## 1 Betaproteobacteria are predominant in drinking water: are there reasons

- 2 for concern?
- 3

## 4 Abstract

*Betaproteobacteria* include some of the most abundant and ubiquitous bacterial genera that can be found in drinking water, including mineral water. The combination of physiology and ecology traits place some *Betaproteobacteria* in the list of potential, yet sometimes neglected, opportunistic pathogens that can be transmitted by water or aqueous solutions. Indeed, some drinking water *Betaproteobacteria* with intrinsic and sometimes acquired antibiotic resistance, harboring virulence factors and often found in biofilm structures, can persist after water disinfection and reach the consumer.

12 This literature review summarizes and discusses the current knowledge about the occurrence and implications of *Betaproteobacteria* in drinking water. Although the sparse knowledge 13 on the ecology and physiology of *Betaproteobacteria* thriving in tap or bottled natural 14 15 mineral/spring drinking water (DW) is an evidence of this review, it is demonstrated that DW holds a high diversity of *Betaproteobacteria*, whose presence may not be innocuous. 16 Frequently belonging to genera also found in humans, DW Betaproteobacteria are ubiquitous 17 18 in different habitats, have the potential to resist antibiotics either due to intrinsic or acquired mechanisms, and hold different virulence factors. The combination of these factors place DW 19 Betaproteobacteria in the list of candidates of emerging opportunistic pathogens. Improved 20 bacterial identification of clinical isolates associated with opportunistic infections and 21

- 22 additional genomic and physiological studies may contribute to elucidate the potential impact
- 23 of these bacteria.

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# 25 Keywords:

26 Microbiological hazard; autochthonous bacteria; intrinsic antimicrobial resistance;

# 27 virulence factors

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The access to safe drinking water (DW) is defined as one of the Sustainable Development 31 32 Goals important human and right an 33 (https://www.un.org/sustainabledevelopment/sustainable-development-goals/). By 34 definition, DW is suitable for human consumption, washing/showering and domestic food 35 preparation (EuropeanComission 1998; Bartram et al. 2003; WHO 2011). DW comprises i) tap water originating from a surface water (river, lagoons, alluvial wells) or groundwater 36 37 source that, when necessary may be subjected to treatment before distribution to the consumer, and ii) the bottled natural mineral or spring water originating from a groundwater 38 39 table or deposit that emerges from a spring or borehole exit (Barrell et al. 2000). While the 40 so-called tap-water needs treatment in most world regions, due to the widespread contamination of water sources, the natural mineral or spring water is "microbiologically 41 wholesome" and must not receive any treatment capable of changing the original chemical 42 43 and microbiological composition (EuropeanComission 2009). Mineral and spring waters are commonly bottled before distribution to the consumer. 44

45 The natural mineral and spring waters microbiomes comprise the autochthonous bacterial 46 community, although the structure of that bacterial community may change after bottling and 47 storage (Flemming et al. 2016). Otherwise, the tap water microbiome occurring in the water that reaches the consumer does not necessarily mirror that thriving in the water source. This 48 49 is due to the successive alterations that take place from the source to the tap, shaped mainly 50 by a complex interplay between treatment, reactivation, and piping (Norton and LeChevallier 51 2000; Hoefel et al. 2005; Eichler et al. 2006; Lautenschlager et al. 2010; Vaz-Moreira et al. 52 2013; Lautenschlager et al. 2014). Indeed, the bacterial diversity of tap water results from

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the persistence of some autochthonous bacterial community members that survive the 53 54 treatment (e.g. chlorination, ozonation or UV irradiation), together with potential intrusions of bacteria throughout the system from the source to the tap. The properties of water and 55 specific physicochemical factors, such as total organic content or hydrodynamic regime, the 56 conditions of the pipes, the range of temperature and pH, the residence time, among others, 57 may influence the shape of the bacterial community (Pepper et al. 2004; Lautenschlager et 58 59 al. 2010; Pinto et al. 2012; Douterelo et al. 2013; Lautenschlager et al. 2014). Another important driver of the tap water bacterial community composition and structure is the 60 formation of biofilms along the distribution systems, which may rule the release of biofilm 61 62 bacteria into the circulating water (Batté et al. 2003). Despite the specificities of each water source, piping and treatment conditions, Proteobacteria (mainly of the classes Alpha, Beta 63 and *Gamma*) are among the predominant populations in DW, tap or mineral/spring, 64 worldwide (Leclerc and Moreau 2002; Hoefel et al. 2005; Loy et al. 2005; Eichler et al. 2006; 65 Poitelon et al. 2009; Revetta et al. 2010; Pinto et al. 2012; Vaz-Moreira et al. 2014). Dias et 66 67 al. (2019) recently described that the *Proteobacteria* profile changes from the distribution system to tap water, with Alphaproteobacteria being dominant in the distribution system 68 (92% vs. 65% in tap waters), whereas *Betaproteobacteria* prevalence in tap water was higher 69 70 than in the distribution system (18% vs. 2%). This variation was attributed to the higher 71 chlorine tolerance observed in members of the class Alphaproteobacteria when compared to 72 members of the class Betaproteobacteria (Williams et al. 2004; McCoy and VanBriesen 73 2012; Dias VCF et al. 2019).

Although water *Alphaproteobacteria*, and mainly *Gammaproteobacteria*, that include some
well-known pathogens (e.g. the *Alphaproteobacteria Rickettsia* and *Bartonella* spp.; or the

*Gammaproteobacteria Legionella, Escherichia coli, Vibrio* spp., *Salmonella, Acinetobacter baumannii* and *Klebsiella pneumoniae*) have been frequently discussed, *Betaproteobacteria*are, comparatively, a neglected group. This gap of information was a major motivation to
bring forward the current review, focused on DW *Betaproteobacteria*.

DW is an important source for the dissemination and transmission of microbial agents to 80 humans, meaning that the DW microbiome may pose important potential risks for human 81 health. In a previous study, Vaz-Moreira and colleagues (2017) observed that Proteobacteria 82 83 genera can persist after DW treatment, being ubiquitous along the DW source-treatmentdistribution-tap thread. In that study, the ubiquity of *Betaproteobacteria* in the DW system 84 was evidenced, confirming previous studies conducted in other clean environments, such as 85 86 filtered water, antiseptics or disinfectants (Hahn 2004; Weber et al. 2007). These results are also in line with data reported in studies about bottled natural mineral water, which identify 87 Betaproteobacteria among the predominant bacterial groups (Leclerc and Moreau 2002; Loy 88 89 et al. 2005; Franca et al. 2015). The remarkable capacity to form biofilm in freshwater habitats (Manz et al. 1999; Araya et al. 2003) and the survival to disinfectants and 90 disinfection processes (Mi et al. 2015; Becerra-Castro et al. 2016) are probably part of the 91 explanation for the observed ubiquity of *Betaproteobacteria* in DW. These evidences claim 92 for the attention of the scientific community mainly because some of the DW 93 94 Betaproteobacteria genera may comprise opportunistic pathogens and/or drug resistant bacteria. In this review, we were interested in overviewing what is known about 95 Betaproteobacteria ecology, intrinsic or acquired antibiotic resistance and virulence factors, 96 97 as background information for discussing potential human health implications and, if justified, identifying relevant knowledge gaps. 98

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## 100 Context and approach

101 Based mainly on phylogenetic evidence, recently Parks et al. (2018) proposed that the class 102 Betaproteobacteria would be better reclassified into the order Betaproteobacteriales, within 103 the class Gammaproteobacteria. For practical reasons, this review followed the NCBI 104 Taxonomy database (https://www.ncbi.nlm.nih.gov/Taxonomy/), in which the class Betaproteobacteria comprises 23 families and a large group of unclassified 105 106 Betaproteobacteria, including some groups with Candidatus statute (accessed in 107 https://www.ncbi.nlm.nih.gov/Taxonomy/ in August 2019). Most of these 23 families (17) have been reported in DW habitats (Figure 1). This is not surprising, given the ubiquity of 108 109 Betaproteobacteria, whose colonized habitats include soil and rhizosphere, plants, foods, 110 clinical samples, among other (Garrity et al. 2005), as well as aquatic environments, particularly DW (Leclerc and Moreau 2002; Hoefel et al. 2005; Loy et al. 2005; Eichler et 111 al. 2006; Poitelon et al. 2009; Revetta et al. 2010; Pinto et al. 2012; Vaz-Moreira et al. 2014). 112

113 For this review were selected studies that approach the bacterial diversity in water destined for human consumption, both treated tap water and bottled natural mineral/spring water. This 114 115 selection included also the bacterial diversity of treated drinking water biofilms, since biofilms are known to strongly influence and result from the tap water bacterial diversity 116 117 (Berry et al. 2006; Srinivasan et al. 2008). For the review were selected papers published after 1998, most of which based on culture-independent methods, although some relied also 118 119 on culture-dependent methods. Were excluded the studies in which bacterial identification 120 relied exclusively on phenotypic methods. Because human health implications may result 121 from a transient or resident bacterial colonization, we also explored if the *Betaproteobacteria* 122 genera detected in DW have been reported in the human microbiome. These analyses were based on the Human Microbiome (https://hmpdacc.org/catalog/) and Human Oral 123 microbiome (http://www.homd.org/) **NCBI** database 124 catalogs. and the 125 (www.ncbi.nlm.nih.gov) filtering by "Host: Homo sapiens", accessed in June 2018. Our rationale was that closely related bacteria, as are the members of the same genera or species, 126 127 tend to share an important part of the core genome, including housekeeping functions that may also serve for colonization and infection in a host (Wu HJ et al. 2008; Linz et al. 2016; 128 Wu Y et al. 2018). In contrast, the gain or loss of some functions and genes may be part of 129 130 the adaptation process to a given environment and may be the basis of the speciation transformation (Lawrence 2002). In this process, it is observed that some traits may be even 131 132 strain specific (Bentley 2009; D'Auria et al. 2010). However, the demonstration that in a given bacterial group some traits can be observed, is a good indication of the potential 133 occurrence in the whole species or genus. This is particularly relevant in ubiquitous bacterial 134 groups, the focus of this review, in which adaptation and speciation may be hindered or at 135 least shaped by a permanently changing environment. 136

The filters used led to a list of 24 *Betaproteobacteria* genera that were detected both in tap and bottled natural mineral/spring water and whose association with humans was also reported. Members of these genera were examined for their potential as carriers/disseminators of virulence or of antimicrobial resistance determinants. The virulence factors were compiled from the literature available and from the Virulence Factors Database (VFDB, <u>http://www.mgc.ac.cn/VFs/</u>), accessed in July 2018. Intrinsic and acquired antimicrobial resistance was compiled from the literature available.

#### 145 Betaproteobacteria in drinking water

146 As mentioned above, a total of 17 Betaproteobacteria families, belonging to six orders, were 147 reported in DW habitats. The most commonly reported families (Comamonadaceae, 148 Oxalobacteraceae, Burkholderiaceae, Alcaligenaceae, and unclassified Burkholderiales), 149 represented by 54 out of 83 genera, belong to the order Burkholderiales (Figure 1). A total of 63 bacterial genera were identified in bottled natural mineral/spring water and 55 in tap 150 151 DW. Among those, 36 genera were reported in both mineral/spring and tap DW. These 152 bacteria were members of 5 of the 6 orders of Betaproteobacteria described in DW: 153 Burkholderiales (25 genera), Rhodocyclales (5 genera), Neisseriales (2 genera), 154 Nitrosomonadales (2 genera), Hydrogenophilales (1 genus), and Methylophilales (1 genus) 155 (Figure 1). This distribution suggests the endemic character of bacteria of these orders to DW, independently of being tap or bottled mineral/spring water. In contrast, some 156 Betaproteobacteria were only reported in bottled mineral water habitats, and, to our 157 knowledge, were never reported in treated tap DW (e.g. Pseudorhodoferax, Brachymonas, 158 Ottowia, Caenimonas, Alicycliphilus, Ramlibacter, Diaphorobacter, Xenophilus, Xylophilus, 159 Leptothrix, Piscinibacter, Tepidimonas, Oxalobacter, Telluria, Paucimonas, Derxia, 160 Alcaligenes, Methylobacillus, Sulfuritalea, Azoarcus, Deefgea, and Ferritrophicum) (Figure 161 162 1). This may suggest the influence of physiologic and metabolic properties of these bacteria 163 and/or their susceptibility to water treatment.

As expected, most of the bacterial genera observed in treated DW biofilms were also observed in the tap water (27 out of 33 genera), being the exception the genera *Sutterella*, 166 Undibacterium, Neisseria, Methylibium, Methylotenera, and Methylovorus. Most of the 167 genera observed to be ubiquitous in DW were also reported in association with humans (24 out of the 36: Achromobacter, Ralstonia, Limnobacter, Burkholderia, Cupriavidus, 168 Acidovorax, Delftia, Polaromonas, Curvibacter, Variovorax, Comamonas, Pelomonas, 169 Malikia, Herminiimonas, Janthinobacterium, Herbaspirillum, Massilia, Aquabacterium, 170 Ideonella, Chromobacterium, Methylophilus, Dechloromonas, Propionivibrio, 171 and 172 Azospira) (Figure 1). Members of these genera represent candidates for possible interaction with the human microbiome, leading to the eventual resident colonization or transfer of 173 174 acquired traits, such as virulence or resistance to antibiotics. However, the possible risks to 175 human health are obviously dose dependent, and therefore any risk discussion should rely also on quantitative analyses rather than only on qualitative diversity assessments. However, 176 the use of diverse sampling and analyses methods in the supporting literature seriously limit 177 the possibility of doing accurate quantitative comparisons. Not much is known about the 178 179 influence of DW bacteria in the human gut and in what conditions DW bacteria can represent a risk for human health. The importance of DW as a vehicle of Betaproteobacteria was 180 highlighted by Lee et al. (2010), who used germ-free mice to demonstrate a correlation 181 between the bacterial communities originating in the DW and those present in the 182 183 gastrointestinal tract, with the *Betaproteobacteria Ralstonia* representing one of the bacterial genera transported to the gastrointestinal tract via DW. Recently, Dias et al. (2018) studied 184 185 the response of the mouse gut bacterial community to the ingestion of different types of DW. After 23 days of water consumption, it was observed a significant increase in feces of the 186 relative abundance of *Firmicutes* for the different types of water, and of *Acinetobacter* and 187 *Staphylococcus* spp. for treated tap water. 188

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## 190 Survival strategies

191 Betaproteobacteria comprise bacteria with the capacity to survive disinfectants or 192 disinfection processes (Williams et al. 2004; Garrity et al. 2005; Mi et al. 2015), which 193 facilitate the persistence of these bacteria in DW treatment systems. Although the mechanisms responsible for this increased survival capacity are not fully understood, they 194 are supposed to result from the complex interplay of different physiological and structural 195 196 properties, such as the oligotrophic and auxotrophic character, detoxification, efficient stress 197 responses or charity mechanisms among community members (Chapman 2003; Davin-Regli and Pages 2012; Mi et al. 2015). For example, detoxification is hinted by the capacity of 198 199 some Betaproteobacteria (e.g. Burkholderia cepacia, Ralstonia spp., and Delftia spp.) to 200 biodegrade disinfection byproducts (Field and Sierra-Alvarez 2004; Miyake-Nakayama et al. 201 2006; Bull et al. 2011). These properties may explain the Betaproteobacteria dominance in 202 treated DW, and their fitness to survive the water treatment, becoming the largest 203 proteobacterial class in treated water and associated biofilms (Kalmbach et al. 2000; Mi et 204 al. 2015). In a study aiming to identify the microorganisms and genes involved in the 205 biodegradation of benzalkonium chlorides and quaternary ammonium compounds, Ertekin 206 et al. (2016) highlighted the capacity of *Proteobacteria*, with *Achromobacter* spp. (members 207 of the class *Betaproteobacteria*) among the most abundant species, to survive and degrade benzalkonium chlorides. Interestingly, such a capacity was associated with multidrug 208 209 resistance (mainly multidrug resistance efflux proteins), oxidative stress response (e.g. 210 glutathione S-transferases), gene expression regulation (e.g. members of the LysR, LysE, 211 MerR, rpiR, AraC and AsnC families of transcriptional regulators), catabolic reactions

212 (mainly dehydrogenases and FAD dependent oxidoreductases), protein metabolism, outer 213 cell structure modification, and transport (Ertekin et al. 2016; Duangurai et al. 2018). The exposure to sub-inhibitory concentrations of quaternary ammonium compounds, as well as 214 to other antimicrobials, creates (oxidative) stress. The response to that stress may boost gene 215 216 transfer and recombination events via prophages, transposons, integrons and integrative-217 conjugative elements (ICEs) (Tezel and Pavlostathis 2015). Those mobile genetic elements 218 are frequently described in Betaproteobacteria (Riccio et al. 2001; Shin et al. 2005; Ryan et al. 2009; Rhodes and Schweizer 2016). These mechanisms have also implications in the 219 microbial community charity. In addition, the oligotrophic and/or auxotrophic character, as 220 221 well as, the efficient stress response of some of these bacteria are related with the resilience of Betaproteobacteria, demonstrated to occur as contaminants of sterile solutions or of 222 223 disinfectant solutions (Weber et al. 2007). For example, *Ralstonia* spp. are often reported as contaminants in blood culture medium, sterile saline solution or other medical solutions 224 225 (Gardner and Shulman 1984; McNeil et al. 1985; Roberts et al. 1990; Lacey and Want 1991; 226 Maki et al. 1991; Luk 1996; Labarca et al. 1999; Maroye et al. 2000; Boutros et al. 2002; Gröbner et al. 2007). Also, Burkholderia spp. (Magalhaes et al. 2003; Doit et al. 2004; Nasser 227 et al. 2004; Estivariz et al. 2006; Held et al. 2006; Ko et al. 2015), and Achromobacter spp. 228 229 (Vu-Thien et al. 1998; Tena et al. 2005; Turgutalp et al. 2012; Hugon et al. 2015) have been 230 reported as contaminants of disinfectants solutions and medications. This capacity to survive 231 disinfectants or disinfection processes may explain the high diversity of *Betaproteobacteria* 232 observed in treated tap water (Figure 1).

Associated with the capacity to survive treatment processes (e.g. disinfectants, toxic metals,
antibiotics), the capacity of *Betaproteobacteria* to form biofilms is frequently described (Mah

235 and O'Toole 2001; Emtiazi et al. 2004; Schwering et al. 2013; Ertekin et al. 2016; Flemming 236 et al. 2016; Ferro et al. 2019). The association between both characteristics may have two explanations: i) the bacteria with increased fitness to survive antimicrobial agents are those 237 able to form or incorporate biofilm structures, or ii) the biofilm provides an increased 238 protection against external attacks (e.g. disinfectants) working as a kind of shield by 239 inhibiting the antimicrobial diffusion by the extracellular polymeric substance (EPS) 240 241 molecules or by a direct consequence of the slow growth state of the biofilm cells avoiding 242 drugs that target metabolic processes occurring during growth (Lewis 2001; Berry et al. 2006; 243 Anderson and O'Toole 2008; Dufour et al. 2010; Schwering et al. 2013; Flemming et al. 244 2016). Indeed, both mechanisms are probably combined, as is reported for example for Ralstonia pickettii, able to survive disinfectant solutions and form biofilm in industrial and 245 246 pharmaceutical high-purity water systems (Kulakov et al. 2002; Adley et al. 2005; Ryan et al. 2011). In DW, it was observed that most of the bacterial genera reported in biofilms were 247 also reported in tap water (e.g. Ralstonia, Limnobacter, Burkholderia, Cupriavidus, 248 249 Acidovorax, Delftia, Polaromonas, Curvibacter, Variovorax, Janthinobacterium, Herbaspirillum, Aquabacterium, Dechloromonas), suggesting that these bacteria exist in a 250 dynamic equilibrium between the planktonic and biofilm state. However, some genera, 251 252 described mainly in biofilms rather than in the planktonic state in DW, such as Sutterella, 253 Undibacterium, Neisseria, Methylibium, Methylotenera, and Methylovorus, may benefit 254 from the protective biofilm structure (Figure 1). That protective effect was demonstrated for 255 instance in *Neisseria gonorrhoeae* observed to be more resistant to non-thermal atmospheric pressure plasma treatment in the biofilm-resident state than in the planktonic form (Xu et al. 256 2011). Also UV disinfection may enhance the biofilm metabolic activity (Schwartz et al. 257 2003). 258

259 Other mechanisms, such as the association with free-living amoebas, may also explain the 260 good fitness of the *Betaproteobacteria* in DW. The free-living amoebas can easily resist the DW treatment and are important in the bacterial community modulation since they feed on 261 262 bacteria, by phagocytosis (Delafont et al. 2016). However, some bacteria developed 263 mechanisms of amoeba-digestion resistance, and instead of dying when internalized by 264 amoeba, they survive and multiply, being later released back to the environment. Among the 265 bacterial characteristics described as relevant for their increased survival to amoeba grazing 266 are features as the cell surface properties, the production of bioactive metabolites, the 267 swimming speed, the microcolony formation or the cell-to-cell communication (Matz and 268 Kjelleberg 2005). As happens with other taxa, Betaproteobacteria comprise amoebaresistant members, as for example the genera Achromobacter, Burkholderia, 269 270 Chromobacterium, Delftia, and Ralstonia (Thomas et al. 2010). Curiously, all of these genera have been reported in both tap and bottled mineral DW as well as in the human microbiome 271 272 (Figure 1).

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#### 275 DW Betaproteobacteria as potential carriers of virulence factors

Virulence factors are molecules that enable a microorganism to establish itself on or within a host and enhance its potential to cause disease. The virulence of a pathogen depends on its ability to accomplish the different steps required to cause infection: adhesion, colonization, invasion, immune response inhibition and/or production of toxins. In general, the success of the pathogen relies, among other factors, on the diversity and sophistication of the invasion, 281 proliferation and defense mechanisms. With modest virulence machinery, opportunistic 282 pathogens are commensal or environmental bacteria, often innocuous for a healthy individual. However, these bacteria, have the potential to cause disease in individuals with 283 diminished defenses (e.g., disease, wound, medication, prior infection, immunodeficiency, 284 ageing), due to the presence of virulence factors that facilitate invasion and or proliferation 285 in the host (Brown et al. 2012). Some of the *Betaproteobacteria* found in DW have a distinct 286 287 array of virulence factors and, therefore, meet the criterion of opportunistic pathogens (Table 288 1).

Virulence factors or homologous genes have been described in 11 out of the 24 *Betaproteobacteria* genera detected in both DW (tap and mineral) and in the human microbiome (Table 1). The fact that only these 11 genera were reported as potential carriers of virulence factors suggests a major knowledge gap about ubiquitous and potentially hazardous microbial groups. Curiously, not even for species associated with outbreaks, as *Ralstonia pickettii* and *R. mannitolilytica*, were described virulence factors (Labarca et al. 1999; Maroye et al. 2000; Daxboeck et al. 2005; Gröbner et al. 2007; Coman et al. 2017).

296 Virulence factors may be divided into membrane proteins, capsule, secretory proteins, and others (Table 1). The membrane proteins are mainly associated with the increased capacity 297 of adhesion of the bacteria to the host cells (Wu HJ et al. 2008). Specifically, type IV 298 299 secretion systems (T4SS), only described in Gram-negative bacteria and common among 300 these bacteria, were frequently reported in DW Betaproteobacteria, in six different genera 301 (Table 1). The presence of a capsule, a key virulence determinant that can mediate resistance to both phagocytosis and complement-mediated killing (Reckseidler-Zenteno et al. 2005; 302 303 Abreu and Barbosa 2017), was described in Burkholderia species. The secretory proteins

304 include the systems of transport of toxins, the toxins, and immune response inhibitors, as 305 well as other siderophores or proteins, all of them observed in DW Betaproteobacteria (Table 1). Secretion systems (SS) are used by bacteria to secrete virulence factors from the cytosol 306 307 into host cells or the host environment, and can span the inner and outer membrane (e.g. RND efflux systems, T1SS, T2SS, T3SS, T4SS, T6SS) or only the outer membrane (e.g. T5SS) 308 (Costa et al. 2015). In human-associated DW Betaproteobacteria, the most common 309 310 secretion systems seem to be T2SS, T3SS, and T6SS (Table 1). One of those, the T3SS, also 311 known as "injectisome", has an important role in the proteins export from the bacterial cytoplasm into the host eukaryotic cells (Cornelis 2006; Puhar and Sansonetti 2014), being 312 313 the mechanism used by B. pseudomallei to cause melioidosis in mammals or R. solanacearum to cause plant bacterial wilt (Stevens et al. 2002; Valls et al. 2006; Puhar and 314 315 Sansonetti 2014). The multidrug RND (resistance nodulation cell division) efflux pumps, described for *B. pseudomallei* (Table 1), may be responsible for intrinsic resistance to several 316 antimicrobials (Munita and Arias 2016; Rhodes and Schweizer 2016). T4SS, only described 317 318 in *B. cenocepacia* and *A. xylosoxidans* (Table 1), allow the transport of DNA and may have an important role in the transfer of genetic material (Cascales and Christie 2003; Green and 319 Mecsas 2016). Toxin production is described in members of the genera Burkholderia, 320 321 Chromobacterium, and Achromobacter (Table 1).

Quorum-sensing (QS) rules a bacterial cell-to-cell communication process, based on autoinducer signaling, enabling bacteria to adjust the cell density and gene expression, regulating activities such as bioluminescence, sporulation, competence, antibiotic production, biofilm formation, or virulence factor secretion (Rutherford and Bassler 2012). QS is important in biofilm formation and also for the activation of virulence factors (Dufour et al. 2010; Soto

327 2013). These communication processes have been described in *Burkholderia* spp. and
328 *Chromobacterium violaceum, Ralstonia solanacearum*, or *Polaromonas* spp. (Table 1).

329 This review on virulence factors reveals that the machinery for host colonization, invasion 330 and infection, typical of opportunistic pathogens, is available in DW Betaproteobacteria that can also be associated with the human microbiome. Potential virulence may not be eliminated 331 332 by disinfection as was demonstrated by previous studies that showed that chlorination may promote the increase of the relative abundance of virulence proteins in drinking water (e.g. 333 334 translocases, transposons, Clp proteases, and flagellar motor switch proteins) (Huang et al. 2014). Potential virulence combined with disinfection resilience put DW Betaproteobacteria 335 among the potentially relevant safety biomarkers. 336

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## 338 Antimicrobial resistance in DW Betaproteobacteria

339 In addition to the ubiquitous character and virulence potential, some *Betaproteobacteria* exhibit resistance to different antibiotics (Vaz-Moreira et al. 2014; Khan et al. 2016; Vaz-340 Moreira et al. 2017), which may increase the risk associated with their presence in DW. Jia 341 342 et al. (2015) demonstrated that the relative abundance of antibiotic resistance genes (ARGs) 343 increased after DW chlorination, being Betaproteobacteria Acidovorax spp. among the 344 bacterial groups that most contributed to that shift. Also in natural mineral/spring water, not 345 subjected to any kind of treatment, the presence of Betaproteobacteria yielding antibiotic 346 resistance phenotypes has been reported (Messi et al. 2005; Falcone-Dias et al. 2012). These 347 evidences suggest the important contribution of *Betaproteobacteria* to the DW resistome.

348 Although most of the antimicrobial resistance mechanisms detected in the environment can 349 be intrinsic, meaning they are a phenotypic expression of a gene that is common to all members of a given species or genus, they can still contribute to the failure of antibiotic 350 therapy (Cox and Wright 2013; Perry et al. 2014). A well-known example of intrinsic 351 352 resistance is the presence of the outer membrane (OM) in Gram-negative bacteria that may modify their porin channels to confer impermeability to different molecules or the presence 353 354 of efflux pumps that allow the reduction of the intracellular concentration of a given drug contributing to multidrug resistance (MDR) phenotype (Cox and Wright 2013; Perry et al. 355 2014; Pothula et al. 2016). The intrinsic resistance is inherited vertically, from one generation 356 357 to the next.

358 Different intrinsic antimicrobial resistance mechanisms are described in *Betaproteobacteria* species, although this information is available for a reduced number of species, specifically 359 360 for six out of the 36 genera reported in both tap and bottled mineral water (Table 2). This 361 information scarcity is also related with the limited attention that has been given to this group of bacteria, with the exception of a few species that are considered of high clinical relevance 362 363 (e.g. Achromobacter xylosoxidans and Burkholderia cepacia). The DW Betaproteobacteria intrinsic resistance is frequently against penicillins and cephalosporins, as well as to other 364 antimicrobial agents, as fosfomycin (Table 2). It is important to note that some of the species 365 366 related to the bacterial genera commonly found in DW habitats present intrinsic resistance to some drugs that are considered last-resort drugs, being only used in clinical settings. For 367 example, the colistin (polymyxin E) is the only clinically approved therapeutic agent that 368 369 inhibits the OM and efflux systems (Cox and Wright 2013). However, some Burkholderia spp., Chromobacterium violaceum and Janthinobacterium lividum are described as being 370

371 intrinsically resistant to colistin (Table 2), and are also reported as infectious agents 372 (Patjanasoontorn et al. 1992; Jones et al. 2001; Sirinavin et al. 2005; Yuan et al. 2006; Kennedy et al. 2007; Yang and Li 2011; Hu C-h and Wang 2012). Also beta-lactams are 373 frequently used as front-line treatments in combinations antibiotic/beta-lactamase inhibitor 374 (e.g. sulbactam, clavulanate, tazobactam) (Cox and Wright 2013). However, also to these 375 combinations were detected intrinsic resistance phenotypes in Achromobacter xylosoxidans 376 377 and Burkholderia cepacia (Table 2). Aminoglycosides resistance, described in Burkholderia 378 spp. or A. xylosoxidans (Table 2), is supposedly intrinsic and may be associated to the presence of RND multidrug efflux pumps (e.g. BpeAB-OprB, AmrAB-OprA or AxyXY-379 380 OprZ) (Buroni et al. 2009; Bador et al. 2013). This is particularly relevant when some studies show that the occurrence of the RND efflux systems increases in DW after chlorination (Jia 381 382 et al. 2015). The association of these efflux systems to an increased tolerance or resistance to aminoglycosides is curious because previous studies have shown a higher prevalence of 383 384 resistance to aminoglycosides after DW treatment (Armstrong et al. 1982; Vaz-Moreira et al. 385 2011; Vaz-Moreira et al. 2012; Narciso-da-Rocha et al. 2013; Ma et al. 2017). Although intrinsic resistance has a low potential to be transferred to other bacteria, it may jeopardize 386 the treatment of infections caused by these bacteria. 387

In addition, some of the described *Betaproteobacteria* characteristics may contribute to their capacity to acquire new resistance to antibiotics, as the capacity to form biofilms and the presence of type 4 secretion systems (T4SS) (Table 1). While the T4SS allows the transport of DNA, the biofilm formation allows a close proximity between cells, facilitating both the dissemination of resistance genes between cells by horizontal gene transfer (HGT) (Cascales and Christie 2003; Flemming et al. 2016; Green and Mecsas 2016). Król *et al.* (2013) 394 observed that conjugation can be up to 700-fold more efficient in biofilms than in free-living bacterial cells. Described examples are the A. xylosoxidans acquired resistance to 395 ciprofloxacin, ceftazidime and carbapenems, in clinical isolates from cystic fibrosis patients 396 (Amoureux et al. 2013) and the acquisition of new genetic elements associated to mobile 397 genetic elements (Riccio et al. 2001; Iyobe et al. 2002; Shin et al. 2005; Neuwirth et al. 2006; 398 El Salabi et al. 2012; Yamamoto et al. 2012; Hu Y et al. 2014), or the Burkholderia spp. 399 400 acquired antibiotic resistance to fluoroquinolones, trimethoprim among others (Pitt et al. 401 1996; Thibault et al. 2004; Rhodes and Schweizer 2016). Apart from these two genera, based 402 on our literature search, no information is available for possible acquired antibiotic resistance 403 mechanisms.

404 Of special interest in *Betaproteobacteria*, are the processes of co-resistance or cross-405 resistance. While co-resistance is mainly due to genetic linkage (e.g. antibiotic and metal 406 resistance in the same genetic element), cross-resistance is due to broad spectrum resistance 407 mechanisms (e.g. MDR efflux pumps). In both cases, resistance to the exposure to a specific 408 agent (e.g. antibiotics, metals, disinfectants) may facilitate the selection of populations 409 resistant to different antimicrobial agents (Chapman 2003; Baker-Austin et al. 2006).

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## 411 Concluding remarks and future research challenges

Water quality is a central issue for human health and wellbeing. On average, an adult ingests about 1 L of water per day, every day. This makes of water the food product ingested at the highest amounts during a person lifetime. Simultaneously, water is also an important way of dissemination of bacteria and chemical compounds, including contaminants (WHO 2012). 416 For these reasons, DW microbiome may play an important role in human health and
417 wellbeing, with relevant implications of the major populations, such as *Betaproteobacteria*.
418 While some DW bacteria may be beneficial or innocuous, others may represent a risk for
419 human health. The latter may be due to some DW *Betaproteobacteria*.

420 Betaproteobacteria are abundant and diverse in DW or DW biofilms, being some of them 421 ubiquitous to tap and bottled natural mineral/spring water (Figure 1). Moreover, some DW 422 Betaproteobacteria are also reported in humans. The human health risk posed by DW 423 Betaproteobacteria can be inferred from their resistance to disinfection, the presence of 424 virulence factors and intrinsic antibiotic resistance. Some of the virulence factors described 425 in *Betaproteobacteria*, such as adherence factors or the capacity to form biofilms, may 426 contribute to explain the ability of these bacteria to survive in water habitats. Hypothetically, all these are factors that may increase the probability of causing opportunistic infections, 427 being here highlighted in the need for further research in this field. 428

From this literature review, three bacteria genera seem to stood out: Achromobacter, 429 430 Burkholderia, and Ralstonia. Members of these genera were also those previously associated 431 with infection outbreaks. Given the phylogenetic and physiologic proximity, other Betaproteobacteria genera might share similar properties still unknown, given the scarcity 432 of information. This was, indeed, a major conclusion of this review. Bacteria that are not 433 434 considered primary pathogens are, most of the times, not screened in routine monitoring 435 analyses in clinical situations. For example, *Ralstonia* spp. occasionally associated with 436 infection episodes, may be a misidentified opportunistic pathogen, if it is not included in the screened pathogen database (Daxboeck et al. 2005; Ryan et al. 2006; Ryan and Adley 2014; 437 438 Coman et al. 2017).

The first step to improve the current knowledge is to have a good overview of the *Betaproteobacteria* diversity in DW and their possible association with humans, virulence, adaption potential, and genome dynamics for antimicrobial resistance or virulence acquisition. This review is a first step to fill in this gap. Because some of those characteristics will be better understood based on culture methods, additional investment in culturomic approaches are most welcome in the DW microbiology field (Greub 2012; Lagier et al. 2012).

Although DW is considered important for human health and well-being, many questions are
still requiring our attention. It is important to understand how/if the DW microbiota,
including the *Betaproteobacteria* group, focused in this review, may direct or indirectly
influence human health.

449

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451

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## Table 1. Described virulence factors or homologous genes (\*) in *Betaproteobacteria* genera observed in tap and bottled mineral drinking water and described as human-associated bacteria

Classification Sub-classification		Examples	Drinking-water associated bacteria	References		
Membrane proteins	Adhesion	<i>Burkholderia</i> oligomeric coiled-coil adhesin A ( <i>BoaA</i> ) and b ( <i>BoaB</i> ).	Burkholderia pseudomallei	(Balder et al. 2010)		
		Pilus structural proteins (Type IV pili)	B. pseudomallei; Burkholderia cenocepacia; Acidovorax avenae subsp. avenae; Acidovorax citrulli; Ralstonia solanacearum; Limnobacter thiooxidans (*); Chromobacterium violaceum (*)	(Liu et al. 2001; Kang et al. 2002; Alves de Brito et al. 2004; Essex- Lopresti et al. 2005; Bahar et al. 2009 Holden M. T. et al. 2009; Burdman and Walcott 2012; Ibrahim et al. 2012 Stone et al. 2014; Har et al. 2015)		
		Chaperone-usher type fimbriae	B. cenocepacia	(Holden M. T. et al. 2009)		
		Flp-type pili	B. cenocepacia; Cupriavidus taiwanensis (*)	(Amadou et al. 2008; Holden M. T. et al. 2009)		
		Hemagglutinin/hemolysin related	B. pseudomallei (*); L. thiooxidans (*); Achromobacter xylosoxidans (*)	(Dowling et al. 2010; Li et al. 2013; Har et al. 2015)		
		Mannose-fucose binding lectin (LecM)	R. solanacearum	(Meng et al. 2015)		
		22-Kda adhesion protein AdhA	B. cenocepacia	(Holden M. T. et al. 2009)		
		BuHA family of proteins	B. cenocepacia	(Holden M. T. et al. 2009)		
		BcaA autotransporter protein	B. pseudomallei	(Campos et al. 2013; Stone et al. 2014)		
		poly-β-1,6-N-acetyl-D-glucosamin ( <i>pga</i> operon)	A. xylosoxidans (*)	(Jakobsen et al. 2013)		
		Outer Membrane Protein (Omp21)	Delftia acidovorans	(Baldermann et al. 1998)		
	Actin-based intracellular motility	Burkholderia intracellular motility A (BimA)	B. pseudomallei, Burkholderia mallei; Burkholderia thailandensis	(Stevens et al. 2005; Sitthidet et al. 2010; Sitthidet et al. 2011)		
	Invasion and colonization	Polar flagella	B. pseudomallei; B. cenocepacia; A. citrulli	(Chua et al. 2003; Inglis et al. 2003; Urban et al. 2004; Burdman and Walcott 2012)		
		BuHA family of autotransporting membrane proteins	B. cenocepacia	(Holden M. T. et al. 2009)		

	Surface components	LPS core oligosaccharide	B. cenocepacia; A. xylosoxidans (*); C. violaceum (*)	(Alves de Brito et al. 2004; Loutet and Valvano 2010; Li et al. 2013)		
		EPS (extracellular polysaccharide)	R. solanacearum	(Genin and Denny 2012)		
	Others	HtrA protease	B. cenocepacia	(Flannagan et al. 2007)		
		cbb3-Type Cytochrome c Oxidase	R. solanacearum	(Colburn-Clifford and Allen 2010)		
Capsule	Antiphagocytosis	Type I O-polysaccaharide (capsule I)	B. pseudomallei	(DeShazer et al. 1998; Reckseidler- Zenteno et al. 2005; Wikraiphat et al. 2009)		
		Cepacian polysaccharide	B. cenocepacia	(Holden M. T. et al. 2009)		
		Capsular polysaccharides (CPS)	B. pseudomallei, B. thailandensis	(Reckseidler-Zenteno et al. 2005; Cuccui et al. 2012; Marchetti et al. 2015)		
Secretory proteins	Immune response inhibitors	Mip-like (macrophage infectivity potentiator)	C. taiwanensis (*)	(Amadou et al. 2008)		
		Proteases	B. pseudomallei (*)	(Dowling et al. 2010)		
		Phospholipases	B. pseudomallei (*)	(Dowling et al. 2010)		
		TssM (BPSS1512) deubiquitinase	B. pseudomallei	(Tan et al. 2010)		
	Toxins	HicA toxin	B. pseudomallei	(Butt et al. 2014)		
		Bcc toxin	Burkholderia cepacia complex	(Thomson and Dennis 2012)		
		Burkholderia Lethal Factor 1 (BLF1)	B. pseudomallei	(Cruz-Migoni et al. 2011)		
		Hemolysin	B. cepacia; B. pseudomallei (*); C. violaceum (*)	(Hutchison et al. 1998; Alves de Brito et al. 2004; Dowling et al. 2010)		
		RTX toxin	A. xylosoxidans (*)	(Li et al. 2013)		
		Colicin V and exoenzyme regulatory protein (AepA)	A. xylosoxidans (*); C. violaceum (*)	(Alves de Brito et al. 2004; Jakobsen et al. 2013)		
	Transport of toxins	RND efflux pump (e.g. BpeAB-OprB)	B. pseudomallei	(Chan and Chua 2005; Mima and Schweizer 2010)		
		Type I secretion system (T1SS)	B. pseudomallei; B. cenocepacia; C. violaceum (*)	(Alves de Brito et al. 2004; Holden Matthew TG et al. 2004; Holden M. T. et al. 2009)		

	Type II secretion system (T2SS)	B. pseudomallei; B. mallei; B. cenocepacia; R. solanacearum; A. avenae subsp. avenae (*); A. citrulli (*); C. taiwanensis (*); L. thiooxidans (*); C. violaceum (*); A. xylosoxidans (*)	(Holden Matthew TG et al. 2004; Amadou et al. 2008; Holden M. T. et al. 2009; Persson et al. 2009; Poueymiro and Genin 2009; Burdman and Walcott 2012; Ibrahim et al. 2012; Har et al. 2015)
	Type III secretion system (e.g. Bsa T3SS)	B. pseudomallei; B. mallei; B. thailandensis; B. cenocepacia; R. solanacearum; A. citrulli; Herbaspirillum rubrisubalbicans; A. avenae subsp. avenae (*); C. taiwanensis (*); Limnobacter sp. (*); C. violaceum (*); A. xylosoxidans (*)	(Stevens et al. 2003; Alves de Brito et al. 2004; Holden Matthew TG et al. 2004; Genin et al. 2005; Amadou et al. 2008; Cullinane et al. 2008; Whitlock et al. 2008; Holden M. T. et al. 2009; Poueymiro and Genin 2009; Muangman et al. 2011; Ibrahim et al. 2012; Schmidt et al. 2012; Jakobsen et al. 2013; Li et al. 2013; Kondo et al. 2017)
	Type IV secretion system (T4SS)	B. cenocepacia; A. xylosoxidans (*)	(Engledow et al. 2004; Li et al. 2013)
	Type V secretion system (T5SS)	B. pseudomallei; B. mallei; B. cenocepacia; Limnobacter sp. (*)	(Holden Matthew TG et al. 2004; Holden M. T. et al. 2009; Persson et al. 2009)
	Type VI secretion system (e.g. T6SS-5)	B. pseudomallei; B. mallei; B. cenocepacia; B. thailandensis; A. avenae subsp. avenae; A. citrulli; C. taiwanensis (*); L. thiooxidans (*); Limnobacter sp. (*); A. xylosoxidans (*)	(Amadou et al. 2008; Schell et al. 2008; Holden M. T. et al. 2009; Persson et al. 2009; Schwarz et al. 2010; Ibrahim et al. 2012; Jakobsen et al. 2013; Burtnick et al. 2014; Har et al. 2015; Tian et al. 2015)
Other	Zinc metalloproteases ZmpA and ZmpB	B. cenocepacia	(Holden M. T. et al. 2009)
	Phospholipases C	B. cenocepacia	(Holden M. T. et al. 2009)
	Siderophores (e.g. ornibactin, salicylic acid, pyochelin, staphyloferrin B, micacocidin)	B. cenocepacia; R. solanacearum; L. thiooxidans (*)	(Sokol et al. 1999; Bhatt and Denny 2004; Holden M. T. et al. 2009; Kreutzer et al. 2011; Har et al. 2015)
	bipB, bipC and bipD proteins	B. pseudomallei	(Stone et al. 2014; Vander Broek and Stevens 2017)
	Malleipeptin A and malleipeptin B	B. pseudomallei	(Biggins et al. 2014)

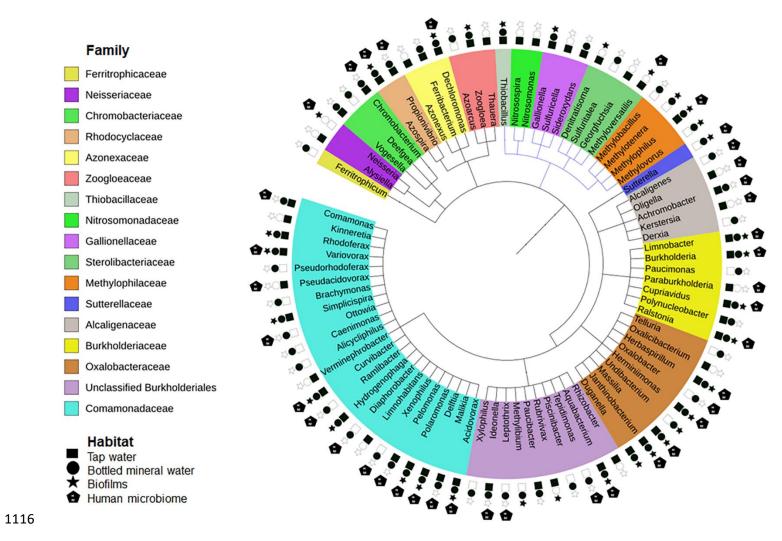
		MprA serine metalloprotease	B. pseudomallei	(Valade et al. 2004; Burtnick et al. 2014)		
		MgtC protein	B. cenocepacia	(Rang et al. 2007)		
Others	Biofilm production	FixLJ system	<i>B. cepacia</i> complex	(Schaefers et al. 2017)		
		Lys-R type regulator	B. cenocepacia; R. solanacearum	(Brumbley et al. 1993; Schell 2000; Bernier et al. 2008)		
		Mannose-fucose binding lectin (LecM)	R. solanacearum	(Meng et al. 2015)		
	Phenylacetic acid catabolic pathway		B. cenocepacia	(Law et al. 2008)		
	Denitrification	Nitrate reduction (e.g. Nos system, NirV)	A. xylosoxidans (*)	(Jakobsen et al. 2013)		
	Signalling	c-di-GMP-specific phosphodiesterase (CdpA)	B. pseudomallei	(Lee HS et al. 2010)		
		CepIR Quorum-sensing system	most Burkholderia spp.	(Lewenza et al. 1999; Ulrich et al. 2004; Chan and Chua 2005; Song et al. 2005; Subsin et al. 2007; Holden M. T. et al. 2009; Subramoni and Sokol 2012)		
		CciIR Quorum-sensing system	B. cenocepacia	(Baldwin et al. 2004)		
		BDSF, nonhomoserine lactone signal molecule	B. cenocepacia	(Boon et al. 2008)		
		BviIR Quorum-sensing system	B. vietnamiensis	(Malott and Sokol 2007)		
		PmlI-PmlR Quorum-Sensing System	B. pseudomallei	(Valade et al. 2004)		
		Violacein (CviI/R AHL QS system)	C. violaceum	(Steindler and Venturi 2007)		
		other Quorum sensing systems	A. citrulli; R. solanacearum; Polaromonas spp. (*)	(Spirig et al. 2008; Johnson and Walcott 2013; Meng et al. 2015; Wang et al. 2016)		

1113 Table 2. Described intrinsic antimicrobial resistance in *Betaproteobacteria* species belonging to bacterial genera detected in both tap1114 and bottled natural mineral/spring drinking water.

Species	Beta-lactams				Aminogly- cosides	Polype- ptides	Quinolones	Sulfonamides	Tetracycline s	Others	References
	Penicillins	Cephalosporins	Carbapenems	Monobactam	_						
Achromobacter xylosoxidans	Ampicillin, Amoxicillin- clavulanate,	Cefazolin, Cefotaxime, Ceftriaxone, Cefepime	Ertapenem	Aztreonam	+	n.i.	n.i.	n.i.	n.i.	Trimethoprim, Fosfomycin	(Almuzara et al. 2010; Bador et al. 2013; Leclercq et al. 2013; Abbott and Peleg 2015)
Burkholderia cepacia	Ampicillin, Amoxicillin, Piperacillin, Ticarcillin, Ampicillin- sulbactam, Amoxicillin- clavulanate, Piperacillin- tazobactam, Ticarcillin- clavulanate	Cefotaxime, Ceftriaxone, Ceftazidime, Cefepime, Cefsulodin Cefazolin.	Imipenem, Meropenem, Ertapenem	Aztreonam	+	Colistin	Ciprofloxacin	Trimethoprim- sulfamethoxazole	,	Tigecycline, Trimethoprim, Fosfomycin, Chloramphenicol	(Baxter et al. 1997; Palleroni 2005; Leclercq et
Burkholderia gladioli	Ticarcillin, Ticarcillin- clavulanate	Cefsulodin	Imipenem	n.i.	+	Colistin	n.i.	n.i.	n.i.	Fosfomycin	(Baxter et al. 1997; Palleroni 2005)
Burkholderia mallei	Ticarcillin	n.i.	n.i.	n.i.	n.i.	n.i.	Norfloxacin	n.i.	n.i.	Fosfomycin, Clindamycin	(Thibault et al. 2004)
Burkholderia pseudomallei	Ticarcillin	Cefoxitin	n.i.	n.i.	Gentamicin, Streptomycin, Erythromycin		Norfloxacin	n.i.	n.i.	Fosfomycin, Clindamycin	(Thibault et al. 2004; Buroni et al. 2009)
Chromobacterium violaceum	Penicillin, Ampicillin	Cephaloridine	n.i.	n.i.	n.i.	Colistin	n.i.	Sulfafurazole	n.i.	Vibriostatic agent O/129	(Gillis and Logan 2005a)
Herbaspirillum seropedicae and H. rubrisubalbicans	Pennicilin	n.i.	n.i.	n.i.	n.i.	n.i.	Nalidixic acid	n.i.	n.i.	Novobiocin, Rifampicin	(Baldani et al. 2005)
Janthinobacterium agaricidamnosum	Pennicilin	n.i.	n.i.	n.i.	n.i.	n.i.	n.i.	n.i.	n.i.	Vancomycin	(Lincoln et al. 1999; Gillis and Logan 2005b)

Janthinobacterium lividum	Pennicilin	n.i.	n.i.	n.i.	n.i.	Colistin	n.i.	n.i.	n.i.	Nitrofurantoin, Vibriostatic agent O/129	(Gillis and Logan 2005b)
Variovorax paradoxus	Ampicillin, Methicillin	n.i.	n.i.	n.i.	n.i.	n.i.	n.i.	n.i.	n.i.	Novobiocin	(Willems et al. 2005)

1115 +, described intrinsic resistance; n.i., no information available.



1117 Figure 1. Diversity of *Betaproteobacteria* in drinking water habitats and in the Human microbiome. The black symbol means

"detected", the white "non-detected". The dendrogram was constructed with the iTOL – interactive tree of life (Letunic and Bork
2016), based on the taxon ID codes.