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Next-to-leading Order Evolution of Transversity Distributions and Soffer's Inequality

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Abstract

We present a calculation of the two-loop splitting functions for the evolution of the twist-2 'transversity' parton densities of transversely polarized nucleons. We study the implications of our results for Soffer's inequality for the case of valence quark densities.

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1 Introduction

The past few years have seen much progress in our knowledge about the nucleon's spin structure thanks to the experimental study of the spin asymmetries $A_1^N(x, Q^2)$ (N = p, n, d) in deep-inelastic scattering (DIS) with longitudinally polarized lepton beams and nucleon targets. Such experiments yield information on the 'longitudinally polarized' (or 'helicity-weighted') parton distributions of the nucleon, which will be denoted as

$$\Delta_L f(x, Q^2) \equiv f^{\rightarrow}(x, Q^2) - f^{\leftarrow}(x, Q^2) , \qquad (1)$$

where $f^{\rightarrow}(x, Q^2)$ $(f^{\leftarrow}(x, Q^2))$ is the probability at scale Q of finding a parton-type f $(f = q, \bar{q}, g)$ in a longitudinally polarized nucleon (for definiteness, say, a proton) with its spin aligned (anti-aligned) with the proton spin and carrying the fraction x of the proton's momentum. Taking the sum instead of the difference in Eq. (1) one obtains the usual unpolarized parton distributions of the proton,

$$f(x, Q^2) \equiv f^{\to}(x, Q^2) + f^{\leftarrow}(x, Q^2)$$
 (2)

Apart from $f(x, Q^2)$ and $\Delta_L f(x, Q^2)$, a third type of parton density can be defined which describes the properties of *transversely* polarized nucleons [1, 2, 3, 4]:

$$\Delta_T f(x, Q^2) \equiv f^{\uparrow}(x, Q^2) - f^{\downarrow}(x, Q^2) , \qquad (3)$$

where now $f^{\uparrow}(x, Q^2)$ $(f^{\downarrow}(x, Q^2))$ stands for the probability of finding a parton-type f in a *transversely* polarized proton with its spin parallel (antiparallel) to the proton spin. These parton densities are usually referred to as 'transversity' distributions. They complete the twist-2 sector of nucleonic parton distributions and are therefore as interesting in principle as $f(x, Q^2)$ and $\Delta_L f(x, Q^2)$. Unlike the case of the latter two, there is no gluonic transversity distribution at leading twist [5, 6].

Unfortunately, nothing is known as yet experimentally about the transversity distributions. As was shown in [2, 3, 4], it is not possible to measure them in inclusive deep-inelastic scattering because they are chirally odd. It is widely accepted that Drell-Yan lepton pair production in collisions of transversely polarized protons offers the best possibility to get access to the $\Delta_T f$ [1, 2, 3, 4, 7, 8, 9, 10], and the RHIC spin physics programme comprises experiments of this kind [11].

There being a shortage of experimental information on the transversity distributions, there has been quite some effort on the theoretical side, aiming at the question of whether the $\Delta_L f$ and $\Delta_T f$ are entirely independent of each other. This is not expected really because one clearly has

$$f^{\to}(x,Q^2) + f^{\leftarrow}(x,Q^2) = f^{\uparrow}(x,Q^2) + f^{\downarrow}(x,Q^2)$$
 (4)

In fact, Soffer has derived an interesting non-trivial inequality [12, 7],

$$|\Delta_T f| \le \frac{1}{2} \left(f + \Delta_L f \right) \equiv f^{\rightarrow} , \qquad (5)$$

which is valid separately for each quark flavour.

Soffer's inequality can be derived in the context of a parton model [12, 7, 13]. The question immediately rises of whether the inequality is maintained when QCD is applied [13, 14, 15]. There are two potential sources of concern here. Firstly, as indicated in Eqs. (1)-(3), the parton distributions evolve with Q^2 . Since the corresponding evolution kernels at leading order (LO) [16, 2] turn out to be different for the $f(x, Q^2)$, $\Delta_L f(x, Q^2)$, $\Delta_T f(x, Q^2)$, it is not a priori clear that the inequality is preserved by QCD evolution. It turns out [15], however, that this is the case. The crucial point here is that the difference of the involved flavour non-singlet LO Altarelli-Parisi evolution kernels is always positive,

$$\frac{1}{2} \left(P_{qq}^{(0)}(x) + \Delta_L P_{qq}^{(0)}(x) \right) - \Delta_T P_{qq}^{(0)}(x) = C_F(1-x) \ge 0 , \qquad (6)$$

where $C_F = 4/3$. In Eq. (6) $P_{qq}^{(0)}$, $\Delta_L P_{qq}^{(0)}$ are the unpolarized and longitudinally polarized LO quark-to-quark splitting functions, respectively, which have been calculated in [16] and are equal because of helicity conservation. $\Delta_T P_{qq}^{(0)}$ is their transversely polarized counterpart of [2]. From Eq.(6) it follows that if one assumes validity of Soffer's inequality (5) at some input scale Q_0 , the inequality will still be fulfilled at any higher scale, at least in the context of LO evolution. Strictly speaking, this statement only follows for flavour non-singlet, in particular valence, quark densities, which is due to the lack of gluons in the case of transversity distributions. However, as was shown in [15], the picture does not change when the full LO singlet evolution is taken into account for the $f(x, Q^2)$, $\Delta_L f(x, Q^2)$ on the right-hand side of (5).

Secondly, there is the question of what role higher order QCD corrections play in Soffer's inequality. These enter in two ways, namely via the corrections to the splitting functions governing the evolution of the parton distributions, and as corrections to the cross sections for the processes which are used to extract information on the parton distributions from experiment in order to see whether they satisfy Eq. (5). As is well-known, the split-up of the higher order corrections into corrections to the splitting functions and to the cross sections is not unique but depends on the factorization scheme adopted, which in turn implies that the parton densities themselves are scheme-dependent beyond LO. Clearly, one is free to choose the factorization schemes independently for the $f(x, Q^2)$, $\Delta_L f(x, Q^2)$ and $\Delta_T f(x, Q^2)$, and it will in principle always be possible to violate Eq. (5) by an arbitrarily large amount by adopting certain 'sufficiently incompatible' schemes for the three densities. One therefore has to ask in which factorization scheme(s) it makes sense to try to check Eq. (5) beyond LO. In other words, what should be the meaning of Eq. (5) in higher orders? This question has also been addressed in [14] and will be one key issue of this paper. We will provide all ingredients for the next-to-leading order (NLO) framework of transversity distributions, which will serve to enable a check of Soffer's inequality to NLO.

As was pointed out earlier, the Drell-Yan process with transversely polarized hadrons appears to be the most likely candidate for measurements of the transversity distributions. Much theoretical work has therefore focused on this process [1, 2, 3, 4, 7, 8, 9, 10]. In particular, the NLO corrections to the transversely polarized Drell-Yan have been calculated in [8] and [9, 17], within different factorization schemes. The result of the latter calculation [9, 17] was used in [14] for a first assessment of the impact of NLO corrections on Soffer's inequality. However, for future NLO analyses of (hopefully forthcoming) experimental data, knowledge of the NLO corrections to the Drell-Yan cross section alone cannot be sufficient as data will necessarily be taken at various different values of the invariant mass of the Drell-Yan muon pair which sets the hard scale for the process. According to the previous paragraph, the NLO corrections to the Q^2 -evolution of the transversity distributions also have to be known in order to perform a complete and consistent NLO calculation. The main result of this paper will therefore be the presentation of the NLO evolution kernels for the transversity distributions – only when these are available can Soffer's inequality be meaningfully studied beyond LO. The method we will use to calculate the NLO transversity splitting functions will be the one used by Curci, Furmanski and Petronzio in the unpolarized case [18, 19] (see also [20]) and which was also utilized recently [21] to obtain the NLO evolution kernels for the longitudinally polarized parton distributions $\Delta_L f$.

The remainder of this paper is organized as follows. In section 2 we will outline the framework for our calculations. Also in our case the transversely polarized Drell-Yan process will be the starting point. Section 3 will give details of the calculation of the NLO transversity splitting functions. Section 4 will present the results and focus on the validity of Soffer's inequality beyond LO. Finally, we will draw our conclusions in section 5.

2 Framework

We begin by defining the spin-dependent cross section for dimuon production by protons with transverse polarization along, say, the *x*-axis:

$$\frac{\tau d\Delta_T \sigma}{d\tau d\phi} \equiv \frac{1}{2} \left(\frac{\tau d\sigma^{\uparrow\uparrow}}{d\tau d\phi} - \frac{\tau d\sigma^{\uparrow\downarrow}}{d\tau d\phi} \right) , \qquad (7)$$

where the superscript $\uparrow\uparrow(\uparrow\downarrow)$ denotes parallel (antiparallel) setting of the transverse spins of the incoming protons. Furthermore, $\tau \equiv M^2/S$, where M is the invariant mass of the muon pair and \sqrt{S} the centre-of-mass energy of the hadronic collision; ϕ is the azimuthal angle of one of the muons and is counted relative to the axis defined by the transverse polarizations. For the discussion to follow in this section it is convenient to temporarily go to Mellin moment space where we can perform the Q^2 -evolutions more explicitly. The Mellin moments of the cross section are defined by

$$\frac{d\Delta_T \sigma^n}{d\phi} \equiv \int_0^1 d\tau \tau^{n-1} \frac{\tau d\Delta_T \sigma}{d\tau d\phi} \ . \tag{8}$$

Including the NLO corrections to this cross section (as calculated in a certain factorization scheme such as the $\overline{\text{MS}}$ scheme), one has the generic expression¹ [8, 9]

$$\frac{d\Delta_T \sigma^n}{d\phi} = \frac{\alpha_{em}^2}{9S} \cos(2\phi) \Delta_T H^n(M^2) \left(1 + \frac{\alpha_s(M^2)}{2\pi} \Delta_T C_q^{\mathrm{DY},n}\right) , \qquad (9)$$

where

$$\Delta_T H^n(Q^2) \equiv \sum_q e_q^2 \left[\Delta_T q_1^n(Q^2) \Delta_T \bar{q}_2^n(Q^2) + \Delta_T \bar{q}_1^n(Q^2) \Delta_T q_2^n(Q^2) \right] .$$
(10)

Here we have restored for the moment the possibility of the scattering of two different hadrons labelled '1','2', even though anything apart from pp scattering will presumably not be realistic experimentally. We will keep this notation when dealing with the function $\Delta_T H$, but otherwise suppress the indices '1','2' in the following. The Mellin moments of the quark distributions $\Delta_T q$ and the $\mathcal{O}(\alpha_s)$ corrections $\Delta_T C_q^{\text{DY}}$ are defined in analogy with Eq. (8), e.g. $\Delta_T q^n (Q^2) \equiv \int_0^1 dx x^{n-1} \Delta_T q(x, Q^2)$.

When including the $\mathcal{O}(\alpha_s)$ corrections in (9), it is crucial that the parton distributions are evolved to NLO accuracy as well. Since there are no gluons involved in the case of the transversity distributions, their evolution equations reduce to simple non-singlet type equations. Introducing

$$\Delta_T q^n_{\pm} \equiv \Delta_T q^n \pm \Delta_T \bar{q}^n , \qquad (11)$$

one has

$$\Delta_T H^n(Q^2) = \frac{1}{2} \sum_q e_q^2 \left[\Delta_T q_{+,1}^n(Q^2) \Delta_T q_{+,2}^n(Q^2) - \Delta_T q_{-,1}^n(Q^2) \Delta_T q_{-,2}^n(Q^2) \right]$$
(12)

and the evolution equations (see, e.g., [20])

$$\frac{d}{d\ln Q^2} \Delta_T q_-^n(Q^2) = \Delta_T P_{qq,-}^n(\alpha_s(Q^2)) \Delta_T q_-^n(Q^2) , \qquad (13)$$

$$\frac{d}{d\ln Q^2} (\Delta_T q_+^n - \Delta_T q'_+^n)(Q^2) = \Delta_T P_{qq,+}^n (\alpha_s(Q^2))(\Delta_T q_+^n - \Delta_T q'_+^n)(Q^2) , \quad (14)$$

$$\frac{d}{d\ln Q^2} \Delta_T \Sigma^n(Q^2) = \Delta_T P^n_{\Sigma\Sigma}(\alpha_s(Q^2)) \Delta_T \Sigma^n(Q^2) , \qquad (15)$$

¹Here we only consider photon exchange. The contributions from Z^0 exchange and γZ^0 interference can be straightforwardly included by making use of Eq. (3) of [9].

where q, q' are different quark flavours and $\Delta_T \Sigma^n \equiv \sum_q \Delta_T q_+^n$. Note that the first moment (n = 1) in Eq. (13) corresponds to the evolution of the nucleon's tensor charge [3, 22]. The splitting functions $\Delta_T P_{qq,\pm}(\alpha_s(Q^2)), \Delta_T P_{\Sigma\Sigma}$ are taken to have the following perturbative expansion:

$$\Delta_T P_{ii}^n(\alpha_s) = \left(\frac{\alpha_s}{2\pi}\right) \Delta_T P_{qq}^{(0),n} + \left(\frac{\alpha_s}{2\pi}\right)^2 \Delta_T P_{ii}^{(1),n} + \dots , \qquad (16)$$

 $\{ii\} = \{qq, \pm\}, \{\Sigma\Sigma\}$. As indicated, $\Delta_T P_{qq,+}, \Delta_T P_{qq,-}$ and $\Delta_T P_{\Sigma\Sigma}$ are all equal at LO. It is convenient to write [20]

$$\Delta_T P_{qq,\pm}^{(1),n} \equiv \Delta_T P_{qq}^{(1),n} \pm \Delta_T P_{q\bar{q}}^{(1),n} , \qquad (17)$$

$$\Delta_T P_{\Sigma\Sigma}^{(1),n} \equiv \Delta_T P_{qq,+}^{(1),n} + \Delta_T P_{qq,PS}^{(1),n} .$$
(18)

It will actually turn out that $\Delta_T P_{qq,PS}^{(1),n} \equiv 0$, so that Eqs. (14),(15) can be replaced by

$$\frac{d}{d\ln Q^2} \Delta_T q_+^n(Q^2) = \Delta_T P_{qq,+}^n(\alpha_s(Q^2)) \Delta_T q_+^n(Q^2) .$$
(19)

Needless to say that the NLO splitting functions have to be known in the same factorization scheme as the corrections to the cross section, $\Delta_T C_q^{\text{DY}}$.

The solution to Eqs. (13),(19) is well-known (see, e.g., [23]) and reads

$$\Delta_T q_{\pm}^n(Q^2) = \left(1 + \frac{\alpha_s(Q_0^2) - \alpha_s(Q^2)}{\pi\beta_0} \left[\Delta_T P_{qq,\pm}^{(1),n} - \frac{\beta_1}{2\beta_0} \Delta_T P_{qq}^{(0),n} \right] \right) \\ \times \left(\frac{\alpha_s(Q^2)}{\alpha_s(Q_0^2)} \right)^{-2\Delta_T P_{qq}^{(0),n}/\beta_0} \Delta_T q_{\pm}^n(Q_0^2)$$
(20)

with the input distributions $\Delta_T q^n_{\pm}(Q^2_0)$ at the input scale Q_0 . Here and in all previous NLO equations one has to use the two-loop expression for the strong coupling,

$$\frac{\alpha_s(Q^2)}{4\pi} \simeq \frac{1}{\beta_0 \ln Q^2 / \Lambda_{\overline{MS}}^2} - \frac{\beta_1}{\beta_0^3} \frac{\ln \ln Q^2 / \Lambda_{\overline{MS}}^2}{\left(\ln Q^2 / \Lambda_{\overline{MS}}^2\right)^2}$$
(21)

with the QCD scale parameter $\Lambda_{\overline{\text{MS}}}$ and $\beta_0 = 11 - 2f/3$, $\beta_1 = 102 - 38f/3$, f being the number of active flavours. We note that all LO expressions are entailed in the above equations by setting the NLO quantities $\Delta_T C_q^{\text{DY}}$, $\Delta_T P_{\dots}^{(1)}$, β_1 , to zero.

Formulas exactly analogous to the above hold for the unpolarized and the longitudinally polarized cases. The only complication here is that there are obviously contributions by gluons to the Drell-Yan cross section and to the evolution of the quark singlet combination $(\Delta_L)\Sigma^n$. Let us assume for the moment that we are able to eliminate these experimentally by taking suitable non-singlet combinations of Drell-Yan cross sections for various configurations of scattering hadrons. In particular, when considering the difference of the cross sections for $p\bar{p}$ and pp scattering, the function $\Delta_T H^n$ reduces to pure valence,

$$\Delta_T H^n(Q^2) = \sum_q e_q^2 \Delta_T q_-^n(Q^2) \Delta_T q_-^n(Q^2) , \qquad (22)$$

where all parton distributions refer to the proton, and with similar expressions for the unpolarized and longitudinally polarized cases. We then only need to consider Eqs. (9),(13) which exactly carry over to the unpolarized case (by omitting all Δ_T and replacing $\cos(2\phi)$ by 2) or to the longitudinally polarized case (by replacing Δ_T by Δ_L and $\cos(2\phi)$ by -2), respectively.

We now adopt the Drell-Yan process as the process defining the (NLO) parton distributions and follow [14] to argue that (if at all) Soffer's inequality for the valence densities should be valid beyond LO *if* we choose a factorization scheme in which the non-singlet Drell-Yan cross sections for the unpolarized, the longitudinally polarized and the transversely polarized cases all individually maintain their respective LO forms. This appears reasonable since the parton distributions are then directly related to a physical (observable) quantity. For example, in case of the cross section for transverse polarization it means

$$\frac{d\Delta_T \sigma^n}{d\phi} = \frac{\alpha_{em}^2}{9S} \cos(2\phi) \Delta_T \tilde{H}^n(M^2) , \qquad (23)$$

where $\Delta_T \tilde{H}^n(Q^2)$ is defined as in (10),(22) but in terms of the NLO parton densities $\Delta_T \tilde{q}^n(Q^2)$ in the new scheme. Inserting (20) into (9), expanding in α_s and equating with (23) we find

$$\Delta_T \tilde{q}^n_{-}(Q^2) = \left(1 + \frac{\alpha_s(Q_0^2) - \alpha_s(Q^2)}{\pi\beta_0} \Delta_T \mathcal{E}^n_{-}\right) \left(\frac{\alpha_s(Q^2)}{\alpha_s(Q_0^2)}\right)^{-2\Delta_T P_{qq}^{(0),n}/\beta_0} \Delta_T \tilde{q}^n_{-}(Q_0^2)$$
(24)

in the new scheme, where

$$\Delta_T \mathcal{E}^n_{-} \equiv \Delta_T P^{(1),n}_{qq,-} - \frac{\beta_0}{4} \Delta_T C^{\mathrm{DY},n}_q - \frac{\beta_1}{2\beta_0} \Delta_T P^{(0),n}_{qq}$$
(25)

is a combination of $(\overline{\text{MS}})$ NLO quantities which is manifestly independent of the factorization scheme due to the fact that the Drell-Yan cross section in Eq. (23) is a physical quantity. As a necessary condition for Eq. (5) to hold for the valence densities, its moments (for real n > 0) must also satisfy Soffer's inequality. Thus

$$\frac{2|\Delta_{T}\tilde{q}_{-}^{n}(Q^{2})|}{\tilde{q}_{-}^{n}(Q^{2}) + \Delta_{L}\tilde{q}_{-}^{n}(Q^{2})} = \frac{1 + (\alpha_{s}(Q_{0}^{2}) - \alpha_{s}(Q^{2}))\Delta_{T}\mathcal{E}_{-}^{n}/(\pi\beta_{0})}{1 + (\alpha_{s}(Q_{0}^{2}) - \alpha_{s}(Q^{2}))\left[\mathcal{E}_{+}^{n} - \frac{2\tilde{q}_{-}^{n}(Q_{0}^{2})}{\tilde{q}_{-}^{n}(Q_{0}^{2}) + \Delta_{L}\tilde{q}_{-}^{n}(Q_{0}^{2})}P_{q\bar{q}}^{(1),n}\right]/(\pi\beta_{0})} \times \left(\frac{\alpha_{s}(Q^{2})}{\alpha_{s}(Q^{2})}\right)^{-2(\Delta_{T}P_{q\bar{q}}^{(0),n} - P_{q\bar{q}}^{(0),n})/\beta_{0}}}{\tilde{q}_{-}^{n}(Q_{0}^{2}) + \Delta_{L}\tilde{q}_{-}^{n}(Q_{0}^{2})}$$
(26)

has to be smaller than unity in the scheme we have defined. Here we have used for the evolution of $\tilde{q}_{-}^{n}(Q^{2}) + \Delta_{L}\tilde{q}_{-}^{n}(Q^{2})$ that [16] $\Delta_{L}P_{qq}^{(0),n} \equiv P_{qq}^{(0),n}$ and [24, 25] $\Delta_{L}C_{q}^{\mathrm{DY},n} \equiv C_{q}^{\mathrm{DY},n}$ as well as [26, 27, 21] $\Delta_{L}P_{qq,\pm}^{(1),n} \equiv P_{qq,\pm}^{(1),n}$ where $P_{qq,\pm}^{(1),n} = P_{qq}^{(1),n} \pm P_{q\bar{q}}^{(1),n}$ is defined in analogy with (17). Clearly, the right-hand side in (26) will in general only be smaller than unity if this is the case for the input densities at $Q = Q_0$, $2|\Delta_T\tilde{q}_{-}^{n}(Q_0^2)|/(\tilde{q}_{-}^{n}(Q_0^2) + \Delta_L\tilde{q}_{-}^{n}(Q_0^2)) \leq 1$. This, of course, is impossible to prove or disprove within perturbative QCD. What one can do (and what is the purpose of this paper) is to assume validity of Soffer's inequality for the input and see whether the inequality is preserved when going to higher Q^2 . From (6) we know that the exponent $-2(\Delta_T P_{qq}^{(0),n} - P_{qq}^{(0),n})/\beta_0$ is positive, which demonstrates preservation of Soffer's inequality at the LO level as discussed in [15]. Even more, one finds that for any reasonably small $\alpha_s(Q_0^2)$

$$\left[1 - \frac{\alpha_s(Q_0^2) - \alpha_s(Q^2)}{\pi\beta_0} \frac{\beta_1}{2\beta_0} \left(\Delta_T P_{qq}^{(0),n} - P_{qq}^{(0),n}\right)\right] \left(\frac{\alpha_s(Q^2)}{\alpha_s(Q_0^2)}\right)^{-2(\Delta_T P_{qq}^{(0),n} - P_{qq}^{(0),n})/\beta_0}$$
(27)

remains smaller than unity. It also turns out that the unpolarized $P_{q\bar{q}}^{(1),n}$ is always negative, so that the corresponding term in the denominator of Eq. (26) will always help *preserve* Soffer's inequality. What still is to be shown is that the remaining terms in (26) do not cause any problem if (in the 'worst' case) $2|\Delta_T \tilde{q}_-^n(Q_0^2)|/(\tilde{q}_-^n(Q_0^2) + \Delta_L \tilde{q}_-^n(Q_0^2)) = 1$. Expanding in α_s and taking into account the above observations one immediately finds that in this case

$$\left(P_{qq}^{(1),n} - \Delta_T P_{qq,-}^{(1),n}\right) - \frac{\beta_0}{4} \left(C_q^{\text{DY},n} - \Delta_T C_q^{\text{DY},n}\right)$$
(28)

has to be positive to guarantee validity of Soffer's inequality for valence densities at NLO. Having worked out this condition in Mellin-n space for convenience, we will now return to Bjørken-*x* space. In the following we will calculate the splitting function $\Delta_T P_{qq,-}^{(1)}(x)$, to see whether the *x*-space counterpart of the expression in (28),

$$\left(P_{qq}^{(1)}(x) - \Delta_T P_{qq,-}^{(1)}(x)\right) - \frac{\beta_0}{4} \left(C_q^{\rm DY}(x) - \Delta_T C_q^{\rm DY}(x)\right) , \qquad (29)$$

is indeed positive.

We emphasize that our considerations in the above equations only apply to the valence densities. We will also provide the splitting function $\Delta_T P_{qq,+}^{(1)}$ which is needed for the NLO evolution of the combination $\Delta_T q_+$ in (19). To examine, however, the validity of Soffer's inequality for the non-valence quark densities would involve taking into account the singlet evolution of the unpolarized and longitudinally polarized parton distributions. This can only be done within a detailed numerical study, which is beyond the scope of this paper.

3 Calculation of the NLO transversity splitting functions

Our calculation of the NLO splitting functions for the transversity distributions closely follows the ones performed in the unpolarized [18, 19, 20] and longitudinally polarized [21] cases. We only briefly outline the method and its application to the transversity case here. A thorough overview of the technique can be found in [18, 20].

The method we will use is set up in Bjørken-x space. It is based on the factorization properties of mass singularities in the light-like axial gauge, specified by introducing a light-like vector n ($n^2 = 0$) with $n \cdot A = 0$, A being the gluon field. The general strategy then consists of first expanding the squared matrix element $\Delta_T M$ for the scattering of two (transversely polarized) (anti)quarks into two ladders of two-particle irreducible (2PI) kernels [28]. The crucial point is that in the light-cone gauge the 2PI kernels are finite before the integrations over the sides of the ladders are performed. Collinear singularities therefore appear only when integrating over the lines connecting the rungs of the ladders [28]. This allows for systematically projecting out the singularities by introducing a projector onto (transversely polarized) physical states, $\Delta_T \mathcal{P}$. More precisely, $\Delta_T \mathcal{P}$ decouples the product $\Delta_T(AB)$ of two successive 2PI kernels by projecting onto states of definite transverse polarization of the particle connecting the kernels and by setting this particle on-shell in kernel A. Transverse polarization for a quark entering a kernel is obtained by using

$$u(p,s)\bar{u}(p,s) = -p \not\!\!\!/ s \gamma_5 , \qquad (30)$$

for its spinor u(p, s). Here p is the quark's momentum and s its transverse spin vector satisfying $s^2 = -1$, $s \cdot p = 0$, $s \cdot n = 0$, where the latter equality is a consequence of using n to define the longitudinal direction,

$$n \cdot p \equiv pn \neq 0, \quad n \cdot t = p \cdot t = 0 , \tag{31}$$

t being any transverse vector. The part of $\Delta_T \mathcal{P}$ that is relevant for the Dirac algebra is then found to be

$$\Delta_T \mathcal{P} \sim \frac{1}{4n \cdot k} \not n \not s \gamma_5 , \qquad (32)$$

where k is the momentum of the particle emerging from the top of the kernel. As already mentioned several times, no gluonic projection operators are to be introduced in the transversity case. By means of $\Delta_T \mathcal{P}$, $\Delta_T M$ can be written in the factorized form

$$\Delta_T M = \Delta_T \hat{\sigma} \otimes \Delta_T \Gamma_1 \otimes \Delta_T \Gamma_2 , \qquad (33)$$

where $\Delta_T \hat{\sigma}$ is interpreted as the (finite) short-distance (Drell-Yan) subprocess cross section, whereas the factors $\Delta_T \Gamma$ (one for each quark) contain all (and only) mass singularities and are process-*independent*. The explicit expression for $\Delta_T \Gamma$ in terms of the 2PI kernels can be easily derived from [18, 20]. Working in dimensional regularization ($d = 4 - 2\epsilon$ dimensions) in the $\overline{\text{MS}}$ scheme it can be shown [18] that the residue of the $1/\epsilon$ pole of $\Delta_T \Gamma$ corresponds to the evolution kernels we are looking for:

$$\Delta_T \Gamma_{ii}\left(x, \alpha_s, \frac{1}{\epsilon}\right) = \delta(1-x) - \frac{1}{\epsilon} \left(\frac{\alpha_s}{2\pi} \Delta_T P_{qq}^{(0)}(x) + \frac{1}{2} \left(\frac{\alpha_s}{2\pi}\right)^2 \Delta_T P_{ii}^{(1)}(x) + \dots\right) + O\left(\frac{1}{\epsilon^2}\right), \quad (34)$$

where we have restored the subscripts $\{ii\} = \{qq, \pm\}, \{\Sigma\Sigma\}$ that distinguish between the various NLO quark-to-quark splitting functions.

The Feynman graphs contributing to $\Delta_T \Gamma_{ii}$ at NLO are obviously the same as in the unpolarized and the longitudinally polarized calculations [18, 20, 21] and need not be

repeated here. Let us instead collect a few important points of the calculation²:

- As mentioned above, the calculation relies on the use of the light-cone gauge. Following [18, 20, 21], we use the principal value prescription to regularize the spurious singularities resulting from the gauge propagator (see [20] for details).
- From Eqs. (30),(32) we see that the Dirac matrix γ_5 enters the calculation via the projectors on physical states of transverse polarization of the quarks. In general, this can lead to complications when dimensional regularization is used. It turns out, however, that no problems related to γ_5 occur in our calculation due to the fact that all Dirac traces that we need contain two γ_5 matrices, one coming from the projector $\Delta_T \mathcal{P}$ in (32), the other from (30). In this case, it is safe to use a fully anticommuting γ_5 , which effectively removes the γ_5 from the traces via $\gamma_5^2 = 1$. The only case where this does not work is the splitting function $\Delta_T P_{qq,PS}^{(1)}$ for which there are two separate quark lines containing one γ_5 each. However, it is immediately obvious that for both Dirac traces the γ_5 is accompanied by an odd number of other Dirac matrices. So the traces vanish irrespective of the prescription for γ_5 . As a result, the splitting function $\Delta_T P_{qq,PS}^{(1)}$ vanishes identically.
- The calculation is technically slightly more involved than in the unpolarized or the longitudinally polarized cases, owing to the appearance of scalar products of momenta with the transverse spin vector in the squared matrix elements. These scalar products, which are obviously always quadratic in s, introduce extra transverse degrees of freedom that need to be integrated out. In LO, this is a rather straightforward task. The only scalar product of this kind that occurs is $(k \cdot s)^2$, which after integration can be effectively replaced by

$$(k \cdot s)^2 \to -\frac{1}{2(1-\epsilon)}k^2(1-x)$$
 (35)

This term is actually multiplied by ϵ in the LO squared matrix element. As a result, it only contributes to the LO transversity splitting function in $d = 4 - 2\epsilon$ dimensions, $\Delta_T P_{qq}^{(0),d=4-2\epsilon}(x)$, but not to its *four*-dimensional counterpart. In the

 $^{^{2}}$ We use the program TRACER of [29] for calculating the Dirac traces and performing contractions.

NLO calculation, however, the *d*-dimensional LO result $\Delta_T P_{qq}^{(0),d=4-2\epsilon}(x)$ is needed. It turns out that the contribution from the term $\sim \epsilon (k \cdot s)^2$ is cancelled by other terms $\sim \epsilon$ in the matrix element, so that for x < 1 the *d*-dimensional LO splitting function is identical to the four-dimensional one of [2],

$$\Delta_T P_{qq}^{(0),d=4-2\epsilon}(x) = \Delta_T P_{qq}^{(0)}(x) = C_F \frac{2x}{1-x} \qquad (x < 1) .$$
(36)

Note that this result for the *d*-dimensional LO splitting function is at variance with the one of [17] in which residual terms $\sim \epsilon(1-x)$ are present, presumably resulting from the assumption $k \cdot s = 0$ in [17]. We will return to this point later.

At NLO, the situation is more complicated. For the virtual graphs, besides terms involving $(k \cdot s)$ also powers of $(r \cdot s)$ appear, where r is the loop momentum. To integrate these, one needs tensorial two- and three-point functions. It turns out that all the functions we need here already appeared in our unpolarized [20] and longitudinally polarized [21] calculations, so that there is no really new integral to be calculated. When calculating the squared matrix elements for the *real* diagrams, one encounters the terms $(k \cdot s)^2$, $(k \cdot s)(l_1 \cdot s)$ and $(l_1 \cdot s)^2$, where l_1 is the momentum of one of the outgoing 'unobserved' particles. One can integrate these terms in two steps: first, one integrates over the terms $(l_1 \cdot s)$, $(l_1 \cdot s)^2$. These integrations have lengthy expressions, given in detail in Appendix A. After this integration, the squared matrix element still depends on $(k \cdot s)^2$ for which one finds, similarly to (35):

$$(k \cdot s)^2 \to -\frac{1}{2(1-\epsilon)} \left(k^2 - 2x(p \cdot k)\right)$$
 (37)

After this substitution, the resulting expression for the matrix element squared contains just terms that are familiar from the unpolarized calculation. It can then be integrated using the techniques developed in [20, 21].

- For a transversely polarized *anti*quark with a spinor v(p, s) one has expressions identical to (30),(32). Thus – unlike the longitudinally polarized case [21] – there is no extra minus sign when calculating the function $\Delta_T P_{q\bar{q}}^{(1)}$.
- The endpoint contributions to the transversity splitting functions, i.e. the contributions $\sim \delta(1-x)$, are necessarily the same as in the unpolarized and longitudinally

polarized cases since they are just provided by the residue of the pole of the full quark propagator [18, 20, 21].

We are now in a position to present the final results.

4 Results

For completeness, we begin with the full one-loop result [2]:

$$\Delta_T P_{qq}^{(0)}(x) = C_F \left[\frac{2x}{(1-x)_+} + \frac{3}{2}\delta(1-x) \right] , \qquad (38)$$

where the +-prescription is defined in the usual way:

$$\int_0^1 dz f(z) \left(g(z) \right)_+ \equiv \int_0^1 dz \left(f(z) - f(1) \right) g(z) \quad . \tag{39}$$

To write down our final result for the $\Delta_T P_{qq,\pm}^{(1)}$ we introduce

$$\delta_T P_{qq}^{(0)}(x) = \frac{2x}{(1-x)_+}, \qquad (40)$$

$$S_2(x) = \int_{\frac{x}{1+x}}^{\frac{1}{1+x}} \frac{dz}{z} \ln\left(\frac{1-z}{z}\right)$$

$$= -2\text{Li}_2(-x) - 2\ln x \ln(1+x) + \frac{1}{2}\ln^2 x - \frac{\pi^2}{6}, \qquad (41)$$

where $\operatorname{Li}_2(x)$ is the dilogarithm. We then have (cf. Eq. (17)) in the $\overline{\mathrm{MS}}$ scheme

$$\Delta_T P_{qq,\pm}^{(1)}(x) \equiv \Delta_T P_{qq}^{(1)}(x) \pm \Delta_T P_{q\bar{q}}^{(1)}(x) , \qquad (42)$$

where 3

$$\begin{aligned} \Delta_T P_{qq}^{(1)}(x) &= C_F^2 \bigg[1 - x - \left(\frac{3}{2} + 2\ln(1-x)\right) \ln x \ \delta_T P_{qq}^{(0)}(x) \\ &+ \left(\frac{3}{8} - \frac{\pi^2}{2} + 6\zeta(3)\right) \delta(1-x) \bigg] \\ &+ \frac{1}{2} C_F N_C \left[-(1-x) + \left(\frac{67}{9} + \frac{11}{3}\ln x + \ln^2 x - \frac{\pi^2}{3}\right) \delta_T P_{qq}^{(0)}(x) \right] \end{aligned}$$

³Needless to say that the +-prescription is not needed if the function multiplying the factor $1/(1-x)_+$ is vanishing at x = 1. Neither is it to be taken into account in the function $\delta_T P_{qq}^{(0)}(-x)$.

$$+ \left(\frac{17}{12} + \frac{11\pi^2}{9} - 6\zeta(3)\right)\delta(1-x) \right] + \frac{2}{3}C_F T_f \left[\left(-\ln x - \frac{5}{3} \right) \delta_T P_{qq}^{(0)}(x) - \left(\frac{1}{4} + \frac{\pi^2}{3} \right) \delta(1-x) \right] , \qquad (43)$$

$$\Delta_T P_{q\bar{q}}^{(1)}(x) = C_F \left(C_F - \frac{1}{2} N_C \right) \left[-(1-x) + 2S_2(x) \delta_T P_{qq}^{(0)}(-x) \right], \tag{44}$$

where $C_F = 4/3$, $N_C = 3$, $T_f = fT_R = f/2$ and $\zeta(3) \approx 1.202057$.

For checking Soffer's inequality via Eq. (29) we still need the $\mathcal{O}(\alpha_s)$ corrections to the short-distance subprocess cross section for the transversely polarized Drell-Yan, $\Delta_T C_q^{\text{DY}}(x)$, in the $\overline{\text{MS}}$ scheme. The corresponding result was first presented in [9] where, however, dimensional *reduction* rather than dimensional regularization was used. The translation of the result to dimensional regularization was provided in [17]. As a check of the expression in [17], we employ an earlier result for the transversely polarized Drell-Yan cross section at $\mathcal{O}(\alpha_s)$ which was obtained in [8] by assuming off-shell gluons to regularize the appearing poles. Studying in detail the structure of the collinear singularities for both dimensional and off-shell regularization, it is straightforward to transform the result from one regularization scheme to the other. Starting from the result in [8], we obtain in this way for the $\overline{\text{MS}}$ scheme:

$$\Delta_T C_q^{\text{DY}}(x) = C_F \left[8x \left(\frac{\ln(1-x)}{1-x} \right)_+ - \frac{4x \ln x}{1-x} - \frac{6x \ln^2 x}{1-x} + 4(1-x) + \left(\frac{2}{3} \pi^2 - 8 \right) \delta(1-x) \right].$$
(45)

This result indeed coincides with the one in [17] for the choice $\Delta_T d = -\delta(1-x)$ in that paper. On the other hand, the expression for $\Delta_T d$ that is claimed in [17] to provide the link to the $\overline{\text{MS}}$ scheme (in dimensional regularization) is $\Delta_T d = -\delta(1-x) + 2(1-x)$. The reason for this difference lies in the discrepancy between our calculation and the one of [17] for the $(4-2\epsilon)$ -dimensional LO splitting function (see the remark after Eq. (36)). We note that the correctness of our result (36) for this quantity is corroborated by the observation that the *d*-dimensional 2 \rightarrow 3 matrix element squared for the process $\vec{q}\vec{q} \rightarrow \mu^+\mu^-g$ (with transversely polarized incoming (anti)quarks) nicely factorizes into the product of the *d*-dimensional 2 \rightarrow 2 matrix element squared for $\vec{q}\vec{q} \rightarrow \mu^+\mu^-$ times the splitting function $\Delta_T P_{qq}^{(0),d=4-2\epsilon}$ of Eq. (36), when the collinear limit of the gluon to be parallel to one of the incoming quarks is taken properly. This clearly demonstrates again the correctness of (36). The result of [17] for the transversely polarized Drell-Yan cross section at NLO (obtained within dimensional regularization) therefore necessarily corresponds to a different (non- $\overline{\text{MS}}$) factorization scheme.

The remaining NLO ingredients for Eq. (29) are the unpolarized quantities $P_{qq}^{(1)}(x)$ and $C_q^{\text{DY}}(x)$, which can be found in [18, 20] and [30], respectively. Inserting everything into Eq. (29) it turns out that all distributions at x = 1 (+-prescriptions and δ -functions) drop out, so that everything is regular at x = 1. We can therefore plot the result for

$$\tilde{D}(x) \equiv \left(P_{qq}^{(1)}(x) - \Delta_T P_{qq,-}^{(1)}(x)\right) - \frac{\beta_0}{4} \left(C_q^{\rm DY}(x) - \Delta_T C_q^{\rm DY}(x)\right)$$
(46)

directly in x-space. This is done in Fig. 1 for f = 3 active flavours. One can clearly see that $\tilde{D}(x)$ is always positive, which demonstrates the validity of Soffer's inequality for valence densities at the NLO level in the sense that if Soffer's inequality is valid at the input scale, it will not be broken at any higher Q^2 . As becomes visible from Fig. 1, $\tilde{D}(x)$ diverges at $x \to 0$. This feature is a consequence of a rather peculiar small-x behaviour of the NLO transversity splitting functions and subprocess cross sections: the quantities in Eqs. (43),(44),(45) all have a constant limiting behaviour at $x \to 0$. All terms that rise logarithmically in x are dampened by a factor x from the 'LO' splitting term $\delta_T P_{qq}^{(0)}(x)$. This behaviour is in contrast to the one for $P_{qq}^{(1)}(x)$, $C_q^{\text{DY}}(x)$ in (46), hence the rise of $\tilde{D}(x)$ at small x. More precisely, we find from (43),(44) for $x \to 0$

$$\Delta_T P_{qq,-}^{(1)}(x) \approx C_F \left(2C_F - N_C\right) + 2C_F^2 x \ln^2 x , \qquad (47)$$

$$\Delta_T P_{qq,+}^{(1)}(x) \approx -2C_F \left(C_F - N_C\right) x \ln^2 x , \qquad (48)$$

that is, the constant terms even cancel in $\Delta_T P_{qq,+}^{(1)}(x)$. We note that the logarithmic terms in (47),(48) can be recovered from Eq. (3.15) of [31] where a resummation of small-x double-logarithms for the transversity densities was performed⁴. The constant terms in (47), however, were not anticipated to appear in ordinary Altarelli-Parisi evolution in [31]. The very mild small-x behaviour of Eqs. (47),(48) will lead to a strong suppression of

⁴Here one has to correct for a missing factor 1/2 in front of the term $f_V^-(\omega)$ in Eq. (3.15) of [31] that got lost in the derivation of this equation from [32]. I am thankful to A. Vogt for helpful communications on this point.

the transversity distributions with respect to the unpolarized and longitudinally polarized ones during Q^2 evolution at small x.

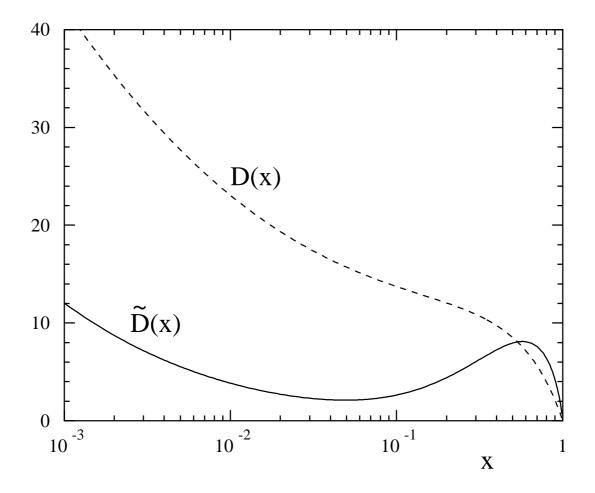


Figure 1: The functions $\tilde{D}(x)$, D(x) (see Eqs. (46),(49)) for f = 3 active flavours.

As discussed in Sec. 2 we have chosen a factorization scheme in which the NLO Drell-Yan cross sections for the unpolarized, the longitudinally polarized and the transversely polarized cases all retain their respective LO forms. We have done this to deal with quantities that are manifestly scheme-independent. From a practical point of view, this may not be very useful since the unpolarized and longitudinally polarized parton densities are usually defined in the $\overline{\text{MS}}$ scheme. In particular, when building models for the transversity densities, one would like to know whether Soffer's inequality is also preserved under NLO evolution in the $\overline{\text{MS}}$ scheme. If this is the case then it appears likely to make sense to assume validity of Soffer's inequality also for the $\overline{\text{MS}}$ NLO input parton densities and not only for the parton densities defined in our scheme. For this reason the dashed line in Fig. 1 shows only the quantity

$$D(x) \equiv P_{qq}^{(1)}(x) - \Delta_T P_{qq,-}^{(1)}(x) , \qquad (49)$$

which corresponds to performing the evolution in the $\overline{\text{MS}}$ scheme. As can be seen, Soffer's inequality will also be maintained here.

For convenience, we present the Mellin-*n* moments of the transversity NLO quantities $\Delta_T P_{qq,\pm}^{(1)}, \Delta_T C_q^{\text{DY}}$ in Appendix B. These are useful for a numerical evaluation of our results. Fig. 2 shows the moments of the splitting functions versus real $n \ge 1$. As can be seen, the moments are all negative. Furthermore, the numerical contribution of the splitting function $\Delta_T P_{q\bar{q}}^{(1),n}$ is very small for $n \ge 1$. For comparison we also show the moments of the LO transversity splitting function. The expression for the first (n = 1) moment of $\Delta_T P_{qq,-}^{(1)}$ turns out to be

$$\Delta_T P_{qq,-}^{(1),1} = \frac{19}{8} C_F^2 - \frac{257}{72} C_F N_C + \frac{13}{18} C_F T_f .$$
(50)

This quantity participates in the NLO evolution of the nucleon's tensor charge which is given by the first moment of the sum of the valence transversity densities [3].

5 Conclusions

We have presented a calculation of the next-to-leading order splitting functions $\Delta_T P_{qq,\pm}^{(1)}(x)$ for the Q^2 evolution of transversity parton densities. The method we have used here is the one of [28, 18] which was applied to the unpolarized and longitudinally polarized cases in [18, 19] and [21], respectively. We have used our results to examine the preservation of Soffer's inequality for valence quark densities under NLO Q^2 evolution. It turned out that (in a suitably defined factorization scheme) the inequality is indeed maintained at any higher Q^2 if it is satisfied at the input scale, which provides strong support for its correctness. In other words, $\mathcal{O}(\alpha_s)$ corrections do not seem to invalidate the inequality. We note again that extending our results to non-valence quark densities affords inclusion

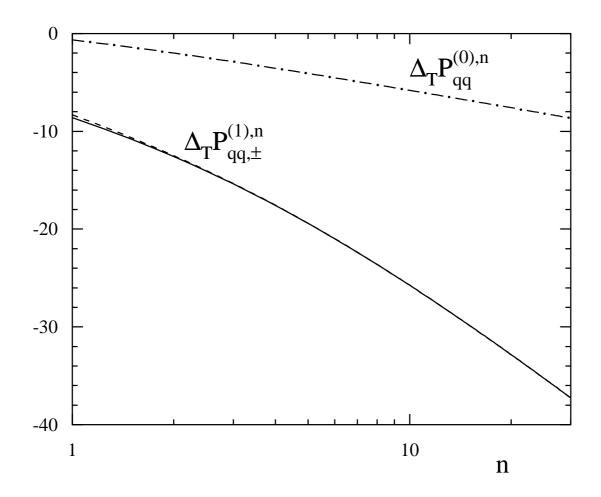


Figure 2: The Mellin-*n* moments of the NLO transversity splitting functions $\Delta_T P_{qq,-}^{(1)}$ (solid line) and $\Delta_T P_{qq,+}^{(1)}$ (dashed line) versus real $n \geq 1$. For comparison the dash-dotted curve shows the LO result $\Delta_T P_{qq}^{(0),n}$.

of the full singlet evolution of the unpolarized and longitudinally polarized densities and thus has to be subject to a detailed numerical study. Combining our finding for the valence part with previous experience from LO [15] indicates that no surprises concerning Soffer's inequality are expected in the singlet sector.

Note added

After completing this work we received the papers [33, 34] in which the two-loop anomalous dimensions for the transversity distributions were calculated using the Operator Product

Expansion. The obtained results should correspond to the NLO splitting functions we have calculated. Indeed, our results in Mellin-*n* space in Eq. (B.1) are exactly identical to those in [34] and [33] (after revision of that paper). We note that our results were first presented on the 'Ringberg Workshop on High Energy Polarization Phenomena', Schloß Ringberg, Germany, 25-28 February 1997.

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Appendix A

In this appendix we provide the expressions for the integrations over the terms $(l_1 \cdot s)$ and $(l_1 \cdot s)^2$. Defining

$$\lambda \equiv 2\left(-(1-x)(l_1 \cdot p) + \frac{k^2}{2} - (p \cdot k)\left(1 - \frac{l_1 \cdot n}{pn}\right)\right) , \qquad (A.1)$$

we find:

$$(l_1 \cdot s) \rightarrow -\frac{1}{2} \frac{\lambda}{k^2 - 2x(p \cdot k)} (k \cdot s) ,$$

$$(A.2)$$

$$(l_1 \cdot s)^2 \rightarrow \frac{1}{1 - 2\epsilon} \left(2(l_1 \cdot p) \frac{l_1 \cdot n}{pn} + \frac{\lambda^2}{4(k^2 - 2x(p \cdot k))} \right) \left[1 + \frac{(k \cdot s)^2}{k^2 - 2x(p \cdot k)} \right]$$

$$+ \frac{\lambda^2 (k \cdot s)^2}{4(k^2 - 2x(p \cdot k))^2}$$

$$(A.3)$$

as the effective substitutions in the squared matrix element. For kinematics and the notation of the momenta see [21].

Appendix B

In this appendix we present the Mellin-*n* moments of the NLO quantities $\Delta_T P_{qq,\pm}^{(1)}(x)$, $\Delta_T C_q^{\text{DY}}(x)$ (in the $\overline{\text{MS}}$ scheme) which are useful for a numerical evaluation of our results:

$$\Delta_{T} P_{qq,\eta}^{(1),n} = C_{F}^{2} \left[\frac{3}{8} + \frac{1-\eta}{n(n+1)} - 3S_{2}(n) - 4S_{1}(n) \left(S_{2}(n) - S_{2}'(\frac{n}{2}) \right) - 8\tilde{S}(n) + S_{3}'(\frac{n}{2}) \right] + \frac{1}{2} C_{F} N_{C} \left[\frac{17}{12} - \frac{1-\eta}{n(n+1)} - \frac{134}{9} S_{1}(n) + \frac{22}{3} S_{2}(n) + 4S_{1}(n) \left(2S_{2}(n) - S_{2}'(\frac{n}{2}) \right) + 8\tilde{S}(n) - S_{3}'(\frac{n}{2}) \right] + \frac{2}{3} C_{F} T_{f} \left[-\frac{1}{4} + \frac{10}{3} S_{1}(n) - 2S_{2}(n) \right] , \qquad (B.1)$$

$$\Delta_T C_q^{\text{DY},n} = C_F \left[\frac{4}{n(n+1)} + 4S_1^2(n) + 12\left(S_3(n) - \zeta(3)\right) - 8 + \frac{4}{3}\pi^2 \right] , \qquad (B.2)$$

where $\eta \equiv \pm$. The sums appearing here are defined by

$$S_{k}(n) \equiv \sum_{j=1}^{n} \frac{1}{j^{k}},$$

$$S'_{k}(\frac{n}{2}) \equiv 2^{k-1} \sum_{j=1}^{n} \frac{1+(-1)^{j}}{j^{k}}$$

$$\tilde{S}(n) \equiv \sum_{j=1}^{n} \frac{(-1)^{j}}{j^{2}} S_{1}(j).$$
(B.3)

Their analytic continuations to arbitrary Mellin-n (which depend on η) can be found in [35].

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