, we show that the tumor-on-chip approach turned out to be extremely informative to recapitulate complex features of CAF biology, providing direct visual hints to better understand the crucial interplay of CAFs with both cancer cells (for motility within a matrix) and immune cells (for immunomodulation). The CAF model (Hs578T) used in this work corresponds to the CAF-S1 subtype. In patient samples, CAF-S1 cells were shown to promote an immunosuppressive environment, with accumulation of FOXP3<sup>+</sup> T lymphocytes (Treg) and a concomitant reduction in cytotoxic CD8<sup>+</sup> T lymphocytes in breast and ovarian cancers (Costa et al., 2018; Givel et al., 2018). We provide a direct *ex vivo* visualization of the capacity of immunosuppressive CAF-S1 cells to downmodulate the interactions of cancer cells with PBMCs, which are mainly composed of lymphocytes (T cells and B cells), natural killer (NK) cells, and monocytes. Even though in this study we could not define the exact identity of the immune cells contacting the cancer cells, since the morphology features are not sufficient to discriminate among the immune cell types, we anticipate that immunocompetent tumors-on-chip will be valuable tools to address questions about the immunosuppressive mechanisms of CAFs.

In addition, our findings provide direct visual evidence for the immune-dependent mechanism of action of trastuzumab: by binding to the overexpressed HER2 receptors on the cancer cell surface, the antibody creates multiple binding sites for Fc receptors that are present on the surface of several immune cell subtypes, including NK cells, B lymphocytes, dendritic cells, and macrophages (Hudis, 2007). This trastuzumab-dependent

stimulation in cancer-immune interactions correlated with a stimulation of cancer apoptosis, via ADCC, provided that immune cells were at high density.

A limitation of this HER2<sup>+</sup> tumor-on-chip is the short-term viability of PBMC immune cells. By counting the immune cells that underwent apoptosis during the time course of experiments, we estimated that roughly half of the PBMCs

were dead after the 4-day co-cultures. Nevertheless, the observation time window of a few days was sufficient to visualize and discover some specific features associated with the presence of trastuzumab, immune cells, and CAFs.

Another limitation is the use of allogeneic immune cells that can in principle trigger a major histocompatibility complex (MHC) class I-dependent immune response. However, the incubation time of our co-cultures with immune cells was presumably too short to allow allogeneic reactions to take place; for example, a MLR typically starts after 3 days and is usually measured at 5 days of co-cultures (Nguyen et al., 2003). We consider that the reproducibility of the results with immune cells originating from different donors is a good control. Exploiting the tools and methods developed for this work, it will be possible in the near future to move toward autologous cell models, including primary cells from patients. Since the volume of the microchambers is just a few microliters, tumors-on-chips require an extraordinarily low amount of live cells (in the range of a few thousand), which makes the use of fresh patient-derived samples feasible.

#### STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

- [KEY RESOURCES TABLE](#)
- [CONTACT FOR REAGENT AND RESOURCE SHARING](#)

- **EXPERIMENTAL MODEL AND SUBJECT DETAILS**
  - Cell culture
- **METHOD DETAILS**
  - Microfluidic devices
  - Preparation of tumors-on-chip
  - Live cell staining
  - Live microscopy
  - Image analysis
  - Flow Cytometry
- **QUANTIFICATION AND STATISTICAL ANALYSIS**

## SUPPLEMENTAL INFORMATION

Supplemental Information includes one table, five figures, and six videos and can be found with this article online at <https://doi.org/10.1016/j.celrep.2018.12.015>.

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## AUTHOR CONTRIBUTIONS

Conceptualization, A.G., V.S., F.M.-G., G.Z., J.C., E.M., L.B., and M.C.P.; Formal Analysis, A.M., F.M.-M., and D.D.G.; Funding Acquisition, A.G., V.S., G.Z., J.C., L.B., and M.C.P.; Investigation, M.N., A.D.N., F.M.-M., G.F., S.S.E., M.C., Y.K., W.H., F.P., and F.R.B.; Methodology, A.D.N., A.M., A.Y., S.D., E.M., and L.B.; Supervision, S.D., V.S., F.M.-G., G.Z., J.C., E.M., L.B., and M.C.P.; Project Administration, M.C.P.; Resources, P.S.; Visualization, M.N., F.M.-M., W.H., and L.B.; Writing – Original Draft, M.C.P.; Writing – Review & Editing, M.N., A.D.N., A.M., F.M.-M., G.F., S.S.E., M.C., Y.K., W.H., P.S., F.P., D.D.G., F.R.B., A.G., A.Y., S.D., V.S., F.M.-G., G.Z., J.C., E.M., L.B., and M.C.P.

## DECLARATION OF INTERESTS

The authors declare no competing interests.

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## STAR★METHODS

### KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
<b>Antibodies</b>		
anti-CD29-Alexa Fluor 700	BioLegend	Cat# 303020; RRID:AB_2130078
anti-CD31-PECy7	BioLegend	Cat# 303118; RRID:AB_2247932
anti-CD45-APC-Cy7	BD Biosciences	Cat# BD-557833; RRID:AB_396891
anti-CD235a-PerCP/Cy5.5	BioLegend	Cat# 349109; RRID:AB_2562705
anti-EpCAM-BV605	BioLegend	Cat# 324224; RRID:AB_2562518
anti-FAP	R&D Systems	Cat# MAB3715; RRID:AB_2102368
anti-PDGFRb-PE	BioLegend	Cat# 323606; RRID:AB_2268134
anti-SMA-Alexa Fluor 594	R&D Systems	Cat# IC1420T-025
<b>Biological Samples</b>		
PBMC from healthy donors	“Etablissement Français du Sang”, Paris, Saint-Antoine Crozatier blood bank	N/A
Breast tumor samples	Institut Curie Hospital	N/A
<b>Chemicals, Peptides, and Recombinant Proteins</b>		
Trastuzumab (Herceptin)	Roche	CAS number: 180288-69-1
Fibronectin human	Sigma	Cat# F0895
Collagen bovine	Advanced Matrix	Cat# 5005
CellTraceTMYellow	ThermoFisher	Cat# C34567
CellTraceTMViolet	ThermoFisher	Cat# C34557
CellTraceTMCfse	ThermoFisher	Cat# C34554
CellTraceTMFar Red	ThermoFisher	Cat# C34564
CellEvent Caspase-3/7 Green Detection Reagent	ThermoFisher	Cat# C10423
siR-DNA, Spirochrome	Tebu Bio	Cat# SC007
<b>Critical Commercial Assays</b>		
Mycoplasma detection kit, VenorGem Classic	BioValley	Cat# 11-1250
Cell authentication kit by SRT profiling, GenePrint 10 system	Promega	Cat# B9510
<b>Experimental Models: Cell Lines</b>		
BT474	ATCC	Cat# HTB-20
MCF7	ATCC	Cat# HTB-22
Hs578T	ATCC	Cat# HTB-126
HUVEC	Lonza	Cat# C2517A
<b>Software and Algorithms</b>		
MATLAB	Mathworks Inc., USA	<a href="http://www.mathworks.com">http://www.mathworks.com</a>
Cell Hunter (MATLAB Environment)	Biselli et al., 2017 Parlato et al., 2017	Provided by <a href="mailto:martinelli@ing.uniroma2.it">martinelli@ing.uniroma2.it</a>
Graphpad Prism	GraphPad Software, La Jolla California USA	<a href="http://www.graphpad.com">www.graphpad.com</a>
FlowJo	FlowJo, LCC	<a href="https://www.flowjo.com">https://www.flowjo.com</a>
<b>Other</b>		
Hyclone DMEM medium	GE Healthcare	Cat# SH30081.01
EBM-2 medium	Lonza	Cat# CC-3156
MEM medium	Sigma Aldrich	Cat# M0275
Supplements for growth of Endothelial Cells.	Lonza	Cat# CC-4176

## CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, M.C. Parrini ([maria-carla.parrini@curie.fr](mailto:maria-carla.parrini@curie.fr)).

## EXPERIMENTAL MODEL AND SUBJECT DETAILS

### Cell culture

The HER2+ cell lines from ductal carcinoma (BT474, ATCC, #HTB-20), Luminal A adenocarcinoma (MCF7, ATCC, #HTB-22) and the cancer associated fibroblasts (Hs578T, ATCC, #HTB-126) were cultured in high-glucose DMEM (GE Healthcare, #SH30081.01) supplemented with 10% fetal bovine serum (FBS, Biosera), 1% Penicillin/Streptomycin (GIBCO), 1% glutamine (GIBCO). The human umbilical vein endothelial cells (HUVEC, Lonza, #C2517A) were cultured in EBM-2 medium (Lonza, #CC-3156) supplemented with a cocktail of growth factors (Lonza, #CC-4176): hEGF, hydrocortisone, GA-1000 (Gentamicin, Amphotericin-B), FBS 2%, VEGF, hFGF-B, R3-IGF-1, Ascorbic acid, Heparin. All cell lines were systematically tested to exclude mycoplasma contamination using a qPCR-based method (VenorGem Classic, BioValley, #11-1250) and authenticated by SRT profiling (GenePrint 10 system, Promega, #B9510). PBMCs were isolated from fresh blood in heparinized tubes from healthy donors by density gradient centrifugation using Lymphoprep (Axis-Shield), according to the local ethical guidelines on experimentation with human samples. Trastuzumab (Herceptin® 150 mg) was purchased from Roche. Analysis of tumor samples was performed according to the relevant national law on the protection of people taking part in biomedical research. Human experimental procedures were approved by the Institutional Review Board and Ethics committee of the Institut Curie Hospital group (approval February 12th, 2014) and CNIL (Commission Nationale de l'informatique et des Libertés) (N° approval: 1674356 delivered March 30th, 2013).

## METHOD DETAILS

### Microfluidic devices

The PDMS microfluidic devices were fabricated at the CNR-IFN facility using standard soft-lithography methods. Details of design and microfabrication procedures were reported elsewhere ([Chen et al., 2017](#); [Lucarini et al., 2017](#); [Parlato et al., 2017](#); [Zervantonakis et al., 2012](#)).

### Preparation of tumors-on-chip

The microfluidic device was first sterilized in UV-oven (365 nm wavelength) for 30 min and was coated with 10  $\mu\text{g}/\text{mL}$  human fibronectin (Sigma, # F0895) overnight at 37°C, 5% CO<sub>2</sub>. The microfluidic device was rinsed with PBS and then dried for at least 5 hr at room temperature in a sterile room. Cells were included in hydrogels of bovine collagen I (PureCol, Advanced Matrix, #5005) at a final concentration of 2.3 mg/mL, in MEM medium (Sigma Aldrich, #M0275), containing 0.28% NaHCO<sub>3</sub> (pH = 8) to adjust the final pH to 7. The targeted final density of BT474, MCF7, Hs578T, PBMCs in gels were respectively 1x10<sup>6</sup> cells/mL, 1x10<sup>6</sup> cells/mL, 0.25x10<sup>6</sup> cells/mL, and 5x10<sup>6</sup> cells/mL. HUVECs were loaded in the central chamber at a density of 5x10<sup>6</sup> cells/mL and reached confluence to form a monolayer approximately 24 hr after seeding. Common medium (EBM-2 with supplements) was loaded in the 2 most external chambers and never changed to avoid dilution effects.

### Live cell staining

When indicated, fibroblasts were stained with CellTraceTMYellow (Thermofisher #C34567), endothelial cells with CellTraceTMViolet (Thermofisher #C34557), cancer cells with CellTraceTMCFSF (Thermofisher #C34554), immune cells with CellTraceTMFar Red (Thermofisher #C34564). Cells were trypsinized and re-suspended in solution containing 10  $\mu\text{M}$  dye in PBS. Cells were then incubated 30 min at 37°C, 5% CO<sub>2</sub>, washed in PBS and loaded in the tumors-on-chip. Cell-event (CellEvent Caspase-3/7 Green Detection Reagent Thermofisher, #C10423) and siR-DNA (Spirochrome) were added to the chip medium at working concentrations of 6  $\mu\text{M}$  and 1  $\mu\text{M}$ , respectively, to follow live apoptosis and to stain nuclei.

### Live microscopy

Time-lapse videos were acquired interchangeably with two inverted motorized Leica video-microscopes, both equipped with motorized stage, 37°C incubator and CO<sub>2</sub> controller, using 10x objectives. The first microscope was a Leica DMIRE2 with a Coolsnap HQ2 camera and illumination by mercury Osram lamp at 103 W. The second microscope was a Leica DMi8 with a Retiga R6 camera and illumination by Lumencor SOLA SE 365. The H<sub>2</sub>O saturated atmosphere was obtained by adding wet (sterilized) sponges in close contact with the chips in the incubator. We also added PDMS covers on top of the chips in order to avoid evaporation. The automated imaging system was controlled by the software Metamorph (Universal Imaging). For fluorescence acquisitions, images were taken every 2 hr. Transmission images were taken every 2 hr, 5 min or 2 min. The number of positions taken per chip varied from 4 to 12. Day 0 corresponds to the day of the beginning of the co-culture. We started observation under the microscope after 3-24 hr, for 3 days. For confocal imaging we used a Leica SP8 confocal microscope with a 25X water immersion lens (NA: 0.95, WD: 2.4mm); the total scanning depth was 200  $\mu\text{m}$  with 3  $\mu\text{m}$  in z-step. The captured images were reconstructed in 3-D using software LAS AF.

### Image analysis

The mitotic and apoptotic events were counted by eye on the computer screen, identifying the division of cells in the red channel and the appearance of newly apoptotic cells in the green channel. These events have been normalized by the initial number of cells at day 1. For each experiment and each condition, 3 to 8 positions were counted.

The CellHunter tracking method (Biselli et al., 2017; Parlato et al., 2017) can be divided into two sections: a first block devoted to the differential localization of cells in the image and a second block committed to cell tracking. As pictured in Figure 1C, cell localization is performed by implementing a Circular Hough Transform (CHT) (Davies, 2004) for each frame image in the video. Differential localization is obtained by using different target object radii (4  $\mu\text{m}$  for PBMCs and 13  $\mu\text{m}$  for cancer cells). The second block implements cell tracking. In our application, the clear difference in dimension between immune and cancer cells as well as a not so high cell density in the image allowed for tracking using a Constrained Proximity Tracking (CPT) algorithm. Proximity tracking constructs a track by linking a cell in a frame  $i$  with the closest cell in the frame  $i+1$ , within a maximum distance (radius of the dashed circle pictured in Figure 1C). However, due to the diversified motility characteristics of the two tracked populations of cells, different distances have been considered: 39  $\mu\text{m}$  for PBMCs (with high expected motility, this value is about 10 times the radius of the PBMC cell) and 13  $\mu\text{m}$  for cancer cells (with moderately lower motility, the value is equal to the tumor cell radius). Of course, when no cells fall within the maximum distance from the given cell (missing tracking) or two different cells are going to be linked to the same cell (conflictual tracking), then the track stops. To solve for unavoidable track splitting phenomena, track gaps procedure has been also considered by allowing missing tracking for at most 10 min. Cell trajectories are then used to conduct a statistical analysis of the physical interactions between PBMCs and cancer cells. We considered two different temporal descriptors, the mean ( $T_{\text{avg}}$ ) and the maximum time of interactions ( $T_{\text{max}}$ ). These descriptors are estimated from the 'time of interaction' parameter that records the list of all the interactions of a single cancer cell with all the PBMCs using the following procedure: i) For each cancer cell, we identify the starting and the ending frames within which the center of a given PBMC is close to the center of the cancer cell for less than twice the sum of radii of the two cells. ii) The difference between the ending and the starting frames denotes an 'interval of interaction'. By considering all the PBMCs, we collect a list of intervals of interactions for each cancer cell and estimate the so called time of interaction. iii) The maximum interval of interaction,  $T_{\text{max}}$ , for each cancer cell, represents one of the two statistical descriptors used for the analysis of interaction. iv) To compute the average time of interaction,  $T_{\text{avg}}$ , first, we sort in decreasing order all the intervals of interaction for each cancer cell; then, we compute the  $T_{\text{avg}}$  only considering the first 100 intervals (corresponding to the 100 longest intervals of interaction).

### Flow Cytometry

For surface and intracellular marker staining, cells were analyzed by flow cytometry on the LSRFORTESSA analyzer (BD biosciences), as previously described (Costa et al., 2018). The following antibodies were used to stain the cells in suspension: anti-EpCAM-BV605 (BioLegend, #324224), anti-CD31-PECy7 (BioLegend, #303118), anti-CD45-APC-Cy7 (BD Biosciences, #BD-557833), anti-CD235a-PerCP/Cy5.5 (BioLegend, #349109), anti-CD29-Alexa Fluor 700 (BioLegend, #303020), anti-FAP (primary antibody, R&D Systems, #MAB3715), anti-PDGFRb-PE (BioLegend, #323606), anti-SMA-Alexa Fluor 594 (R&D Systems, #IC1420T-025). We conjugated FAP antibody with fluorescent dye Zenon APC Mouse IgG1 labeling kit (ThermoFisher Scientific, #Z25051). Data analysis was performed using FlowJo version X 10.0.7r2.

### QUANTIFICATION AND STATISTICAL ANALYSIS

Graphs were created and statistical analysis was performed using Graphpad Prism (v7.0). p values less than 0.05 were considered significant. The statistical details (number of experiments, number of cells or tracks, median or mean) can be found in each figure legend. For cell tracking analysis, the data distributions were assessed by Shapiro-Wilk tests and resulted not normal. Therefore medians were shown and nonparametric Kolmogorov-Smirnov tests were used to evaluate significant differences between groups.