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Non-Volatile Particulate Matter Emissions of a Business Jet Measured at Ground Level and Estimated for Cruising Altitudes

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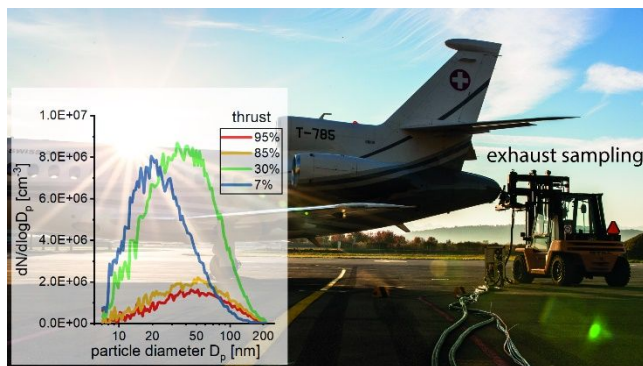
Abstract

Business aviation is a relatively small but steadily growing and little investigated emissions source. Regarding emissions, aircraft turbine engines rated at and below 26.7 kN thrust are certified only for visible smoke and are excluded from the non-volatile particulate matter (nvPM) standard. Here, we report nvPM emission characteristics of a widely used small turbofan engine determined in a ground test of a Dassault Falcon 900EX business jet. These are the first reported nvPM emissions of a small in-production turbofan engine determined with a standardized measurement system used for emissions certification of large turbofan engines. The ground level measurements together with a detailed engine performance model were used to predict emissions at cruising altitudes. The measured nvPM emission characteristics strongly depended on engine thrust. The geometric mean diameter increased from 17 nm at idle to 45 nm at take-off. The nvPM emission indices peaked at low thrust levels (7% and 40% take-off thrust in terms of

32 nvPM number and mass, respectively). A comparison with a commercial airliner shows
33 that a business jet may produce higher nvPM emissions from flight missions as well as
34 from landing and take-off operations. This study will aid the development of emission
35 inventories for small aircraft turbine engines and future emission standards.

36 TOC art / graphical abstract

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40 INTRODUCTION

41 As the demand for air travel surges, fuel burn from commercial aviation is expected to double in
42 the next 15 years.¹ Thus, aircraft engine emissions will also increasingly affect climate and air
43 quality. Commercial aviation accounts for approximately 2% of global man-made CO₂
44 emissions.²⁻⁴ Besides CO₂ and water vapor, aircraft engines also emit gaseous pollutants (NO_x,
45 SO_x, CO, unburned hydrocarbons (HC)) and soot. Soot is composed mostly of light absorbing
46 carbon (black carbon, BC). In the aircraft jet engine emission standard, BC is reported as non-
47 volatile particulate matter (nvPM; particles that are solid at the engine exit plane that do not
48 volatilize when heated to 350 °C).^{5,6} Aviation nvPM emissions absorb solar radiation, affect
49 cloud formation, and deteriorate air quality at airports and in nearby communities.^{3,4,7-11} Due to
50 their potential health and climate impacts, various research programs have focused on
51 characterization of particle emissions from aircraft engines, development of measurement

52 techniques and predictive models for estimating aviation nvPM emissions.^{12–17} Recent research
53 has been motivated also by the development of a certification standard for nvPM emissions of
54 new commercial aircraft turbine engines.^{18–22} The International Civil Aviation Organization
55 (ICAO) has adopted the nvPM standard that applies to all engine types rated >26.7 kN thrust in
56 production on or after 1 January 2020.⁵ In the longer term, regulatory limits for nvPM number as
57 well as nvPM mass emissions are expected to be enforced.⁶ However, since small engines are
58 excluded from the nvPM standard, nvPM emissions of business jets remain largely unknown.

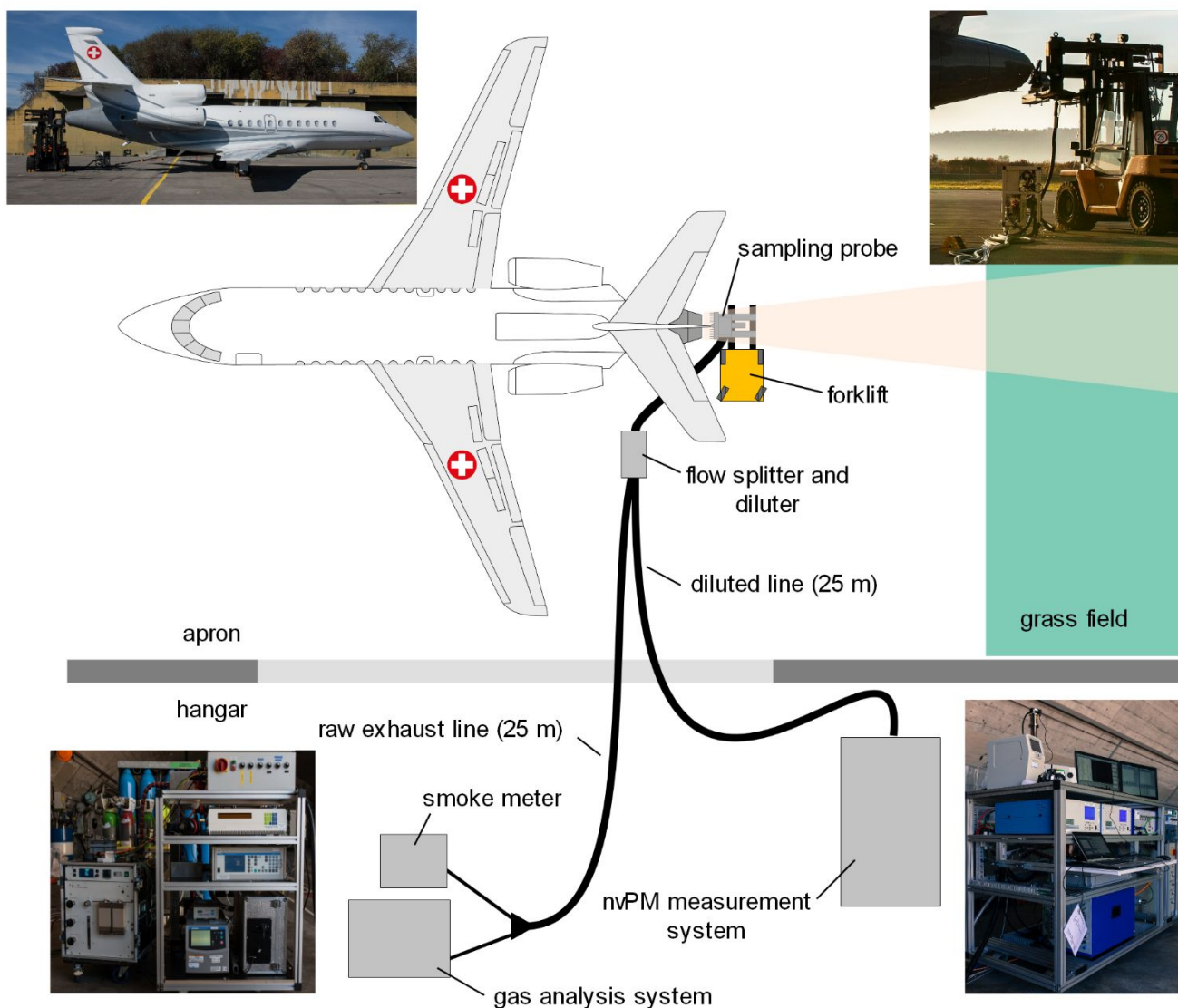
59 Similar to commercial aviation, business aviation has flourished. The fleet is predicted to
60 grow worldwide by 33% in the next 8 years.²³ Although business aviation consumes only around
61 2% of the world's jet fuel^{24,25}, small engines used on business aircraft may produce high nvPM
62 emissions relative to their fuel burn due to technical and economic reasons and lack of emission
63 regulations. Small engines rated ≤ 26.7 kN thrust are regulated for visible smoke only via the
64 smoke number (SN). Moreover, the certification SN data for these engines are not part of the
65 publicly available ICAO emissions databank.²⁶ Thus, although methods for estimating nvPM
66 emissions from SN have been developed^{27,28}, their applicability to small turbine engines
67 (turboprop and turboshaft as well as turbofan) is limited and ambiguous. To date, no nvPM
68 emission indices (EI; amount of pollutant per kg fuel burned) have been reported for unregulated
69 small turbine engines using the methodology used for emissions certification of large turbofan
70 engines. Previously, nvPM EIs of a widely used turboprop have been reported from exhaust
71 samples taken 10–15 m behind the aircraft's tail using a simplified sampling system.²⁹ The
72 regulatory nvPM measurement system has been demonstrated on a small turbofan engine in a
73 study of fuel composition effects on nvPM emissions, but no EIs have been reported.¹⁹

74 Here, we report nvPM emission characteristics of a widely used small turbofan engine
75 measured at ground level and modeled for cruising altitudes. We measured gaseous and nvPM
76 emissions from a Honeywell TFE731-60 turbofan engine on a Dassault Falcon 900EX aircraft.
77 We deployed the Swiss Mobile Aircraft Engine Emissions Measurement System
78 (SMARTEMIS), which serves as a global reference system for the regulatory nvPM
79 measurements. We report the EIs of nvPM number and mass as well as particle size distributions
80 at ground level as a function of thrust from ground idle to take-off. We calculated nvPM
81 emissions from the standardized landing and take-off cycle (LTO), which consists of four static
82 thrust levels that approximate airport operations under 3000 ft (900 m) above ground: taxi (7%
83 thrust), approach (30% thrust), climb-out (85% thrust), and take-off (100% thrust). We also
84 developed a detailed engine performance model to estimate nvPM emissions at cruising altitudes
85 and compared the emission estimates with previous studies of commercial airliners.

86 **MATERIALS AND METHODS**

87 **Engine emission tests.** The emission measurements were performed in a static ground-level test
88 of the central engine of a Dassault Falcon 900EX (Figure 1). The engine was fueled with
89 military-grade JP-8 fuel, which has nearly the same specifications as the commercial Jet A-1 but
90 contains the following additives: a lubricity enhancer (0.1% mass), an icing inhibitor (0.1%
91 volume), and a static dissipater (ppm level).³⁰ The fuel batch used fulfilled the requirements for
92 the fuel used in aircraft turbine engine emission testing according to Appendix 4 of the ICAO
93 Annex 16 Vol. II⁵ (S1 in the online supporting information, SI). The weather during the test was
94 dry and sunny with a temperature range from 11.2 °C to 20.2 °C, relative humidity between 40%
95 and 70% and ambient pressure in the range from 96.5 kPa to 96.8 kPa. The engine test consisted

96 of a warm-up sequence and 11 test points on a descending power curve from take-off to idle (S2
97 in the SI). The engine was kept at each condition typically for 3 minutes (depending on the
98 emissions stabilization time). The engine test was run three times on the same day. We used the
99 low-pressure rotor speed (N1; rotational speed of the low pressure compressor and turbine) for
100 setting the engine test points, using a correlation of thrust with N1 for the international standard
101 atmosphere (ISA) conditions at sea level (15 °C and 101.325 kPa) provided by the engine
102 manufacturer. The N1 settings could be repeated within 0.5% for all points except for maximum
103 thrust, which was set by pushing the thrust lever to take-off position. The required take-off thrust
104 set by the engine controller is typically below the maximum rated value and it varies with aircraft
105 weight and ambient conditions. The average N1 from the three test runs at take-off was 98%
106 (range 97.3%–98.7%), corresponding to ~95% of the rated sea level thrust. Common for small
107 turbofan engines, the engine had an exhaust mixer, which mixed the hot core exhaust gases and
108 the cold bypass air in a common nozzle. The mixed exhaust samples were extracted ~30 cm
109 downstream of the engine exhaust nozzle exit plane (a plane perpendicular to the engine center
110 line at the exhaust nozzle exit) with a sampling probe made of Inconel 600 alloy. The probe had
111 a cruciform design with 12 orifices that provided a representative exhaust gas sample according
112 to the smoke emissions certification standard.⁵



113 **Figure 1 Schematic of the experimental setup for the emission tests on the center engine of**
 114 **the Dassault Falcon 900EX done with SMARTEMIS.**

115 SMARTEMIS connected to the probe is compliant with the new nvPM emissions
 116 certification standard and was described in detail previously^{18,20,22,31}. Briefly, the probe was
 117 connected to a 5.5 m-long stainless steel tubing heated to 160°C and with an inner diameter (ID)
 118 of 8 mm. At the inlet of the diluter assembly, the sample was split into the pressure control line,
 119 the nvPM transfer section, and the raw gas line. The raw gas line (160°C, length 25 m, 6 mm ID,

120 flow of 18 slpm, carbon-filled polytetrafluoroethylene (PTFE)) transported the raw exhaust
121 sample to the gas and smoke analysis system (CO_2 , CO, NO_x , SO_2 , HC and SN). In the diluter
122 assembly, a Dekati DI-1000 ejector diluter diluted the raw gas sample with dry synthetic air by a
123 factor of ~ 8 . The diluted sample was drawn through a trace-heated line (60°C , length 25 m, 8
124 mm ID, flow of 25 slpm, carbon-filled PTFE) to the particle instrumentation. The latter
125 determined the nvPM number concentration of particles > 10 nm (AVL Particle Counter
126 Advanced, AVL APC), the nvPM mass concentration (AVL Micro Soot Sensor, AVL MSS
127 Model 483), and the particle size distribution (Scanning Mobility Particle Sizer, TSI SMPS
128 Model 3938). All the particle instruments were factory-calibrated prior to the measurement
129 campaign. The size distribution measurement is not required by the ICAO nvPM standard;
130 however, it provides information relevant for health and climate effects studies. In the context of
131 the nvPM mass and number measurement, size distribution measurements help to explain the
132 relationship between nvPM mass and number concentrations and are important for an accurate
133 sampling system loss correction.

134 **Particle loss correction.** All data presented here are corrected for particle loss to the inner walls
135 of the sampling system, which is a significant artifact in gas turbine exhaust sampling. The main
136 particle loss mechanisms are diffusion due to the long sampling lines (~ 34 m from probe inlet to
137 the instrument inlet), and thermophoresis due to a temperature gradient between the exhaust gas
138 and the sampling line wall. The thermophoretic loss for the engine tested was negligible due to
139 its mixed-flow exhaust nozzle that diluted the hot core exhaust flow with the cold bypass air
140 upstream of the sampling probe (the modeled highest mixed gas temperature was $\sim 200^\circ\text{C}$, the
141 line temperature was held at 160°C). The size-dependent diffusional losses were calculated using
142 the measured particle size distributions (PSD) and a modeled penetration function for the

143 sampling system. The size-dependent system penetration functions were calculated according to
144 a standardized method developed for the aircraft engine nvPM testing published in the SAE
145 Aerospace Recommended Practice (ARP) 6481.³² The PSD measured was divided by the system
146 penetration function for the SMPS (exhaust probe inlet to SMPS inlet) to obtain the PSD at the
147 engine exit plane. The exit plane PSD, both number and mass-based, were then fitted with
148 lognormal distributions. The mass distributions were obtained by assuming an average particle
149 density of 1 g/cm³ independent of thrust and particle size. Effective density of aircraft engine
150 soot is particle size and thrust dependent, however, measurements have shown that the average
151 density (mass / volume of the PSD) is nearly constant as a function of thrust and geometric mean
152 diameter (GMD).²² Finally, the distributions at the engine exit plane were multiplied by the
153 penetration functions from the sampling probe inlet to the inlets of the corresponding nvPM
154 instruments. The nvPM number concentration was also corrected for the losses in the instrument
155 (losses in the volatile particle remover and the counting efficiency cut-off). The resulting
156 correction factors are the ratios of the integrated PSD at the engine exit plane to the PSD at the
157 instrument inlets for mobility diameters ≥ 10 nm. The number-based correction factors were in
158 the range 2–6 (i.e., 2- to 6-fold losses) and the mass-based correction factors were in the range
159 1.2–1.4 (i.e., 20%–40% losses) (S3 in the online SI).

160 **Emission indices.** The nvPM EIs were calculated using one-minute averages of the nvPM mass
161 and number, CO, CO₂, HC, and NO_x concentrations and the complete nvPM EI equations, which
162 include a correction for ambient background residual nvPM.³³ This correction may be required
163 because in the mixed-flow engine configuration the ambient air dilutes the core flow upstream of
164 the sampling probe. Without considering the ambient background nvPM, the nvPM EIs may be
165 overestimated. For the worst-case scenario encountered in the ambient air checks pre- and post-

166 test (ambient nvPM mass $3.5 \mu\text{g}/\text{m}^3$ and $8000 \text{ particles}/\text{cm}^3$), the effect of the ambient
167 background nvPM on the nvPM EIs was $<5\%$ for nvPM mass and $<1\%$ for nvPM number and it
168 was the highest at idle. The relative uncertainty (95% confidence) of the loss-corrected EIs was
169 estimated to be 20% (propagation of the systematic and random errors in the EIs and particle loss
170 correction). The loss-corrected nvPM EIs were then interpolated as a function of sea-level static
171 thrust using 6th order polynomials. The interpolated EIs and fuel flow were used to calculate the
172 LTO cycle emissions, which are simplified estimates of emissions from airport operations < 915
173 m (3000 ft) above ground level. To calculate the standard LTO emissions, the EI in each mode is
174 multiplied by fuel flow and the mode duration (26, 4, 2.2, and 0.7 minutes for taxi, approach,
175 climb-out, and take-off, respectively).⁵

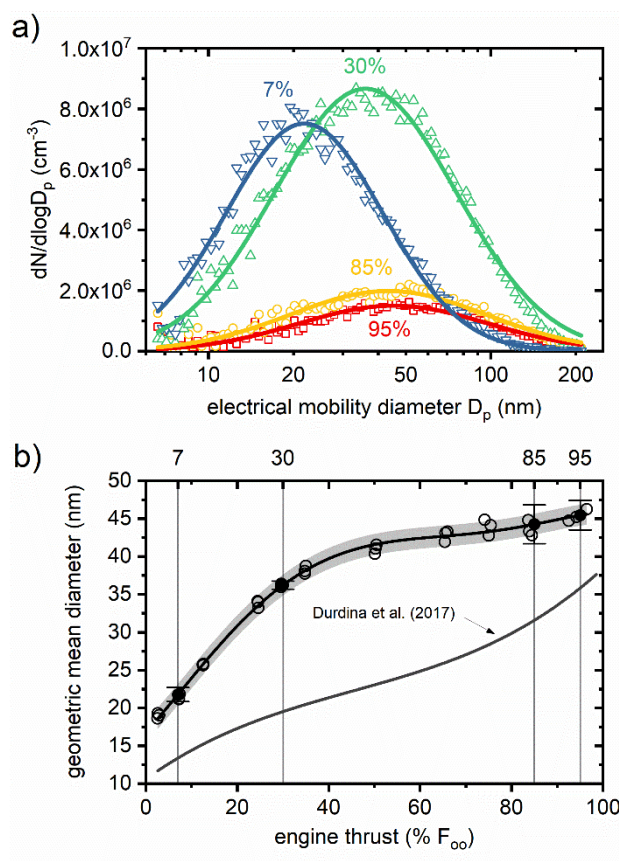
176 **Emission estimates at cruising altitude.** We calculated the nvPM emissions at cruise Mach
177 number of 0.8 at the reference cruising altitude of 35,000 ft (flight level (FL) 350) in the
178 international standard atmosphere (ISA) at temperatures $\text{ISA} \pm 10 \text{ }^\circ\text{C}$, and at Mach 0.8 at FL400
179 (ISA) at maximum cruise thrust according to the engine manufacturer's specifications. To
180 estimate the combustion-relevant engine parameters at these conditions, we developed a detailed
181 calibrated engine performance model using the GasTurb 13 software package.³⁴ The model
182 provides the combustor inlet pressure (P3), temperature (T3) as well as the combustor exit air-
183 fuel ratio (AFR) needed for correcting the reference mass emission indices (EI_m) at ground (EI_m
184 at the same T3 as at cruise) using known empirical equations.^{31,35} The number emission indices
185 (EI_n) at cruise were calculated from the ratio EI_n/EI_m as a function of T3, which is based on the
186 assumption that the GMD is a function of T3.^{31,36} We compared the results of this method with a
187 more elaborate one that estimates the cruise nvPM EIs from nvPM mass concentration, PSD
188 properties (GMD and geometric standard deviation, GSD) and engine performance at cruise (see

189 S4 in the SI for the detailed description of the engine performance model and the cruise
190 emissions calculation).

191 **RESULTS AND DISCUSSION**

192 **Particle size distribution characteristics.** The PSD characteristics depended strongly on engine
193 thrust (Figure 2). The GMD was smallest at idle (~17 nm), followed by an initial steep increase
194 up to ~40% thrust. After this point, there was negligible further increase in size with increase in
195 thrust. The largest size was observed at take-off thrust (~45 nm). The GSD of the measured PSD
196 ranged from 1.85 to 1.95 (mean value for all test points was 1.91) independent of thrust. The
197 PSD followed the lognormal distribution best at idle ($R^2=0.99$) and departed from lognormality
198 with increasing thrust ($R^2=0.95$ at take-off). The GMD increase with engine thrust is consistent
199 with previous emission measurements directly behind turbofan engines with conventional (single
200 annular) combustors.^{18,19,29,31,37-39} However, Figure 2b shows that compared to a common large
201 turbofan engine CFM56-7B, the GMD was larger at all thrust levels. As the GMD increased with
202 thrust, the particle concentration increased as well from idle up to ~30% thrust, but it decreased
203 with further increase in thrust with the minimum at take-off (Figure 2a). Similar PSD
204 characteristics have not been reported for a commercial turbofan engine before. Previous studies
205 found the largest GMD at engine conditions that produced the highest nvPM mass emission
206 indices for staged combustor engines as well as for single annular combustor engines. For single
207 annular combustor engines (most engines in service), this occurs typically at take-off
208 thrust^{14,18,29,31,38,39}. Our results corroborate that the GMD of nvPM produced by jet engines with
209 unstaged combustors increases with engine thrust (or T3) and it does not necessarily correlate
210 with the nvPM mass concentration in the exhaust.³¹ We note that the concentrations of the PSDs

211 in Figure 2a have not been corrected for the bypass air dilution. The bypass air dilution does not
 212 affect the calculated emission indices, which were used in further analysis.

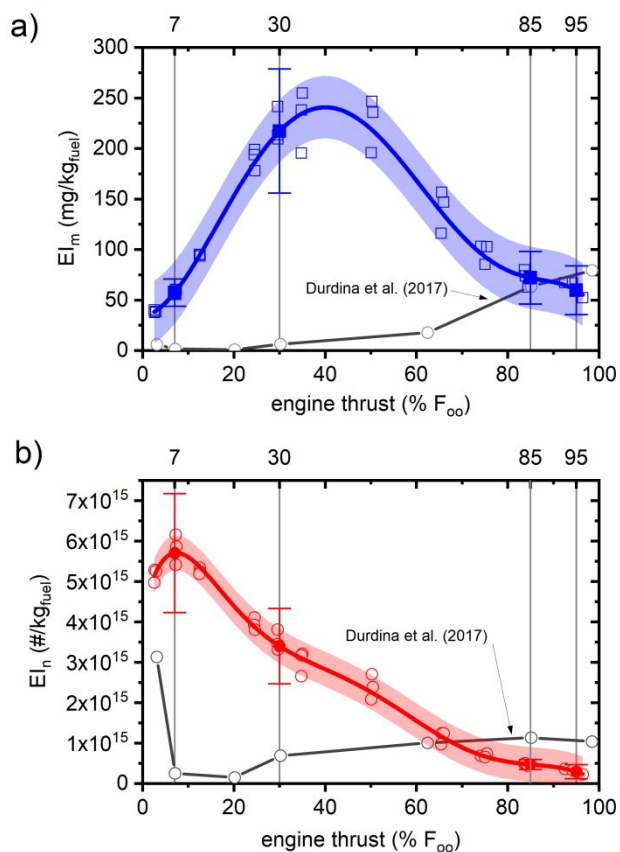


213
 214 **Figure 2 Particle size distributions at the engine exit plane (mixed flow nozzle, no bypass**
 215 **dilution correction) at four thrust levels used for the LTO cycle calculation (a) and**
 216 **geometric mean diameter as a function of rated thrust, F_{00} (b). Shaded area in (b)**
 217 **represents the standard error of the fit (95% confidence). The error bars (95% confidence)**
 218 **for the LTO points are the combined uncertainties of the random standard uncertainty**
 219 **(standard deviation of the mean, $N=3$) and the total uncertainty in the GMD (5%). The**
 220 **curve from Durdina et al. (2017)³¹ is for the exit plane of a CFM56-7B engine (Boeing 737-**
 221 **800).**

222

223 **Emission indices.** The emission indices of nvPM mass and number varied with engine thrust by
224 more than an order of magnitude and peaked at low thrust levels (Figure 3). The EI_m peaked at
225 $\sim 40\%$ thrust and was the lowest and similar in magnitude at take-off and idle (Figure 3a). In
226 contrast, the EI_n peaked near idle power ($\sim 7\%$ rated thrust) and decreased steadily with
227 increasing thrust with a minimum at take-off (a factor of ~ 19 lower than at idle; Figure 3b). Such
228 nvPM EI characteristics have not been reported for a commercial turbofan before. Typically, the
229 EI_m of turbofan engines of various sizes with conventional combustors increases with thrust with
230 a maximum at or near take-off.^{20,29,31,38,40} The EI_n often follows an S-shaped curve with a
231 maximum at idle, minimum at $\sim 20\%$ thrust, and further increase with thrust with a plateau at
232 mid-range to maximum thrust (see gray lines in Figure 3 for comparison with a previous study of
233 the CFM56-7B turbofan engine used on the Boeing 737-800³¹).

234 Most importantly, the measured nvPM EIs not only had different thrust
235 dependence than the widely used CFM56-7B engine, but they also differed strongly in
236 magnitude for most of the engine conditions. The EI_m was higher by a factor of ~ 200 at 30%
237 thrust (approach mode in the LTO cycle); whereas at take-off, it was up to a factor of 2 lower
238 (Figure 3a). The EI_n was higher by up to a factor of 30 at taxi and approach thrust, whereas at
239 take-off power it was up to a factor of 3 lower than found in the previous study for the airliner
240 engine (Figure 3b). The relatively high nvPM EIs at low thrust compared to a conventional large
241 turbofan engine indicate that a small aircraft with nvPM emission characteristics as reported here
242 may be a significant nvPM source during low thrust operations (idle, taxiing, approach and
243 landing) despite its lower fuel burn.



244

245

246 **Figure 3 Emission indices (particle loss corrected) of nvPM mass (a) and nvPM number (b)**

247 **as a function of thrust. The shaded areas are standard errors of the fits (95% confidence).**

248 **The error bars (95% confidence) for the LTO points are the combined uncertainties of the**

249 **random standard uncertainty (standard deviation of the mean, $N=3$) and the total**

250 **uncertainty in the measured EIs (20%). The data from Durdina et al. (2017)³¹ are for the**

251 **CFM56-7B26 engine (Boeing 737-800).**

252 Note that the two studies compared here used different fuels, which affected the nvPM

253 emissions. The fuel hydrogen mass content, which has been used as the correlating parameter for

254 fuel effects on nvPM emissions^{19,20,41,42}, was 13.5% compared to Durdina et al. (2017)³¹ who

255 used fuel with 14.3%. Fuels with higher hydrogen content burn cleaner and the effect on nvPM

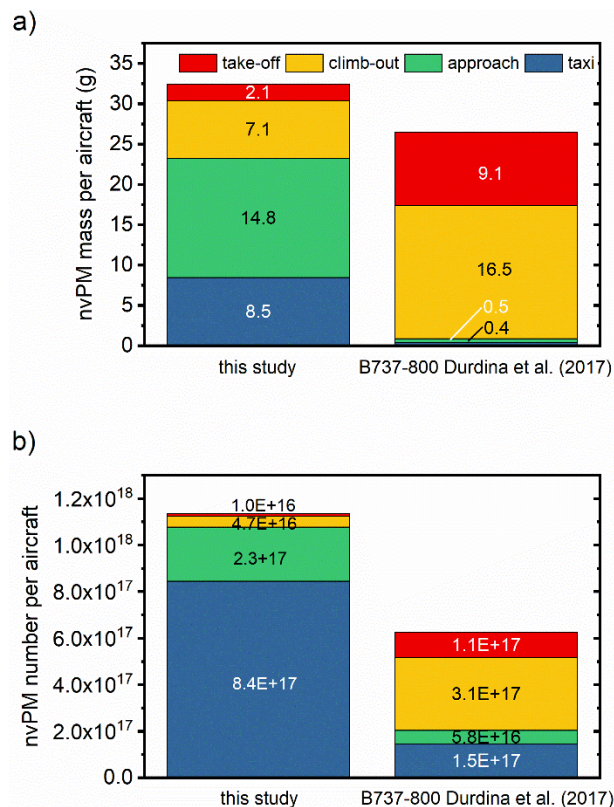
256 decreases with increasing engine thrust.^{19,20,42} According to the predictive model of Brem et al.²⁰,
257 the difference between the nvPM EIs for two fuels with hydrogen mass content difference of
258 0.5% (maximum range in their study) is ~50% at 30% thrust and ~5% at take-off thrust.
259 Nevertheless, the fuel used here is within the specifications for certification fuel and its lower
260 hydrogen content is representative of fuel used in North America.⁴³

261 Another source of variability in nvPM emissions is ambient conditions. The variation in
262 the measured EIs shown in Figure 3 (coefficient of variation 2–15%) for a given thrust level is
263 dominated primarily by the ambient temperature variability. As the ambient temperature varied,
264 the combustor conditions for a given N1 varied with changes in air density, which affected the
265 nvPM emissions measurably. Negative correlation of nvPM emissions with ambient temperature
266 has been observed before¹⁸, but no corrections for ambient effects have been developed yet. As
267 the mean ambient temperature in the three runs was ~15°C and the pressure was near standard
268 sea level pressure, the interpolated EIs can be considered representative for sea level standard
269 conditions.

270
271 **LTO cycle emissions.** The LTO cycle emissions were dominated by the taxi and approach
272 modes (Figure 4). This is a result of the peak nvPM emissions at low thrust (Figure 3) and the
273 longest times in those LTO modes (Table 1). The taxi and approach modes together constituted
274 71% of the total nvPM mass and 95% of the total nvPM number. This finding contrasts the LTO
275 cycle emissions of a Boeing 737-800 (and other airliners), which are dominated by the climb and
276 take-off modes.³¹ In comparison, the nvPM emissions from the taxi and approach modes of the
277 Boeing 737-800 made up only 3% of the total nvPM mass and 33% of the total nvPM number.
278 Interestingly, the total LTO emissions were higher than those of the airliner. The nvPM mass

279 was higher by 22% and the nvPM number was higher by a factor of 2. Thus, a business jet may
280 be an important contributor to local air pollution, depending on the actual LTO operations.

281 We note that the certification LTO cycle likely overestimates emissions compared to a
282 performance-based LTO model, but it is valuable for comparing emissions performance of
283 different engines. The certification LTO cycle is meant to be an approximation, thus the thrust
284 levels as well as the times in mode may be overestimated, especially for small airports serving
285 private aviation. However, a low-emitting engine in the certification LTO cycle is expected to
286 have good emissions performance also in the real world. To evaluate emissions performance of
287 different engines from certification data, the total LTO cycle emissions are normalized to rated
288 take-off thrust.⁵ The TFE731-60 engine (rated thrust 22.24 kN) produced 486 mg/kN and
289 1.7×10^{16} particles/kN, whereas the CFM56-7B26 (rated thrust 117 kN) from the previous study
290 of Durdina et al. (2017)³¹ emitted 113 mg/kN and 2.67×10^{15} of particles/kN. Therefore, the
291 smaller engine investigated here is expected to have worse nvPM emissions performance from
292 LTO operations than the larger engine.



293

294 **Figure 4 LTO cycle emissions of nvPM mass (a) and nvPM number (b) calculated per**
 295 **aircraft.**

296 **Table 1 Summary of the LTO emission indices, nvPM mass and number emissions per**
 297 **aircraft and geometric mean diameters (\pm estimated uncertainties at 95% confidence).**

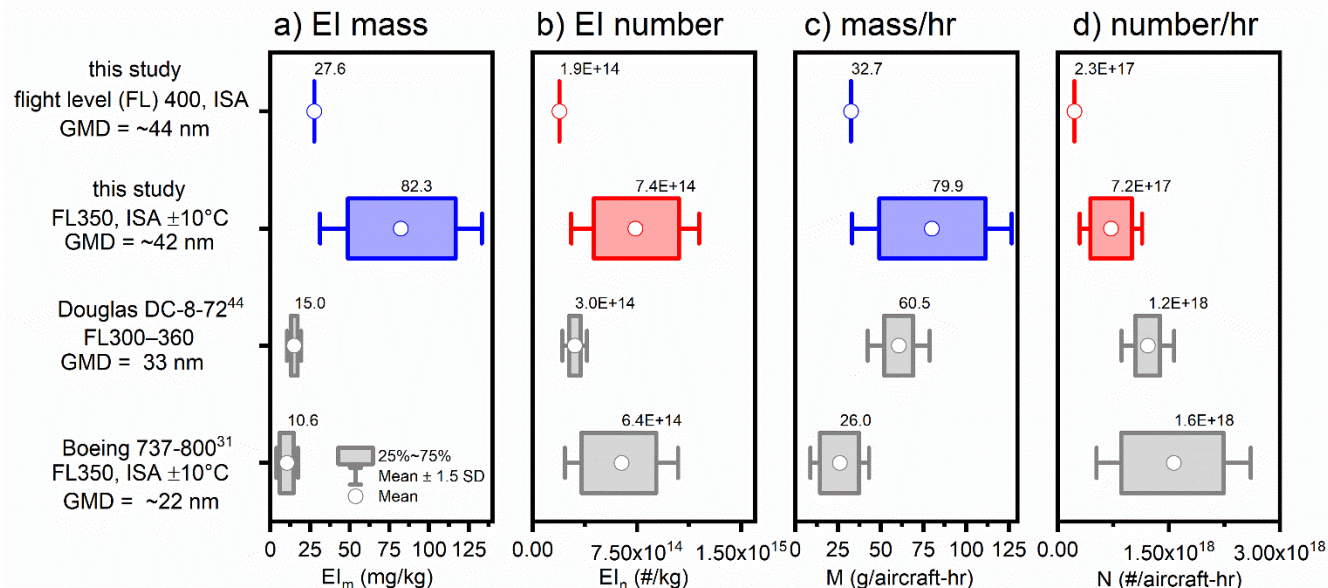
LTO mode	LTO time in mode (s)	$EI_m \pm u_{95}$ (mg/kg)	$EI_n \pm u_{95}$ (#/kg)	nvPM mass per aircraft $\pm u_{95}$ (g)	nvPM number per aircraft $\pm u_{95}$ (#)	GMD $\pm u_{95}$ (nm)
taxi	1560	57.4 ± 13.5	$5.7 \times 10^{15} \pm 1.5 \times 10^{15}$	8.5 ± 2	$8.4 \times 10^{17} \pm 2.2 \times 10^{17}$	21.8 ± 1.4
approach	240	217.2 ± 61.5	$3.4 \times 10^{15} \pm 9.3 \times 10^{14}$	14.8 ± 4.2	$2.3 \times 10^{17} \pm 6.4 \times 10^{16}$	36.2 ± 1.9
climb-out	132	72 ± 26	$4.7 \times 10^{14} \pm 1.2 \times 10^{14}$	7.1 ± 2.6	$4.7 \times 10^{16} \pm 1.2 \times 10^{16}$	44.3 ± 3.4
take-off	42	59.6 ± 24.2	$2.9 \times 10^{14} \pm 1.8 \times 10^{14}$	2.1 ± 0.9	$1.0 \times 10^{16} \pm 6.2 \times 10^{15}$	45.5 ± 3.0
total				32.5 ± 5.4	$1.1 \times 10^{18} \pm 2.3 \times 10^{17}$	

298

299 **Cruise emissions.** The estimated nvPM EIs at cruising altitudes are shown in Figure 5. For the
300 reference flight level of 35,000 ft (10.67 km) in ISA, the EI_n was 7.4×10^{14} particles/kg of fuel
301 burned, comparable with the previous modeling study for the Boeing 737-800.³¹ In contrast, the
302 EI_m was 82 mg/kg of fuel burned, which is a factor of ~ 8 higher than found for the Boeing 737's
303 engines for the same flight conditions and using the same measurement system and modeling
304 approach. This is due to the larger mean particle size. We estimated the GMD to be ~ 42 nm
305 (compared to 22 nm for the Boeing 737), which means that the particle mass distribution is
306 dominated by a fewer larger particles.

307 The nvPM EIs decreased with increasing ambient temperature. As ambient temperature
308 increases, the engine runs at higher N1 at the same Mach number to compensate for the lower air
309 density. The T3 increases, which, due to the nvPM emission characteristics of the engine studied
310 (Figure 3), leads to decreasing nvPM EIs. Therefore, flying at higher altitudes and at maximum
311 cruise thrust would result in lower nvPM emissions. This result contrasts the findings of previous
312 studies of commercial turbofan engines with maximum nvPM mass emissions at maximum
313 thrust.^{31,44} Overall, our estimated cruise nvPM mass emissions (82 mg/kg), which are most
314 relevant for the direct radiative forcing effects, are higher than literature values used for the fleet
315 average (25–40 mg/kg).^{3,45} Despite the small aircraft size and relatively low fuel burn, the nvPM
316 mass emission rates were up to a factor of 3 higher than previously reported for the Boeing 737
317 engines (Figure 5c).

318



319 **Figure 5 Emissions at cruise: EI of nvPM mass (a) EI nvPM number (b) nvPM mass per**
 320 **hour (c) and nvPM number per hour (d). The results for the DC-8-72 were obtained from**
 321 **exhaust plume sampling at cruise behind the aircraft flying at maximum range thrust**
 322 **burning medium- and low sulfur Jet A-1 fuel.⁴⁴ The results for the Boeing 737-800 were**
 323 **modeled using an engine performance model and ground test data of the CFM56-7B**
 324 **engine.³¹**

325

326 **Implications.** Business jets are so far not accounted for accurately in emission
 327 inventories and have also been excluded from the certification requirements for nvPM emissions
 328 as well as for gaseous emissions. We have shown here that a modern business jet may emit as
 329 much nvPM from airport operations as an airliner. Also, the comparison with airliners at the
 330 cruising altitude suggests that nvPM emissions from a business jet flight may be higher than
 331 those of an airliner.

332 The thrust dependence of nvPM mass emissions of the engine investigated differed from
333 those of large turbofan engines, on which predictive models are based. Models that predict
334 aviation nvPM mass emissions at ground level and cruising altitude^{16,17} are calibrated to
335 measurement data of engines that produce maximum nvPM mass emissions at take-off thrust.
336 The models predict lowest nvPM mass emissions at idle and an exponential increase with
337 increasing thrust. Thus, the nvPM emissions of the engine type investigated here cannot be well
338 predicted using such a modeling approach without engine-specific SN or nvPM data.

339 Our nvPM measurements and modeled cruise emissions allowed comparison with
340 previous studies of large engines at ground and at cruise. The ground level emission
341 measurements have shown that the high thrust modes produced the lowest nvPM emissions,
342 however, the low power conditions, which dominated the LTO cycle, produced up to an order of
343 magnitude more nvPM mass and number than a Boeing 737 airliner. Consequently, taxiing
344 aircraft with nvPM emission characteristics as found here may be an important pollution source
345 at ground. Relatively high nvPM EIs were found also at cruise condition. If we expand the
346 comparison with the Boeing 737 nvPM emissions³¹ to the overall flight emissions, during a 2-
347 hour cruise and the regulatory LTO cycle, the business jet would produce 190 g of nvPM and
348 2.54×10^{18} of particles, which is twice as much nvPM mass and ~65% of the nvPM number of the
349 airliner. Expressed as a per-person burden (assuming 180 airliner passengers and 5 business jet
350 passengers), the nvPM mass emissions are higher by a factor of 72 and the nvPM number
351 emissions are higher by a factor of 24.

352 These results highlight the need for further emissions research of small aircraft engines.
353 To evaluate the applicability of our results, future studies should investigate nvPM mass and
354 number emissions and size distributions as a function of engine thrust of different engine types to

355 develop emission inventories and more robust predictive models for ground and cruise
356 emissions. This study will serve for the development of emission inventories and the results
357 could also be used in the regulatory framework for assessing the emissions certification
358 requirements of small aircraft turbine engines.

359

360 **ASSOCIATED CONTENT**

361 Supporting Information. Fuel properties, engine test matrix, system loss correction factors,
362 engine performance model and cruise emission calculation.

363

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367 Notes

368 The authors declare no competing financial interest.

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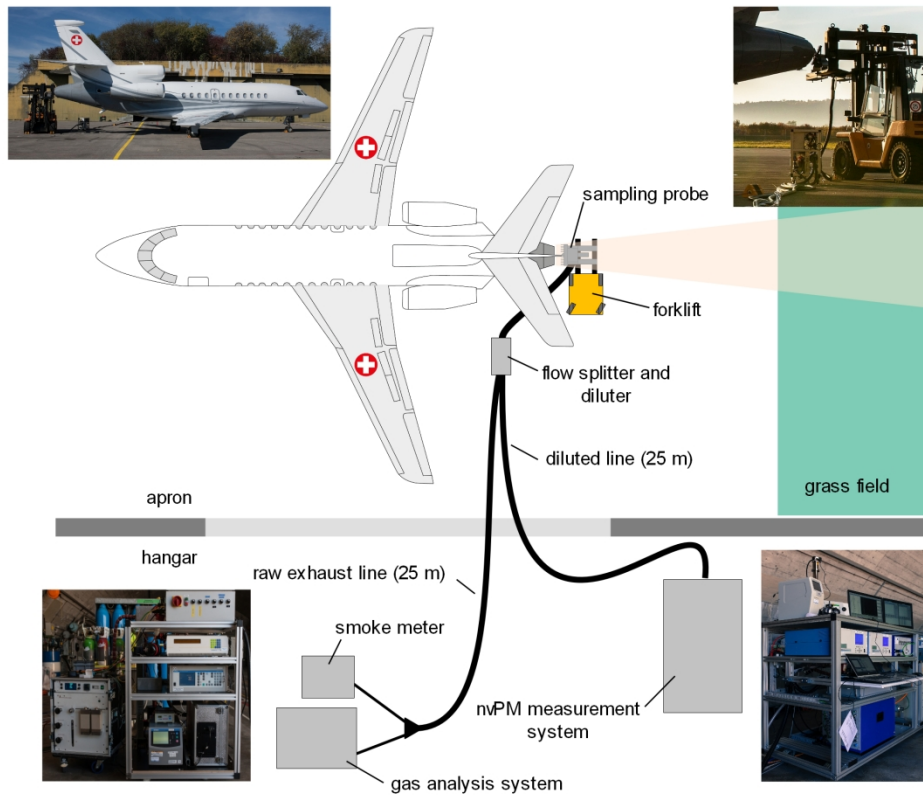


Figure 1

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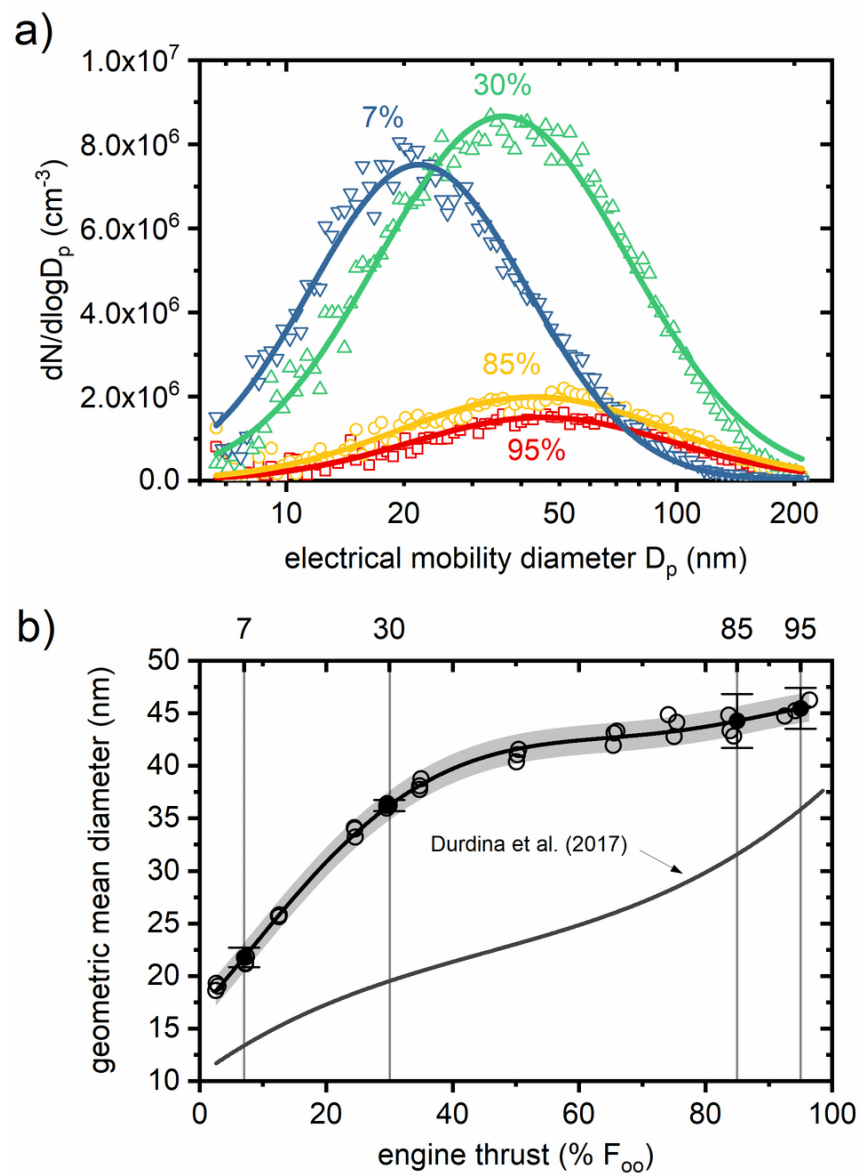


Figure 2

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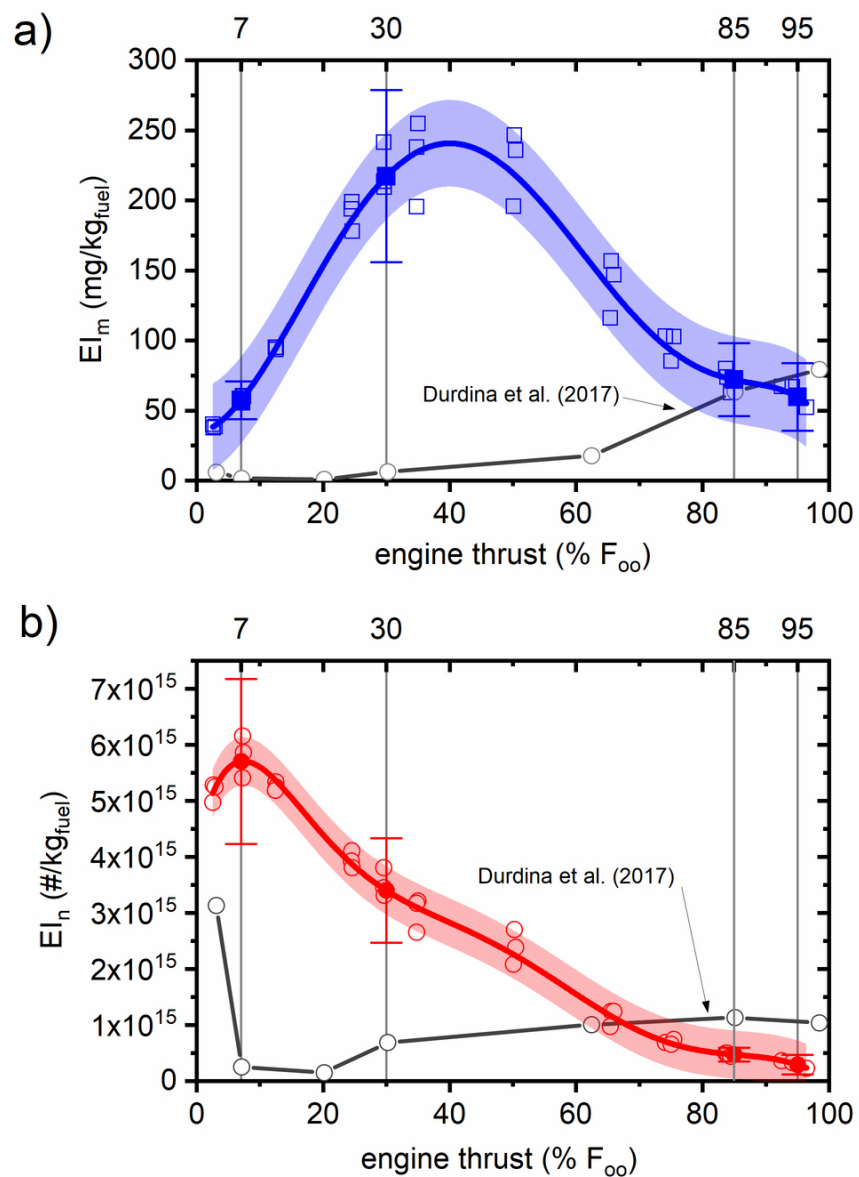


Figure 3

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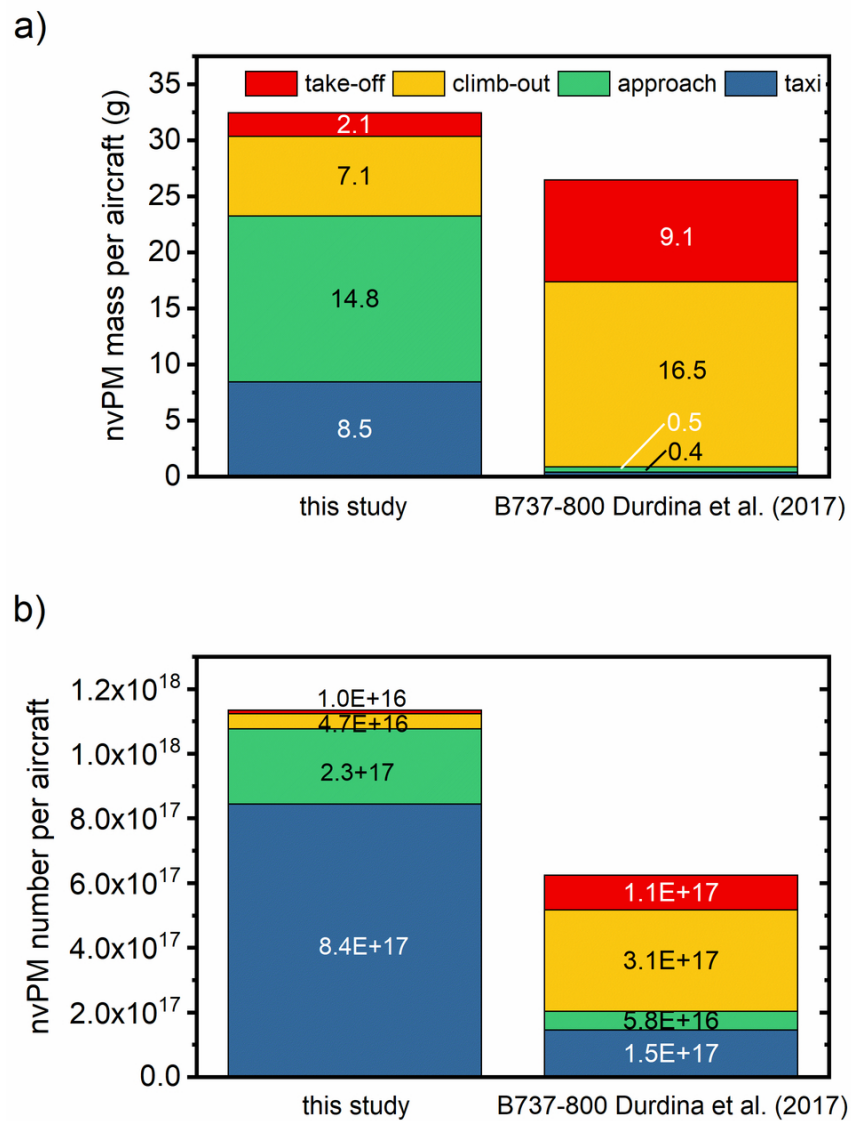


Figure 4

82x114mm (300 x 300 DPI)

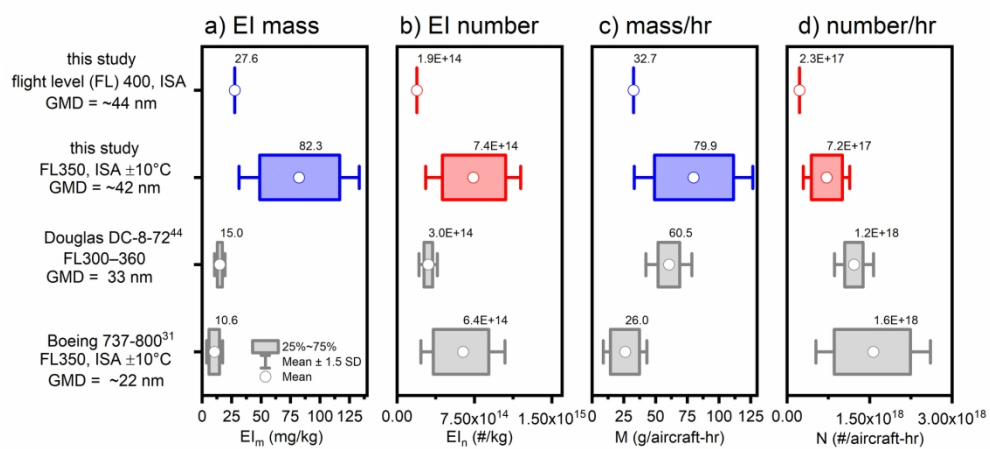
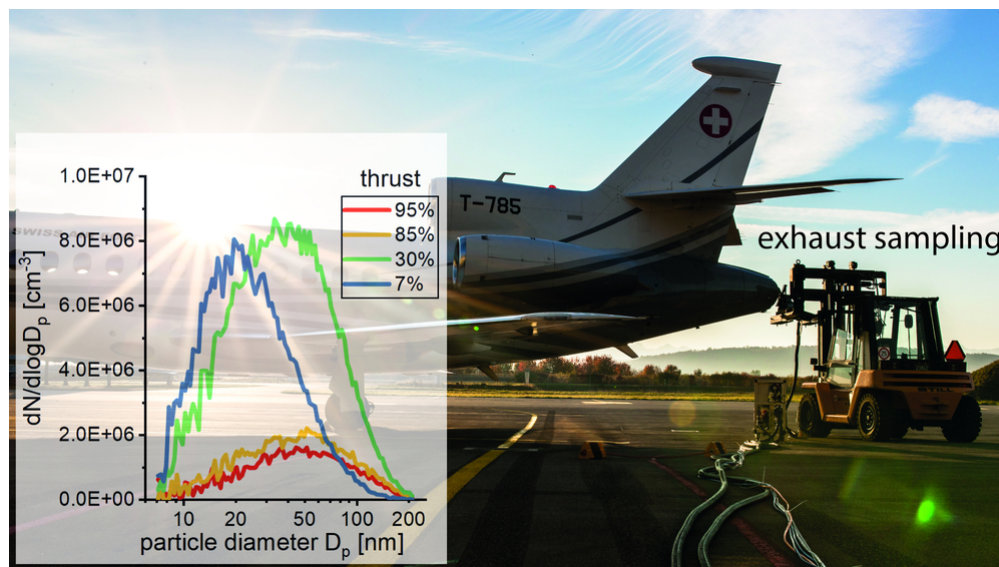


Figure 5

177x82mm (300 x 300 DPI)



graphical abstract

84x47mm (300 x 300 DPI)