

Quantum Corrections to the Semiclassical Quantization of the $SU(3)$ Shell Model¹

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Abstract

We apply the canonical perturbation theory to the semi-quantal hamiltonian of the $SU(3)$ shell model. Then, we use the Einstein–Brillouin–Keller quantization rule to obtain an analytical semi-quantal formula for the energy levels, which is the usual semi-classical one plus quantum corrections. Finally, a test on the numerical accuracy of the semiclassical approximation and of its quantum corrections is performed.

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In the last few years, there has been considerable renewed interest in the semi-classical approximation, due to the close connection to the problem of the so-called quantum chaos [1,2]. One important aspect is the semi-classical quantization formula of the energy levels for quasi-integrable systems [3,4].

It has recently been shown [5,6] that, for perturbed non-resonant harmonic oscillators, the algorithm of classical perturbation theory may also be used in the quantum-mechanical perturbation theory, with quantum corrections in powers of \hbar .

In this paper, on the contrary, we calculate the quantum corrections to the semi-classical quantization [3,4] of a many-body model related to nuclear physics. Its classical counterpart, obtained in the limit of the number of particles that goes to infinity, is represented by a non-integrable hamiltonian with two degrees of freedom [7,8,9,10]. The semi-classical quantization of this model has been studied in [7] and here we calculate the quantum corrections and then analyze their numerical accuracy.

The model is a three-level schematic nuclear shell model, whose hamiltonian is:

$$\hat{H} = \sum_{k=0}^2 \epsilon_k \hat{G}_{kk} + \frac{V}{2} \sum_{k \neq l=0}^2 \hat{G}_{kl}^2, \quad (1)$$

where

$$\hat{G}_{kl} = \sum_{m=1}^M \hat{a}_{km}^+ \hat{a}_{lm} \quad (2)$$

are the generators of the SU(3) group. This model describes M identical particles in three, M -fold degenerate, single particle levels ϵ_i . There is a vanishing interaction for particles in the same level and an equal interaction V for particles in different levels. We assume $\epsilon_2 = -\epsilon_0 = \epsilon = 1$, $\epsilon_1 = 0$.

For the SU(3) model the semi-quantal hamiltonian [11] is defined as [8]:

$$H(p_1, p_2, q_1, q_2; M) = \langle q_1 p_1, q_2 p_2; M | \frac{\hat{H}}{M} | q_1 p_1, q_2 p_2; M \rangle, \quad (3)$$

where $|q_1 p_1, q_2 p_2; M \rangle$ is the coherent state, given by:

$$|q_1 p_1, q_2 p_2; M \rangle = \exp[z_1 G_{01} + z_2 G_{02}] |00 \rangle, \quad (4)$$

with:

$$\frac{1}{\sqrt{2M}}(q_k + ip_k) = \frac{z_k}{\sqrt{1 + z_1^* z_1 + z_2^* z_2}}, \quad k = 1, 2 \quad (5)$$

and $|00 \rangle = \prod_{k=1}^M a_{0k}^+ |0 \rangle$ is the ground state. Here $1/M$ plays the role of the Planck constant \hbar [10].

As discussed in great detail in [10], the semi-quantal hamiltonian is:

$$H(p_1, p_2, q_1, q_2; M) = -1 + \frac{1}{2}(p_1^2 + q_1^2) + (p_2^2 + q_2^2) + \frac{1}{4}\chi[1 - \frac{1}{M}] \times \\ \times [(q_1^2 + q_2^2)^2 - (p_1^2 + p_2^2)^2 - (q_1^2 - p_1^2)(q_2^2 - p_2^2) - 4q_1 q_2 p_1 p_2 - 2(q_1^2 + q_2^2 - p_1^2 - p_2^2)], \quad (6)$$

with $\chi = MV/\epsilon$. The phase space has been scaled to give $(q_1^2 + q_2^2 + p_1^2 + p_2^2) \leq 2$. The classical hamiltonian can be obtained in the "thermodynamical" limit [10,12]:

$$H_{cl}(p_1, p_2, q_1, q_2) = \lim_{M \rightarrow \infty} H(p_1, p_2, q_1, q_2; M), \quad (7)$$

and the semi-quantal hamiltonian is given by:

$$H(p_1, p_2, q_1, q_2; M) = H_{cl}(p_1, p_2, q_1, q_2) + H_{qc}(p_1, p_2, q_1, q_2; M), \quad (8)$$

where H_{qc} is the hamiltonian of quantum corrections.

Through the canonical transformation in action-angle variables [11]:

$$q_k = \sqrt{2I_k} \cos(\theta_k), \quad p_k = \sqrt{2I_k} \sin(\theta_k), \quad k = 1, 2 \quad (9)$$

the semi-quantal hamiltonian can be written:

$$H(I_1, I_2, \theta_1, \theta_2; M) = H_0(I_1, I_2) + \chi V(I_1, I_2, \theta_1, \theta_2; M), \quad (10)$$

where:

$$H_0(I_1, I_2) = -1 + I_1 + 2I_2, \quad (11)$$

$$V(I_1, I_2, \theta_1, \theta_2; M) = \left[1 - \frac{1}{M}\right](1 - I_1 - I_2)[I_1 \cos(2\theta_1) + I_2 \cos(2\theta_2)] + I_1 I_2 \cos(2\theta_2 - 2\theta_1). \quad (12)$$

We applied a canonical transformation $(I_1, I_2, \theta_1, \theta_2) \rightarrow (\tilde{I}_1, \tilde{I}_2, \tilde{\theta}_1, \tilde{\theta}_2)$ in order to obtain a new hamiltonian that depends only on the new action variables up to the second order in a power series of χ :

$$\tilde{H}(\tilde{I}_1, \tilde{I}_2; M) = \tilde{H}_0(\tilde{I}_1, \tilde{I}_2) + \chi \tilde{H}_1(\tilde{I}_1, \tilde{I}_2; M) + \chi^2 \tilde{H}_2(\tilde{I}_1, \tilde{I}_2; M). \quad (13)$$

It is well known that the canonical perturbation theory presents many difficulties which are essentially related to the so-called small denominators. The resonance of the unperturbed frequencies $\omega_1 = \frac{\partial H_0}{\partial I_1} = 1, \omega_2 = \frac{\partial H_0}{\partial I_2} = 2$:

$$m\omega_1 + n\omega_2 = 0, \quad (14)$$

can lead to divergent expressions in the perturbative solution to the problem. This drawback occurs only if the integer numbers m and n are present as Fourier harmonics in the perturbation theory. We will show that the resonance condition (14) is not satisfied up to the second order in χ .

We assume that the generator S of the canonical transformation may be expanded as a power series in χ :

$$S(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M) = \tilde{I}_1 \theta_1 + \tilde{I}_2 \theta_2 + \chi S_1(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M) + \chi^2 S_2(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M). \quad (15)$$

The generator S satisfies the equations:

$$I_k = \frac{\partial S}{\partial \theta_k} = \tilde{I}_k + \chi \frac{\partial S_1}{\partial \theta_k} + \chi^2 \frac{\partial S_2}{\partial \theta_k}, \quad (16)$$

$$\tilde{\theta}_k = \frac{\partial S}{\partial \tilde{I}_k} = \theta_k + \chi \frac{\partial S_1}{\partial \tilde{I}_k} + \chi^2 \frac{\partial S_2}{\partial \tilde{I}_k}, \quad (17)$$

with $k = 1, 2$. From the Hamilton–Jacobi equation:

$$H_0\left(\frac{\partial S}{\partial \theta_1}, \frac{\partial S}{\partial \theta_2}\right) + V\left(\frac{\partial S}{\partial \theta_1}, \frac{\partial S}{\partial \theta_2}, \theta_1, \theta_2; M\right) = \tilde{H}_0(\tilde{I}_1, \tilde{I}_2) + \tilde{H}_1(\tilde{I}_1, \tilde{I}_2; M) + \tilde{H}_2(\tilde{I}_1, \tilde{I}_2; M), \quad (18)$$

we have a number of differential equations obtained by equating the coefficients of the powers of χ :

$$\tilde{H}_0(\tilde{I}_1, \tilde{I}_2) = H_0(\tilde{I}_1, \tilde{I}_2) = -1 + \tilde{I}_1 + 2\tilde{I}_2, \quad (19)$$

$$\tilde{H}_1(\tilde{I}_1, \tilde{I}_2; M) = \left(\omega_1 \frac{\partial S_1}{\partial \theta_1} + \omega_2 \frac{\partial S_1}{\partial \theta_2} \right) + V(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M), \quad (20)$$

$$\tilde{H}_2(\tilde{I}_1, \tilde{I}_2; M) = \left(\omega_1 \frac{\partial S_2}{\partial \theta_1} + \omega_2 \frac{\partial S_2}{\partial \theta_2} \right) + \left(\frac{\partial V}{\partial I_1} \frac{\partial S_1}{\partial \theta_1} + \frac{\partial V}{\partial I_2} \frac{\partial S_1}{\partial \theta_2} \right) \quad (21)$$

The unknown functions \tilde{H}_1 , S_1 , \tilde{H}_2 and S_2 may be determined by averaging the time variation of the unperturbed motion. At the first order in χ we obtain:

$$\tilde{H}_1(\tilde{I}_1, \tilde{I}_2; M) = \frac{1}{4\pi^2} \int_0^{2\pi} \int_0^{2\pi} d\theta_1 d\theta_2 V(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M) = 0, \quad (22)$$

and

$$S_1(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M) = - \sum_{\{(m,n)\}} \frac{V_{mn}(\tilde{I}_1, \tilde{I}_2; M)}{(m\omega_1 + n\omega_2)} \sin(m\theta_1 + n\theta_2), \quad (23)$$

where $\{(m, n)\} = \{(2, 0), (0, 2), (-2, 2)\}$ are the Fourier harmonics of the perturbation potential V . The resonance condition is not satisfied, and we have:

$$S_1(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M) = -\frac{1}{2}\left[1 - \frac{1}{M}\right]\left[(1 - \tilde{I}_1 - \tilde{I}_2)(\tilde{I}_1 \sin(2\theta_1) + \frac{1}{2}\left[1 - \frac{1}{M}\right]\tilde{I}_2 \sin(2\theta_2))\right] - \frac{1}{2}\left[1 - \frac{1}{M}\right]\tilde{I}_1\tilde{I}_2 \sin(2\theta_2 - 2\theta_1). \quad (24)$$

At the second order in χ :

$$\begin{aligned} \tilde{H}_2(\tilde{I}_1, \tilde{I}_2; M) &= \frac{1}{4\pi^2} \int_0^{2\pi} \int_0^{2\pi} d\theta_1 d\theta_2 \left(\frac{\partial V}{\partial I_1} \frac{\partial S_1}{\partial \theta_1} + \frac{\partial V}{\partial I_1} \frac{\partial S_1}{\partial \theta_2} \right) \\ &= \frac{1}{4}\left[1 - \frac{1}{M}\right](-1 + \tilde{I}_1 + 2\tilde{I}_2)(2\tilde{I}_1 - 4\tilde{I}_1^2 + \tilde{I}_2 - \tilde{I}_1\tilde{I}_2 - \tilde{I}_2^2) \end{aligned} \quad (25)$$

and:

$$S_2(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M) = - \sum_{\{(m,n)\}} \frac{W_{mn}(\tilde{I}_1, \tilde{I}_2; M)}{(m\omega_1 + n\omega_2)} \sin(m\theta_1 + n\theta_2), \quad (26)$$

where $\{(m, n)\} = \{(2, 0), (4, 0), (2, -4), (4, -4), (2, -2), (0, 4), (2, 2)\}$ are the Fourier harmonics of the function W , given by:

$$W(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M) = \tilde{H}_2(\tilde{I}_1, \tilde{I}_2; M) - \left(\frac{\partial V}{\partial I_1} \frac{\partial S_1}{\partial \theta_1} + \frac{\partial V}{\partial I_2} \frac{\partial S_1}{\partial \theta_2} \right). \quad (27)$$

In this case too, the resonance condition is not satisfied and we have:

$$\begin{aligned} S_2(\tilde{I}_1, \tilde{I}_2, \theta_1, \theta_2; M) &= \frac{1}{8}\left[1 - \frac{1}{M}\right]\left[3\tilde{I}_1\tilde{I}_2(1 - \tilde{I}_1 - \tilde{I}_2) \sin(2\theta_1) + \right. \\ &\quad \left. + \tilde{I}_1(1 - 3\tilde{I}_1 + 2\tilde{I}_1^2 - 2\tilde{I}_2 + 3\tilde{I}_1\tilde{I}_2 + \tilde{I}_2^2) \sin(4\theta_1) + \right. \\ &\quad \left. + 3\tilde{I}_1\tilde{I}_2(\tilde{I}_1 + \tilde{I}_2^2 - 1) \sin(2\theta_1 - 4\theta_2) + \right. \\ &\quad \left. + \tilde{I}_1\tilde{I}_2(\tilde{I}_2 - \tilde{I}_1) \sin(4\theta_1 - 4\theta_2) + \right. \end{aligned}$$

$$\begin{aligned}
& +3\tilde{I}_1\tilde{I}_2(1-\tilde{I}_1-\tilde{I}_2)\sin(2\theta_1-2\theta_2)+ \\
& +\frac{1}{4}\tilde{I}_2(1-2\tilde{I}_1+\tilde{I}_1^2-3\tilde{I}_2+3\tilde{I}_1\tilde{I}_2+2\tilde{I}_2^2)\sin(4\theta_2)+ \\
& +3\tilde{I}_1\tilde{I}_2(\tilde{I}_1+\tilde{I}_2-1)\sin(2\theta_1+2\theta_2)] \tag{28}
\end{aligned}$$

In conclusion:

$$\tilde{H}(\tilde{I}_1, \tilde{I}_2; M) = -1 + \tilde{I}_1 + 2\tilde{I}_2 + \frac{\chi^2}{4} \left[1 - \frac{1}{M} \right] (-1 + \tilde{I}_1 + 2\tilde{I}_2) (2\tilde{I}_1 - 4\tilde{I}_1^2 + \tilde{I}_2 - \tilde{I}_1\tilde{I}_2 - \tilde{I}_2^2). \tag{29}$$

This approximate semi-quantal hamiltonian depends only on the actions. Thus, a semi-quantal quantization formula may be obtained by applying the Einstein-Brillowin-Keller rule [2,3]:

$$\tilde{I}_k = \left(n_k + \frac{1}{2} \right) \frac{1}{M}, \quad k = 1, 2 \tag{30}$$

where $1/M$ plays the role of the Planck constant \hbar . In this way we have:

$$E_{n_1 n_2}(M) = E_{n_1 n_2}^{sc}(M) + E_{n_1 n_2}^{qc}(M) \tag{31}$$

where:

$$\begin{aligned}
E_{n_1 n_2}^{sc}(M) = & -1 + \left(n_1 + \frac{1}{2} \right) \frac{1}{M} + 2 \left(n_2 + \frac{1}{2} \right) \frac{1}{M} + \frac{\chi^2}{4} \left[-1 + \left(n_1 + \frac{1}{2} \right) \frac{1}{M} + 2 \left(n_2 + \frac{1}{2} \right) \frac{1}{M} \right] \times \\
& \times \left[2 \left(n_1 + \frac{1}{2} \right) \frac{1}{M} - 4 \left(n_1 + \frac{1}{2} \right)^2 \frac{1}{M^2} + \left(n_2 + \frac{1}{2} \right) \frac{1}{M} - \left(n_1 + \frac{1}{2} \right) \left(n_2 + \frac{1}{2} \right) \frac{1}{M^2} - \left(n_2 + \frac{1}{2} \right)^2 \frac{1}{M^2} \right], \tag{32}
\end{aligned}$$

is the semi-classical quantization formula, and

$$\begin{aligned}
E_{n_1 n_2}^{qc}(M) = & -\frac{\chi^2}{4M} \left[-1 + \left(n_1 + \frac{1}{2} \right) \frac{1}{M} + 2 \left(n_2 + \frac{1}{2} \right) \frac{1}{M} \right] \times \\
& \times \left[2 \left(n_1 + \frac{1}{2} \right) \frac{1}{M} - 4 \left(n_1 + \frac{1}{2} \right)^2 \frac{1}{M^2} + \left(n_2 + \frac{1}{2} \right) \frac{1}{M} - \left(n_1 + \frac{1}{2} \right) \left(n_2 + \frac{1}{2} \right) \frac{1}{M^2} - \left(n_2 + \frac{1}{2} \right)^2 \frac{1}{M^2} \right], \tag{33}
\end{aligned}$$

are the quantum corrections.

In order to test the accuracy of the semiclassical approximation and its quantum corrections, the eigenvalues of the hamiltonian (1) must be calculated. A natural basis can be written: $|bc \rangle$, meaning b particles in the second level, c in the third and, of course, $M - b - c$ in the first level. In this way $|00 \rangle$ is the ground state with all the particles in the lowest level [7,8]. We can write the general basis state:

$$|bc \rangle = \sqrt{\frac{1}{b!c!}} \hat{G}_{21}^b \hat{G}_{31}^c |00 \rangle, \quad (34)$$

where $\sqrt{\frac{1}{b!c!}}$ is the normalizing constant.

We can calculate the expectation values of $\frac{\hat{H}}{M}$ and, therefore, the eigenvalues and eigenstates of $\frac{\hat{H}}{M}$. In this way, the energy spectrum range is independent of the number of the particles:

$$\langle b'c' | \frac{\hat{H}}{M} | bc \rangle = \frac{1}{M} (-M + b + 2c) \delta_{bb'} \delta_{cc'} - \frac{\chi}{2M^2} Q_{b'c',bc}, \quad (35)$$

where:

$$\begin{aligned} Q_{b'c',bc} = & \sqrt{b(b-1)(M-b-c+1)(M-b-c+2)} \delta_{b-2,b'} \delta_{cc'} \\ & + \sqrt{(b+1)(b+2)(M-b-c)(M-b-c-1)} \delta_{b+2,b'} \delta_{cc'} \\ & + \sqrt{c(c-1)(M-b-c+1)(M-b-c+2)} \delta_{b,b'} \delta_{c-2,c'} \\ & + \sqrt{(c+1)(c+2)(M-b-c)(M-b-c-1)} \delta_{b,b'} \delta_{c+2,c'} \\ & + \sqrt{(b+1)(b+2)c(c-1)} \delta_{b+2,b'} \delta_{c-2,c'} \\ & + \sqrt{b(b-1)(c+1)(c+2)} \delta_{b-2,b'} \delta_{c+2,c'} \end{aligned} \quad (36)$$

and $\chi = MV/\epsilon$. The expectation value $\langle \frac{\hat{H}}{M} \rangle$ is real and symmetric. For a given number of particles M , we can set up the complete basis state, write down the matrix elements of $\langle \frac{\hat{H}}{M} \rangle$ and then diagonalize $\langle \frac{H}{M} \rangle$ to find its eigenvalues. $\langle \frac{H}{M} \rangle$ connects only states with $\Delta b = -2, 0, 2$ and $\Delta c = -2, 0, 2$ which makes the problem easier. We group states with b, c even; b, c odd; b even and c odd; b odd and c even. This means that $\langle \frac{\hat{H}}{M} \rangle$ becomes block diagonal containing 4 blocks which can be diagonalized separately. These matrices are referred to as *ee*, *oo*, *oe* and *eo* (for further details see also [17]).

Then we compare these "exact" levels to those obtained by the semi-quantal perturbation theory. A very good agreement is displayed (see Fig. 1).

In Table 1, we show the difference between the "exact" levels and those obtained by the semi-classical and semi-quantal perturbation theory. We observe that the algorithm provided by the semi-quantal perturbation theory gives better results than that of the ordinary semi-classical perturbation theory.

Obviously if $1/M$, no matter how small, is kept fixed, this semi-quantal approximation on the individual levels has the meaning of a perturbation theory in $1/M$ [5,6,13]. Therefore, the accuracy of the approximation decreases for higher levels [14]. To obtain a better agreement it is necessary, as is well known, to implement the classical limit $1/M \rightarrow 0$, $n_k \rightarrow \infty$ and, at the same time, to keep the action $\tilde{I}_k = (n_k + 1/2)/M$ constant [15,16].

Finally, we stress that, for systems with a finite number of Fourier har-

monics, like the $SU(3)$ model, rational frequencies do not give rise to the problem of small denominators up to a certain order of the canonical perturbation theory.

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Table Captions

Table 1: The differences for the first 10 levels, with $\chi = 0.75$ and $M = 100$, for the eo class. E^{ex} are the "exact" levels, E^{sc} are the semi-classical levels, and E^{sq} are the semi-quantal levels.

Figure Captions

Figure 1: Comparison between "exact" levels (left) and those obtained by the semi-quantal perturbation theory (right); with $\chi = 0.75$ and $M = 100$ for the eo class.

$ E^{ex} - E^{sc} $	$ E^{ex} - E^{sq} $
$1.3086796 \cdot 10^{-3}$	$1.1860132 \cdot 10^{-3}$
$1.6146898 \cdot 10^{-3}$	$1.3964772 \cdot 10^{-3}$
$2.7745962 \cdot 10^{-4}$	$2.2178888 \cdot 10^{-4}$
$1.4380813 \cdot 10^{-3}$	$1.1500716 \cdot 10^{-3}$
$1.5463829 \cdot 10^{-3}$	$1.3815761 \cdot 10^{-3}$
$1.0503531 \cdot 10^{-3}$	$7.1579218 \cdot 10^{-4}$
$1.9035935 \cdot 10^{-3}$	$1.6558170 \cdot 10^{-3}$
$4.4906139 \cdot 10^{-4}$	$3.5130978 \cdot 10^{-4}$
$6.0987473 \cdot 10^{-4}$	$2.4980307 \cdot 10^{-4}$
$1.8021464 \cdot 10^{-3}$	$1.4950633 \cdot 10^{-3}$

Table 1