

1 **Associations between qualitative behaviour assessments and measures of leg health, fear and**  
2 **mortality in Norwegian broiler chicken flocks**

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17

18 **Abstract**

19 Qualitative behavioural assessments (QBA) is an animal-based welfare measure that has been included in  
20 several on-farm welfare assessment protocols, including the Welfare Quality® (WQ) protocol for poultry.  
21 However, there is a scarcity of information about how it relates to other animal-based welfare indicators.  
22 The aim of this study was therefore to investigate the associations between QBA and selected animal-  
23 based welfare indicators commonly used for the assessment of broiler chicken welfare, i.e. lameness, foot  
24 pad dermatitis (FPD), fear of humans (touch test), and mortality.

25 A total of 50 commercial broiler chicken farms were visited by one observer who conducted on-farm  
26 welfare assessments using the WQ protocol. Assessments were done close to the time of slaughter  
27 (between day 27 and 34). QBA was analysed using principal component analysis (PCA), revealing two  
28 main components, labelled *arousal* (PC1) and *mood* (PC2). The scores for the other welfare indicators  
29 were categorised into dichotomous (touch test) or ordinal scales (gait score, footpad dermatitis score and  
30 mortality) to deal with skewed distributions caused by homogenous data. To investigate the associations  
31 between QBA and the other welfare indicators, we ran logistic and ordinal logistic regression models with  
32 these welfare measures as outcomes, and the two components of QBA as the predictors.

33 Significant negative associations were found between both components of QBA and the chickens' fear of  
34 humans, as measured using the touch test. In other words, flocks with higher scores on both *mood* and  
35 *arousal* were less likely to have any chickens that were possible to touch by the assessor. A possible  
36 interpretation of these associations is that both QBA components may indicate greater liveliness in birds  
37 that did not accept to be touched by the observer. Flocks with a higher arousal score, as measured by the  
38 first component of QBA (PC1), were also less likely to be in a higher mortality category. For the other  
39 selected animal-based measures, there were no associations with QBA. We conclude that QBA needs  
40 thorough validation for the routine use in the assessment of broiler chicken welfare, but that the method  
41 may provide useful supplementary information in overall welfare assessments. This information may be  
42 particularly valuable in a production system, like the broiler industry, where management is highly  
43 standardised, sometimes resulting in little between-flock variation in other welfare measures.

44

45 **Keywords:** broiler chickens; fear; footpad dermatitis; lameness, mortality; qualitative behavioural  
46 assessments (QBA)

47

48

## 49 **1. Introduction**

50

51 There is a strong public concern for the welfare of chickens kept for meat production (European Food  
52 Safety Authority, 2012; Scientific Committee on Animal Health and Animal Welfare, 2000) and therefore  
53 a need for valid, reliable and feasible methods for assessing broiler welfare on farms. On-farm  
54 assessments supplements data routinely registered during the production phase and at the time of  
55 slaughter, like mortality rate and leg health indicators. The Welfare Quality® (WQ) project developed an  
56 on-farm welfare assessment protocol for poultry, which provides detailed systems for assessing the  
57 welfare of laying hens and broiler chickens (WelfareQuality®, 2009). Qualitative behavioural assessment  
58 (QBA) is one animal-based welfare indicator that has been included in several on-farm welfare  
59 assessment protocols, including the WQ protocols. QBA is a “whole-animal approach” used to assess  
60 welfare through the scrutiny of the animals’ body language and using a number of descriptors such as  
61 *relaxed, anxious, content* or *frustrated*. These terms, given their emotional connotation, appear to have  
62 direct relevance to animal welfare by referring to the animals’ own subjective experience (Wemelsfelder  
63 et al., 2001; Wemelsfelder and Farish, 2004; Wemelsfelder and Lawrence, 2001). Using principal  
64 component analysis, the number of variables is reduced to (usually) two main components, each  
65 comprising correlated, and to some degree overlapping, behavioural expressions. Interpretation of the  
66 main components involves the identification of the terms that best describe the anchor points at each end.  
67 The approach is the only measure in the WQ protocol that can capture positive emotional states (Keeling  
68 et al., 2013). For other farm animal species, QBA has been found to correlate in a biologically meaningful  
69 direction with physiological measures (Rutherford et al., 2012; Stockman et al., 2011; Wickham et al.,  
70 2015) and health measures (Phythian et al., 2016, des Roches et al., 2018). Painful conditions, like  
71 lameness, have for instance been seen to be associated with the QBA score in sheep, suggesting that  
72 compromised health had a wider deleterious effect on the sheep's emotional state (Phythian et al, 2016).  
73 Likewise, dairy cattle in the acute phase of E. coli mastitis were interpreted to experience a negative  
74 emotional state, as assessed with QBA (des Roches et al., 2018). With this in mind, QBA could

75 potentially be used as a screening tool to identify flocks with health and pain issues that compromise the  
76 welfare to a degree where the animals' emotional state is affected. QBA is used in a few recently  
77 published studies on broiler welfare (Bassler et al., 2013; Buijs et al., 2017; de Jong et al., 2016; Federici  
78 et al., 2016). However, apart from one study in which no moderate or strong correlations were found  
79 between QBA scores and other measures in the WQ protocol (de Jong et al., 2016), there is a scarcity of  
80 information about how QBA relates to other animal-based welfare indicators in broilers.

81  
82 Comprehensive animal welfare assessments, like the WQ protocol, usually also include behavioural  
83 indicators of affective states of particular relevance to animal welfare, such as fear of humans (Bassler et  
84 al., 2013; Forkman et al., 2007). Fear is one of the best-studied emotions in many farm animal species,  
85 and is generally expressed behaviourally as either active defence or avoidance, or passive avoidance  
86 (Forkman et al., 2007). In modern broiler production, there is little or no opportunity for day-to-day  
87 handling of the animals, so human-animal interactions are mainly limited to visual contact. In the WQ  
88 protocol for broiler chickens, fear of humans is assessed by the use of a touch test (Forkman et al., 2007).  
89 Previous papers have cast doubt about the validity of this test, as the chickens' avoidance of the assessor  
90 relies on their walking ability, which may be impaired in animals with poor leg health (de Jong et al.,  
91 2011; Vasdal et al., 2018). It is therefore of interest to investigate how this measure relates to other  
92 indicators of the chickens emotional state.

93  
94 Poor leg health is a welfare issue of particular concern in industrialised broiler chicken production.  
95 Systematic recording of indicators of leg health, such as lameness and footpad dermatitis, are therefore  
96 included in the WQ protocol (WelfareQuality®, 2009). Poor leg health may be associated with both  
97 infectious and non-infectious factors and can cause commercial loss through increased mortality, culling  
98 and reduced performance (Butterworth, 1999; European Food Safety Authority, 2012; Scientific  
99 Committee on Animal Health and Animal Welfare, 2000). One common leg health issue is footpad  
100 dermatitis (FPD), which causes necrotic lesions and inflammatory processes on the plantar surface of the

101 footpads in broilers. This may be painful and also cause lameness, and hence represents a valid and  
102 important indicator of broiler chicken welfare (Butterworth and Niebuhr, 2009). The condition is mainly  
103 caused by a variety of environmental factors, including wet litter (Shepherd and Fairchild, 2010). Lamé  
104 birds (gait score  $\geq 3$ ) have been shown to prefer food with analgesics, and increase their activity when  
105 given analgesics, which indicates that the observed lameness is associated with pain (McGeown et al.,  
106 1999; Danbury et al., 2000; Weeks et al., 2000). Lameness may also be associated with reduced activity  
107 in general, and less expression of positively motivated behaviours, which implies additionally  
108 compromised animal welfare (Sanotra et al., 2002; Weeks et al., 2000). Moreover, lame birds may have  
109 difficulties in reaching food and water (Butterworth et al., 2002; Sanotra et al., 2002), resulting in  
110 impaired growth and poor production results. Therefore, gait scoring is used to investigate severity of  
111 lameness in live birds (Kittelsen et al., 2017), and scoring of the macroscopic appearance of footpad  
112 lesions on farm or at the slaughterhouse is used to monitor welfare ante- or post mortem, respectively  
113 (Shepherd and Fairchild, 2010).

114

115 Mortality can be considered to be the animals' response to (or consequences of) risk factors (Jacobs et al.,  
116 2017) and therefore represents an important welfare indicator. On-farm mortality consists of both natural  
117 mortality (i.e. chickens found dead) and selective culling. High on-farm mortality can thus be an indicator  
118 of poor flock health, but may also reflect careful selection for culling by the stockperson.

119

120 Although QBA is included in the WQ protocol for poultry, there is little available knowledge about the  
121 use of this method in broiler chickens. Therefore, to gain more knowledge about QBA as a welfare  
122 assessment tool on broiler chicken farms, this study aims to describe the dimensionality of QBA, and to  
123 investigate the associations between QBA and selected animal-based welfare indicators from the Welfare  
124 Quality® protocol, i.e. lameness, foot pad dermatitis (FPD), fear of humans, and mortality.

125

126 **2. Material and methods**

127 *2.1. Sampling*

128 A total of 50 commercial broiler chicken farms were randomly recruited from the list of about 150 broiler  
129 producers delivering chickens (hybrid: Ross 308, mixed sex) to one major slaughter plant, located in the  
130 southeast of Norway (Nortura Hærland). The producers were contacted by phone a few weeks before the  
131 visit. Participation in the study was voluntary, however only one of the contacted farmers declined.

132

133 *2.2. Farm visits and data collection*

134 The farms were visited between January and March 2015. The entire Welfare Quality® protocol for  
135 broilers (WelfareQuality®, 2009) was performed, but only the selected measures of lameness, footpad  
136 dermatitis, fear of humans, and QBA will be described in this paper.

137

138 One of the authors (GV, an ethologist with comprehensive knowledge of broiler behaviour), had been  
139 trained in the theory and practice of the Welfare Quality® protocol by experienced WQ assessors, and  
140 performed all the farm visits. The visits were conducted between day 27 and 34 of the chickens' lives, on  
141 average ( $\pm$ SD) day 28.9 ( $\pm$ 1.8). This was as close to slaughter as possible (average age of slaughter in  
142 Norway is 31 days), which is in accordance with recommendations in the Welfare Quality® protocol.  
143 Most broiler farms in Norway have only one house, therefore only one flock was assessed on each farm.  
144 None of the flocks were thinned.

145 The on-farm assessments on each farm were performed on the same day, and conducted in accordance  
146 with the methods and order described in the WQ assessment protocol (WelfareQuality®, 2009). All data  
147 was recorded using specialized software designed specifically for the WQ broiler protocol, on a personal  
148 digital assistant (PDA). The software was designed by H. van den Heuvel, Wageningen University and  
149 Research, Wageningen Livestock Research.

150

151 *2.2.1 QBA*

152 The assessments started with QBA. The observation of the flocks were done from different observation  
153 points in the broiler house, where the animals that could be seen well were observed for a total of 20  
154 minutes, as described in the WQ protocol (WelfareQuality®, 2009). This was followed by scoring of the  
155 22 behavioural expressions on visual analogue scales (VAS). Each 125 mm VAS ranged from  
156 ‘Minimum’, indicating that the behavioural expression is entirely absent in any of the animals observed,  
157 to ‘Maximum’, meaning the expressive quality is dominant across all observed animals. The behavioural  
158 expressions used were (in random order): *Active, Relaxed, Helpless, Comfortable, Calm, Content, Tense,*  
159 *Inquisitive, Friendly, Positively occupied, Scared, Drowsy, Fearful, Agitated, Confident, Depressed,*  
160 *Unsure, Energetic, Frustrated, Bored, Playful, Nervous, and Distressed.*

161

#### 162 2.2.2 Gait score and foot pad dermatitis (FPD)

163 After the QBA, the assessor then gait scored 150 randomly selected birds from at least five locations  
164 representing different areas of the house, such as near the walls and the center. About 30 birds were  
165 carefully fenced in at each location, using a mobile catching pen that could fence a group of animals  
166 without much disturbance. Each bird was then individually encouraged to walk out of the pen to be gait  
167 scored. To avoid affecting the birds’ gait, no birds were handled or picked up prior to gait scoring. A six  
168 point rating scale was used, ranging from 0 (normal, dexterous and agile) to 5 (incapable of walking)  
169 (Kestin et al., 1992). After the gait scoring, a total of 100 random birds from five new locations (around  
170 20 birds in each location) were carefully fenced in and scored for footpad dermatitis by visual inspection  
171 of their footpads. FPD was scored from 0 (no footpad lesion) to 4 (severe lesion, large area injured).

172

#### 173 2.2.3 Touch test

174 In the touch test, the assessor approached a group of at least three birds, squatted for 10 seconds and then  
175 recorded the number of birds at an arm’s length (i.e. within 1 meter of the observer), and the number of  
176 birds actually touched. Every attempt to approach a group of birds was considered a trial, even if all birds  
177 from the group withdrew from the approaching or squatting assessor. Twenty-one trials were conducted at



178 several different locations around the house, to avoid repeated scoring of the same birds. If no animals  
179 were within an arm's length within the first 12 trials, the touch test was terminated.

180

#### 181 *2.2.4 Mortality*

182 Shortly after each flock was slaughtered, production records including mortality rate, growth rate and  
183 rejection causes, were collected from the slaughter house (Nortura Hærland). Only the mortality data are  
184 presented in this study. Total mortality is the number of birds delivered to the slaughterhouse subtracted  
185 from number of birds delivered to the farmer from the hatchery, and the flock mortality rate is calculated  
186 as the percentage of dead birds. The farmers were given information about the use of these data at the  
187 time of the farm visits.

188

#### 189 *2.3 Data management and statistical analyses*

190 All statistical analyses were performed in Stata SE/14.2 (StataCorp, College Station, TX, USA).

191

##### 192 *2.3.1 Calculation of component scores for qualitative behavioural assessments*

193 QBA scores (i.e. the distance between the *Minimum* point on the visual analogue scale, to the mark made  
194 by the observer, providing a value between 0 and 125), as registered on the hand-held device were  
195 exported to Microsoft Office Excel® 2010, and subsequently transferred to Stata SE/14.2. Principal  
196 component analysis (PCA) was conducted using a correlation matrix (no rotation), retaining the two  
197 components that explained most of the variance in the data. Two new variables, PC1 and PC2,  
198 representing the scores for the two main components were generated.

199

##### 200 *2.3.2 Calculation of gait score*

201 Gait score, which was assessed for 150 animals in each flock, was calculated by multiplying the number  
202 of animals with score 0 by 0, the number of animals with score 1 by 1, and so on up to score 5:

203  $\sum = (n_0 \cdot 0) + (n_1 \cdot 1) + (n_2 \cdot 2) + (n_3 \cdot 3) + (n_4 \cdot 4) + (n_5 \cdot 5)$ . The total flock score could theoretically range  
204 between 0 (all 150 animals receive score 0) and 750 (all 150 animals receive score 5).

205

### 206 *2.3.3 Calculation of foot pad dermatitis score*

207 Footpad dermatitis scores from on-farm assessments were calculated by multiplying the number of  
208 animals (of the 100 examined) with score 0 with 0, the number of animals with score 1 or 2 by 1, and  
209 animals with score 3 or 4 by 2 (i.e.  $\sum = (n_0 \cdot 0) + ((n_1 + n_2) \cdot 1) + ((n_3 + n_4) \cdot 2)$ ). The total flock score  
210 could theoretically range between 0 (all 100 animals receive FPD score 0) and 200 (all 100 animals  
211 receive FPD score 3 or 4).

212

### 213 *2.3.4 Calculation of touch test score*

214 For the touch test, calculations were performed in accordance with the description in the WQ protocol  
215 (WelfareQuality®, 2009):

216 The theoretical number of bird that should be within arm's reach of the observer if the birds were evenly  
217 spread in the barn is calculated from stocking density. This theoretical number is equal to the stocking  
218 density (expressed in birds/m<sup>2</sup>) multiplied with  $\pi/2$  (we divide by two the exact surface of a circle which  
219 radius in 1 m, to cover for the space taken by the observer). The number of birds that are within arm's  
220 reach of the observer (i.e. within 1 m) was compared to that theoretical number of birds. An index  
221 representing the % birds within 1 m is calculated:  $I = 100 \times (\text{number of birds within arm's}$   
222  $\text{reach/theoretical number of birds})$ . The index is turned into a score according to the following spline  
223 functions:

224 When  $I \leq 20$  then  $\text{Score} = 24.631 + (8.9944 \times I) - (0.32423 \times I^2) + (0.0031378 \times I^3)$

225 When  $I \geq 20$  then  $\text{Score} = 95.660 + (0.46453 \times I) - (0.014127 \times I^2) + (8.7479 \times I^3)$

226 These calculations resulted in a touch test score for each of the 50 flocks. The touch test score can  
227 theoretically range from 24.6 (no animals touched) to 100 (all animals that theoretically can be touched,

228 are touched). Thus, an increased touch test score is meant to indicate a reduced fear of humans and an  
229 improved human–animal relationship.

230

### 231 *2.3.5 Regression analyses*

232 Regression analysis was used to assess the associations between QBA and other welfare indicators.  
233 Footpad score, lameness score, touch test score, and mortality were entered as dependent variables in the  
234 regression analyses, with the two main components from the principal component analysis of QBA as the  
235 independent variables.

236

237 Because of strongly skewed distributions of several of the outcome variables, and non-linear associations  
238 with QBA as assessed by screening with linear regression and graphical methods, we needed to transform  
239 the variables prior to running the regression analyses. This was done by categorising them and running  
240 logistic or ordinal logistic regression analysis (see details for each variable below). Ordinal logistic  
241 regression analysis is based on a single equation with only one coefficient for each independent variable,  
242 and thus assumes proportional odds. To test this assumption, two tests were performed on each model; the  
243 Brant Test of Parallel Regression Assumption (Brant, 1990) and an approximate likelihood ratio-test  
244 (Wolfe and Gould, 1998).

245

246 The lameness score had a certain degree of right skew. Log transformation did not resolve this  
247 completely. To avoid violation of the major assumptions of linear regression we therefore categorised this  
248 variable into three equally large categories: low gait score (n=17), medium gait score (n=18) and high gait  
249 score (n=15). The association between this variable and QBA was therefore assessed with ordinal logistic  
250 regression.

251

252 The footpad score was right skewed, and three categories were created, which was the maximum number  
253 we considered feasible for the data, representing low (n=18), medium (n=16) and high (n=16) footpad  
254 score. Thus, for this outcome we also ran ordinal logistic regression.

255  
256 The touch test score had the strongest right skew, and it was not considered feasible to divide the data into  
257 more than two categories. The variable was therefore dichotomized into flocks in which no birds were  
258 possible to touch (n=20, score=24.6) and flocks where at least some birds allowed the observer to touch  
259 them (n=30, score>24.6). The dichotomized touch test score was thus tested in a logistic regression  
260 model.

261  
262 Mortality was also somewhat right skewed, and screening with linear regression and the “lntrend”  
263 command in Stata suggested a non-linear relationship with PC1. The variable was categorised into three  
264 quantiles of equal size: low (n=17), medium (n=17) and high (n=16) mortality, and associations with  
265 QBA was thus investigated using ordinal logistic regression analyses.

266

### 267 **3. Results**

#### 268 *3.1. General farm results*

269 The mean ( $\pm$ SD) flock size in the 50 visited farms was 17391 ( $\pm$ 6080) chickens, and ranged from 3900 to  
270 28950 birds. The chickens' mean ( $\pm$ SD) age was 28.9 days ( $\pm$ 1.8) at the time of visit, and ranged from 27  
271 to 34 days. The mean animal density was 17.4 kg/m<sup>2</sup>, with a range of 22.2 to 33.18 kg/m<sup>2</sup>. Other  
272 descriptive flock statistics have been presented elsewhere (Vasdal et al., 2018).

273

#### 274 *3.2 QBA*

275 The principal component analysis of the QBA data revealed two main dimensions, explaining 48.3% and  
276 22.1% of the variance respectively (70.4% overall). The scatterplot in Figure 1 illustrates the component  
277 loadings of each behavioural term across the two principal components. The first component ranged from

278 *relaxed, calm and drowsy* to, *agitated, fearful, tense and nervous*, but also terms with a positive  
279 connotation, such as *energetic, positively occupied and playful*, loaded highly on this component. This  
280 component was labelled *arousal*. The second component ranged from *depressed, frustrated* and  
281 *distressed* to *friendly, content, comfortable and confident*, and was labelled *mood*.

282

283 Figure 1 here.

284

### 285 3.3. Selected animal based measures

286 Mean lameness score was 259.4, of a theoretical maximum of 750. The majority of the chickens had a  
287 lameness score of 1 (44%) or 2 (34%). For the distribution across the gait score categories, see Vasdal et  
288 al. (2018). Mean footpad dermatitis score was 15.5, indicating that most farms had a low prevalence of  
289 footpad dermatitis. Touch test scores ranged from 24.6 to 99.8, with a mean of 45.1, in other words, with  
290 a strong right skew (Table 1).

291

292 Table 1. Mean ( $\pm$ SD) and range of scores for selected animal-based welfare measures from 50 Norwegian  
293 broiler chicken flocks.

<b>Animal-based welfare indicator</b>	<b>Mean (<math>\pm</math>SD)</b>	<b>Range</b>
Gait score	259.4 ( $\pm$ 52.0)	186 – 439
Foot pad dermatitis (FPD) score	15.5 ( $\pm$ 22.4)	0 – 111
Touch test (TT) score	45.1 ( $\pm$ 31.4)	24.6 - 99.9
Mortality rate	2.2 ( $\pm$ 0.8)	1.1 - 5.4

294

295

296 3.4 Regression analyses

297

298 3.4.1 Lameness as outcome

299 Ordinal logistic regression revealed that none of the two components of QBA were significantly  
300 associated with the categorized gait score variable, with  $p = .20$  for PC1 (*arousal*) and  $p = .14$  for PC2  
301 (*mood*). The Brant test and the approximate likelihood ratio test were both non-significant, indicating that  
302 the assumption of parallel regression was not violated.

303

304 3.4.2 Footpad score as outcome

305 Ordinal logistic regression revealed that none of the two components of QBA were significantly  
306 associated with the categorized footpad score variable, with  $p = .26$  for PC1 (*arousal*) and  $p = .61$  for PC2  
307 (*mood*). The Brant test and the approximate likelihood ratio test were both non-significant, indicating that  
308 the assumption of parallel regression was not violated.

309

310 3.4.3 Touch test as outcome

311 Logistic regression analysis revealed that both PC1 (*arousal*) and PC2 (*mood*) were significantly and  
312 negatively associated with the dichotomised touch test variable, with 26% of the variance in the touch test  
313 explained by the model (pseudo- $R^2 = 0.26$ ). Flocks with a high score on either *arousal* or *mood* were less  
314 likely to have birds that accepted being touched by the observer (*arousal*: OR = 0.70,  $p = .004$ ; *mood*: OR  
315 = 0.64,  $p = .020$ ).

316

317 Figure 2 here.

318

319 3.4.4 Mortality as outcome

320 Ordinal logistic regression revealed that *arousal* (PC1) was significantly and negatively associated with  
321 the categorized variable for mortality, i.e. flocks with a higher arousal score were less likely to be scored

322 in a higher mortality category at the time of slaughter (OR = 0.81,  $p = .02$ ). The mood score (PC2) was  
323 unrelated (OR = 1.00,  $p = .99$ ) with mortality. The univariable model with PC1 explained 6% of the  
324 variance in mortality (pseudo- $R^2 = 0.06$ ). The Brant test and the approximate likelihood ratio test were  
325 both non-significant, indicating that the assumption of parallel regression was not violated.

326

327 Figure 3 here.

328

329 **Table 2.** Odds ratios (SE), p-values and 95 % confidence intervals from regression models with selected  
 330 animal-based welfare measures as dependent variables and the two main components of QBA (PC1 and  
 331 PC2) as independent variables. The explained variance of the models is reported as pseudo R<sup>2</sup>. Significant  
 332 p-values ( $p < .05$ ) in bold.

Dependent variable	Independent variable	OR	SE	<i>P</i>	95% C.I.	Pseudo R <sup>2</sup>
Gait score <sup>a</sup>	PC1	0.91	0.08	0.20	-0.25 – 0.05	0.04
	PC2	0.84	0.12	0.14	- 0.40 – 0.06	
FPD score <sup>a</sup>	PC1	1.09	0.08	0.26	-0.07 – 0.25	0.01
	PC2	0.94	0.11	0.61	- 0.28 – 0.16	
Touch test score <sup>b</sup>	PC1	0.70	0.09	<b>&lt; 0.01</b>	0.54 – 0.89	0.26
	PC2	0.64	0.12	<b>0.02</b>	0.44 – 0.93	
Mortality rate <sup>a</sup>	PC1	0.81	0.71	<b>0.02</b>	0.68 – 0.96	0.06
	PC2	1.00	0.12	1.0	0.80 – 1.26	

333

334 <sup>a</sup> Ordinal logistic regression

335 <sup>b</sup> Logistic regression

336



## 337 **4. Discussion**

338 The present study seeks to contribute to the evaluation of QBA as a method of assessing broiler welfare,  
339 by investigating its dimensionality and whether scores are associated with other welfare outcomes  
340 considered to be of importance in broiler chicken production. Health conditions that are known to have a  
341 detrimental effect on the animals' welfare, could be expected to affect measures of their emotional state.

342

### 343 *4.1 The dimensionality of qualitative behavioural assessments in broiler chickens*

344 Principal component analysis (PCA) of data from the qualitative behavioural assessments in 50 broiler  
345 flocks revealed a dimensionality that can be recognised from QBA in other species (Brscic et al., 2009  
346 [veal calves]; Duijvesteijn et al., 2014 [pigs]; Grosso et al., 2016 [goats]; Minero et al., 2016 [donkeys]).  
347 In these and other studies mood has usually been identified as the first component, whereas in our study  
348 the arousal-component explains somewhat more of the variance than the mood component. The first  
349 component ranged from terms associated with low arousal (*relaxed, calm* and *drowsy*) to terms associated  
350 with high arousal, with both positive and negative emotional connotations (e.g. *fearful, nervous,*  
351 *energetic, and playful*). The second component (*mood*) ranged from negatively connoted terms such as  
352 *depressed* and *distressed*, to positively connoted terms such as *friendly* and *confident*.

353

### 354 *4.2 Lameness*

355 None of the two components of QBA were significantly associated with the categorized gait score  
356 variable. Studies have found that between 13% to 30% of broilers worldwide have an impaired gait (i.e.  
357 gait score 3, 4 or 5) (e.g. Bassler et al., 2013; Kittelsen et al., 2017; Louton et al., 2018). In the 50 farms  
358 visited in this study, 19 % of the birds had a gait score  $\geq 3$ , including 2.4 % with score 4 and 0.5 % with  
359 score 5 (presented in Vasdal et al., 2018). Federici et al. (2016) found lameness to be a considerable  
360 welfare problem in Brazilian broiler flocks, assessed using Welfare Quality® measures. The observed  
361 median percentage of severe lameness (scores 4 and 5) in their study were on average 14 % (range 4 % -  
362 27 %), and was hence considerably higher than the 2.9 % prevalence of severe lameness found in our data

363 (Vasdal et al., 2018). Mean flock age at visit was 40 days (range 35 – 44) in the Brazilian study,  
364 compared to 29 days in our study. It is likely that this may have affected the discrepancy in results, as  
365 lameness has been found to increase in severity as the chickens' age increases (e.g. Silvera et al., 2016).  
366 Silvera et al. (2016) found that gait score was unaffected by increasing human contact (i.e. improving the  
367 human – animal relationship). The high median score Federici et al (2016) obtained in the touch test could  
368 be confounded by the high percentage of severe lameness found in the selected flocks, making the birds  
369 less able or willing to move away from the observer. As presented in a previous paper (Vasdal et al.,  
370 2018), there was an association between the touch test score and lameness in the data from the 50 farms  
371 used in this study. This implies that the touch test may be confounded by the chickens' reduced ability to  
372 walk. In line with this, Louton et al. (2018) found that a gait score  $\geq 3$  was associated with lower weights,  
373 suggesting that the chickens' ability to walk was impaired due to the lameness, hence reducing their feed  
374 intake.

375

#### 376 *4.3 Footpad dermatitis score*

377 The footpad dermatitis score ranged from 0 to 111, with an average score of 17.82, indicating that most  
378 farms had a low prevalence of footpad dermatitis. Neither of the two components of QBA were  
379 significantly associated with the categorized footpad score variable. A consistently low prevalence (i.e. a  
380 homogenic population) makes it more difficult to prove associations statistically, hence requiring larger  
381 sample sizes (Houe et al., 2004). Louton et al. (2018) found no association between FPD and other health  
382 or management-related welfare indicators in their study from the USA, but they reported a worsening of  
383 the FPD scores over time. However, the assessments in their study continued until fattening day 39, thus  
384 the animals were about ten days older than the chickens were at the time of the assessment in this study.

385

#### 386 *4.4 Touch test*

387 The level of fear of humans, as measured by the touch test, revealed differences between flocks with  
388 regards to numbers of animals possible to touch. Flock scores ranged from 24.6 (no animals touched) to  
389 99.88 (186 animals touched), with an average of 45.13 (corresponding to approximately 29 animals  
390 touched). In 30 of the 50 flocks, the observer was not able to touch any birds. Silvera et al. (2016) found  
391 that the proportion of animals touched in their experiment was significantly increased following  
392 additional human contact. Variations between flocks in our study could be therefore potentially be related  
393 to the quantity or quality of the farmers' interactions with the broilers. However, a thorough assessment of  
394 the human – animal relationship was beyond the scope of this study. In the investigation of associations  
395 between QBA and the touch test, we found that flocks with higher arousal (PC1) and/or mood (PC2)  
396 scores were less likely to have birds that accepted being touched by the observer. Bassler et al. (2013)  
397 used QBA to assess 89 broiler flocks and found that the same flocks showed both agitated/fearful and  
398 inquisitive/playful patterns of expression. They suggested that the seemingly contradictory outcomes may  
399 be two sides of the same coin: both expressing greater responsivity, or in other words, greater arousal or  
400 liveliness in interaction with the environment (Bassler et al., 2013). Similarly, both higher arousal and  
401 mood scores may indicate greater liveliness and responsivity in birds that did not accept to be touched by  
402 the observer in our study, confounding the indicator of fear. It has already been suggested that the touch  
403 test is confounded by impaired walking ability (de Jong et al., 2011; Vasdal et al., 2018). Moreover,  
404 Silvera et al. (2016) reported that they were unable to use the touch test in the last week of the rearing  
405 period due to the crowded conditions, restricting the birds' ability to move away from the observer. The  
406 test is therefore a suboptimal method of assessing fear of humans in broilers, at least at the end of the  
407 rearing period. In our study, the higher arousal or mood scores associated with fewer animals touched,  
408 may also indicate a better leg health and walking ability, rather than fear of the observer. In some of the  
409 observed flocks, the escaping birds would return to the squatting assessor after a few moments. This  
410 suggests that these birds were motivated to approach the assessor after they initially fled (Vasdal et al.,  
411 2018). de Jong et al. (2011) also observed that flocks scored as being fearful for humans in the touch test,  
412 appeared not to be fearful in the opinion of the observers. Chickens can copy the behaviour of their

413 companions, which may lead to all individuals showing simultaneous flight or escape behaviour (Nicol,  
414 2015). This acts to increase the degree of synchrony within the group, and may have had an effect on the  
415 initial responses to the touch test. Moreover, stocking density (a lower density makes it easier for the  
416 birds to flee) and greater light intensity (making the birds more responsive to their surroundings) may  
417 have an influence on this measure (Tuytens et al., 2015). All this calls for validation studies and/or  
418 development of alternative methods of testing fear in broiler chickens. Fear is one of many aspects  
419 assessed within the QBA method, but due to the integrative nature of the method, it does not provide  
420 information on this emotion specifically.

421

#### 422 *4.5 Mortality*

423 In our study, we found that flocks with a higher arousal score as measured by the first component of QBA  
424 (PC1) were less likely to be in a higher mortality category. Greater ability to express arousal may be an  
425 indication of better flock health (e.g. good leg health and walking ability) and less apathy. However, the  
426 percentage of variation in mortality explained by PC1 was low (6 %), meaning that the potential for using  
427 QBA to predict an increased risk of higher mortality is very low. Mortality is comprised of controlled and  
428 uncontrolled events, in which controlled mortality are the birds culled humanely by the producer, whereas  
429 uncontrolled mortality consists of the birds left to die, which inevitably will be associated with prolonged  
430 suffering for some individuals. Careful selective culling can result in a reduction of the percentage of  
431 animals dead on arrival (%DOA) at the slaughter plant (Jacobs et al., 2017), as fewer animals of poor  
432 health are subjected to the stress of transport. The proportion of controlled mortality should ideally be  
433 maximized compared to uncontrolled mortality, for animal welfare reasons (Butterworth and Niebuhr,  
434 2009). Although culling should not be needed in an optimal situation, high proportions of culling can  
435 reflect that measures are in place to prevent animal suffering if sick or injured (European Food Safety  
436 Authority, 2010). The mortality rate in our study does not distinguish between culling and natural deaths  
437 (the data for culling rate was incomplete). However, it is reasonable to assume that the majority of

438 animals that were culled would have died naturally before the time of slaughter if they were not culled, so  
439 the total mortality rate can be considered measure that provides information about the overall flock health.

440

#### 441 *4.6 Limitations*

442 A limited sample size may have reduced our possibility of finding statistically significant associations  
443 between QBA and the other animal-based welfare indicators. Andreassen et al. (2013) failed to find  
444 meaningful relationships between QBA scores and other Welfare Quality® measures in dairy cattle, and  
445 suggested that the spread between the farms in their study was too small to robustly anchor an effective  
446 qualitative welfare scale to correspond with WQ outcomes. This may have reduced the possibility of  
447 detecting associations in this study too. For several parameters, our data were homogenous, i.e. with little  
448 variation between the flocks observed, reducing the possibility to detect associations. This may be an  
449 inherent issue in an industry where the production system and management in general is highly  
450 standardised, as is the case in broiler production. This was also the case when Buijs et al. (2017) tested the  
451 sensitivity of the WQ broiler protocol to detect differences between intensively reared flocks, where they  
452 experienced that the observed values for health parameters often where extreme (either very high or very  
453 low). For FPD and lameness, the scores were low, and the observed range for measures of appropriate  
454 behaviour were very narrow, except for QBA (Buijs et al., 2017). This wider variation in QBA scores  
455 may thus make it more suitable for detecting variation among farms, given that its validity is established.  
456 The QBA-method has not been validated for broiler chickens (de Jong et al., 2014; Wemelsfelder et al.,  
457 2009), and observers need to have sufficient knowledge of broiler chickens and their behaviour for a  
458 reliable and valid scoring. In our study, the observer had a comprehensive knowledge of behaviour in  
459 chickens. It may be easier to score QBA for larger animals kept in smaller groups, allowing a better  
460 observation of postures, facial expressions and vocalisations, as compared to broilers housed in groups of  
461 several thousands (de Jong et al., 2014).

462

463 *4.7 Conclusions*

464 In this study, a negative association was found between both components of QBA and the results of the  
465 touch test, which is designed to measure the chickens' fear of humans. In other words, flocks with higher  
466 scores on both *arousal* and *mood*, were less likely to have any chickens that were possible to touch by the  
467 assessor. This raises further questions about the validity of the touch test as a measure of animal welfare.  
468 For the other selected animal-based measures, there were no associations with QBA, except for mortality.  
469 In accordance with the findings in Andreassen et al. (2013), the current study does not support the idea that  
470 QBA can be used as a stand-alone on-farm welfare assessment tool, capable of predicting the other  
471 important welfare outcomes from the WQ protocol. However, this method may give valuable  
472 supplementary information, but must first be thoroughly validated as a welfare assessment tool for  
473 broilers.

474

475

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482

483

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603

604 **Figure 1:** Loading plot illustrating the component loadings of each behavioural term across the two main  
605 components, *arousal* (PC1) and *mood* (PC2). These components account for 70.4% of the variance from  
606 the principal component analysis of QBA data from the 50 Norwegian broiler flocks.

607

608

609 **Figure 2:** Box plots illustrating the different distributions (median, interquartile range and range) of PC1  
610 (*arousal*) and PC2 (*mood*) among the broiler flocks within the two categories of the touch test (TT) used  
611 in the regression analysis (0 = no broiler chickens touched and 1 = some broiler chickens touched).

612

613

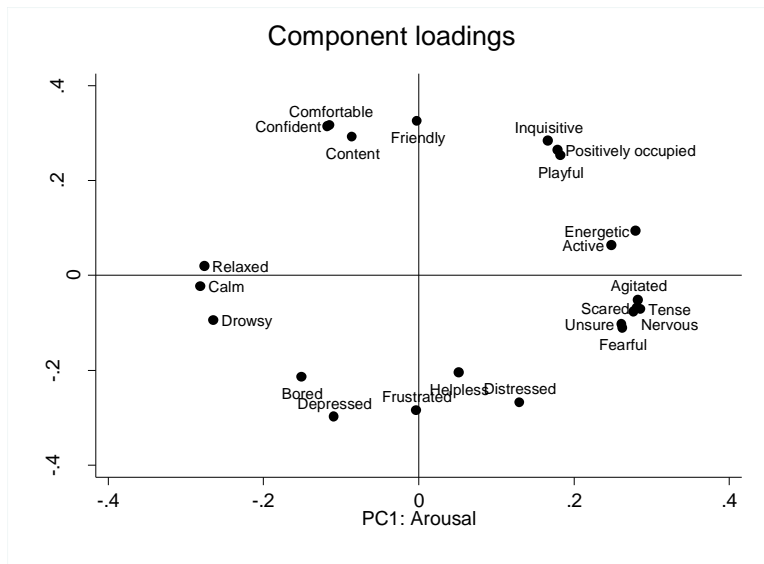
614 **Figure 3:** Box plot illustrating the different distributions (median, interquartile range and range) of the  
615 first component (PC1 *arousal*) of the qualitative behavioural assessments in 50 Norwegian broiler flocks  
616 within different mortality categories (1 = low, 2 = medium, and 3= high).

617

618

619 Figure 1:

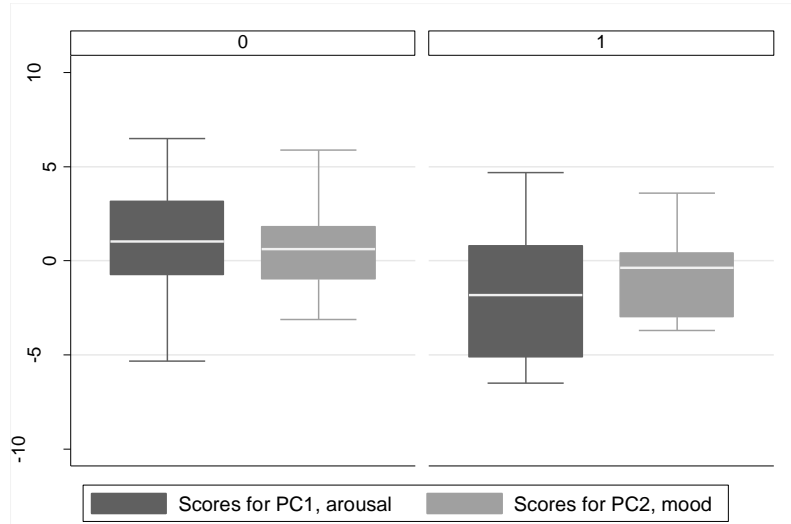
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623 Figure 2:

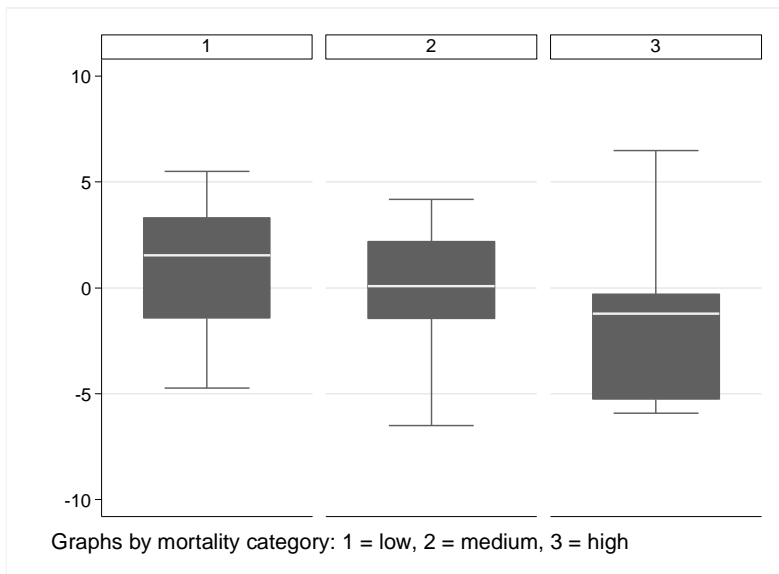


Graphs by 0 = no birds touched (high fear); 1 = some birds touched (lower fear)

624  
625

626 Figure 3:

627



628

629