

# Local Routing in Sparse and Lightweight Geometric Graphs

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## Abstract

Online routing in a planar embedded graph is central to a number of fields and has been studied extensively in the literature. For most planar graphs no  $O(1)$ -competitive online routing algorithm exists. A notable exception is the Delaunay triangulation for which Bose and Morin [6] showed that there exists an online routing algorithm that is  $O(1)$ -competitive. However, a Delaunay triangulation can have  $\Omega(n)$  vertex degree and a total weight that is a linear factor greater than the weight of a minimum spanning tree.

We show a simple construction, given a set  $V$  of  $n$  points in the Euclidean plane, of a planar geometric graph on  $V$  that has small weight (within a constant factor of the weight of a minimum spanning tree on  $V$ ), constant degree, and that admits a local routing strategy that is  $O(1)$ -competitive. Moreover, the technique used to bound the weight works generally for any planar geometric graph whilst preserving the admission of an  $O(1)$ -competitive routing strategy.

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## 1 Introduction

The aim of this paper is to design a graph on  $V$  (a finite set of points in the Euclidean plane) that is cheap to build and easy to route on. Consider the problem of finding a route in a geometric graph from a given source vertex  $s$  to a given target vertex  $t$ . Routing in a geometric graph is a fundamental problem that has received considerable attention in the literature. In the offline setting, when we have full knowledge of the graph, the problem is well-studied and numerous algorithms exist for finding shortest paths (for example, the classic



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Dijkstra’s Algorithm [9]). In an online setting the problem becomes much more complex. The route is constructed incrementally and at each vertex a local decision has to be taken to decide which vertex to forward the message to. Without knowledge of the full graph, an online routing algorithm cannot identify a shortest path in general; the goal is to follow a path whose length approximates that of the shortest path.

Given a source vertex  $s$ , a target vertex  $t$ , and a message  $m$ , the aim is for an online routing algorithm to send  $m$  together with a header  $h$  from  $s$  to  $t$  in a graph  $G$ . Initially the algorithm only has knowledge of  $s$ ,  $t$  and the neighbors of  $s$ , denoted  $\mathcal{N}(s)$ . Note that it is commonly assumed that for a vertex  $v$ , the set  $\mathcal{N}(v)$  also includes information about the coordinates of the vertices in  $\mathcal{N}(v)$ . Upon receiving a message  $m$  and its header  $h$ , a vertex  $v$  must select one of its neighbours to forward the message to as a function of  $h$  and  $\mathcal{N}(v)$ . This procedure is repeated until the message reaches the target vertex  $t$ . Different routing algorithms are possible depending on the size of  $h$  and the part of  $G$  that is known to each vertex. Usually, there is a trade-off between the amount of information that is stored in the header and the amount of information that is stored in the vertices.

Bose and Morin [6] showed that greedy routing always reaches the intended destination on Delaunay triangulations. Dhandapani [8] proved that every triangulation can be embedded in such a way that it allows greedy routing and Angelini et al. [1] provided a constructive proof.

However, the above papers only prove that a greedy routing algorithm will succeed on the specific graphs therein. No attention is paid to the quality or *competitiveness* of the resulting path relative to the shortest path. Bose and Morin [6] showed that many local routing strategies are not competitive but also show how to route competitively in a Delaunay triangulation. Bonichon et al. [3, 4] provided different local routing algorithms for the Delaunay triangulation, decreasing the competitive ratio, and Bonichon et al. [2] designed a competitive routing algorithm for Gabriel triangulations.

To the best of our knowledge most of the existing routing algorithms consider well-known graph classes such as triangulations and  $\Theta$ -graphs. However, these graphs are generally very expensive to build. Typically, they have high degree ( $\Omega(n)$ ) and the total length of their edges can be as bad as  $\Omega(n) \cdot wt(MST(V))$ .

On the other hand, there is a large amount of research on constructing geometric planar graphs with “good” properties. However, none of these have been shown to have all of bounded degree, weight, planarity, and the admission of competitive local routing. Bose et al. [5] come tantalisingly close by providing a local routing algorithm for a plane bounded-degree spanner.

In this paper we consider the problem of constructing a geometric graph of small weight and small degree that guarantees a local routing strategy that is  $O(1)$ -competitive. More specifically we show:

Given a set  $V$  of  $n$  points in the plane, together with two parameters  $0 < \theta < \pi/2$  and  $r > 0$ , we show how to construct in  $O(n \log n)$  time a planar  $((1 + 1/r) \cdot \tau)$ -spanner with degree at most  $5\lceil 2\pi/\theta \rceil$ , and weight at most  $((2r + 1) \cdot \tau)$  times the weight of a minimum spanning tree of  $V$ , where  $\tau = 1.998 \cdot \max(\pi/2, \pi \sin(\theta/2) + 1)$ . This construction admits an  $O(1)$ -memory deterministic 1-local routing algorithm with a routing ratio of no more than  $5.90 \cdot (1 + 1/r) \cdot \max(\pi/2, \pi \sin(\theta/2) + 1)$ .

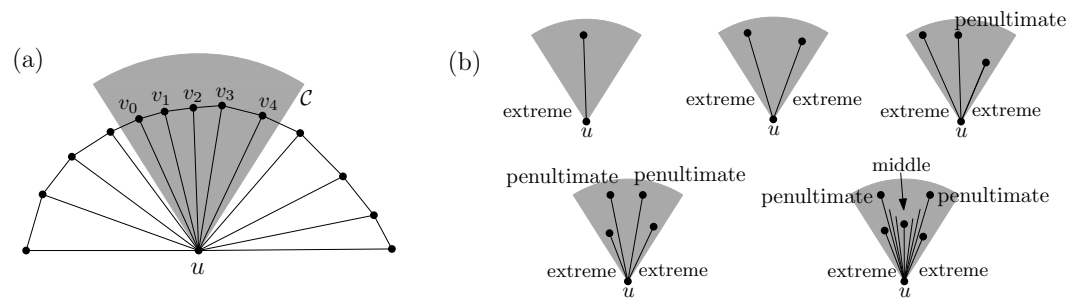
While we focus on our construction, we note that the techniques used to bound the weight of the graph apply generally to any planar geometric graph. In particular, using techniques similar to the ones we use, it may be possible to extend the results by Bose et al. [5] to obtain other routing algorithms for bounded-degree light spanners.

## 2 Building the Network

Given a Delaunay triangulation  $\mathcal{DT}(V)$  of a point set  $V$  we will show that one can remove edges from  $\mathcal{DT}(V)$  such that the resulting graph  $\mathcal{BDG}(V)$  has constant degree and constant stretch-factor. We will also show that the resulting graph has the useful property that for every Delaunay edge  $(u, v)$  in the Delaunay triangulation there exists a spanning path along the boundary of the face in  $\mathcal{BDG}(V)$  containing  $u$  and  $v$ . This property will be critical to develop the routing algorithm in Section 3. In Section 4 we will show how to prune  $\mathcal{BDG}(V)$  further to guarantee the lightness property.

### 2.1 Building a Bounded Degree Spanner

The idea behind the construction is slightly reminiscent to that of the  $\Theta$ -graph: For a given parameter  $0 < \theta < \pi/2$ , let  $\kappa = \lceil 2\pi/\theta \rceil$  and let  $\mathcal{C}_{u,\kappa}$  be a set of  $\kappa$  disjoint cones partitioning the plane, with each cone having angle measure at most  $\theta$  at apex  $u$ . Let  $v_0, \dots, v_m$  be the clockwise-ordered Delaunay neighbours of  $u$  within some cone  $C \in \mathcal{C}_{u,\kappa}$  (see Figure 1a).



**Figure 1** (a) An example of the vertices in some cone  $C$  with apex  $u$ . (b) Extreme, penultimate, and middle are mutually exclusive properties taking precedence in that order.

Call edges  $uv_0$  and  $uv_m$  *extreme* at  $u$ . Call edges  $uv_1$  and  $uv_{m-1}$  *penultimate* at  $u$  if there are two distinct extreme edges at  $u$  induced by  $C$ . If there are two distinct edges that are extreme at  $u$  induced by  $C$  and two distinct edges that are penultimate at  $u$  induced by  $C$ , then, of the remaining edges incident to  $u$  and contained in  $C$ , the shortest one is called the *middle* edge at  $u$  (see Figure 1b). We emphasise that: (1) If there are fewer than three neighbours of  $u$  in the cone  $C$ , then there are no penultimate edges induced by  $C$ ; and (2) If there are fewer than five neighbours of  $u$  in the cone  $C$ , then there is no middle edge induced by  $C$ .

The construction removes every edge except the extreme, penultimate, and middle ones in every  $C \in \mathcal{C}_{u,\kappa}$ , for every point  $u$ , in any order. The edges present in the final construction are thus the ones which are either extreme, penultimate, or middle at both of their endpoints (not necessarily the same at each endpoint).

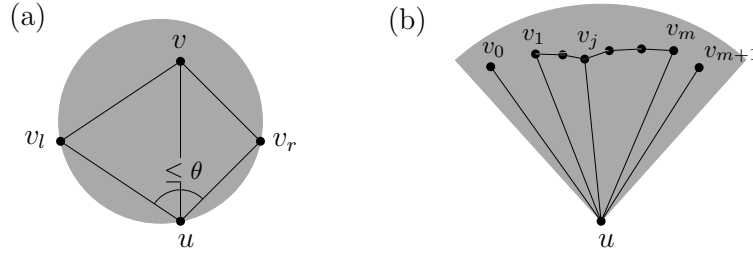
The resulting graph is denoted by  $\mathcal{BDG}(V)$ . The construction time of this graph is dominated by constructing the Delaunay triangulation, which requires  $O(n \log n)$  time. Given the Delaunay triangulation, determining which edges to remove takes linear time. The degree of  $\mathcal{BDG}(V)$  is bounded by  $5\kappa$ , since each of the  $\kappa$  cones  $C \in \mathcal{C}_{u,\kappa}$  can induce at most five edges. It remains to bound the spanning ratio.

## 2.2 Spanning Ratio

Before proving that the network is a spanner (Corollary 7) we will need to prove some basic properties regarding the edges in  $\mathcal{BDG}(V)$ . We start with a simple but crucial observation about consecutive Delaunay neighbours of a vertex  $u$ .

► **Lemma 1.** *Let  $C$  be a cone with apex  $u$  and angle measure  $0 < \theta < \pi/2$ . Let  $v_l, v, v_r$  be consecutive clockwise-ordered Delaunay neighbours of  $u$  contained in  $C$ . The interior angle  $\angle(v_l, v, v_r)$  must be at least  $\pi - \theta$ .*

**Proof.** In the case when  $\angle(v_l, v, v_r)$  is reflex in the quadrilateral  $\Delta u, v_l, v, v_r$  the lemma trivially holds. Let us thus examine the case when  $\angle(v_l, v, v_r)$  is not, in which case the quadrilateral  $\Delta u, v_l, v, v_r$  is convex. Since  $v_l$  and  $v_r$  lie in a cone of angle measure  $\theta$ ,  $\angle(v_l, u, v_r)$  is at most  $\theta$ . Consequently,  $\angle(v_l, u, v_r) + \angle(v_l, v, v_r)$  must be at least  $\pi$  (see Figure 2a). Hence,  $\angle(v_l, v, v_r)$  is at least  $\pi - \theta$ . ◀



■ **Figure 2** (a) Example placement of  $u, v_l, v_r$  and  $v$  in the circle  $\circ(v_l, u, v_r)$  (b) The path from  $v_1$  to  $v_m$  along the hull of  $u$  must be in  $\mathcal{BDG}(V)$ . Furthermore,  $uv_0$  and  $uv_{m+1}$  are extreme,  $uv_1$  and  $uv_m$  are penultimate, and  $uv_j$  is a middle edge.

This essentially means that  $\angle(v_l, v, v_r)$  is wide, and will help us to argue when  $v_l v$  and  $v v_r$  must be in  $\mathcal{BDG}(V)$  (Lemma 5). Next, we define *protected* edges.

► **Definition 2.** *An edge  $uv$  is protected at  $u$  (with respect to some fixed  $\mathcal{C}_{u,\kappa}$ ) if it is extreme, penultimate, or middle at  $u$ . An edge  $uv$  is fully protected if it is protected at both  $u$  and  $v$ .*

Hence, an edge is contained in  $\mathcal{BDG}(V)$  if and only if it is fully protected. We continue with an observation that allows us to argue which edges are fully protected.

► **Observation 3.** *If an edge  $uv_i$  is not extreme at  $u$ , then  $u$  must have consecutive clockwise-ordered Delaunay neighbours  $v_{i-1}, v_i, v_{i+1}$ , all in the same cone  $C \in \mathcal{C}_{u,\kappa}$ . Similarly, if  $uv_i$  is neither extreme nor penultimate at  $u$ , then  $u$  must have consecutive clockwise-ordered Delaunay neighbours  $v_{i-2}, v_{i-1}, v_i, v_{i+1}, v_{i+2}$ , all in the same cone  $C \in \mathcal{C}_{u,\kappa}$ .*

► **Lemma 4.** *Every edge that is penultimate or middle at one of its endpoints is fully protected.*

**Proof.** Consider an edge  $uv$  that is penultimate or middle at  $u$ . Since it is protected at  $u$ , we need to show that it is protected at  $v$ . Since  $uv$  is not extreme at  $u$ ,  $u$  must have consecutive clockwise-ordered Delaunay neighbours  $v_l, v, v_r$  in the same cone by Observation 3.

We show that  $uv$  must be extreme at  $v$ . Suppose for a contradiction that  $uv$  is not extreme at  $v$ . Then, by Observation 3,  $v_l v$  and  $v v_r$  are contained in the same cone with apex  $v$  and angle at most  $\theta < \pi/2$ . However, by Lemma 1,  $\angle(v_l, v, v_r) \geq \pi - \theta > \theta$ , which is impossible. Thus,  $uv$  is extreme at  $v$  and protected at  $v$ . Hence, the edge is fully protected. ◀

Now we can argue about the Delaunay edges along the hull of a vertex (see Figure 2b for an illustration of the lemma).

► **Lemma 5.** *Let  $v_0, \dots, v_{m+1}$  be the clockwise-ordered Delaunay neighbours of  $u$  contained in some cone  $C \in \mathcal{C}_{u,\kappa}$ . The edges in the path  $v_1, \dots, v_m$  are all fully protected.*

**Proof.** Let  $v_i v_{i+1}$  be an edge along this path. Suppose for a contradiction that  $v_i v_{i+1}$  is not protected at  $v_i$ . It is thus neither extreme, penultimate, nor middle at  $v_i$ . Then, by Observation 3,  $v_i u$  and  $v_i v_{i-1}$  must be contained in the same cone with apex  $v_i$  as  $v_i v_{i+1}$ . By Lemma 1,  $\angle(v_{i-1}, v_i, v_{i+1}) \geq \pi - \theta > \theta$ , contradicting that  $v_{i-1}$ ,  $v_i$ , and  $v_{i+1}$  lie in the same cone. Such an edge must therefore be either extreme or penultimate, and thus protected, at  $v_{i \geq 1}$ . An analogous argument shows that the edge is either extreme or penultimate at  $v_{i+1 \leq m}$ . It is thus fully protected. ◀

Since these paths  $v_1, \dots, v_m$  are included in  $\mathcal{BDG}(V)$ , we can modify the proof of Theorem 3 by Li and Wang [11] to suit our construction to prove that  $\mathcal{BDG}(V)$  is a spanner.

► **Theorem 6.**  *$\mathcal{BDG}(V)$  is a  $\max(\pi/2, \pi \sin(\theta/2) + 1)$ -spanner of the Delaunay triangulation  $\mathcal{DT}(V)$  for an adjustable parameter  $0 < \theta < \pi/2$ .*

Putting the results from this section together, using that the Delaunay triangulation is a 1.998-spanner [12], and observing that  $\mathcal{BDG}(V)$  is trivially planar since it is a subgraph of the Delaunay triangulation, we obtain:

► **Corollary 7.** *Given a set  $V$  of  $n$  points in the plane and a parameter  $0 < \theta < \pi/2$ , one can in  $O(n \log n)$  time compute a graph  $\mathcal{BDG}(V)$  that is a planar  $\tau$ -spanner having degree at most  $5 \lceil 2\pi/\theta \rceil$ , where  $\tau = 1.998 \cdot \max(\pi/2, \pi \sin(\theta/2) + 1)$ .*

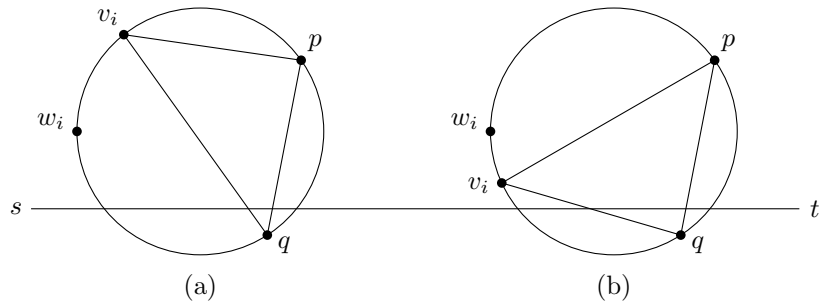
From the proof of Theorem 6 it follows that for every Delaunay edge  $(u, v)$  that is *not* in  $\mathcal{BDG}(V)$ , there is a path from  $u$  to  $v$  along the face containing  $u$  and  $v$  realising a path of length at most  $\tau \cdot |uv|$ . This is a key observation that will be used in Section 3.

### 3 Routing

In order to route efficiently on  $\mathcal{BDG}(V)$ , we modify the local routing algorithm by Bonichon et al. [4]. Given a source  $s$  and a destination  $t$  on the Delaunay triangulation  $\mathcal{DT}(V)$ , we assume without loss of generality that the line segment  $[st]$  is horizontal with  $s$  to the left of  $t$ . This routing algorithm then works as follows: when we are at a vertex  $v_i$  ( $v_0 = s$ ), set  $v_{i+1}$  to  $t$  and terminate if  $v_i t$  is an edge in  $\mathcal{DT}(V)$ . Otherwise, consider the rightmost Delaunay triangle  $T_i = \Delta v_i, p, q$  at  $v_i$  that has a non-empty intersection with  $[st]$ . Denote the circumcircle  $\circ(v_i, p, q)$  with  $C_i$ , denote the leftmost point of  $C_i$  with  $w_i$  and the rightmost intersection of  $C_i$  and  $[st]$  with  $r_i$ .

- If  $v_i$  is encountered in the clockwise walk along  $C_i$  from  $w_i$  to  $r_i$ , set  $v_{i+1}$  to  $p$ , the first vertex among  $\{p, q\}$  encountered on this walk starting from  $v_i$  (see Figure 3a).
- Otherwise, set  $v_{i+1}$  to  $q$ , the first vertex among  $\{p, q\}$  to be encountered in the counter-clockwise walk along  $C_i$  starting from  $v_i$  (see Figure 3b).

We modify this algorithm in such a way that it no longer necessarily uses the rightmost intersected triangle: At  $v_{i > 0}$ , we will find a Delaunay triangle  $A_i$  based on the Delaunay triangle  $A_{i-1} = \Delta v_{i-1}, v_i, f$  used in the routing decision at  $v_{i-1}$  ( $A_0 = T_0$ ).



■ **Figure 3** The routing choice: (a) At  $v_i$  we follow the edge to  $p$ . (b) At  $v_i$  we follow the edge to  $q$ .

Let  $A_i = \Delta v_i, p, q$  be a Delaunay triangle with a non-empty intersection with  $[st]$  to the right of the intersection of  $A_{i-1}$  with  $[st]$ . Moreover, if  $v_i$  is above  $[st]$ , then, when making a counterclockwise sweep centred at  $v_i$  starting from  $v_i v_{i-1}$ , we encounter  $v_i q$  before  $v_i p$ , with  $v_i q$  intersecting  $[st]$  and  $v_i p$  not intersecting  $[st]$ . An analogous statement holds when  $v_i$  lies below  $[st]$ , sweeping in clockwise direction.

We note that these triangles  $A_i$  always exist, since the rightmost Delaunay triangle is a candidate. Furthermore, the triangles occur in order along  $[st]$  by definition. This implies that the routing algorithm terminates.

► **Theorem 8.** *The modified routing algorithm on the Delaunay triangulation is 1-local and has a routing ratio of at most  $(1.185043874 + 3\pi/2) \approx 5.90$ .*

**Proof.** The 1-locality follows by construction. The proof for the routing ratio of Bonichon et al.'s routing algorithm [4] holds for our routing algorithm, since the only parts of their proof using the property that  $T_i$  is rightmost are:

1. The termination of the algorithm (which we argued above).
2. The categorisation of the Worst Case Circles of Delaunay triangles  $T_i$  into three mutually exclusive cases (which we discuss next).

Thus, the modified routing algorithm on the Delaunay triangulation has a routing ratio of at most  $(1.185043874 + 3\pi/2) \approx 5.90$ . ◀

### 3.1 Worst Case Circles

In the analysis of the routing ratio of Bonichon et al.'s routing algorithm [4], the notion of Worst Case Circles is introduced whereby the length of the path yielded by the algorithm is bounded above by some path consisting of arcs along these Worst Case Circles; this arc-path is then shown to have a routing ratio of 5.90.

Suppose we have a candidate path, and are given a Delaunay triangle  $\Delta v_i, v_{i+1}, u$  intersecting  $[st]$ ; we denote its circumcircle by  $C_i$  with centre  $O_i$ . The Worst Case Circle  $C'_i$  is a circle that goes through  $v_i$  and  $v_{i+1}$ , whose centre  $O'_i$  is obtained by starting at  $O_i$  and moving it along the perpendicular bisector of  $[v_i v_{i+1}]$  until either  $st$  is tangent to  $C'_i$  or  $v_i$  is the leftmost point of  $C'_i$ , whichever occurs first. The direction  $O'_i$  is moved in depends on the routing decision at  $v_i$ : if  $v_i$  is encountered on the clockwise walk from  $w_i$  to  $r_i$ , then  $O'_i$  is moved towards this arc, and otherwise,  $O'_i$  is moved in the opposite direction. Letting  $w'_i$  be the leftmost point of  $C'_i$ , we can categorise the Worst Case Circles into the following three mutually exclusive types.

1. Type  $X_1$  :  $v_i \neq w'_i$ , and  $[v_i v_{i+1}]$  does not cross  $[st]$ , and  $st$  is tangent to  $C'_i$ .
2. Type  $X_2$  :  $v_i = w'_i$  and  $[v_i v_{i+1}]$  does not cross  $[st]$ .
3. Type  $Y$  :  $v_i = w'_i$  and  $[v_i v_{i+1}]$  crosses  $[st]$ .

Next, we show that the Worst Case Circles of Delaunay triangles  $A_i$  fall into the same categories. Let  $C_i$  be the circumcircle of  $A_i$  centred at  $O_i$ , let  $w_i$  be the leftmost point of  $C_i$ , and let  $r_i$  be the right intersection of  $C_i$  with  $[st]$ . We begin with the following observation which follows from how the criteria forces  $A_i$  to intersect  $[st]$ :

► **Observation 9.** Let  $A_i = \Delta v_i, p, q$ . Taking a clockwise walk along  $C_i$  from  $v_i$  to  $r_i$ , exactly one of  $p$  or  $q$  is encountered. An analogous statement holds for the counterclockwise walk.

This observation captures the necessary property that allows the categorisation to go through. We denote the Worst Case Circle of  $A_i$  by  $C'_i$  with centre  $O'_i$ , and leftmost point  $w'_i$ .

► **Lemma 10.**  $C'_i$  can be categorised into the following three mutually exclusive types:

1. Type  $X_1$  :  $v_i \neq w'_i$ , and  $[v_i v_{i+1}]$  does not cross  $[st]$ , and  $st$  is tangent to  $C'_i$ .
2. Type  $X_2$  :  $v_i = w'_i$  and  $[v_i v_{i+1}]$  does not cross  $[st]$ .
3. Type  $Y$  :  $v_i = w'_i$  and  $[v_i v_{i+1}]$  crosses  $[st]$ .

**Proof.** If  $[v_i v_{i+1}]$  does not cross  $[st]$ ,  $C'_i$  is clearly of type  $X_1$  or  $X_2$ .

Consider when  $[v_i v_{i+1}]$  crosses  $[st]$ . Without loss of generality, let  $v_i$  be above  $[st]$  and  $v_{i+1}$  be below  $[st]$ . By Observation 9,  $v_i$  occurs on the counterclockwise walk around  $C_i$  from  $w_i$  to  $r_i$ , for if not, neither vertex of  $A_i$  occurs on the clockwise walk around  $C_i$  from  $v_i$  to  $r_i$ . Since  $v_i$  is above  $[st]$ , it lies above the leftmost intersection of  $C_i$  with  $[st]$  and below  $w_i$ .

Since  $O'_i$  is moved along the perpendicular bisector of  $[v_i v_{i+1}]$  towards the counterclockwise arc of  $v_i$  to  $v_{i+1}$ , it must be that  $w'_i$  (which starts at  $w_i$  when  $O'_i$  starts at  $O_i$ ) moves onto  $v_i$  eventually. Thus,  $C'_i$  is Type  $Y$ . ◀

### 3.2 Routing on $\mathcal{BDG}(V)$

In order to route on  $\mathcal{BDG}(V)$ , we simulate the algorithm from the previous section. We first prove a property that allows us to distribute edge information over their endpoints.

► **Lemma 11.** Every edge  $uv \in \mathcal{DT}(V)$  is protected by at least one of its endpoints  $u$  or  $v$ .

**Proof.** Suppose that  $uv$  is not protected at  $u$ . Then  $uv$  is not extreme at  $u$  and thus by Observation 3,  $u$  must have consecutive clockwise-ordered Delaunay neighbours  $v_l, v, v_r$ . By Lemma 1,  $\angle(v_l, v, v_r) \geq \pi - \theta > \theta$  since  $0 < \theta < \pi/2$ , and thus  $v_l$  and  $v_r$  cannot both belong to the same cone with apex  $v$  and angle at most  $\theta$ . Since  $v_r, u, v_l$  are consecutive clockwise-ordered Delaunay neighbours of  $v$ , and  $vv_l$  and  $vv_r$  cannot be in the same cone, it follows that  $vu$  is extreme at  $v$ . Hence,  $uv$  is protected at  $v$  when it is not protected at  $u$ . ◀

This lemma allows us to store all edges of the Delaunay triangulation by distributing them over their endpoints. At each vertex  $u$ , we store:

1. Fully protected edges  $uv$ , with two additional bits to denote whether it is extreme, penultimate, or middle at  $u$ .
2. Semi-protected edges  $uv$  (only protected at  $u$ ), with one additional bit denoting whether the clockwise or counterclockwise face path is a spanning path to  $v$ .

We denote this augmented version of  $\mathcal{BDG}(V)$  as a Marked Bounded Degree Graph or  $\mathcal{MBDG}(V)$  for short. There is only a constant overhead to its construction.

► **Theorem 12.**  $\mathcal{MBDG}(V)$  stores  $O(1)$  words of information at each of its vertices.

**Proof.** There are at most  $5\kappa$  edges, each with two additional bits, and  $2\kappa$  semi-protected edges, each with one additional bit, stored at each vertex, where  $\kappa$  is a fixed constant. ◀

The routing algorithm now works as follows: At a high level, the simulation searches for a suitable candidate triangle  $A_i$  at  $v_i$ . This is done by taking a walk from  $v_i$  along a face to be defined later, ending at some vertex of  $A_i$ . Once at a vertex of  $A_i$ , we know the locations of the other vertices of this triangle and thus we can make the routing decision and we route to that vertex. Next, we describe how to route on the non-triangular faces of  $\mathcal{MBDG}(V)$ .

### 3.2.1 Unguided Face Walks

Suppose  $vu_1$  and  $vu_m$  are a middle edge and a penultimate edge in cone  $C$  and suppose that  $vu_1$  is the shorter of the two. For any vertex  $p$  on this face, we refer to the spanning face path from  $v$  to  $p$  starting with  $vu_1$  as an Unguided Face Walk from  $v$  to  $p$ .

In the simulation, we use Unguided Face Walks in a way that  $p$  is undetermined until it is reached; we will take an Unguided Face Walk from  $v$  and test at each vertex along this walk if it satisfies some property, ending the walk if it does. Routing in this manner from  $v$  to  $p$  can easily be done locally: Suppose  $vu_1$  was counterclockwise to  $vu_m$ . Then, at any intermediate vertex  $u_i$ , we take the edge immediately counterclockwise to  $u_iu_{i-1}$  ( $v = u_0$ ). The procedure when  $vu_1$  is clockwise to  $vu_m$  is analogous.

► **Observation 13.** An Unguided Face Walk needs  $O(1)$  memory since at  $u_i$ , the previous vertex along the walk  $u_{i-1}$  must be stored in order to determine  $u_{i+1}$ .

► **Observation 14.** An Unguided Face Walk from  $v$  to  $p$  has a stretch factor of at most  $\max(\pi/2, \pi \sin(\theta/2) + 1)$  by the proof of Theorem 6.

### 3.2.2 Guided Face Walks

Suppose  $vp$  is extreme at  $v$  but not protected at  $p$  (i.e., it is a semi-protected edge stored at  $v$ ). Then,  $vp$  is a chord of some face determined by  $pu_1$  and  $pu_m$  where the former is a middle edge and the latter a penultimate edge. Moreover, recall that we stored a bit with the semi-protected edge  $vp$  at  $v$  indicating whether to take the edge clockwise or counterclockwise to reach  $p$ . We refer to the face path from  $v$  to  $p$  following the direction pointed to by these bits as the Guided Face Walk from  $v$  to  $p$ . Routing from  $v$  to  $p$  can now be done as follows:

1. At  $v$ , store  $p$  in memory.
2. Until  $p$  is reached, if there is an edge to  $p$ , take it. Otherwise, take the edge pointed to by the bit of the semi-protected edge to  $p$ .

► **Observation 15.** A Guided Face Walk needs  $O(1)$  memory since  $p$  needs to be stored in memory for the duration of the walk.

► **Observation 16.** A Guided Face Walk from  $v$  to  $p$  has a stretch factor of at most  $\max(\pi/2, \pi \sin(\theta/2) + 1)$  by the proof of Theorem 6.

### 3.2.3 Simulating the Routing Algorithm

We are now ready to describe the routing algorithm in more detail. First, we consider finding the first vertex after  $s$ . If  $st$  is an edge, take it and terminate. Otherwise, at  $s = v_0$ , we consider all edges protected at  $s$ , and let  $su_1$  and  $su_m$  be the first such edge encountered in a counterclockwise and clockwise sweep starting from  $[st]$  centred at  $s$ . There are two subcases.

(I) If both  $su_1$  and  $su_m$  are not middle edges at  $s$ ,  $\Delta s, u_1, u_m$  is a Delaunay triangle  $A_0$ . Determine whether to route to  $u_1$  or  $u_m$ , using the method described at the start of Section 3. If the picked edge is fully protected, we follow it. Otherwise, we take the Guided Face Walk from  $s$  to this vertex.



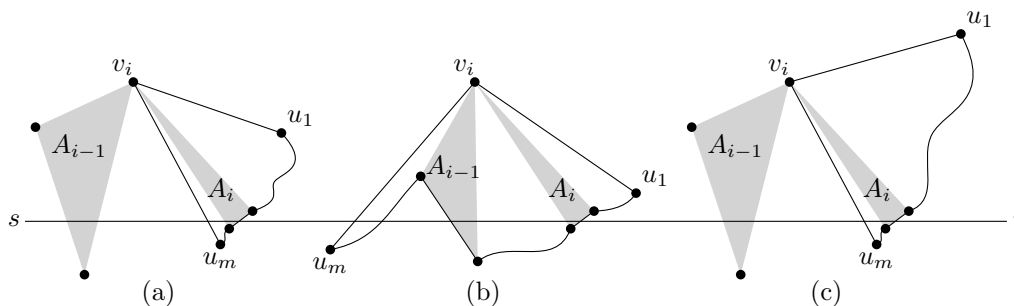
(II) If one of  $su_1$  and  $su_m$  is a middle edge at  $s$ , the other edge must then be a penultimate edge. Then,  $A_0 = \Delta s, p, q$  must be contained in the cone with apex  $s$  sweeping clockwise from  $su_1$  to  $su_m$ . We assume that  $su_1$  is shorter than  $su_m$ . Take the Unguided Face Walk from  $s$  until some  $u_i$  such that  $u_i = p$  is above  $[st]$  and  $u_{i+1} = q$  is below  $[st]$ . We have now found  $A_0 = \Delta s, p, q$  and we determine whether to route to  $p$  or  $q$ , using the method described at the start of Section 3.

In both cases, the memory used for the Face Walks is cleared and  $A_0 = \Delta s, u_1, u_m$  is stored as the last triangle used.

Next, we focus on how to simulate a routing step from an arbitrary vertex  $v_i$ . Suppose  $v_i$  is above  $[st]$ , and that  $A_{i-1}$  is stored in memory. If  $v_i t$  is an edge, take it and terminate. Otherwise, let  $v_i f$  be rightmost edge of  $A_{i-1}$  that intersects  $[st]$ , and  $\overline{v_i f}$  be its extension to a line. Make a counterclockwise sweep, centred at  $v_i$  and starting at  $v_i f$ , through all edges that are protected at  $v_i$  that lie in the halfplane defined by  $\overline{v_i f}$  that contains  $t$ . Note that this region must have at least one such edge, since otherwise  $v_i f$  is a convex hull edge, which cannot be the case since  $s$  and  $t$  are on opposite sides.

(I) If there is some edge that does not intersect  $[st]$  in this sweep, let  $v_i u_1$  be the first such edge encountered in the sweep and let  $v_i u_m$  be the protected edge immediately clockwise to  $v_i u_1$  at  $v_i$ . There are two cases to consider.

(I.I) If  $A_{i-1}$  is not contained in the cone with apex  $v_i$  sweeping clockwise from  $v_i u_1$  to  $v_i u_m$  (see Figure 4a), simulating the Delaunay routing algorithm is analogous to the method used for the first step: determine if  $v_i u_1$  or  $v_i u_m$  is a middle edge and use a Guided or Unguided Face Walk to reach the proper vertex of  $A_i$ .



■ **Figure 4** The three cases when simulating a step of the routing algorithm: (a) Case I.I, (b) case I.II, and (c) case II.

(I.II) If  $A_{i-1}$  is contained in the cone with apex  $v_i$  sweeping clockwise from  $v_i u_1$  to  $v_i u_m$  (see Figure 4b), then one of  $v_i u_1$  and  $v_i u_m$  must be a middle edge and the other a penultimate edge, since the edge  $v_i f$  is contained in the interior of this cone. Then,  $A_i = \Delta v_i, p, q$  must be contained in the cone with apex  $v_i$  sweeping clockwise from  $v_i u_1$  to  $v_i f$ .

We take the Unguided Face Walk, starting from the shorter of  $v_i u_1$  and  $v_i u_m$ , stopping when we find some  $u_i$  such that  $u_i = p$  is above  $[st]$  and  $u_{i+1} = q$  is below  $[st]$ , and make the decision to complete the Unguided Face Walk to  $q$  or not. Note that when starting from  $v_i u_m$  we need to pass  $f$  to ensure that  $A_i$  lies to the right of  $A_{i-1}$ .

(II) If all of the edges in the sweep intersect  $[st]$  (see Figure 4c), let  $v_i u_m$  be the last edge encountered in the sweep, and  $v_i u_1$  be the protected edge immediately counterclockwise to it. Note that  $A_{i-1}$  cannot be contained in this cone, as that would imply that  $\angle(u_1, v_i, u_m) \geq \pi$ , making  $v_i u_m$  a convex hull edge. Simulating the Delaunay routing algorithm is analogous to the method used for the first step: determine if  $v_i u_1$  or  $v_i u_m$  is a middle edge and use a Guided or Unguided Face Walk to reach the proper vertex of  $A_i$ .

In all cases, we clear the memory and store  $A_i = \Delta v_i, p, q$  as the previous triangle. The case where  $v_i$  lies below  $[st]$  is analogous. We obtain the following theorem.

► **Theorem 17.** *The routing algorithm on  $MBDG(V)$  is 1-local, has a routing ratio of at most  $5.90 \cdot \max(\pi/2, \pi \sin(\theta/2) + 1)$  and uses  $O(1)$  memory.*

## 4 Lightness

In the previous sections we have presented a bounded degree network  $MBDG(V)$  with small spanning ratio that allows for local routing. It remains to show how we can prune this graph even further to guarantee that the resulting network  $\mathcal{L}MBDG(V)$  also has low weight.

We will describe a pruning algorithm that takes  $MBDG(V)$  and returns a graph (Light Marked Bounded Degree Graph)  $\mathcal{L}MBDG(V) \subseteq MBDG(V)$ , allowing a trade-off between the weight (within a constant times that of the minimum spanning tree of  $V$ ) and the (still constant) stretch factor. Then, we show how to route on  $\mathcal{L}MBDG(V)$  with a constant routing ratio and constant memory.

### 4.1 The Levcopoulos and Lingas Protocol

To bound the weight of  $MBDG(V)$ , we use the algorithm by Levcopoulos and Lingas [10] with two slight modifications: (1) allow any planar graph as input instead of only Delaunay triangulations, and (2) marking the endpoints of pruned edges to facilitate routing.

At a high level, the algorithm works as follows: Given  $MBDG(V)$ , we compute its minimum spanning tree and add these edges to  $\mathcal{L}MBDG(V)$ . We then take an Euler Tour around the minimum spanning tree, treating it as a degenerate polygon  $P$  enclosing  $V$ . Finally, we start expanding  $P$  towards the convex hull  $CH(V)$ . As edges of  $MBDG(V)$  enter the interior of  $P$ , we determine whether to add them to  $\mathcal{L}MBDG(V)$ . This decision depends on a given parameter  $r > 0$ . If an edge is excluded from  $\mathcal{L}MBDG(V)$ , we augment its endpoints with information to facilitate routing should that edge be used in the path found on  $MBDG(V)$ . Once  $P$  has expanded into  $CH(V)$ , we return  $\mathcal{L}MBDG(V)$ .

Before we bound the weight of  $\mathcal{L}MBDG(V)$ , we need some new notations. An edge in  $\mathcal{L}MBDG(V)$  that belongs to the polygon  $P$ , or lies in the interior of  $P$ , is called an *included settled* edge. If it does not belong to  $\mathcal{L}MBDG(V)$ , then we say it is an *excluded settled* edge. An edge that has not been processed yet by the algorithm is said to be an *unsettled* edge.

Given an unsettled edge  $uv$ , let  $\partial P(u, v)$  be the path along  $P$  from  $u$  to  $v$  such that  $\partial P(u, v)$  concatenated with  $uv$  forms a closed curve that does not intersect the interior of  $P$ . When processing an edge  $uv$ , it is added to  $\mathcal{L}MBDG(V)$  when the summed weight of the edges of  $\partial P(u, v)$  is greater than  $(1 + 1/r) \cdot |uv|$ . This implies that  $\mathcal{L}MBDG(V)$  is a spanner.

► **Theorem 18.**  *$\mathcal{L}MBDG(V)$  is a  $(1 + 1/r)$ -spanner of  $MBDG(V)$  for an adjustable parameter  $r > 0$ .*

► **Theorem 19.**  *$\mathcal{L}MBDG(V)$  has weight at most  $(2r + 1)$  times the weight of the minimum spanning tree of  $MBDG(V)$  for an adjustable parameter  $r > 0$ .*

**Proof.** Let  $P$  be the polygon that encloses  $V$  in the above algorithm. Initially  $P$  is the degenerate polygon described by the Euler tour of the minimum spanning tree of  $V$  in  $\mathcal{L}MBDG(V)$ . Give each edge  $e$  of  $P$ , a starting credit of  $r|e|$ . Denote the sum of credits of edges in  $P$  with  $credit(P)$ . The sum of  $credit(P)$  and the weight of the initially included settled edges is then  $(2r + 1)$  times the weight of the minimum spanning tree of  $MBDG(V)$ .

As  $P$  is expanded and edges are settled, we adjust the credits in the following manner:

- If an unsettled edge  $uv$  is added into  $\mathcal{LMBDG}(V)$  when settled, we set the credit of the newly added edge  $uv$  of  $P$  to  $credit(\partial P(u, v)) - |uv|$ , and, by removing  $\partial P(u, v)$  from  $P$ , we effectively set the credit of edges along  $\partial P(u, v)$  to 0.
- If an unsettled edge is excluded from  $\mathcal{LMBDG}(V)$  when settled, we set the credit of the newly added edge  $uv$  of  $P$  to  $credit(\partial P(u, v))$ , and, by removing  $\partial P(u, v)$ , we effectively set the credit of edges along  $\partial P(u, v)$  to 0.

We can see that the sum of  $credit(P)$  and the weights of included settled edges, at any time, is at most  $2r + 1$  times the weight of the minimum spanning tree of  $\mathcal{MBDG}(V)$ .

It now suffices to show that  $credit(P)$  is never negative, which we do by showing that for every edge  $uv$  of  $P$ , at any time,  $credit(uv) \geq r \cdot weight(uv) \geq 0$ . Initially, when  $P$  is the Euler Tour around the minimum spanning tree of  $\mathcal{MBDG}(V)$ , we have that  $credit(uv) = r \cdot weight(uv)$ . We now consider two cases.

(I) If  $uv$  is added to  $\mathcal{LMBDG}(V)$ , then  $credit(uv)$  equals

$$credit(\partial P(u, v)) - |uv| \geq r \cdot weight(\partial P(u, v)) - |uv| \geq r(1 + 1/r) |uv| - |uv| = r \cdot weight(uv).$$

The first inequality holds from the induction hypothesis, and the second inequality and last equality hold since  $uv$  is added to  $\mathcal{LMBDG}(V)$ .

(II) If  $uv$  is not added to  $\mathcal{LMBDG}(V)$ , then  $credit(uv)$  equals

$$credit(\partial P(u, v)) \geq r \cdot weight(\partial P(u, v)) = r \cdot weight(uv).$$

The first inequality holds by induction, and the equality holds since  $uv$  was not added.

Since  $credit(P)$  is never negative, and the sum of  $credit(P)$  and the weights of included settled edges is at most  $2r + 1$  times the weight of the minimum spanning tree of  $\mathcal{MBDG}(V)$ , the theorem follows. ◀

Putting together all the results so far, we get:

► **Theorem 20.** *Given a set  $V$  of  $n$  points in the plane together with two parameters  $0 < \theta < \pi/2$  and  $r > 0$ , one can compute in  $O(n \log n)$  time a planar graph  $\mathcal{LMBDG}(V)$  that has degree at most  $5 \lceil 2\pi/\theta \rceil$ , weight of at most  $((2r + 1) \cdot \tau)$  times that of a minimum spanning tree of  $V$ , and is a  $((1 + 1/r) \cdot \tau)$ -spanner of  $V$ , where  $\tau = 1.998 \cdot \max(\pi/2, \pi \sin(\theta/2) + 1)$ .*

**Proof.** Let us start with the running time. The algorithm by Levcopoulos and Lingas (Lemma 3.3 in [10]) can be implemented in linear time and, according to Corollary 7,  $\mathcal{BDG}(V)$  can be constructed in  $O(n \log n)$  time, hence,  $O(n \log n)$  in total.

The degree bound and planarity follow immediately from the fact that  $\mathcal{LMBDG}(V)$  is a subgraph of  $\mathcal{MBDG}(V)$ , and the bound on the stretch factor follows from Theorem 18.

It only remains to bound the weight. Callahan and Kosaraju [7] showed that the weight of a minimum spanning tree of a Euclidean graph  $G(V)$  is at most  $t$  times that of the weight of  $MST(V)$  whenever  $G$  is a  $t$ -spanner on  $V$ . Since  $\mathcal{MBDG}(V)$  is a  $\tau$ -spanner on  $V$  by Corollary 7,  $\mathcal{LMBDG}(V)$  has weight of at most  $((2r + 1) \cdot \tau)$  times that of the minimum spanning tree of  $V$ . This concludes the proof of the theorem. ◀

Finally, we prove that  $\mathcal{LMBDG}(V)$  has short paths between the ends of pruned edges.

► **Theorem 21.** *Let  $uv$  be an excluded settled edge. There is a face path in  $\mathcal{LMBDG}(V)$  from  $u$  to  $v$  of length at most  $(1 + 1/r) \cdot |uv|$ .*

**Proof.** If  $uv$  is the first excluded settled edge processed by the Levcopoulos-Lingas algorithm, then all edges of  $\partial P(u, v)$  must be included in  $\mathcal{LMBDG}(V)$ . By planarity, no edge will be added into the interior of the cycle consisting of  $uv$  and  $\partial P(u, v)$  once  $uv$  is settled, and thus  $uv$  will be a chord on the face in  $\mathcal{LMBDG}(V)$  that coincides with  $\partial P(u, v)$ . Thus,  $\partial P(u, v)$  is a face path in  $\mathcal{LMBDG}(V)$  from  $u$  to  $v$  with a length of at most  $\text{weight}(uv) \leq (1 + 1/r) \cdot |uv|$ .

Otherwise, if  $uv$  is an arbitrary excluded edge, then some edges of  $\partial P(u, v)$  may be excluded settled edges. If none are excluded, then  $\partial P(u, v)$  is again a face path with length at most  $\text{weight}(uv)$ . However, if some edges are excluded, then, by induction, for each excluded edge  $pq$  along  $\partial P(u, v)$ , there is a face path in  $\mathcal{LMBDG}(V)$  from  $p$  to  $q$  with a length of  $\text{weight}(pq) \leq (1 + 1/r) \cdot |pq|$ . Replacing all such  $pq$  in  $\partial P(u, v)$  by their face paths, and since no edge will be added into the interior of the cycle consisting of  $uv$  and  $\partial P(u, v)$  once  $uv$  is settled,  $\partial P(u, v)$  with its excluded edges replaced by their face paths is a face path in  $\mathcal{LMBDG}(V)$  from  $u$  to  $v$  with a length of  $\text{weight}(uv) \leq (1 + 1/r) \cdot |uv|$ . ◀

## 5 Routing on the Light Graph

In order to route on  $\mathcal{LMBDG}(V)$ , we store an edge at each of its endpoints when it is excluded. Specifically, let  $uv$  be some excluded edge, at  $u$  (and  $v$ ) we store  $uv$ , along with one bit to indicate whether the starting edge of the  $(1 + 1/r)$ -path is the edge clockwise or counterclockwise to  $uv$ .

► **Observation 22.**  $\mathcal{LMBDG}(V)$  stores  $O(1)$  words of information at each vertex.

To route on  $\mathcal{LMBDG}(V)$ , we simulate the routing algorithm on  $\mathcal{MBDG}(V)$ . When this algorithm would follow an excluded edge  $uv$  at  $u$ , we store  $v$  and the orientation of the face path from  $uv$  at  $u$  in memory. Then, until  $v$  is reached, take the edge that is clockwise or counterclockwise to the edge arrived from, in accordance with the orientation stored. Once  $v$  is reached, we proceed with the next step of the routing algorithm on  $\mathcal{MBDG}(V)$ .

Note that bounding the weight in this manner only requires the input graph to be planar. It transforms the pruned edges into  $O(d)$  information, where  $d$  is the degree of the input graph. This information is then distributed across the vertices of the face, such that each vertex stores  $O(1)$  information. The scheme of simulating a particular routing algorithm and switching to a face routing mode when needed can then be applied to the resulting graph.

► **Lemma 23.** *The routing algorithm on  $\mathcal{LMBDG}(V)$  is 1-local, has a routing ratio of  $5.90(1 + 1/r) \max(\pi/2, \pi \sin(\theta/2) + 1)$  and uses  $O(1)$  memory.*

**Proof.** The 1-locality follows by construction. The routing ratio follows from Theorem 17. Finally, the memory bound follows from the fact that while routing along a face path to get across a pruned edge, no such subpaths can be encountered. Thus, the only additional memory needed at any point in time is a constant amount to navigate a single face path. ◀

## 6 Conclusion

We showed how to construct and route locally on a bounded-degree lightweight spanner. In order to do this, we simulate the Delaunay routing algorithm by Bonichon et al. [4]. A natural question is whether our routing algorithm can be improved by using the improved Delaunay routing algorithm by Bonichon et al. [3]. Unfortunately, this is not obvious: when applying the improved algorithm on our graph, we noticed that the algorithm can revisit vertices. While this may not be a problem, it implies that the routing ratio proof from [3] needs to be modified in a non-trivial way and thus we leave this as future work.

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