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Waste heat recovery technologies for offshore platforms

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9 Abstract

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This article aims at finding the most suitable waste heat recovery technology for existing and future offshore facilities. The technologies considered in this work are the steam Rankine cycle, the air bottoming cycle and the organic Rankine cycle.

A multi-objective optimization approach is employed to attain optimal designs for each bottoming unit by selecting specific functions tailored to the oil and gas sector, i.e. yearly CO₂ emissions, weight and economic revenue. The test case is the gas turbine-based power system serving an offshore platform in the North Sea.

Results indicate that the organic Rankine cycle technology presents larger performances com pared to steam Rankine cycle units, whereas the implementation of air bottoming cycle modules
 is not attractive from an economic and environmental perspective compared to the other two tech nologies.

Despite the relatively high cost of the expander and of the primary heat exchanger, organic Rankine cycle turbogenerators appear thus to be the preferred solution to abate CO₂ emissions and pollutants on oil and gas facilities.

As a practical consequence, this paper provides guidelines for the design of high-efficiency, cost-competitive and low-weight power systems for offshore installations.

²⁶ Keywords: Offshore, Oil & gas, Organic Rankine cycles, Waste heat, Optimization

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1 1. Introduction

Owing to environmental concerns there is an urgent need to reduce greenhouse gas emissions 2 and pollutants in the industrial, civil and transport sector. As reported by Nguyen et al. [1], the 3 North Sea oil and gas platforms were responsible for about 25% of the total CO₂ emissions of 4 Norway in 2011. On offshore facilities the major contributor to the overall emissions is the power 5 system which typically releases a large amount of heat to the environment [1]. Since 1991 Norway 6 levies carbon tax on hydrocarbon fuels and the Norwegian government has recently increased 7 the taxation by 200 NOK (32 \$) per ton of CO_2 in 2013 [2]. Thus, increasing the performance 8 of power systems in offshore applications has become a focus area from an environmental and 9 economic perspective. On oil and gas facilities one or more redundant gas turbines supply the 10 electric power demand. As an example, a standard operational strategy is to share the load between 11 two engines, while a third is on stand-by or on maintenance. The two gas turbines typically run at 12 fairly low loads (around 50%) in order to decrease the risk of failure of the system, which would 13 cause a high economic loss to the platform operator. On the other hand, this operational strategy 14 reduces significantly the system performance, which in turns results in a large amount of waste 15 heat contained in the exhaust gases exiting the engines. 16

A viable solution to enhance the efficiency is to implement a waste heat recovery unit at the 17 bottom of the gas turbines. Major design criteria are compactness, low weight and high reliability. 18 A mature technology accomplishing these duties is the steam Rankine cycle (SRC). Kloster [3] 19 described the existing SRC units in the Oseberg, Eldfisk and Snorre B offshore installations. Aim-20 ing at minimizing the weight of the heat transfer equipment, Nord and Bolland [4] suggested the 21 use of SRC turbogenerators equipped with single-pressure once-through boilers (OTBs), instead 22 of the heavier drum-type heat recovery steam generators. Air bottoming cycle (ABC) systems 23 constitute a valid alternative to SRC units as they employ a non-toxic and inflammable working 24 fluid. Moreover, ABC modules do not require a condenser as they operate as open-cycles, thus 25 potentially leading to high compactness and low weight. Bolland et al. [5] performed a feasibility 26 study on the implementation of ABCs offshore. Results proved that, despite the low gain in per-27 formance, low weight and short pay-back time are attained. Pierobon et al. [6] proposed instead 28

Nomenclature

Α	area [m ²]	Abbreviations	
C_{T}	turbine constant [kg K ^{0.5} s ⁻¹ bar ⁻¹]	ABC	air bottoming cycle
F	factor in Equation B.6	AMA	arithmetic mean average
F _{cu}	copper loss fraction	DC	direct cost
$F_{\rm h}, F_{\rm p}, F_{\rm t}, F_{\rm l}$	fin height, pitch, thickness and length [mm]	FPHE	finned-plate heat exchanger
G	mass flow velocity [kg m ⁻² s ⁻¹]	IC	indirect cost
I _{CO2}	income saved CO2 emissions [\$ yr-1]	ORC	organic Rankine cycle
I _{fuel}	income saved fuel consumptions [\$ yr-1]	OTB	once-through boiler
Load	electric generator load	PEC	purchased-equipment cost
M_{f}	maintenance factor	RS D	relative standard deviation
Ν	rotational speed [rad s ⁻¹]	SRC	steam Rankine cycle
NPV	net present value [\$]	TCI	total capital investment
$N_{ m tp}$	number of tube passes	Greek letters	
N _r	average number of tubes in a vertical tube row	Δ	difference
Np	number of plates	η	efficiency
Nt	number of parallel tubes	γ	exponent in Equation B.4
Nu, Pr, Re	Nusselt, Prandtl and Reynolds number	$\Gamma_{\rm h}$	tube loading [kg m ⁻¹ s ⁻¹]
Р	power [kW]	λ	thermal conductivity [W m ⁻¹ K ⁻¹]
P_1	longitudinal pitch [m]	μ	viscosity [kg m ⁻¹ s ⁻¹]
R_{ct}	thermal conduction resistance [K kW ⁻¹]	ρ	density [kg m ⁻³]
Т	temperature [K]	ξ	friction factor
U	overall heat transfer coefficient [kW m ⁻² K ⁻¹]	Subscripts	
W	weight [kg]	a, exh	air and exhaust gases
X	steam moisture content	b	baffle
\bar{X}, \bar{J}	arrays of variables and of objective functions	С	cold side, compressor or condenser
Ϋ́	volumetric flow rate [m ³ s ⁻¹]	des	design
'n	mass flow [kg s ⁻¹]	eco, eva, sup	economizer, evaporator and superheater
ġ	heat rate [kW]	el	electric
cp	constant pressure heat capacity [kJ kg ⁻¹ K ⁻¹]	f	fouling
d	diameter [m]	gen	generator
f, j	Fanning and Colburn factors	h	hot side
h	heat transfer coefficient [kW m ⁻² K ⁻¹] or en-	i	inner
	thalpy [kJ kg ⁻¹]	in, out	inlet and outlet
i	discount rate	is	isentropic
l	length [m]	l, v	liquid and vapour
m _{CO2}	CO_2 emissions [ton d ⁻¹]	lm	logarithmic mean
n	number of stages or life-time [yr]	р	pump
nf	number of fins per meter [m ⁻¹]	r	recuperator
р	pressure [bar]	sh	shell-and-tube
$r_{\rm e}, r_{\rm c}$	expansion and compression ratios	t	turbine or tube
и	velocity [m s ⁻¹]	wet	wet

the use of organic Rankine cycle (ORC) turbogenerators by tailoring their design to an exemplary 1 oil and gas platform. The authors employed a multi-objective optimization approach to minimize 2 the volume requirement, and, simultaneously, maximize the thermal efficiency and the economic 3 revenue. As surveyed by Walnum et al. [7], supercritical CO₂ power units may also be utilized 4 to decrease the greenhouse emissions and pollutants as they exhibit high performances both at 5 design- and part-load conditions. Mazzetti et al. [8] showed that combined cycle performances 6 using a dual-stage supercritical CO₂ bottoming unit are comparable with the values obtained with 7 SRC module. Furthermore, due to the high working pressure, the power module is expected to a 8 be extremely compact and light. 9

Notwithstanding the above-mentioned works, to the knowledge of the authors there is a lack in 10 the literature of a comprehensive comparison among waste heat recovery technologies for offshore 11 applications. In this context, this paper aims at finding the most suitable waste heat recovery unit 12 to be implemented on existing and future oil and gas platforms. The multi-objective optimization 13 coupled with the genetic algorithm is utilized to search for the optimal system designs (i.e. Pareto 14 fronts) of each technology. The objective functions are the economic revenue, the weight of the 15 bottoming cycle unit and the daily CO₂ emissions. The detailed design of the heat transfer equip-16 ment and the material selection enable to include geometric quantities (e.g. tube length and tube 17 diameter) among the optimization variables, thus allowing the estimation of the weight. Moreover, 18 the implementation of part-load models within the optimization routine consents to evaluate the 19 CO_2 emissions over the entire year, and to estimate the profitability of the alternative investments. 20 The case study is the power plant installed on an offshore oil and gas platform in the North Sea. 21 The three power units considered in this work are the organic Rankine cycle, the steam Rankine 22 cycle and the air bottoming cycle. Supercritical CO₂ cycle configurations are not analysed here 23 since such systems are still in the development phase. 24

This paper is structured as follows: Section 2 introduces the case study, while Section 3 describes the methodology utilized to perform the multi-objective design optimization. The results are then reported and discussed in Section 4. Concluding remarks are given in Section 5.

1 2. Case study

The case study is the power system installed on the Draugen oil and gas offshore platform, 2 located 150 km from Kristiansund, in the Norwegian Sea. The reservoir was discovered in 1984 3 and started operation in 1993. The platform, operated by A/S Norske Shell, produces gas exported 4 via Åsgard gas pipeline to Kårstø (Norway) and oil, which is first stored in tanks at the bottom of 5 the sea and then shipped via a shuttle tanker (once every 1-2 weeks). Figure 1 shows the electric 6 power demand, covered by three Siemens SGT500 gas turbines, on the platform in 2012. The 7 non-dimensional values are reported with respect to the normal total electric load, equal to 19 8 MW. The power demand is increased up to 25 MW during oil export. To enhance the reliability 9 and diminish the risk of failure of the power system, two turbines run at a time covering 50% of 10 the load each, while the third one is kept on stand-by, allowing for maintenance work. Despite 11 the low performance, this strategy ensures the necessary reserve power for peak loads, and the 12 safe operation of the engines. Table 1 lists the design-point specifications of the gas turbines as 13 provided by the manufacturer. The twin-spool engine employs two coaxial shafts coupling the low 14 pressure compressor (LPC) with the low pressure turbine (LPT) and the high pressure compressor 15 (HPC) with the high pressure turbine (HPT). The power turbine (PT) transfers mechanical power 16 through a dedicated shaft to the electric generator (GEN). Natural gas is the fuel utilized in the 17 combustion chamber (CC). 18

Table 1: Design-point specifications for the twin-spool gas turbine installed on the Draugen offshore oil and gas platform.

Figure 2 shows the layout of the power system with the additional SRC unit recovering the 19 heat contained in the exhaust gases produced by gas turbine A. Note that the bottoming cycle 20 units should have the capability to harvest the waste heat alternatively from the other two engines, 21 thus ensuring high performances when switching the gas turbines on operation. The SRC unit 22 comprehends the single-pressure non-reheat once-through boiler (OTB), the steam turbine, the 23 sea-water cooled shell-and-tube condenser and the feed-water pump. As surveyed by Nord and 24 Bolland [4], this basic configuration consents to minimize the space requirement and the total 25 weight. In Figure 3(a) the bottoming cycle unit is an ORC turbogenerator using cyclopentane 26

as working fluid. This compound is widely adopted for operating ORC systems in this range 1 of temperature, see e.g. Del Turco et al. [9]. The layout is similar to that of the SRC power 2 module. As the slope of the saturation curve of cyclopentane is positive (dry fluid), a shell-and-3 tube recuperator is added to decrease the energy contained in the superheated vapour exiting the 4 ORC expander. Figure 3(b) depicts the combined plant configuration including the ABC unit. 5 The air compressor (AC) sucks in ambient air, which is then heated up in the finned-plate heat 6 exchanger (FPHE). As reported in Kays and London [10], this device offers higher performances 7 and compactness for gas-to-gas heat transfer processes compared to shell-and-tube and flat-plate 8 heat exchangers. The air then expands through the air turbine (AT) and the power air turbine 9 (PAT), which drive the air compressor and the electric generator, respectively. The two-spool 10 configuration is selected as it allows for higher part-load efficiencies compared to the single-spool 11 arrangement [5]. Thermodynamic and transport properties of water, cyclopentane and air are 12 calculated according to the models implemented in the open-source software developed by Bell 13 et al. [11]. 14

Figure 1: Relative electric power demand on the Draugen offshore oil and gas platform in 2012. Daily average values are reported.

Figure 2: Simplified layout of the power system on the Draugen offshore oil and gas platform. The steam Rankine cycle module is added to recover part of the thermal power released with the exhaust gases of one engine, in the case gas turbine A.

Figure 3: Combined cycle layouts, gas turbines B and C are also available but they are not shown in the sub-figures. 3(a) The organic Rankine cycle unit recovers part of the thermal power released with the exhausts of turbine A. 3(b) The air bottoming cycle module recuperates part of the thermal power released with the exhausts of turbine A.

This work assumes that the combined cycle (gas turbine A and the bottoming cycle unit) and one gas turbine share the base-load power demand (19 MW), while the other engine is on stand-by. Although a wide variety of scenarios could be investigated, it was decided to split the load so that the combined cycle provides 14 MW and gas turbine B supplies the remaining 5 MW. Note that for all the three technologies the combined cycle alone could potentially cover the entire base-load power demand with a higher efficiency. Nonetheless, this option is discarded since the necessary reserve power for peak loads would not be immediately available during normal operation and it would require the ignition of one of the gas turbines. Moreover, the proposed configuration does not compromise maintenance operations as the platform operator can decide to stop the gas turbine serving the combined cycle unit, and replace its function with one of the remaining engines.

8 3. Methodology

This section introduces first the procedures adopted for the thermodynamic cycle analysis of each bottoming unit (Section 3.1), and for the the heat transfer equipment design (Section 3.2). Subsequently, Sections 3.3 and 3.4 deal with the part-load modelling of the combined cycle components and with the control strategies assumed for the waste heat recovery systems. The economic analysis is then outlined in Section 3.5. Finally, Section 3.6 describes the simulation tool utilized to perform the design-point optimization.

15 3.1. Thermodynamic state calculation

The design-point analysis commences with the thermodynamic cycle calculation for the power modules illustrated in Figures 2, 3(a) and 3(b). Such step is accomplished by applying the first principle of Thermodynamics and the mass balance equation to each plant constituents, thus yielding the computation of the thermodynamic states at the inlet and outlet of each system component.

Figure 4: T - s diagrams showing the thermodynamic cycle state points of one design candidate for each waste heat recovery technology. Isobar lines are also reported, pressure drops are neglected. 4(a) T - s diagram and saturation dome (water) for the SRC turbogenerator. 4(b) T - s diagram and saturation dome (cyclopentane) for the ORC power module. 4(c) T - s diagram for the ABC unit.

For the sake of completeness, Figures 4(a), 4(b) and 4(c) illustrate the T - s diagrams of one design candidate for each waste heat recovery technology obtained by applying the multi¹ objective optimization approach (see Section 4). The nodes where the working fluid is in saturated ² conditions, i.e. nodes 3 and 4 in Figure 4(a) and 4, 5 and 9 in Figure 4(b), are not reported in the ³ plant layouts as evaporation and condensation start inside the once-through boiler and the shell-⁴ and-tube condenser, respectively. As liquid droplets deteriorate the performance of the latter steam ⁵ turbine stages (see point 6 in Figure 4(a)), the design-point isentropic efficiency $\eta_{is,t}$ is penalized ⁶ employing a correction factor expressed as a function of the steam moisture content X_6 at the ⁷ turbine outlet (see Cotton [12]). The wet isentropic efficiency $\eta_{is,t,wet}$ is thus expressed as

$$\eta_{\text{is,t,wet}} = \eta_{\text{is,t}} - 2(1 - X_6) , \qquad 0.984 < X_6 < 1.0 ,$$

$$\eta_{\text{is,t,wet}} = \eta_{\text{is,t}} - 0.032 - 0.76 [1 - (X_6 + 0.016)] , \qquad X_6 \le 0.984 .$$
(1)

8

⁹ For energy balance calculations involving the exhaust gases, a constant pressure specific heat ¹⁰ capacity of 1100 J kg⁻¹K⁻¹ is used. Design-point temperature and mass flow of the exhaust stream ¹¹ exiting the gas turbine are 379.2 °C and 91.5 kg s⁻¹ (see Table 1).

¹² 3.2. Heat transfer equipment

The heat exchanger design procedure requires determining the surface area A by evaluating, through an iterative procedure, the overall heat transfer coefficient U, which at hands reads

$$\frac{1}{UA} = \frac{1}{h_c A_c} + \frac{1}{h_{f,c} A_c} + R_{ct} + \frac{1}{h_h A_h} + \frac{1}{h_{f,h} A_h} , \qquad (2)$$

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where *h* is fluid film coefficient, and R_{ct} is the thermal conduction resistance. The subscripts "c" and "h" stand for the cold and the hot side, while "f" refers to the fouling factor. Well-documented standardized procedures are adopted for the design of the heat transfer equipment, thus relating geometric quantities such as tube diameter and tube length to the coefficients given in Equation 2. Namely, the once-through boiler is modelled following the methodology suggested by Dumont and Heyen [13], the shell-and-tube heat exchanger with the approach reported in Coulson et al. [14] and the finned-plate heat exchanger using the procedure utilized by Yousefi et al. [15]. Figure 5: Layout of the once-through boiler serving the steam Rankine cycle and the organic Rankine cycle power units. The exhaust gases exiting the gas turbine heat up the working fluid (water or cyclopentane) which circulates first inside the preheater-evaporator, and, subsequently, in the superheater.

Regarding the once-through boiler (see Figure 5) installed on the SRC and ORC units, due the 1 high thermal resistance of the exhaust gases flowing outside the tubes, finned tubes are employed 2 to enhance the heat transfer coefficient $h_{\rm h}$. This is modelled by replacing the heat transfer and the 3 fouling coefficients on the hot side in Equation (2) with a term involving fin area and effectiveness. 4 For sub-cooled liquid and superheated vapour the heat transfer coefficient inside the tubes is 5 assessed with the correlations proposed by Gnielinski [16]. The heat transfer coefficient in the two-6 phase region is evaluated by discretizing the tubes into finite segments (50 elements are adopted) 7 and applying the method proposed by Shah [17], adapted for horizontal tubes. The gas-side heat 8 transfer coefficient is computed using the approach proposed by Verein Deutscher Ingenieure [18], 9 originally derived for air in circular finned-tube heat exchangers. The total pressure drops during 10 evaporation are divided into three contributions: the static one, vanishing for the proposed config-11 uration of horizontal tubes, the kinematic one, and the one due to viscous friction. The last two 12 terms are evaluated according to the equations proposed by Friedel [19] and Rouhani and Axels-13 son [20], respectively. For the pressure drops on the gas side the correlation given by Haaf [21] 14 is adopted. The equation is valid for banks of tubes in cross flow, with plain transverse fins, and 15 it can be used for both staggered and in-line arrangement. As regards the transport properties of 16 the exhaust gases, a thermal conductivity of 0.0463 W m⁻¹K⁻¹ and a density of 0.5763 kg m⁻³ are 17 assumed. 18

Figure 6: Layout of the shell-and-tube heat exchanger used for the condensation process and as recuperator in the organic Rankine cycle module. 6(a) Tube arrangement and shell flow pattern. 6(b) Triangular tube pattern.

The condenser and the recuperator are considered to be of the shell-and-tube type (see Figures 6(a) and 6(b)). The tubes of the recuperator are equipped with fins to enhance the heat transfer coefficient on the shell side, where the fluid is in the superheated vapour state. The Nusselt number ¹ on the shell side is calculated using the equation reported in Coulson et al. [14].

As condensation occurs in both single- and two-phase region, the condenser model utilizes two distinct correlations. The approach reported in Coulson et al. [14] is adopted for the superheated vapour section while the heat transfer coefficient during condensation is computed as suggested by Kern [22]. The sea-water heat transfer coefficient (tube side) is evaluated with the correlations proposed by Gnielinski [16]. The pressure drops in the single-phase regions are estimated according to Coulson et al. [14] while those on the condensing side are derived using the method proposed by Kern [22].

(a) (b)

Figure 7: Layout of the finned-plate heat exchanger serving the air bottoming cycle power unit. 7(a) Exhaust gas and air flow pattern. 7(b) Finned-plate pattern.

⁹ The heat transfer coefficient on each side of the finned-plate heat exchanger (see Figures 7(a) ¹⁰ and 7(b)) is calculated in accordance to Manglik and Bergles [23]. Regarding the pressure drops' ¹¹ calculation, the approach reported in Yousefi et al. [15] is utilized.

The design models of the shell-and-tube heat exchanger, of the FPHE and of the once-through boiler were validated by comparison with examples outlined in Coulson et al. [14], Yousefi et al. [15] and Dumont and Heyen [13], respectively. The differences between the models' results and the data reported in the references are within 4% in terms of both overall heat transfer coefficient and pressure drops.

Detailed list of equations for the estimation of the heat transfer coefficients and pressure drops of all heat exchangers modelled in this work are included in Appendix A.

19 3.3. Part-load modelling

The assessment of the part-load performance of the power systems introduced in Section 2 constitutes the first step towards the evaluation of the total yearly CO_2 emission, thus enabling to determine the economic feasibility of the alternative investments. The gas turbines are modelled using the data provided by the manufacturer covering the entire operating range of the engines (10% to 100%). The numerical data were replaced by interpolating functions selected to ensure a ¹ compromise between computational cost and accuracy. The equations provide the fuel consump-² tion, the temperature and the mass flow of the exhaust gases as a function of the engine load at a ³ constant ambient temperature (15 °C) and pressure (1.032 bar). The coefficient of determination, ⁴ measuring the discrepancy between interpolating curves and data points, is higher than 99.0% for ⁵ all functions. The gas turbine is thus considered as a black box, where the thermodynamic and ⁶ transport properties of the exhaust stream serve as inputs to the part-load model describing the ⁷ bottoming cycle unit.

For the once-through boiler, the ORC recuperator and the finned-plate heat exchanger the heat 8 transfer coefficients of the cold and hot side, in off-design conditions, are evaluated with the rela-9 tion proposed in Incropera et al. [24]. In the case of the once-through boiler and the shell-and-tube 10 recuperator, the heat transfer resistance between the gas and the outer pipe surface is the dominant 11 term, see Equation 2. Therefore, the conductive term and the heat transfer resistance of the cold 12 stream are neglected when performing part-load simulations. The condenser is trivially modelled 13 as a fixed pressure component. This is justified considering the large availability of cooling sea-14 water, which allows the cooling circuit to be controlled in such a way that the condenser pressure 15 is nearly constant. For all heat exchangers the pressure drops are lumped at the inlet of each heat 16 exchanger and are evaluated assuming a quadratic dependence with the volumetric flow rate. 17

The air compressor is modelled by employing the maps of axial compressors provided with the commercial software developed by Kurzke [25]. These maps are represented by tables stating values for reduced flow, pressure ratio, isentropic efficiency and speed of revolution for the complete operating range of the component. Following the methodology proposed in Kurzke [26], the maps are scaled so that they can represent the part-load characteristic of the compressor serving the ABC unit.

For the turbines the Stodola's cone law, expressing the relation between the pressure at the inlet and at the outlet of the expander with the mass flow rate and the turbine inlet temperature is employed [27]. The Stodola's equation relies on the hypothesis that the working fluid is an ideal gas. Preliminary calculations showed that the compressibility factor during the expansion process presented an average value of 0.9 for cyclopentane and 0.95 for water, thus confirming the validity of the aforementioned assumption. To predict the turbines off-design performance, the correlation relating the isentropic efficiency and the non-dimensional flow coefficient proposed by Schobeiri
[28] is utilized.

The isentropic efficiency of the ORC and SRC pumps in part-load is derived using the methodology proposed by Veres [29], while the part-load characteristic of the electric generator is modelled using the equation suggested by Haglind and Elmegaard [30].

Full list of equations describing the off-design performance of the components constituting the
 ⁷ bottoming cycle units is reported in Appendix B.

⁸ 3.4. Control strategies

The combined cycle part-load characteristic depends on the control strategy adopted for the 9 topping unit and the waste heat recovery system. Unlike on-shore conventional combined cycle 10 power plants, the SGT500 compressors are not equipped with variable inlet guide vanes, and the 11 engine load can only be controlled by opening/closing the fuel valve. As a consequence, the 12 exhaust temperature drops down for decreasing loads. Considering this, it is decided to operate 13 the SRC and ORC power modules with the sliding-pressure mode. The evaporating pressure is 14 governed by the Stodola's cone law and the rotational speed of the pump can be regulated with 15 variable frequency electric motor to maintain, for instance, a constant turbine inlet temperature 16 (see Casella et al. [31]). This control strategy is selected for the ORC power module, while for the 17 SRC unit it is decided to maintain a constant superheating approach temperature difference. Owing 18 to the different thermodynamic properties of the working fluids (i.e. water and cyclopentane), the 19 SRC turbogenerator enables to achieve higher turbine inlet temperatures compared to those of the 20 ORC power module (see Figures 4(a) and 4(b)). Hence, operating the SRC turbogenerator at fixed 21 turbine inlet temperatures results in an infeasible heat transfer process (i.e. negative superheating 22 approach temperature difference) at a combined cycle load where the exhaust gas temperature 23 exiting the gas turbine approaches the steam turbine inlet temperature. 24

As far as the air bottoming cycle unit is concerned, the pressure ratio and the rotational speed of the air compressor diminish with the load as a results of the interaction between the Stodola's equations and the compressor maps. The system does not present any degree of freedom and no operational strategy can be adopted. Preliminary calculations indicated that, for all the three technologies, the temperatures of the exhaust gases exiting the once-through boiler and the finnedplate heat exchanger do not vary significantly with the combined cycle load. Hence, corrosion
problems caused by the condensation of sulphuric acid vapour are avoided.

4 3.5. Economic analysis

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⁵ A feasibility study based on economic criteria requires first to estimate the total capital in-⁶ vestment (TCI), and, secondly, to compute the total revenue of such investment. The first step ⁷ is accomplished by following the methodology described in Bejan and Moran [32]. The proce-⁸ dure starts by evaluating the purchased-equipment cost (PEC) of the components constituting the ⁹ bottoming cycle unit, and, subsequently, by incorporating the other direct costs (DC) and indi-¹ rect costs (IC), thus allowing the estimation of the total capital investment. Table 2 shows the

Table 2: Breakdown of the total capital investment.

breakdown of the total capital investment. The off-site costs are not considered as the installation of bottoming cycle units offshore does not require additional expenses related to the land and
auxiliary facilities (e.g. fuel supply).

The purchased-equipment costs of the once-through boiler, the air compressor and the air turbines are acquired from Valero et al. [33]. The price of the pumps serving the SRC and the ORC power units and the cost of the electric generators are obtained from Lozano et al. [34] and Lian et al. [35], respectively. For the shell-and-tube heat exchangers and for the FPHE, the cost is related to the heat transfer area using the equations reported in Hall et al. [36] and Genceli [37].

The ORC and SRC turbines considered in this work provide a similar range of power (MWsize). Nevertheless, the thermo-physical properties of the working fluids play a key role on determining the final design, thus influencing the final cost. Consequently, the purchased-equipment cost of ORC expander is evaluated using the expression recently proposed by Astolfi et al. [38], which has been specifically developed for multi-stage axial turbines employing organic vapours as working fluid. The price of the steam turbine is determined with the correlation reported in Lozano et al. [34]. As the expressions for the components' cost evaluation, enclosed in Appendix C, derive from different sources, the PECs are adjusted for the same reference year (2014) using
the historical price indexes reported in Table 3 [39].

Table 3: Inflation index factors for the calculation of the purchased-equipment costs. The reference price index is 233.916 (2014).

The profitability evaluation is carried out using the net present value (NPV) method, see Bejan and Moran [32]. The bottoming cycle unit yielding the highest NPV is deemed to be optimal from an economic perspective. The net present value equation specific to the three power systems described in Section 2 at hands reads

$$NPV = \sum_{z=1}^{n} M_{\rm f} (I_{\rm CO2} + I_{\rm fuel}) / (1+i)^z - TCI , \qquad (3)$$

7

where I_{CO2} and I_{fuel} are the yearly incomes associated to the avoided CO₂ emissions and the 8 fuel saving. Based on information provided by the platform operator, reasonable figures for the 9 discount rate i and the life-time of the investment n are 6% and 20 years. So as to account for the 10 operating and maintenance costs, the factor M_f is set equal to 0.9 [35]. The incomes are assessed 11 by computing first the difference in the yearly fuel consumption between the existing power system 12 constituted of two gas turbines providing 50% load each and the plant comprising the combined 13 cycle unit and one gas turbine, see Figure 2. The part-load models introduced in Section 3.3 are 14 utilized for this purpose. The approach assumes a constant electric power demand on the platform 15 of 19 MW for the entire year, see Figure 1. Subsequently, the CO₂ emissions are computed by 16 means of the fuel consumption assuming a conversion factor of 2.45 kg(CO_2) kg(fuel)⁻¹, which 17 was derived from operational data provided by the Draugen platform operator. A fuel price of 18 0.68 NOK (St)m⁻³ [6] and a CO₂ tax of 410 NOK ton(CO₂)⁻¹ [2] are considered. 19

20 3.6. The DYNDES tool

The DYNDES tool [40] is a simulation program which couples steady state and dynamic software models in order to provide an integrated tool for the optimal design of power systems, including dynamic criteria. It is the present result of ongoing collaboration between the Technical ¹ University of Denmark and the Delft University of Technology. The evaluation of the system dy-² namics is not object of the present work, thus the usage of the program is limited to the steady-state

³ design-point optimization.

Figure 8: Architecture of the DYNDES design tool. The usage of the program is limited to the steady-state designpoint optimization.

Given one of the three power system configurations introduced in Section 2, the multi-objective optimizer runs by acquiring first the array of the parameters and of the upper and lower bounds limiting the possible values for the vectors of the optimization variables \bar{X}_{SRC} , \bar{X}_{ORC} and \bar{X}_{ABC} , which at hand reads

$$X_{\rm SRC} = [p_5, \Delta T_{\rm OTB}, \Delta T_{\rm c}, T_{11}, d_{\rm i,OTB}, d_{\rm i,OTB, sup}, l_{\rm OTB}, Nt_{\rm OTB}, d_{\rm i,c}, l_{\rm c}, l_{\rm b,c}],$$
(4)

$$\bar{X}_{\text{ORC}} = [p_6, \Delta T_r, \Delta T_{\text{OTB}}, \Delta T_c, T_{11}, d_{i,\text{OTB}}, d_{i,\text{OTB},\text{sup}}, l_{\text{OTB}}, Nt_{\text{OTB}}, d_{i,r}, l_r, l_{b,r}, d_{i,c}, l_c, l_{b,c}], \quad (5)$$

 $\bar{X}_{ABC} = [r_{c}, \Delta T_{FPHE}, T_{11}, F_{h,a}, nf_{a}, F_{l,a}, F_{h,exh}, nf_{exh}, F_{l,exh}, Np_{exh}, l_{exh}],$ (6)

10

9

8

where p_6 and p_5 are the ORC and SRC turbine inlet pressures, T_{11} is the outlet temperature 11 of the exhaust gases, $\Delta T_{\rm c}$ is the minimum temperature difference in the condenser and $\Delta T_{\rm OTB}$ is 12 the temperature difference between the two streams in the once-through boiler, at the location 13 where the working fluid is in saturated liquid condition. The variable Nt_{OTB} is the number of tubes 14 in parallel, while $d_{i,OTB}$ and $d_{i,OTB,sup}$ are the tube diameters of the preheater-evaporator and of the 15 superheater, respectively. The unknowns ΔT_r , l_b and l refer to the minimum temperature difference 16 in the recuperator, the baffle spacing (given as a percentage of the shell diameter) and the length 17 of the tubes. The subscripts "OTB", "r" and "c" refer to the once-through boiler, the recuperator 18

and the condenser. In Equation 6, r_c is the pressure ratio of the air compressor and ΔT_{FPHE} is the temperature difference at the inlet of the FPHE. The variables F_h , nf, F_1 and Np are the fin height, the number of fins per meter, the fin length and the number of plates of the finned-plate heat exchanger. The subscripts "a" and "exh" refer to the air and the exhaust stream side.

5 The array of the objective functions \overline{J} assumed in the present work is

$$\bar{J} = [m_{\rm CO2}, W, NPV], \tag{7}$$

6

where m_{CO2} are the average daily CO₂ emissions of the power system being investigated (see Section 2), and the second metric accounts for the total bottoming cycle module weight determined summing the weights of the heat exchangers. The latter function *NPV* is the net present value calculated as described in Section 3.5.

The multi-objective optimization uses a controlled elitist genetic algorithm to find for solutions 11 which optimize simultaneously the three objective functions. Compared to gradient-based meth-12 ods, a genetic algorithm is less prone to end its search in local minima of the problem, usually 13 converging towards global optima. This typically comes at the cost of an increased computational 14 effort, due to the large number of evaluation of the objective functions [41]. The genetic algo-15 rithm parameters are specified as follows: population size 200, generation size 200, crossover 16 fraction 0.8, and migration fraction 0.2. These numerical values are selected so as to ensure the 17 repeatability of the solution when different simulations are performed. 18

Table 4: Lower and upper bound for the variables involved in the multi-objective optimization of the three power systems described in Section 2.

Table 5: Parameters assumed for the multi-objective optimization.

Table 4 lists the upper and lower bounds of the optimization variables. To be noticed that the values related to the geometry of the heat exchangers and the velocity of the exhaust gases are set accordingly to the limits reported by Coulson et al. [14]. In the case of the FPHE serving the ABC unit the upper and lower bounds are obtained from Yousefi et al. [15]. As the gas turbine can operate on a wide range of both liquid and gas fuels, the terminal temperature of the exhaust gases
exiting the once-through boiler has a lower limit of 140 °C. Hence, the condensation of corrosive
compounds, in the case that other fuels (crude oil, heavy fuel oil and naphtha) than natural gas
are combusted, is prevented. As the present work does not deal with supercritical ORC power
modules, the upper bound for the turbine inlet pressure is set equal to 90% of the critical pressure
of cyclopentane.

Table 5 lists the parameters which are kept constant during the multi-objective optimization. The fin profile and the configuration of the once-through boiler, the shell-and-tube recuperator and the finned-plate heat exchanger are retrieved from Dumont and Heyen [13], Coulson et al. [14] and Yousefi et al. [15], respectively. The condensing pressure of the ORC unit is fixed to 1.03 bar so as to avoid infiltration of air inside the piping from the surroundings. The same condensing temperature (i.e. 50 °C) is selected for the SRC module, corresponding to a pressure of 0.12 bar.

Referring to Figure 8, the optimizer solves a design-point problem determining the thermody-13 namic states at the inlet and at the outlet of the components constituting the bottoming cycle unit 14 under investigation. The pressure drops in the heat exchangers are initially set to zero. At this 15 point the design procedure of the heat transfer equipment (see Section 3.2) is started, obtaining 16 as outputs both the pressure drops and the components' weight. The design-point solver is thus 17 run again, considering the pressure losses in the heat exchangers. The results are then checked 18 with respect to the first and second principle of Thermodynamics. Furthermore, it is verified that 19 the velocity on the hot and cold side of the heat exchangers lays within the ranges specified in 20 Coulson et al. [14]. A lower limit of 84% for the vapour quality at the steam turbine outlet must 21 also be respected. If the test on the results is positive, the part-load simulation is performed using 22 the models outlined in Section 3.3. Design-point constraints such as heat exchangers pinch points 23 and turbine inlet pressures are replaced by U-values and Stodola's constants and the components' 24 efficiency is a function of the operating conditions. In such way, the part-load solver computes the 25 CO_2 emissions, thus providing the inputs for the economic analysis. The process is repeated until 26 the average change in the spread of the Pareto front is lower than the specified tolerance, which is 27 assumed here equal to 10^{-3} . 28

1 4. Results and discussion

Table 6 lists the results of the multi-objective optimization procedure. The arithmetic mean 2 average (AMA), the relative standard deviation (RSD) in percent, and the minimum and maximum 3 values of the optimized variables are reported for the three waste heat recovery technologies. A 4 low RSD means that the variable does not vary significantly with the optimal configurations of the 5 waste heat recovery unit. The pinch point and the baffle length of the condensers, the temperature 6 of the exhaust gases and the numbers of fins per meter of the FPHE present the lowest RSDs. As a 7 practical implication, Table 6 provides to the designer figures for the optimal geometry of the heat 8 transfer equipment. Hence, since the dimensions for heat exchangers are standardized, based on 9 the optimal geometric variables (e.g. tube length) the designer can select the closest standardized 10 values. 11

Table 6: Results of the multi-objective optimization. Maximum, minimum, arithmetic mean average, and relative standard deviation of the optimized variables. The values are acquired from the Pareto fronts of the waste heat recovery systems.

Figure 9: Pareto fronts of the three waste heat recovery technologies. The CO_2 emissions (first objective function) are given as a function of the weight of the bottoming cycle units (second objective function).

Figure 10: Pareto fronts of the three waste heat recovery technologies. The net present value (third objective function) is related to the weight of the bottoming cycle units.

Figure 9 shows the two-dimensional prospect of the Pareto front relating the average daily CO_2 12 emissions of the power systems with the weight of the corresponding bottoming cycle unit. The 13 three curves present a hyperbolic trend. Increasing the heat transfer area (i.e. the weight) allows 14 to recuperate more heat from the gas turbine exhaust stream, and to lower the heat transfer irre-15 versibility in the heat exchangers, thus improving the performance of the combined cycle units. 16 The power system employing the ABC unit presents the lowest yearly system performance (high-17 est CO₂ emissions), while it enables to achieve the lowest possible weight (30 ton). For weights 18 between 40 and 50 ton, ORC and SRC units competes in terms of weight and efficiency, while the 19

ORC unit is superior in respect of performances compared to both the SRC and ABC technology from 50 to 130 ton. It should be noticed that the steam Rankine cycle curve presents the narrowest Pareto front ranging from 266 to 268 ton d⁻¹. Main reason limiting the applicability of SRC units is the deterioration of the turbine efficiency caused by the liquid content at the turbine outlet (see Section 3.1). Moreover, as explained in Section 3.6, the algorithm discards all design solutions which present a vapour quality lower than 84% at the inlet of the condenser since they will lead to unacceptable mechanical stresses on the blades constituting the latter turbine stages.

In Figure 10 the third objective function, i.e. net present value, is plotted as a function of the 8 weight. For all three waste heat recovery systems the curves initially increase and subsequently 9 flatten out. Since the net present value is a function of the total investment cost and the yearly 10 incomes (dependent on the combined cycles performance), an optimum is reached. After this 11 maximum, enhancing the performance of the bottoming cycle modules by increasing the weight 12 diminishes the economic revenue since the total investment cost becomes excessively large. The 13 implementation of the ABC unit does not appear to be economically attractive. In fact, the net 14 present value is negative at low and high weights and the peak is 2-3 times lower than those of the 15 ORC and SRC power modules. The highest economic revenue (0.5 M\$) occurs for a combined 16 cycle power of 18.6 MW with a design- and part-load efficiency of 35.1% and 31.9%. 17

The waste heat recovery technology which allows to achieve the highest net present value (3.7 M\$) is the steam Rankine cycle. For this solution the combined cycle power is 21.2 MW, and the thermal efficiencies at design- and part-load are 40.1% and 35.6%. As regards the ORC technology, the highest economic revenue is obtained for a net power output of 21.3 MW while the design- and part-load efficiencies are 40.2% and 35.9%.

Figure 11: Breakdown of the weight for the three waste heat recovery technologies. The weight of the heat exchangers constituting the bottoming cycle units is reported.

Figure 12: Breakdown of the purchased-equipment cost for the three waste heat recovery technologies. The purchased-equipment cost of the components constituting the bottoming cycle units is indicated.

Figures 11 and 12 report the weight and the purchased-equipment cost breakdowns of the three

bottoming cycle units designed using the set of variables giving the highest the net present value. The weight of the ORC and SRC power modules is primarily determined by the once-through 2 boiler where stainless steel finned tubes are utilized to cope with the high heat transfer resistance 3 of the exhaust gas stream. The water-cooled condensers and the ORC recuperator contribute 4 with around 20 ton each to the total weight. The heat transfer equipment serving the ABC unit 5 consists only of the finned-plate heat exchanger with a weight of 54 ton. Although an accurate 6 reight calculation of the turbomachinery serving the bottoming cycle units is presently beyond the 7 capability of the developed models, proprietary information made available by ORC manufacturers 8 indicates that the contribution of the package comprising the turbine and the electric generator is 9 typically around 30% of the weight of the heat transfer equipment. While the same share is to be 10 expected for the SRC unit, figures for the turbomachinery in ABC modules are instead comparable 11 with those of the finned-plate heat exchanger [5]. In light of such approximate quotations, the 12 benefits of employing the ABC technology for low-weight power systems may diminish by virtue 13 of the larger contribution of the turbomachinery compared to SRC and ORC modules. 14

Adopting the economic criterion, the ORC is the heaviest power module. Nevertheless, Figure 15 10 shows that for the ORC system the net present value does not vary significantly between 50 and 16 130 ton. Such trend may lead the plant designer to abate the total weight while maintaining the 17 same economic revenue. Figure 12 indicates that the compressor and the two turbines contribute 18 with the largest shares to the purchased-equipment cost of the ABC system. In the case of the SRC 19 and the ORC units, the once-through boiler and the turbine are the most expensive components. 20 Despite the lower daily CO₂ emissions (i.e. higher combined cycle plant part-load performance), 21 the ORC technology exhibits a lower economic revenue compared to the plant configuration in-22 cluding the SRC unit. In fact, the increased incomes related to the CO₂ tax and fuel savings are 23 not sufficient to justify the higher equipment expenses (see Figure 12). 24

Figure 10 evidences a more scattered trend for the net present value of the ABC unit compared to those of the ORC and SRC technologies. The different tendency originates from two reasons: i) the PEC of the air bottoming cycle unit is governed both by the compressor and the two turbines while the cost of the SRC and ORC modules primarily relates to the expander (see Figure 12), ii) the expressions employed to evaluate the purchased-equipment cost of the turbines and the

compressor are a transcendental function of both mass flow and pressure ratio (see Appendix C). The results obtained in this paper are derived using various correlations, all of which are as-2 sociated with uncertainties. The assumptions that have the largest influence on the results are the 3 equations utilized to estimate the heat transfer coefficients and the purchased-equipment cost of 4 the components constituting the three bottoming cycle units. As en example, in evaluating heat 5 transfer coefficients, average variations of 15%-20% and maximum deviations of about 40% are 6 to be expected [42], thus influencing the weight calculation. Moreover, precise correlations re-7 ating the purchased-equipment cost of plant components to design variables and parameters are 8 f paramount importance for an accurate economic analysis. Due to the lack of public available 9 equations characterizing the purchased-equipment costs of all the components considered in this 10 work, generic correlations were adopted, thus resulting in a certain degree of uncertainty. Consid-11 ering all these aspects, the results of the weight calculation and of the economic study have been 12 utilized to carry out a qualitative comparison of the different waste heat recovery technologies. 13 Nevertheless, preliminary investigations proved that the numerical results hold quantitatively true 14 as the weight and the total investment cost per unit of power are within the range of values reported 15 in Nord and Bolland [4] and Boyce [43] for the SRC power unit, in Bolland et al. [5] for the ABC 16 system and in Pierobon et al. [44] and [45] for the ORC power modules. 17

18 5. Conclusions

The multi-objective optimization approach presented in this work enabled to compare the use 19 of steam Rankine cycle, organic Rankine cycle and air bottoming cycle power modules to enhance 20 the efficiency of existing and future offshore installations. The performance metrics are the average 21 daily CO₂ emissions, the weight and the economic revenue. The methodology is applied to recover 22 part of the waste heat from the gas turbines-based power system of an offshore oil and gas platform. 23 The organic Rankine cycle technology exhibits the highest yearly system performance, thus 24 enabling to abate CO₂ emissions and pollutants. The combined cycle design-point and part-load 25 thermal efficiencies are 0.2%-points and 5.1%-points higher compared to the use of the steam 26 Rankine cycle and air bottoming cycle systems. The steam Rankine cycle technology appears 27 to be favourable from an economic perspective as it consents to achieve the highest net present 28

value (3.7 M\$). Moreover, the results indicate that the use of ABC power modules is not attractive
from an economic and environmental perspective compared to the other two technologies. For all
three bottoming cycle units the heat exchanger harvesting the exhaust gases heat is the heaviest
component, while the turbines contribute with the largest share to the total cost of the system.

It can be concluded that the steam Rankine cycle and the organic Rankine cycle are competing technologies when targeting at the design of highly-efficient offshore platforms. Advantages in terms of applicability range and system performance seem to lean toward the use of organic Rankine cycle turbogenerators although investment costs have to be reduced to enhance the economic revenue. The implementation of air bottoming cycle units offshore does not appear to be convenient from a performance and economic perspective.

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17 Appendix A. Heat transfer and pressure drop correlations

In this appendix we report the correlations utilized to evaluate the heat transfer coefficients and the pressure drops of the heat exchangers (see Section 3.2). For the gas side and single-phase flow the correlations at hand reads

• Gas flow outside finned tubes (Verein Deutscher Ingenieure [18] and Haaf [21])

$$Nu = 0.22 \ Re^{0.6} Pr^{1/3} (A/A_{\rm t})^{-0.15} , \qquad (A.1)$$

$$\Delta p = 2.5 N_{\rm tp} R e^{-1/4} (P_1/d)^{0.4} \rho u^2/2 , \qquad (A.2)$$

1

where A_t is the outside surface area of the tube considering fins, P_1 is the longitudinal pitch, N_{tp} is the number of tube passes, ρ is the fluid density and u is the fluid velocity. The variables Nu, Pr and Re are the Nusselt number, the Prandtl number and the Reynolds number.

• Single-phase flow (Gnielinski [16] and Coulson et al. [14])

$$\begin{cases} Nu = \frac{(\xi/8)(Re-1000)Pr}{1+12.7\sqrt{(\xi/8)}(Pr^{2/3}-1)} \left[1 + \left(\frac{d}{l}\right)^{2/3}\right], \\ \xi = 1.84 \log_{10} Re - 1.64, \end{cases}$$
(A.3)

$$\Delta p = N_{\rm tp} \left(8 \, \xi \, \frac{l}{d} + 2.5 \right) \rho u^2 / 2 \,, \tag{A.4}$$

7

⁸ where ξ is the friction factor.

⁹ Evaporation inside horizontal tubes is modelled implementing the list of equations reported in ¹⁰ detail in Shah [17] for the heat transfer coefficient and in Friedel [19] and in Rouhani and Axelsson ¹¹ [20] for the pressure drops. As regarding condensation outside horizontal tubes, the heat transfer ¹² coefficient h_c is expressed, in accordance to Kern [22], as

$$h_c = 0.95 \,\lambda_1 \,[9.81\rho_1 \,(\rho_1 - \rho_v)/(\mu_1 \,\Gamma_h)]^{1/3} N_r^{-1/6} \,, \tag{A.5}$$

13

¹⁴ where N_r is the average number of tubes in a vertical tube row, Γ_h is the tube loading, λ is ¹⁵ the fluid thermal conductivity and μ is the fluid viscosity. The subscripts "l" and "v" refer to the ¹⁶ saturated liquid and saturated vapour conditions. As suggested by Kern [22], the pressure drop are ¹⁷ evaluated using the method for single-phase flow (see Equation A.4) and applying a factor of 50 ¹⁸ per cent to allow for the change in vapour velocity.

The Nusselt number on the shell side of the recuperator equipped with finned tubes can be written as (see Coulson et al. [14])

$$Nu = 0.134 Re^{0.681} Pr^{1/3} ((F_{\rm p} - F_{\rm t})/F_{\rm h})^{0.2} (F_{\rm p}/F_{\rm t})^{0.1134}, \qquad (A.6)$$

where F_p is the fin pitch, F_t is the fin thickness and F_h is the fin height. As regarding the pressure drop calculation outside the finned tubes, Equation A.2 is adopted.

The heat transfer coefficient and the pressure drop on each side of the finned-plate heat exchanger (see Figures 7(a) and 7(b)) are expressed as follows (see Manglik and Bergles [23])

$$h = j G c_{\rm p} P r^{-2/3} , \qquad (A.7)$$

$$\Delta p = 2 \ (l/d) f \ G^2/\rho \ . \tag{A.8}$$

In Equation A.7, c_p is the constant pressure specific heat capacity of the working fluid and *G* is the mass flow velocity. The detailed equations relating the Colburn factor *j* and the Fanning factor *f* to the FPHE geometry are obtained from Manglik and Bergles [23].

9 Appendix B. Part-load models

We list here the steady state equations utilized to assess the part-load performance of the com ponents constituting the three bottoming cycle units.

• Turbines (Stodola [27] and Schobeiri [28])

$$C_{\rm T} = \frac{\dot{m}\sqrt{T_{\rm in}}}{\sqrt{p_{\rm in}^2 - p_{\rm out}^2}},$$
 (B.1)

$$\eta_{\rm is,t} = \eta_{\rm is,t,des} \frac{N}{N_{\rm des}} \sqrt{\frac{\Delta h_{\rm is,des}}{\Delta h_{\rm is}}} \left(2 - \frac{N}{N_{\rm des}} \sqrt{\frac{\Delta h_{\rm is,des}}{\Delta h_{\rm is}}} \right) \,. \tag{B.2}$$

13

1

In Equation B.1 $C_{\rm T}$ is the turbine constant, \dot{m} is the mass flow, $T_{\rm in}$ is turbine inlet temperature, $p_{\rm in}$ and $p_{\rm out}$ are the inlet and outlet pressures. In Equation B.2 the isentropic efficiency $\eta_{\rm is,t}$ 1

2

is given as a function of the rotational speed N in rpm and the isentropic enthalpy drop Δh_{is} . The subscript "des" refers to the variable calculated at design-point.

• Electric generators (Haglind and Elmegaard [30])

$$\eta_{\rm el} = \frac{Load \ \eta_{\rm el,des}}{Load \ \eta_{\rm el,des} + (1 - \eta_{\rm el,des}) \left[(1 - F_{\rm cu}) + F_{\rm cu} \ Load^2 \right]}, \tag{B.3}$$

4

where η_{el} is the electric efficiency of the generator, *Load* is the mechanical power input in per unit and F_{cu} the copper loss fraction.

• Heat exchangers (Incropera et al. [24])

$$h = h_{\rm des} \left(\frac{\dot{m}}{\dot{m}_{\rm des}}\right)^{\gamma} , \qquad (B.4)$$

$$\Delta p = \Delta p_{\rm des} \left(\frac{\dot{V}}{\dot{V}_{\rm des}}\right)^2 \,. \tag{B.5}$$

8

⁹ The exponent γ is taken equal to 0.8 or 0.6 depending on the fluid location (inside or outside ¹⁰ the tube banks). In Equation B.5 the variables \dot{V} and Δp are the volumetric flow rate and the ¹¹ pressure drop inside (outside) the tubes.

• Pumps (Veres [29])

$$\eta_{\rm p} = \eta_{\rm p,des} \left(0.86387 + 0.3096F - 0.14086F^2 - 0.029265F^3 \right) \,, \tag{B.6}$$

$$F = \frac{\dot{V}/N}{\dot{V}_{\rm des}/N_{\rm des}},$$
 (B.7)

13

where η_p is the pump hydraulic efficiency.

Appendix C. Purchased-equipment cost

This appendix lists the equations utilized to estimate the purchased-equipment cost of the components constituting the three waste heat recovery technologies. The purchased-equipment costs of the air compressor PEC_{AC} and of the air turbine PEC_{AT} are calculated as (see Valero et al. [33])

$$PEC_{\rm AC} = 39.5 \ \dot{m}_{\rm a} \ \frac{r_{\rm c} \ log(r_{\rm c})}{0.9 - \eta_{\rm is,c}} \,, \tag{C.1}$$

$$PEC_{\rm AT} = 266.3 \ \dot{m}_{\rm a} \ \frac{\log(r_{\rm e})(1 + exp(0.036 \ T_{\rm in} - 54.4)}{0.92 - \eta_{\rm is,t}} \ , \tag{C.2}$$

5

⁶ where \dot{m}_{a} and r_{e} are the air mass flow and the expansion ratio. The variable $\eta_{is,c}$ is the isentropic ⁷ efficiency of the compressor. Equation C.2 applies also for the power air turbine.

⁸ The prices of the pumps PEC_p serving the SRC and the ORC power units and the cost of the ⁹ electric generators PEC_{gen} are computed as (see Lozano et al. [34] and Lian et al. [35])

$$PEC_{\rm p} = 378 \left[1 + \left(\frac{1 - 0.808}{1 - \eta_{\rm p}} \right)^3 \right] P_{\rm p}^{0.71} , \qquad (C.3)$$

$$PEC_{gen} = 60 P_{gen}^{0.95}$$
, (C.4)

10

where P_p and P_{gen} are the pump and the electric power produced by the generator. While the purchased-equipment cost of the steam turbine is set as a function of the mechanical power output P_t in accordance with Lozano et al. [34], the cost of the ORC expander depends on the number of stages *n* and the size parameter $\sqrt{\dot{V}_7}/\Delta h_{is}^{1/4}$ of the last stage (see Astolfi et al. [38]);

$$PEC_{t,SRC} = 3000 \left[1 + 5 exp\left(\frac{T_{in} - 866}{10.42}\right) \right] \left[1 + \left(\frac{1 - 0.953}{1 - \eta_{is,t}}\right)^3 \right] P_t^{0.7}, \quad (C.5)$$

$$PEC_{t,ORC} = 1600 \left(\frac{n}{2}\right)^{0.5} \left(\frac{\sqrt{\dot{V}_7}/\Delta h_{is}^{1/4}}{0.18}\right)^{1.1} .$$
(C.6)

In Equation C.6 a conversion factor of 1.3 euro-to-dollar has been applied. Considering the size of the ORC unit and in light of the volumetric flow ratios (\approx 30) and enthalpy drops across the turbine (\approx 160 kJ kg⁻¹), the expander is a single-stage axial turbine. For the once-through boiler, the shell-and-tube heat exchangers and the finned-plate heat exchanger, the cost *PEC* is related to the heat transfer area using the following equations (see Valero et al. [33], Hall et al. [36] and Genceli [37], respectively):

$$PEC_{\text{OTB}} = 3650 \left[\left(\frac{\dot{q}_{\text{eva}}}{\Delta T_{\text{lm,eva}}} \right)^{0.8} + \left(\frac{\dot{q}_{\text{eco}}}{\Delta T_{\text{lm,eco}}} \right)^{0.8} \right] + 11820 \,\dot{m} + 658 \,\dot{m}_{\text{exh}}^{1.2} \,, \tag{C.7}$$

$$PEC_{\rm sh} = 30800 + 890 A_{\rm sh}^{0.81} , \qquad (C.8)$$

$$PEC_{\rm FPHE} = 187 + 25 A_{\rm FPHE} ,$$
 (C.9)

⁷ where \dot{q}_{eva} and $\Delta T_{lm,eva}$ are the heat rate and the logarithmic mean temperature difference lim-⁸ ited to the vapour-liquid region, while the variables \dot{q}_{eco} and $\Delta T_{lm,eco}$ refer to the liquid-phase zone. ⁹ The subscripts "OTB", "sh" and "FPHE" indicate the once-through boiler, the shell-and-tube heat ¹⁰ exchanger and the finned-plate heat exchanger. The variables \dot{m}_{exh} and \dot{m} represent the mass flow ¹¹ of the exhaust gases and of the working fluid flowing inside the OTB tubes.

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Figure 1: Relative electric power demand on the Draugen offshore oil and gas platform in 2012. Daily average values are reported.



Figure 2: Simplified layout of the power system on the Draugen offshore oil and gas platform. The steam Rankine cycle module is added to recover part of the thermal power released with the exhaust gases of one engine, in the case gas turbine A.



Figure 3: Combined cycle layouts, gas turbines B and C are also available but they are not shown in the sub-figures. 3(a) The organic Rankine cycle unit recovers part of the thermal power released with the exhausts of turbine A. 3(b) The air bottoming cycle module recuperates part of the thermal power released with the exhausts of turbine A.



Figure 4: T - s diagrams showing the thermodynamic cycle state points of one design candidate for each waste heat recovery technology. Isobar lines are also reported, pressure drops are neglected. 4(a) T - s diagram and saturation dome (water) for the SRC turbogenerator. 4(b) T - s diagram and saturation dome (cyclopentane) for the ORC power module. 4(c) T - s diagram for the ABC unit.



Figure 5: Layout of the once-through boiler serving the steam Rankine cycle and the organic Rankine cycle power units. The exhaust gases exiting the gas turbine heat up the working fluid (water or cyclopentane) which circulates first inside the preheater-evaporator, and, subsequently, in the superheater.



Figure 6: Layout of the shell-and-tube heat exchanger used for the condensation process and as recuperator in the organic Rankine cycle module. 6(a) Tube arrangement and shell flow pattern. 6(b) Triangular tube pattern.



Figure 7: Layout of the finned-plate heat exchanger serving the air bottoming cycle power unit. 7(a) Exhaust gas and air flow pattern. 7(b) Finned-plate pattern.



Figure 8: Architecture of the DYNDES design tool. The usage of the program is limited to the steady-state design-point optimization.



Figure 9: Pareto fronts of the three waste heat recovery technologies. The CO_2 emissions (first objective function) are given as a function of the weight of the bottoming cycle units (second objective function).



Figure 10: Pareto fronts of the three waste heat recovery technologies. The net present value (third objective function) is related to the weight of the bottoming cycle units.



Figure 11: Breakdown of the weight for the three waste heat recovery technologies. The weight of the heat exchangers constituting the bottoming cycle units is reported.



Figure 12: Breakdown of the purchased-equipment cost for the three waste heat recovery technologies. The purchased-equipment cost of the components constituting the bottoming cycle units is indicated.

Table 1: Design-point specifications for the twin-spool gas turbine installed on the Draugen offshore oil and gas platform.

Model	Siemens SGT500
Turbine inlet temperature	850 °C
Exhaust gas temperature t_{10}	379.2 °C
Exhaust gas mass flow \dot{m}_{10}	91.5 kg/s
Electric power output	16.5 MW
Thermal efficiency	31.3 %
Fuel	Natural gas

Table 2: Breakdown of the total capital investment.

Total capital investment	
I. Fixed-capital investment (FCI)	
A. Direct costs	
Purchased - equipment costs (PEC)	
Purchased - equipment installation	15 %PEC
Piping	35 %PEC
Instrumentation and controls	12 %PEC
Electrical equipment and materials	13 %PEC
B. Indirect costs	
a) Engineering and supervision	4 %DC
b) Construction costs and contractor's profit	15 %DC
Contingencies	10 %(of a and b)
II. Other outlays	
Startup costs	4 %FCI
Working capital	15 %TCI
Costs of licensing, research and development	7.5 %FCI
Allowance for funds used during construction	7.5 %FCI

Source year	Component	Price index	Index factor
1993	steam turbine,	142.6	1.64
	SRC and ORC pumps		
1994	once-through boiler,	146.2	1.60
	ABC compressor and turbines		
1999	finned-plate heat exchanger	164.3	1.42
1988	shell-and-tube heat exchangers	115.7	2.02
2010	electric generators	216.687	1.08
2014	ORC turbine	233.916	1.00

Table 3: Price index and index factors for the calculation of the purchased-equipment costs. The reference price index is 233.916 (2014).

Table 4: Lower and upper bound for the variables involved in the multi-objective optimization of the three power systems described in Section 2.

Variable	Lower bound	Upper bound
Turbine inlet pressure [bar]	5	41.1
Pinch point recuperator [°C]	10	40
Temperature difference OTB [°C]	10	80
Pinch point condenser [°C]	10	40
Temperature difference FPHE [°C]	10	150
Exhaust gas temperature [°C]	140	180
Inner diameter of the tubes [mm]	16	50
Length of the tubes [m]	1.83	7.32
Number of parallel tubes [-]	1	100
Baffle spacing [%]	20	120
Pressure ratio [-]	1.5	5
Fin height (FPHE) [mm]	2	50
Fin frequency (FPHE) [m ⁻¹]	100	1000
Fin length (FPHE) [mm]	3	150
Number of plates (FPHE) [-]	1	200
Flow length gas side (FPHE) [m]	1.2	3

Parameter	Value
Electric efficiency of the generators [%]	98
Ambient temperature [°C]	1:
Ambient pressure [bar]	1.032
Steam Rankine cycle	
Pump isentropic efficiency [%]	80
Turbine isentropic efficiency [%]	80
Condensing pressure [bar]	0.12
Organic Rankine cycle	
Working fluid	cyclopentan
Pump isentropic efficiency [%]	72
Turbine isentropic efficiency [%]	80
Condensing pressure [bar]	1.03
Air bottoming cycle	
Air compressor isentropic efficiency [%]	88
Air turbines isentropic efficiency [%]	90
Once-through boiler	
Layout	in-lin
Material	Stainless stee
Tubes thickness [mm]	3.0
Longitudinal pitch [mm]	8.
Transversal pitch [mm]	8.
Fin pitch [mm]	4
Fin height [mm]	1:
Fin thickness [mm]	0.4
Fin efficiency [%]	90
Recuperator	
Layout	triangular pitcl
Material	Cupro-nicke
Tube pitch [-]	1.23
Tubes thickness [mm]	3.0
Fin pitch [mm]	4
Fin height [mm]	1:
Fin thickness [mm]	0.4
Fin emciency [%]	90
Londenser	4
Layout	triangular pitci
Transformer and the second of [8C]	Stamless stee
Tube riteh []	1
Tube plicit [-]	1.4
Finned plate heat even and a	3.0
r inneu-plate neat exchanger	Stainlage star
Fin thickness [mm]	Stanness stee
Fill unckness [IIIII] Plata thickness [mm]	-
riate unickness [mm]	

Variable	Maximum	Minimum	AMA	RSD [%
Steam Rankine cycle				
p_5 [bar]	14.3	14.1	14.2	0.35
ΔT_{OTB} [°C]	24.9	22.7	23.3	2.60
T_{11} [°C]	176.2	176.0	176.1	0.03
$\Delta T_{\rm c} [^{\circ}{\rm C}]$	30.1	28.4	29.8	1.57
$d_{i,OTB}$ [mm]	27.1	17.0	22.3	14.50
$d_{i,OTB,sup}$ [mm]	45.7	34.5	40.0	8.57
l _{OTB} [m]	3.2	2.8	2.9	4.12
Nt _{OTB} [-]	74	63	67	6.39
$d_{i,c} \text{ [mm]}$	49.9	42.2	45.3	6.20
<i>l</i> _c [m]	4.9	4.8	4.9	0.61
<i>l</i> _{b,c} [%]	117.7	117.6	117.7	0.03
Organic Rankine cycle				
p_6 [bar]	39.6	32.5	38.1	6.00
ΔT_{OTB} [°C]	64.7	32.1	47.9	23.28
$\Delta T_{\rm r} [^{\circ}{\rm C}]$	38.5	24.2	27.3	18.93
T_{11} [°C]	174.2	158.4	162.3	3.54
$\Delta T_{\rm c} [^{\circ} {\rm C}]$	37.3	34.5	35.3	1.47
$d_{i,OTB}$ [mm]	46.5	31.6	41.4	10.44
$d_{i,OTB,sup}$ [mm]	46.7	36.4	44.3	5.38
l _{OTB} [m]	3.6	1.9	2.8	16.02
Nt _{OTB} [-]	47	40	45	5.97
$d_{i,r}$ [m]	34.5	24.3	29.2	5.53
$l_{\rm r}$ [m]	6.0	5.0	5.2	5.70
<i>l</i> _{b,r} [%]	75.2	69.5	73.6	2.83
$d_{\rm i,c}$ [m]	49.7	23.0	37.8	22.58
$l_{\rm c}$ [m]	7.1	5.2	5.7	10.54
<i>l</i> _{b,c} [%]	76.5	74.2	74.8	0.62
Air bottoming cycle				
<i>r</i> _c [-]	2.9	2.0	2.4	11.22
$\Delta T_{\rm FPHE}$ [°C]	116.8	71.6	87.8	15.68
<i>T</i> ₁₁ [°C]	164.0	163.5	163.7	0.07
$F_{h,a}$ [mm]	38.2	22.0	31.1	13.22
nf_{a} [m ⁻¹]	246.7	229.5	237.3	1.82
F_{1a} [mm]	146.0	28.8	77.0	41.73
$F_{\rm h.exh}$ [mm]	39.9	34.2	38.0	2.85
$nf_{\rm exh}$ [m ⁻¹]	226	203	214	3.48
$F_{1.exh}$ [mm]	133.7	97.0	120.5	5.60
Np_{exh} [-]	152.7	142.1	148.1	2.05
l_{exh} [m]	2.7	1.8	2.2	11.87

Table 6: Results of the multi-objective optimization. Maximum, minimum, arithmetic mean average, and relative standard deviation of the optimized variables. The values are acquired from the Pareto fronts of the waste heat recovery systems.