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Combining a deconvolution and a universal library search algorithm for the non-target analysis of data independent LC-HRMS spectra

Saer Samanipour,^{*,†} Malcolm J. Reid,[†] Kine Bæk,[†] and Kevin V. Thomas^{†,‡}

[†]*Norwegian Institute for Water Research (NIVA), 0349 Oslo, Norway*

[‡]*Queensland Alliance for Environmental Health Science (QAEHS), University of Queensland, 39 Kessels Road, Coopers Plains QLD 4108, Australia*

E-mail: saer.samanipour@niva.no

Abstract

1
2 Non-target analysis is considered one of the most comprehensive tools for identifica-
3 tion of unknown compounds in a complex sample analyzed via liquid chromatography
4 coupled to high resolution mass spectrometry (LC-HRMS). Due to the complexity of
5 the data generated via LC-HRMS, the data dependent acquisition mode, which pro-
6 duces the MS² spectra of a limited number of the precursor ions, has been one of the
7 most common approaches used during non-target screening. On the other hand, data
8 independent acquisition mode produces highly complex spectra that require proper
9 deconvolution and library search algorithms. We have developed a deconvolution algo-
10 rithm and a universal library search algorithm (ULSA) for the analysis of complex spec-
11 tra generated via data independent acquisition. These algorithms were validated and
12 tested using both semi-synthetic and real environmental data. Six thousand randomly
13 selected spectra from MassBank were introduced across the total ion chromatograms
14 of 15 sludge extracts at three levels of background complexity for the validation of

15 the algorithms via semi-synthetic data. The deconvolution algorithm successfully ex-
16 tracted more than 60% of the added ions in the analytical signal for 95% of processed
17 spectra (i.e. 3 complexity levels \times 6,000 spectra). The ULSA ranked the correct
18 spectra among the top three for more than 95% of cases. We further tested the al-
19 gorithms with five wastewater effluent extracts for 59 artificial unknown analytes (i.e.
20 their presence or absence was confirmed via target analysis). These algorithms did not
21 produce any cases of false identifications while correctly identifying \sim 70% of the total
22 inquiries. The implications, capabilities, and the limitations of both algorithms are
23 further discussed.

24 INTRODUCTION

25 Little is known about the vast majority of the manmade substances released into the environ-
26 ment.¹⁻⁴ There are about 8,400,000 compounds commercially available globally.^{1,2} Of these,
27 the REACH Regulation has identified around 100,000 chemicals with an annual volume of
28 production greater than one ton.⁵ These chemicals may go through chemical transforma-
29 tion processes during their release into the environment, which drastically increases their
30 number.^{3,4} For example, a pharmaceutical such as carbamazepine potentially can produce
31 five different metabolites once consumed by a human being (Human Metabolome Database
32 HMDB⁶). Overall, less than 5% of these 100,000 chemicals (excluding transformation prod-
33 ucts) have been measured in the environment and less than 1% of them are included in
34 monitoring programs and/or are regulated.⁷ Environmental monitoring programs designed
35 to measure these chemical footprints are primarily focused on a (relatively) small number of
36 “known” chemicals. This is defined as “targeted analysis” or “analysis of suspects”.⁸ How-
37 ever, considering the number of chemicals released into the environment, the cost of standards
38 and analysis, the target and suspect analysis approaches are not adequate for comprehensive
39 monitoring of the environment. Furthermore, the application of non-target analysis using
40 liquid chromatography coupled to high resolution mass spectrometry (LC-HRMS) has shown

41 great potential in the comprehensive chemical characterization of complex samples.⁸⁻¹²

42

43 The data dependent acquisition (DDA) mode is one of the most commonly employed
44 analysis methods during non-target screening of complex samples employing LC-HRMS.⁸⁻¹⁴
45 In the DDA mode a selection of the detected precursor ions from the full scan MS¹ is frag-
46 mented using a high collision energy (i.e. MS² spectra). The main shortcoming of this
47 method is the fact that the MS² spectra is only available for a limited number of precu-
48 sor ions. Another less common approach used during the non-target analysis is the data
49 independent acquisition (DIA) mode where all the precursor/parent ions generated at low
50 collision energy are fragmented in the next cycle using a higher collision energy.¹⁵ How-
51 ever, the DIA approach generates spectra, which are complex and difficult to process and
52 moreover these spectra require adequate deconvolution algorithms¹⁵⁻¹⁷ in order to be used
53 during non-target screening. Most of the available deconvolution algorithms rely on peak
54 picking in MS¹ domain^{18,19} and are not adequate for handling MS² spectra generated during
55 the DIA analysis.¹⁵ Currently, to our knowledge, there are only two open access software for
56 data processing of complex MS² spectra generated via DIA.^{17,20} The first one, MS-DIAL,
57 developed by Tsugawa et. al. performs peak picking in the MS² domain using the second
58 derivative approach.¹⁷ This method has been shown to have difficulties when processing
59 highly complex samples with irregular peak shapes and peak widths.¹⁸ The second software
60 package, MetDIA by Li et. al., takes a metabolite focus approach.²⁰ In other words, the
61 algorithm searches the whole chromatogram for all the MS² spectra present in the library.
62 This approach avoids the peak picking difficulties in the MS² domain. However, it becomes
63 extremely time consuming when dealing with a large spectral database, such as MassBank.²¹
64 Therefore, development of a fast, efficient, and reliable algorithm for deconvolution of MS²
65 spectra, which does not rely on peak picking is warranted.

66

67 Once the clean MS² spectrum of a precursor ion is generated, this spectrum is used to

68 provide a tentative identification for that ion.²²⁻²⁴ The application of public and/or local
69 spectral libraries is one of the most common approaches used during non-target screening
70 for the chemical identification.²⁴⁻²⁹ However, difficulties persist due to the high level of in-
71 strument dependency of the MS² spectra, the limited number of publicly available spectra
72 and the currently available library search algorithms.^{24,25,30} Most of the library search algo-
73 rithms in use are based on the highly reproducible electron ionization (EI) sources and/or a
74 single match factor.^{24,25,30,31} These algorithms have been shown to be inadequate in perform-
75 ing reliable library search using the spectra generated via the less reproducible electrospray
76 ionization source (ESI), hence the continuous development in this area.^{24,25,30,32,33}

77

78 Herein we report the development and validation of a deconvolution algorithm and a
79 universal library search algorithm (ULSA) for processing of the LC-HRMS data generated
80 via DIA. Both algorithms are comprehensively validated and tested using both semi-synthetic
81 data and real environmental data. In total 18,000 (i.e. $6,000 \times 3$) ESI+ randomly selected
82 high resolution spectra from MassBank were used for the validation of the combination
83 of these algorithms. Finally, this combination was used to identify 59 artificial unknown
84 analytes in five wastewater effluent extracts employing a local version of MassBank^{21,28} as
85 the spectral library. Throughout this manuscript an artificial analyte refers to an analyte,
86 which has its presence or absence in the sample confirmed via conventional target analysis.

87 **EXPERIMENTAL METHODS**

88 **Environmental Sampling and Sample Preparation**

89 Fifteen biosolid samples were collected from three different wastewater treatment plants
90 (five replicates for each treatment plant) in Norway during the spring of 2015. More details
91 regarding these samples and the extraction procedure used for these samples are available
92 elsewhere.³⁴ The chromatograms of these samples were used for the generation of the semi-

93 synthetic signal, section S4.

94

95 One liter of wastewater effluent sample was collected from Aarhus Denmark, Helsinki
96 Finland, Oslo Norway, and Stockholm Sweden in glass containers during September and
97 October of 2015. We created a fifth sample by combining 200 mL of the four effluent
98 samples, hereafter referred to as the mix sample. Two hundred and fifty mL of each sample
99 were extracted using 200 mg Oasis HLB (Waters Milford, MA, US) solid phase extraction
100 cartridges. After washing the cartridges with MilliQ water, the analytes were eluted with
101 three cartridge volumes consisting of 1% formic acid in methanol, methanol, and methanol
102 with 2% ammonium hydroxide. The final extracts of 500 μ L were reconstituted in methanol
103 following evaporation under a gentle flow of nitrogen. All extracts were stored at -20 °C until
104 analysis. The list of all the chemicals used and their suppliers is provided in the Supporting
105 Information, section S1.

106 **Instrumental Conditions and Analysis**

107 All the samples were separated on an Acquity UPLC (Waters Milford, MA, US) using an Ac-
108 quity BEH C18 column (100 \times 2.1 mm, 1.7 μ m) (Waters Milford, MA, US) with a methanol
109 and water (10 mM ammonium acetate) mobile phase. Gradient elution was from 2% to 99%
110 methanol over a 13 minute program. The UPLC system was connected to a high resolution
111 mass spectrometer Xevo G2S QToF (Waters Milford, MA, US) operated in positive ESI
112 mode.

113

114 The mass spectrometer was operated in full-scan between 50 Da and 850 Da with a
115 sampling frequency of 2.7 Hz. The MS¹ spectra were acquired with a collision energy of 6 eV
116 whereas the MS² spectra (MS^E experiments) were generated using a ramping collision energy
117 between 15 eV and 45 eV. All of the chromatograms were acquired in the DIA mode with
118 a nominal resolving power of 35,000. In other words we did not perform any ion selection

119 during the MS² spectra generation.

120 Identification Criteria

121 We analyzed the five wastewater effluent extracts for 59 target analytes employing the UNIFI
122 software (Waters Milford, MA, US). The following identification criteria were employed for
123 the target analysis: presence of the accurate mass of parent ion, presence of at least two
124 fragments; good isotopic fit defined as ≤ 5 ppm for the m/z match and $\leq 10\%$ root mean
125 square error of the relative intensity; mass error smaller than 2 mDa for both the parent ion
126 and the fragments; and finally a retention time match with the error smaller than 0.1 min.
127 These criteria showed to be effective in the confident identification (i.e. level one⁸) of target
128 analytes in complex environmental samples.³⁵

129

130 The identification of the artificial unknown analytes (i.e. their presence or absence was
131 confirmed via target analysis) was performed in the five wastewater effluent extracts using
132 the combination of the deconvolution algorithm and ULSA. For a precursor ion to be iden-
133 tified, a positive match of the accurate mass of the precursor ion, positive match of at least
134 three fragments, and a final score value of ≥ 3.5 was necessary. More details regarding the
135 score calculations are provided in section S3 of the Supporting Information. These criteria
136 enabled us to identify the evaluated precursor ions with the highest level of confidence (i.e.
137 level 2a⁸). During our identification, we employed a local version of MassBank^{21,28} as the
138 spectral library.

139

140 The 59 artificial analytes consisted of 42 analytes with HRMS spectra available in Mass-
141 Bank whereas the remaining 17 did not have an HRMS spectrum available in MassBank,
142 Table S1. This design of experiment enabled us to verify the tendency of the ULSA in pro-
143 ducing false positive identifications for the cases without an HRMS spectrum in the library.

144 **Data Processing**

145 Both the sludge and wastewater effluent samples were acquired in profile mode using Mass-
146 Lynx (Waters Milford, MA, US). These chromatograms were converted to open format,
147 netCDF, employing the DataBridge package included in the MassLynx software. These
148 chromatograms were then imported into Matlab³⁶ for data processing. The raw data inde-
149 pendently from its source went through the deconvolution algorithm first in order to produce
150 a centroided MS² spectra and then those spectra were tentatively identified via USLA, Fig-
151 ure 1. The scripts for both deconvolution algorithm and the USLA are openly available
152 upon request. The chromatograms of the sludge extracts were used for the generation of
153 semi-synthetic data while the chromatograms of wastewater effluent samples were used for
154 the final test of the full workflow of deconvolution and identification via USLA.

155 **Deconvolution Algorithm**

156 The developed deconvolution algorithm extracts the pure MS² spectra of an MS¹ precur-
157 sor ion from the spectra generated in the high energy channel without performing peak
158 picking in the MS² spectra, as explained in detail below and in Figure S1. Throughout this
159 manuscript, we will refer to this feature dependent spectra as pseudo MS² spectra. The main
160 inputs to this algorithm are the raw data in an open MS format, the mass-retention time
161 pairs, the evaluation window, the maximum expected peak width in the time domain, the
162 maximum expected peak width in mass domain, mass tolerance, retention time tolerance,
163 minimum ion intensity, and finally the threshold for the correlation coefficient. The raw data
164 goes through the following steps in order for the algorithm to extract the pure pseudo MS²
165 spectra: mass calibration, binning, ion chromatogram extraction (XIC), retention matching,
166 XIC correlation, and centroiding the pure pseudo MS² spectra. During the mass calibration
167 the observed mass error of the calibrant, continuously infused into the source during the
168 analysis, was used to calculate the necessary mass shift in each scan. After the calibration
169 the mass error observed across the full scan in our dataset was $\leq \pm 5$ mDa. The mass

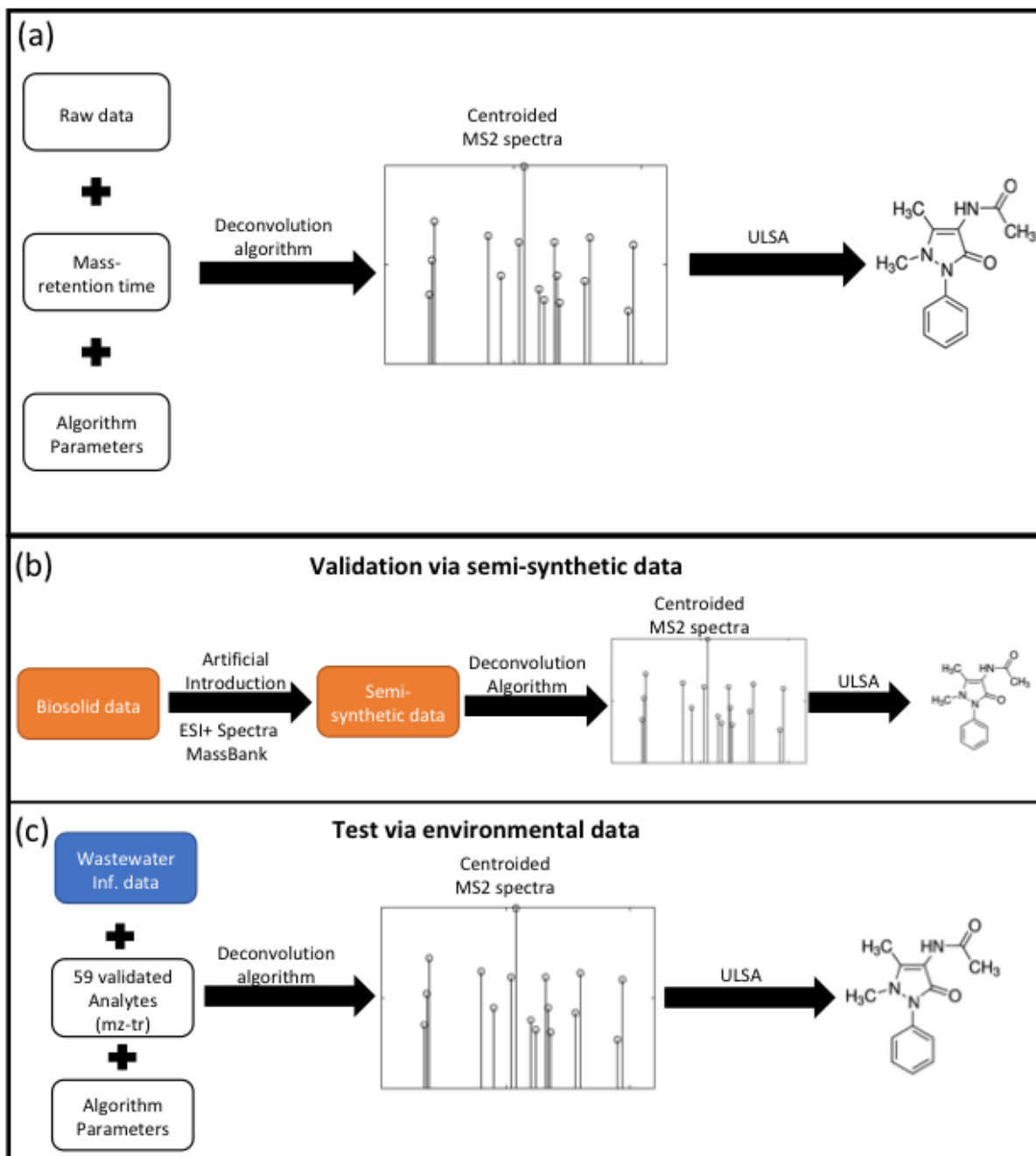


Figure 1: Showing the workflow of (a) the combination of deconvolution algorithm and ULSA, (b) the validation via semi-synthetic data, and (c) the final test using real environmental data. All three workflows depict the overall process from raw data to the final chemical identification.

170 calibrated data then went through the binning process, which employed a bin thickness of
171 10 mDa (i.e. ± 5 mDa), considering the observed mass accuracy in our dataset. An area
172 of the binned chromatogram (i.e. for both MS¹ and MS² domains) around the retention
173 time of the precursor ion with a width of two times the evaluation window plus one scan
174 is isolated. In the next step the XIC of the precursor ion is extracted (or XIC¹), using the
175 mass-retention time pair provided by the user. It should be noted that the mass-retention
176 time pairs may come from different sources, for example conventional peak picking in the
177 MS¹ domain, statistical variable selection,³⁴ and/or a suspect list, which enables the analysts
178 to use this algorithm as a complementary tool to their own workflows. The Apex detection
179 algorithm (explained in detail elsewhere³⁴), at this point, is used to find the apex and the
180 baseline of the peak for the precursor ion in the XIC¹. This process is repeated for each MS²
181 ion with an intensity larger than the user defined minimum intensity, thus resulting in XIC²
182 (i.e. XIC of the fragment ions in the MS² domain). At this stage, the algorithm uses two
183 complementary criteria for inclusion of ions present in the MS². The first criterion is that
184 the retention time of the apex for XIC²s must match the retention time of XIC¹. Once the
185 retention time criterion is met, then the profile of XIC¹ is correlated to each XIC². If the
186 correlation coefficient for these two XICs is larger than a user defined threshold (i.e. in this
187 study 0.9), then that XIC² is considered to be a true fragment of the initial precursor ion.
188 Finally, during the last stage, the algorithm converts the previously generated pseudo MS²
189 spectra (i.e. keeping only the MS² ions, which met the selection criteria) to a centroided
190 spectra for storage and/or library search.

191

192 For both the semi-synthetic data and the wastewater effluent sample data, we used a bin
193 thickness of 10 mDa, an evaluation window of 15 scans (i.e. 5.6 s), a maximum expected
194 peak width of 30 scans (i.e. 11 s), mass tolerance of 10 mDa, retention tolerance of ± 1.2
195 s, minimum ion intensity of 800 counts, and a correlation coefficient threshold of 0.9. These
196 parameters, which are dataset dependent, were optimized for our dataset and produced the

197 best results for the evaluated dataset in this study. The mass-retention time pairs used for
198 the 59 artificial analytes in wastewater effluent samples were implemented as suspect list.

199 **Universal Library Search Algorithm (ULSA)**

200 The pure pseudo MS² spectra via the developed deconvolution algorithm are annotated em-
201 ploying a universal library search algorithm (ULSA) for LC-HRMS. The ULSA produces
202 a list of potential candidates with a final score associated to each candidate defining the
203 similarity of that candidate to the user spectra (i.e. pure pseudo MS²) through three main
204 steps. In the first step, the ULSA takes advantage of the measured accurate mass of the
205 precursor ion, a user defined error window (e.g. 50 mDa for our analysis) for the measured
206 mass, and the list of possible adducts and isotopes to isolate the library entries (e.g. Mass-
207 Bank) that may be potential candidates. This wide mass error window was used to further
208 test the ULSA capability for identifying the precursor ions. This algorithm, differently from
209 the other available approaches, does not make any assumptions about the nature of precur-
210 sor ion. In other words, for a certain measured precursor ion of A, the algorithm does not
211 assume an [M+H]⁺ structure. The algorithm first calculates the measured accurate mass of
212 the potential neutral precursor ions from A, by removing the exact masses of all potential
213 adducts and isotopes from the mass of that precursor ion (in the positive case). Then those
214 accurate neutral masses are used for isolating the potential library entries relevant to that
215 precursor ion. For example, if due to issues during the feature creation (i.e. grouping the
216 precursor ion with the adducts and isotopes), the mass of 326.1363, which is the [M+Na]⁺
217 structure for cocaine is considered as a potential precursor, this algorithm, differently from
218 the others, does not assume the [M+H]⁺ structure, which would cause a miss-identification
219 of that precursor ion. This approach enables the identification of the measured precursor
220 ions which are only present as an adduct or isotope with a structure different from [M+H]⁺
221 and/or cases where there is a larger mass error than the expected values for the precursor ion.
222 By increasing the mass error window, the number of potential candidates to be evaluated

223 increases exponentially. It should be noted that the isolation step proved to be essential in
224 order to process a large spectral library in a timely manner. During the second step, the
225 ULSA calculates the score values for seven complementary parameters: the number of the
226 matched fragments in the user spectra, the number of fragments matched in the library spec-
227 tra, mass error of the precursor ion, the average mass error of the matched fragments in the
228 user spectra, the standard deviation of the mass error for the matched fragments in the user
229 spectra, and finally the direct and reverse similarity values calculated via Dot-product.^{35,37}
230 More detailed information regarding the score calculations for each parameter is provided
231 in section S3, Supporting Information. It should be noted that fragment related parameters
232 were scored taking into account the total number of fragments in the deconvoluted spectra
233 and/or the reference spectra rather than only the matched fragments. This approach reduced
234 the likelihood of generating large final scores based on only one or two matched fragments,
235 section S3. A weighting function is applied to these seven scores and the results are summed
236 up to create the final score for each potential candidate during the third step. The weighting
237 function is a vector of seven elements, where each element can vary between zero and one,
238 defining the weight of each of the seven parameters in the final score. In other words, if the
239 weighting function is set to one for all seven parameters, a perfect match would result in a
240 final score of seven while for an orthogonal candidate (i.e. a candidate with no similarity to
241 the user spectra) the final score would be zero. Finally, the candidates are sorted based on
242 their final scores with the most similar potential candidate to the user spectra on top of the
243 list.

244

245 During our analysis we employed a 0.5 weight value for the parameters the number of the
246 matched fragments in the user spectra and the number of fragments matched in the library
247 spectra while using a weight value of 1 for other five parameters. This implied that the
248 final score for these analysis can vary between 0 for orthogonal spectra and 6 for maximum
249 similarity (i.e. a perfect match).

250

251 It should be noted that the deconvolution algorithm and ULSA are completely indepen-
252 dent from each other and can be operated individually without relying on the other algo-
253 rithm. In other words, the deconvoluted spectra can be identified using any other library
254 search algorithm and vice versa.

255 **Computations**

256 All the calculations and data analysis were performed employing Matlab R2015b³⁶ with a
257 Windows 7 Professional version (Microsoft Inc., USA) workstation computer with 12 CPUs
258 and 128 GB of memory.

259 **RESULTS AND DISCUSSION**

260 The deconvolution algorithm and the ULSA were validated and tested employing semi-
261 synthetic data as well as real environmental data. We utilized 6,000 randomly selected
262 LC-HRMS spectra in positive mode from MassBank for the validation of both deconvo-
263 lution and library search algorithms at three different levels of background complexity or
264 noise. Finally, five samples of wastewater effluents were analyzed for 59 analytes via both
265 developed algorithms and the conventional target analysis. This final test demonstrated the
266 applicability of the developed algorithms for the feature identification during the suspect
267 and non-target analysis of complex environmental samples.

268 **Validation and test of the deconvolution algorithm**

269 We artificially introduced the signal of 6,000 randomly ESI+ selected LC-HRMS spectra
270 from MassBank, here referred to as the analytical signal, into three different complexity
271 level background signal or noise coming from real environmental samples (i.e. 15 sludge
272 samples). The analytical signal was converted to profile data having m/z peak width of

273 30 mDa whereas the peak width in the retention dimension was 5 scans (i.e. around 2 S).
274 This continuum analytical signal was added at a random location in a predefined area of
275 the sludge chromatograms at an intensity equivalent of 10% of the highest intensity ion in
276 the background signal. The relative ratios of the ion intensities in the analytical signal were
277 kept as the MassBank entry. This experimental design enabled us to identify the fragments
278 correctly extracted (i.e. true positive ions (TPI)), the fragments which were missed (i.e.
279 false negative ions (FNI)), and the fragments that were wrongly extracted (i.e. false posi-
280 tive ions (FPI)) for the total of 18,000 cases. The detailed procedure for generation of the
281 semi-synthetic dataset is provided in the Supporting Information, section S4.

282

283 The deconvolution algorithm was able to successfully extract 100% of introduced ions
284 for $\geq 60\%$ of the processed spectra at both low and medium noise levels whereas for the
285 high noise levels this was limited to $\simeq 35\%$ of the processed spectra, Figure 2. For all three
286 noise levels this algorithm produced less than 0.01% of FPIs. The small number of cases of
287 the FPIs were caused by the complexity of the background signal, Figure S2. Minimizing
288 the number of FPIs is essential in order to lower the likelihood of the false identification of
289 a feature. At low and medium background complexity levels the deconvolution algorithm
290 performed in a similar way producing a small number FNIs when compared to the high
291 background complexity. For the cases of FNIs, more than 92% of the cases were caused by
292 the fact that added signal of these fragments were smaller than the predefined minimum
293 threshold of intensity (i.e. 800 counts), Figures S3 and S2. The remaining 8% of FNIs were
294 caused by the complexity of the background signal which was translated into an irregular
295 peak shape for the XICs, Figure S4. Thus, the XIC of these fragments once correlated
296 to the XIC of the precursor ion resulted in a correlation coefficient smaller than the set
297 threshold (i.e. 0.9) and therefore they were excluded from the list of potential fragments
298 of that precursor ion. The developed deconvolution algorithm was shown to be capable of
299 successfully extracting the correct fragments of a precursor ion even with the highest level of

300 background signal complexity. For all three levels of background complexity, the algorithm
301 produced a negligible number of FPIs even though the artificially introduced analytical
302 signal was at an environmentally relevant concentration level in the samples. Furthermore,
303 our results demonstrated the capabilities of the developed deconvolution algorithm to be
304 applied to DIA for non-target and suspect analysis of complex environmental samples.

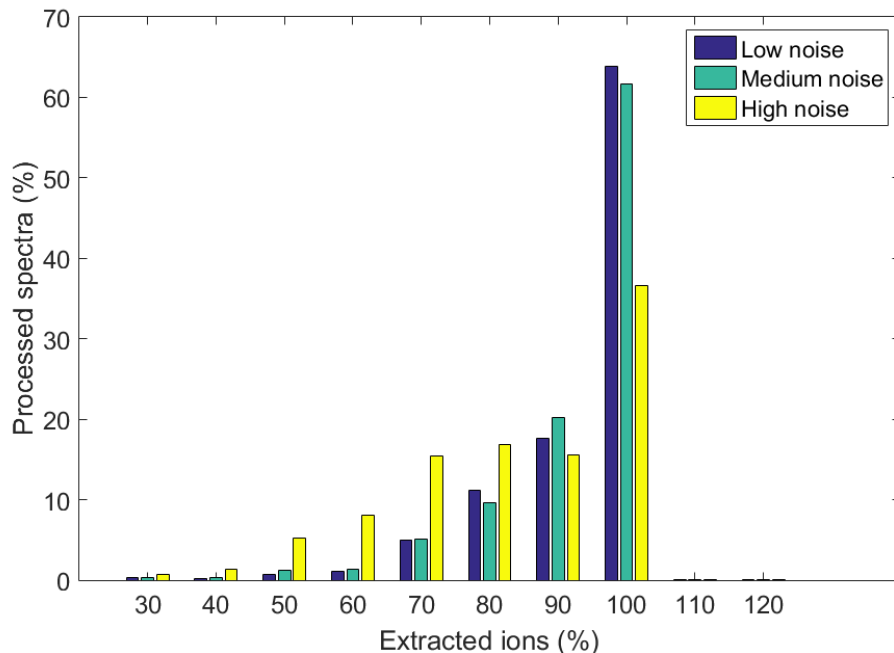


Figure 2: Depicting the percentage of extracted spectra vs the percentage of total number of processed spectra (i.e. 6000×3 spectra).

305 **The validation of ULSA**

306 All of the $3 \times 6,000$ extracted spectra generated by the deconvolution algorithm were pro-
307 cessed using ULSA and a local version of MassBank. The ULSA produced a list of potential
308 candidates ranking them from the the most similar (i.e. the highest final score) to the least
309 similar one. During the identification process, each individual library entry was considered
310 as an entirely different compound. This implied that there was only one true match for each
311 spectrum, even if there were multiple spectra for that compound (e.g. morphine with 18

312 entries in MassBank). For example, if the third entry for morphine was originally added
313 to the background signal, we only accepted that specific entry as a correct identification
314 for that library inquiry even though all the other listed potential candidates belonged to
315 morphine. This approach enabled us to truly evaluate the capabilities and limitations of
316 ULSA in distinguishing similar spectra (i.e. spectra for the same compound recorded under
317 different condition) from each other.

318

319 The ULSA successfully ranked the correct spectra among the top three hits for more
320 than 95% of the identified spectra, Figure 3. We observed similar results for all three levels
321 of background complexity, even though at higher levels of complexity a smaller number of
322 fragments were extracted, Figure 2. The variation in the background signal complexity did
323 not appear to effect the ULSA in a statistically meaningful way. Therefore we observed
324 similar results for all three levels of background complexity. There were in total 23 cases out
325 of 18,000 where the correct spectra was ranked higher than fifth in the final hit list of the
326 ULSA. These cases were all caused by the presence of multiple entries which were extremely
327 similar to each other. Therefore, the ULSA had some difficulties in distinguishing one from
328 the other. In fact for all the mentioned cases, the relative standard deviation in the final
329 scores is $< 5\%$, which further indicates the similarity of those spectra. When looking at the
330 distribution of the final score, for 95% of cases we observed a final score varying between 5.25
331 and 6 for all three levels of background complexity. The complexity level in the background
332 signal resulted in an increase in the number of identified cases with smaller final scores when
333 compared to the low and medium levels of complexity in the background signal. However,
334 our results indicated that the ULSA is able to correctly annotate a spectrum even at high
335 levels of noise/background complexity.

336

337 The developed ULSA was shown to be successful in correctly annotating the LC-HRMS
338 spectra. This algorithm utilizes the combination of forward and reverse match factors cal-

339 culated by minimizing the effect of the absolute intensity of the fragments in the spectra
340 through the application of an optimized spectral weighting function; the number of matched
341 fragments; mass errors for both the precursor and fragment ions; and the standard deviation
342 of the fragment mass error to produce a reliable final score. This approach proved to be
343 crucial in distinguishing similar compounds from each other. For example, when identifying
344 1-methylbenzotriazole, the spectra of 2-aminobenzimidazole showed to have a higher forward
345 and reverse match factors compared to the correct library entry (i.e. 1-methylbenzotriazole).
346 However, the additional parameters used in ULSA differently from other library search algo-
347 rithms, increased the final score of the correct library entry. Additionally, the final hit lists
348 produced via ULSA showed that the spectra of the same compound measured under different
349 conditions (i.e. instrumentation and acquisition conditions) ranked higher than the spectra
350 of different compounds, which can be considered a step forward towards the cross-platform
351 compatibility for LC-HRMS data. However, a comparison of ULSA and other available al-
352 gorithms should be done in order to further assess the cross-platform compatibility.

353

354 We also evaluated the effect of each of those parameters on the final score in ULSA. Five
355 out of the seven parameters in the final score values produced an average score of ~ 0.6 (i.e.
356 from 0 to 1) whereas the two remaining resulted in an average score of ~ 0.95 (i.e. from 0
357 to 1) for 100 randomly selected spectra at all three levels of noise, Figure S5. This outcome
358 suggested that these two parameters (i.e. the number of the matched fragments in the user
359 spectra and the number of fragments matched in the library spectra) appeared to have a
360 higher contribution in the final scores compared to the other five parameters. Therefore, the
361 0.5 weight applied to these two parameters seemed appropriate when employing ULSA. In
362 other words, by applying this weight function all seven parameters showed to have a similar
363 effect on the final scores.

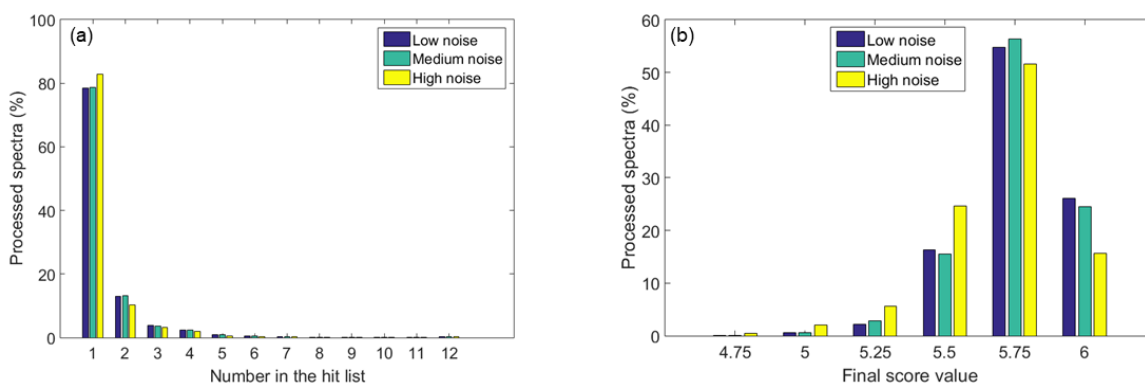


Figure 3: Depicting (a) the rank distribution of correctly identified spectra via ULSA and (b) the final score distribution for those identifications.

Application of the deconvolution algorithm and ULSA for analysis of wastewater effluent extracts

In addition to the validation of our algorithms using the semi-synthetic data we also tested the performance of both the deconvolution algorithm and the ULSA employing extracts of five wastewater effluents. We analyzed these five samples for 59 artificial unknown analytes (thus, 5 samples \times 59 analytes = 295 cases) where we confirmed their presence or absence in those samples via conventional target screening. These 295 detection cases consisted of: 234 true positives (TPs) including 152 cases of positive detection with at least one high resolution (HR) spectrum entry in the library and 82 cases of positive detections with no HR spectrum entry in the library; and 61 cases of true negatives (TNs). A TP was an analyte where its presence in a sample was confirmed via target analysis whereas a TN was an analyte which had its absence confirmed via target analysis. The TPs with an HR library entry were used for both false positive and false negative identifications. On the other hand, the TPs without an HR library spectrum were specifically used to evaluate the tendency of the ULSA in falsely identify a feature even though in theory it should not have produced that identification, thus a false positive. The TNs were also used for evaluation of false positive detections. In other words, if an identification was produced for a TN, that was

381 considered a false positive identification. This design of experiment covered all potential
382 situations when dealing with complex environmental samples, which were: 1) An analytical
383 signal with a related library entry (i.e. a TP with library entry); 2) An analytical signal,
384 which does not have any HRMS entries in the library (i.e. a TP without library entry); and
385 3) Noise, which has been wrongly considered as a meaningful analytical signal (i.e. an NP
386 with library entry). Therefore we were able comprehensively evaluate the capabilities and
387 limitations of both developed algorithms.

388

389 The combination of the deconvolution algorithm and ULSA did not produce any cases
390 of false positive identifications based on the artificial analytes. This implied that this com-
391 bination of the algorithms did not produce a false identification for any of TPs with and
392 without library entries and NPs. These algorithms, on the other hand produced 48 cases
393 of false negative detections out of 295 detection cases. These false negative detections were
394 caused by the low levels of these analytes in the analyzed samples and the complexity of the
395 samples, which was directly translated into irregular peak shapes for both the fragments and
396 precursor ions, Figure S6. Therefore, the deconvolution algorithm was not able to extract
397 the clean spectra for these analytes and therefore these analytes were not identified. The
398 number of fragments extracted for the successfully identified analytes varied between 3 for
399 cocaine to 14 for amitriptyline. The number of extracted fragments for these analytes in the
400 samples appeared to be lower than our evaluation with the semi-synthetic data. This was
401 mainly due to the ion suppression which was caused by the complexity of the samples. We
402 further evaluated this hypothesis by the manual inspection of the feature spectra and their
403 comparison to the MassBank entries. The smaller number of extracted fragments showed to
404 have a direct effect on the final score values. The final scores for the identified analytes in the
405 effluent samples varied between 3.5 to 4.8. This decrease in the final scores was caused by the
406 fact that the score for each fragment related parameter was adjusted for the total number of
407 fragments either in the user spectra or the library spectra. For example, for a user spectrum

408 with 10 fragments where only 2 out of 10 were matched a smaller final score was produced
409 when compared to another case with 2 out of 5 extracted fragments matched. Additionally,
410 the use of the seven complementary parameters enabled a balanced comparison between
411 different candidates. For a certain feature in the sample from Norway for example, two dif-
412 ferent library candidates were observed, cocaine and fenoterol. The deconvolution algorithm
413 extracted 3 fragments for that feature from the raw data. By only looking at the forward and
414 reverse match factors or any of the seven parameters individually, we would not have been
415 able to identify these features with a high level of confidence (i.e. level 2a). However, the
416 combination (i.e. the summation) of these seven complementary parameters caused a final
417 score difference of 2, which is large enough for excluding fenoterol as a potential chemical
418 identity for that feature. This approach enabled the ULSA to successfully identify 104 ana-
419 lytes out of 152 TPs with library entries even with such a low number of extracted fragments.

420

421 Overall, the combination of the deconvolution algorithm and ULSA was shown to be
422 effective in identifying/annotating the retention time m/z value pairs using a public library
423 such as MassBank. This approach also demonstrated the usefulness and applicability of
424 data independent acquisition mode as well as the public spectral libraries for non-target
425 and suspect analysis of complex environmental samples. Despite the fact that none of the
426 entries in the library used (i.e. MassBank) was produced by the instrumentation employed
427 in this study, the developed method successfully identified around $\sim 70\%$ of the total library
428 inquiries without producing any cases of false positive detections. The proposed approach
429 minimizes the spectral differences caused by different instrumentations and acquisition con-
430 ditions thus increasing the cross platform compatibility. Consequently, this approach adds to
431 the value of the public HRMS spectral libraries such as MassBank by increasing the applica-
432 bility of spectra produced via different instruments, thus cross platform compatibility. These
433 two algorithms can be included in any type of non-target and/or suspect screening workflows
434 for the comprehensive chemical characterization of complex environmental samples, which

435 will be subject of our future studies.

436 **Associated Content**

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442 **Supporting Information**

443 The Supporting Information including details regarding the semi-synthetic data generation
444 and score calculations is available free of charge on the ACS Publications website.

445 **Author Information**

446 Corresponding Author:

447 Saer Samanipour

448 E-mail: saer.samanipour@niva.no

449 Phone: +47 98 222 087

450 Address: Norwegian Institute for Water Research (NIVA)

451 0349 Oslo, Norway

452

453 Malcolm J. Reid

454 Email: malcolm.reid@niva.no

455 Address: Norwegian Institute for Water Research (NIVA)

456 0349 Oslo, Norway

457

458 Kine Bæk

459 Email: kine.baek@niva.no

460 Address: Norwegian Institute for Water Research (NIVA)

461 0349 Oslo, Norway

462

463 Kevin V. Thomas

464 Email: kevin.thomas@uq.edu.au

465 Address: Queensland Alliance for Environmental Health Science (QAEHS), University of

466 Queensland, 39 Kessels Road, Coopers Plains QLD 4108, Australia

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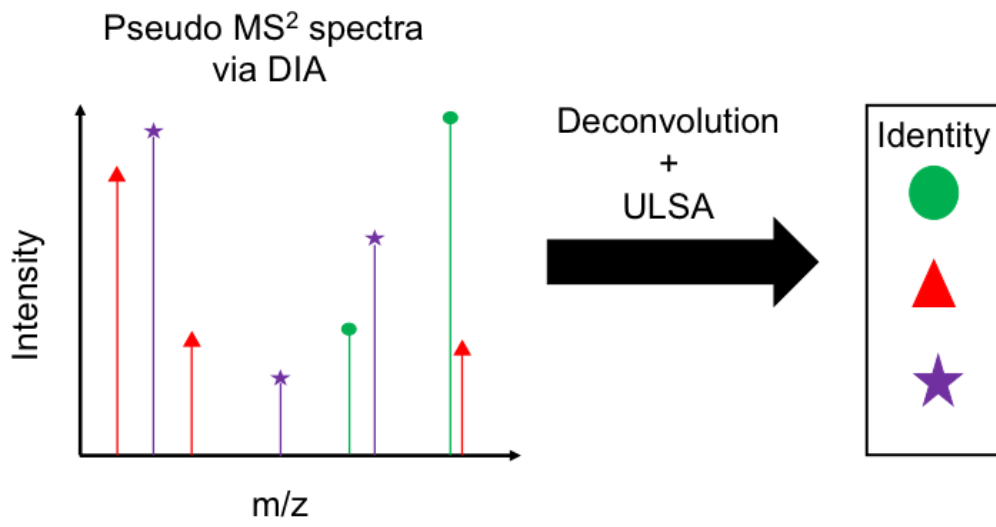
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