# Recognition of Cherenkov patterns in high multiplicity environments 

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#### Abstract

An algorithm for the recognition of Cherenkov patterns based on the Hough Transform Method (HTM), modified for signals with intrinsic width in presence of background, is presented. The method basically consists in a mapping of the pad coordinate space directly to the Cherenkov angle parameter space with a crucial increase of performance in the treatment of different pattern shapes and amount of background.

The method has been developed in the framework of the ALICE experiment at CERN, for the analysis of data taken in the HMPID (High Momentum Particle IDentification) RICH detector prototype test beam.


## 1 Introduction

Many different approaches are currently used to analyze Cherenkov patterns produced in Ring Imaging (RICH) detectors. They are mainly based on neural networks and various statistical techniques (e.g. maximum likelihood, Lagrange, Hough): interesting applications can be found in [1-4].
Following the basic concept of the Hough Transform, an algorithm developed for the recognition of Cherenkov patterns in a RICH (Ring Imaging CHerenkov) detector will be described in this paper. High occupancy, presence of detector noise and appearence of several overlapping patterns make difficult the application of other methods. One of the main points in this respect, as will be clarified in the following, is the problem of how to treat the unavoidable contribution of the background in a high density environment.

[^0]This development has been prompted by the study of the performance of the HMPID detector prototype for the ALICE experiment[5]. The detector consists of a single arm RICH based Cherenkov detector, with seven modules (1.3 $\times 1.3 \mathrm{~m}^{2}$ each) arranged in a barrel section at a distance of about 5 m from the beam line. The prototype module, corresponding to $2 / 3$ of the final area, has been tested in a hadron beam at the CERN SPS in 1997-1998[6].

## 2 Pattern recognition in Cherenkov detectors

The ALICE RICH is a proximity focusing Cherenkov detector: its working principle and main features will be schematically described in the following with the aim to introduce the specificity of the pattern recognition. However the method developed is of general nature and applicable in other detector geometries.
A charged particle traversing the detector (fig.1) can cause the emission of Cherenkov photons in the radiator, which consists of a 10 mm thick layer of liquid $\mathrm{C}_{6} \mathrm{~F}_{14}$ (with an index of refraction $n=1.2948$ at $\lambda=180 \mathrm{~nm}$, corresponding to the average photon energy in the HMPID): the emission angle with respect to the particle direction is referred to as Cherenkov angle. It depends on the particle velocity and the optical properties of the radiator according to the relation $\cos \theta=1 / n \beta$.
This means that the process takes place for particle momenta above a defined threshold, $\mathrm{p}_{\text {th }}[\mathrm{GeV} / c]=\left(\mathrm{n}^{2}-1\right)^{-1 / 2} \mathrm{M} \sim 1.22 \times \mathrm{M}\left[\mathrm{GeV} / c^{2}\right]$, which depends on the rest mass M of the charged particle. The UV photons are detected by a photodetector, consisting of a conventional MWPC with anode wires of 20 $\mu \mathrm{m}$ diameter, 4 mm pitch and 2 mm anode-cathode gap. Finally they convert into electrons on a solid photocathode consisting of a thin layer ( 500 nm ) of CsI evaporated onto a segmented pad plane. The pad size is $8 \times 8.4 \mathrm{~mm}^{2}$. The distance between the radiator and the MWPC ("proximity gap") is about 100 mm ; the ratio between the radiator thickness and the proximity gap determines the purely geometrical contribution to the achievable resolution. The collection wire electrode placed in the proximity gap, near the quartz window, prevent the electrons released by ionizing particles to enter in the MWPC sensitive volume. The analog pad readout allows to obtain an accurate localization by means of the centroid reconstruction.
The pattern generated by detected photoelectrons on the photocathode will be determined by the track incidence angle; the recognition of the pattern enables to evaluate the Cherenkov angle and hence the $\beta$ of the particle itself, provided the momentum is known.

## 3 Principle of the Method

While in a low density environment the recognition of patterns may be achieved in a number of ways, this is not possible whenever an important background is present, e.g. in the case of many partially overlapping patterns. In that case it is necessary to extract efficiently the signal by a suitable strategy.
The modification of the Hough transform described in the following allows to achieve this pattern recognition by finding maxima in a single-parameter space.

### 3.1 The Hough transform

The Hough Transform Method (HTM) is an efficient implementation of a generalized template matching strategy for detecting complex patterns in binary images. This is achieved by analysing the parameters which characterize these patterns and looking for local maxima in a feature parameter space[7]. The main advantage of the Hough transform is that it is relatively unaffected by topological gaps in curves and by high noise background in spot-like images[8]. Let us assume that we transform a Cartesian space in a feature space:

$$
\begin{equation*}
\mathbf{x} \rightarrow(\mathbf{a}, T(\mathbf{x}, \mathbf{a})) \tag{1}
\end{equation*}
$$

where $\mathbf{a}$ is a parameter vector and $\mathrm{T}(\mathbf{x}, \mathbf{a})$ its relative transform. For each thresholded contribution of $T(\mathbf{x}, \mathbf{a})$ in the parameter space, the value of a corresponding variable $\operatorname{HCS}(T(\mathbf{x}, \mathbf{a}))$ gets incremented according to the following scheme:

$$
\begin{equation*}
H C S(T(\mathbf{x}, \mathbf{a})) \rightarrow H C S(T(\mathbf{x}, \mathbf{a}))+w \tag{2}
\end{equation*}
$$

This counting procedure defines the function $\operatorname{HCS}(T(\mathbf{x}, \mathbf{a}))$ in the so called "Hough Counting Space". If the incrementation quantity $w$ is assumed unitary a simple counting of the number of contributions as a function of the feature vector $\mathbf{a}$ is performed.
Neverthless the incrementation strategy of eq.(2) can be refined further by applying an incrementation function:

$$
\begin{equation*}
w=w(\mathbf{x}, \mathbf{a}) \tag{3}
\end{equation*}
$$

In this way a weighing policy can be applied in order to use other relevant informations associated with a given feature point [9]. The Hough estimator for the feature vector is given by the bin value in $H C S$ with the highest frequency in the parameter space.

### 3.2 Application of HTM to Cherenkov patterns

In the case of the Cherenkov pattern recognition, the starting point of the analysis is a bidimensional map with the impacts $\left(x_{p}, y_{p}\right)$ of the charged particles, impinging the detector plane with known incidence angles $\left(\theta_{p}, \phi_{p}\right)$, and the coordinates $(x, y)$ of hits due to both Cherenkov photons and background sources. A Hough counting space has to be constructed for each charged particle, according to the following transform:

$$
\begin{equation*}
(x, y) \rightarrow\left(\left(x_{p}, y_{p}, \theta_{p}, \phi_{p}\right), \eta_{c}\right), \tag{4}
\end{equation*}
$$

Since the feature vector $\mathbf{a}=\left(x_{p}, y_{p}, \theta_{p}, \phi_{p}\right)$ is provided by the tracking of the charged particle, the transform will reduce the problem to a solution in a one-dimensional mapping space. The $H C S$ in this case represents the photon Cherenkov angle $\eta_{c}$ spectrum and, indeed, a Hough estimator for the Cherenkov angle $\theta_{c}$ of the particle is chosen as the highest peak provided by all the photons wich fall in that angle bin. Thus, the $H C S$ accumulates the contributions from several Cherenkov photons according the expression (2). The analysis procedure can be easily extended and made more effective if the weight function (3) is used to take into account other factors like the background evaluation or the charge contribution for each Cherenkov photon.
The transformation which provides the parameter $\eta_{c}$ for a given a vector will be described in the next section as the geometrical backtracing algorithm to extract the Cherenkov angle associated to each photon pad.

## 4 Geometrical backtracing

Starting from the cluster centroid (or the hit pad position) one has to reconstruct the angle under which the photon causing it could have been emitted if belonging to the chosen track. The procedure implemented to achieve such a result is called "backtracing". The following assumptions are made:
(1) the origin of 'photons' resulting in the same reconstructed angle is a priori fixed (single point) on the path across the radiator. The position of this point varies, although only slightly, with the polar angle of the photon (because of the absorption in the radiator), being close to the middle of the radiator thickness on the track path as described in more detail later;
(2) the energy of every 'photon' is assumed to be equal to the mean energy of the photons producing photoelectrons in the ALICE HMPID, i.e. 6.85 eV;

In the reference system where the origin is the entrance point of the Minimum Ionizing Particle (MIP) in the radiator (see fig.2), we define:

$$
\begin{aligned}
& \left(x_{p}, y_{p}\right)=\text { MIP impact coordinates onto the photocathode } \\
& \left(\theta_{p}, \phi_{p}\right)=\text { MIP polar and azimuthal angles } \\
& (x, y)=\text { impact coordinates of the photon onto the photocathode } \\
& (\theta, \phi)=\text { polar and azimuthal angles of the photon } \\
& r_{w}, q_{w}, t_{g a p}=\text { radiator, quartz and gap widths } \\
& n_{f}, n_{q}, n_{g}=\text { freon, quartz and methane refraction indices. }
\end{aligned}
$$

The azimuthal angle $\phi$ for the photon can be evaluated, for the first iteration, assuming an average emission depth $L$ (at the middle of the radiator):

$$
\begin{equation*}
\tan \phi=\frac{y-\operatorname{Ltan} \theta_{p} \sin \phi_{p}}{x-\operatorname{Ltan} \theta_{p} \cos \phi_{p}} \tag{5}
\end{equation*}
$$

Using this value of $\phi$ and defining $a$ and $R$ as

$$
\begin{aligned}
& a=\left[\left(r_{w}-L\right)+q_{w}+t_{g a p}\right] \tan \theta_{p} \\
& R=\text { distance MIP-photon impacts on the photocathode }
\end{aligned}
$$

the following equation has to be solved:

$$
\begin{equation*}
R^{2}=\left[a \cos \phi_{p}-b \cos \phi\right]^{2}+\left[a \sin \phi_{p}-b \sin \phi\right]^{2} \tag{6}
\end{equation*}
$$

In the eq.(6) $b$ is a function of the polar angle of the photon according to

$$
\begin{equation*}
b=\left(r_{w}-L\right) \tan \theta+q_{w} \frac{n_{f} \sin \theta}{\sqrt{n_{q}^{2}-n_{f}^{2} \sin ^{2} \theta}}+t_{g a p} \frac{n_{f} \sin \theta}{\sqrt{n_{g}^{2}-n_{f}^{2} \sin ^{2} \theta}} \tag{7}
\end{equation*}
$$

Therefore the solution of the eq.(6) taking into account the (7) provides the value of $\theta$ for the current photon of the MIP.
The emission point $L$, is evaluated per each photon, with an iterative procedure: at first $L$ is taken to be in the middle of the radiator width $(0.5 \mathrm{~cm})$ and a corresponding value of $\theta$ is extracted. With this value the most probable emission point $L(\theta)$ is evaluated as follows. The mean emission distance of
photons is given by

$$
\begin{equation*}
\left\langle l_{p}\right\rangle=\frac{\int_{0}^{\frac{r_{w}}{\cos \theta_{p}}} l_{p} T\left(l_{p h}\right) d l_{p}}{\int_{0}^{\frac{r_{w}}{\cos \theta_{p}}} T\left(l_{p h}\right) d l_{p}} \tag{8}
\end{equation*}
$$

where $T\left(l_{p h}\right)$ is the transmission coefficient of the freon along the photon path $\left(l_{p h}\right)$ in the radiator. This path length is given by

$$
\begin{equation*}
l_{p h}=l_{p} \frac{\cos \theta_{p}}{\cos \theta} \tag{9}
\end{equation*}
$$

so the transmission takes the form

$$
\begin{equation*}
T\left(l_{p h}\right)=e^{-l_{p h} / l_{a b s}} \tag{10}
\end{equation*}
$$

In the eq.(10) $l_{a b s}$ is the photon absorption length in the freon, given by $\left(-1.8 / \ln T_{o}\right) \mathrm{cm}$ : for 6.85 eV photon energy, $T_{o}$ for 1.8 cm of freon is $\approx 0.88$. The projection of $l_{p}$ onto the normal to the detector gives the average emission length according to:

$$
\begin{equation*}
\langle L\rangle=r_{w}-\left\langle l_{p}\right\rangle \cos \theta_{p}=r_{w}-l_{a b s} \cos \theta+r_{w} \frac{e^{-\frac{r_{w}}{l_{a b s} \cos \theta}}}{1-e^{-\frac{r_{w}}{l_{a b s} \cos \theta}}} \tag{11}
\end{equation*}
$$

By using this value as $L$ in the eq.(5), again $\theta$ is calculated: the same procedure is iterated until convergence is reached.
Finally the Cherenkov angle $\eta_{c}$ for the photon is calculated by:

$$
\begin{equation*}
\cos \eta_{c}=\sin \theta_{p} \cos \left(\phi-\phi_{p}\right)+\cos \theta_{p} \cos \theta \tag{12}
\end{equation*}
$$

## 5 Data samples

Different sets of data have been used in the development of the algorithm. The main ones have been obtained as an artificial superposition of actual single track (beam) events: in this way we can control the particle density and/or the cathode plane pad occupancy which are fundamental parameters we want to study the method performance in function of. These events have been obtained randomly superimposing a fixed number of single ring events on a pad map of the dimensions of the ALICE prototype module. In such a way, sets of respectively $10,20,30,40$ and 50 overlapped events per square meter have been formed, the last density being representative of the maximum expected pad occupancy in the ALICE environment. Inclined tracks and different proximity
gap sizes have been taken into account to understand the influence of the pattern shape and dimension, respectively, on the performance of the method: table 1 resumes the samples of this type used.

| Sample | ring radius | density $\left(\right.$ p.cles $\left./ \mathrm{m}^{2}\right)$ | HV | inc. angle |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 155 mm | $1 \rightarrow 50$ | 2100 V | $0^{o}$ |
| 2 | 155 mm | $1 \rightarrow 50$ | 2050 V | $0^{o}$ |
| 3 | 155 mm | $1 \rightarrow 50$ | 2000 V | $0^{o}$ |
| 4 | 122 mm | $1 \rightarrow 50$ | 2100 V | $0^{o}$ |
| 5 | 122 mm | $1 \rightarrow 50$ | 2050 V | $2.5^{\circ}, 5^{\circ}, 7.5^{\circ}$ |

Table 1: Samples of data from SPS test-beam, used for the analysis.

In fig. 3 an example of event at the maximum analyzed density ( 50 particles $/ \mathrm{m}^{2}$ ) is shown.
The method has as well been applied to the reconstruction of Cherenkov rings in a multiparticle environment by using simulated $\mathrm{Pb}-\mathrm{Pb}$ events generated by the HIJING_PARAM code. These events, corresponding to the maximum density expected at LHC, $(\mathrm{dN} / \mathrm{dy})_{c h}=8000$, have been transported through the ALICE detector by using the GEANT code.

## 6 Photon Cherenkov angle spectra

The distributions of the Cherenkov angle $\eta_{c}$ per photon-pad at four different particle densities are reported in fig. 4 (white distributions).
Although at first sight it seems advantageous to use the cluster centroid in the backtracing for better localization, we have observed that the use of the clustering on the hit pads, in case of high density multi-particle events, leads to a clear signal reduction per MIP. The clustering procedure in high density events modifies the topological (ring) reconstruction since it can merge together several photon clusters in a single cluster resulting into a biased centroid location. The net effect is an appreciable reduction of the signal over background ratio in the $\eta_{c}$ distribution. For this reason we have analyzed these multi-ring events taking into account only the hit pads, assuming as photon-pad location the middle of the pad itself.
In fig. 4 it is clearly shown that the amount of background increases with the the pad occupancy onto the photodetector and, at fixed occupancy, it increases with the Cherenkov angle. We need to treat it some way: its shape would, in fact, tend to bias the Hough procedure of the Cherenkov angle of the ring,
forcing it to prefer large values as more likely as the pad occupancy is higher.

### 6.1 Background description

To describe this background, the following analytical expression

$$
\begin{equation*}
\frac{d N_{b k g}}{d \eta_{c}} \sim F_{b k g}\left(\eta_{c}\right)=t g \theta\left(1+t g^{2} \theta\right) \frac{n_{C_{6} F_{14}}}{n_{C H_{4}}} \frac{\cos \eta_{c}}{\cos \theta} \tag{13}
\end{equation*}
$$

can be derived from geometrical considerations. In the eq.(13) the refraction indices $n_{C_{6} F_{14}}$ and $n_{C H_{4}}$ have been assumed constant and $\theta$ is the polar angle of the photon at the photocathode given by

$$
n_{C H_{4}} \sin \theta=n_{C_{6} F_{14}} \sin \eta_{c}
$$

The eq.(13), derived for normal incidence of the charged track onto the photodetector, is still suitable for small incidence angles as concerned with in the following analysis. It fits well the real background at all particle densities, as shown by the dashed curves in fig. 4 .
With this analytical form each photon-pad has been weighted according the following procedure. For each analyzed track (MIP impact) the corresponding $\eta_{c}$ distribution is calculated. In this distribution we calculate the number of photon-pads $N_{b k g}$ in a sampling band far from the signal (i.e. with $\eta_{c}$ between $\eta_{b k g}^{1}=0.720$ and $\left.\eta_{b k g}^{2}=0.760 \mathrm{rad}\right)$. So the expected amount of background photon-pads at any $\eta_{c}$ can be estimated by means of the (13), as

$$
\begin{equation*}
R_{b k g}\left(\eta_{c}\right) d \eta_{c}=\frac{F_{b k g}\left(\eta_{c}\right) N_{b k g}}{\int_{\eta_{b k g}^{\prime}}^{\eta_{b k g}^{2}} F_{b k g}\left(\eta_{c}^{\prime}\right) d \eta_{c}^{\prime}} d \eta_{c} \tag{14}
\end{equation*}
$$

In the eq.(14) $d \eta_{c}$ is 1 mrad : it means that $R_{b k g}\left(\eta_{c}\right) d \eta_{c}$ is the expected amount of background photons in 1 mrad bin at the angle $\eta_{c}$. In fig. 4 it is clearly shown that the agreement of this estimated background (dashed line) with the background shape at all particle densities is good. The white distribution in each case corresponds to the photon-pad $\eta_{c}$ spectrum obtained for all analyzed MIPs. If $N_{p h}\left(\eta_{c}\right) d \eta_{c}$ is the $\eta_{c}$ spectrum for the MIP under study, to take into account the background, each photon in each bin should be weighted by

$$
\begin{equation*}
W_{b k g}\left(\eta_{c}\right)=1-\frac{R_{b k g}\left(\eta_{c}\right)}{N_{p h}\left(\eta_{c}\right)} \tag{15}
\end{equation*}
$$

The dark distributions in fig. 4 have been obtained by entering each photon with the corresponding weight calculated by (15). In this way the background
contribution has been taken into account so that, a priori, no systematic biasing of the signal will occur in the pattern recognition.

### 6.2 HTM with correlation bands for signals with intrinsic width

The determination of the mean Cherenkov angle for all the photons associated to a track, as already mentioned, is the final goal of the pattern recognition. The $\eta_{c}$ signal observed in fig. 4 shows that there is no drastic deterioration of the width of the signal distribution, but the mean Cherenkov angle is influenced by the presence of the background signal. In the following we will describe in details the applied method relying on the Hough transform method modified to accommodate the spread of the signal in the $\eta_{c}$ spectrum. Of course the signal seen in a single event is rather small, as shown in fig.5: it requires a complex analysis to extract the mean Cherenkov angle. We have adopted the so-called sliding window approach that will be described in detail later. It consists basically in sliding a window of a width comparable to the width of the Cherenkov signal (in $\eta_{c}$ ) over the full $\eta_{c}$ spectrum. At each step in the sliding procedure, the total content of the bins falling within the window is counted. The position of the maximum recorded allows, after iterative averaging procedures, for the extraction of the mean angle.
The statistics of photons per each Cherenkov ring is quite small (18 photons in average) and each of them has an intrinsic spread in the corresponding Cherenkov angle. For a better treatment of the signal in the $\eta_{c}$ spectrum, the incrementation expression (2) has been modified according to the method described hereafter.
The following integration in the $\eta_{c}$ space over a 'sampling band' $b$ has been applied:

$$
\begin{equation*}
H C S^{\prime}\left(\eta_{c}\right)=\int_{\eta_{c}-\frac{b}{2}}^{\eta_{c}+\frac{b}{2}} H C S\left(\eta_{c}^{\prime}\right) d \eta_{c}^{\prime} \tag{16}
\end{equation*}
$$

which in the discrete case, where $d \eta_{c}=1 \operatorname{mrad}$ (i.e. $\eta_{c}(k)=k d \eta_{c}, k$ integer), transforms into the correlation:

$$
\begin{equation*}
H C S^{\prime}\left(\eta_{c}(k)\right)=\sum_{i=\eta_{c}(k)-\frac{b}{2}}^{\eta_{c}(k)+\frac{b}{2}} H C S(i) \tag{17}
\end{equation*}
$$

This integration analitically provides a smoothing of the $H C S$ function which has been proved to reduce the statistical fluctuations in the peak position. The $H C S$ has been calculated using $w=W_{b k g}\left(\eta_{c}\right)$ defined by eq.(3) as the incrementation function. The sampling band in the correlation has been de-
termined by the analysis as 40 mrad (corresponding to about 3 sigmas of the experimental signal distribution) to get in the end the best Cherenkov angle resolution.

## 7 HTM application results

The output response of the Hough analysis procedure, per each MIP, consists of the photon-pads whose $\eta_{c}$ angle correspond to the counts falling into the Hough selected band: we call those "Hough photon-pads" and their number will be indicated by $N_{p h}^{\text {Hough }}$. Since in the overlapped multi-ring events the identity of each photon-pad is known (i.e. we know, for each photon, the MIP to which it belongs), we can estimate that the average fraction of "original" Cherenkov photon-pads in the Hough selected band is around $70 \%$ at the highest particle density analyzed.
Furthermore the band selection performed by the Hough method takes into account the background: then for each Hough selected photon-pad a background related weight is also available. Finally, the pattern recognition provides this global output:

$$
N_{p h}^{\text {Hough }} \quad\left(\eta_{c}(i), W_{b k g}\left(\eta_{c}(i)\right), \quad \mathrm{i}=1, N_{p h}^{\text {Hough }}\right)
$$

The mean Cherenkov angle is then:

$$
\begin{equation*}
\left\langle\theta_{c}\right\rangle=\frac{1}{W_{t o t}} \sum_{i=1}^{N_{p h}^{\text {Hough }}} W_{b k g}\left(\eta_{c}(i)\right) \eta_{c}(i) \quad W_{t o t}=\sum_{i=1}^{N_{p h}^{\text {Hough }}} W_{b k g}\left(\eta_{c}(i)\right) \tag{18}
\end{equation*}
$$

and the calculation is iterated, discarding photon-pads with $\eta_{c}$ by more than a defined number of sigmas from the $\left\langle\theta_{c}\right\rangle$ value. Finally, by iteration the best estimate for the current Cherenkov angle $\theta_{c}$ is obtained.

### 7.1 ALICE HMPID test beam data analysis

As a first example of application of the method we report in the following the results obtained for the Cherenkov angle reconstruction in the multi-ring environment of data samples in table 1: they represent a natural test of the algorithm performance since both the pad occupancy and background levels are controlled.
In fig.6(a) the $\theta_{c}$ distribution at 50 particles $/ \mathrm{m}^{2}$ is shown while fig.6(b) illustrates (open triangles) the behaviour of the angle resolution versus particle density. The same analysis procedure has also been applied for event samples (2) and (3) in table 1, i.e. overlapped beam events collected at the SPS H4
beam at different high voltages on the multiwire chamber (RICH-HV) going from 2100 V to 2050 V and 2000 V : the results are summarized in fig.6(b). No significant difference is observed for the two higher HV values, while a degradation is observed at 2000 V , probably due to some photon losses at that voltage.
The behaviour of $\sigma_{\theta_{c}}$ versus particle density is reported in fig.7(a), where the results for samples (1) and (4) are compared. The better resolution obtained for smaller $R$ reflects the fact that the overlap of different patterns is smaller for smaller rings, hence the underlying noise background contribution is smaller. The analytical relation between the Cherenkov angle resolution and the corresponding momentum limit for $3-\sigma \pi-K$ separation is plotted in fig. $7(\mathrm{~b})$ : it can be noted that the performance of the method provides a track by track $\pi$-K identification up to more than $2.5 \mathrm{GeV} / \mathrm{c}$ for the most violent events anticipated in ALICE. Assuming the mean multiplicity of the recorded events to be about half the maximum value, the present analysis sets the $3-\sigma \pi$-K separation value to $\sim 3 \mathrm{GeV} / c$.
The performance of the method has also been tested for tracks hitting the photocathode with some angle with respect to the normal direction (samples (5) in table 1). In fig. 8 examples of rings produced by those tracks are shown. This analysis has been performed rejecting $1 / 4$ of the ring fiducial area for $2.5^{\circ}$ and $5^{\circ}$ and $1 / 3$ for $7.5^{\circ}$, as illustrated in fig. 8 . At present those cuts allow to reach the best angle resolutions by removing, from the analysis, regions with high background contamination in the Cherenkov signal.
Finally fig. 9 shows the evolution of the angle resolution going from normal incidence to $7.5^{\circ}$, which is close to the maximum expected in ALICE for the present HMPID design[2].

### 7.2 GEANT simulated data analysis

The performance of the method has also been evaluated with the detector simulation code GALICE[10]. One central $\mathrm{Pb}-\mathrm{Pb}$ event ( $\sim 13 \%$ of pad occupancy) has been fully simulated in the ALICE setup by generating the primary particle flux with the HIJING event generator (fig.10), while secondary charged and neutral particle fluxes at the radial position of the RICH were simulated with FLUKA[11].
A single ring at a time has been generated and imbedded in the simulated $\mathrm{Pb}-$ Pb event: in this way $\pi, K$ and $p$ with different momenta, impinging one of the HMPID modules[2] at normal incidence, have been inserted in the background, with the Cherenkov ring assumed to be completely inside the sensitive area of the RICH photocathode. Fig. 11 shows the distributions of the reconstructed $\eta_{c}$ Cherenkov angle for $\pi, K$ and $p$ at 2 and $3 \mathrm{GeV} / c$ momenta, separately.
The Cherenkov angle resolution has been found in complete agreement with the estimates obtained by using the overlapped data at the maximum occupancy, at least for saturated particles (all the pions above $1 \mathrm{GeV} / c$ for in-
stance) since this situation is directly comparable with the full developed rings (beam particles) superimposed to build samples of table 1. The behaviour in function of particle momentum and specie is described in the next subsection.

### 7.3 Efficiency and contamination estimates

The pattern recognition performance for the three particle species has been evaluated by means of a study of particle identification efficiency and contamination: this allows to better understand the angle reconstruction and particle identification capability in function of the particle momenta.
If $\mathrm{N}_{i}^{\text {found }}$ is the number of the reconstructed rings with $\eta_{c}$ in a selected range for a given particle, while $\mathrm{N}_{i}^{t o t}$ is the number of the total simulated rings, then the efficiency Eff ${ }_{i}$ and contamination Cont ${ }_{i}$ have been defined as follows:
$\mathrm{Eff}_{i}=\frac{N_{i}^{\text {found }}}{N_{i}^{\text {tot }}} \quad$ Cont $_{i}=\frac{N_{j}^{\text {found }}+N_{k}^{\text {found }}}{N_{i}^{\text {found }}+N_{j}^{\text {found }}+N_{k}^{\text {found }}} \quad i \neq j \neq k \quad(i=\pi, K, p)$
where the expected particle production ratios, estimated with HIJING, have been applied to calculate Cont $_{i}[12]$. The cuts made in the analysis reflected the best compromise between a good efficiency and a low contamination and are only indicative since in the experiment they will depend on the physics signal under study. Fig. 12 shows efficiencies and contaminations as a function of particle momentum evaluated for $\pi, K$ and $p$ in the ranges $1.5 \div 4,1.5 \div 3$ and $2 \div 5 \mathrm{GeV} / \mathrm{c}$ respectively.
The contamination in the pion sample is well below $10 \%$ at $80 \%$ efficiency over the momentum range of interest. For kaons the effect of the much larger amount of pions in the expected physical ratio reflects in a larger contamination: to keep it reasonably small one has to lower the efficiency. The efficiency for protons is $\sim 50 \%$ at low momenta (near to the momentum threshold) due to the low number of emitted photons, making more difficult the pattern recognition.

## 8 Summary and conclusions

A pattern recognition method based on a modification of the Hough Transform has been described. The algorithm has been applied to the study of data taken in a test beam at CERN SPS with the ALICE HMPID prototype.
The performance of the method, applied to the recognition of the Cherenkov patterns in a RICH detector, has been studied in function of the increasing number of single patterns on the bidimensional map (particle density) and in function of their individual shape (from circular to elliptical depending on the
track incidence angle at the detector plane).
Even when applied to simulated high track density events the method looks promising: results for occupancies up to $\sim 13 \%$ (corresponding to the maximum total particle density expected in the ALICE detector) have been presented.
A detailed study of the reachable efficiency/contamination level has been also carried out, by using a full GEANT simulation of a high density $\mathrm{Pb}-\mathrm{Pb}$ event expected at LHC.

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## References

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## Figure caption

Fig. 1: Schematic drawing of the ALICE-HMPID detector.

Fig. 2: Reference system used in the backtracing.

Fig. 3: Detector map for an event at 50 p.cles $/ \mathrm{m}^{2}$.

Fig. 4: Distributions of $\eta_{c}$ per photon-pad at different particle densities, with the estimated background (dashed) and after the weighting procedure (dark) for sample (1).

Fig. 5: Distributions of $\eta_{c}$ per photon-pad for single MIPs in sample (1) at 50 particles $/ m^{2}$.

Fig. 6: Final Cherenkov angle distributions per MIP at 50 p.cles $/ m^{2}$ for sample (1) (a) and sigma evolution with density for samples (1), (2) and (3) (b).

Fig. 7: Cherenkov angle resolution versus density at different radii (a) and momentum limit vs. $\sigma_{\theta}$ relation (b).

Fig. 8: Examples of rings for inclined tracks.

Fig. 9: Angle resolution as a function of the track incidence.

Fig. 10: Left: hit map of central HMPID module in ALICE generated with the GALICE package for one $\mathrm{Pb}-\mathrm{Pb}$ central event ( $d N / d y=8000$ ). Right: a detailed of the previous hit map showing the region where the generated ring has been merged to the event (the ring pads are in black).

Fig.11: Distributions of reconstructed Cherenkov angle $\eta_{c}$ for 1000 of $\pi, K$ and $p$ at two different momenta.

Fig.12: Efficiencies and contaminations as a function of momentum.


Fig. 1.


Fig. 2.


Fig. 3.


Fig. 4.



Fig. 5.


Fig. 6.


Fig. 7.


Fig. 8.


Fig. 9.


Fig. 10.


Fig. 11.


Fig. 12.


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