

# Minimal Hadronic Ansatz to Large $N_c$ QCD and Hadronic $\tau$ -Decay\*

Eduardo de Rafael<sup>a</sup>

<sup>a</sup>Centre de Physique Théorique, CNRS-Luminy, Case 907,  
F-13288 Marseille Cedex 9, France

I report on some recent work done in collaboration with Santi Peris and Boris Phily [1] where, using the Aleph data on vector and axial-vector spectral functions, we test simple duality properties of QCD in the large  $N_c$  limit which emerge in the approximation of a *minimal hadronic ansatz* of a spectrum of narrow states. These duality properties relate the short- and long-distance behaviours of specific correlation functions, which are order parameters of spontaneous chiral symmetry breaking, in a way that we find well supported by the data.

## 1. INTRODUCTION

At first sight, the *hadronic world* predicted by QCD in the limit of a large number of colours  $N_c$  [2] may seem rather different from the real world. The hadronic spectrum of vector and axial-vector states, observed e.g. in  $e^+e^-$  annihilations and in  $\tau$  decays, has certainly much more structure than the infinite set of narrow states predicted by large  $N_c$  QCD [3] ( $\text{QCD}_\infty$ ). There are, however, many instances in Particle Physics where one is only interested in certain weighted integrals of hadronic spectral functions. In these cases, it may be enough to know a few *global* properties of the hadronic spectrum; one does not expect the integrals to depend crucially on the details of the spectrum at all energies. Typical examples of that are the coupling constants of the effective chiral Lagrangian of QCD at low energies, as well as the coupling constants of the effective chiral Lagrangian of the electroweak interactions of pseudoscalar particles in the Standard Model, which are needed to understand  $K$ -Physics in particular, (see e.g. the review article in ref. [4] and references therein.) It is in these examples that the *hadronic world* predicted by  $\text{QCD}_\infty$  may provide a good approximation to the real hadronic spectrum. If so,  $\text{QCD}_\infty$  could then become a useful phenomenological approach for understanding non-perturbative QCD physics at low energies.

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There are indeed a number of successful calculations which have already been done within the framework of  $\text{QCD}_\infty$ , (see ref. [5–9] and references therein.) The picture which emerges from these applications is one of a remarkable simplicity. It is found that, when dealing with Green's functions that are *order parameters* of spontaneous chiral symmetry breaking, the restriction of the infinite set of large  $N_c$  narrow states to a *minimal hadronic ansatz* which is needed to satisfy the leading short- and long-distance behaviours of the relevant Green's functions, provides already a very good approximation to the observables one computes. The purpose of the work in ref. [1], which I am reporting here, is to investigate this *minimal hadronic ansatz* approximation in a case where one can compare, in detail, the theoretical predictions to the phenomenological results evaluated with experimental data.

## 2. THE LEFT-RIGHT CORRELATION FUNCTION

Of particular interest for our purposes is the correlation function ( $Q^2 \equiv -q^2 \geq 0$  for  $q^2$  space-like)

$$\Pi_{LR}^{\mu\nu}(q) = 2i \int d^4x e^{iq \cdot x} \langle 0 | T (L^\mu(x) R^\nu(0)^\dagger) | 0 \rangle, \quad (1)$$

with colour singlet currents

$$R^\mu = \bar{d}(x) \gamma^\mu \frac{1}{2} (1 \pm \gamma_5) u(x). \quad (2)$$

In the chiral limit, ( $m_{u,d,s} \rightarrow 0$ ), this correlation function has only a transverse component

$$\Pi_{LR}^{\mu\nu}(Q^2) = (q^\mu q^\nu - g^{\mu\nu} q^2) \Pi_{LR}(Q^2). \quad (3)$$

The self-energy like function  $\Pi_{LR}(Q^2)$  vanishes order by order in perturbative QCD (pQCD) and is an order parameter of S $\chi$ SB for all values of  $Q^2$ ; therefore it obeys an unsubtracted dispersion relation

$$\Pi_{LR}(Q^2) = \int_0^\infty dt \frac{1}{t+Q^2} \frac{1}{\pi} \text{Im} \Pi_{LR}(t). \quad (4)$$

In QCD $_\infty$  the spectral function  $\frac{1}{\pi} \text{Im} \Pi_{LR}(t)$  consists of the difference of an infinite number of narrow vector and axial-vector states, together with the Goldstone pole of the pion:

$$\begin{aligned} \frac{1}{\pi} \text{Im} \Pi_{LR}(t) &= \sum_V f_V^2 M_V^2 \delta(t - M_V^2) \\ &\quad - F_0^2 \delta(t) - \sum_A f_A^2 M_A^2 \delta(t - M_A^2). \end{aligned} \quad (5)$$

The low  $Q^2$  behaviour of  $\Pi_{LR}(Q^2)$ , i.e. the long-distance behaviour of the correlation function in Eq. (1), is governed by chiral perturbation theory:

$$-Q^2 \Pi_{LR}(Q^2)|_{Q^2 \rightarrow 0} = F_0^2 + 4L_{10} Q^2 + \mathcal{O}(Q^4), \quad (6)$$

where  $F_0$  is the pion coupling constant in the chiral limit, and  $L_{10}$  is one of the coupling constants of the  $\mathcal{O}(p^4)$  effective chiral Lagrangian. The high  $Q^2$  behaviour of  $\Pi_{LR}(Q^2)$ , i.e. the short-distance behaviour of the correlation function in Eq. (1), is governed by the operator product expansion (OPE) of the two local currents in Eq. (1) [10],

$$\lim_{Q^2 \rightarrow \infty} Q^6 \Pi_{LR}(Q^2) = \left[ -4\pi^2 \frac{\alpha_s}{\pi} + \mathcal{O}(\alpha_s^2) \right] \langle \bar{\psi} \psi \rangle^2, \quad (7)$$

which implies the two Weinberg sum rules:

$$\int_0^\infty dt \text{Im} \Pi_{LR}(t) = \sum_V f_V^2 M_V^2 - \sum_A f_A^2 M_A^2 - F_0^2 = 0, \quad (8)$$

and

$$\int_0^\infty dt t \text{Im} \Pi_{LR}(t) = \sum_V f_V^2 M_V^4 - \sum_A f_A^2 M_A^4 = 0. \quad (9)$$

In fact, as pointed out in ref. [11], in QCD $_\infty$ , there exist an infinite number of Weinberg-like

sum rules. In full generality, the moments of the  $\Pi_{LR}$  spectral function with  $n = 3, 4, \dots$ ,

$$\int_0^\infty dt t^{n-1} \left[ \frac{1}{\pi} \text{Im} \Pi_V(t) - \frac{1}{\pi} \text{Im} \Pi_A(t) \right] = \sum_V f_V^2 M_V^{2n} - \sum_A f_A^2 M_A^{2n}, \quad (10)$$

govern the short-distance expansion of the  $\Pi_{LR}(Q^2)$  function

$$\begin{aligned} \Pi_{LR}(Q^2)|_{Q^2 \rightarrow \infty} &= \left( \sum_V f_V^2 M_V^6 - \sum_A f_A^2 M_A^6 \right) \frac{1}{Q^6} \\ &\quad + \left( \sum_V f_V^2 M_V^8 - \sum_A f_A^2 M_A^8 \right) \frac{1}{Q^8} + \dots \end{aligned} \quad (11)$$

On the other hand, inverse moments of the  $\Pi_{LR}$  spectral function, with the pion pole removed, (which we denote by  $\text{Im} \tilde{\Pi}_A(t)$ ), determine a class of coupling constants of the low-energy effective chiral Lagrangian. For example,

$$\int_0^\infty dt \frac{1}{t} \left[ \frac{1}{\pi} \text{Im} \Pi_V(t) - \frac{1}{\pi} \text{Im} \tilde{\Pi}_A(t) \right] = \sum_V f_V^2 - \sum_A f_A^2 = -4L_{10}. \quad (12)$$

Moments with higher inverse powers of  $t$  are associated with couplings of composite operators of higher dimension in the chiral Lagrangian. Tests of the two Weinberg sum rules in Eqs. (8) and (9) and of the  $L_{10}$  sum rule in Eq. (12), in a different context to the one we are interested in here, have often appeared in the literature, (see e.g. refs. [12] and [13] for recent discussions where earlier references can also be found.)

### 3. THE MINIMAL ANSATZ

We shall now consider the approximation which we call the *minimal hadronic ansatz* to QCD $_\infty$ . In the case of the left-right two-point function in Eq. (1), this is the approximation where the hadronic spectrum consists of one vector state  $V$ , one axial-vector state  $A$  and the Goldstone pion, with the ordering [11]  $M_V < M_A$ . This is the *minimal spectrum* which is required to satisfy the two Weinberg sum rules in Eqs. (8) and (9). In

this approximation,  $\Pi_{LR}(Q^2)$  has a very simple form

$$-Q^2\Pi_{LR}(Q^2) = \frac{F_0^2}{\left(1 + \frac{Q^2}{M_V^2}\right)\left(1 + \frac{Q^2}{M_A^2}\right)} \quad (13)$$

$$= \frac{M_A^2 M_V^2}{Q^4} \frac{F_0^2}{\left(1 + \frac{M_V^2}{Q^2}\right)\left(1 + \frac{M_A^2}{Q^2}\right)}. \quad (14)$$

This equation shows, explicitly, a remarkable short-distance  $\Leftrightarrow$  long-distance duality [14]. Indeed, with  $g_A$  defined so that  $M_V^2 = g_A M_A^2$  and  $z \equiv \frac{Q^2}{M_V^2}$ , the non-local order parameters corresponding to the long-distance expansion for  $z \rightarrow 0$ , which are couplings of the effective chiral Lagrangian i.e.,

$$-Q^2\Pi_{LR}(Q^2)|_{z \rightarrow 0} = F_0^2 \{1 - (1 + g_A)z + (1 + g_A + g_A^2)z^2 + \dots\}, \quad (15)$$

are correlated to the local order parameters of the short-distance OPE for  $z \rightarrow \infty$  in a very simple way:

$$-Q^2\Pi_{LR}(Q^2)|_{z \rightarrow \infty} = F_0^2 \frac{1}{g_A} \frac{1}{z^2} \left\{ 1 - \left(1 + \frac{1}{g_A}\right) \frac{1}{z} + \left(1 + \frac{1}{g_A} + \frac{1}{g_A^2}\right) \frac{1}{z^2} + \dots \right\}; \quad (16)$$

in other words, there is a one to one correspondence between the two expansions by changing

$$g_A \Leftrightarrow \frac{1}{g_A} \quad \text{and} \quad z^n \Leftrightarrow \frac{1}{g_A} \frac{1}{z^{n+2}}. \quad (17)$$

The moments of the  $\Pi_{LR}$  spectral function, when evaluated in the *minimal hadronic ansatz* approximation, can be converted into a very simple set of finite energy sum rules (FESR's), corresponding to the OPE in Eq. (16)

$$\int_0^{s_0} dt t^2 \frac{1}{\pi} \text{Im}\Pi_{LR}(t) = -F_0^2 M_V^4 \frac{1}{g_A}, \quad (18)$$

$$\int_0^{s_0} dt t^3 \frac{1}{\pi} \text{Im}\Pi_{LR}(t) = -F_0^2 M_V^6 \frac{1 + \frac{1}{g_A}}{g_A}, \quad (19)$$

$$\int_0^{s_0} dt t^4 \frac{1}{\pi} \text{Im}\Pi_{LR}(t) = -F_0^2 M_V^8 \frac{1 + \frac{1}{g_A} + \frac{1}{g_A^2}}{g_A}, \quad (20)$$

... ..

where the upper limit of integration  $s_0$  denotes the onset of the pQCD continuum which, in the chiral limit, is common to the vector and axial-vector spectral functions. It is important to realize that  $s_0$  is not a free parameter. Its value is fixed by the requirement that the OPE of the correlation function of two vector currents, (or two axial-vector currents,) in the chiral limit, have no  $1/Q^2$  term, which results in an implicit equation for  $s_0$  [15,16]. In the *minimal hadronic ansatz* approximation the onset of the pQCD continuum, which we shall call  $s_0^*$ , is then fixed by the equation

$$\frac{N_c}{16\pi^2} \frac{2}{3} s_0^* (1 + \mathcal{O}(\alpha_s)) = F_0^2 \frac{1}{1 - g_A}. \quad (21)$$

Also, the moments which correspond to the chiral expansion in Eq. (15) are given by another simple set of FESR's:

$$\int_0^{s_0} dt \frac{1}{\pi} \text{Im}\tilde{\Pi}_{LR}(t) = F_0^2, \quad (22)$$

$$\int_0^{s_0} dt \frac{1}{t} \frac{1}{\pi} \text{Im}\tilde{\Pi}_{LR}(t) = \frac{F_0^2}{M_V^2} (1 + g_A), \quad (23)$$

$$\int_0^{s_0} dt \frac{1}{t^2} \frac{1}{\pi} \text{Im}\tilde{\Pi}_{LR}(t) = \frac{F_0^2}{M_V^4} (1 + g_A + g_A^2), \quad (24)$$

... ..

We propose to test these duality relations by comparing moments of the physical spectral function  $\frac{1}{\pi} \text{Im}\Pi_{LR}^{\text{exp}}(t)$  determined from experiment to the predictions of the *minimal hadronic ansatz* as shown in the r.h.s. of Eqs. (18) to (20) and Eqs. (22) to (24).

#### 4. EXPERIMENTAL MOMENTS VERSUS THE PREDICTIONS OF THE MINIMAL HADRONIC ANSATZ APPROXIMATION TO QCD $_{\infty}$

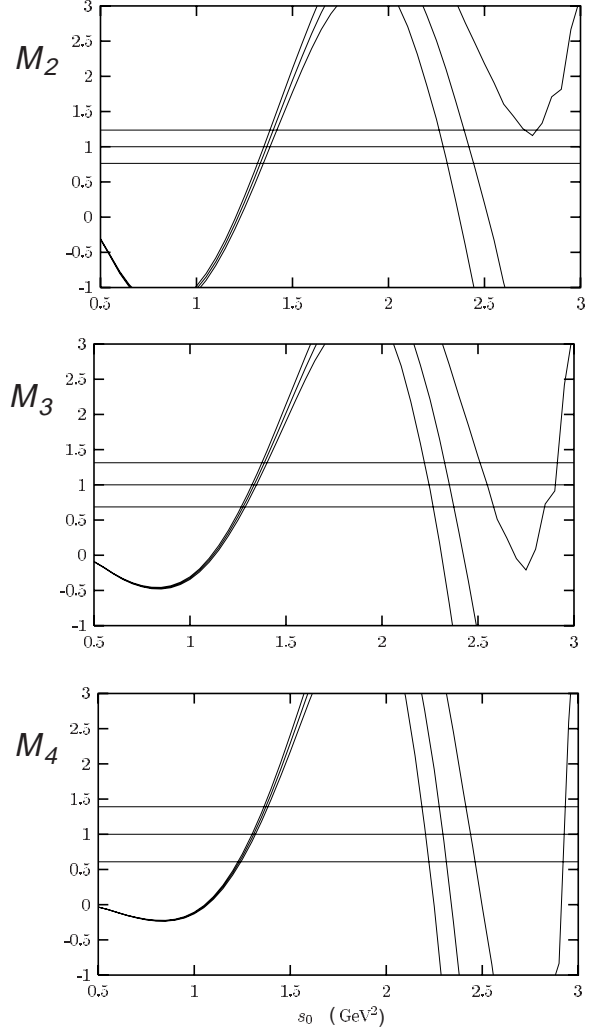
The ALEPH collaboration at LEP has measured the inclusive invariant mass-squared distribution of hadronic  $\tau$  decays [17] into non-strange particles. They have been able to extract from their data, both, the vector current spectral function  $\frac{1}{\pi} \text{Im}\Pi_V^{\text{exp}}(t)$  and the axial-vector current spectral function  $\frac{1}{\pi} \text{Im}\Pi_A^{\text{exp}}(t)$  up to  $t \simeq 3 \text{ GeV}^2$ . In fact, in the real world, the correlation function in Eq. (3) has a non-transverse term as well,

which is dominated by the pion pole contribution to the axial–vector component. The vector contribution to the non–transverse term vanishes in the limit of isospin invariance.

In order to compare the moments of the experimental spectral function  $\frac{1}{\pi}\text{Im}\Pi_{LR}^{\text{exp}}(t)$  to those in Eqs. (18) to (20) and Eqs. (22) to (24) we still have to correct for the fact that the FESR’s in these equations apply in the chiral limit where  $m_{u,d} \rightarrow 0$ . This we do by exploiting the analyticity properties of the two–point function  $\Pi_{LR}$  in the complex  $q^2$ –plane. Integration over a standard contour relates weighted integrals of the spectral function  $\frac{1}{\pi}\text{Im}\Pi_{LR}^{\text{exp}}(t)$  in a finite interval on the real axis to integrals of  $\Pi_{LR}(q^2)$  over a *small* circle  $|q^2| = s_{\text{th}}$  and a *large* circle  $|q^2| = s_0$ :

$$\int_{s_{\text{th}}}^{s_0} dt f(t) \text{Im}\Pi_{LR}(t) = \oint_{|q^2|=s_{\text{th}}} dq^2 \frac{1}{2i} f(q^2) \Pi_{LR}(q^2) - \oint_{|q^2|=s_0} dq^2 \frac{1}{2i} f(q^2) \Pi_{LR}(q^2), \quad (25)$$

where the weight function  $f(q^2)$  is a conveniently chosen analytic function inside the contour; in our case simple powers and inverse powers of  $q^2$ . The chiral corrections in the *small* circle are particularly important in the evaluation of the inverse moments. We have evaluated them by taking into account the one loop expression of  $\Pi_{LR}(z)$  in chiral perturbation theory [18]. The chiral corrections in the *large* circle are rather small. They appear as leading  $1/Q^2$  and next–to–leading  $1/Q^4$  power corrections in the OPE of  $\Pi_{LR}(Q^2)$  at large  $Q^2$  but their coefficients, proportional to quark masses, are small [19]. With these corrections incorporated, we proceed now to the comparison we are looking for. This is shown in Figs. 1 and 2 below where we show the various moments as a function of  $s_0$ . The three plots in Fig. 1 show the experimental moments on the l.h.s. of Eqs. (18), (19) and (20) as a function of  $s_0$ , extrapolated at the chiral limit as discussed above, and normalized to the corresponding *minimal hadronic ansatz* predictions given on the r.h.s. of these equations.



**Fig. 1** Plot of the experimental moments in Eqs. (26), (27) and (28) normalized to the minimal hadronic ansatz predictions.

The three curves  $M_2$ ,  $M_3$  and  $M_4$  in Fig. 1 correspond to the quantities:

$$M_2 = \frac{-g_A}{F_0^2 M_V^4} \int_0^{s_0} dt t^2 \frac{1}{\pi} \text{Im}\Pi_{LR}^{\text{exp}}(t), \quad (26)$$

$$M_3 = \frac{-g_A}{F_0^2 M_V^6 \left(1 + \frac{1}{g_A}\right)} \int_0^{s_0} dt t^3 \frac{1}{\pi} \text{Im}\Pi_{LR}^{\text{exp}}(t), \quad (27)$$

$$M_4 = \frac{-g_A}{F_0^2 M_V^8 \left(1 + \frac{1}{g_A} + \frac{1}{g_A^2}\right)} \int_0^{s_0} dt t^3 \frac{1}{\pi} \text{Im}\Pi_{LR}^{\text{exp}}(t). \quad (28)$$

On the other hand, the three plots in Fig. 2 show the experimental inverse moments on the l.h.s. of Eqs. (22), (23) and (24) as a function of  $s_0$ , with the pion pole removed, extrapolated at the chiral limit as discussed above, and normalized to the corresponding *minimal hadronic ansatz* predictions given on the r.h.s. of these equations. The three curves  $M_0$ ,  $M_{-1}$  and  $M_{-2}$  in Fig. 2 correspond to the quantities:

$$M_0 = \frac{1}{F_0^2} \int_0^{s_0} dt \frac{1}{\pi} \text{Im} \tilde{\Pi}_{LR}^{\text{exp}}(t), \quad (29)$$

$$M_{-1} = \frac{M_V^2}{F_0^2 (1 + g_A)} \int_0^{s_0} dt \frac{1}{t} \frac{1}{\pi} \text{Im} \tilde{\Pi}_{LR}^{\text{exp}}(t), \quad (30)$$

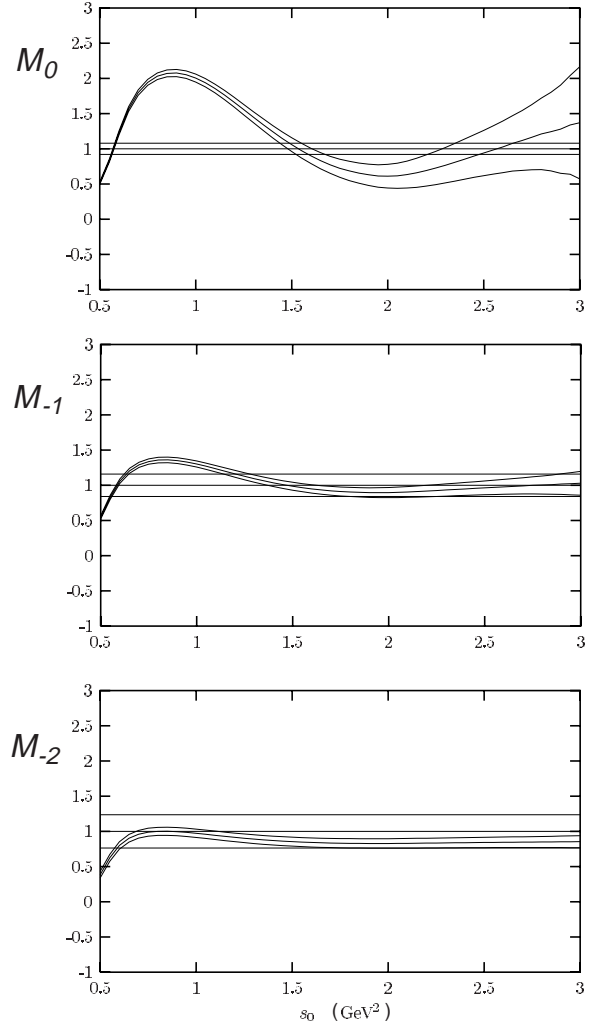
$$M_{-2} = \frac{M_V^4}{F_0^2 (1 + g_A + g_A^2)} \int_0^{s_0} dt \frac{1}{t^2} \frac{1}{\pi} \text{Im} \tilde{\Pi}_{LR}^{\text{exp}}(t). \quad (31)$$

The horizontal bands on the plots in Figs. 1 and 2 correspond to the induced error of the *minimal hadronic ansatz* predictions from the input values:  $F_0 = 87 \pm 3.5$  MeV,  $M_V = 748 \pm 29$  MeV and  $g_A = 0.50 \pm 0.06$ . These are the values favored by a global fit of the *minimal hadronic ansatz* to low-energy observables [16]. The moments  $M_n$ , with the experimental error propagation included, are the curved bands in the figures.

The remarkable feature which the curves in Figs. 1 and 2 show is that, within errors, there is a crossing of all the experimental moments with the *minimal hadronic ansatz* band which takes place in the same  $s_0$  region, i.e., around  $s_0 \sim 1.4$  GeV<sup>2</sup>, rather close indeed to the  $s_0^*$  value which follows from the duality relation in Eq. (21):  $s_0^* = (1.2 \pm 0.2)$  GeV<sup>2</sup>. The same happens for the 2nd Weinberg sum rule in Eq. (9), which we show in Fig. 3, where

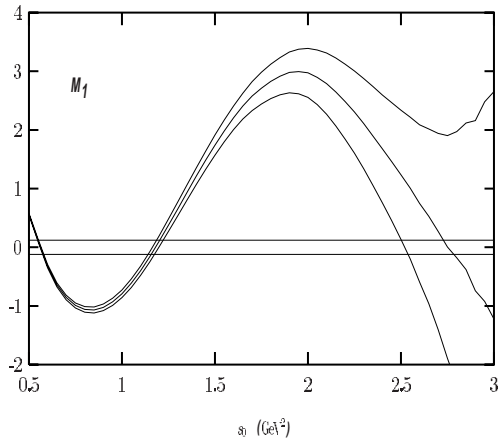
$$M_1 = \frac{1}{F_0^2 M_V^2} \int_0^{s_0} dt t \frac{1}{\pi} \text{Im} \tilde{\Pi}_{LR}^{\text{exp}}(t). \quad (32)$$

The 1st Weinberg sum rule is the equivalent of the moment  $M_0$  in Eq. (29). In fact, the agreement for the inverse moments is excellent. This is due to the fact that inverse moments put more and more weight on the low energy tail of the spectral function, which is known to be dominated by the  $\rho$ -resonance.



**Fig. 2** Plot of the experimental moments in Eqs. (29), (30) and (31) normalized to the *minimal hadronic ansatz* predictions.

By contrast, the positive moments are very sensitive to the cancellations between opposite parity hadronic states; this is why the experimental curves show larger and larger oscillations as one increases the power of the moment. In spite of that, it is quite impressive that, when restricted to the  $s_0$  region of duality, the experimental moments agree well with the *minimal hadronic ansatz* prediction, even for rather large powers which correspond to vacuum expectation values of operators of higher and higher dimension.



**Fig. 3** Plot of the 2nd Weinberg sum rule in Eq. (32).

We conclude that the experimental data from ALEPH is consistent with the simple pattern of duality properties between short- and long-distances which follow from the *minimal hadronic ansatz* of a narrow vector and a narrow axial-vector states plus the Goldstone pion in large- $N_c$  QCD. At the phenomenological level, it would be very interesting to see what the impact of the choice of the upper limit  $s_0$  is in the empirical determination of the QCD condensates, when  $s_0$  is restricted to the duality region.

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### QUESTIONS

**S. Narison**, Montpellier

*When you compare your large- $N_c$  QCD approximation with the  $\tau$ -data, you find two solutions for  $s_0$  and you choose the lowest value. Can you explain the reason for that?*

**E. de Rafael**, CPT-Marseille

This is a good question. I did not go through that because of time limitations. What you call the first solution, which I agree it is the one we consider, corresponds to the *minimal hadronic ansatz*

which I discussed. Higher solutions correspond, very likely, to a more elaborated choice of the spectrum: e.g., there is a second  $s_0$  of duality if we also include another V–state, and the data seems to indicate that. (The detailed analyses should appear in Boris Phily’s thesis.)

**H. Fritzsche**, München

*I am not surprised that your minimal ansatz works so well. In 1974, Leutwyler and I wrote a paper studying such an ansatz and showing that the coupling strengths of the lowest vector mesons fix the number of colors to be three.*

**E. de Rafael**, CPT-Marseille

Yes, vector meson dominance is a good old idea which goes back to early work by Sakurai. What we are doing now is to show how some of its phenomenological good features are now naturally incorporated within the framework of QCD at large- $N_c$ . Technically, in our language, the equation which you probably considered should be essentially the same as our duality equation in (21). You can certainly use this equation to fix  $N_c$ , provided you make *an a priori guess* of the onset of the continuum  $s_0$ . You probably took  $s_0 \sim 1$  GeV on phenomenological grounds and got  $N_c \sim 3$ .