



Some formulas for determinants of tridiagonal matrices in terms of finite generalized continued fractions

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SOME FORMULAS FOR DETERMINANTS OF TRIDIAGONAL MATRICES IN TERMS OF FINITE GENERALIZED CONTINUED FRACTIONS

FENG QI, WEN WANG, DONGKYU LIM, AND BAI-NI GUO

ABSTRACT. In the paper, by virtue of induction and properties of determinants, the authors discover explicit and recurrent formulas of evaluations for determinants of general tridiagonal matrices in terms of finite generalized continued fractions and apply these formulas to evaluations for determinants of the Sylvester matrix and two Sylvester type matrices.

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1. INTRODUCTION

A finite generalized continued fraction is an expression of the form

$$q_0 + \frac{p_1}{q_1 + \frac{p_2}{q_2 + \frac{p_3}{\ddots \frac{p_{m-1}}{q_{m-2} + \frac{p_m}{q_{m-1} + \frac{p_m}{q_m}}}}}}$$

where q_0, q_1, \dots, q_m and p_1, p_2, \dots, p_m can generally be integers, real numbers, complex numbers, or functions. It can also be written in the forms

$$q_0 + \prod_{\ell=1}^m \frac{p_\ell}{q_\ell} = q_0 + \frac{p_1}{q_1 +} \frac{p_2}{q_2 +} \dots \frac{p_{m-1}}{q_{m-1} +} \frac{p_m}{q_m} = q_0 + \sum_{\ell=1}^m \frac{p_\ell}{|q_\ell|}.$$

In this paper, we adopt the second form above. For more information on continued fractions, please refer to [7, 14] and closely related references therein.

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Generally, a tridiagonal matrix of order n for $n \in \mathbb{N}$ is defined by

$$D_n = \begin{pmatrix} a_1 & b_1 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 \\ c_1 & a_2 & b_2 & 0 & \cdots & 0 & 0 & 0 & 0 \\ 0 & c_2 & a_3 & b_3 & \cdots & 0 & 0 & 0 & 0 \\ 0 & 0 & c_3 & a_4 & \cdots & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & a_{n-3} & b_{n-3} & 0 & 0 \\ 0 & 0 & 0 & 0 & \cdots & c_{n-3} & a_{n-2} & b_{n-2} & 0 \\ 0 & 0 & 0 & 0 & \cdots & 0 & c_{n-2} & a_{n-1} & b_{n-1} \\ 0 & 0 & 0 & 0 & \cdots & 0 & 0 & c_{n-1} & a_n \end{pmatrix} = (d_{i,j})_{1 \leq i,j \leq n}, \quad (1.1)$$

where

$$d_{i,j} = \begin{cases} a_i, & 1 \leq i = j \leq n; \\ b_i, & 1 \leq i = j - 1 \leq n - 1; \\ c_j, & 1 \leq j = i - 1 \leq n - 1; \\ 0, & \text{otherwise.} \end{cases}$$

In [15, 16, 17], the determinant $|D_n|$ and some special cases were discussed, computed, and applied to several problems in combinatorial number theory. In [2, 5, 6, 9, 15, 16, 17], there have been some computation of the inverse and determinant of the general tridiagonal matrix D_n . For more information about this topic, please refer to [4, 8, 12, 13] and closely related references therein.

Let $n \geq 2$ and

$$P_n = \begin{pmatrix} \alpha_1 & \gamma_1 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 \\ \alpha_2 & \beta_2 & \gamma_2 & 0 & \cdots & 0 & 0 & 0 & 0 \\ \alpha_3 & 0 & \beta_3 & \gamma_3 & \cdots & 0 & 0 & 0 & 0 \\ \alpha_4 & 0 & 0 & \beta_4 & \cdots & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ \alpha_{n-3} & 0 & 0 & 0 & \cdots & \beta_{n-3} & \gamma_{n-3} & 0 & 0 \\ \alpha_{n-2} & 0 & 0 & 0 & \cdots & 0 & \beta_{n-2} & \gamma_{n-2} & 0 \\ \alpha_{n-1} & 0 & 0 & 0 & \cdots & 0 & 0 & \beta_{n-1} & \gamma_{n-1} \\ \alpha_n & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & \beta_n \end{pmatrix} = (p_{i,j})_{1 \leq i,j \leq n}, \quad (1.2)$$

where

$$p_{i,j} = \begin{cases} \alpha_i, & 1 \leq i \leq n, j = 1; \\ \beta_i, & 2 \leq i = j \leq n; \\ \gamma_i, & 1 \leq i = j - 1 \leq n - 1; \\ 0, & \text{otherwise.} \end{cases}$$

In this paper, by virtue of induction and properties of determinants, we will discover explicit and recurrent formulas for evaluations of determinants $|P_n|$ and $|D_n|$ and will apply these formulas to evaluations for determinants of the Sylvester matrix and two Sylvester type matrices.

2. RECURRENT AND EXPLICIT FORMULAS FOR $|P_n|$

Now we start out to establish recurrent and explicit formulas for the determinant $|P_n|$ of the matrix P_n .

Theorem 2.1. *Let $n \geq 2$ and $\beta_k \neq 0$ for $2 \leq k \leq n$. Then the determinant $|P_n|$ of the matrix P_n can be computed recursively by*

$$|P_n| = \lambda_{1,n} \prod_{k=2}^n \beta_k, \quad (2.1)$$

where

$$\lambda_{k,n} = \alpha_k - \frac{\gamma_k}{\beta_{k+1}} \lambda_{k+1,n}, \quad 1 \leq k \leq n-1 \quad (2.2)$$

and $\lambda_{n,n} = \alpha_n$.

Proof. When $n = 2$, we have

$$|P_2| = \begin{vmatrix} \alpha_1 & \gamma_1 \\ \alpha_2 & \beta_2 \end{vmatrix} = \alpha_1 \beta_2 - \alpha_2 \gamma_1$$

and

$$\lambda_{1,2} \prod_{k=2}^2 \beta_k = \lambda_{1,2} \beta_2 = \left(\alpha_1 - \frac{\gamma_1 \lambda_{2,2}}{\beta_2} \right) \beta_2 = \left(\alpha_1 - \frac{\gamma_1 \alpha_2}{\beta_2} \right) \beta_2 = \alpha_1 \beta_2 - \alpha_2 \gamma_1 = |P_2|.$$

The formula (2.1) is thus valid for $n = 2$.

We now assume that the formula (2.1) is valid for $n = m-1$, that is,

$$|P_{m-1}| = \lambda_{1,m-1} \prod_{k=2}^{m-1} \beta_k.$$

When $n = m$, expanding $|P_m|$ according to the first rank and employing the above assumption for $n = m-1$ yield

$$\begin{aligned} |P_m| &= \alpha_1 \prod_{k=2}^m \beta_k - \gamma_1 \begin{vmatrix} \alpha_2 & \gamma_2 & 0 & \cdots & 0 & 0 & 0 & 0 \\ \alpha_3 & \beta_3 & \gamma_3 & \cdots & 0 & 0 & 0 & 0 \\ \alpha_4 & 0 & \beta_4 & \cdots & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ \alpha_{m-3} & 0 & 0 & \cdots & \beta_{m-3} & \gamma_{m-3} & 0 & 0 \\ \alpha_{m-2} & 0 & 0 & \cdots & 0 & \beta_{m-2} & \gamma_{m-2} & 0 \\ \alpha_{m-1} & 0 & 0 & \cdots & 0 & 0 & \beta_{m-1} & \gamma_{m-1} \\ \alpha_m & 0 & 0 & \cdots & 0 & 0 & 0 & \beta_m \end{vmatrix} \\ &= \alpha_1 \prod_{k=2}^m \beta_k - \gamma_1 \lambda_{2,m} \prod_{k=3}^m \beta_k = \left(\alpha_1 - \frac{\gamma_1}{\beta_2} \lambda_{2,m} \right) \prod_{k=2}^m \beta_k = \lambda_{1,m} \prod_{k=2}^m \beta_k. \end{aligned}$$

By induction, the formula (2.1) follows. The proof of Theorem 2.1 is complete. \square

Theorem 2.2. *For $n \geq 2$, the determinant $|P_n|$ of the matrix P_n can be computed explicitly by*

$$|P_n| = \alpha_1 \prod_{k=2}^n \beta_k - \sum_{k=2}^n (-1)^k \left(\prod_{\ell=1}^{k-1} \gamma_\ell \prod_{m=k+1}^n \beta_m \right) \alpha_k. \quad (2.3)$$

Proof. From the recurrence relation (2.2), it follows that

$$\begin{aligned} \lambda_{1,n} &= \alpha_1 - \frac{\gamma_1}{\beta_2} \lambda_{2,n} \\ &= \alpha_1 - \frac{\gamma_1}{\beta_2} \left(\alpha_2 - \frac{\gamma_2}{\beta_3} \lambda_{3,n} \right) \end{aligned}$$

$$\begin{aligned}
&= \alpha_1 - \frac{\gamma_1}{\beta_2} \left[\alpha_2 - \frac{\gamma_2}{\beta_3} \left(\alpha_3 - \frac{\gamma_3}{\beta_4} \lambda_{4,n} \right) \right] \\
&= \dots \\
&= \alpha_1 - \frac{\gamma_1}{\beta_2} \left[\alpha_2 - \frac{\gamma_2}{\beta_3} \left(\alpha_3 - \frac{\gamma_3}{\beta_4} \left[\alpha_4 - \dots - \frac{\gamma_{\ell-1}}{\beta_\ell} \left(\alpha_\ell - \frac{\gamma_\ell}{\beta_{\ell+1}} \lambda_{\ell+1,n} \right) \right] \right) \right] \\
&= \dots \\
&= \alpha_1 - \frac{\gamma_1}{\beta_2} \left[\alpha_2 - \frac{\gamma_2}{\beta_3} \left(\alpha_3 - \frac{\gamma_3}{\beta_4} \left[\alpha_4 - \dots - \frac{\gamma_{\ell-1}}{\beta_\ell} \left(\alpha_\ell - \dots \right. \right. \right. \right. \\
&\quad \left. \left. \left. - \frac{\gamma_{n-3}}{\beta_{n-2}} \left[\alpha_{n-2} - \frac{\gamma_{n-2}}{\beta_{n-1}} \left(\alpha_{n-1} - \frac{\gamma_{n-1}}{\beta_n} \lambda_{n,n} \right) \right] \right) \right] \right) \right] \\
&= \alpha_1 - \frac{\gamma_1}{\beta_2} \left[\alpha_2 - \frac{\gamma_2}{\beta_3} \left(\alpha_3 - \frac{\gamma_3}{\beta_4} \left[\alpha_4 - \dots - \frac{\gamma_{\ell-1}}{\beta_\ell} \left(\alpha_\ell - \dots \right. \right. \right. \right. \\
&\quad \left. \left. \left. - \frac{\gamma_{n-3}}{\beta_{n-2}} \left[\alpha_{n-2} - \frac{\gamma_{n-2}}{\beta_{n-1}} \left(\alpha_{n-1} - \frac{\gamma_{n-1}}{\beta_n} \alpha_n \right) \right] \right) \right] \right) \right] \\
&= \alpha_1 - \frac{\gamma_1}{\beta_2} \left(\alpha_2 - \frac{\gamma_2}{\beta_3} \left[\alpha_3 - \frac{\gamma_3}{\beta_4} \left(\alpha_4 - \dots - \frac{\gamma_{\ell-1}}{\beta_\ell} \left[\alpha_\ell - \dots \right. \right. \right. \right. \right. \\
&\quad \left. \left. \left. - \frac{\gamma_{n-3}}{\beta_{n-2}} \left(\alpha_{n-2} - \frac{\gamma_{n-2}}{\beta_{n-1}} \alpha_{n-1} + \frac{\gamma_{n-2} \gamma_{n-1}}{\beta_{n-1} \beta_n} \alpha_n \right) \right] \right) \right] \right) \\
&= \alpha_1 - \frac{\gamma_1}{\beta_2} \left(\alpha_2 - \frac{\gamma_2}{\beta_3} \left[\alpha_3 - \frac{\gamma_3}{\beta_4} \left(\alpha_4 - \dots - \frac{\gamma_{\ell-1}}{\beta_\ell} \left[\alpha_\ell - \dots \right. \right. \right. \right. \right. \\
&\quad \left. \left. \left. - \left(\frac{\gamma_{n-3}}{\beta_{n-2}} \alpha_{n-2} - \frac{\gamma_{n-3} \gamma_{n-2}}{\beta_{n-2} \beta_{n-1}} \alpha_{n-1} + \frac{\gamma_{n-3} \gamma_{n-2} \gamma_{n-1}}{\beta_{n-2} \beta_{n-1} \beta_n} \alpha_n \right) \right] \right) \right] \right) \\
&= \dots \\
&= \alpha_1 - \sum_{k=2}^n (-1)^k \left(\prod_{\ell=2}^k \frac{\gamma_{\ell-1}}{\beta_\ell} \right) \alpha_k
\end{aligned}$$

for $n \geq 2$. Substituting this into (2.1) and simplifying arrive at (2.3). The proof of Theorem 2.2 is complete. \square

Remark 2.1. Applying $\alpha_k = k$, $\beta_k = k$, and $\gamma_k = k$ to the explicit formula (2.3) in Theorem 2.2 reveals

$$\begin{vmatrix}
1 & 1 & 0 & 0 & \dots & 0 & 0 & 0 & 0 \\
2 & 2 & 2 & 0 & \dots & 0 & 0 & 0 & 0 \\
3 & 0 & 3 & 3 & \dots & 0 & 0 & 0 & 0 \\
4 & 0 & 0 & 4 & \dots & 0 & 0 & 0 & 0 \\
\vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\
n-3 & 0 & 0 & 0 & \dots & n-3 & n-3 & 0 & 0 \\
n-2 & 0 & 0 & 0 & \dots & 0 & n-2 & n-2 & 0 \\
n-1 & 0 & 0 & 0 & \dots & 0 & 0 & n-1 & n-1 \\
n & 0 & 0 & 0 & \dots & 0 & 0 & 0 & n
\end{vmatrix} = \frac{1 - (-1)^n}{2} n!.$$

3. EXPLICIT AND RECURRENT FORMULAS FOR $|D_n|$

We are now in a position to derive explicit and recurrent formulas for the determinant $|D_n|$.

Theorem 3.1. *For $n \in \mathbb{N}$, the determinant $|D_n|$ of the tridiagonal matrix D_n can be explicitly and recurrently computed by*

$$|D_n| = a_1 a_2 + (a_1 - b_1 c_1) \prod_{m=3}^n \left[a_m + \frac{K_{\ell=1}^{m-2} (-b_{m-\ell} c_{m-\ell})}{a_{m-\ell}} \right] - \sum_{k=3}^n \left[\prod_{\ell=1}^{k-1} (b_\ell c_\ell) \right] \frac{\prod_{m=k+1}^n \left[a_m + K_{\ell=1}^{m-2} \frac{(-b_{m-\ell} c_{m-\ell})}{a_{m-\ell}} \right]}{\prod_{m=2}^{k-1} \left[a_m + K_{\ell=1}^{m-2} \frac{(-b_{m-\ell} c_{m-\ell})}{a_{m-\ell}} \right]} \quad (3.1)$$

and

$$|D_n| = \eta_{1,n} \left(a_2 + \prod_{k=3}^n \left[a_k + \frac{K_{\ell=1}^{k-2} (-b_{k-\ell} c_{k-\ell})}{a_{k-\ell}} \right] \right), \quad (3.2)$$

where $K_{\ell=q}^p$ for $p < q$ is understood to be zero,

$$\begin{aligned} \eta_{1,n} &= -1 - \frac{b_1}{a_2} \eta_{2,n}, & \eta_{2,n} &= c_1 - \frac{b_2}{a_3 - \frac{b_2 c_2}{a_2}} \eta_{3,n}, & \eta_{3,n} &= -\frac{c_1 c_2}{a_2} - \frac{b_3}{a_4 - \frac{b_3 c_3}{a_3 - \frac{b_2 c_2}{a_2}}} \eta_{4,n}, \\ \eta_{k,n} &= (-1)^k \frac{\prod_{\ell=1}^{k-1} c_\ell}{a_2 + \prod_{\ell=3}^{k-1} \left[a_\ell + K_{m=1}^{\ell-2} \frac{(-b_{\ell-m} c_{\ell-m})}{a_{\ell-m}} \right]} - \frac{b_k}{a_{k+1} + K_{\ell=1}^{k-1} \frac{(-b_{k-\ell+1} c_{k-\ell+1})}{a_{k-\ell+1}}} \eta_{k+1,n} \end{aligned}$$

for $4 \leq k \leq n-1$, and

$$\eta_{n,n} = (-1)^n \frac{\prod_{\ell=1}^{n-1} c_\ell}{a_2 + \prod_{k=3}^{n-1} \left[a_k + K_{\ell=1}^{k-2} \frac{(-b_{k-\ell} c_{k-\ell})}{a_{k-\ell}} \right]}.$$

Proof. The determinant $|D_n|$ of the tridiagonal matrix D_n in (1.1) can be reformulated as

$$|D_n| = \begin{vmatrix} a_1 & b_1 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 \\ c_1 & a_2 & b_2 & 0 & \cdots & 0 & 0 & 0 & 0 \\ -\frac{c_1 c_2}{a_2} & 0 & a_3 - \frac{b_2 c_2}{a_2} & b_3 & \cdots & 0 & 0 & 0 & 0 \\ 0 & 0 & c_3 & a_4 & \cdots & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & a_{n-3} & b_{n-3} & 0 & 0 \\ 0 & 0 & 0 & 0 & \cdots & c_{n-3} & a_{n-2} & b_{n-2} & 0 \\ 0 & 0 & 0 & 0 & \cdots & 0 & c_{n-2} & a_{n-1} & b_{n-1} \\ 0 & 0 & 0 & 0 & \cdots & 0 & 0 & c_{n-1} & a_n \end{vmatrix}$$

$$\begin{aligned}
&= \begin{vmatrix} a_1 & b_1 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 \\ c_1 & a_2 & b_2 & 0 & \cdots & 0 & 0 & 0 & 0 \\ -\frac{c_1 c_2}{a_2} & 0 & a_3 - \frac{b_2 c_2}{a_2} & b_3 & \cdots & 0 & 0 & 0 & 0 \\ \frac{c_1 c_2 c_3}{a_2 a_3 - b_2 c_2} & 0 & 0 & a_4 - \frac{a_2 b_3 c_3}{a_2 a_3 - b_2 c_2} & \cdots & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & a_{n-3} & b_{n-3} & 0 & 0 \\ 0 & 0 & 0 & 0 & \cdots & c_{n-3} & a_{n-2} & b_{n-2} & 0 \\ 0 & 0 & 0 & 0 & \cdots & 0 & c_{n-2} & a_{n-1} & b_{n-1} \\ 0 & 0 & 0 & 0 & \cdots & 0 & 0 & c_{n-1} & a_n \end{vmatrix} \\
&= \cdots \\
&= \begin{vmatrix} \alpha_1 & b_1 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 \\ \alpha_2 & \beta_2 & b_2 & 0 & \cdots & 0 & 0 & 0 & 0 \\ \alpha_3 & 0 & \beta_3 & b_3 & \cdots & 0 & 0 & 0 & 0 \\ \alpha_4 & 0 & 0 & \beta_4 & \cdots & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ \alpha_{n-3} & 0 & 0 & 0 & \cdots & \beta_{n-3} & b_{n-3} & 0 & 0 \\ \alpha_{n-2} & 0 & 0 & 0 & \cdots & 0 & \beta_{n-2} & b_{n-2} & 0 \\ \alpha_{n-1} & 0 & 0 & 0 & \cdots & 0 & 0 & \beta_{n-1} & b_{n-1} \\ \alpha_n & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & \beta_n \end{vmatrix},
\end{aligned}$$

where

$$\begin{aligned}
\beta_2 &= a_2, \quad \beta_3 = a_3 - \frac{b_2 c_2}{\beta_2}, \quad \beta_4 = a_4 - \frac{b_3 c_3}{\beta_3}, \quad \dots, \quad \beta_{n-3} = a_{n-3} - \frac{b_{n-4} c_{n-4}}{\beta_{n-4}}, \\
\beta_{n-2} &= a_{n-2} - \frac{b_{n-3} c_{n-3}}{\beta_{n-3}}, \quad \beta_{n-1} = a_{n-1} - \frac{b_{n-2} c_{n-2}}{\beta_{n-2}}, \quad \beta_n = a_n - \frac{b_{n-1} c_{n-1}}{\beta_{n-1}}
\end{aligned}$$

and

$$\begin{aligned}
\alpha_1 &= a_1, \quad \alpha_2 = c_1, \quad \alpha_3 = -\frac{c_2}{\beta_2} \alpha_2, \quad \alpha_4 = -\frac{c_3}{\beta_3} \alpha_3, \quad \dots, \quad \alpha_{n-3} = -\frac{c_{n-4}}{\beta_{n-4}} \alpha_{n-4}, \\
\alpha_{n-2} &= -\frac{c_{n-3}}{\beta_{n-3}} \alpha_{n-3}, \quad \alpha_{n-1} = -\frac{c_{n-2}}{\beta_{n-2}} \alpha_{n-2}, \quad \alpha_n = -\frac{c_{n-1}}{\beta_{n-1}} \alpha_{n-1}.
\end{aligned}$$

The sequences β_k and α_k for $k \geq 3$ can be represented by generalized continued fractions

$$\begin{aligned}
\beta_k &= a_k - \frac{b_{k-1} c_{k-1}}{a_{k-1} - \frac{b_{k-2} c_{k-2}}{a_{k-2} - \frac{b_{k-3} c_{k-3}}{a_{k-3} - \frac{b_{k-4} c_{k-4}}{\ddots}}}} = a_k + \frac{k-2}{\prod_{\ell=1}^{k-2} a_{k-\ell}} \frac{(-b_{k-\ell} c_{k-\ell})}{a_{k-\ell}} \\
&\quad \ddots \\
&\quad \frac{a_4 - \frac{b_3 c_3}{a_3 - \frac{b_2 c_2}{a_2}}}{a_3 - \frac{b_2 c_2}{a_2}}
\end{aligned}$$

and

$$\alpha_k = (-1)^k \frac{\prod_{\ell=1}^{k-1} c_\ell}{\prod_{\ell=2}^{k-1} \beta_\ell}.$$

Making use of (2.3) arrives at

$$|D_n| = \left(\beta_2 + \prod_{k=3}^n \beta_k \right) \alpha_1 - \left(b_1 \prod_{m=3}^n \beta_m \right) \alpha_2 - \sum_{k=3}^n (-1)^k \left(\prod_{\ell=1}^{k-1} b_\ell \prod_{m=k+1}^n \beta_m \right) \alpha_k$$

$$\begin{aligned}
 &= a_1 \left(a_2 + \prod_{k=3}^n \left[a_k + \frac{K^{k-2} (-b_{k-\ell} c_{k-\ell})}{a_{k-\ell}} \right] \right) - b_1 c_1 \prod_{m=3}^n \left[a_m + \frac{K^{m-2} (-b_{m-\ell} c_{m-\ell})}{a_{m-\ell}} \right] \\
 &\quad - \sum_{k=3}^n \prod_{\ell=1}^{k-1} b_\ell \prod_{m=k+1}^n \left[a_m + \frac{K^{m-2} (-b_{m-\ell} c_{m-\ell})}{a_{m-\ell}} \right] \frac{\prod_{\ell=1}^{k-1} c_\ell}{\prod_{\ell=2}^{k-1} \left[a_\ell + \frac{K^{\ell-2} (-b_{\ell-i} c_{\ell-i})}{a_{\ell-i}} \right]}
 \end{aligned}$$

which can be reformulated as (3.1).

Utilizing (2.1) and (2.2) yields (3.2). The proof of Theorem 3.1 is complete. \square

4. REMARKS

Remark 4.1. In 1854, J. J. Sylvester found that

$$|M_n(x)| = \begin{vmatrix} x & 1 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 \\ n & x & 2 & 0 & \cdots & 0 & 0 & 0 & 0 \\ 0 & n-1 & x & 3 & \cdots & 0 & 0 & 0 & 0 \\ 0 & 0 & n-2 & x & \cdots & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & x & n-2 & 0 & 0 \\ 0 & 0 & 0 & 0 & \cdots & 3 & x & n-1 & 0 \\ 0 & 0 & 0 & 0 & \cdots & 0 & 2 & x & n \\ 0 & 0 & 0 & 0 & \cdots & 0 & 0 & 1 & x \end{vmatrix} = \prod_{k=0}^n (x + n - 2k).$$

See [3, p. 1018] and closely related references therein. Applying (3.1) to $|M_n(x)|$ yields

$$\begin{aligned}
 |M_n(x)| &= x^2 + (x-n) \prod_{m=3}^n \left[x + \frac{K^{m-2} -(m-\ell)(n-m+\ell+1)}{x} \right] \\
 &\quad - \sum_{k=3}^n \left[\prod_{\ell=1}^{k-1} \ell(n-\ell+1) \right] \frac{\prod_{m=k+1}^n \left[x + \frac{K^{m-2} -(m-\ell)(n-m+\ell+1)}{x} \right]}{\prod_{m=2}^{k-1} \left[x + \frac{K^{m-2} -(m-\ell)(n-m+\ell+1)}{x} \right]} \\
 &= x^2 + (x-n) \prod_{m=3}^n \left[x + \frac{K^{m-2} -(m-\ell)(n-m+\ell+1)}{x} \right] \\
 &\quad - n! \sum_{k=3}^n \frac{(k-1)!}{(n-k+1)!} \frac{\prod_{m=k+1}^n \left[x + \frac{K^{m-2} -(m-\ell)(n-m+\ell+1)}{x} \right]}{\prod_{m=2}^{k-1} \left[x + \frac{K^{m-2} -(m-\ell)(n-m+\ell+1)}{x} \right]} \\
 &\triangleq x^2 + (x-n) \prod_{m=3}^n S(x; m, n) - n! \sum_{k=3}^n \frac{(k-1)!}{(n-k+1)!} \frac{\prod_{m=k+1}^n S(x; m, n)}{\prod_{m=2}^{k-1} S(x; m, n)}.
 \end{aligned}$$

Now we try to explicitly compute

$$S(x; m, n) = x + \frac{K^{m-2} -(m-\ell)(n-m+\ell+1)}{x}.$$

When $m = 3$, it is easy to obtain that

$$S(x; 3, n) = \frac{x^2 - 2(n-1)}{x} \triangleq \frac{b_1}{a_1}.$$

When $m = 4$, using the above result for $S(x; 3, n)$, we can obtain

$$S(x; 4, n) = \frac{b_1 x - 3(n-2)a_1}{b_1} = \frac{x(x^2 - 5n + 8)}{x^2 - 2n + 2} \triangleq \frac{b_2}{a_2}.$$

If we assume $S(x; k+1, n) = \frac{b_{k-1}}{a_{k-1}}$, then, by induction, we obtain

$$S(x; k+2, m) = \frac{b_k}{a_k} = \frac{b_{k-1}x - a_{k-1}(k+1)(n-k)}{b_{k-1}}.$$

We note that $a_{k-1} = b_{k-2}$. Then

$$b_k - b_{k-1}x + b_{k-2}(k+1)(n-k) = 0.$$

Further replacing k by $k+2$ leads to

$$b_{k+2} - b_{k+1}x + (k+3)(n-k-2)b_k = 0.$$

By the method used in [15, Theorem 3.1], the characteristic equation is

$$y^2 - xy + (k+3)(n-k-2) = 0$$

which has solutions

$$y = \frac{x \pm \sqrt{x^2 - 4(k+3)(n-k-2)}}{2}.$$

Consequently, it follows that

$$b_k = A \left(\frac{x + \sqrt{x^2 - 4(k+3)(n-k-2)}}{2} \right)^{k-1} + B \left(\frac{x - \sqrt{x^2 - 4(k+3)(n-k-2)}}{2} \right)^{k-1},$$

where

$$A = -\frac{2x^3 - 2(5n-8) - (x^2 - 2n+2)(x + \sqrt{x^2 - 20n+80})}{2\sqrt{x^2 - 20n+80}}$$

and

$$B = \frac{2x^3 - 2(5n-8) - (x^2 - 2n+2)(x - \sqrt{x^2 - 20n+80})}{2\sqrt{x^2 - 20n+80}}.$$

In a word, by a new method, we supply an alternative form for the Sylvester determinant $|M_n(x)|$.

In [3], by means of left eigenvector method, the following determinants of tridiagonal matrices similar to the Sylvester matrix were collected and calculated:

$$\begin{aligned} |M_n(x, y)| &= \begin{vmatrix} x & 1 & 0 & 0 & \cdots & 0 & 0 & 0 \\ n & x+y & 2 & 0 & \cdots & 0 & 0 & 0 \\ 0 & n-1 & x+2y & 3 & \cdots & 0 & 0 & 0 \\ 0 & 0 & n-2 & x+3y & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & x+(n-2)y & n-1 & 0 \\ 0 & 0 & 0 & 0 & \cdots & 2 & x+(n-1)y & n \\ 0 & 0 & 0 & 0 & \cdots & 0 & 1 & x+ny \end{vmatrix} \\ &= \prod_{k=0}^n \left(x + \frac{ny}{2} + \frac{n-2k}{2} \sqrt{y^4 + 4} \right), \end{aligned}$$

$$\begin{aligned}
 |M_n(x, y; u, v)| &= \begin{vmatrix} x & u & 0 & 0 & \cdots & 0 & 0 & 0 \\ nv & x+y & 2u & 0 & \cdots & 0 & 0 & 0 \\ 0 & (n-1)v & x+2y & 3u & \cdots & 0 & 0 & 0 \\ 0 & 0 & (n-2)v & x+3y & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & x+(n-2)y & (n-1)u & 0 \\ 0 & 0 & 0 & 0 & \cdots & 2v & x+(n-1)y & nu \\ 0 & 0 & 0 & 0 & \cdots & 0 & v & x+ny \end{vmatrix} \\
 &= \prod_{k=0}^n \left(x + \frac{ny}{2} + \frac{n-2k}{2} \sqrt{y^4 + 4uv} \right).
 \end{aligned}$$

These evaluations can be computed alternatively by Theorem 3.1.

Remark 4.2. The condition $\beta_k \neq 0$ for $2 \leq k \leq n$ in Theorem 2.1 is removed off in Theorem 2.2. Therefore, the explicit formula (2.3) is better than the recursive formulas (2.1) and (2.2).

Remark 4.3. The explicit formula (2.3) can be simply rearranged as

$$|P_n| = \sum_{k=1}^n (-1)^{k+1} \left(\prod_{\ell=1}^{k-1} \gamma_\ell \prod_{m=k+1}^n \beta_m \right) \alpha_k,$$

where the empty product is understood to be 1 as usual.

Remark 4.4. Let

$$U_n = \begin{pmatrix} a_1 & c_1 & 0 & 0 & \cdots & 0 & 0 & 0 & d_1 \\ a_2 & b_2 & c_2 & 0 & \cdots & 0 & 0 & 0 & d_2 \\ a_3 & 0 & b_3 & c_3 & \cdots & 0 & 0 & 0 & d_3 \\ a_4 & 0 & 0 & b_4 & \cdots & 0 & 0 & 0 & d_4 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ a_{n-3} & 0 & 0 & 0 & \cdots & b_{n-3} & c_{n-3} & 0 & d_{n-3} \\ a_{n-2} & 0 & 0 & 0 & \cdots & 0 & b_{n-2} & c_{n-2} & d_{n-2} \\ a_{n-1} & 0 & 0 & 0 & \cdots & 0 & 0 & b_{n-1} & c_{n-1} \\ a_n & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & b_n \end{pmatrix} = (u_{i,j})_{1 \leq i,j \leq n},$$

where

$$u_{i,j} = \begin{cases} a_i, & 1 \leq i \leq n, j = 1; \\ b_i, & 2 \leq i = j \leq n; \\ c_i, & 1 \leq i = j - 1 \leq n - 1; \\ d_i, & 1 \leq i \leq n - 2, j = n; \\ 0, & \text{otherwise.} \end{cases}$$

The determinant $|U_n|$ can be reformulated as

$$\begin{aligned}
|U_n| &= \begin{vmatrix} a_1 & c_1 & 0 & 0 & \cdots & 0 & 0 & 0 & d_1 \\ a_2 & b_2 & c_2 & 0 & \cdots & 0 & 0 & 0 & d_2 \\ a_3 & 0 & b_3 & c_3 & \cdots & 0 & 0 & 0 & d_3 \\ a_4 & 0 & 0 & b_4 & \cdots & 0 & 0 & 0 & d_4 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ a_{n-3} & 0 & 0 & 0 & \cdots & b_{n-3} & c_{n-3} & 0 & d_{n-3} \\ a_{n-2} & 0 & 0 & 0 & \cdots & 0 & b_{n-2} & c_{n-2} & d_{n-2} \\ a_{n-1} & 0 & 0 & 0 & \cdots & 0 & 0 & b_{n-1} & c_{n-1} \\ a_n & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & b_n \end{vmatrix} \\
&= \begin{vmatrix} a_1 - \frac{a_n d_1}{b_n} & c_1 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 \\ a_2 - \frac{a_n d_2}{b_n} & b_2 & c_2 & 0 & \cdots & 0 & 0 & 0 & 0 \\ a_3 - \frac{a_n d_3}{b_n} & 0 & b_3 & c_3 & \cdots & 0 & 0 & 0 & 0 \\ a_4 - \frac{a_n d_4}{b_n} & 0 & 0 & b_4 & \cdots & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ a_{n-3} - \frac{a_n d_{n-3}}{b_n} & 0 & 0 & 0 & \cdots & b_{n-3} & c_{n-3} & 0 & 0 \\ a_{n-2} - \frac{a_n d_{n-2}}{b_n} & 0 & 0 & 0 & \cdots & 0 & b_{n-2} & c_{n-2} & 0 \\ a_{n-1} - \frac{a_n c_{n-1}}{b_n} & 0 & 0 & 0 & \cdots & 0 & 0 & b_{n-1} & 0 \\ a_n & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & b_n \end{vmatrix} \\
&= b_n \begin{vmatrix} a_1 - \frac{a_n d_1}{b_n} & c_1 & 0 & 0 & \cdots & 0 & 0 & 0 \\ a_2 - \frac{a_n d_2}{b_n} & b_2 & c_2 & 0 & \cdots & 0 & 0 & 0 \\ a_3 - \frac{a_n d_3}{b_n} & 0 & b_3 & c_3 & \cdots & 0 & 0 & 0 \\ a_4 - \frac{a_n d_4}{b_n} & 0 & 0 & b_4 & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ a_{n-3} - \frac{a_n d_{n-3}}{b_n} & 0 & 0 & 0 & \cdots & b_{n-3} & c_{n-3} & 0 \\ a_{n-2} - \frac{a_n d_{n-2}}{b_n} & 0 & 0 & 0 & \cdots & 0 & b_{n-2} & c_{n-2} \\ a_{n-1} - \frac{a_n c_{n-1}}{b_n} & 0 & 0 & 0 & \cdots & 0 & 0 & b_{n-1} \end{vmatrix}.
\end{aligned}$$

Applying Theorem 2.1 and 2.2 and Remark 4.3 directly results in

$$|U_n| = \sum_{k=1}^{n-2} (-1)^{k+1} (a_k b_n - d_k a_n) \prod_{\ell=1}^{k-1} c_\ell \prod_{m=k+1}^{n-1} b_m + (-1)^n (a_{n-1} b_n - d_{n-1} a_n) \prod_{\ell=1}^{n-2} c_\ell$$

and

$$|U_n| = \Lambda_{1,n-1} \prod_{k=2}^n b_k,$$

where

$$\Lambda_{k,n-1} = a_k - \frac{a_n}{b_n} d_k - \frac{c_k}{b_{k+1}} \Lambda_{k+1,n-1}, \quad 1 \leq k \leq n-2$$

and $\Lambda_{n-1,n-1} = a_{n-1} - \frac{a_n}{b_n} c_{n-1}$.

Further taking

$$\begin{cases} a_k = \beta_{k+1} - \frac{\alpha_{k+1}\gamma_k}{\alpha_k}, & 1 \leq k \leq n-1; \\ b_k = \gamma_{k+1}, & 1 \leq k \leq n-2; \\ c_k = -\frac{\alpha_{k+2}\beta_{k+1}}{\alpha_{k+1}}, & 1 \leq k \leq n-2 \end{cases} \quad (4.3)$$

in equalities (4.1) and (4.2) procures

$$|D_{n-1}| = \frac{\lambda_{1,n}}{\alpha_1} \prod_{k=2}^n \beta_k \quad (4.4)$$

and

$$|D_{n-1}| = \prod_{k=2}^n \beta_k - \frac{1}{\alpha_1} \sum_{k=2}^n (-1)^k \left(\prod_{\ell=1}^{k-1} \gamma_\ell \prod_{m=k+1}^n \beta_m \right) \alpha_k. \quad (4.5)$$

From the second equation in (4.3), it is easy to see that $\gamma_k = b_{k-1}$ for $2 \leq k \leq n-1$. If one can derive another two relations from (4.3) to express α_k for $1 \leq k \leq n$ and β_k for $2 \leq k \leq n$ in terms of a_k for $1 \leq k \leq n-1$, b_k for $1 \leq k \leq n-2$, and c_k for $1 \leq k \leq n-2$, then, by substituting these three relations into (4.4) and (4.5) an alternative and explicit form for evaluation of the determinant $|D_n|$ of the general tridiagonal matrix D_n would be obtained. This is an open problem we leave to the interested readers.

Remark 4.6. In [1, Lemma 1.1] and [11, Lemma 2.1], it was obtained that

$$\begin{vmatrix} d_1 & d_2 & d_3 & d_4 & \cdots & d_{n-2} & d_{n-1} & d_n \\ a & b & 0 & 0 & \cdots & 0 & 0 & 0 \\ c & a & b & 0 & \cdots & 0 & 0 & 0 \\ 0 & c & a & b & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & b & 0 & 0 \\ 0 & 0 & 0 & 0 & \cdots & a & b & 0 \\ 0 & 0 & 0 & 0 & \cdots & c & a & b \end{vmatrix} = \sum_{k=1}^n (-1)^{k-1} d_k b^{n-k} (bc)^{(k-1)/2} U_{k-1} \left(\frac{a}{2\sqrt{bc}} \right), \quad (4.6)$$

where $U_k(x)$, generated [18, 19] by

$$\frac{1}{1-2xt+t^2} = \sum_{k=0}^{\infty} U_k(x)t^k, \quad |x| < 1, \quad |t| < 1,$$

is the k th Chebyshev polynomials of the second kind. Letting $d_1 = d_2 = \cdots = d_{n-1} = 0$ and $d_n = 1$ and rearranging, the formula (4.6) becomes

$$\begin{vmatrix} a & b & 0 & \cdots & 0 & 0 \\ c & a & b & \cdots & 0 & 0 \\ 0 & c & a & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & a & b \\ 0 & 0 & 0 & \cdots & c & a \end{vmatrix}_{n \times n} = (bc)^{n/2} U_n \left(\frac{a}{2\sqrt{bc}} \right). \quad (4.7)$$

This is different from

$$\begin{vmatrix} a & b & 0 & \cdots & 0 & 0 \\ c & a & b & \cdots & 0 & 0 \\ 0 & c & a & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & a & b \\ 0 & 0 & 0 & \cdots & c & a \end{vmatrix}_{n \times n} = \begin{cases} \frac{(a + \sqrt{a^2 - 4bc})^{n+1} - (a - \sqrt{a^2 - 4bc})^{n+1}}{2^{n+1}\sqrt{a^2 - 4bc}}, & a^2 \neq 4bc \\ (n+1)\left(\frac{a}{2}\right)^n, & a^2 = 4bc \end{cases} \quad (4.8)$$

and

$$\begin{vmatrix} a & b & 0 & \cdots & 0 & 0 \\ c & a & b & \cdots & 0 & 0 \\ 0 & c & a & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & a & b \\ 0 & 0 & 0 & \cdots & c & a \end{vmatrix}_{n \times n} = \prod_{j=1}^n \left(b + 2a\sqrt{\frac{c}{a}} \cos \frac{j\pi}{n+1} \right) \quad (4.9)$$

established and collected in [15, pp. 130] and [17, Theorem 4].

Comparing (4.7) with (4.8) and (4.9), letting $b = c = 1$ and $a = 2x$, and simplifying yield

$$\begin{aligned} U_n(x) &= \prod_{j=1}^n \left(1 + 2\sqrt{2x} \cos \frac{j\pi}{n+1} \right) \\ &= \begin{cases} \frac{(x + \sqrt{x^2 - 1})^{n+1} - (x - \sqrt{x^2 - 1})^{n+1}}{2^{n+1}\sqrt{x^2 - 1}}, & x^2 \neq 1 \\ (n+1)x^n, & x^2 = 1 \end{cases} \end{aligned}$$

which are new explicit formulas for the Chebyshev polynomials of the second kind $U_n(x)$.

Remark 4.7. On 21 September 2019, we are reminded of the paper [10] in which an explicit formula for the elements of the inverse of a tridiagonal matrix and an efficient and fast computing method to obtain the elements of the inverse of a tridiagonal matrix by backward continued fractions were investigated.

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