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13 **Article series: Cell death**

14 **Mitochondria as multifaceted regulators of cell death**

15

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**Abstract**

Through their many and varied metabolic functions, mitochondria power life. Paradoxically, mitochondria also have a central role in apoptotic cell death. Upon induction of mitochondrial apoptosis, mitochondrial outer membrane permeabilization (MOMP) usually commits a cell to die. Apoptotic signalling downstream of MOMP involves cytochrome *c* release from mitochondria and subsequent caspase activation. As such, targeting MOMP in order to manipulate cell death holds tremendous therapeutic potential across different diseases, including neurodegenerative diseases, autoimmune disorders and cancer. In this Review, we discuss new insights into how mitochondria regulate apoptotic cell death. Surprisingly, recent data demonstrates that besides eliciting caspase activation, MOMP engages a variety of pro-inflammatory signalling functions. As we highlight, together with new findings demonstrating cell survival following MOMP, this pro-inflammatory role suggests that mitochondria-derived signalling downstream of pro-apoptotic cues may also have non-lethal functions. Finally, we discuss the importance and roles of mitochondria in other forms of regulated cell death, including necroptosis, ferroptosis and pyroptosis. Collectively, these new findings offer exciting, unexplored opportunities to target mitochondrial regulation of cell death for clinical benefit.

## 46 [H1] Introduction

47 Mitochondria are essential for life. Positioned at the heart of cellular metabolism,  
48 they serve a key role in ATP generation via oxidative phosphorylation. Beyond their many  
49 core metabolic functions, mitochondria are implicated in an expanding array of cellular  
50 processes, ranging from inflammation to regulation of stem cell generation<sup>1,2</sup>. What may  
51 seem as a paradox, mitochondria are often essential for cell death.

52

53 Regulated cell death underpins health; for example, inhibition of cell death  
54 promotes cancer and auto-immunity whereas excessive cell death contributes to  
55 neurodegenerative diseases, including Parkinson disease, Alzheimer disease, amyotrophic  
56 lateral sclerosis and Huntington disease. Consequently, considerable interest has centred  
57 upon targeting of mitochondria to manipulate cell death in disease. Validating this rationale,  
58 recently developed anti-cancer drugs called **BH3-mimetics** **[G]** sensitize cells to  
59 mitochondrial-dependent death, displaying potent anti-tumour activity<sup>3,4</sup>. The role of  
60 mitochondria in cell death is unequivocally established in apoptosis, where mitochondrial  
61 outer membrane permeabilization (MOMP) driven by effector pro-apoptotic members of  
62 the BCL-2 family of proteins (prominently BAX and BAK; **Box 1**) initiates a signalling cascade  
63 that leads to cell death; although, as we have now become to appreciate, induction of  
64 MOMP is not synonymous with apoptosis and commitment of a cell to die is not definitive  
65 downstream of MOMP. In addition, MOMP has other consequences beyond execution of  
66 cell death, including induction of pro-inflammatory signalling. Finally, while apoptosis is a  
67 major form of regulated cell death, it is by no means the only one. More recently described  
68 regulated cell death modalities include necroptosis, pyroptosis and ferroptosis.  
69 Mitochondria have also been implicated in these additional modalities of regulated cell  
70 death, but their roles are still poorly defined and appear less conspicuous.

71

72 In this Review we discuss how mitochondria contribute to regulated cell death,  
73 placing this contribution in the context of health and disease. Specifically, we highlight new  
74 insights into how mitochondria initiate apoptosis, and discuss their parallel role in eliciting  
75 pro-inflammatory signalling activity with important consequences for physiology. Taken  
76 together with recent studies showing heterogeneity in MOMP between mitochondria within  
77 a cell treated with pro-apoptotic stimuli, we highlight that mitochondrial permeabilization

78 can exert various non-lethal signalling functions. We then discuss the contribution of  
79 mitochondria to more recently described types of regulated cell death, highlighting  
80 mitochondria as a central nexus between different cell death modalities.

81

## 82 **[H1] Mechanisms of mitochondrial apoptosis**

83 Apoptotic cell death is a major form of regulated cell death that has central roles in  
84 many processes ranging from embryonic development to immune homeostasis<sup>5</sup>. As we  
85 now discuss, in many instances, mitochondria are crucial for the initiation of apoptosis.

86

### 87 *[H2] Apoptotic signalling.*

88 There are two main apoptotic signalling pathways: the extrinsic (also called death-  
89 receptor) and the intrinsic, or mitochondrial, pathways of apoptosis (**Figure 1**). Both  
90 converge upon activation of caspase 3 and caspase 7. As proteases, these executioner  
91 caspases cleave hundreds of different proteins causing the biochemical and morphological  
92 hallmarks of apoptosis<sup>6</sup>. The extrinsic pathway is activated at the plasma membrane by  
93 death receptor ligands binding to their cognate receptors, leading to activation of caspase 8  
94 (a component of a complex known as the **death inducing signalling complex (DISC) [G]**)<sup>7</sup>.  
95 Active caspase 8 propagates apoptosis by cleaving the pro-caspase 3 and pro-caspase 7,  
96 causing their activation (**Figure 1**).

97

98 Diverse cellular stresses, for instance growth-factor deprivation or DNA damage, kill  
99 by the mitochondrial pathway of apoptosis. The mitochondrial pathway requires MOMP to  
100 release soluble proteins from the mitochondrial intermembrane space leading to cell death  
101 (**Figure 1**). Amongst these intermembrane space proteins, cytochrome *c* — an essential  
102 component of the electron transport chain — binds the adaptor molecule APAF-1 forming a  
103 complex called the apoptosome<sup>8,9</sup>. The apoptosome, in turn, binds to and activates the  
104 initiator caspase 9, which subsequently cleaves and activates the executioner caspases.  
105 MOMP also causes the release of proteins including **SMAC [G]** and **OMI [G]** that block the  
106 caspase inhibitor **XIAP [G]**, facilitating apoptosis. The extrinsic apoptotic pathway crosstalks  
107 to the mitochondrial pathway by caspase 8-mediated cleavage of BID, a pro-apoptotic BH3-  
108 only member of the BCL-2 family (**Box 1**), which generates tBID that potently induces  
109 MOMP (**Figure 1**).

110

111           With some notable exceptions that we will later discuss, MOMP typically commits  
112 cells to death, even in the absence of caspase activity (this phenomenon is known as  
113 caspase-independent death). Thus, MOMP is considered a point-of-no-return in apoptosis  
114 execution<sup>10-12</sup>. Consistent with MOMP being the point of commitment to cell death, mice  
115 deficient in caspase activity associated with the mitochondrial pathway of apoptosis (e.g.  
116 *APAF-1*<sup>-/-</sup> and *caspase-9*<sup>-/-</sup>) display much milder developmental defects than MOMP-  
117 inhibited (*BAX*<sup>-/-</sup>, *BAK*<sup>-/-</sup>) mice<sup>13-18</sup>. The reason for MOMP being able to mediate caspase-  
118 independent cell death is overall metabolic catastrophe, related to the fact that often all  
119 mitochondria undergo MOMP during apoptosis<sup>19</sup> and their progressive dysfunction  
120 following MOMP causes widespread ATP loss<sup>20</sup>. Because MOMP serves to commit a cell to  
121 die, it is tightly regulated, primarily by members of the BCL-2 protein family (**Box 1**).

122

## 123 *[H2] Mechanisms of MOMP.*

124           During mitochondrial apoptosis, activation of the pro-apoptotic effectors BAX and  
125 BAK is usually essential for MOMP and cell death<sup>21</sup>. BAX and BAK are largely considered  
126 redundant because only upon their combined loss are cells resistant to mitochondrial  
127 apoptosis and extensive developmental defects are observed<sup>16,17,21</sup>. Nevertheless,  
128 differences for BAX versus BAK in mitochondrial apoptosis have been reported in some  
129 studies<sup>22,23</sup>. For example, BAX and BAK display a differential requirement for the  
130 mitochondrial porin VDAC2 in their ability to induce apoptosis: while VDAC2 associates with  
131 both proteins, VDAC2 is required for BAX, but not BAK, to induce apoptosis<sup>24-26</sup>.  
132 Importantly, such differences can govern the effectiveness of chemotherapy responses that  
133 often require mitochondrial apoptosis<sup>22</sup>.

134           In healthy cells, BAX localises to the cytoplasm and BAK to the mitochondria,  
135 however, both can shuttle between the mitochondria and cytoplasm<sup>27-29</sup> (**Figure 2**). Under  
136 basal conditions BAX and BAK are inactive. Following activation, BAX accumulates at the  
137 mitochondria. BAX and BAK can be directly activated by binding a subclass of BH3-only  
138 proteins called direct activators (BID, PUMA and BIM)<sup>30</sup>. Structural studies have  
139 demonstrated that the direct activator BH3-domain binds within the hydrophobic groove of  
140 BAX and BAK, leading to extensive conformational changes allowing activation<sup>31-33</sup>. This  
141 structural information has guided the development of modified BH3-peptides derived from

142 BH3-only proteins that block BAK activation, providing proof-of-concept for therapeutic  
143 targeting of this step to block cell death<sup>34</sup>.

144

145 Experiments with chemically stabilised BH3-peptides also enabled a discovery of a  
146 second BH3-binding site in BAX<sup>35</sup>. This second BH3-binding site is distant from the BAX  
147 hydrophobic groove, located in the amino-terminus of the protein, and it promotes BAX  
148 activation through an allosteric conformational change<sup>35,36</sup>. Notably, BAX-activating small  
149 molecules that target this amino-terminal site and promote BAX activation display potent  
150 anti-tumour activity<sup>37</sup>. Reconciling a requirement for two activation sites, recent data  
151 supports a sequential model of BAX activation in which BH3-proteins first bind the amino-  
152 terminal site, facilitating BH3-binding to the hydrophobic groove for full BAX activity<sup>38</sup>. Of  
153 note, there is evidence that BH3-only proteins are not absolutely essential for BAX and BAK  
154 activation (see **Box 1**). During activation, BAX and BAK expose their BH3-domains that can  
155 further propagate their own activity<sup>36,39</sup>. Once activated, BAX and BAK homodimerize and  
156 these dimers, form higher-order oligomers that are essential for MOMP<sup>40-44</sup> (**Figure 2**).

157

158 How do active BAX and BAK permeabilize the mitochondrial outer membrane,  
159 initiating cell death? Consensus to this long-standing question centres on activated BAX and  
160 BAK inducing lipidic (toroidal) pores in the mitochondrial outer membrane (**Figure 2**). Such  
161 lipidic pores are formed by fusion of the inner and outer leaflets of membranes, which is  
162 promoted and stabilised by protein insertion. Indeed, studies using synthetic liposomes and  
163 mitochondrial outer membrane-derived vesicles demonstrate that BAX can induce large  
164 (>100nm) membrane pores visible by cryo-electron microscopy that grow over time<sup>45,46</sup>.  
165 Moreover, BAX pores are tuneable in size dependent on BAX concentration<sup>46</sup>. Importantly,  
166 super-resolution microscopy has enabled direct visualisation of BAX-mediated pores in  
167 apoptotic cells<sup>47,48</sup>. On apoptotic mitochondria, BAX localises in heterogenous ring-like  
168 structures, roughly approximating in size to holes observed in mitochondrial outer  
169 membrane-derived vesicles. Formation of such rings on apoptotic mitochondria was  
170 associated with membrane permeabilization, further supporting permeabilization of the  
171 mitochondrial outer membrane via lipidic pore formation<sup>47</sup>.

172

173 Extensive genetic and biochemical data firmly establish BAX and BAK as central  
174 effectors of MOMP. However, other proteins can also cause MOMP. Particular interest has  
175 focused on BOK, a BAX/BAK-like BCL-2 protein, since recent studies have demonstrated that  
176 BOK can induce MOMP and cell death in the absence of BAX and BAK<sup>49,50</sup>. Genetic support  
177 for this comes from the finding that BOK deficiency exacerbates the developmental defects  
178 observed in *Bax*<sup>-/-</sup> *Bak*<sup>-/-</sup> double knock out mice<sup>16</sup>. Nevertheless, BOK induced MOMP differs  
179 from classical BAX/BAK-dependent MOMP in many ways. For instance, unlike BAX and BAK,  
180 the pro-apoptotic activity of BOK does not appear to be regulated by BCL-2 proteins in any  
181 way<sup>49,51</sup>. In vitro liposome and mitochondrial permeabilization assays demonstrate that  
182 BOK is inherently active<sup>49,52</sup>. This constitutive activity relates to the intrinsic instability of  
183 the BOK hydrophobic core such that it can mediate MOMP independent of BH3-only  
184 proteins<sup>52</sup>. Consistent with BOK having constitutive pro-apoptotic activity, in healthy cells  
185 BOK undergoes ER associated degradation [G] (ERAD) that maintains it at low levels<sup>49</sup>.  
186 However, because BOK is expressed in many healthy tissues, additional regulatory  
187 mechanisms must exist to counter its pro-apoptotic activity<sup>53</sup>.

188

189 Non-BCL-2 family proteins can also induce MOMP. Specific members of the  
190 gasdermin protein family exhibit pore-forming activity upon cleavage. As we will discuss  
191 later, cleavage of Gasdermin D (GSDMD) is essential for an inflammatory type of cell death  
192 called pyroptosis. During mitochondrial apoptosis, caspase 3-mediated cleavage of  
193 Gasdermin E (GSDME, also called DFNA5) liberates a pore-forming amino-terminal fragment  
194 that can promote plasma membrane permeabilization during apoptotic cell death<sup>54,55</sup>.  
195 GSDME mediated plasma membrane permeabilization induces a necrotic-like cell death that  
196 has been proposed to contribute to the chemotherapy-associated toxicity<sup>54</sup>. This GSDME  
197 amino-terminal cleavage fragment can also localise to the mitochondria and cause MOMP  
198<sup>56</sup>. In this manner, GSDME is proposed to elicit a feed-forward mechanism that enhances  
199 caspase activation during apoptosis. In an analogous manner, during pyroptosis, the GSDMD  
200 amino-terminal cleavage fragment can also induce MOMP<sup>56</sup> (see also below). Although  
201 requiring further investigation, given their established pore-forming properties, the amino-  
202 terminal fragments of gasdermins likely directly permeabilize mitochondria independently  
203 of BAX and BAK.

204



205 *[H2] Dynamics of MOMP.*

206 Independent of apoptotic stress, MOMP is usually rapid and complete — all  
207 mitochondria undergo MOMP over a ten-minute window<sup>19,57</sup>. Emphasising an earlier point,  
208 the extensive nature of MOMP is likely central to it being a point-of-no-return in apoptotic  
209 commitment. High-speed imaging of mitochondrial apoptosis has shown that MOMP can  
210 initiate at a discrete sub-population of mitochondria, before progressing in a wave-like  
211 manner across all the mitochondria in the cell<sup>58-60</sup>. Using frog egg extracts in vitro, MOMP  
212 has been found to propagate between mitochondria as a trigger wave, maintaining constant  
213 speed and amplitude over a long distance; this may facilitate the execution of apoptosis in  
214 large cells such as neurons<sup>61</sup>.

215

216 Why is MOMP rapid and extensive? One model proposes that MOMP initiates a  
217 caspase-dependent feed forward loop, possibly by caspase-mediated BID cleavage that  
218 promotes further MOMP. However, while caspase-activity supports MOMP trigger wave  
219 propagation in vitro, blocking caspase activity following a mitochondrial apoptotic stimulus  
220 neither impacts on the kinetics nor on the extent of MOMP in cells<sup>19</sup>. Furthermore,  
221 inhibiting caspase activity following a mitochondrial apoptotic stimulus usually doesn't  
222 protect against cell death. These findings argue against an important role for caspase  
223 activity in amplifying MOMP. Other proposed mechanisms include reactive oxygen species  
224 (ROS)-dependent feed-forward propagation of MOMP, although how ROS promotes this  
225 remains unclear<sup>62</sup>. Perhaps the most likely explanation centres on the ability of active BAX  
226 and BAK to activate further BAX and BAK molecules<sup>36,39</sup>. Akin to falling dominos, this would  
227 be predicted to rapidly and extensively drive MOMP.

228

229 *[H2] Inner mitochondrial membrane remodelling during apoptosis.*

230 Soluble mitochondrial intermembrane space proteins are released following MOMP  
231 irrespective of protein size<sup>63</sup>. However, some studies have shown that the release of  
232 cytochrome *c* can be further regulated even following MOMP, affecting caspase activation  
233 and apoptosis<sup>64-68</sup>. This is because the majority of cytochrome *c* resides within  
234 mitochondrial cristae — dynamic inner mitochondrial membrane folds that harbour  
235 electron transport chain components. Cristae accessibility to the intermembrane space is  
236 regulated by cristae junctions<sup>69</sup>. As such, cytochrome *c* has been proposed to be trapped

237 within cristae in healthy cells, necessitating widening of the cristae junctions in order to  
238 allow efficient cytochrome *c* release. Indeed, following MOMP, extensive cristae  
239 remodelling has been observed. How is this regulated? Mitochondria are dynamic  
240 organelles that constantly undergo cycles of fission and fusion. Immediately following  
241 MOMP, extensive mitochondrial fragmentation occurs at mitochondrial–endoplasmic  
242 reticulum (ER) contact sites <sup>70</sup>, which requires the mitochondrial fission protein DRP-1 <sup>59,67</sup>.  
243 Although dispensable for MOMP <sup>71,72</sup>, DRP-1 promotes cristae remodelling, which has been  
244 proposed to facilitate cytochrome *c* release. Several reports suggest that remodelling occurs  
245 via the effect of DRP-1 on the GTPase OPA1. In the intermembrane space, OPA-1 regulates  
246 inner mitochondrial membrane fusion and cristae junction size: oligomers of OPA-1 keep  
247 junctions narrow, whereas OPA-1 oligomer disassembly widens the junctions <sup>71</sup>. Following  
248 MOMP, OPA-1 is cleaved by different intermembrane space proteases including OMA1,  
249 leading to oligomer disassembly and junction opening <sup>73-75</sup>. During apoptosis, DRP-1 is  
250 modified with the ubiquitin-like protein SUMO, leading to the stabilization of the  
251 mitochondrial–ER membrane contact sites. This promotes calcium influx into the  
252 mitochondria from the ER, which has been shown to be required for cristae remodelling <sup>70</sup>.  
253 However, it has also been shown that cristae remodelling mediated by DRP-1 during  
254 apoptosis is independent of OPA-1 and that OPA-1 oligomers can disassemble even in the  
255 absence of DRP-1 (ref. <sup>76</sup>).

256         Regardless of the exact mechanism, the importance of inner membrane remodelling  
257 for mitochondrial apoptosis is controversial. For instance, some studies have shown that  
258 inhibiting components of the cristae remodelling machinery (e.g. DRP-1) has minimal effect  
259 upon the release of cytochrome *c*, caspase activation and apoptosis <sup>71,72</sup>. Secondly, inner  
260 mitochondrial membrane remodelling has been reported to occur as a secondary  
261 consequence of caspase activation <sup>77</sup>. Irrespective of caspase activity, inner mitochondrial  
262 membrane remodelling occurs subsequent to MOMP. Thus, similar to caspase inhibition,  
263 blocking inner mitochondrial membrane remodelling wouldn't be expected to prevent cell  
264 death unless cells can somehow survive MOMP — an area we will now discuss.

265

## 266 **[H1] Surviving MOMP**

267         Although MOMP is considered the point-of-no-return in mitochondrial apoptosis,  
268 some exceptions exist, where MOMP occurs to varying degrees with wide-ranging effects,

269 beyond lethality, downstream of apoptotic stimuli. It is also now evident that cells are able  
270 to survive MOMP, which can have important impact on physiology. Our discussion centres  
271 on how cells can survive MOMP in three distinct settings: widespread MOMP under caspase  
272 inhibited conditions; limited MOMP; and widespread mitochondrial permeabilization  
273 accompanied by effector caspase activity.

274

275 *[H2] MOMP can be heterogeneous, permitting survival and signalling functions.*

276 MOMP was originally defined as an all-or-nothing event. However, more recently, it  
277 has been shown that the cells can survive MOMP under caspase-inhibited conditions —  
278 when cleavage of cellular components is prevented — and the key to cell survival is the  
279 maintenance of metabolic activity. Glycolytic enzyme glyceraldehyde-3-phosphate  
280 dehydrogenase (GAPDH) can promote cell survival following MOMP, which is dependent on  
281 its well-established glycolytic role in ATP synthesis and through its ability to transcriptionally  
282 stimulate autophagy to remove permeabilized and hence, non-functional, mitochondria via  
283 mitophagy<sup>78</sup>. Survival under these conditions also tightly correlates with the presence of  
284 intact mitochondria that evaded MOMP, a condition termed incomplete MOMP<sup>79</sup>. These  
285 intact mitochondria serve as critical pool to re-establish mitochondrial network in the cell,  
286 permitting cell survival (**Figure 3a**).

287

288 Although further studies in this area are needed, it is likely that incomplete MOMP  
289 underpins survival in various cell contexts. In support of this, following nerve growth factor  
290 (NGF) deprivation, sympathetic neurons undergo MOMP, but under conditions of caspase  
291 inhibition, NGF re-addition restores intact mitochondria in these neurons to enable cell  
292 survival<sup>80,81</sup>.

293

294 Variable MOMP is also observed in response to sub-lethal apoptotic stresses  
295 triggered by low doses of cytotoxic drugs like BH3-mimetics or proteasome and mitotic  
296 inhibitors. However, in this case only a small fraction of mitochondria undergoes MOMP  
297 without the execution of cell death, a condition called minority MOMP<sup>82</sup> (**Figure 3b**). While  
298 minority MOMP doesn't kill cells, it still engages caspase activity. To permit survival, caspase  
299 activity is likely restrained by multiple mechanisms, including degradation of cytochrome *c*  
300 upon MOMP leading to reduced caspase activity<sup>83</sup>, lowered affinity of active (cleaved)

301 caspase 9 for the apoptosome<sup>83,84</sup>, restriction of caspase localization<sup>85</sup>, their turnover<sup>86</sup> or  
302 expression of inhibitors to dampen their activity<sup>87</sup>.

303

304           Minority MOMP-induced caspase activity likely has both positive and negative  
305 consequences. Apoptosis has well-established anti-cancer activity, for instance the tumour  
306 suppressor p53 engages apoptosis to prevent cancer, and anti-cancer treatments often kill  
307 cancer cells through apoptosis. Nevertheless, different studies argue that apoptotic  
308 signalling also has pleiotropic oncogenic effects<sup>88</sup>. Along these lines, minority MOMP causes  
309 caspase-dependent DNA damage and genomic instability, promoting cellular  
310 transformation<sup>82</sup>. The DNA damaging effects of minority MOMP require activation of  
311 caspase-activated DNase (CAD)<sup>82</sup>. Following sub-lethal stress, caspase 3-dependent release  
312 of endonuclease G (Endo G) from the mitochondria can also cause DNA damage<sup>89</sup>. DNA-  
313 damaging effects of sub-lethal caspase activity have also been reported following diverse  
314 apoptotic stimuli, encompassing extrinsic and intrinsic apoptotic triggers<sup>90-92</sup>. By affecting  
315 genome integrity, minority MOMP might impact on cancer in different ways, for instance by  
316 enhancing its initiation or by promoting the evolution of resistance to apoptosis-inducing  
317 therapies (**Figure 3b**). However, tumour mutational load resulting from DNA damage is also  
318 responsible for the generation of so called **neoantigens [G]**, which correlate with the  
319 activation of anti-tumour immunity. As proposed elsewhere<sup>93</sup>, potentially the DNA-  
320 damaging effects of minority MOMP could also have beneficial effects in cancer therapy by  
321 increasing neoantigen generation.

322

323           At face value, effects of minority MOMP in cancer appear more of an unwanted  
324 glitch of the mitochondrial apoptotic pathway, but does minority MOMP have any  
325 physiological roles? Because it permits caspase activity without cell death, minority MOMP  
326 is ideally suited to initiate non-lethal caspase signalling, which has been implicated in wide-  
327 ranging cellular functions such as differentiation and proliferation<sup>94</sup>. Furthermore, as we  
328 discuss in more detail in the following section, MOMP is also a potent inductor of  
329 inflammatory signalling. In this context, a recent study has shown that minority MOMP can  
330 engage innate immune signalling pathways (both caspase-dependent and independent) that  
331 inhibit the growth of diverse intracellular pathogens<sup>95</sup> (**Figure 3b**). Dissecting the functions  
332 for minority MOMP remains a major challenge, mostly because it shares the same initiating

333 machinery as mitochondrial apoptosis (centring on BAX/BAK activation). Because caspase  
334 substrates downstream of MOMP are dispensable for cell death, where relevant (e.g. CAD in  
335 DNA damage) specific analysis of these substrates should allow genetic definition of  
336 minority MOMP functions in vivo.

337        Besides identifying physiological functions of mitochondrial heterogeneity in the  
338 event of MOMP, several key mechanistic questions remain to be answered. Most  
339 importantly, why some mitochondria selectively permeabilize and how do these  
340 mitochondria differ compared with those that remain intact? Some level of regulation  
341 presumably exists, as exemplified by the physiological role of minority MOMP in pathogen  
342 defence. One observation is that in the context of incomplete MOMP, intact mitochondria  
343 had higher levels of anti-apoptotic BCL-2 proteins associated with them. Accordingly,  
344 neutralisation of anti-apoptotic BCL-2 function (by BH3-mimetic treatment) converted  
345 incomplete MOMP to complete MOMP, thereby impeding cell survival<sup>79</sup>.

346

347 *[H2] Cell recovery via anastasis.*

348        To permit survival following extensive MOMP, ideally a cell would require prevention  
349 of caspase activation coupled to a means of generating (or retaining) non-permeabilized  
350 mitochondria. However, recovery from a full-scale apoptosis has been described in  
351 mammalian HeLa cells exposed to ethanol and called anastasis (Greek for 'rising to life')<sup>96</sup>.  
352 Generally, ethanol induces MOMP and caspase activation. Intriguingly, removal of ethanol  
353 after caspase activation allowed recovery of intact mitochondria in some cells that enabled  
354 cell survival and proliferation. This recovery was rapid and within 24 hrs following removal  
355 of the apoptotic stimulus the entire mitochondrial population was reinstated. Survival under  
356 these conditions was associated with increased genomic instability, suggesting that  
357 anastasis may be oncogenic<sup>96</sup>. Anastasis was also associated with a specific transcriptional  
358 response programme that led to increased migratory capacity of recovered cells<sup>97</sup>.

359

360        Overall, anastasis defies the dogma that MOMP and extensive caspase activity  
361 commits a cell to die. While fascinating, it also poses a number of challenging questions.  
362 Firstly, how can a cell withstand such extensive caspase activity, causing widespread  
363 cleavage of subcellular substrates, yet survive? Secondly, why is the persistence of initiating  
364 apoptotic stimulus (in this case ethanol) required for death even following MOMP initiation

365 of caspase activity? Thirdly, how does the mitochondrial population recover so quickly  
366 following MOMP? Given the rapidity of mitochondrial recovery and a requirement to  
367 remove MOMP-inducing stimulus to enable cell survival, does this suggest that MOMP may  
368 even be reversible in some situations? Further supporting a reversible nature of MOMP, a  
369 recent study reported a chemical inhibitor of mitochondrial apoptosis called compound A  
370 that blocks cell death downstream of BAX activation<sup>98</sup>. Compound A exerts cytoprotective  
371 function by targeting succinate dehydrogenase subunit B (SDHB) — a critical component of  
372 complex II in the electron transport chain. This cytoprotective effect is related to inner  
373 mitochondrial membrane remodelling discussed above. By binding SDHB, compound A  
374 maintains electron transport chain function following BAX activation, which is proposed to  
375 inhibit OMA1 protease activity — by preventing generation of ROS, which could activate  
376 OMA1— and in doing so blocking OPA1 processing, inner mitochondrial membrane  
377 remodelling and extensive cytochrome *c* release. However, an alternative explanation may  
378 be that Compound A prevents MOMP from initially occurring downstream of activated BAX.  
379 Irrespective of its cytoprotective mechanism, *in vivo* administration of Compound A  
380 displayed beneficial effects in a rat model of Parkinson disease: it reduced the death of  
381 dopaminergic neurons and prevented the onset of Parkinson-like behaviour, implying that  
382 neuronal functionality, at least in the short-term, is maintained<sup>98</sup>. Compound A may  
383 represent a basis to develop therapeutic inhibitors of the mitochondrial apoptotic pathway.  
384

### 385 **[H1] MOMP and inflammation**

386 The textbook view of apoptosis is that it is a non-inflammatory, silent form of cell death<sup>99</sup>.  
387 Intuitively this makes perfect sense — billions of cells in our bodies undergo mitochondrial  
388 apoptosis on a daily basis<sup>100</sup>. Despite this common view, recent research has shown that  
389 the apoptosis-initiating event, MOMP, is inherently pro-inflammatory (**Figure 4**).

390

#### 391 *[H2] Mechanisms and consequences of MOMP-driven inflammatory signalling.*

392 Pro-inflammatory effects of MOMP were first observed under conditions of caspase  
393 9 deficiency, most likely because these cells show delayed death allowing inflammation to  
394 be detected<sup>101,102</sup>. A consequence of increased inflammation in caspase 9-deficient mice  
395 was that these mice displayed enhanced resistance to viral infection and impaired  
396 haematopoietic stem cell function<sup>101,102</sup>. Both phenotypes are associated with a **type I**

397 **interferon [G]** (IFN) response that is induced by cyclic GMP-AMP synthase (cGAS)–stimulator  
398 of interferon genes (STING) signalling. The cGAS–STING signalling pathway is a key innate  
399 immune pathway that senses double-stranded DNA (dsDNA) — mostly foreign, coming from  
400 bacteria or DNA viruses — to drive inflammation<sup>103</sup>. Upon DNA binding, cGAS catalyses the  
401 reaction of ATP and GTP to generate the secondary messenger, cyclic guanosine  
402 monophosphate–adenosine monophosphate (cGAMP). cGAMP binds to and activates the  
403 adaptor protein STING, which subsequently activates TBK1 kinase. TBK1 phosphorylates and  
404 activates the transcription factor IRF3 as well as NF-κB leading to a type I interferon  
405 expression.

406 BAX and BAK were found to be required for MOMP-induced cGAS–STING activity,  
407 but surprisingly, so was mitochondrial DNA (mtDNA), suggesting that mtDNA is recognized  
408 by cGAS–STING in the context of apoptosis, providing basis for inflammatory signalling. This  
409 was unexpected because cGAS and STING reside outside the mitochondria, whereas mtDNA  
410 localises to the mitochondrial matrix and the inner mitochondrial membrane was thought to  
411 remain intact during apoptosis. Various studies employing different imaging approaches in  
412 murine embryonic fibroblasts as well as various cancer cell lines have addressed how  
413 mtDNA could be exposed to cGAS–STING<sup>104-106</sup>. Super-resolution imaging of cells undergoing  
414 mitochondrial apoptosis demonstrated that MOMP induction is followed, over time, by the  
415 formation of expanding pores on the mitochondrial outer membrane. These large pores,  
416 called macropores, were decorated with activated BAX at their edges<sup>104,105</sup>, suggesting that  
417 BAX-mediated membrane permeabilization progresses over time causing widening of these  
418 outer mitochondrial membrane pores. Similar BAX/BAK-dependent progressive membrane  
419 permeabilization has been previously reported in liposomes<sup>46</sup>. These macropores allowed  
420 extrusion of the inner mitochondrial membrane, which in some cases was associated with  
421 permeabilization of the membrane at such extrusions; this would allow mtDNA release and  
422 cGAS–STING activation (**Figure 2**). Whether inner mitochondrial membrane  
423 permeabilization is regulated remains unclear. Although the underlying mechanism remains  
424 unknown, we know that it is independent of DRP-1-mediated mitochondrial fission<sup>104,105</sup>.  
425 Furthermore, compared with healthy mitochondria, the matrix of apoptotic mitochondria is  
426 more dilute<sup>106</sup>. Potentially, the extra pressure associated with the increased volume of a

427 more dilute matrix may be an important driver of both macropore expansion and inner  
428 mitochondrial membrane extrusion and subsequent rupture.

429

430 By allowing mtDNA release, inner mitochondrial membrane permeabilization may be  
431 an important initiator of inflammation in different areas of health and disease. One example  
432 is Parkinson disease, which is associated with defective mitochondrial clearance — through  
433 a selective autophagy process called mitophagy — that in the case of early-onset Parkinson  
434 disease is caused by the loss of mitophagy regulators: the E3 ubiquitin ligase Parkin or its  
435 upstream mitochondrial kinase, PINK1. Loss of PINK1 or Parkin has been found to activate  
436 cGAS–STING signalling, most likely by mtDNA released from defective mitochondria that are  
437 not cleared by mitophagy, leading to an inflammatory phenotype<sup>107</sup>. Underscoring the  
438 functional importance of this inflammatory response, deletion of STING prevents  
439 inflammation in Parkin-deficient mice, inhibiting the death of dopaminergic neurons and  
440 Parkinson-like behavioural defects<sup>107</sup>. Beyond driving Parkinson disease, cytosolic mtDNA  
441 has various other documented roles in inflammation and immunity, although how mtDNA is  
442 released to the cytoplasm in those different contexts remains unclear<sup>108-110</sup>. In many of  
443 these instances, mtDNA dependent activation of inflammation occurs without cell death; it  
444 is possible that damaged mitochondria promote the activation of BAX/BAK, leading to inner  
445 mitochondrial membrane permeabilization downstream of MOMP as discussed above.  
446 Should BAX or BAK be required for mtDNA release in these circumstances, it must occur  
447 under conditions of minority MOMP. Relating this to our earlier discussion, the ability of  
448 minority MOMP to mediate pathogen clearance is, in part, due to mtDNA dependent  
449 activation of cGAS–STING<sup>95</sup>.

450

451 Besides mtDNA dependent activation of cGAS–STING, MOMP engages additional  
452 pro-inflammatory signalling pathways (**Figure 4**). Under caspase deficiency, MOMP caused  
453 downregulation of inhibitors of apoptosis proteins (IAPs), such as cIAP1 and cIAP2. This, in  
454 turn, upregulated the kinase NIK leading to NF- $\kappa$ B activation<sup>111</sup>. This mechanism is  
455 analogous to that previously observed with **SMAC-mimetic compounds [G]**<sup>112,113</sup>. Like SMAC  
456 mimetics, MOMP can trigger NF- $\kappa$ B-dependent production of tumour necrosis factor (TNF)  
457 that, co-incidentally, can trigger an alternative form of cell death called necroptosis



458 (discussed later) following MOMP<sup>111</sup>. Nevertheless, how MOMP triggers IAP depletion is  
459 unclear. While it requires the ability of cIAP1 to bind to SMAC-like proteins, combined  
460 genetic deletion of SMAC and OMI (another IAP binding protein) does not prevent cIAP  
461 degradation following MOMP. IAP degradation independent of SMAC and OMI may be due  
462 to redundancy with other mitochondrial IAP binding proteins<sup>114,115</sup>. Interestingly, MOMP in  
463 macrophages also causes IAP depletion but engages a different pro-inflammatory signalling  
464 pathway<sup>116,117</sup>. In macrophages, MOMP-dependent depletion of IAPs activated caspase 8  
465 (ref. <sup>118,119</sup>). Caspase 8 activity promoted the maturation of the pro-inflammatory cytokine  
466 IL-1 $\beta$ <sup>116,117</sup>. By demonstrating caspase 8 activation downstream of MOMP, these studies  
467 also reveal a novel means of crosstalk between the intrinsic and extrinsic apoptotic  
468 signalling pathways. In parallel, the **NLRP3 inflammasome [G]** is also activated downstream  
469 of MOMP causing caspase 1-dependent IL-1 $\beta$  maturation<sup>116,117</sup>. In this context, the NLRP3  
470 inflammasome is activated by apoptotic caspase-dependent potassium efflux<sup>120</sup>.

471

472 A final aspect of MOMP-induced inflammation relates to its recently described role  
473 in the release of mitochondrial double stranded RNA (dsRNA) – a potent trigger of an anti-  
474 viral interferon response<sup>120</sup>. Because of its circular structure, bi-directional transcription of  
475 the mtDNA genome generates long dsRNAs. Normally, these dsRNAs are degraded by a  
476 protein complex called the **RNA degradosome [G]**. Inhibition of RNA degradosome  
477 components causes accumulation of cytosolic dsRNAs that bind an adaptor molecule MDA5.  
478 MDA5 then activates the mitochondria bound protein MAVS, which subsequently  
479 oligomerizes and activates NF- $\kappa$ B and IRF3 to induce an interferon response. Supporting the  
480 relevance of this pathway in vivo, patients bearing a hypomorphic mutation in  
481 polyribonucleotide nucleotidyl transferase 1 (PNPT1), an exoribonuclease involved in  
482 mitochondrial dsRNA breakdown and an RNA degradosome component, display increased  
483 markers of immune activation. Mitochondrial release of dsRNA requires either BAX or BAK,  
484 possibly engaging the same macropore-based mechanism described for mtDNA<sup>120</sup>.

485

486 *[H2] Counteracting MOMP-induced inflammation.*

487 Although MOMP can engage a plethora of inflammatory signalling pathways, in most  
488 cases mitochondrial apoptosis is non-inflammatory. How can this be reconciled? The likely

489 main reason is that MOMP simultaneously activates apoptotic caspases to effectively  
490 quench inflammation (**Figure 5**). Apoptotic caspase function inhibits inflammation at  
491 multiple-levels. Firstly, inflammatory signalling components including MAVS, cGAS and IRF3  
492 are directly cleaved (and inactivated) by apoptotic caspases<sup>121</sup>. Secondly, apoptotic caspase  
493 function inhibits many processes, including protein translation and canonical protein  
494 secretory pathways to prevent the production and release of inflammatory cytokines and  
495 thereby suppressing inflammation<sup>6</sup>. Finally, caspase activity causes rapid cell death that is  
496 coupled with caspase-dependent generation of "find-me" and "eat-me" signals [G]<sup>122</sup>.  
497 These signals recruit phagocytic cells to engulf and remove dying apoptotic cells before they  
498 can release any pro-inflammatory molecules. Nevertheless, caspase activity may not  
499 absolutely essential to curb MOMP-driven inflammation. For instance, on some genetic  
500 backgrounds *Caspase-3*<sup>-/-</sup> or *Apaf-1*<sup>-/-</sup> mice can survive to adulthood without an obvious  
501 hyper-inflammatory phenotype<sup>123,124</sup>. A potential explanation for lack of inflammation is  
502 that MOMP also engages additional caspase-independent anti-inflammatory mechanisms.  
503 One means is through MOMP-dependent release of PNPT1 from the mitochondrial  
504 intermembrane space, which causes global mRNA degradation and likely includes  
505 degradation of inflammatory transcripts<sup>125</sup>. Finally, MOMP engages autophagy, which  
506 supports autophagic sequestration of defective, permeabilized mitochondria. Autophagy  
507 also inhibits the secretion of specific pro-inflammatory cytokines such as IFN- $\beta$  (**Figure 5**)<sup>126</sup>.

508  
509 Because MOMP normally engages anti-inflammatory caspase activity, when would  
510 the inflammatory consequences of MOMP manifest? Tracking back to our discussion of  
511 minority MOMP and pathogen immunity, minority MOMP has been shown to trigger  
512 inflammation under caspase-proficient conditions; in this setting, MOMP-induced  
513 inflammation overrides anti-inflammatory signals associated with caspase activity<sup>95</sup>. This  
514 implies that MOMP has a wide potential to drive inflammation, in particular in cell types  
515 exhibiting limited potential to engage caspase activity, such as cardiomyocytes (which show  
516 reduced APAF-1 expression) or sympathetic neurons (which are characterized by increased  
517 expression of the caspase inhibitor XIAP)<sup>127,128</sup>. Mitochondrial apoptosis in these cells may  
518 thus potentially have deleterious consequences. In line with this, recent studies have shown  
519 that inflammatory cGAS–STING signalling contributes to pathology observed during cardiac  
520 infarction<sup>129</sup>. Whether MOMP drives this inflammatory phenotype is not known, but in

521 support of this idea, myocardial specific deletion of anti-apoptotic protein MCL-1 — leading  
522 to increased apoptotic potential — has previously been shown to cause heart failure  
523 associated with inflammation <sup>130</sup>.

524

525 In cancer therapy, intense interest surrounds making cancer cell death immunogenic  
526 in order to engage anti-tumour immunity <sup>131</sup>. Cell death is typically immunogenic through  
527 two distinct, though not mutually exclusive, means: release of inflammatory molecules (e.g.  
528 ATP, DNA) collectively referred to as damage-associated molecular patterns (DAMPs) from  
529 dying cells or, active engagement of pro-inflammatory signalling in the dying cell <sup>132</sup>.

530 Unleashing pro-inflammatory effects of apoptosis can be achieved by caspase inhibition,  
531 resulting in caspase-independent cell death. As shown in cancer cells, this immunogenic  
532 type of apoptosis requires NF- $\kappa$ B activation in the dying cell <sup>111</sup>. Direct comparison of  
533 therapeutically inducing caspase-independent cell death versus canonical apoptosis  
534 demonstrated that, by engaging anti-tumour immunity, caspase-independent cell death is  
535 much more effective than apoptosis in clearing cancer cells, often leading to tumour  
536 regression. This suggests that inhibiting apoptotic caspase function may be beneficial in  
537 cancer treatment <sup>111</sup>. Supporting this idea, previous reports have shown that caspase  
538 inhibitors can have anti-tumour effects <sup>133,134</sup>. By eliciting an IFN response, targeting  
539 mitochondrial apoptotic caspase activity may also have anti-viral activity. Indeed, genetic  
540 inhibition of caspase function enhances anti-viral immunity that requires IFN signalling  
541 <sup>101,121</sup>. Moreover, emricasan, a clinically applicable pan-caspase inhibitor, was recently found  
542 to inhibit Zika virus infection, potentially by eliciting an IFN-response <sup>135</sup>.

543

#### 544 **[H1] Mitochondria beyond apoptosis**

545 Mitochondria are central initiators of the intrinsic pathway of apoptosis, but they  
546 may also contribute to other forms of programmed cell death (**Figure 6**). However, in these  
547 cases their participation is less defined and not necessarily essential.

548

#### 549 *[H2] Mitochondria can support necroptotic signalling.*

550 Necroptosis is a regulated caspase-independent form of cell death that shares  
551 morphological and inflammatory characteristics with an unregulated, passive form of cell

552 death called necrosis<sup>136</sup>. Aberrant levels of necroptosis have been implicated in various  
553 inflammatory diseases and **ischaemic injury [G]**, making this cell death modality an  
554 important therapeutic target. Different stimuli, including viral infection and **Toll receptor [G]**  
555 signalling, can induce necroptosis, but it is best characterised in the context of TNF  
556 signalling. In a simplified model, under caspase 8 deficiency, TNF receptor engagement leads  
557 to activation of receptor interacting protein kinase-1 (RIPK1) and RIPK3 causing the  
558 formation of the **necrosome [G]**. RIPK3 phosphorylates mixed-lineage kinase domain-like  
559 pseudokinase (MLKL) leading to its activation<sup>136</sup>. Active, oligomerized MLKL permeabilizes  
560 the plasma membrane, killing the cell.

561 Do mitochondria have a role in necroptosis? Using a method of enforced mitophagy  
562 to deplete mitochondria, forced activation of RIPK3 by chemically-induced dimerization has  
563 shown that necroptosis executes with the same kinetics, irrespective of mitochondria,  
564 consistent with activation of MLKL being the executioner mechanism of necroptosis<sup>137</sup>  
565 (**Figure 6**). Nevertheless, at least in some cell types, mitochondrial ROS facilitate the  
566 initiation of necroptosis by promoting RIPK1 autophosphorylation, leading to its activation  
567 and necrosome formation<sup>138,139</sup>. In a feed-forward manner, RIPK3 kinase activates the  
568 pyruvate dehydrogenase complex, leading to enhanced aerobic respiration and associated  
569 increased ROS generation<sup>140</sup> (**Figure 6**). Because levels of ROS may be an important  
570 determinant as to whether a cell initiates necroptosis, progressive mitochondrial  
571 dysfunction, for example observed during ageing, may increase the propensity of cells to  
572 undergo necroptosis.

573

574 *[H2] Interplay between mitochondrial apoptosis and pyroptosis.*

575 Pyroptosis is an inflammatory-type of regulated cell death driven by the  
576 inflammatory caspases 1, 4, 5, and 11<sup>141</sup>. Primarily serving as an innate immune response to  
577 intracellular pathogens, pyroptosis is executed by caspase-dependent cleavage of GSDMD  
578<sup>142,143</sup>. Initiation of pyroptosis requires inflammatory caspase activation, which occurs on  
579 various signalling platforms that are collectively referred to as inflammasomes. During  
580 pyroptosis, the amino-terminal GSDMD cleavage fragment permeabilizes the plasma  
581 membrane leading to the release of pro-inflammatory cytokines including IL-1 $\beta$  and IL-18.

582

583 Mitochondria lose function prior to GSDMD-dependent plasma membrane rupture,  
584 however there is little evidence that they play an important role in pyroptosis <sup>144</sup>.  
585 Nevertheless, extensive crosstalk exists between pyroptosis and mitochondrial apoptosis  
586 (**Figure 6**). Firstly, as discussed previously, the inflammasome generated GSDMD amino-  
587 terminal cleavage fragment can induce MOMP causing caspase3 activation <sup>56</sup>. Secondly, in  
588 cells expressing low amounts of GSDMD, rather than pyroptosis, caspase 1 activation leads  
589 to mitochondrial apoptosis <sup>145</sup>, which is, at least in part, due to caspase 1-dependent  
590 cleavage and activation of the BH3-only protein BID. Finally, mitochondrial apoptosis has  
591 also been shown to initiate activation of the NLRP3-inflammasome leading to caspase 1  
592 activity <sup>146</sup>. This requires caspase 3-dependent cleavage of a potassium channel forming  
593 glycoprotein, pannexin-1, which activates the channel and causes potassium efflux from the  
594 cell that promotes inflammasome assembly (**Figure 6**). Although the physiological  
595 significance of crosstalk between different cell death modalities is currently unclear, it  
596 emphasises that individual types of cell death cannot be viewed in isolation.

597

598 *[H2] Mitochondria, ROS and membrane peroxidation in ferroptosis.*

599 Ferroptosis is another pro-inflammatory cell death modality, which is triggered by  
600 lipid peroxides that kill the cell by attacking lipid membranes leading to loss of cell integrity  
601 <sup>147,148</sup>. As the name suggests, iron plays a crucial role in this process, as it is required for the  
602 **Fenton reaction [G]** responsible for lipid peroxidation. Under normal circumstances,  
603 peroxidised lipids are converted to lipid alcohols by glutathione peroxidase 4 (GPX4), which  
604 inactivates these harmful peroxides. GPX4 requires **glutathione [G]** as cofactor to convert  
605 peroxidised lipids to lipid alcohols and glutathione, in turn, requires cysteine. Transport of  
606 cysteine (via cystine, an oxidized cysteine dimer) into the cells is driven by the export of  
607 glutamate via System X<sub>c</sub><sup>-</sup>, a mechanism that can be inhibited by a small molecule inhibitor  
608 called erastin. Blocking System X<sub>c</sub><sup>-</sup> with erastin therefore leads to decreased levels of  
609 glutathione, and subsequently impaired neutralization of lipid peroxides by GPX4 (ref. <sup>149</sup>).

610

611 A role for mitochondria in regulating ferroptosis is contentious. For instance,  
612 ferroptosis sensitivity has been found to be unaffected by loss of mtDNA or indeed removal  
613 of mitochondria <sup>148,150</sup>. Nevertheless, in some instances mitochondria can contribute to  
614 ferroptosis, which is mainly related to the generation of ROS (**Figure 6**). For example,

615 mitochondrial (as well as cytosolic) **ferritin [G]** chelates iron and therefore prevents  
616 accumulation of free iron and iron-dependent lipid peroxidation by **Fenton reaction [G]** <sup>151</sup>.  
617 Along similar lines, the increase in free iron — as result of **haeme [G]** degradation — was  
618 shown to drive ferroptosis in vivo in mice, in apoptosis and/or necroptosis deficient  
619 cardiomyocytes exposed to DNA-damaging agent doxorubicin or ischaemia/reperfusion <sup>152</sup>.  
620 In this case, the excess free iron accumulated in mitochondria and caused lipid peroxidation  
621 of their membranes (**Figure 6**). Another way of lipid peroxide accumulation in the  
622 mitochondria is during cysteine deprivation, which promotes glutaminolysis, and therefore  
623 potently enhances mitochondrial respiration (by stimulating the activity of the tricarboxylic  
624 acid cycle). This leads to mitochondrial hyperpolarisation and increased production of ROS,  
625 which was shown to promote lipid peroxidation and the induction of ferroptosis<sup>153</sup>.

626

## 627 **[H1] Conclusions and perspectives**

628 In this Review we have discussed the central role of mitochondria in the apoptotic  
629 cell death. Beyond discussing the well-established roles in the execution of cell dismantling  
630 via apoptotic signalling, we aimed to highlight the surprising new role of mitochondria as  
631 pro-inflammatory signalling hubs during apoptosis. Together with recent findings that cells  
632 can tolerate limited MOMP, this emerging role suggests that apoptotic signalling may have  
633 non-lethal functions.

634

635 Going forward, a key area of research will be to define the occurrence and roles of  
636 MOMP-induced inflammation in health and disease. This will require further understanding  
637 of how MOMP engages both pro- and anti-inflammatory effects and how they interplay  
638 with each other. It will be interesting to address why these two opposing effects of MOMP  
639 coexist. One possibility is that the pro-inflammatory effects of MOMP evolved specifically to  
640 support innate immune responses to pathogen invasion. For instance, viruses can encode  
641 caspase inhibitors, and in this scenario induction of mitochondrial apoptosis by viruses could  
642 serve to elicit an anti-viral interferon response.

643

644 The finding that MOMP can occur in the absence of cells death opens further  
645 research questions. As we have discussed, there is support for non-lethal apoptotic  
646 signalling, nevertheless this evidence comes from in vitro experiments and the significance

647 of non-lethal apoptotic signalling in vivo is currently lacking. Key to investigating this  
648 problem will be designing a way to mark mammalian cells in vivo that have undergone  
649 minority MOMP resulting in sub-lethal caspase activity using genetically tractable reporter  
650 systems, similar to analogous approaches in *Drosophila melanogaster*<sup>154</sup>. On a mechanistic  
651 level, a crucial question will be to understand why some mitochondria selectively undergo  
652 MOMP since the mechanisms underlying this heterogeneity in MOMP are completely  
653 unknown at present.

654

655 Therapeutic targeting of mitochondrial apoptosis has a great clinical potential in  
656 various diseases, best evidenced by the development of BH3-mimetics in oncology. We now  
657 have effective ways to sensitize cells to mitochondrial apoptosis (**Figure 7**). Promoting  
658 mitochondrial apoptosis, using BH3-mimetics and possibly other approaches (for example,  
659 small molecule BAX activators) may have utility in different settings including, but not  
660 limited to, cancer<sup>3</sup>, fibrosis<sup>155</sup> and ageing<sup>156</sup>. Although our ability to therapeutically inhibit  
661 mitochondrial apoptosis trails behind the approaches to induce apoptosis, progress is being  
662 made with inhibitors of BAX/BAK-dependent apoptotic activity recently being described  
663<sup>157,158</sup> that can promote neuroprotection in the context of neurodegenerative disease  
664 (**Figure 7**). Recent discoveries that the outcome of apoptotic cell death (inflammatory versus  
665 non-inflammatory) can be modulated following MOMP, for example, by caspase inhibition,  
666 also opens new ways to think about therapeutically targeting the mitochondrial apoptotic  
667 pathway to promote immune responses against malignant, infected or otherwise  
668 dysfunctional cells (**Figure 7**).

669

670 Finally, as we have discussed, mitochondria have also been implicated in other forms  
671 of regulated cell death including necroptosis, pyroptosis and ferroptosis, although their role  
672 in these types of cell death appears less crucial, or at least context dependent. Nevertheless,  
673 it is increasingly apparent that these different cell death modalities crosstalk with one  
674 another and this crosstalk involves mitochondria. Given that some forms of cell death can  
675 be more inflammatory than others, how death is initiated, propagated and finally executed  
676 can have important consequences in cellular homeostasis as well as in the various disease  
677 settings involving deregulation of cell death.

678

679

680

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1143 **This study shows that in the absence of all known BH3-only proteins, inhibition of anti-**  
1144 **apoptotic BCL-2 proteins is sufficient to activate BAX and BAK.**

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1146

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1152 The authors contributed equally to all aspects of the article.

#### 1153 **Competing interests**

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#### 1162 **Display items**

##### 1163 **Box 1. BCL-2 protein-mediated regulation of mitochondrial apoptosis**

1164 BCL-2 protein-mediated regulation of cell death has recently been reviewed in-depth

1165 elsewhere<sup>159</sup>, therefore here we present only an overview. The BCL-2 protein family

1166 comprises three subsets: the anti-apoptotic proteins, pro-apoptotic effectors and pro-

1167 apoptotic BH3-only proteins (see the figure). Following an apoptotic stress, BH3-only

1168 proteins are activated in different ways, for instance by transcriptional up-regulation (e.g.

1169 p53-mediated up-regulation of PUMA) or by post-translational modification (e.g. caspase 8-

1170 mediated cleavage of BID). They subsequently activate BAX and BAK, cause mitochondrial

1171 outer membrane permeabilization (MOMP) and apoptosis.

1172

1173 In healthy cells, anti-apoptotic BCL-2 proteins prevent MOMP by binding activated BAX and  
1174 BAK effectors and BH3-only proteins<sup>160</sup>. This binding occurs via a hydrophobic groove,  
1175 which interacts with the BH3-domain of pro-apoptotic BCL-2 proteins. Competitive  
1176 disruption of this interaction forms the basis of pro-apoptotic activity of BH3-mimetics. Of  
1177 note, efficiency of BH3-mimetics can be compromised by additional regulation of anti-  
1178 apoptotic proteins, leading to drug resistance. For example, mitochondrial association of  
1179 BCL-xL can increase its affinity for BH3-only proteins<sup>161</sup>, whereas BIM has been found to  
1180 encode an additional carboxy-terminal site that binds to anti-apoptotic BCL-2 proteins in a  
1181 manner that is resistant to displacement by BH3 mimetics<sup>162</sup>.

1182

1183 How exactly BAX and BAK become activated has been contentious. Two prominent models  
1184 have been proposed: 1) the indirect activation model, where inhibition of anti-apoptotic  
1185 BCL-2 proteins activates BAX and BAK and 2) direct model of activation where a subset of  
1186 BH3-only proteins called direct activators (BID, BIM, PUMA) directly activate BAX and BAK.  
1187 Distinguishing between these two models has proven challenging, in large part because  
1188 direct activator BH3-only proteins also inhibit all anti-apoptotic BCL-2 proteins. Intriguingly,  
1189 a recent study has found that in the absence of all known BH3-only proteins, inhibition of  
1190 anti-apoptotic BCL-2 function using BH3-mimetics is sufficient to activate BAX and BAK  
1191 leading to apoptosis<sup>163</sup>. This demonstrates that BH3-only proteins are dispensable for the  
1192 direct activation of BAX and BAK, but it remains an open question as to how BAX and BAK  
1193 can acquire active conformations in the absence of BH3-only proteins. BH, Bcl-2 homology  
1194 domain; TMD, transmembrane domain.

1195

1196 **Figure 1. Apoptotic signalling pathways** Apoptosis can occur via two pathways: extrinsic  
1197 and intrinsic. Extrinsic (also known as death receptor) apoptotic pathway involves the  
1198 binding of a death receptor ligand to a member of the death receptor family (members of  
1199 the tumor necrosis receptor superfamily). For example, Fas-ligand binding to Fas initiates  
1200 apoptosis by recruiting the adaptor molecule FADD. FADD binds to and induces dimerization  
1201 of the initiator caspase 8 leading to its activation. Active caspase 8 cleaves and activates the  
1202 executioner caspases 3 and 7, leading to wide-scale cleavage of cellular components and  
1203 rapid cell death. In the intrinsic (also known as mitochondrial) apoptotic pathway is induced

1204 by a vast number of different stimuli (including DNA-damage, growth factor withdrawal,  
1205 mitotic arrest), which cause activation of a BH3-only members of the BCL-2 protein family.  
1206 BH3-only proteins inhibit anti-apoptotic BCL-2 proteins and activate effector pro-apoptotic  
1207 BCL-2 proteins BAX and BAK leading to mitochondrial outer membrane permeabilization  
1208 (MOMP). This allows the release of mitochondrial intermembrane space proteins that  
1209 activate caspases, most importantly, cytochrome *c*. Cytochrome *c* binds to APAF-1 forming a  
1210 heptameric structure called the apoptosome. This recruits and activates the initiator  
1211 caspase 9 that activates caspase 3 and 7. MOMP also causes the release of proteins  
1212 including SMAC and OMI that block the caspase inhibitor XIAP, facilitating apoptosis.  
1213 Caspase 8-mediated cleavage and activation of BH3-only protein BID (to generate tBID)  
1214 connects the extrinsic apoptotic pathway to the intrinsic pathway.

1215

#### 1216 **Figure 2. BAX/BAK-mediated mitochondrial outer membrane permeabilization**

1217 In healthy conditions, BAX, and to a lesser degree BAK, shuttle between the mitochondria  
1218 and cytoplasm (step 1). During apoptosis, BAX and BAK can be directly activated by binding  
1219 BH3-only proteins; this leads to their stabilization at the outer mitochondrial membrane  
1220 (OMM) and their homodimerization (step 2). BAX/BAK dimers then further oligomerize  
1221 forming higher-order multimers that generate lipid pores within the outer mitochondrial  
1222 membrane causing mitochondrial outer membrane permeabilization (MOMP); this leads to  
1223 the non-selective release of soluble intermembrane space proteins, such as cytochrome *c*  
1224 from the intermembrane space; this release process has been suggested to be further  
1225 facilitated by inner mitochondrial membrane (IMM) remodelling that involves opening of  
1226 the mitochondrial cristae to allow robust release of cytochrome *c* (step 3). Over time,  
1227 BAX/BAK-mediated pores expand forming macropores; this enables IMM extrusion through  
1228 the OMM, whereupon it herniates and ruptures allowing the release of mitochondrial DNA  
1229 (mtDNA) (step 4). Although the exact mechanism of IMM herniation and rupture is not  
1230 known, dilution of the mitochondrial matrix and the associated increased pressure may play  
1231 a role.

1232

#### 1233 **Figure 3. Differential levels of mitochondrial outer membrane permeabilization permit cell** 1234 **survival and unmask signalling functions**

1235 Apoptotic stresses can lead to incomplete mitochondrial outer membrane permeabilization  
1236 (MOMP), which is compatible with cell survival. **a)** Cells induced to undergo apoptosis can  
1237 survive under conditions of caspase inhibition. Cell survival in this context requires the  
1238 presence of a subpopulation of intact mitochondria that did not undergo MOMP. Cell  
1239 survival also depends on the expression of glyceraldehyde-3-phosphate dehydrogenase  
1240 (GAPDH), which supports high glycolytic activity and autophagy, thereby generating energy  
1241 to prevent metabolic catastrophe and removing dysfunctional mitochondria that could  
1242 instigate further damage. Through these mechanisms cells can survive long enough to allow  
1243 the intact mitochondria to proliferate enabling cell survival. **b)** Sub-lethal stresses, for  
1244 instance BH3-mimetic treatment, can cause only a subset of mitochondria to undergo  
1245 MOMP — a condition known as minority MOMP. Minority MOMP can engage a limited, sub-  
1246 lethal caspase activity, which is associated with DNA damage dependent on caspase-  
1247 activated DNase (CAD) and caspase 3- dependent release of endonuclease G (Endo G) from  
1248 mitochondria. Such DNA damage can promote oncogenic transformation. Minority MOMP  
1249 can also drive pro-inflammatory signalling in the absence of cell death, for instance by  
1250 inducing CAD-dependent DNA damage, or by causing mtDNA release, both of which can  
1251 drive pro-inflammatory signalling via cyclic GMP-AMP synthase (cGAS)—stimulator of  
1252 interferon genes (STING) (see also Fig. 4).

1253

1254 **Figure 4. Pro-inflammatory effects of mitochondrial outer membrane permeabilization**

1255 Mitochondrial outer membrane permeabilization (MOMP) can induce inflammation in  
1256 multiple ways. **1:** Following MOMP, the outer membrane pores progressively widen  
1257 enabling inner mitochondrial membrane extrusion and rupture. This allows mtDNA release  
1258 into the cytosol whereupon it can engage cyclic GMP-AMP synthase (cGAS)—stimulator of  
1259 interferon genes (STING) signalling, leading to pro-inflammatory interferon signalling. **2:**  
1260 MOMP causes the proteasomal degradation of IAP proteins (inhibitors of apoptosis), which  
1261 leads to upregulation of NIK kinase causing pro-inflammatory NF- $\kappa$ B signalling and activation  
1262 of caspase 8, in turn causing maturation of pro-inflammatory IL-1 $\beta$ . **3:** Under conditions of  
1263 defective degradation of mitochondrial double-stranded RNA (dsRNA), like knockdown of  
1264 RNA degradosome components, dsRNA is released via an ill-defined mechanism from the  
1265 mitochondria in a BAX/BAK-dependent manner. In the cytosol, dsRNA can bind adaptor

1266 protein MDA5 that then binds MAVS, which subsequently oligomerizes and activates NF- $\kappa$ B  
1267 and IRF3 to induce an interferon response.

1268

1269 **Figure 5. Inhibition of mitochondrial outer membrane permeabilization-induced**  
1270 **inflammation**

1271 Inflammatory signalling downstream of mitochondrial outer membrane permeabilization  
1272 (MOMP) is regulated in multiple ways. Firstly, caspases inhibit multiple processes required  
1273 for pro-inflammatory cytokine synthesis and secretion. This includes general  
1274 downregulation of protein translation and canonical protein secretion to prevent the  
1275 production and release of inflammatory cytokines. Caspases also directly cleave and  
1276 inactivate various pro-inflammatory signalling molecules including cyclic GMP-AMP synthase  
1277 (cGAS), MAVS and IRF3. Caspase activity also promotes the quick death and phagocytic  
1278 removal of dying cells by invoking “find me” and “eat me” signals, limiting the time in which  
1279 the dying cells can produce pro-inflammatory signalling molecules. Beyond the role of  
1280 caspases, MOMP is associated with the release of RNA degradasome component  
1281 polyribonucleotide nucleotidyl transferase 1 (PNPT1), which can cause global mRNA  
1282 degradation, likely causing downregulation of inflammatory gene transcripts. MOMP also  
1283 activates autophagy that sequesters permeabilized mitochondria and inhibits the release of  
1284 pro-inflammatory IFN- $\beta$ .

1285

1286 **Figure 6. Mitochondria and non-apoptotic cell death**

1287 a) Necroptosis is a pro-inflammatory mode of cell death associated with the release of  
1288 damage associated molecular patterns (DAMPs). Various treatments can trigger  
1289 necroptosis, which is best characterised following tumor necrosis factor (TNF) treatment.  
1290 Under caspase inhibition, TNF treatment leads to sequential phosphorylation (P) and  
1291 activation of the kinases RIPK1 and RIPK3 and necrosome formation. The necrosome then  
1292 phosphorylates and activates the pseudokinase MLKL, which translocates to and  
1293 permeabilizes the plasma membrane, killing the cell. RIPK3 also activates the mitochondrial  
1294 pyruvate dehydrogenase (PDH) complex, causing enhanced aerobic respiration and  
1295 increased generation of reactive oxygen species (ROS). These mitochondria-derived ROS can  
1296 feed-forward to enhance necrosome assembly and RIPK3 activity. **b)** Activation of

1297 inflammasome complexes, for instance by intracellular pathogens, causes inflammatory  
1298 caspase activation, which cleave pro-inflammatory cytokines IL-1 $\beta$  and IL-18, leading to their  
1299 maturation. Inflammatory caspases also cleave and activate gasdermin D (GSDMD). Active  
1300 GSDMD forms pores and permeabilizes the plasma membrane leading to pyroptotic cell  
1301 death. Active GSDMD can also cause mitochondrial outer membrane permeabilization  
1302 (MOMP). Additionally, inflammasome activity promotes MOMP through the cleavage and  
1303 activation of the BH3-only protein BID. Downstream of MOMP, activation of caspase 3 leads  
1304 to cleavage-dependent activation of the potassium channel forming glycoprotein pannexin-  
1305 1. This causes potassium efflux from the cell, which promotes inflammasome assembly. **c)**  
1306 Ferroptosis is triggered by oxidized lipids in reactions catalyzed with the help of iron and  
1307 ROS (Fenton reaction). Defence against this reaction is provided by glutathione peroxidase 4  
1308 (GPX4), which inactivates harmful lipid peroxides One means of ferroptosis induction is via  
1309 treatment with erastin, which blocks import of cysteine and interferes with GPX4 activity or  
1310 via cysteine deprivation. Beyond affecting GPX4, cysteine deprivation also causes increased  
1311 glutaminolysis, which feeds the mitochondrial tricarboxylic acid (TCA) cycle, thereby  
1312 increasing mitochondrial respiration and in consequence augmenting levels of  
1313 mitochondrial ROS. Iron is stored in various iron-binding proteins including ferritin and  
1314 haeme-containing proteins, and mitochondria contribute to this storage. These iron-storing  
1315 proteins are degraded under certain cell death-inducing conditions leading to iron release.  
1316 Proximity of mitochondrial membranes to such sources of free iron and ROS makes them an  
1317 important target for lipid oxidation associated with ferroptosis. ETC, electron transport  
1318 chain.

1319

### 1320 **Figure 7. Strategies to target mitochondrial apoptosis in disease**

1321 **1:** Apoptosis can be activated either through inhibition of anti-apoptotic BCL-2 proteins  
1322 (with BH3-mimetics) or by directly activating BAX/BAK (for example, with small molecules).  
1323 Such approaches have a proven use in oncology and have a clinical potential in the  
1324 treatment of autoimmunity, fibrosis and ageing. **2:** Efficient inhibition of mitochondrial  
1325 apoptosis can be achieved via blocking BAX and BAK (for example, with small molecules),  
1326 which has a potential use in counteracting pathological cell loss, for instance in the context  
1327 of neurodegenerative diseases or infection. **3:** Inhibition of caspase function following  
1328 mitochondrial outer membrane permeabilization (MOMP; see also Fig. 3) has the potential

1329 to turn apoptosis into an immunogenic type of cell death, which could be used to boost  
1330 immune responses in anti-tumour and anti-viral therapies. **4:** Better understanding of the  
1331 heterogeneity of MOMP and mechanisms of mitochondrial network recovery in the absence  
1332 of cell death following MOMP could be used to promote cell survival in the context of cell  
1333 loss in response to various insults, such as stroke or infarction.

1334

### 1335 **Glossary**

1336 BH3-mimetics

1337 Drugs modelled after the proapoptotic BH3 domain of BH3 only proteins that are used in  
1338 cancer therapy

1339

1340 Death inducing signalling complex (DISC)

1341 Complex consisting of death receptor, FADD and caspase 8 that can mediate apoptosis

1342

1343 SMAC (also called DIABLO)

1344 Mitochondrial intermembrane space protein that upon MOMP binds to and inhibits XIAP

1345

1346 OMI (also called HtrA2)

1347 Serine protease located within mitochondrial intermembrane space that binds to and

1348 inhibits XIAP following MOMP

1349

1350 XIAP

1351 Protein that binds to and inhibits caspases 3, -7 and -9

1352 ER associated degradation (ERAD)

1353 Pathway that serves to degrade misfolded ER proteins by the proteasome, mitigating ER

1354 stress

1355

1356 Neoantigens

1357 Newly generated antigens that, in cancer, usually arise from mutated genes

1358

1359 Type I interferon

1360 Class of cytokines mediating inflammation

1361

1362 SMAC-mimetic compounds

1363 Chemicals that were designed to phenocopy the IAP-binding and inhibitory properties of

1364 SMAC

1365

1366 NLRP3 inflammasome

1367 Protein complex containing NLRP3 and caspase 1 that processes and activates inflammatory

1368 cytokines like IL-1 $\beta$  and IL-18

1369

1370 RNA degradasome

1371 Multi-protein complex present in bacteria and mitochondria that degrades RNA

1372



1373 "find-me" and "eat-me" signals  
1374 Molecular signals used by dying cells to attract phagocytes; examples of find-me signals  
1375 include ATP and lysophosphatidylcholine (LPC); the best characterised eat-me signal is  
1376 phosphatidylserine (PS).  
1377  
1378 Ischaemic injury  
1379 Hypoxia-mediated injury due to diminished blood flow  
1380  
1381 Toll receptor  
1382 A class of protein receptors that serve a key role in innate immunity by sensing conserved  
1383 molecules derived from microbes  
1384  
1385 Necrosome  
1386 Protein complex containing RIP1 and RIP3 kinases that promotes necroptotic cell death  
1387  
1388 Fenton reaction  
1389 Reaction of peroxides with iron to yield free radicals  
1390  
1391 Glutathione  
1392 Key cellular antioxidant that scavenges reactive oxygen species through reduction  
1393  
1394 Ferritin  
1395 Iron-binding protein that plays important roles in the storage and transport of iron  
1396 throughout the body  
1397  
1398 Haeme  
1399 Iron-containing co-ordination complex present in haemoproteins such as haemoglobin,  
1400 catalases and cytochrome c  
1401  
1402 **eTOC**  
1403 Mitochondria are key executioners of apoptosis. However, it has recently become clear that  
1404 beyond driving apoptosis, mitochondria also contribute to pro-inflammatory signalling and  
1405 other types of regulated cell death. These functions are relevant to disease and could be  
1406 targeted in the treatment of, for example, degenerative disorders, infection and cancer.