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Impact of Small-Quantity Lipid-Based Nutrient Supplement on Hemoglobin, Iron Status and Biomarkers of Inflammation in Pregnant Ghanaian Women¹⁻⁷

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⁵Abbreviations: AGP, Alpha-1 glycoprotein; CRP, C-reactive protein; EFA, Essential Fatty Acid; GA, Gestational age; GW, Gestational weeks; IDA, Iron Deficiency Anemia; IFA, Iron and folic acid; ; LNS, Lipid-based Nutrient Supplement; MMN, Multiple Micronutrients; SQ-LNS, Small-quantity lipid-based nutrient supplement; TfR, Transferrin receptor; ZPP, Zinc Protoporphyrin.

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Contributor statement

SA-A, AL, PA, MZ, SV, and KGD designed the research; MZ was responsible for the development and production of the LNS used in the study based on the specifications agreed

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upon by the iLiNS Project; SA-A, AL, and HO conducted the research; LMB and BO performed laboratory analysis; SA-A performed the statistical analysis; AL, PA, and KGD advised on the analysis; SA-A and KGD wrote the manuscript; and AL, HO, PA, MZ, LMB, BO, and SV reviewed the draft manuscript. All authors read and approved the final manuscript.

1 Abstract

2 We examined hemoglobin (Hb, g/L), iron status (zinc protoporphyrin, ZPP, µmol/mol heme, and 3 transferrin receptor, TfR, mg/L), and inflammation (C-reactive protein, CRP and alpha-1 4 glycoprotein, AGP) in pregnant Ghanaian women who participated in a randomized controlled 5 trial. Women (n=1320) received either 60 mg Fe + 400 μ g folic acid (IFA); 18 micronutrients 6 including 20 mg Fe (MMN); or small-quantity lipid-based nutrient supplements (SO-LNS, 118 7 kcal/d) with the same micronutrient levels as in MMN, plus 4 additional minerals (LNS) daily 8 during pregnancy. Intention-to-treat analysis included 349, 354, and 354 women in the IFA, 9 MMN and LNS groups, respectively, with overall baseline mean Hb and anemia (Hb <100) 10 prevalence of 112 and 13.3%, respectively. At 36 gestational weeks, overall Hb was 117 and 11 anemia prevalence was 5.3%. Compared with the IFA group, the LNS and MMN groups had 12 lower mean Hb (120 ± 11 vs 115 ± 12 and 117 ± 12 , respectively; p<0.001), higher mean ZPP 13 $(42\pm30 \text{ vs } 50\pm29 \text{ and } 49\pm30; p=0.010)$ and TfR $(4.0\pm1.3 \text{ vs } 4.9\pm1.8 \text{ and } 4.6\pm1.7; p<0.001)$, and 14 greater prevalence of anemia (2.2% vs 7.9% and 5.8%; p=0.019), elevated ZPP (>60) [9.4% vs 15 18.6% and 19.2%; p=0.003] and elevated TfR (>6.0) [9.0% vs 19.2% and 15.1%; p=0.004]. CRP 16 and AGP concentrations did not differ among groups. We conclude that among pregnant women 17 in a semi-urban setting in Ghana, supplementation with SQ-LNS or MMN containing 20 mg 18 iron resulted in lower Hb and iron status but had no impact on inflammation, when compared 19 with iron (60 mg) plus folic acid (400 μ g). The amount of iron in such supplements that is most 20 effective for improving both maternal Hb/iron status and birth outcomes requires further 21 evaluation. This trial was registered at ClinicalTrials.gov as: NCT00970866. 22

- 23 Keywords lipid-based nutrient supplements, LNS, prenatal supplementation, multiple
- 24 micronutrients, hemoglobin, iron status, inflammation

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25 Introduction

26 Poor nutrient intake during pregnancy has been associated with several adverse 27 consequences. It is estimated that up to 50% of the anemia among pregnant women in many 28 developing country settings is due to iron deficiency (van den Broek et al., 1998) usually as a 29 result of low dietary iron intake (World Health Organization, 1992) and poor iron bioavailability 30 due to over-reliance on plant-based diets high in inhibitors of iron absorption such as phytate 31 (Tatala et al., 1998). Consequences of anemia include reduced work capacity and increased risk 32 of mortality for the mother, and premature delivery, low birth weight and poor mental 33 development for the infant (Ren et al., 2007, International Anemia Consultative Group (INACG), 34 2002). While normal pregnancy is found to be associated with an increased inflammatory response (Picklesimer et al., 2008, Sacks et al., 1998), this response may be modified by macro-35 36 or micro-nutrients (Roberts et al., 2003). Higher intakes of folic acid (Bertran et al., 2005) and 37 vitamin B_6 (Friso et al., 2001) have been associated with lower concentration of C-reactive protein (CRP), a common biomarker of inflammation. Several other dietary factors including 38 39 essential fatty acids, EFAs (Rallidis et al., 2003) and antioxidants (Brighenti et al., 2005, Devaraj 40 and Jialal, 2000) are also associated with the reduction of CRP concentration. Elevated CRP 41 concentration in pregnancy is related to the development of pre-eclampsia and preterm delivery 42 (Elovitz, 2006).

A major recommendation for increasing nutrient intake among pregnant women in
developing countries is the one developed by WHO (WHO), which is the consumption of
iron/folic acid (IFA) supplements containing 30-60 mg iron and 400 µg folic acid. In metaanalyses, this strategy, compared with no iron or placebo, reduced the risk of maternal anemia by
69-70 % (Imdad and Bhutta, 2012, Pena-Rosas et al., 2012) and iron deficiency by 57% (Pena-

| 48 | Rosas et al., 2012), but appeared to increase the risk of reported side effects (relative risk (RR) = |
|----|---|
| 49 | 2.36; 95% CI: 0.96 -5.82) particularly at iron doses of 60 mg or higher (Pena-Rosas et al., 2012). |
| 50 | The WHO/UNICEF/UNU UNIMMAP (United Nations International Multiple Micronutrient |
| 51 | Preparation) formulation containing 15 vitamins and minerals was more recently developed |
| 52 | (UNICEF/WHO/UNU) to combat other possible deficiencies, e.g. for vitamins A, C and B_{12} , |
| 53 | which may also contribute to anemia (World Health Organization, 1992). The dose of iron in the |
| 54 | UNIMMAP was set at 30 mg (below 60 mg) for the following reasons: (a) the presence of |
| 55 | vitamins A, B_2 and C in the UNIMMAP would enhance the absorption and utilization of iron, |
| 56 | and therefore the lower amount of iron should be sufficient, (b) a lower iron dose would be |
| 57 | associated with less negative side effects and therefore better adherence, (c) including 60 mg of |
| 58 | iron would mean including at least 30 mg of zinc (to avoid possible negative influence of iron on |
| 59 | zinc absorption), bringing the total amount of metals to 90 mg, which is likely to increase |
| 60 | negative side effects, and (d) UNIMMAP may be used in conjunction with additional iron /folic |
| 61 | acid tablets in individual cases of more severe anemia (assuming it is caused by iron deficiency). |
| 62 | Meta-analyses suggested that supplementation with UNIMMAP and similar products (mostly |
| 63 | containing 11 or more micronutrients including 30 mg iron) had the same effect on maternal |
| 64 | hemoglobin and iron status as iron (usually at 60 mg dose) with or without folic acid (Allen and |
| 65 | Peerson, 2009, Haider et al., 2011), while also reducing the risk of low birth weight (Fall et al., |
| 66 | 2009, Haider and Bhutta, 2012, Ramakrishnan et al., 2012). |
| 67 | Our group developed small quantity (20 g/d) lipid-based nutrient supplements (SQ-LNS) |
| 68 | for pregnant and lactating women (Arimond et al., 2013) to provide micronutrients together with |
| 69 | EFAs, using a minimum food base that supplies a small amount of energy (118 kcal/d) and high |
| 70 | quality protein (2.6 g/d). In many populations, total energy intake among pregnant and lactating |

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71 women may be adequate, but the EFA content of the usual diet may be low (Michaelsen et al., 72 2011). The micronutrient composition of the SQ-LNS was generally based on the UNIMMAP formulation and a similar product used in Guinea Bissau (Kaestel et al., 2005), but we further 73 reduced the daily iron dose to 20 mg, based on evidence that 20 mg day⁻¹ may be an adequate 74 75 dose to prevent iron deficiency anemia during pregnancy (even for women who are iron deficient 76 at entry to prenatal care) and causes fewer gastrointestinal side effects, compared to higher doses 77 of iron (Zhou et al., 2009). We estimated (Arimond et al., 2013) that in addition to iron coming 78 from the usual diet, the 20 mg of iron from a daily supplement would meet the recommended 79 dietary allowance (RDA) of 27 mg iron during pregnancy (and be close to the 30 mg/d dose in 80 the UNIMMAP formulation) while not greatly exceeding the RDA (9 mg/d) for iron during 81 lactation (IOM, 2001, Arimond et al., 2013). 82 Currently, there is a growing interest in the potential use of Small-Quantity Lipid-based 83 Nutrient Supplements (SQ-LNS) among pregnant women in developing-country settings 84 (Hambidge et al., 2014, Research Engagement on Food Innovation for Nutritional Effectiveness 85 (REFINE), 2013), because of evidence suggesting a positive impact of the product on certain pregnancy outcomes (Adu-Afarwuah et al., 2015). However, little is known about the impact of 86

87 SQ-LNS on maternal outcomes such as anemia, iron status and inflammation. We previously

reported (Adu-Afarwuah et al., 2015), that compared to IFA and a multiple micronutrient

89 (MMN) capsule with most of the same micronutrients as the SQ-LNS, the SQ-LNS promoted

90 fetal growth in vulnerable women, particularly primiparas, whilst the occurrence of serious

91 adverse events did not differ between the 3 groups. In the current analysis, we compare the effect

92 of the 3 supplementation regimens (IFA, MMN and SQ-LNS), on maternal hemoglobin (Hb),

- 93 iron status, and two biomarkers of inflammation (CRP and alpha-1 glycoprotein, AGP) during
- 94 pregnancy.

95 Methods

96 Study setting, design, participants and blinding

97 The iLiNS DYAD study in Ghana was conducted in several adjoining semi-urban communities in the Yilo Krobo and the Lower Manya Krobo Districts about 70 km north of 98 99 Accra, Ghana. Details of the study setting, participants, design, randomization and masking 100 schemes, and other key procedures have been reported elsewhere (Adu-Afarwuah et al., 2015). 101 In brief, the study was designed as a partially double-blind, parallel, individually randomized, 102 controlled trial with three equal-size groups. Pregnant women attending usual ante-natal clinics 103 in four main health facilities in the area between December 2009 and December 2011 completed 104 a screening questionnaire if they were ≥ 18 years old, ≤ 20 weeks gestation (as determined by the 105 antenatal clinics mostly by fundal height), and had an antenatal card complete with history and 106 examination. Informed consent for the screening was obtained by trained study workers at the 107 antenatal clinics. Following screening, women were excluded if the antenatal card indicated HIV 108 infection, asthma, epilepsy, tuberculosis or any malignancy. Additional exclusion criteria were 109 known milk or peanut allergy, not residing in the area, intention to move within the next two 110 years, unwillingness to receive field workers or take study supplement, participation in another 111 trial, or gestational age (GA) > 20 weeks before completion of the enrolment process.

Women who passed the screening were visited in their homes, where details of the study were provided, and those willing to participate were recruited, after signing or thumb-printing informed consent. Recruited women remaining eligible underwent a baseline laboratory assessment after consent, and were immediately randomized to receive one of three treatments daily: (a) 60 mg iron plus 400 μ g folic acid (hereafter, IFA supplement or group); (b) multiple micronutrient capsule containing 18 vitamins and minerals (including 20 mg iron) (hereafter,

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MMN supplement or group); and (c) SQ-LNS with similar micronutrients as the MMN supplement, plus other minerals and macronutrients (hereafter, LNS supplement or group).
Group allocations were developed by the Study Statistician at UC Davis using a computergenerated (SAS version 9.3) randomization scheme (in blocks of nine), and were placed in sealed, opaque envelopes. At each enrolment, a Study Nurse offered nine envelopes at a time, and the woman picked one to reveal the allocation. Allocation information was kept securely by the Field Supervisor and the Study Statistician only.

125 The compositions of the 3 supplements were reported previously (Adu-Afarwuah et al., 126 2015), as well as the considerations underlying the concentrations of the nutrients in the MMN 127 and SQ-LNS (Arimond et al., 2013). Apart from iron which was kept at 20 mg/day in the MMN 128 and SQ-LNS, the vitamin and mineral contents were either 1x or 2x the RDA for pregnancy, or 129 in a few cases, the maximum amount that could be included in the supplement given technical 130 and organoleptic constraints. The IFA and MMN supplements were provided as capsules in 131 blister packs, and were intended to be consumed with water after a meal, one capsule per day 132 throughout pregnancy. The LNS supplement was in 20-g sachets, and was intended to be mixed 133 with any prepared food, one sachet per day throughout pregnancy. To maintain blinding, two 134 individuals independent of the study placed color-coded stickers behind the blister packs (three 135 different colors for IFA and three for MMN supplements) so that the capsules were known to the 136 study team and participants only by the colors of the stickers. Laboratory staff and data analysts 137 had no knowledge of group assignment until all preliminary analyses had been completed and 138 the allocation codes were broken. The study was registered on ClinicalTrials.gov (Identifier: 139 NCT00970866) and was approved by ethics committees of the University of California, Davis,

the Ghana Health Service, and the University of Ghana Noguchi Memorial Institute for MedicalResearch.

142

143 **Procedures**

144 We collected socio-demographic information at baseline, and determined GA mostly by 145 ultrasound biometry (Aloka SSD 500, Tokyo, Japan). During follow-up, field workers visited 146 women in their homes every two weeks, whereupon they delivered a fresh supply of supplement 147 and monitored supplement intakes. At each of laboratory assessments at baseline and at 36 GW, 148 women's weight (Seca 874) and height (Seca 217) were measured, and peripheral malaria 149 parasitemia (Clearview Malarial Combo, Vision Biotech, South Africa), hemoglobin, Hb 150 (HemoCue AG, Wetzikon, Switzerland) and zinc protoporphyrin, ZPP (hematofluorometer, Aviv 151 Biomedical Co. NJ, USA), were determined using venous blood (Adu-Afarwuah et al., 2015). 152 We used the original Aviv cover-slides and 3-level control material for the ZPP measurements, 153 after red blood cells were washed three times with normal saline. Plasma samples obtained after 154 blood was centrifuged at 1,252 x g for 15 min were stored in Ghana at -20 °C, before being air-155 freighted on dry ice to UC Davis, where soluble transferrin receptor (TfR, mg/L), C-reactive 156 protein (CRP, mg/L), and alpha-1 glycoprotein (AGP, g/L) concentrations were determined 157 using a Cobas Integra 400 plus Automatic Analyzer (Roche Diagnostic Corp., Indianapolis, IN). 158 At 36 GW, the continuous outcomes measures were Hb (g/L), ZPP (μ mol/mol heme), 159 and plasma TfR (mg/L), CRP (mg/L), and AGP (g/L) concentrations, whilst the binary outcome 160 measures were the percentages of women with low Hb, high Hb, and elevated ZPP, TfR, CRP 161 and AGP.

162

163 Sample size and data analysis

164 For the Ghana iLiNS-DYAD Study, an effect size (Cohen's d: difference between group 165 means divided by the pooled standard deviation) of 0.3 (considered a small-to-moderate effect 166 size) (Cohen) was the basis for sample size calculation. Thus, our sample size was based on 167 detecting an effect size of 0.3 between any two groups for any continuous variable at 36 GW, 168 with a two-sided 5% test and 80% power. As described previously (Adu-Afarwuah et al., 2015), 169 we enrolled 1320 pregnant women into the study, but after excluding 177 who received both IFA 170 and MMN supplements during pregnancy because of a temporary mislabeling of supplements, as 171 well as 86 in the LNS group who were pregnant during the same time period, 1,057 women were 172 included in the current analysis. Based on a sample size of 827 women (~275 per group) for 173 whom data were available at 36 GW, we had 94% power to detect an effect size of 0.3 between 174 any two groups for hemoglobin, ZPP, or TfR. This would allow a difference of 3.4 g/L in Hb, 8.9 175 µmol/mol heme in ZPP, and 0.5 mg/L in TfR (given SD of 11.0, 30.0, and 2.0, respectively) to 176 be detected between any 2 groups.

177 We posted the statistical analysis plan (www.ilins.org) before analysis. Statistical 178 analysis, by intention-to-treat, was performed using SAS for Windows Release 9.3 (Cary, NC, 179 USA). Background socio-demographic characteristics were summarized as mean \pm SD for 180 continuous variables, or number of participants and percentages for categorical variables. As 181 done previously (Adu-Afarwuah et al., 2015), we used 2 indices, namely assets index and 182 housing index as proxy indictors for socioeconomic status, and calculated household food 183 insecurity access (HFIA) score (Coates et al., 2007) as a measure of degree of household food 184 insecurity. Higher values of the assets and housing indices represented higher socioeconomic 185 status, and higher values of the food insecurity index represented higher food insecurity.

| 186 | We calculated adherence to treatment as percentage of days from enrolment to the home | | | |
|-----|---|--|--|--|
| 187 | visit closest to the laboratory assessment at 36 GW, when women reported consuming the | | | |
| 188 | supplement. We used Hb <100 g/L as our primary definition for low Hb (representing anemia). | | | |
| 189 | This was based on previous WHO (WHO, 2007, WHO/UNICEF/UNU, 2001) and International | | | |
| 190 | Nutritional Anemia Consultative Group, INACG (Nestel and INACG Steering Committee, 2002) | | | |
| 191 | documents that suggest lowering the standard 110 g/L cut-off by 10 g/L for pregnant women of | | | |
| 192 | African extraction to achieve adequate sensitivity and specificity for screening purposes | | | |
| 193 | (WHO/UNICEF/UNU, 2001). In addition, we defined low Hb using the standard cut-off of Hb | | | |
| 194 | <110 g/L, based on a recent WHO recommendation (WHO, 2011) to maintain that cut-off (110 | | | |
| 195 | g/L) without any adjustment, because of scarce evidence to support the adjustment. A meta- | | | |
| 196 | analysis (Haider et al., 2013) revealed that Hb cut-offs ranging from <100 g/L to 115 g/L have | | | |
| 197 | been used in studies to define anemia in pregnant women. We defined high Hb as >130 g/L | | | |
| 198 | (Pena-Rosas et al., 2012), elevated ZPP (proxy for iron deficiency) as >60 μ mol/mol heme | | | |
| 199 | (Walsh et al., 2011) and elevated TfR (proxy for tissue iron deficiency) as > 6.0 mg/L (Pfeiffer et | | | |
| 200 | al., 2007, Vandevijvere et al., 2013). Because there is no generally accepted cut-off value for | | | |
| 201 | TfR, we derived the 6.0 mg/L cut-off based on the evidence that TfR values obtained using the | | | |
| 202 | Automatic Analyzer assay (as used in this study) were on average 30% lower than values | | | |
| 203 | obtained with the ELISA assay (Pfeiffer et al., 2007). Therefore, we reduced by 30% the 8.5 | | | |
| 204 | mg/L cut-off value used when TfR was determined using ELISA (Vandevijvere et al., 2013) to | | | |
| 205 | obtain the cut-off of approximately 6.0 mg/L for our analysis. Because we used 2 cut-offs to | | | |
| 206 | define anemia, we also defined iron deficiency anemia (IDA) in two ways: first as Hb <100 g/L | | | |
| 207 | and at least one marker of iron deficiency (ZPP $>60~(\mu mol/mol~heme)$ or TfR $>\!\!6.0~mg/L$ | | | |
| 208 | (Pfeiffer et al., 2007, Vandevijvere et al., 2013)), and second, as Hb <110 g/L and at least one | | | |

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| 209 | marker of iron deficiency. We defined elevated inflammatory markers using the cut-off values of | | | |
|-----|--|--|--|--|
| 210 | >5.0 mg/L for CRP and >1.0 g/L for AGP (Thurnham and McCabe), and categorized women | | | |
| 211 | with inflammation as either elevated CRP only (indicative of incubation phase of infection), | | | |
| 212 | elevated CRP and AGP (indicative of early convalescence) or elevated AGP only (indicative of | | | |
| 213 | late convalescence) (Thurnham and McCabe). | | | |
| 214 | At 36 GW, we calculated overall mean (\pm SD) values and percentages for Hb and markers | | | |
| 215 | of iron status and inflammation. We compared groups by using general linear models | | | |
| 216 | (continuous outcomes) and logistic regression models (binary), with Tukey-Kramer adjustment | | | |
| 217 | for multiple comparisons. Along with the group comparisons, we calculated pairwise mean | | | |
| 218 | differences (continuous outcomes, ANOVA) and relative risks (binary outcomes, Logistic | | | |
| 219 | regression) with their 95% CI and p-values. Relative risks were calculated using Poisson | | | |
| 220 | regression (Spiegelman and Hertzmark, 2005). In addition, we analyzed changes in the | | | |
| 221 | prevalence of anemia, high Hb, and elevated ZPP, TfR, CRP and AGP from enrolment using | | | |
| 222 | mixed model logistic regression (SAS PROC GLIMMIX). Where the mixed model logistic | | | |
| 223 | regression failed to converge because of sparse data, we used generalized estimating equations | | | |
| 224 | model (SAS PROC GENMOD). We analyzed each outcome twice, first without any covariate | | | |
| 225 | adjustments, and then with adjustment for covariates significantly associated (p<0.10) with the | | | |
| 226 | outcome in a bivariate analysis. Because ZPP, TfR, AGP and CRP are not normally distributed, | | | |
| 227 | we calculated the group means (\pm SD or SE), group percentages, and pair-wise mean differences | | | |
| 228 | and relative risks with their 95% CI based on untransformed data, but generated the p-values for | | | |
| 229 | group or pair-wise comparisons using logarithmically transformed data. | | | |
| 230 | To investigate the possible effect of group differences in adherence to treatment, we | | | |

231 performed a per-protocol analysis, which was restricted to women with adherence \geq 70%. We

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232 evaluated potential interaction of treatment group with pre-specified baseline variables for 233 maternal characteristics, anemia and iron status. These variables were: age, years of schooling, 234 BMI, gestational age at enrolment, household assets index, housing index, food insecurity access 235 score, season at enrolment (dry or wet), primiparous, anemia, and elevated ZPP. TfR, and AGP 236 or CRP. Where an interaction was significant (alpha < 0.10), we performed subgroup analysis by 237 including an interaction term between treatment and the effect modifier in the ANCOVA or 238 logistic regression model. For continuous effect modifiers, we used data from all participants to create a linear regression model to predict the values of the outcome at the 10th and 90th 239 240 percentile of the effect modifier distribution. Each effect modifier was considered separately in 241 the models to avoid collinearity. 242 In a sensitivity analysis aimed at correcting for the effect of inflammation (CRP and 243 AGP) on the Hb and iron status outcomes, we repeated the above analyses using values of Hb 244 and iron status markers corrected for inflammation (WHO, 2007). These corrected values were 245 calculated by grouping women into 3 inflammation categories, estimating the correction factor 246 (CF) for each inflammation category, and multiplying the Hb and iron status values of each 247 woman by the inflammation category-specific CF (Grant et al., 2012). The 3 inflammation 248 categories were: reference (normal CRP and AGP), incubation (raised CRP and normal AGP), 249 and early (raised CRP and AGP) or late (normal CRP and raised AGP) convalescence [these two 250 phases of convalescence were combined because of small sample sizes and little indication of 251 differences]. For ZPP at 36 GW, women were grouped into 2 inflammation categories (normal 252 versus any inflammation), since the 3-category grouping did not yield consistent results. 253

254 **Results**

We collected data from December 2009 to August 2012. The study flow diagram, as well 255 256 as the main reasons women were not eligible, or were eligible but not enrolled, were reported 257 elsewhere (Adu-Afarwuah et al., 2015). Among eligible women, those enrolled (n=1320) and 258 those not enrolled (n=606) did not differ in most background characteristics (results not shown). 259 The background characteristics of the 1057 women included in the current analysis are presented 260 in **Table 1**. These characteristics were well-balanced across the three groups. On average, 261 women were about 26 years of age, had about 7 years of formal education, and BMI of about 25 262 kg/m^3 . Nearly all of the women said they were married or living with a partner, slightly more 263 than a third were primiparous, and nearly 10% tested positive for malaria. The average GA at 264 enrolment was 16 weeks. At baseline, we obtained Hb values for all 1057 women, ZPP values 265 for 1055 women, and TfR, CRP and AGP values for 1032 women. 266 At 36 GW, dropout (4.4%) was low, and did not differ among groups (p = 0.65). Women 267 who dropped out - mainly because of miscarriage (2.8%) and movement from the study site 268 (1.2%) –did not differ in the baseline characteristics from those who were present at 36 GW, 269 except for GA (weeks) at enrolment, which was significantly lower (p = 0.001) for the former 270 (14.5) than for the latter (16.3). Mean (\pm SD) adherence (% of days from enrolment to the home 271 visit closest to the laboratory assessment at 36 GW when supplement was reportedly consumed) 272 was lower (p=0.001) in the LNS group (68 ± 24) compared to the IFA (74 ± 21) and the MMN 273 (72 ± 23) groups. Women usually reported mixing the LNS supplement with porridge, but

sometimes mixed it with other foods including soups and stews, or consumed it alone. We

obtained Hb values for 827 women, and ZPP, TfR, CRP and AGP values for 822 women; the

number (%) of women without Hb values did not differ between groups (p = 0.10). The women

277 with Hb values did not differ from those without Hb values in most of the baseline 278 characteristics, except that the latter had lower mean housing index (-0.17 \pm 1.09 vs. 0.04 \pm 0.99; p = 0.011), BMI (24 ± 4.0 vs. 25 ± 4.7 kg/m²; p = 0.022) and GA at enrolment (16 ± 3.1 vs. 16 ± 279 280 3.3 weeks; p = 0.042). 281 In the intention-to-treat analysis, the overall mean $(\pm SD)$ values at baseline and 36 GW 282 were 112 ± 12 and 117 ± 12 , respectively, for Hb (g/L), 45 ± 32 and 47 ± 30 for ZPP (µmol/mol 283 heme) and 4.1 ± 2.5 and 4.5 ± 1.7 for TfR (mg/L). From baseline to 36 GW, the prevalence of 284 anemia decreased significantly from 13% to 5.3% (p < 0.001), whilst the reverse was true for the 285 prevalence of high Hb (4.9% vs 12%, p < 0.001) and elevated TfR (9.2% to 15%; p = 0.001), 286 with a moderate change in the prevalence of elevated ZPP (13% vs. 16%; p = 0.06). The mean 287 (\pm SD) CRP (mg/L) and AGP (g/L) at baseline (6.9 \pm 11 and 0.6 \pm 0.2, respectively) were slightly 288 greater than at 36 GW (5.7 \pm 17 and 0.5 \pm 0.2, respectively), which was also reflected in the 289 percentages of women with elevated CRP and AGP at baseline vs. 36 GW (38.2% vs 24.1%; $p < 10^{-10}$ 290 0.001 and 6.3% vs 2.8%; p < 0.001, respectively).

291

292 Main group comparisons at 36 gestational weeks

Table 2 shows the unadjusted mean (\pm SD) Hb (g/L), ZPP (µmol/mol heme), TfR (mg/L), CRP (mg/L) and AGP (g/L) concentrations, by intervention group, at baseline and 36 GW in the intention-to-treat analysis. Baseline values did not differ significantly among groups. At 36 GW, mean Hb was significantly (p < 0.001) greater in the IFA group (120 ±11) than in the LNS (115±12) or MMN (117±12) group; ZPP was significantly (p <0.001) lower in the IFA group (43 ± 30) than in the LNS (50± 29) or MMN (49 ± 30) group; and TfR was significantly (p < 0.001) lower in the IFA group (4.0 ± 1.3) than in the LNS (4.9±1.8) or MMN (4.6±1.7) group. Further

300 (**Table 3**), the percentage of women with anemia defined either as Hb <100 g/L or Hb <110 g/L 301 was significantly lower in the IFA group compared with the LNS and MMN groups, and when 302 using the latter definition, this percentage was also significantly greater in the LNS compared 303 with the MMN group. Compared with the IFA group, the LNS and MMN groups had greater 304 percentages of women with elevated ZPP (9.4% vs 19% and 19%, respectively; p = 0.003) and 305 elevated TfR (9.0% vs 19% and 15%, respectively; p = 0.004). Differences among the 3 groups 306 in the prevalence of IDA were marginally significant (p = 0.07) when the Hb cut-off of 100 g/L 307 was used in the definition of IDA, but were significant (p < 0.001) when the Hb cut-off of 110 308 g/L was used. In the latter situation, the risk of IDA was significantly greater in the LNS group 309 compared with the IFA group (p < 0.001), and marginally greater in the MMN compared to the 310 IFA group, and in the LNS compared with the MMN group. The prevalence of high Hb did not 311 differ among groups (p = 0.15).

312 From baseline to 36 GW, the decrease in the prevalence of anemia (based on our primary 313 definition of Hb <100 g/L) and increase in the prevalence of high Hb were significant for all 314 groups; the change in prevalence over time differed between groups for anemia (*P*-interaction = 315 (0.099) but not for high Hb (*P*-interaction = (0.95)). For elevated ZPP, the increase in prevalence 316 from baseline was marginally significant in the LNS group (p = 0.06) and non-significant in the 317 other two groups, and the change in prevalence over time did not differ between groups (P-318 interaction = 0.14). For elevated TfR, the increase in prevalence was significant for the LNS (p = 319 (0.003) and MMN (p = 0.009) groups only, and the change in prevalence over time did not differ 320 between groups (*P*-interaction = 0.17). Apart from the prevalence of anemia defined using the 321 Hb cut-off of 110 g/L, the LNS and MMN groups did not differ significantly in any of the 322 continuous (Table 2) or binary (Table 3) Hb and iron status outcomes.

| 323 | There were no significant differences among groups in 36 GW mean (\pm SD) concentrations | | |
|-----|---|--|--|
| 324 | of CRP ($p = 0.98$) and AGP ($p = 0.35$) (Table 2), or the percentages of women in the incubation | | |
| 325 | phase of infection (elevated CRP only; $p = 0.26$), early convalescence (both CRP and AGP | | |
| 326 | elevated; $p = 0.67$) or late convalescence (elevated AGP only; $p = 1.00$). From baseline to 36 | | |
| 327 | GW, the decrease in the percentage of women in the incubation phase of infection was | | |
| 328 | significant for all groups. For percentage of women in early convalescence, only the decrease in | | |
| 329 | the IFA group ($p = 0.010$) was significant, and for percentage of women in late convalescence | | |
| 330 | (where the mixed model logistic regression did not converge because of sparse data, and hence | | |
| 331 | generalized estimating equations model was used), only the decrease in the MMN group (p = | | |
| 332 | 0.024) was significant. | | |
| 333 | Adjustments by covariates significantly associated with Hb and the iron status (ZPP and | | |
| 334 | TfR) and inflammatory (CRP and AGP) outcomes (including the baseline value for each | | |
| 335 | outcome) did not alter the unadjusted results (data not shown). In addition, correcting the Hb and | | |
| 336 | iron status values for inflammation in the sensitivity analysis (results not shown) did not change | | |
| 337 | the above findings. | | |
| 338 | The per-protocol analysis (results not shown) revealed that among women with adherence | | |
| 339 | to treatment \geq 70% (samples sizes at 36 gestational weeks: 213 in IFA, 222 in MMN and 166 in | | |
| 340 | the LNS group, for Hb), the above findings remained unchanged, except for the fact that the | | |
| 341 | prevalence of anemia (defined as Hb < 100 g/L or < 110 g/L) did not differ between the LNS and | | |
| 342 | MMN groups, and the prevalence of IDA (if definition included Hb < 110 g/L) was greater only | | |
| 343 | in the LNS compared with the IFA group. | | |
| 344 | | | |

345 Effect modification

| 346 | Interactions of treatment group with BMI, season of enrolment and elevated CRP | | | |
|-----|--|--|--|--|
| 347 | concentration at baseline were not significant for any of the outcomes. As shown in Table 4, the | | | |
| 348 | effect of intervention on ZPP concentration at 36 GW was modified by baseline anemia (Hb $<$ | | | |
| 349 | 100 g/L; <i>P</i> -interaction = 0.099), elevated ZPP (<i>P</i> -interaction = 0.013) and TfR (<i>P</i> -interaction = | | | |
| 350 | 0.090), GA at enrolment (<i>P</i> -interaction = 0.041), and household assets score (<i>P</i> -interaction = | | | |
| 351 | 0.061). Specifically, the difference in mean (\pm SD) ZPP concentration at 36 GW among | | | |
| 352 | intervention groups (MMN and LNS groups compared with the IFA group) was greater among | | | |
| 353 | women with anemia at baseline, elevated baseline ZPP, greater GA at enrolment, or lower | | | |
| 354 | household assets scores . Similarly, the difference in risk of elevated ZPP among intervention | | | |
| 355 | groups was greater in women with greater GA at enrolment (P -interaction = 0.057), and elevated | | | |
| 356 | TfR at baseline (P -interaction = 0.049) | | | |
| 357 | The difference in mean TfR concentration at 36 GW among intervention groups was | | | |
| 358 | greater among women who were anemic at baseline (P -interaction = 0.079), did not have | | | |
| 359 | elevated AGP at baseline (P -interaction = 0.051), or had greater GA at enrolment (P -interaction | | | |
| 360 | = 0.016), whereas the difference in risk of elevated TfR among intervention groups was greater | | | |
| 361 | in women with less food insecurity. | | | |
| 362 | For elevated CRP and AGP at 36 GW, there were significant interactions between | | | |
| 363 | intervention group and elevated ZPP at baseline (P -interaction = 0.008) and household assets | | | |
| 364 | score (P -interaction = 0.091), but the stratified analyses (Table 4) did not show consistent results. | | | |
| 365 | | | | |

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366 Discussion

367 In the iLiNS-DYAD-Ghana study, pregnant women who were provided with standard 368 iron (60 mg) and folic acid supplements from ≤ 20 GW had significantly greater mean Hb, lower 369 mean ZPP and TfR and lower prevalence of anemia and iron deficiency (elevated ZPP and TfR) 370 at 36 GW than pregnant women who were provided with either the MMN or LNS supplements, 371 both of which contained 20 mg iron. Overall, however, the prevalence of anemia (Hb < 100 g/L) 372 at 36 GW was relatively low (2.2-7.9%), and iron deficiency was evident in <20% of women in 373 all groups. At 36 GW, the three groups did not differ in the percentage of women with high Hb 374 (12.3% overall) or inflammation (CRP and AGP). These findings remained unchanged when 375 analyses were restricted to women who were more adherent to treatment. 376 A few weaknesses of our study were described previously (Adu-Afarwuah et al., 2015), 377 namely: (a) a fully double-blind study design was not possible because of the physical 378 differences between the SQ-LNS in the form of sachets, and the other two supplements (MMN 379 and IFA) in the form of capsules, and (b) adherence to treatment was assessed by self-report and 380 not direct observation. However, none of the individuals involved in sample collection, 381 laboratory measurements or data analysis had any knowledge of group assignment. The good 382 collaboration with the antenatal clinics (which gave women the confidence to cooperate), 383 relatively low rate of attrition, intense follow-up of participants, and detailed attention we paid to 384 ensuring data quality were notable strengths of the study. 385 Several possible explanations may be relevant for the observed lower Hb and higher ZPP 386 and TfR values in the MMN and LNS groups compared to the IFA group. First, the 20 mg iron 387 dose used in the MMN and LNS supplements may have been too low for this Ghanaian 388 population of pregnant women. In most (but not all) similar studies, the iron dose of the iron +

389 folic acid supplement was 60 mg/day and that of the multiple micronutrient supplement was 30 390 mg/day (Allen and Peerson, 2009, Roberfroid et al., 2011, Mei et al., 2014), although in 391 Indonesia (Suprapto et al., 2002), Mexico (Ramakrishnan et al., 2004), Nepal (Christian et al., 392 2003) and Tanzania (Makola et al., 2003), both the iron + folic acid and multiple micronutrient 393 supplement groups received iron doses of at least 50 mg/ day. In these studies (even for those 394 that used multiple micronutrients containing 30 mg Fe), pregnant women consuming the multiple 395 micronutrient supplements generally did not differ in Hb or iron status indicators compared to 396 those consuming iron + folic acid (Allen and Peerson, 2009, Mei et al., 2014, Roberfroid et al., 397 2011). A study of Australian women (Zhou et al., 2009) suggested that 20 mg iron per day may 398 be an adequate dose to prevent iron deficiency anemia during pregnancy compared with higher 399 doses of iron. However, the diet of the women in our sample, as is typical of Ghana, is mainly 400 plant-based and high in phytate (Gibson, 1994), which reduces iron absorption (Baech et al., 401 2003), so dietary iron needs during pregnancy may be higher in Ghana than in Australia. 402 Another possibility is that the relatively high dose of zinc (30 mg) in the MMN and LNS 403 supplements may have interfered with iron absorption, as suggested by the results of a 404 supplementation trial in Nepal (Christian et al., 2003). The lack of a good biomarker of zinc 405 status at the individual level makes it difficult to explore this potential mechanism for the 406 differences in iron status between the IFA and other two groups. 407 It is noteworthy that there were no significant differences in mean Hb or iron status 408 between the LNS and MMN groups, despite the fact that SQ-LNS is a food-based supplement 409 rather than a capsule and some differences in composition could have affected these outcomes. 410 For instance, it is possible that the calcium or phytate in SQ-LNS could have limited the 411 absorption of iron in the LNS group. The lack of differences in Hb and iron status between these

| 412 | two groups suggests that the iron or other micronutrient content (which was identical in these | | | |
|-----|--|--|--|--|
| 413 | two supplements, except for the macro-minerals) was the most critical factor. | | | |
| 414 | In Ghana, anemia (Ghana Statistical Service (GSS) et al., 2009) and infections including | | | |
| 415 | malaria (Yatich et al., 2009) are common among pregnant women even in relatively high income | | | |
| 416 | communities, and evidence (Mockenhaupt et al., 2000) suggests that malaria is a major risk | | | |
| 417 | factor for anemia. Thus, it is noteworthy that the prevalence of both anemia and elevated CRP | | | |
| 418 | declined significantly in all three groups between baseline and 36 weeks gestation. | | | |
| 419 | It is important to consider the implications of the observed lower mean Hb of the SQ-LNS | | | |
| 420 | and MMN groups compared to the IFA group at 36 weeks gestation. Low Hb concentration or | | | |
| 421 | anemia in the first or second trimester of pregnancy is linked with poor pregnancy outcomes | | | |
| 422 | including low birth weight (Murphy et al., 1986), but no such association has been established | | | |
| 423 | for low Hb concentration or anemia in the third trimester (Allen, 2000). Further, Hb | | | |
| 424 | concentrations substantially above 110-119 g/L during pregnancy may be independent of iron | | | |
| 425 | status and have been linked with poorer health outcomes for the mother and fetus (Yip, 2000, | | | |
| 426 | Zhou et al., 1998). Therefore, the higher mean Hb concentrations observed for women in the IFA | | | |
| 427 | group compared to those in the LNS and MMN groups in the third trimester (36 GW) may not | | | |
| 428 | necessarily be beneficial with respect to birth outcomes. In fact, we previously demonstrated that | | | |
| 429 | in this same study (Adu-Afarwuah et al., 2015) the prenatal consumption of LNS (compared to | | | |
| 430 | IFA) was associated with greater birth weight, weight-for-age z-score and BMI-for-age z-score, | | | |
| 431 | and that, in first-time mothers, prenatal LNS supplementation also increased birth length and | | | |
| 432 | head circumference and reduced the proportion of infants with low birth weight, low birth length, | | | |
| 433 | and small-for-gestational age. In this cohort, there was no relationship between Hb at 36 wk | | | |
| 434 | gestation and infant birth size, and there was actually a significant <i>negative</i> relationship between | | | |

| 435 | maternal iron status at 36 wk and birth size (Oaks et al., 2015). Thus, the difference between the | | | |
|-----|--|--|--|--|
| 436 | LNS and IFA groups in mean maternal Hb, ZPP, and TfR concentrations needs to be weighed | | | |
| 437 | against the difference (in the opposite direction) in birth outcomes. In two sets of analyses (Garn | | | |
| 438 | et al., 1981, Steer et al., 1995) each involving a large number of pregnant women, the lowest risk | | | |
| 439 | of adverse birth outcomes including low birth weight was seen in women with Hb \sim 95-105 g/L | | | |
| 440 | (Steer et al., 1995) or Hb ~100 -110 g/L (Garn et al., 1981). Appropriate cut-offs for ZPP and | | | |
| 441 | TfR in | pregnancy are not well documented, particularly with respect to functional outcomes. | | |
| 442 | Therefore, it is difficult to judge whether our results should be interpreted as "improvements" in | | | |
| 443 | materr | al iron status in the IFA group compared to the MMN or LNS group. | | |
| 444 | We conclude that among pregnant women in a semi-urban setting in Ghana, | | | |
| 445 | supplementation with SQ-LNS or MMN containing 20 mg iron resulted in lower Hb and iron | | | |
| 446 | status but had no impact on inflammation, when compared with iron (60 mg) plus folic acid (400 | | | |
| 447 | μ g) treatment. The amount of iron in such supplements that is most effective for improving both | | | |
| 448 | maternal Hb/iron status and birth outcomes requires further evaluation. | | | |
| 449 | | | | |
| 450 | Key n | nessages | | |
| 451 | 1. | In this semi-urban Ghanaian population, the prevalence of anemia (Hb <100 g/L) among | | |
| 452 | | pregnant women who received IFA, MMN or SQ-LNS was reduced from 13% at <20 | | |
| 453 | | gestational weeks (GW) to 5% at 36 GW. | | |
| 454 | 2. | Provision of IFA (with 60 mg Fe) was associated with a greater mean concentration of | | |
| 455 | | Hb and lower prevalence of anemia at 36 GW than provision of MMN or SQ-LNS (both | | |
| 456 | | with 20 mg Fe). | | |

- 457 3. The prevalence of high Hb (>130 g/L) or elevated inflammatory biomarkers (CRP and
- 458 AGP) at 36 GW was not affected by the type of prenatal supplement provided.
- 459 4. More research is needed to determine the concentration of iron in MMN and SQ-LNS
- 460 supplements that is most effective for improving both maternal Hb/iron status and birth
- 461 outcomes.

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TABLE 1

Background characteristics of pregnant Ghanaian women whose hemoglobin, and iron status and inflammatory markers were analyzed at 36 gestational weeks, by intervention group¹

| Background characteristics | IFA (N = 349) | MMN (N = 354) | LNS (N = 354) |
|--|-------------------------|------------------------|-----------------------|
| Age, y | 27 ± 5.3 [349] | 27 ± 5.7 [354] | 27 ± 5.4 [354] |
| Formal education, y | 7.6 ± 3.5 [349] | 7.5 ± 3.6 [354] | 7.7 ± 3.7 [354] |
| Body Mass Index, kg/m ² | 25 ± 4.3 [342] | 25 ± 5.0 [348] | 25 ± 4.4 [349] |
| Low BMI, n/N (%) | 11/342 (3.2) | 8/348 (2.3) | 6/349 (1.7) |
| Gestational age at enrolment, weeks | 16.3 ± 3.3 [346] | 16.2 ± 3.2 [353] | 16.2 ± 3.3 [349] |
| Assets index ² | $0.09 \pm 0.98 \ [342]$ | 0.1 ± 0.9 [349] | 01 ± 0.91 [348] |
| Housing index ² | 0.04 ± 0.99 [342] | -0.03 ± 1.03 [349] | 0.00 ± 1.00 [348] |
| HFIA Score ³ | 2.9 ± 4.6 [345] | 2.5 ± 3.9 [346] | 2.5 ± 3.9 [348] |
| Married or co-habiting, n/N (%) | 320/349 (91.7) | 332/354 (93.8) | 328/354 (92.7) |
| Primiparous women, n/N (%) | 131/349 (37.5) | 110/354 (31.1) | 128/354 (36.2) |
| Tested positive for malaria ⁴ , n/N (%) | 31/349 (8.9) | 30/354 (8.5) | 40/354 (11.3) |

¹ IFA= Iron-Folic Acid group; MMN=Multiple Micronutrients group. LNS= Small Quantity Lipid-based Nutrient Supplement group. HFIA is Household Food Insecurity Access Score. N=total number of participants in the group in question; n = number of participants positive on the variable in question; % = percent of participants positive on the variable in question. Values are Mean ± SD [N] or n/N (%).

² Proxy indicators for household socioeconomic status; higher values represent higher socioeconomic status.

³ HFIA (Household food insecurity access) is a proxy indicator for household food insecurity (Coates et al., 2007); higher values represent higher food insecurity

⁴ Rapid Diagnostic Test (Clearview Malarial Combo, Vision Biotech, South Africa), which detected *P. falciparum* and non-*P. falciparum* histidine-rich protein-2

TABLE 2

Unadjusted hemoglobin, and iron status and inflammatory markers of pregnant Ghanaian women at baseline and 36 gestational weeks, by intervention group, and

pair-wise comparison of groups¹

| Variable | IFA ² [N=349] | MMN ² [N=354] | LNS ² [N=354] | P ³ | Comparison of IF. MMN | A and | Comparison of IF LNS | FA and | Comparison of MMN and LNS | | |
|-----------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------|------------------------------|---------|------------------------------|---------|------------------------------|------|--|
| | | | | | Mean difference (95 % CI) | р | Mean difference (95 % CI) | р | Mean difference (95 % CI) | р | |
| Hb, g/L | | | | | | | | | | | |
| Baseline | 112 ± 13 [349] | 111 ± 12 [354] | 112 ± 12 [354] | | -1 (-4, 1) | | 0 (-2, 2) | | 1 (-1, 3) | | |
| 36 GW | 120 ± 11 [270] | 117 ± 12 [291] | 115 ± 12 [266] | <0.001 | -3 (-6, -1) | 0.002 | -5 (-7, 3) | < 0.001 | -2 (-4, 1) | 0.18 | |
| ZPP, μmol/mol heme | | | | | | | | | | | |
| Baseline | 43 ± 28 [347] | 46 ± 36 [354] | 45 ± 33 [354] | | 3 (-3, 8) | | 2 (-4, 8) | | -1 (-6, 5) | | |
| 36 GW | 42 ± 30 [267] | 49 ± 30 [291] | 50 ± 29 [264] | < 0.001 | 6 (0, 12) | < 0.001 | 7 (1, 13) | < 0.001 | 1 (-5, 7) | 0.91 | |
| TfR, mg/L | | | | | | | | | | | |
| Baseline | 4.0 ± 1.9 [338] | 4.0 ± 1.7 [348] | 4.3 ± 3.5 [346] | | 0.0 (-0.4, 0.5) | | 0.3 (-0.1, 0.8) | | 0.3 (-0.1, 0.8) | | |
| 36 GW | 4.0 ± 1.3 [266] | 4.6 ± 1.7 [291] | 4.9 ± 1.8 [265] | < 0.001 | 0.5 (0.2, 0.9) | < 0.001 | 0.8 (0.5, 1.2) | < 0.001 | 0.3 (-0.0, 0.6) | 0.07 | |
| CRP, mg/L | | | | | | | | | | | |
| Baseline | 7.9 ± 14 [338] | 5.8 ± 8.3 [348] | 6.9 ± 11 [346] | | -2.1 (-4.1, -0.1) | | -1.1 (-3.1, 1.0) | | 1.0 (-1.0, 3.0) | | |
| 36 GW | 5.6 ± 18 [266] | 5.7 ± 14 [291] | 5.9 ± 18 [265] | 0.85 | 0.1 (-3.3, 3.5) | 0.95 | 0.3 (-3.2, 3.8) | 0.97 | 0.2 (-3.2, 3.6) | 0.84 | |
| AGP, g/L | | | | | | | | | | | |
| Baseline | 0.7 ± 0.2 [338] | 0.6 ± 0.2 [348] | 0.6 ± 0.2 [346] | | 0.0 (-0.1, 0.0) | | 0.0 (-0.1, 0.0) | | 0.0 (0.0, 0.0) | | |

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 0.5 ± 0.2 0.5 ± 0.2 0.5 ± 0.2 0.30 0.0 (0.0, 0.1) 0.65 0.0 (0.0, 0.0) 0.80 0.0 (-0.1, 0.0) 0.27

 36 GW [266]
 [291]
 [265]

¹IFA= Iron-Folic Acid group; MMN=Multiple Micronutrients group; LNS= Small Quantity Lipid-based Nutrient Supplement group. AGP, CRP, GW, Hb, TfR, and ZPP are alpha-1 acid glycoprotein, C-reactive protein, gestational weeks, hemoglobin, transferrin receptor, and zinc protoporphyrin, respectively. Analyses are based on ANOVA (SAS, PROC GLM). Group means (±SD) and pair-wise mean difference (95% CI) were calculated using untransformed data. Except for Hb, all p-values for group or pair-wise comparisons were generated from log-transformed data; untransformed data were used for comparisons of mean Hb values. N=total number of participants in the group in question.

² Values are Mean \pm SD [N].

³P-values, with Tukey-Kramer adjustments, compare all three groups.

TABLE 3

Number (percentage) of pregnant Ghanaian women with abnormal hemoglobin, and iron status and inflammatory markers at baseline and 36 weeks of gestation,

by intervention group and pairwise relative risks (RR) between groups¹

| | IFA ² [N=349] | MMN ² [N=354] | LNS ² [N=354] | P ³ | Comparison of IFA and MMN ⁴ | | Comparison IFA and LN | of S ⁴ | Comparison of MMN and LNS ⁴ | |
|--|-------------------------------|-------------------------------|--------------------------------|-----------------------|--|-------|--|----------------------|---|-------|
| | | | | | RR (95 % CI) | р | RR (95 % CI) | р | RR (95 % CI) | р |
| Low Hb (< 100 g/L) ⁵ | | | | | | | | | | |
| Baseline | 39/349 (11.2) | 51/354 (14.4) | 47/354 (13.3) | | 1.29 (0.81, 2.05) | | 1.19 (0.74, 1.91) | | 0.92 (0.59, 1.43) | |
| 36 GW | 6/270 (2.2) | 17/291 (5.8) | 21/266 (7.9) | 0.019 | 2.63 (0.88, 7.86) | 0.09 | 3.55 (1.22, 10.3) | 0.013 | 1.35 (0.65, 2.83) | 0.60 |
| Low Hb (< 110 g/L) ⁶ | | | | | | | | | | |
| Baseline | 139/349 (39.8) | 157/354 (44.4) | 134/354 (37.9) | | 1.11 (0.90, 1.37) | | 0.95 (0.76, 1.19) | | 0.85 (0.69, 1.06) | |
| 36 GW | 38/270 (14.1) | 69/291 (23.7) | 88/266 (33.1) | < 0.001 | 1.68 (1.10, 2.59) | 0.011 | 2.35 1.56, 3.53) | < 0.001 | 1.40 (1.01, 1.92) | 0.038 |
| High Hb ⁷ | | | | | | | | | | |
| Baseline | 21/349 (6.0) | 15/354 (4.2) | 16/354 (4.5) | | 0.70 (0.33, 1.52) | | 0.75 (0.35, 1.60) | | 1.07 (0.47, 2.43) | |
| 36 GW | 42/270 (15.6) | 32/291 (11.0) | 28/266 (10.5) | 0.15 | 0.71 (0.42, 1.18) | 0.25 | 0.68 (0.40, 1.16) | 0.20 | 0.96 (0.54, 1.70) | 0.98 |
| Elevated ZPP ⁸ | | | | | | | | | | |
| Baseline | 40/347 (11.5) | 54/354 (15.3) | 46/354 (13.0) | | 1.32 (0.84, 2.09) | | 1.13 (0.70, 1.81) | | 0.85 (0.55, 1.32) | |
| 36 GW | 25/267 (9.4) | 56/291 (19.2) | 49/264 (18.6) | 0.003 | 2.06 (1.21, 3.48) | 0.003 | 1.98 (1.16, 3.40) | 0.007 | 0.96 (0.64, 1.46) | 0.98 |
| Elevated TfR ⁹ | | | | | | | | | | |
| Baseline | 29/338 (8.6) | 29/348 (8.3) | 37/346 (10.7) | | 0.97 (0.54, 1.75) | | 1.25 (0.72, 2.17) | | 1.28 (0.74, 2.23) | |
| 36 GW | 24/266 (9.0) | 44/291 (15.1) | 51/265 (19.2) | 0.004 | 1.68 (0.96, 2.94) | 0.08 | 2.13 (1.24, 3.67) | 0.003 | 1.27 (0.82, 1.97) | 0.40 |
| IDA^{10} | | | | | | | | | | |
| Baseline | 20/338 (5.9) | 22/348 (6.3) | 22/346 (6.4) | 0.07 | 1.07 (0.53, 2.15) | 0.00 | 1.07 (0.53, 2.17) | 0.07 | 1.01 (0.51, 1.99) | 0.07 |
| 36 GW | 2/264 (0.8) | 11/291 (3.8) | 11/263 (4.2) | 0.07 | 4.99 (0.83, 29.9) | 0.09 | 5.52 (0.92, 33.1) | 0.06 | 1.11 (0.42, 2.95) | 0.97 |
| Baseline 36 GW Elevated CRP only ¹² | 36/338 (10.7) 12/264 (4.5) | 37/348 (10.6) 27/291 (9.3) | 37/346 (10.7) 41/263 (15.6) | <0.001 | 1.00 (0.59, 1.68) 2.04 (0.93, 4.49) | 0.08 | 1.00 (0.60, 1.69) 3.43 (1.63, 7.20) | <0.001 | 1.01 (0.60, 1.68) 1.68 (0.97, 2.90) | 0.07 |

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| Baseline | 113/338 (33.4) | 108/348 (31.0) | 121/346 (35.0) | | 0.93 (0.72, 1.20) | | 1.05 (0.82, 1.34) | | 1.13 (0.87, 1.45) | |
|---------------------------------|----------------|----------------|----------------|------|-------------------|------|-------------------|------|-------------------|------|
| 36 GW | 49/266 (18.4) | 70/291 (24.1) | 59/265 (22.3) | 0.26 | 1.31 (0.89, 1.93) | 0.24 | 1.21 (0.81, 1.81) | 0.52 | 0.93 (0.64, 1.33) | 0.87 |
| Elevated CRP+AGP13 | 3 | | | | | | | | | |
| Baseline | 26/338 (7.7) | 15/348 (4.3) | 11/346 (3.2) | | 0.56 (0.27, 1.17) | | 0.41 (0.18, 0.94) | | 0.74 (0.30, 1.84) | |
| 36 GW | 7/266 (2.6) | 9/291 (3.1) | 5/265 (1.9) | 0.67 | 1.18 (0.37, 3.77) | 0.94 | 0.72 (0.18, 2.79) | 0.83 | 0.61 (0.17, 2.22) | 0.64 |
| Elevated AGP only ¹⁴ | | | | | | | | | | |
| Baseline | 3/338 (0.9) | 5/348 (1.4) | 5/346 (1.4) | | 1.62 (0.30, 8.88) | | 1.63 (0.30, 8.93) | | 1.01 (0.23, 4.38) | |
| 36 GW | 1/266 (0.4) | 0/291 (0.0) | 1/265 (0.4) | 1.00 | | | | | | |

¹IFA= Iron-Folic Acid group; MMN=Multiple Micronutrients group; LNS=Small Quantity Lipid-based Nutrient Supplement group. AGP, CRP, GW, Hb, IDA,

TfR, and ZPP are alpha-1 acid glycoprotein, C-reactive protein, gestational weeks, hemoglobin, iron deficiency anemia, transferrin receptor, and zinc

protoporphyrin, respectively. N=total number of participants in the group in question.

²Values are number of participants positive on the variable in question/N (% of participants positive on the variable in question).

³P-vaues compare all three groups, with Tukey-Kramer adjustment, using logistic regression (SAS PROC LOGISTIC).

⁴Relative Risks, RR(95% CI) and their p-values are based on Poisson regression (Spiegelman and Hertzmark, 2005).

⁵ Nestel and INACG Steering Committee, 2002, WHO, 2007, WHO/UNICEF/UNU, 2001.

⁶ WHO, 2011.

⁷Hb >130 g/L (Pena-Rosas et al., 2012).

 8 ZPP > 60.0 (µmol/mol heme).

 9 TfR >6.0 mg/L (Pfeiffer et al., 2007, Vandevijvere et al., 2013).

 10 Hb <100 g/L (Nestel and INACG Steering Committee, 2002, WHO, 2007, WHO/UNICEF/UNU, 2001) and at least one marker of iron deficiency (ZPP > 60.0 (µmol/mol heme) or TfR >6.0 mg/L (Pfeiffer et al., 2007, Vandevijvere et al., 2013)).

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 11 Hb <110 g/L (WHO, 2011) and at least one marker of iron deficiency (ZPP > 60.0 (µmol/mol heme) or TfR >6.0 mg/L (Pfeiffer et al., 2007, Vandevijvere et al., 2013)).

- 12 CRP >5.0 mg/L and AGP not >1.0 g/L (Thurnham and McCabe).
- 13 CRP >5.0 mg/L and AGP >1.0 g/L (Thurnham and McCabe).
- 14 CRP not > 5.0 mg/L and AGP > 1.0 g/L (Thurnham and McCabe).

TABLE 4

Effect of intervention on iron status and inflammatory outcomes of pregnant Ghanaian women, stratified by baseline characteristics¹

| Outcomes | IFA ² | MMN ² | LNS ² | P ³ | \mathbf{P}^4 | Comparison of MMN and IFA (n = 349) | | Comparison of L IFA $(n = 35)$ | NS and (4) | Comparison of LNS and MMN $(n = 354)$ | |
|---|---|----------------------|----------------------|-----------------------|----------------|--|-------|--------------------------------|------------|---------------------------------------|------|
| | | | | | | Difference or RF | R P | Difference or RR | P | Difference or RR | Р |
| ZPP, μmol/mol heme ⁵ | | | | | | | | | | | |
| Baseline anemia | | | | 0.099 | | | | | | | |
| No [†] | 44 ± 2 [233] | 47 ± 2 [245] | 48 ± 2 [226] | | 0.09 | 3 (-2, 9) | 0.34 | 5 (-0, 11) | 0.08 | 2 (-4, 8) | 0.71 |
| Yes | $\begin{array}{c} 36\pm 6\\ [26] \end{array}$ | 54 ± 5 [41] | 53 ± 5 [33] | | 0.013 | 18 (3, 34) | 0.017 | 18 (1, 34) | 0.032 | -1 (-16, 14) | 0.99 |
| Elevated baseline ZPP | | | | 0.013 | | | | | | | |
| No | 42 ± 2 [230] | 46 ± 2 [242] | 46 ± 2 [226] | | 0.19 | 3 (-2, 9) | 0.34 | 4 (-2, 10) | 0.20 | 1 (-5, 7) | 0.94 |
| Yes | 47 ± 5 [29] | 64 ± 5 [44] | 72 ± 5 [33] | | 0.001 | 18 (3, 32) | 0.015 | 25 (9, 41) | 0.001 | 8 (-7, 22) | 0.43 |
| Elevated baseline TfR | | | | 0.090 | | | | | | | |
| No | 43 ± 2 [239] | 47 ± 2 [261] | 49 ± 2 [233] | | 0.06 | 4 (-2, 9) | 0.28 | 6 (0, 12) | 0.048 | 2 (-4, 8) | 0.65 |
| Yes | 41 ± 6.5 [20] | 63 ± 6 [25] | 59±6 [26] | | 0.017 | 22 (3, 41) | 0.017 | 18 (-1, 36) | 0.07 | -4 (-22, 14) | 0.84 |
| GA at enrolment | | | | 0.041 | | | | | | | |
| At 10 th percentile [‡] | 46 ± 3 | 51 ± 3 | 44 ± 3 | | 0.28 | 5 (-5, 15) | 0.44 | -1 (-11, 9) | 0.97 | -6 (-16, 4) | 0.29 |
| At 90 th percentile | 40 ± 3 | 48 ± 3 | 54 ± 3 | | 0.002 | 7 (-1, 16) | 0.12 | 13 (5, 22) | 0.001 | 6 (-3, 15) | 0.25 |
| Assets score | | | | 0.061 | | | | | | | |
| At 10 th percentile | 40 ± 3 | 46 ± 3 | 54 ± 3 | | 0.003 | 5 (-4, 15) | 0.38 | 14 (4, 24) | 0.002 | 8 (-1, 18) | 0.11 |
| At 90 th percentile | 46 ± 3 | 50 ± 3 | 46 ± 3 | | 0.37 | 5 (-4, 13) | 0.44 | -0 (-9, 9) | 1.00 | -5 (-14, 4) | 0.46 |
| Elevated ZPP ⁶ | | | | | | | | | | | |
| GA at enrolment | | | | 0.057 | | | | | | | |
| At 10 th percentile [§] | 11.1 (5.7, 20.5) | 23.2 (15.3, 33.6) | 11.6 (6.4, 20.0) | | 0.06 | 3.0 (0.9, 4.6) | 0.12 | 1.0 (0.4, 2.5) | 1.00 | 0.5 (0.2, 1.1) | 0.11 |
| At 90 th percentile | 5.8 (2.9, 11.4) | 14.9 (9.6, 22.3) | 20.7 (14.1, 29.2) | | 0.004 | 2.4 (1.0, 5.7) | 0.049 | 3.4 (1.5, 7.7) | 0.003 | 1.4 (0.8, 2.5) | 0.46 |

| Outcomes | IFA^2 MMN ² LNS ² | | P ³ | P ⁴ | Comparison of MMN and IFA (n = 349) | | Comparison of I IFA $(n = 35)$ | NS and 54) | Comparison of LNS and MMN $(n = 354)$ | | |
|--------------------------------|---|---------------|-----------------------|-----------------------|--|------------------|--------------------------------|------------------|---------------------------------------|-------------------|-------|
| | | | | | | Difference or RR | P | Difference or RR | R P | Difference or RR | Р |
| Flevated baseline TfR | | | | 0.049 | | | | | | | |
| No [¶] | 17/234 | 44/256 | 32/229 | 0.047 | 0.008 | 2.2 (1.2, 4.0) | 0.006 | 1.8 (1.0, 3.4) | 0.08 | 0.8(0.5, 1.3) | 0.61 |
| | (7.4) | (17.4) | (14.0) | | | (,) | | | | | |
| Yes | 1/20 | 3/25 | 8/25 | | 0.014 | 1.3 (0.4, 4.6) | 0.41 | 2.7 (0.8, 9.4) | 0.016 | 2.1 (0.7, 6.1) | 0.14 |
| | (3.0) | (10.0) | (31.3) | | | | | | | | |
| TfR mg/L ⁷ | | | | | | | | | | | |
| Baseline anemia | | | | 0.079 | | | | | | | |
| No | 4.1 ± 0.1 | 4.5 ± 0.1 | 4.8 ± 0.1 | | < 0.001 | 0.4 (0.1, 0.8) | 0.004 | 0.7 (0.4, 1.0) | < 0.001 | 0.3 (-0.0, 0.6) | 0.11 |
| | [232] | [245] | [226] | | | | | | | | |
| Yes | 3.5 ± 0.3 | 4.8 ± 0.3 | 5.0 ± 0.3 | | < 0.001 | 1.3 (0.4, 2.1) | 0.002 | 1.5 (0.6, 2.4) | < 0.001 | 0.2 (-0.6, 1.1) | 0.76 |
| | [26] | [41] | [33] | | | | | | | | |
| Elevated baseline AGP | | | | 0.051 | | | | | | | |
| No | 4.1 ± 0.1 | 4.6 ± 0.1 | 4.9 ± 0.1 | | < 0.001 | 0.6 (0.3, 0.9) | < 0.001 | 0.8 (0.5, 1.2) | < 0.001 | 0.3 (-0.02, 0.6) | 0.07 |
| | [238] | [272] | [250] | | | | | | | | |
| Yes | 4.0 ± 0.4 | 4.0 ± 0.4 | 3.3 ± 0.5 | | 0.503 | -0.0 (-1.2, 1.2) | 1.00 | -0.7 (-2.1, 0.8) | 0.51 | -0.66 (-2.2, 0.8) | 0.56 |
| | [20] | [14] | [9] | | | | | | | | |
| GA at enrolment | | | | 0.016 | | | | | | | |
| At 10 th percentile | 4.4 ± 0.2 | 5.0 ± 0.2 | 4.8 ± 0.2 | | 0.023 | 0.9 (0.1, 1.1) | 0.019 | 0.4 (-0.1, 0.9) | 0.16 | -0.2 (-0.7, 0.3) | 0.64 |
| At 90 th percentile | 3.8 ± 0.1 | 4.3 ± 0.1 | 4.9 ± 0.1 | | < 0.001 | 0.5 (0.0, 0.9) | 0.041 | 1.1 (0.7, 1.6) | < 0.001 | 0.7 (0.2, 1.1) | 0.002 |
| Elevated TfR ⁸ | | | | | | | | | | | |
| HFIA score | | | | 0.047 | | | | | | | |
| At 10 th percentile | 5.3 | 15.7 | 15.3 | | 0.004 | 2.3 (1.1, 4.7) | 0.006 | 2.5 (1.2, 5.0) | 0.007 | 1.1 (0.6, 1.8) | 1.00 |
| - | (2.9, 9.7) | (10.8, 22.2) | (10.5, 21.7) | | | | | | | | |
| At 90 th percentile | 9.7 | 4.9 | 18.7 | | 0.06 | 0.7 (0.2, 2.0) | 0.50 | 1.9 (0.8, 4.6) | 0.27 | 2.8 (0.9, 8.7) | 0.06 |
| | (5.1, 17.5) | (1.7, 13.5) | (10.3, 31.5) | | | | | | | | |
| Elevated CRP ⁹ | | | | | | | | | | | |
| Elevated baseline ZPP | | | | 0.008 | | | | | | | |
| No | 41/225 | 72/238 | 47/221 | | 0.013 | 1.6 (1.1, 2.4) | 0.014 | 1.2 (0.8, 1.8) | 0.71 | 0.7 (0.5, 1.1) | 0.11 |
| | (18.3) | (30.1) | (21.4) | | | | | | | | |
| Yes | 9/29 | 6/43 | 11/33 | | 0.09 | 0.5 (0.2, 1.1) | 0.17 | 1.1 (0.5, 2.1) | 0.99 | 2.1 (0.9, 4.9) | 0.10 |
| | (30.1) | (13.5) | (32.0) | | | | | | | | |

| Outcomes | IFA ² | MMN^2 | LNS ² | P^3 | \mathbf{P}^4 | Comparison of MMN | | Comparison of LNS and | | Comparison of LNS and | |
|--------------------------------|------------------|-------------|------------------|-------|----------------|-------------------|------|-----------------------|------|-----------------------|------|
| | | | | | | and IFA $(n = 3)$ | 349) | IFA $(n = 354)$ | | MMN (n = 354) | |
| | | | | | | Difference or RR | Р | Difference or RR | Р | Difference or RR | Р |
| | | | | | | | | | | | |
| Elevated AGP ¹⁰ | | | | | | | | | | | |
| Assets score | | | | 0.091 | | | | | | | |
| At 10 th percentile | 1.0 | 1.0 | 2.8 | | 0.39 | 1.0 (0.1, 9.8) | 1.00 | 2.8 (0.6, 14.1) | 0.51 | 2.8 (0.3, 28.0) | 0.48 |
| | (0.2, 4.8) | (0.2, 4.5) | (0.9, 8.4) | | | | | | | | |
| At 90 th percentile | 4.3 | 4.4 | 0.7 | | 0.14 | 1.0 (0.2, 4.5) | 1.00 | 0.16 (0.0, 1.3) | 0.16 | 0.2 (0.0, 1.4) | 0.14 |
| - | (1.5, 11.3) | (1.7, 10.8) | (0.1, 3.9) | | | | | | | | |

¹IFA= Iron-Folic Acid group; MMN=Multiple Micronutrients group; LNS=Small Quantity Lipid-based Nutrient Supplement group. GA, Hb, HFIA, TfR, and ZPP are gestation age, hemoglobin, household food insecurity access, transferrin receptor, and Zinc protoporphyrin, respectively. Baseline anemia is Hb <100 g/L (Nestel and INACG Steering Committee, 2002, WHO, 2007, WHO/UNICEF/UNU, 2001). Analyses are based on ANCOVA (SAS PROC MIXED, with SLICE option) for continuous outcomes or logistic regression (SAS PROC GLIMMIX, with SLICE option) for binary outcomes. Linear regression modeling was used to predict the outcome at the 10th and 90th percentile of continuous baseline effect modifiers.

²Values are mean \pm SE [total number of participants], or mean \pm SE, or percent of participants positive on the variable in question (95% CI) or number of

participants positive on the variable in question/total number of participants (percent of participants positive on the variable in question). Values are adjusted for variables significantly associated with the outcome variable in bivariate analysis.

³P-values are for interaction with the outcome in question

⁴P-values compare all three groups in each stratum.

⁵ZPP values are adjusted for age, parity, season at enrolment, and baseline Hb, ZPP, and TfR concentrations.

⁶Elevated ZPP (ZPP >60 µmol/mol heme) adjusted for age, education, and baseline Hb, ZPP and TfR concentrations.

⁷TfR values are adjusted for age, season at enrolment, and baseline Hb, ZPP, TfR and AGP concentrations.

⁸Elevated TfR (TfR > 6.0 mg/L) adjusted for age, gestational age enrolment, season at enrolment, and baseline Hb, ZPP, and TfR concentrations.

⁹Elevated CRP (CRP > 5.0 mg/L) adjusted for BMI, household food insecurity access score, and baseline ZPP, AGP and CRP concentrations.

 10 Elevated AGP (AGP > 1.0 g/L) adjusted for age, parity, and season as enrolment.

[†]Values are group mean ± SE [number of participants], and difference in means (95% CI) and p-values. All such values.

[‡]Values are group mean ± SE, and difference in means (95% CI) and p-values. All such values.

[§]Values are group % (95% CI), and relative risk (95% CI) and p-values. All such values.

[¶]Values are number of participant (%), and relative risk (95% CI) and p-values. All such values.