

Primality Test Via Quantum Factorization

H. F. Chau* and H.-K. Lo†

School of Natural Sciences, Institute for Advanced Study, Olden Lane, Princeton, NJ 08540

(December 2, 1996)

Abstract

We consider a probabilistic quantum implementation of a variation of the Pocklington-Lehmer $N - 1$ primality test using Shor's algorithm. $O(\log^3 N \log \log N \log \log \log N)$ elementary q-bit operations are required to determine the primality of a number N , making it (asymptotically) the fastest known primality test. Thus, the potential power of quantum mechanical computers is once again revealed.

PACS numbers: 03.65.Bz, 89.80.+h, 02.10.Lh,

AMS 1991 subject classification: (Primary) 11Y05, 11Y11, 68Q10, 81V99
(Secondary) 11A51, 11Y16, 68Q20

Keywords: Computational Complexity, Pocklington-Lehmer $N - 1$ Primality Test, Quantum Computation, Quantum Factorization, Shor's Algorithm

Typeset using REVTeX

*Present address: Department of Physics, University of Hong Kong, Pokfulam Road, Hong Kong.
E-mail: hfchau@hkusua.hku.hk

†Present address: BRIMS, Hewlett-Packard Labs, Filton Road, Stoke Gifford, Bristol, Bs12 6QZ,
U. K. E-mail: hkl@hplb.hpl.hp.com

]

Finding large primes and factorizing large composite numbers are two classic mathematical problems of great practical interest. For instance, in the RSA public key cryptography, the key, which is made public, is the product of two large primes whose values are kept secret. The secret values of the two primes are needed to decode the encoded messages (ciphertexts). The security of this scheme lies in the difficulty in factoring large composites. More concretely, while multiplying two integers can be done in a time polynomial in the number of digits of the two integers (and hence “efficient”), the fastest factorization algorithm that runs on classical computers (or Turing machines) takes almost an exponential amount of time ($\sim \exp(L^{1/3})$ where L is the number of digits of the number to be factorized) [1]. Consequently, given the value of the public key, it is almost hopeless for an eavesdropper to attempt to break the RSA cryptographic scheme by factoring the key into two large primes. For this reason, finding an efficient factorization method is the dream of eavesdroppers. On the other hand, for additional security of the RSA scheme, the public key has to be changed frequently to avoid “accidental” factorization of the key. To fulfill this need, an efficient algorithm for proving the primality of a large integer is required.

The possibility of performing classical computation by using quantum mechanical machines has been investigated by various people [2–6]. Recently, Shor discovered an efficient quantum factorization algorithm [7,8]: By using the massive parallelism and interference effect in quantum mechanics, which have no classical counterparts, Shor found an efficient method to compute the period of a function. This method immediately leads to efficient algorithms for both the discrete logarithm and factorization problems [7]. Therefore, if a quantum mechanical computer is ever built, the RSA crypto-system will no longer be secure. Some people have even proposed that quantum cryptography will ultimately be the only way to ensure the security of a cryptosystem [9–12].

Primality tests¹ are generally much easier than factorization. The APRCL test (based on Jacobi sum) is one of the most commonly used algorithms. The number of elementary bit operations needed for testing the primality of a number N is $O((\log N)^{c \log \log \log N})$ for some constant $c > 0$ [13]. Although the run time of this algorithm is not truly polynomial in $\log N$, it works reasonably fast for numbers of less than 1,000 decimal digits [14]. The first polynomial time probabilistic primality test was proposed by Goldwasser and Kilian [15] using ideas from elliptic curves. Their algorithm was later implemented by Atkin and Morain [14,16]. Its run time scales as $O(\log^6 N)$. However, their algorithm assumes some unproven (although very plausible) conjectures in analytic number theory [16] and may fail to work for an infinite sequence of (non-random) prime numbers [17] even though it will never mis-identify a composite number as a prime. Finally, using ideas from Abelian varieties, Adleman and Huang [17] discovered a polynomial time probabilistic primality test without any unproven hypothesis. However, their algorithm is extremely complicated and is totally impractical to implement [14].

Further improvements may be possible. In fact, if we assume that validity of the (yet still

¹A *primality* test is an algorithm which outputs “true” if and only if the input is a prime. It may not halt if the input is composite. This is to be distinguished from a *compositeness* test that may occasionally indicate a number as prime even when it is in fact composite.

unproven) Extended Riemann Hypothesis, then there is a deterministic primality test whose run time scales as $O((\log N)^4 \log \log \log N)$ [18]. More recently, there is statistical evidence supporting the conjecture that the primality of a number N can be proven deterministically in $O((\log N)^3 \log \log N \log \log \log N)$ time [19].

In this paper, we propose a straightforward probabilistic primality test based on the Pocklington-Lehmer $N - 1$ method using the quantum factorization algorithm. Its run time scales as $O((\log N)^3 \log \log N \log \log \log N)$ and is thus asymptotically faster than all known classical primality tests. In the discussion below, we will always assume that the number N has failed all common compositeness tests and hence is very likely to be a prime (see, for example, Refs. [1,14,20] for some simple and efficient compositeness tests).

Note that the number of primitive residue classes (mod N) is $N - 1$ and the multiplicative group formed by the primitive residue classes has a generator of order $N - 1$ if and only if N is a prime [20]. This leads us to the following theorem:

Theorem 1: (Pocklington-Lehmer $N - 1$ test) Suppose $N - 1 = \prod_{j=1}^m p_j^{\beta_j}$ with all p_j 's distinct primes. If there exists $a \in \mathbb{Z}_N$ such that

$$\begin{cases} a^{(N-1)/p_j} \not\equiv 1 \pmod{N} & \text{for } j = 1, 2, \dots, m \\ a^{N-1} \equiv 1 \pmod{N} \end{cases}, \quad (1)$$

then N is a prime [14,20].

Thus, the test consists of two parts, namely, the complete factorization of the number $N - 1$, and the verification of conditions in Eq. (1). Since the test requires the complete factorization of a number, it is not a good general purpose primality test before the discovery of Shor's quantum factorization algorithm. (As mentioned earlier, no efficient classical factorization method is known. The fastest known classical method for factorization is the number field sieve. Under reasonable heuristic assumptions, it takes $O(\exp(c(\log M)^{1/3}(\log \log M)^{2/3}))$ elementary operations for some constant $c > 0$ [21] to find a factor of a number M .)

The situation is completely different after Shor's discovery. As shown in the Appendix A, Shor's algorithm requires $O((\log M)^2(\log \log M)^2 \log \log \log M)$ elementary q-bit operations² to find a factor of a composite number M , provided that M is not in the form of p^n or $2p^n$ for some odd prime number p . In the case that M is of the form p^n for an odd prime p , there exists a classical algorithm to find p and n in $O((\log M)^2(\log \log M)^2 \log \log \log M)$ time [14]. Alternatively, we show in Appendix B that this can be done equally efficiently by using a quantum algorithm similar to Shor's algorithm. Since factorization of a prime power is much easier than that of a composite number with distinct prime factors, we shall only consider the latter in our computational complexity analysis.

²A quantum mechanical bit is now commonly called a "q-bit". Loosely speaking, coherent superposition of states allows a q-bit to hold more information than a classical bit. In addition, "elementary" here refers to operation in the form of unitary operator acting on one or two q-bits. Please refer to Refs. [22–24] for constructions of "elementary" logical operators.

Let us consider the first part of the test — the complete factorization of the number $N - 1$. Suppose we would like to factorize $M \equiv N - 1$ completely. Using Shor's algorithm, we can find a non-trivial factor f of M in $O((\log M)^2(\log \log M)^2 \log \log \log M)$ elementary operations. The problem then reduces to the factorization of the numbers f and M/f . We can further speed up the process by extracting multiple factors of M , if any, by computing $\gcd(f, M/f)$. Clearly, this takes negligible time as compared to the Shor's algorithm. And the complete factorization of M is obtain by recursively applying Shor's algorithm $m-1$ times where m is the number of distinct primes of M . Since the product of the first m primes is of order of 2^m [20], so complete factorization of M requires the running of Shor's algorithm for at most $O(\log M)$ times. Thus, no more than $O((\log M)^3(\log \log M)^2 \log \log \log M)$ elementary operations are needed for running Shor's algorithm alone. In addition, we also need to verify that a *complete factorization* of $M \equiv N - 1$ has been obtained. That is, the p_j 's we have found in Theorem 1 are indeed prime numbers. Let us denote the number of elementary operations needed for the first and second parts of the primality test for a M by $P_1(M)$ and $P_2(M)$ respectively. Also, let $P(M) = P_1(M) + P_2(M)$. From the above discussion,

$$\begin{aligned} & P_1(N) \\ & \leq \sum_{i=1}^m P(p_i) + O((\log N)^3(\log \log N)^2 \log \log \log N) . \end{aligned} \quad (2)$$

Let us come to the second part of the test — the application of the Pocklington-Lehmer $N - 1$ test. We choose an integer m randomly and test if all the conditions in Eq. (1) are satisfied. Now there are at most $O(\log N)$ such conditions. Using the power algorithm [25], verification of each condition in Eq. (1) requires $O(\log N)$ multiplications. Using the Schönhangen and Strassen method, multiplying two number of size at most N can be done in $O(\log N \log \log N \log \log \log N)$ elementary operations [25,26]. Therefore, altogether $O((\log N)^3 \log \log N \log \log \log N)$ elementary operations are needed for each random number m chosen. It can be shown that the probability that a randomly chosen integer m satisfying all the conditions in Eq. (1) is at least $O(1/\log \log N)$ [27]. Consequently, the total number of elementary operations needed for the second part of the test is given by

$$P_2(N) \leq O((\log N)^3(\log \log N)^2 \log \log \log N) . \quad (3)$$

Notice that if $\prod_{i=1}^m p_i^{\beta_i}$ is the prime number decomposition of a positive integer N (with p_i are distinct primes), then

$$\sum_{i=1}^m \log p_i \leq \log N , \quad (4a)$$

$$\sum_{i=1}^m \log \log p_i \leq \log \log N , \quad (4b)$$

and hence

$$\sum_{i=1}^m (\log p_i)^3 \leq \left(\sum_{i=1}^m \log p_i \right)^3 \leq (\log N)^3 . \quad (4c)$$

Therefore,

$$\begin{aligned} & \sum_{i=1}^m (\log p_i)^3 (\log \log p_i)^2 (\log \log \log p_i) \\ & \leq (\log N)^3 (\log \log N)^2 (\log \log \log N) . \end{aligned} \quad (5)$$

By induction, it is straightforward to prove from Eqs. (2)–(5) that

$$\begin{aligned} P(N) &= P_1(N) + P_2(N) \\ &\leq O((\log N)^3 (\log \log N)^2 \log \log \log N) . \end{aligned} \quad (6)$$

Note that if N is in fact a composite number, this primality test will never terminate. The Pocklington-Lehmer test gives a certificate for primality once the number N passes it. On the other hand, Shor's algorithm is efficient for finding non-trivial factors of a number. Thus, Shor's algorithm and our quantum primality test are complimentary to each other.

Although Eq. (6) already tells us that the above quantum primality test algorithm is already better than all the classical algorithms known to date, we now go on to describe a fine tuning of our quantum algorithm which reduces the run time by a factor of $\log \log N$. As shown in the operation counting analysis above, both the quantum factorization and the verification of Eq. (1) are equally fast. Thus, in order to reduce the run time of the quantum Pocklington-Lehmer algorithm, we have to speed up both parts.

To speed up the quantum factorization, we can perform trial divisions to eliminate all the prime factors of $N - 1$ that are smaller than k . This can be done by $\approx k$ divisions, taking $O(k \log N \log \log N \log \log \log N)$ time [26]. After the trial division, we can concentrate on the prime factors of $N - 1$ that are greater than k . Clearly, at most $O(\log N / \log k)$ distinct prime factors of $N - 1$ are greater than k . So by combining the trial division with Shor's algorithm, $N - 1$ can be factorized completely in $O(\log N \log \log N \log \log \log N (k + (\log N)^2 \log \log N / \log k))$ time. Optimal solution is obtained when we take the number of trial divisions $k \sim (\log N)^2 / \log \log N$. Therefore, factorization of $N - 1$ requires only $O((\log N)^3 \log \log N \log \log \log N)$ elementary q-bit operations. (In case we have a prime number table up to the number k , then prime number theorem tells us that only $O(k / \log k)$ trial divisions are required. Using the same argument, we know that optimal solution occurs when $k \sim \log^2 N$. However, the optimal number of elementary q-bit operations is still $O((\log N)^3 \log \log N \log \log \log N)$. That is, only a constant factor speed up is gained when we use a prime number table.)

To speed up the verification of primality, we employ a variation of the Pocklington-Lehmer test by Brillhart *et al.* [20,28]:

Theorem 2: (Brillhart *et al.*) Suppose $N - 1 = \prod_{j=1}^m p_j^{\beta_j}$ with all p_j 's distinct primes. And if, for each $j = 1, 2, \dots, m$, there exists $a_j \in \mathbb{Z}_N$ such that

$$\begin{cases} a_j^{(N-1)/p_j} \not\equiv 1 \pmod{N} \\ a_j^{N-1} \equiv 1 \pmod{N} \end{cases}, \quad (7)$$

then N is a prime.

Once again, we randomly choose an integer m and test if it satisfies Eq. (7). For each p_j , the probability that a randomly chosen m satisfies the constraint $m^{(N-1)/p_j} \not\equiv 1 \pmod{N}$ in Eq. (7) is at least $1/2$. Thus, for each m , half of the constraints in Eq. (7) are satisfied on average. Thus, we are almost sure to have found all the required a_j by randomly picking m 's and checking Eq. (7) a few times. Obviously, our new verification process takes $O((\log N)^3 \log \log N \log \log \log N)$ time. Combining the quantum factorization with trial division, and Theorem 2, we have a $O((\log N)^3 \log \log N \log \log \log N)$ run time quantum primality test as promised.

In summary, we have presented a probabilistic quantum primality test using a variation of the Pocklington-Lehmer $N - 1$ test and Shor's quantum factorization algorithm. Its run time scales as $O((\log N)^3 \log \log N \log \log \log N)$. (Moreover, it requires $O(\log^2 N)$ bits of extra working space.) As far as we know, this is the (asymptotically) fastest primality test to date. Our quantum primality test can be further speeded up by a constant factor if we replace Theorem 2 by another variation of the Pocklington-Lehmer algorithm which involve only a partial factorization of $N - 1$ (see Ref. [20], for example).

It is interesting to know if there exist an even faster primality test. In particular, if the conjecture by Bach and Huelsbergen is correct, then there is a deterministic primality test, whose run time is as good as ours (i.e. $O((\log N)^3 \log \log N \log \log \log N)$ [19]).

ACKNOWLEDGMENTS

This work is supported by the DOE grant DE-FG02-90ER40542.

APPENDIX A: SHOR'S ALGORITHM

We outline the idea of Shor's algorithm below (See Refs. [7,8] for details). To factorize a composite number M (which is assumed not to be a prime power), we prepare our system in the state

$$|\Psi\rangle = \frac{1}{2^{L/2}} \sum_{a=1}^{2^L} |a\rangle, \quad (\text{A1})$$

with $2^L \approx M^2$. This can be achieved by, say, setting L quantum spin-1/2 particles with their spins pointing towards the positive x -direction (and all our measurements are performed in the z -direction).

Then we evolve our wavefunction to

$$|\Psi\rangle = \frac{1}{2^{L/2}} \sum_{a=1}^{2^L} |a, m^a \bmod M\rangle, \quad (\text{A2})$$

for some randomly chosen integer $1 < m < M$ with $\gcd(m, M) = 1$. (If $\gcd(m, M) > 1$, then we are so lucky that we have found a non-trivial factor of M by chance. The probability for this to happen scales exponentially with $\log M$, and is therefore negligible.) The above evolution can be done using the power algorithm [25], which takes $O(L)$ multiplications in \mathbb{Z}_M .

As mentioned in the text, multiplying two L -bit numbers using the Schönhagen and Strassen method, which is asymptotically the fastest known algorithm, requires $O(L \log L \log \log L)$ elementary bit operations [25,26]. Consequently, evolving the wavefunction from state (A1) to state (A2) takes $O(L^2 \log L \log \log L)$ elementary q-bit operations. Besides, it requires $O(L)$ extra q-bits as working space during the computation.

Now we make a measurement on the second set of q-bits in our system (which should take at most $O(L)$ time). Thus, the wavefunction of our system for the first set of q-bits collapses to

$$|\Psi\rangle = \frac{1}{\sqrt{k}} \sum_{a=0}^k |a_0 + pa\rangle, \quad (\text{A3})$$

where p is the order of the number m under multiplication modulo M , $0 \leq a_0 < k$ is some constant, and $k = \lceil (2^L - a_0)/p \rceil$.

To extract the order p , we perform a discrete Fourier transform, which evolves our system to

$$|\Psi\rangle = \frac{1}{\sqrt{k s^L}} \sum_{c=0}^{2^L-1} \sum_{a=0}^k \exp \left[\frac{2\pi i (a_0 + pa)c}{2^L} \right] |c\rangle. \quad (\text{A4})$$

This can be done in $O(L^2)$ elementary q-bit operations [8]. Now the amplitude of our wavefunction is sharply peaked at $|p\rangle$. It can be shown that by making a measurement on the first set of q-bits, we have a probability of at least $O(1/\log L)$ of getting the correct order p [7,8]. So, by repeatedly running our machine $O(\log L)$ times, we are almost sure to get the order p of the multiplicative group modulo M generated by the integer m . Therefore, it requires $O((\log M)^2 (\log \log M)^2 \log \log \log M)$ elementary q-bit operations to find the order p of the group $\langle m \rangle$.

Now we hope that p is an even number and that $\gcd((m^{p/2} - 1) \bmod M, M)$ is a non-trivial factor of M . It can be shown that for a randomly chosen m , the probability that the above algorithm does give a non-trivial factor of M is at least $1/2$ provided that M is not of the form p^k or $2p^k$ for some odd prime p [8].

The remaining case is to recognize and factorize an odd number M in the form of a prime power. This can be done by classical probabilistic algorithms whose run time scales like $O((\log M)^2 (\log \log M)^2 \log \log \log M)$ [14], which is negligible in comparison with Shor's algorithm. (See Appendix B for an equally efficient quantum prime power factorization algorithm.) Thus, we have an efficient way to factorize a composite number M .

Combining Shor's algorithm with the classical factorization of prime powers, we are almost sure to find a non-trivial factor of M after $O((\log M)^2 (\log \log M)^2 \log \log \log M)$ elementary q-bit operations. Moreover, the power algorithm is one of the major bottle necks in this method.

APPENDIX B: QUANTUM PRIME POWER FACTORIZATION ALGORITHM

Here we discuss a variant of Shor's algorithm that is useful for factoring a number M that is of the form p^n for some odd prime p . Following Shor, we can find the order of a number

m which is relatively prime to $M \equiv p^n$ in $O((\log M)^2(\log \log M)^2 \log \log \log M)$ time. We denote the set of all integers in \mathbb{Z}_M which are relatively prime to M by $U(\mathbb{Z}_M)$. It can be shown that $U(\mathbb{Z}_M)$ is a cyclic group of order $p^{n-1}(p-1)$ under multiplication modulo M [29]. The group generated by m under multiplication modulo M , $\langle m \rangle$, is a sub-group of $U(\mathbb{Z}_M)$. The probability that the order of $\langle m \rangle$ is divisible by p^{n-1} equals the probability that a randomly chosen element of $\mathbb{Z}_{p^{n-1}(p-1)}$ is relatively prime to p^{n-1} , which is in turn equal to $1 - 1/p \geq 2/3$. So, the greatest common divisor of the order of m and M has at least $2/3$ chance of being p^{n-1} . Thus, we have a probability of at least $2/3$ of finding p by calculating $M/\gcd(M, r)$ where r is the order of m . Once p is found, M can be factorized easily. The total time required scales as $O((\log M)^2(\log \log M)^2 \log \log \log M)$.

REFERENCES

- [1] See for example, N. Koblitz, *A Course in Number Theory and Cryptography* (Springer-Verlag, New York, ed. 2, 1994), chap. 2–3.
- [2] P. Benioff, *Phys. Rev. Lett.* **48**, 1581 (1982).
- [3] R. P. Feynman, *Int. J. Theo. Phys.* **21**, 467 (1982).
- [4] R. P. Feynman, *Found. Phys.* **16**, 507 (1986).
- [5] D. Deutsch, *Proc. Roy. Soc. Lond.* **A400**, 97 (1985).
- [6] D. Deutsch, *Proc. Roy. Soc. Lond.* **A425**, 73 (1989).
- [7] P. Shor, in *Proceedings of the 35th Annual Symposium on the Foundation of Computer Science* (IEEE Computer Society, Los Alamitos, CA, 1994), p. 124.
- [8] See also A. Ekert, and R. Jozsa, *Rev. Mod. Phys.*, **68**, 733 (1996).
- [9] C. H. Bennett, *Phys. Rev. Lett.* **68**, 3121 (1992).
- [10] R. Jozsa and B. Schumacher, *J. Mod. Optics* **41**, 2343 (1994).
- [11] B. Schumacher, *Phys. Rev.* **A51**, 2738 (1995).
- [12] A. Barenco, *Proc. Roy. Soc. Lond.* **A449**, 679 (1995).
- [13] L. Adleman, C. Pomerance, and R. Rumely, *Ann. Math.* **117**, 173 (1983).
- [14] H. Cohen, *A Course in Computational Algebraic Number Theory* (Springer-Verlag, New York, 1993), chap. 1,8–9.
- [15] S. Goldwasser, and J. Kilian, in *Proceedings of the 18th Annual ACM Symposium on Theory of Computing* (ACM, New York, 1986), p. 316.
- [16] A. O. L. Atkin, and F. Morain, *Math. Comp.* **60**, 399 (1993).
- [17] L. M. Adleman, and M.-D. A. Huang, *Primality Testing And Abelian Varieties Over Finite Fields* (Springer-Verlag, New York, 1992).
- [18] G. L. Miller, *J. Comp. & Sys. Sci.* **13**, 300 (1976).
- [19] E. Bach, and L. Huelsbergen, *Math. Comp.* **61**, 69 (1993).
- [20] H. Riesel, *Prime Numbers and Computer Methods for Factorization* (2nd ed., Birkhäuser, Boston, 1994), chap. 4–7.
- [21] A. K. Lenstra, and H. W. Lenstra, Jr., eds., *The Development of the Number Field Sieve* (Springer-Verlag, New York, 1993).
- [22] C. H. Bennett, *IBM J. Res. Dev.* **17**, 525 (1973).
- [23] T. Sleator, and H. Weinfurter, *Phys. Rev. Lett.* **74**, 4087 (1995).
- [24] H. F. Chau, and F. Wilczek, *Phys. Rev. Lett.* **75**, 748 (1995).
- [25] D. E. Knuth, *The Art of Computer Programming* (Addison-Wesley, Reading, Massachusetts, ed. 2, 1981), Vol 2, chap. 4.
- [26] A. Schönhagen, and V. Strassen, *Computing* **7**, 281 (1971).
- [27] G. H. Hardy, and E. M. Wright, *An Introduction to the Theory of Numbers* (Oxford, London, 1938), p. 265, 348.
- [28] J. Brillhart, D. H. Lehmer, and J. Selfridge, *Math. Comp.* **29**, 620 (1975).
- [29] K. Ireland, and M. Rosen, *A Classical Introduction to Modern Number Theory* (Springer-Verlag, New York, 1982), Theorem 2 of chap. 4 on p. 43.