

1 **Trastuzumab inhibits pituitary tumor cell growth modulating the TGFB/SMAD2/3**
 2 **pathway**

3 * Juan Pablo Petiti¹, * Liliana del Valle Sosa¹, Florencia Picech¹, Gabriela Deisi Moyano
 4 Crespo¹, Jean Zander Arevalo Rojas¹, Pablo Anibal Pérez¹, Carolina Beatriz Guido¹, Carolina
 5 Leimgruber¹, María Eugenia Sabatino¹, Pedro García², Verónica Bengio³, Francisco Roque
 6 Papalini⁴, Paula Estario⁵, Celina Berhard⁶, Marcos Villareal⁷, Silvina Gutiérrez¹, Ana Lucía
 7 De Paul¹, Jorge Humberto Mukdsi¹, Alicia Inés Torres¹.

8 *Co-first authorship

9 1: Instituto de Investigaciones en Ciencias de la Salud (INICSA), Centro de Microscopía
 10 Electrónica-Facultad de Ciencias Médicas. CONICET, Universidad Nacional de Córdoba.
 11 Córdoba, Argentina.

12
 13 2: Instituto de Radioterapia, Fundación Marie Curie, Córdoba, Argentina.

14
 15 3: Servicio de Patología, Hospital Córdoba, Córdoba, Argentina.

16 4: Servicio de Neurocirugía, Hospital Córdoba, Córdoba, Argentina.

17 5: Servicio de Endocrinología, Hospital Córdoba, Córdoba, Argentina.

18 6: Servicio de Patología, Clínica Reina Fabiola, Córdoba, Argentina.

19 7: Instituto de Investigaciones en Físico- Química de Córdoba (INFIQC), Facultad de
 20 Ciencias Químicas. CONICET, Universidad Nacional de Córdoba. Córdoba, Argentina.

21

22 **Corresponding author email:** PhD. Alicia Inés Torres

23 Centro de Microscopía Electrónica, Facultad de Ciencias Médicas, Universidad Nacional de
 24 13 Córdoba. Haya de la Torre esq. Enrique Barros. Ciudad Universitaria. CP 5000. Córdoba,
 25 14 Argentina. Tel/fax: +54 351 4333021. atorres@cmefcm.uncor.edu

26

27 **Short title:** Trastuzumab inhibits pituitary tumor growth

28

29 **Keywords:** pituitary adenoma, HER2, TGFB, SMAD.

30

31 **Word count of the full article:** 6752

32

33 ABSTRACT

34 In pituitary adenomas, early recurrences and resistance to conventional
35 pharmacotherapies are common, but the mechanisms involved are still not understood. The
36 high expression of epidermal growth factor receptor 2 (HER2)/extracellular signal-regulated
37 kinase (ERK1/2) signal observed in human pituitary adenomas, together with the low levels
38 of the antimitogenic transforming growth factor beta receptor 2 (TBR2), encouraged us to
39 evaluate the effect of the specific HER2 inhibition with trastuzumab on experimental
40 pituitary tumor cell growth and its effect on the antiproliferative response to TGFB1.
41 Trastuzumab decreased the pituitary tumor growth as well as the expression of ERK1/2 and
42 the cell cycle regulators cyclin D1 and CDK4. The HER2/ERK1/2 pathway is an attractive
43 therapeutic target, but its intricate relations with other signaling modulators still need to be
44 unraveled. Thus, we investigated possible cross-talk with TGFB signaling, which has not yet
45 been studied in pituitary tumors. In tumoral GH3 cells, co-incubation with trastuzumab and
46 TGFB1 significantly decreased cell proliferation, an effect accompanied by a reduction in
47 ERK1/2 phosphorylation, an increase of SMAD2/3 activation. In addition, through
48 immunoprecipitation assays, a diminution of SMAD2/3-ERK1/2 and an increase SMAD2/3-
49 TGFB1 interactions were observed when cells were co-incubated with Trastuzumab and
50 TGFB1. These findings indicate that blocking HER2 by trastuzumab inhibited pituitary tumor
51 growth and modulated HER2/ERK1/2 signaling and consequently the anti-mitogenic
52 TGFB1/TBRs/SMADs cascade. The imbalance between HER2 and TGFB1s expression
53 observed in human adenomas and the response to trastuzumab on experimental tumor
54 growth, may make the HER2/ERK1/2 pathway an attractive target for future pituitary
55 adenoma therapy.

56

57

58 INTRODUCTION

59 Pituitary adenomas occur in around 10-15% of all intracranial neoplasms, but only a
60 few of these are symptomatic according to estimations from various clinical studies (Asa and
61 Ezzat 1998; Kaltsas, et al. 2005; Tomita and Gates 1999). Although the vast majority are
62 benign, between 25 and 55% of pituitary adenomas are invasive, with some exhibiting
63 clinically aggressive behavior (Ironside 2003; Kaltsas and Grossman 1998). The initial
64 treatment of pituitary adenomas is based on protocols designed for each tumor type.
65 Dopamine agonists have become the initial treatment for most patients with prolactin-
66 secreting tumors, whereas for growth hormone-producing tumors surgical resection is the
67 first-line therapy, while somatostatin analogs are used in patients with large tumors.
68 However, 20 % of patients are refractory to these medical treatments (Di Ieva, et al. 2014).

69 In normal pituitary tissue, the stimulatory and inhibitory growth signals are finely
70 regulated, with alterations in these being directly or indirectly associated with tumorigenesis.
71 The transforming growth factor beta 1 (TGFB1) and epidermal growth factor (EGF) have
72 opposite functions but play essential roles in tumor development by converting them into
73 attractive therapeutic targets (Yarden and Sliwkowski 2001). The human EGF receptor
74 family consists of four members (HER/ERBB1-4) (Roskoski 2014), with the HER receptors
75 having of an extracellular domain, a single hydrophobic transmembrane segment, and an
76 intracellular domain with protein kinase activity (Dworakowska, et al. 2009). Dimerization of
77 the HER receptors leads to the induction of kinase activity, which as a result a number of
78 tyrosine residues at the C terminal end of the HER molecules become phosphorylated. The
79 two major signaling pathways activated by HER receptors are the MEK/ERK and PI3K-AKT
80 pathways (Yarden and Sliwkowski 2001), which both participate in cell proliferation,
81 angiogenesis, cell adhesion, cell motility and tumorigenesis (Seshacharyulu, et al. 2012).
82 Certain components of these pathways may be activated/inactivated by mutations or

83 epigenetic silencing, and dysregulation of the components of these cascades can contribute to
84 resistance to other pathway inhibitors and chemotherapeutic drug resistance (McCubrey, et
85 al. 2012). In addition, the MEK/ERK and PI3K/AKT cascades are often activated by genetic
86 alterations in upstream signaling molecules such as receptor tyrosine kinases. In this way,
87 several malignancies are associated with the mutation or increased expression of members of
88 the HER family, including lung, breast, stomach, colorectal, head, neck, and pancreatic
89 carcinomas, as well as glioblastomas (Roskoski 2014). HER2 is a more potent oncoprotein
90 than the other HERs, and thus blocking their action might inhibit a myriad of mitogenic
91 pathways affecting the HER2-expressing tumor cells (Onishi, et al. 2016). Although several
92 new strategies are currently being developed trastuzumab (a drug designed to block the
93 receptor HER2) has been the first to attain clinical use, mainly for the treatment of metastatic
94 breast cancer (Baselga, et al. 1996; Cobleigh, et al. 1999).

95 The expression of HER2 has been reported in pituitary tumors (Ezzat, et al. 1997;
96 Nose-Alberti, et al. 1998; Roncaroli, et al. 2003), including prolactinomas (Vlotides, et al.
97 2009), and it has been demonstrated that overexpression of constitutively active HER2
98 markedly induces PRL expression and secretion as well as cell growth in rat GH3 lactotroph
99 tumor cells, effects that are blocked by Lapatinib, a dual tyrosine kinase inhibitor of both
100 epidermal growth factor receptors HER1 and HER2 (Fukuoka, et al. 2011). For signaling
101 pathways activated by HER2, there are studies showing increases in MEK/ERK activity in
102 pituitary tumors (Cakir and Grossman 2009). However, although the MEK/ERK signaling
103 pathway plays a central role in the regulation of cell proliferation, differentiation and
104 survival, its exact functional relevance in complex signaling network, pituitary tumorigenesis
105 and resistance to conventional medical treatments is not fully understood, but it may enable
106 the development of attractive novel strategies for treating common tumors.

1107 It is well known that the MEK/ERK is not a unidirectional cascade of protein kinases,
1108 but forms a complex signaling network with many interactions including inhibitory cascades.
1109 Recently, we demonstrated that the TGF β 1-antimitogenic effect in pituitary tumor cells was
1110 attenuated by the MEK/ERK1/2 pathway via modulating SMAD2/3 phosphorylation (Petiti,
1111 et al. 2015). TGF β 1 signaling is mediated by TGF β type 1 receptor (TBR1) and TBR2.
1112 Upon TGF β binding, the TBR2 receptors activate the TBR1 receptors, inducing
1113 phosphorylation of mothers against the decapentaplegic homologs SMAD2 and SMAD3
1114 which form trimers with Smad4 that translocate into the nucleus, where they regulate the
1115 expression of genes that control cell cycle progression (Kang, et al. 2009).

1116 One of the principal obstacles to the development of new antitumoral therapies is that
1117 the inhibition of a unique signaling pathway essential for the cell survival is a
1118 pharmacological strategy made ineffective due to the tumoral cells utilizing alternative
1119 cascades and promoting a paradoxical enrichment of resistant cells. In this sense, the study of
1120 the crosstalk between the signals from HER and TBRs in pituitary tumors might permit a
1121 better understanding of the altered balance between the positive and negative regulators that
1122 control the pituitary tumor growth which may condition the therapeutic response. The
1123 HER/ERK1/2 pathway is an attractive therapeutic target as an alternative medical therapy for
1124 pituitary tumors. In order to safely choose the candidate drugs, their intricate relations,
1125 positive and negative feedback loops and signaling modulators must be thoroughly
1126 understood. In the present study, our objectives were to determine the effect of the specific
1127 HER2 receptor inhibitor trastuzumab on pituitary tumor growth, and to evaluate its impact on
1128 the antiproliferative response to TGF β 1.

1129

1130

131 MATERIALS AND METHODS

132 *Human pituitary tissues and tumors*

133 The study group included 20 patients diagnosed with pituitary adenomas from
134 Hospital Córdoba, Sanatorio Allende or Clínica Reina Fabiola, Córdoba, Argentina in the
135 period 2004-2015. Pituitary tumors were obtained from consented patients who had not been
136 treated with radiotherapy by trans-sphenoidal surgery after full endocrine preoperative
137 evaluation. The fresh human pituitary adenoma tissue at the time of surgery was obtained
138 from 12 functioning adenomas (4 PRL-secreting, 6 GH-secreting and 2 ACTH-secreting
139 tumors) and 8 non-functioning adenomas. The samples were processed for
140 immunohistochemical analysis (Table 1 and Table 2). In addition, a group of tumor samples
141 were processed for western blot. Non-tumor human adenohypophyses (n=6) were obtained
142 from autopsies from patients with no evidence of endocrine abnormality and examined
143 histologically. The present project was approved by the Ethics Committee (Repis N°
144 37/2014).

145

146 *Animal model*

147 Three-month-old Fischer-344 male rats were bred and housed at the Animal Research
148 Facility of the INICSA-CONICET-School of Medicine, National University of Córdoba,
149 under controlled temperature ($21\pm 3^\circ\text{C}$) and lighting conditions (14h light:10h darkness
150 cycle), with free access to commercial rodent food and tap water. Forty-three rats were
151 randomly assigned to four groups: Group C (n=13): intact animals as control; Group E
152 (n=13): intact animals treated with estradiol benzoate pellets (30 mg, Sigma–Aldrich, St.
153 Louis, MO, USA), as described by Sahores et al. (Sahores, et al. 2013), for 45 days to induce
154 a PRL pituitary tumor development (Asa and Ezzat 2002); Group T (n=4): animals treated
155 with trastuzumab 6 mg/kg (Herceptin, Roche) during 15 days; Group E+T (n=13): during the

156 last 15 days before the end of the estradiol treatment, the rats received trastuzumab. The
157 treatments with trastuzumab consisted of two intraperitoneal injections given once a week
158 following protocols previously reported (Eryilmaz, et al. 2015; Guler, et al. 2009; Hendry, et
159 al. 2016).

160 All animals were treated in agreement with the Guide for the Care and Use of
161 Laboratory Animals, published by the United States National Institutes of Health (1996), and
162 experiments were approved by the Institutional Animal Care Committee of the School of
163 Medicine, National University of Córdoba.

164

165 *Immunohistochemistry*

166 Paraffin-embedded pituitary glands were processed by immunohistochemistry for
167 HER2, pHER2, TBR1, TBR2, and Ki67. The sections were blocked and incubated ON with
168 anti-HER2 (1:300; Dako, Glostrup, Denmark), anti-pHER2 (1:300; Santa Cruz
169 Biotechnology, Dallas, TX, USA), anti-TBR1 (1:200; Santa Cruz Biotechnology, Dallas, TX,
170 USA), anti-TBR2 (1:200; Santa Cruz Biotechnology, Dallas, TX, USA) or anti-Ki67 (1:75;
171 BD Biosciences, San Jose, CA, USA) at 4°C. The sections were then incubated with
172 biotinylated secondary antibodies (Vector Laboratories, Burlingame, CA, USA) and
173 streptavidin (Dako, Glostrup, Denmark). The slides from three animals of each experimental
174 condition were photographed in randomly chosen fields at 400X. The quantification of the
175 expressions was assessed by subsequent manual counting of positive and negative cells per
176 highpower visual field, with more than 2000-immunoreactive cells being examined.

177

178

179

180

181 *Detection of apoptosis by DNA nick-end labeling*

182 Nick-end labeling was detected using the TUNEL technique, as previously reported
183 (Palmeri, et al. 2009), following the manufacturer's protocol (In Situ Cell Death Detection
184 Kit; Roche). The slides were observed using a Zeiss Axiostar plus microscope at 400x.

185

186 *Cell culture*

187 The rat GH3 lactosomatotroph pituitary tumor cell line (ATCC®-BAA-1926™) was
188 used as an *in vitro* pituitary tumoral model (McIntyre, et al. 2004). The cells were cultured in
189 Ham's F-12 Nutrient Mixture medium supplemented with fetal bovine and horse serum, and
190 antibiotics. After 3 days of culture and with a confluence of 70%, the cells were submitted to
191 different experimental protocols. Cell cultures were treated with TGFB1 (4 ng/ml, Sigma; St
192 Louis, USA), Trastuzumab (100ug/mL) or the combination of both factors for 30 min or 24 h.

193 *Flow cytometry staining for detection of Ki67*

194 To analyze GH3 proliferation by Ki67 detection, the cells were detached using TrypLE™
195 Express (Gibco, NY, USA), washed twice with PBS and fixed overnight with ice-cold 70%
196 ethanol. After washing, cells were incubated with anti-Ki67 (1:75; BD Biosciences, San Jose,
197 CA, USA) at 37°C for 45 min, followed by incubation with secondary antibody Alexa-Fluor
198 488 (1:1000; Invitrogen, Carlsbad, California, USA) for 1h at 37°C. Finally, GH3 cells were
199 suspended in PBS and run on a flow cytometer (FACSCanto™ II, BD Biosciences). The data
200 obtained was analyzed by FlowJo V10 software (Tree Star, Inc, Ashland, OR, USA).

201

202 *Immunocytochemical detection of BrdU uptake*

203 GH3 cells at the DNA-synthesizing stage were identified by the immunocytochemical
204 detection of BrdU. After of stimulation with the different reagents, BrdU (200nM) was added
205 for an additional 4h. The cells attached to coverslips were fixed in 4% formaldehyde in PBS

206 for 2h at room temperature (RT) and BrdU incorporation detection was performed as
207 described by Ferraris and co-workers (Ferraris, et al. 2014)

208

209 *Immunoprecipitation*

210 Protein extraction of tumoral pituitary cells (1 mg of protein) was carried out
211 according to previous protocols (Sosa Ldel, et al. 2013), which was then subjected to
212 immunoprecipitation using specific anti-pSMAD2/3 (5µg/mL, Santa Cruz Biotechnology,
213 Dallas, TX, USA) or Trastuzumab (5µg/mL). The immune complexes were adsorbed and
214 precipitated using Protein A-Sepharose beads (Sigma–Aldrich, St. Louis, MO, USA), washed
215 3 times with lysis buffer and denatured by boiling for 5 min in the sample buffer. Parallel
216 immunoprecipitations were performed using a non-immune goat serum, which verified the
217 specificity of the bands detected by western blotting using anti-TBR1 (1:200), anti-
218 pSMAD2/3 (1:1000 Cell Signaling, Massachusetts, USA), and anti-pERK1/2 (1:1000 Cell
219 Signaling, Massachusetts, USA)

220

221 Analysis of cell-surface proteins by biotinylation

222 The cell-surface proteins were labeled according manufacture protocol (Pierce™ Cell
223 Surface Protein Isolation Kit (Prod#89881). Briefly, GH3 and MCF7 cell cultures were
224 washed three times with ice-cold PBS buffer, pH 8, and then incubated with membrane
225 impermeable sulfo-NHS-biotin at a final concentration of 0.3 mg/ml in PBS, pH 8, at 4 °C for
226 20 min. After biotinylation, the cultures were washed three times with ice-cold PBS, and
227 harvested with lysis buffer containing 1.25% Igepal CA-630, 1 mM EDTA, 2 mM PMSF, 10
228 µg/ml leupeptin, and 10 µg/ml aprotinin (all inhibitors were from Sigma, St. Louis, MO). The
229 cellular extracts were sonicated and incubated with avidin-agarose beads (Pierce, Rockford,
230 IL) for 2 h at 4 °C. Then, the extracts were centrifuged at 500g for 10 min at 4 °C, the

231 supernatant (200 μ l) was separated and the pellet was washed three times with 1 ml of lysis
232 buffer. The proteins from the supernatant fractions were run in 7.5% acrylamide gel. Both
233 pellet and supernatant fractions were analyzed by Western blot using specific antibodies.

234

235 *Western blot analysis*

236 Protein extracts (30 μ g) were separated in a polyacrylamide gel (Sigma–Aldrich, St.
237 Louis, MO, USA), transferred to a nitrocellulose membrane, and nonspecific binding blocked
238 with PBS-5% non-fat dried milk at RT. The membranes were then rinsed and incubated
239 overnight with anti-HER2 (1:1000), anti-pHER2 (1:350), anti-TBR1 (1:300), anti-TBR2
240 (1:300), anti-Cyclin D1 (1:300, Santa Cruz Biotechnology, Dallas, TX, USA), anti-CDK4
241 (1:400, Abcam, Cambridge, UK), anti-pSMAD2/3 (1:1000 Cell Signaling, Massachusetts,
242 USA), anti-SMAD2/3 (1:1000, Cell Signaling, Massachusetts, USA), anti-TERK1 (1:500,
243 Santa Cruz Biotechnology, Dallas, TX, USA), anti-pERK1/2 (1:1000, Cell Signaling,
244 Massachusetts, USA) or anti-b-Actin (1:4000; Sigma Aldrich, St. Louis, MO, USA).

245 The blots were incubated with HPRT-conjugated bovine anti-goat (1:2500; Santa
246 Cruz Biotechnology, Dallas, TX, USA), goat anti-mouse (1:2500, Jackson ImmunoResearch,
247 West Grove, PA, USA) or goat anti-rabbit secondary antibodies (1:5000, BioRad, Hercules,
248 California, USA). The membranes were thoroughly rinsed in TBS 0.1% Tween 20, and the
249 HPRT-coupled secondary antibody was revealed with enhanced chemiluminescence Western
250 blotting detection reagents (GE Healthcare, Little Chalfont, UK). Emitted light was captured
251 on Hyperfilm (GE Healthcare, Little Chalfont, UK).

252

253 *Confocal laser scanning microscopy*

254 Pituitary cells were fixed in 4% formaldehyde, permeabilized in 0.25% Triton X-100
255 in PBS, blocked for 1 hour in PBS 3% BSA, and incubated with anti-HER2 (1:200) for 1 h.

256 Then, cells were incubated with Alexa Fluor 488 antirabbit antibody (1:2000; Invitrogen,
257 Carlsbad, California, USA) for 1 h. Images were obtained using the inverted confocal laser
258 scanning microscope FluoView FV 1200 (Olympus, Center Valley, PA). Serial z-axis
259 sections were collected with an X60 objective and analysis of the confocal microscopy
260 images was carried out using FV10-ASW 1.6 Viewer software.

261

262 *Immunogold electron microscopy (EM)*

263 The subcellular localization of the TGF β receptors in pituitaries from rats was
264 examined by an ultrastructural immunocytochemical technique using protocols previously
265 standardized in our laboratory (Petiti, et al. 2009). The grids were incubated with anti-TBR1
266 (1:300) or anti-TBR2 (1:300) overnight at 4°C, and then with anti-mouse or antirabbit
267 secondary antibodies conjugated to 15 nm (Electron Microscopy Science, Hatfield
268 Pennsylvania, USA) colloidal gold particles (1:30). The following controls were performed:
269 1) replacement of primary antiserum with PBS 1% BSA; and 2) replacement of primary
270 antiserum with diluted pre-immune serum followed by the secondary antibody. Then, the
271 sections were examined in a Zeiss LEO 906-E transmission EM (TEM) (Zeiss, Oberkochen,
272 Germany) and photographed with a megaview III camera (Olympus, Center Valley, PA).

273

274 *Correlative Light and Electron Microscopy (CLEM)*

275 CLEM was carried out on ultrathin cryo-sections by applying the Tokuyasu technique
276 as described by Oorschot (Oorschot, et al. 2014). Briefly, GH3 cells were fixed in 2%
277 paraformaldehyde (Sigma-Aldrich, St. Louis, MO, USA) and 0.2 % glutaraldehyde (Electron
278 Microscopy Science, Hatfield, Pennsylvania, USA) for 2 h, embedded in 12 % gelatin,
279 infiltrated with 2.3 M sucrose (Sigma-Aldrich, St. Louis, MO, USA) overnight at 48 °C,
280 frozen in liquid nitrogen and sectioned at -120°C (100 nm cryosections) with a cryo-

281 ultramicrotome RMC PowerTome-XL (RMC Boeckeler, Tucson Arizona, USA). Flat ribbons
282 of 100nm thick cryo-sections, collected with 1.15 M sucrose and 1% methylcellulose (Sigma-
283 Aldrich, St. Louis, MO, USA), were transferred on formvar-coated 100- μ m mesh hexagonal
284 nickel grids (Electron Microscopy Science, Hatfield, Pennsylvania, USA).

285 For immunolabeling, samples were incubated on 2% gelatin in PBS for 20 min at
286 37°C, blocked, incubated with anti-HER2 1:50 ON at 4°C, rinsed and incubated with anti-
287 rabbit Alexa-Fluor 594 (Invitrogen, Carlsbad, California, USA) for 1h at 37°C in the
288 presence of 40,6-diamidino-2-phenylindole (DAPI) (Sigma-Aldrich, St. Louis, MO, USA).
289 For immunogold labelling, grids were incubated with gold conjugated protein-A 1:15 at 37
290 °C for 1h.

291 For fluorescence light microscopy (FLM), grids layered with a 200nm coat of 2%
292 methylcellulose were mounted between a microscope slide and coverslip with 50% glycerol
293 in water. For TEM, grids were unmounted, washed in Milli-Q water and incubated for 5 min
294 in 0.4% uranyl acetate and 1.8% methylcellulose. Fluorescence images were obtained using a
295 FluoView FV 1200 microscope and EM images using a Zeiss LEO 906-E TEM. The analysis
296 was carried out with ImageJ software.

297

298 *Double-Immunohistochemistry on pituitary cryosections*

299 To assess co-expression of Ki67/PRL, Ki67/GH, Ki67/ACTH and Trastuzumab-
300 HER2/PRL, additional sets of pituitaries from different experimental conditions were used
301 for confocal microscopy. Pituitary semi-thin cryo-sections were obtained by the Tokuyasu
302 technique as described above. Then, the pituitary slices were incubated ON with anti-PRL
303 (1/3000), anti-GH (1/3000), anti-ACTH (1/1000), anti-Ki67 (1/50) or Trastuzumab (1/1000)
304 at 4°C. These slices were washed and incubated with FITC anti-human, Alexa 594 anti-rabbit
305 or Alexa 488 anti-mouse secondary antibodies (1:3000; Dako, Invitrogen) for 1 h, and with

306 DAPI for 10min. The images were then obtained using a FluoView FV 1200 microscope. The
307 analysis of the images was carried out using ImageJ software.

308

309 *Computational structural analysis*

310 The crystal structures and amino acid sequences of the extracellular region of rat
311 sHER2 (code: 1n8y) and human sHER2 (code: 1n8z) (Cho, et al. 2003) were downloaded
312 from the Protein Data Bank (www.rcsb.org) and superposition of the structures was
313 calculated with the program ProFit (<http://www.bioinf.org.uk/software/profit/>). Sequence
314 alignment was performed with ClustalX (Larkin, et al. 2007), molecular images were created
315 with VMD (Humphrey, et al. 1996), and the effect of change of the amino acid sequence on
316 binding affinity was calculated with MutaBind (Li, et al. 2016).

317

318 *Statistical analysis*

319 The experimental points represented the mean \pm SEM of 3 replicates measured in 3
320 independent cell cultures. A statistical analysis was carried out using the t-test or ANOVA
321 followed by the Fisher test using the InfoStat program. Significance levels were chosen as P
322 < 0.05 .

323

324 **RESULTS**

325 *Expression of HER2 and TBRs in human pituitary adenomas*

326 To investigate the protein expression of HER2 and TBRs in normal pituitaries (n=6)
327 and in different types of human pituitary adenoma samples (table 1, n=20), we examined
328 these receptors by immunohistochemistry (IHC, n=12) or western blot (WB, n=8) in non-
329 functioning and functioning tumors. As shown in table 2, HER2 expression was present in

330 three out of four prolactinomas with different percentages of positive cells (10%, 44% and
331 27%); and also in one out of three and one out of four in GH-secreting and non-functioning
332 tumors respectively, with a high percentage of HER2+ cells (79% and 98%) and strong
333 staining (+++) observed, which was similar to the percentage present in the ACTH-secreting
334 adenoma (80%). Ductal human breast carcinoma tissue samples were used as
335 immunoreactive controls (Figure 1A).

336 It has been previously reported that the phosphorylation status of HER2 protein
337 provides more accurate information on the clinical outcome of patients undergoing
338 trastuzumab treatment (Hudelist, et al. 2006). We analyzed the expression of pHER2 by IHC
339 in seven pituitary adenomas including two PRL, GH-secreting and non-functioning ones,
340 which were positive or negative for HER2, and also in an ACTH-secreting pituitary adenoma
341 (table 2). The pHER2 was detected in the GH, ACTH-secreting and non-functioning pituitary
342 adenomas that were highly positive for HER2, while the prolactinomas did not express
343 pHER2. An interesting finding was the detection of pHER2 in the HER2 negative non-
344 functioning adenoma (table 2 and Figure 1A).

345 With respect to the expression of the TGF β receptors, we observed a varied
346 expression in the different pituitary tumors. The quantification of the different receptors in
347 non-tumor pituitaries is showed in table 2.

348 In parallel with the IHC analyzes, we determined the HER2 expression in eight other
349 patients with pituitary adenomas (four GH secreting and four non-functioning adenomas) and
350 5 non-tumor pituitaries using WB, revealing a marked expression in pituitary tumor samples
351 compared to non-tumor pituitaries (Figure 1B). None of the pituitary tumor sample were
352 observed to be positive to pHER2 (pTyr-1248 HER2) (Figure 1B).

353 With respect to the TGF β receptors, we observed a reduced expression of TBR2 in
354 pituitary tumors compared to non-tumor pituitaries (Figure 1B), while no differences were
355 detected for TBR1.

356 In addition, in order to investigate HER/ERK1/2 signaling, we determined the
357 activation of ERK1/2 in human pituitary adenomas by WB. A significant increase in the
358 phosphorylation of ERK1/2 was observed in the pituitary tumors analyzed compared to non-
359 tumor tissue (Figure 1B).

360 *Trastuzumab effect on rat pituitary tumors in vivo*

361 To evaluate *in vivo* effects of HER2 inhibition on pituitary tumor growth, we used
362 experimental PRL pituitary tumors, which were treated with trastuzumab. First, we
363 determined whether trastuzumab, as the primary antibody (Bussolati, et al. 2005; Glazyrin, et
364 al. 2007), could bind the HER2 receptor in different human samples as well as in extracts
365 from the rodent model (Estrogen-treated Fisher rat) and rat GH3 cells. As shown in figure 2,
366 trastuzumab recognized the HER2 receptor in the human tumors and in different rat samples,
367 as was confirmed by the presence of a band at 185 kDa, which corresponded to the molecular
368 weight of HER2 from total extract samples (Figure 2A) and purified cell surface proteins
369 samples (Figure 2B). With the aim of further strengthening these results, we investigated the
370 trastuzumab/HER2 interactions in rat GH3 cells and in human breast tumor sample with
371 HER2 amplification by immunoprecipitation assays. The HER2 receptor was precipitated in
372 an immune complex with trastuzumab as primary antibody, and then immunoblotting with
373 the anti-HER2 antibody was performed (Figure 2C). As was expected, Trastuzumab
374 interacted with human HER2 and, also to a lesser intensity with rat HER2, indicating a
375 possible trastuzumab/rat HER2 interaction in GH3 cells. In addition, comparing human and
376 rat HER2 sequences by computational analysis, three different amino acids (P571S, P572S

377 and F573S) have been identified in the binding interface. Out of the three amino acid
378 differences present, only P572S was predicted to be deleterious, but with a low confidence
379 (Supplementary Table 1 and Figure 1). The other two alterations were predicted to reduce the
380 binding affinity, but not to be deleterious. These results show a highly conserved binding
381 interface with three points of amino acid differences, which could predict a reduced affinity
382 of Trastuzumab by rat HER2 compared to human sHER2, in line with the
383 immunoprecipitation assays.

384 In addition, we performed IHC using trastuzumab as the primary antibody for HER2
385 detection on cryosections from rat pituitary tumor, and observed immunofluorescence in the
386 plasma membrane and cytoplasm in rat pituitary tumor cells. Moreover, we realized double-
387 immunofluorescence staining for HER2 (using trastuzumab) and PRL, which revealed PRL
388 positive cells with HER2 labelling delineating the plasma membrane, as can be observed in
389 the figure 2D.

390 Next, we analyzed HER2 and TBR expression in experimental pituitary tumors
391 exposed to the HER2 inhibitor by IHC with the HER2 quantification indicating an increase of
392 expression in the E and E+T groups with respect to the non-estrogen(C) and control-
393 trastuzumab (T) groups. In addition, the quantification of both TGF β receptors (TBR1 and
394 TBR2), showed a decrease in E and E+T compared to the C and T groups (Figure 2E).

395 To investigate further the results obtained by conventional IHC, we examined the
396 subcellular localization of both TBRS in experimental pituitary tumors treated with
397 trastuzumab by means of TEM immunogold. In the pituitary tumors without trastuzumab
398 administration, the subcellular distribution of TBR1 and TBR2 was similar, as identified by
399 gold particles in the plasma membrane and cytoplasm. In some cells, the cytoplasmic
400 presence of both receptors was associated with an endoplasmic reticulum (Figure 2F).

401 However, the administration of the HER2 inhibitor did not induce any changes in the
402 subcellular localization of either TGFR receptor.

403 Having demonstrated that the rat pituitary tumors expressed HER2, we now tested the
404 effect of HER2 blockade on pituitary weight and cell proliferation, with cells which were
405 immunoreactive to the mitogenic marker Ki67 in sections from three different levels of
406 pituitary glands of each experimental group being quantified as shown in Figure 3A-B. The
407 pituitary tumor proliferation decreased by about 50% (average percentages C: 1.5%; T: 1.3%;
408 E: 18.75%; and E+T: 8.3%) after treatment with trastuzumab for two weeks. In addition, we
409 performed double-immunostaining of PRL, GH or ACTH hormones with Ki67 in the
410 Estrogen-treated rat group. As expected, the majority of cells identified in this experimental
411 group were PRL+, with some of these being co-labelled with Ki67 and a few GH/Ki67
412 positive cells being detected. However, the ACTH cells were negative to Ki67, as shown in
413 the Figure 3C.

414 In order to elucidate whether the cell proliferation decrease observed after
415 trastuzumab treatment could be associated with an increase in apoptosis, we used the TUNEL
416 assay as this is useful for examining DNA fragmentation *in situ*, and apoptosis of pituitary
417 cells was evaluated over histological samples of each experimental group. As shown in the
418 Figure 3D, no changes were observed in the number of TUNEL positive cells in the different
419 experimental groups.

420 *Trastuzumab effect on the protein expression of cell-cycle regulators*

421 The activation of the HER2 receptor promotes cell proliferation through the
422 stimulation of proteins involved in the control of the cell cycle progression. After observing
423 that the inhibition of HER2 decreased the proliferation of pituitary tumor cells *in vivo*, we
424 investigated whether the trastuzumab effects on the expression of ERK1/2, Cyclin D1 and

425 CDK4. As shown in Figure 3E-G, the treatment with trastuzumab significantly reduced the
426 expression of all the mitogenic proteins analyzed, compared to the pituitary tumors from rats
427 without treatment with the HER2 inhibitor, in agreement with the blockade observed in the
428 cell proliferation in Figure 2.

429 *Trastuzumab effect on TGFB1 signaling in vitro*

430 Having analyzed the key role of HER2 on the pituitary tumor cell proliferation, we
431 investigated whether the trastuzumab treatment could modulate the anti-mitogenic effect of
432 TGFB1. To carry this out, we used GH3 lactosomatotroph pituitary tumor cells and first
433 determined the expression of HER2 by confocal microscopy (Figure 4A) and CLEM (Figure
434 4B), which allowed the simultaneous observation of a given subcellular structure. As shown
435 in figure 4A and B, the receptor was localized at the plasma membrane, similar to the
436 location found in colon cancer CaCO2 cells used as a positive control.

437 To investigate whether blocking HER2 regulated TGFB1-induced cell proliferation
438 inhibition, we quantified the levels of Ki67 by flow cytometry in GH3 cells exposed to
439 TGFB1 in the presence of trastuzumab. It was observed that the treatment with TGFB1 or
440 trastuzumab significantly decreased the cell proliferation, an effect that was potentiated when
441 the GH3 cells were co-incubated with TGFB1 and trastuzumab (Figure 4B). In parallel we
442 performed new assays using the bromodeoxyuridine (BrdU) technique, which is commonly
443 used to measure cell proliferation. Similar results were obtained, thus confirming those
444 acquired by Ki67 measurements (Figure 4C).

445 After demonstrating the inhibition of cell proliferation by co-incubation with
446 trastuzumab and TGFB1, we decided to study whether this effect was associated with
447 activation of SMAD2/3. A significant increase in p-Smad2/3 expression occurred when GH3
448 cells were incubated with trastuzumab in the presence of TGFB1 (Figure 4D), suggesting that

449 activation of the canonical signal TGFB1/SMAD2/3 was inhibited by the signaling pathways
450 activated by HER2.

451 To evaluate the effect of trastuzumab and TGFB1 on ERK1/2 activation, the GH3
452 cells were treated with the HER2 inhibitor for 30 min in the presence or absence of TGFB1,
453 and their phosphorylated states were determined by WB. As shown in Figure 4E, the analysis
454 of pERK1/2 did not reveal any changes in the ERK1/2 pathway activation after TGFB1
455 stimulation. However, treatment with trastuzumab co-incubated with TGFB1 for 30 min
456 induced a significant decrease in the phosphorylation of ERK1/2.

457 In order to try to obtain more evidence of crosstalk between HER2/ERK1/2 and
458 TBR/SMAD2/3, we analyzed the interaction of SMAD2/3 with ERK1/2 using
459 immunoprecipitation studies on GH3 cells treated with trastuzumab in the presence of
460 TGF β 1. The interaction of p-SMAD2/3-p-ERK1/2 decreased when the GH3 cells were
461 incubated with trastuzumab in the presence of TGF β 1, compared with the treatment with
462 TGF β 1 alone, effects that may be associated with the reduction in p-ERK1/2 and the increase
463 of p-Smad2/3 observed in Figure 4F.

464 A possible mechanism to regulate SMAD2/3 phosphorylation is by controlling the
465 pool of Smad2/3 available for TGF β signaling. The high phosphorylation of SMAD2/3 in the
466 presence of trastuzumab (Figure 4D) and the interaction between SMAD2/3 with ERK1/2
467 (Figure 4F) led us to hypothesize that these MAPK altered the SMAD2/3 phosphorylation
468 induced by T β RI. Thus, we tested the interaction between TBR1 and SMAD2/3 by
469 immunoprecipitation assays in GH3 treated with trastuzumab in the presence of TGFB1, an
470 increase in TBR1-SMAD2/3 association was observed when the cells were co-incubated with
471 the inhibitor of the HER2/ERK1/2 pathway and TGFB1.

472 The activation of SMAD2/3 can be regulated by controlling the pool of Smad2/3
473 available for TGFB signaling. Thus, we studied whether blocking HER2 would alter the
474 interaction of SMAD2/3 with TBR1 by using immunoprecipitation in the GH3 cells treated
475 with trastuzumab or TGFB1. As shown in Figure 4F, the TGFB1 or HER2 inhibitor
476 significantly increased interaction between SMAD2/3 and TBR1, effects that were
477 potentiated in GH3 cells incubated with TGFB1 in the presence of trastuzumab. These results
478 suggest that the HER2/ERK1/2 pathway inhibited SMAD2/3 phosphorylation by interfering
479 with its association with the TGFB receptor.

480

481 **DISCUSSION**

482 In the present study, we demonstrated that inhibition of the HER2 receptor by
483 trastuzumab decreased cell proliferation of pituitary tumor cells through blocking the ERK1/2
484 pathways and facilitating the activation of antimitogenic TGFB/SMADs signaling.

485 There are a wide variety of treatment options available to manage pituitary adenomas,
486 such as pharmacotherapy with dopamine, as well as, somatostatin analogs, surgery,
487 radiotherapy and chemotherapy. However, aggressive pituitary adenomas are notoriously
488 difficult to manage due to their size, invasiveness, speed of growth and high frequency of
489 recurrence (Buchfelder 2009). There is no clear definition of aggressive adenomas, but such
490 tumors are generally considered (from the clinical point of view) to be those corresponding to
491 a massive invasion of surrounding tissue, with features of rapid growth, large size, a tendency
492 to recur rapidly, resistance to conventional treatments (including radiotherapy), and in some
493 patients, a fatal outcome (Buchfelder 2009; Lloyd 2004). Specific biomarkers have not yet
494 been identified that can distinguish between clinically aggressive and non-aggressive
495 pituitary adenomas, although the antigen Ki67 proliferation index might be of use. The study

496 of aggressiveness in pituitary tumors is of crucial importance for improving the management
497 of patients by enhancing prognostic predictions and the effectiveness of treatments. In this
498 sense, it is clear the need for the development of an alternative strategy for recurrent invasive
499 adenomas or those that are resistant to conventional therapies.

500 We have demonstrated here the presence of HER2 in human non-functioning and in
501 PRL, GH and ACTH secreting pituitary tumors. HER2 expression was previously found to be
502 in 31% of all pituitary tumors, 43% of non-functioning ones and 24% of functioning
503 adenomas (Cooper, et al. 2011). In different studies, HER2 expression has been confirmed in
504 24% of GH-secreting, 26% of PRL-secreting, and 32% of GH/PRL adenomas, as well as in
505 3% of the ACTH-secreting adenomas tested (Botelho, et al. 2006; Chaidarun, et al. 1994;
506 Ezzat et al. 1997; Nose-Alberti et al. 1998; Vlotides et al. 2009). The higher HER2
507 expression and proliferation index recorded in invasive adenomas compared to non-invasive
508 ones suggests a worse prognosis in adenohipophyseal neoplasia (Nose-Alberti et al. 1998),
509 making this receptor an attractive therapeutic target as an alternative medical therapy for
510 pituitary tumors. The presence of intact HER2 signaling has been reported in tumors from
511 breast cancer patients who were found to be HER2 negative by IHC. This subgroup of
512 patients was excluded from trastuzumab treatment, although these patients may have
513 responded to the drug (Wulfschlegel, et al. 2012). On analyzing the activation of HER2, we
514 detected pHER2 expression in pituitary adenomas positive for HER2, interestingly in a HER2
515 negative non-functioning adenoma by IHC. Nevertheless, the pHER2 expression could not be
516 observed by WB, probably due to the differences in the sample processing that enables a
517 better exposition of the antigen in IHC. The immuno detection of pHER2 could be clinically
518 relevant, as it has been reported that some breast cancer patients with negative HER2 tumors
519 present have benefited from trastuzumab (Paik, et al. 2008).

520 Considering that the HER2 effect and signaling are mediated by the MEK/ERK1/2
521 cascade, we determined the activation of ERK1/2 in human pituitary tumors, with an increase
522 in the phosphorylation of ERK1/2 in the pituitary tumors being observed compared to non-
523 tumor tissues. These results are in agreement with a previous report that demonstrated a rise
524 in phosphorylation, and hence, activation of MEK1/2 and ERK1/2 in all pituitary adenoma
525 subtypes compared to normal pituitaries (Dworakowska et al. 2009). In rat prolactinoma
526 tumor cells, treatment with the EGFR inhibitor gefitinib suppressed proliferation and ERK1/2
527 phosphorylation, thereby demonstrating the involvement of EGFR/ERK signaling in pituitary
528 tumor growth (Vlotides, et al. 2008). To obtain a deeper understanding of the role of the
529 HER2/ERK pathway in pituitary tumor growth, it would be interesting to study possible
530 crosstalk with TGFB signaling, which may help to improve the therapeutic strategy against
531 HER2.

532 TGFB signaling in the development of pituitary tumors is still not fully understood
533 and the subject of much controversy. In normal epithelial cells, TGFB1 acts as a potent
534 tumor suppressor and prevents incipient tumors from progression to malignancy. However,
535 due to the subsequent inactivation of TGFB signaling or key target genes, tumor cells lose
536 their TGFB1 tumor-suppressive responses (Massague 2008). Considering that tumor cells can
537 evade the suppressive effects of TGFB through inactivation of core components of the
538 pathway (such as TGFB receptors), we examined the protein expression of TGFB receptors
539 in normal and tumoral human pituitaries, with reduced TBR2 levels being observed in the
540 tumor tissues compared to non-tumoral pituitaries. These results are in agreement with
541 previous reports that described immunoreactivity for TBR2 expression in 26 out of 48 cases
542 of human pituitary adenomas (Fujiwara, et al. 1995) and a down-regulation of the
543 TGFB/SMAD signaling cascade in dopamine-resistant prolactinomas compared to normal
544 human anterior pituitaries (Li, et al. 2015). Our results on TBRs obtained from human

545 samples suggest that TGFB signaling may be restrained in pituitary adenomas and might be
546 correlated with tumor growth. Consequently, recovering the capability of TGFB signaling to
547 suppress tumor development could be a promising therapeutic strategy for pituitary tumors.

548 Pituitary tumors are often unresponsive to therapy, and even when an initial response
549 is achieved, their recurrence is common. Bearing in mind the presence of HER2 in human
550 pituitary tumors, we investigated the effect of specific HER2 inhibition with trastuzumab on
551 pituitary tumor cell growth by employing two experimental approaches; an *in vivo* pituitary
552 tumor model using Fisher 344 rats and *in vitro* experiments performed with rat GH3
553 lactosomatotroph pituitary tumor cell line. In this study, it was demonstrated that the human-
554 specific HER2 monoclonal antibody (trastuzumab) recognized rat-derived HER2 in both the
555 rodent model and GH3 cells. The analysis of cell-surface proteins by biotinylation and the
556 theoretical model of trastuzumab/HER2 interaction showed differences between human and rat
557 binding. The experimental PRL pituitary tumor presented a significant HER2 expression, in
558 contrast to decreased TBR1 and TBR2 receptors. The HER2 inhibitor treatment decreased the
559 pituitary tumor weight, cell proliferation, without inducing apoptosis, and the levels of
560 mitogenic MAPK-ERK1/2 signaling. In addition, trastuzumab administration for two weeks
561 in estrogen-treated rats inhibited the expression of CDK4 and cyclin D1, key regulators of the
562 cell cycle progression from G1 to the S phase (Sherr and Roberts 1995). The trastuzumab
563 treatment in non-estrogen treated rats did not change any of the parameters analyzed with
564 respect to intact animals, suggesting that the trastuzumab effect takes place when there is a
565 previous PRL pituitary tumor, which was associated with the high HER2 expression recorded
566 in the E group.

567 It has been reported in transgenic mice models that CDK4 is required for both the
568 physiological and tumorigenic control of cell cycle progression in the pituitary (Gillam, et al.
569 2015). During the G1 phase, CDK4 is activated by cyclin D1 (Sherr and Roberts 1995),

570 which has been previously observed to be overexpressed in 22 of 45 human pituitary tumors
571 analyzed (Simpson, et al. 2001). It has been reported that lactotroph cells of CDK4-deficient
572 mice did not proliferate in response to estrogen administration (Moons, et al. 2002). In the
573 present study, the noticeable increase of CDK4 expression observed in the E group suggests
574 the contribution of both proteins (cyclin D1 and CDK4) in the regulation of tumor pituitary
575 proliferation. Thus, these results provide evidence that the inhibition effect of trastuzumab on
576 pituitary tumor growth *in vivo* can be mediated by blocking the G1/S-specific ERK1/2/cyclin
577 D1/CDK4 pathway.

578 Although the blocking of HER2, an inhibitory effect on pituitary tumor growth has
579 been previously described by using lapatinib (a dual tyrosine kinase inhibitor of both EGF
580 receptors HER1 and HER2 (Fukuoka et al. 2011), this is the first study testing the specific
581 HER2 inhibitor trastuzumab on pituitary tumor cells, which is a widely promising agent for
582 molecular targeting therapy against breast cancer and more recently tested in patients with
583 HER2-positive colorectal cancer (Carter, et al. 1992; Ross, et al. 2009; Sartore-Bianchi, et al.
584 2016). Considering the relevance of EGF receptors in pituitary tumor progression, the EGFR
585 antagonist lapatinib in conjunction with a dopamine agonist was evaluated in patients with
586 dopamine analog-resistant prolactinomas and demonstrated a beneficial effect of the EGFR
587 inhibitor in the clinical outcome, including reduced prolactin levels and tumor shrinkage
588 (Cooper, et al. 2014). In a related study performed on patients with advanced breast cancer, it
589 was demonstrated that the lower pathological complete response rates in the lapatinib treated
590 group might be explained by a reduced capability of the tyrosine-kinase-inhibitor to block the
591 HER2 pathway compared with the trastuzumab antibody (Untch, et al. 2012). These reports,
592 in addition to our results, suggest that the study of the specific inhibition of HER2 by
593 trastuzumab in pituitary tumors should be expanded, in view of the attractive therapeutic
594 target HER2 in pituitary adenomas. It would be relevant to determine the trastuzumab/HER2

595 binding in human pituitary cells, which may predict the efficacy of the treatment in pre-
596 clinical human studies. In the present study, considering the presence of HER2 positive cells
597 in three out of four human prolactinomas, and also in the experimental pituitary tumor used,
598 the principal target for trastuzumab treatment could be PRL producing tumors. However,
599 taking into account the high percentage (79%) and strong staining (++++) of HER2 expression
600 in one out of three GH-producing tumors, and also the presence of Ki67/GH positive cells in
601 the estrogen-treated rat group, we suggest that trastuzumab might have an effect on GH
602 secreting tumors. Future work should expand the number of cases using appropriate
603 experimental pituitary tumor models.

604 Having demonstrated the anti-proliferative effect of trastuzumab in pituitary tumor
605 growth, we investigated possible cross-talk with TGF β signaling, which has not yet been
606 studied in pituitary tumors. Trastuzumab treatment in the presence of TGF β 1 decreased GH3
607 cell proliferation significantly, an effect accompanied by a reduction in ERK1/2
608 phosphorylation, an increase in SMAD2/3 activation suggesting a negative role of the
609 HER2/ERK1/2 pathway on the activation of SMAD2/3 in pituitary tumor cells. Although
610 SMADs are activated by T β RI-mediated phosphorylation, their activity and stability are
611 further regulated by downstream kinases of other signaling pathways (Matsuzaki 2011). In a
612 previous report we demonstrated that the activation of ERK1/2 by EGF blocked SMAD2/3
613 phosphorylation in pituitary tumor cells, and we also described a physical interaction between
614 SMAD2/3 and ERK1/2, which interfered with the association between SMAD2/3 and TBR1
615 (Petiti et al. 2015). Here, we tested the interaction of SMAD2/3 with ERK1/2 and TBR1 by
616 immunoprecipitation assays in rat GH3 cells treated with trastuzumab in the presence of
617 TGF β 1, and observed a decrease in SMAD2/3-ERK1/2 and an increase in SMAD2/3-TBR1
618 associations. These results suggest that the reduction of pERK1/2 in cells treated with
619 trastuzumab in the presence of TGF β 1 could be associated with a decrease in SMAD2/3-

620 ERK1/2 interaction, which might allow the SMAD2/3 pool to become available for activation
621 by TBR1 and consequently decrease the cell proliferation. Thus, the anti-proliferative effect
622 of trastuzumab in pituitary tumor cells may be mediated by a blockade of the HER2/ERK1/2
623 pathway and a consequent stimulation of the anti-mitogenic TGFB/TBR1/SMAD2/3 cascade.

624 In conclusion, in the present study, using *in vivo* and *in vitro* models, we
625 demonstrated that blocking HER2 by trastuzumab inhibited pituitary tumor growth and
626 modulated HER2/ERK1/2 signaling and consequently the anti-mitogenic TGFB/TBR/SMAD
627 signaling (Figure 5). Furthermore, the high expression of HER2 and ERK1/2 in contrast with
628 the low levels of TBR2 detected in human pituitary adenomas may have been responsible, at
629 least in part, for the limited antiproliferative response to TGFB1 detected in pituitary tumor
630 cells (Sarkar, et al. 1998). This imbalance between proliferative and anti-proliferative signals
631 in pituitary tumor cells has also been previously described in breast cancer, with HER2-
632 induced tumorigenesis in an *in vivo* model resulting in the loss of the TGFB signaling
633 pathway and a lack of SMAD2 activation, accompanied by a reduction of TBR1 expression
634 (Landis, et al. 2005).

635 The loss of tissue homeostasis by alteration in HER2 and TBRs signaling, the
636 frequent resistance to therapy and the early recurrence of aggressive pituitary tumors led us to
637 investigate new pharmacological strategies. The inhibition of HER2/ERK1/2 pathway is
638 attractive, but undoubtedly new studies are now needed that consider a more integrated vision
639 that involves intricate relations with other signaling pathways.

640

641 **Declaration of interest**

642 The authors declare that there is no conflict of interest that could be perceived as prejudicing
643 the impartiality of the research reported.

644

645 **Funding**

646 This work was supported by La Agencia Nacional de Promoción Científica y Tecnológica,
647 Fondo Nacional de Ciencia y Tecnología (ANPCyT-FONCYT-PICT 2014-2555), Consejo
648 Nacional de Investigaciones Científicas y Técnicas (CONICET- PIP-Res #154/2014) and
649 Secretaría de Ciencia y Tecnología de la Universidad Nacional de Córdoba (SECyT-UNC
650 Res # 313/2016)

651

652 **Acknowledgements**

653 The authors wish to thank Ms Lucia Artino, EE Nestor Boetto, and Mr Marcos Mirón for
654 their excellent technical assistance. They would also like to thank native speaker Dr Paul
655 Hobson for revising the English of the manuscript.

656

657 **REFERENCES**

- 658 Asa SL & Ezzat S 1998 The cytogenesis and pathogenesis of pituitary adenomas. *Endocr Rev* **19** 798-
659 827.
- 660 Asa SL & Ezzat S 2002 The pathogenesis of pituitary tumours. *Nat Rev Cancer* **2** 836-849.
- 661 Baselga J, Tripathy D, Mendelsohn J, Baughman S, Benz CC, Dantis L, Sklarin NT, Seidman AD,
662 Hudis CA, Moore J, et al. 1996 Phase II study of weekly intravenous recombinant humanized anti-
663 p185HER2 monoclonal antibody in patients with HER2/neu-overexpressing metastatic breast cancer.
664 *J Clin Oncol* **14** 737-744.
- 665 Botelho CH, Magalhaes AV, Mello PA, Schmitt FC & Casulari LA 2006 Expression of p53, Ki-67
666 and c-erb B2 in growth hormone-and/or prolactin-secreting pituitary adenomas. *Arq Neuropsiquiatr*
667 **64** 60-66.
- 668 Buchfelder M 2009 Management of aggressive pituitary adenomas: current treatment strategies.
669 *Pituitary* **12** 256-260.
- 670 Bussolati G, Montemurro F, Righi L, Donadio M, Aglietta M & Sapino A 2005 A modified
671 Trastuzumab antibody for the immunohistochemical detection of HER-2 overexpression in breast
672 cancer. *Br J Cancer* **92** 1261-1267.
- 673 Cakir M & Grossman AB 2009 Targeting MAPK (Ras/ERK) and PI3K/Akt pathways in pituitary
674 tumorigenesis. *Expert Opin Ther Targets* **13** 1121-1134.
- 675 Carter P, Presta L, Gorman CM, Ridgway JB, Henner D, Wong WL, Rowland AM, Kotts C, Carver
676 ME & Shepard HM 1992 Humanization of an anti-p185HER2 antibody for human cancer therapy.
677 *Proc Natl Acad Sci U S A* **89** 4285-4289.
- 678 Chaidarun SS, Eggo MC, Sheppard MC & Stewart PM 1994 Expression of epidermal growth factor
679 (EGF), its receptor, and related oncoprotein (erbB-2) in human pituitary tumors and response to EGF
680 in vitro. *Endocrinology* **135** 2012-2021.
- 681 Cho HS, Mason K, Ramyar KX, Stanley AM, Gabelli SB, Denney DW, Jr. & Leahy DJ 2003
682 Structure of the extracellular region of HER2 alone and in complex with the Herceptin Fab. *Nature*
683 **421** 756-760.
- 684 Cobleigh MA, Vogel CL, Tripathy D, Robert NJ, Scholl S, Fehrenbacher L, Wolter JM, Paton V,
685 Shak S, Lieberman G, et al. 1999 Multinational study of the efficacy and safety of humanized anti-
686 HER2 monoclonal antibody in women who have HER2-overexpressing metastatic breast cancer that
687 has progressed after chemotherapy for metastatic disease. *J Clin Oncol* **17** 2639-2648.
- 688 Cooper O, Mamelak A, Bannykh S, Carmichael J, Bonert V, Lim S, Cook-Wiens G & Ben-Shlomo A
689 2014 Prolactinoma ErbB receptor expression and targeted therapy for aggressive tumors. *Endocrine*
690 **46** 318-327.
- 691 Cooper O, Vlotides G, Fukuoka H, Greene MI & Melmed S 2011 Expression and function of ErbB
692 receptors and ligands in the pituitary. *Endocr Relat Cancer* **18** R197-211.
- 693 Di Ieva A, Rotondo F, Syro LV, Cusimano MD & Kovacs K 2014 Aggressive pituitary adenomas--
694 diagnosis and emerging treatments. *Nat Rev Endocrinol* **10** 423-435.
- 695 Dworakowska D, Wlodek E, Leontiou CA, Igreja S, Cakir M, Teng M, Prodromou N, Goth MI,
696 Grozinsky-Glasberg S, Gueorguiev M, et al. 2009 Activation of RAF/MEK/ERK and
697 PI3K/AKT/mTOR pathways in pituitary adenomas and their effects on downstream effectors. *Endocr*
698 *Relat Cancer* **16** 1329-1338.
- 699 Eryilmaz U, Demirci B, Aksun S, Boyacioglu M, Akgullu C, Ilgenli TF, Yalinkilinc HS & Bilgen M
700 2015 S100A1 as a Potential Diagnostic Biomarker for Assessing Cardiotoxicity and Implications for
701 the Chemotherapy of Certain Cancers. *PLoS One* **10** e0145418.
- 702 Ezzat S, Zheng L, Smyth HS & Asa SL 1997 The c-erbB-2/neu proto-oncogene in human pituitary
703 tumours. *Clin Endocrinol (Oxf)* **46** 599-606.
- 704 Ferraris J, Zarate S, Jaita G, Boutillon F, Bernadet M, Auffret J, Seilicovich A, Binart N, Goffin V &
705 Pisera D 2014 Prolactin induces apoptosis of lactotropes in female rodents. *PLoS One* **9** e97383.
- 706 Fujiwara K, Ikeda H & Yoshimoto T 1995 Immunohistochemical demonstration of TGF-beta-
707 receptor type II in human pituitary adenomas. *Acta Histochem* **97** 445-454.

- 708 Fukuoka H, Cooper O, Mizutani J, Tong Y, Ren SG, Bannykh S & Melmed S 2011 HER2/ErbB2
709 receptor signaling in rat and human prolactinoma cells: strategy for targeted prolactinoma therapy.
710 *Mol Endocrinol* **25** 92-103.
- 711 Gillam MP, Nimbalkar D, Sun L, Christov K, Ray D, Kaldis P, Liu X & Kiyokawa H 2015 MEN1
712 tumorigenesis in the pituitary and pancreatic islet requires Cdk4 but not Cdk2. *Oncogene* **34** 932-938.
- 713 Glazyrin A, Shen X, Blanc V & Eliason JF 2007 Direct detection of herceptin/trastuzumab binding on
714 breast tissue sections. *J Histochem Cytochem* **55** 25-33.
- 715 Guler M, Yilmaz T, Ozercan I & Elkiran T 2009 The inhibitory effects of trastuzumab on corneal
716 neovascularization. *Am J Ophthalmol* **147** 703-708 e702.
- 717 Hendry JM, Alvarez-Veronesi MC, Placheta E, Zhang JJ, Gordon T & Borschel GH 2016 ErbB2
718 blockade with Herceptin (trastuzumab) enhances peripheral nerve regeneration after repair of acute or
719 chronic peripheral nerve injury. *Ann Neurol* **80** 112-126.
- 720 Hudelist G, Kostler WJ, Czerwenka K, Kubista E, Attems J, Muller R, Gschwantler-Kaulich D,
721 Manavi M, Huber I, Hoschutzky H, et al. 2006 Her-2/neu and EGFR tyrosine kinase activation predict
722 the efficacy of trastuzumab-based therapy in patients with metastatic breast cancer. *Int J Cancer* **118**
723 1126-1134.
- 724 Humphrey W, Dalke A & Schulten K 1996 VMD: visual molecular dynamics. *J Mol Graph* **14** 33-38,
725 27-38.
- 726 Ironside JW 2003 Best Practice No 172: pituitary gland pathology. *J Clin Pathol* **56** 561-568.
- 727 Kaltsas GA & Grossman AB 1998 Malignant pituitary tumours. *Pituitary* **1** 69-81.
- 728 Kaltsas GA, Nomikos P, Kontogeorgos G, Buchfelder M & Grossman AB 2005 Clinical review:
729 Diagnosis and management of pituitary carcinomas. *J Clin Endocrinol Metab* **90** 3089-3099.
- 730 Kang JS, Liu C & Derynck R 2009 New regulatory mechanisms of TGF-beta receptor function.
731 *Trends Cell Biol* **19** 385-394.
- 732 Landis MD, Seachrist DD, Montanez-Wiscovich ME, Danielpour D & Keri RA 2005 Gene
733 expression profiling of cancer progression reveals intrinsic regulation of transforming growth factor-
734 beta signaling in ErbB2/Neu-induced tumors from transgenic mice. *Oncogene* **24** 5173-5190.
- 735 Larkin MA, Blackshields G, Brown NP, Chenna R, McGettigan PA, McWilliam H, Valentin F,
736 Wallace IM, Wilm A, Lopez R, et al. 2007 Clustal W and Clustal X version 2.0. *Bioinformatics* **23**
737 2947-2948.
- 738 Li M, Simonetti FL, Goncarenco A & Panchenko AR 2016 MutaBind estimates and interprets the
739 effects of sequence variants on protein-protein interactions. *Nucleic Acids Res* **44** W494-501.
- 740 Li Z, Liu Q, Li C, Zong X, Bai J, Wu Y, Lan X, Yu G & Zhang Y 2015 The role of TGF-beta/Smad
741 signaling in dopamine agonist-resistant prolactinomas. *Mol Cell Endocrinol* **402** 64-71.
- 742 Lloyd RV 2004 Advances in pituitary pathology: use of novel techniques. *Front Horm Res* **32** 146-
743 174.
- 744 Massague J 2008 TGFbeta in Cancer. *Cell* **134** 215-230.
- 745 Matsuzaki K 2011 Smad phosphoisoform signaling specificity: the right place at the right time.
746 *Carcinogenesis* **32** 1578-1588.
- 747 McCubrey JA, Steelman LS, Chappell WH, Abrams SL, Franklin RA, Montalto G, Cervello M, Libra
748 M, Candido S, Malaponte G, et al. 2012 Ras/Raf/MEK/ERK and PI3K/PTEN/Akt/mTOR cascade
749 inhibitors: how mutations can result in therapy resistance and how to overcome resistance. *Oncotarget*
750 **3** 1068-1111.
- 751 McIntyre DJ, Robinson SP, Howe FA, Griffiths JR, Ryan AJ, Blakey DC, Peers IS & Waterton JC
752 2004 Single dose of the antivascular agent, ZD6126 (N-acetylcolchicol-O-phosphate), reduces
753 perfusion for at least 96 hours in the GH3 prolactinoma rat tumor model. *Neoplasia* **6** 150-157.
- 754 Moons DS, Jirawatnotai S, Parlow AF, Gibori G, Kineman RD & Kiyokawa H 2002 Pituitary
755 hypoplasia and lactotroph dysfunction in mice deficient for cyclin-dependent kinase-4. *Endocrinology*
756 **143** 3001-3008.
- 757 Nose-Alberti V, Mesquita MI, Martin LC & Kayath MJ 1998 Adrenocorticotropin-Producing
758 Pituitary Carcinoma with Expression of c-erbB-2 and High PCNA Index: A Comparative Study with
759 Pituitary Adenomas and Normal Pituitary Tissues. *Endocr Pathol* **9** 53-62.
- 760 Onishi S, Kaji T, Yamada W, Nakame K, Moriguchi T, Sugita K, Yamada K, Kawano T, Mukai M,
761 Souda M, et al. 2016 The administration of ghrelin improved hepatocellular injury following
762 parenteral feeding in a rat model of short bowel syndrome. *Pediatr Surg Int* **32** 1165-1171.

763 Oorschot VM, Sztal TE, Bryson-Richardson RJ & Ramm G 2014 Immuno correlative light and
764 electron microscopy on Tokuyasu cryosections. *Methods Cell Biol* **124** 241-258.

765 Paik S, Kim C & Wolmark N 2008 HER2 status and benefit from adjuvant trastuzumab in breast
766 cancer. *N Engl J Med* **358** 1409-1411.

767 Palmeri CM, Petiti JP, Sosa Ldel V, Gutierrez S, De Paul AL, Mukdsi JH & Torres AI 2009
768 Bromocriptine induces paraptosis as the main type of cell death responsible for experimental
769 pituitary tumor shrinkage. *Toxicol Appl Pharmacol* **240** 55-65.

770 Petiti JP, Gutierrez S, Mukdsi JH, De Paul AL & Torres AI 2009 Specific subcellular targeting of
771 PKCalpha and PKCepsilon in normal and tumoral lactotroph cells by PMA-mitogenic stimulus. *J Mol*
772 *Histol* **40** 417-425.

773 Petiti JP, Sosa Ldel V, Sabatino ME, Vaca AM, Gutierrez S, De Paul AL & Torres AI 2015
774 Involvement of MEK/ERK1/2 and PI3K/Akt pathways in the refractory behavior of GH3B6 pituitary
775 tumor cells to the inhibitory effect of TGFbeta1. *Endocrinology* **156** 534-547.

776 Roncaroli F, Nose V, Scheithauer BW, Kovacs K, Horvath E, Young WF, Jr., Lloyd RV, Bishop MC,
777 Hsi B & Fletcher JA 2003 Gonadotropic pituitary carcinoma: HER-2/neu expression and gene
778 amplification. Report of two cases. *J Neurosurg* **99** 402-408.

779 Roskoski R, Jr. 2014 The ErbB/HER family of protein-tyrosine kinases and cancer. *Pharmacol Res* **79**
780 34-74.

781 Ross JS, Slodkowska EA, Symmans WF, Puzstai L, Ravdin PM & Hortobagyi GN 2009 The HER-2
782 receptor and breast cancer: ten years of targeted anti-HER-2 therapy and personalized medicine.
783 *Oncologist* **14** 320-368.

784 Sahores A, Luque GM, Wargon V, May M, Molinolo A, Becu-Villalobos D, Lanari C & Lamb CA
785 2013 Novel, low cost, highly effective, handmade steroid pellets for experimental studies. *PLoS One*
786 **8** e64049.

787 Sarkar DK, Pastorcic M, De A, Engel M, Moses H & Ghasemzadeh MB 1998 Role of transforming
788 growth factor (TGF)-beta Type I and TGF-beta type II receptors in the TGF-beta1-regulated gene
789 expression in pituitary prolactin-secreting lactotropes. *Endocrinology* **139** 3620-3628.

790 Sartore-Bianchi A, Trusolino L, Martino C, Bencardino K, Lonardi S, Bergamo F, Zagonel V, Leone
791 F, Depetris I, Martinelli E, et al. 2016 Dual-targeted therapy with trastuzumab and lapatinib in
792 treatment-refractory, KRAS codon 12/13 wild-type, HER2-positive metastatic colorectal cancer
793 (HERACLES): a proof-of-concept, multicentre, open-label, phase 2 trial. *Lancet Oncol* **17** 738-746.

794 Seshacharyulu P, Ponnusamy MP, Haridas D, Jain M, Ganti AK & Batra SK 2012 Targeting the
795 EGFR signaling pathway in cancer therapy. *Expert Opin Ther Targets* **16** 15-31.

796 Sherr CJ & Roberts JM 1995 Inhibitors of mammalian G1 cyclin-dependent kinases. *Genes Dev* **9**
797 1149-1163.

798 Simpson DJ, Fryer AA, Grossman AB, Wass JA, Pfeifer M, Kros JM, Clayton RN & Farrell WE
799 2001 Cyclin D1 (CCND1) genotype is associated with tumour grade in sporadic pituitary adenomas.
800 *Carcinogenesis* **22** 1801-1807.

801 Sosa Ldel V, Gutierrez S, Petiti JP, Vaca AM, De Paul AL & Torres AI 2013 Cooperative effect of
802 E(2) and FGF2 on lactotroph proliferation triggered by signaling initiated at the plasma membrane.
803 *Am J Physiol Endocrinol Metab* **305** E41-49.

804 Tomita T & Gates E 1999 Pituitary adenomas and granular cell tumors. Incidence, cell type, and
805 location of tumor in 100 pituitary glands at autopsy. *Am J Clin Pathol* **111** 817-825.

806 Untch M, Loibl S, Bischoff J, Eidtmann H, Kaufmann M, Blohmer JU, Hilfrich J, Strumberg D,
807 Fasching PA, Kreienberg R, et al. 2012 Lapatinib versus trastuzumab in combination with
808 neoadjuvant anthracycline-taxane-based chemotherapy (GeparQuinto, GBG 44): a randomised phase
809 3 trial. *Lancet Oncol* **13** 135-144.

810 Vlotides G, Cooper O, Chen YH, Ren SG, Greenman Y & Melmed S 2009 Heregulin regulates
811 prolactinoma gene expression. *Cancer Res* **69** 4209-4216.

812 Vlotides G, Siegel E, Donangelo I, Gutman S, Ren SG & Melmed S 2008 Rat prolactinoma cell
813 growth regulation by epidermal growth factor receptor ligands. *Cancer Res* **68** 6377-6386.

814 Wulfkuhle JD, Berg D, Wolff C, Langer R, Tran K, Illi J, Espina V, Pierobon M, Deng J, DeMichele
815 A, et al. 2012 Molecular analysis of HER2 signaling in human breast cancer by functional protein
816 pathway activation mapping. *Clin Cancer Res* **18** 6426-6435.

817 Yarden Y & Sliwkowski MX 2001 Untangling the ErbB signalling network. *Nat Rev Mol Cell Biol* **2**
818 127-137.
819

1

2 **LEGENDS**

3 Figure 1:

4 HER2 and TBRs expression in human pituitary adenomas. (A) Representative micrographs of
5 the immunohistochemical analysis of HER2, pHER2, TBR1 and TBR2 expression in human
6 non-tumor and pituitary tumor samples. HER2 positive (M+) and negative (M-) breast tumor
7 samples were used as controls. Scale bar: 20 μ m. Representative western blot images of (B)
8 HER2 and pHER2, (C) TBR1, TBR2, pERK1/2 and T-ERK1/2 expression in non-tumor
9 pituitaries and pituitary adenomas. PRL: PRL-secreting, GH: GH-secreting, ACTH: ACTH-
10 secreting and NF: non-secreting pituitary tumors.

11 Figure 2:

12 HER2 and TBR expression in rat pituitary tumors. (A) Western blot detection of HER2 in
13 total extracts from rat pituitary tumors (F1 and F2) and rat GH3 pituitary tumor cells using
14 trastuzumab as the primary antibody. Human HER2+ (M+) breast tumor, CaCo2 and MCF7
15 lysates were used as positive controls an human HER2- (M-) breast tumor as negative
16 control. (B) Analysis of cell-surface proteins by biotinylation. Trastuzumab recognized the
17 HER2 receptor in GH3 and MCF7 pellet samples, as confirmed by the presence of a band at
18 185 kDa, corresponding to the molecular weight of HER2, without any expression in the
19 supernatant fractions as expected. The same result was observed using anti-HER2 as primary
20 antibody. The expression of NFkB was used as negative control of cell surface proteins, with
21 its presence being observed only in the supernatant fractions, indicating the purity of the
22 isolation. (C) Trastuzumab/HER2 interactions in rat GH3 cells and in human breast tumor
23 sample with HER2 amplification by immunoprecipitation assays. The HER2 receptor was
24 precipitated in an immune complex with trastuzumab as primary antibody, and then anti-

25 HER2 antibody was used for the immunoblotting. (D) Immunofluorescence staining for
26 HER2 and PRL on cryo-sections of a rat pituitary tumor. On the left panel, white arrowheads
27 indicate HER2 expression at the plasma membrane, while double positive (HER2-PRL) cells
28 are shown on the right panel. Scale bar: 20um. (E) Representative micrographs and
29 quantification of immunohistochemistry staining for HER2, TBR1 and TBR2 in the
30 following groups: control [C], trastuzumab [T], estrogen-induced rat pituitary tumors [E] and
31 estrogen-induced rat pituitary tumors treated with trastuzumab (6 mg/kg) for the last 15 days
32 of estrogen exposure [E+T]. Scale bar: 20 μ m. *** $p < 0.001$ E vs. C. (F) Immuno-gold
33 labelling for TBR1 and TBR2 on E and E+T groups. Arrows show the localization of TBR1
34 and TBR2 at the plasma membrane and the endoplasmic reticulum (ER).

35 Figure 3:

36 Effect of trastuzumab treatment on rat experimental pituitary tumors. (A) Pituitary gland
37 weight (mg) and the corresponding representative photographs from C, T, E and E+T groups.
38 * $p < 0.05$ E vs. C or T and ^ $p < 0.05$ E+T vs. E. (B) Representative micrographs and
39 quantitative analysis of immunohistochemistry staining for Ki67 as a proliferation marker.
40 Scale bar 20um. * $p < 0.05$ E vs. C or T and ^ $p < 0.05$ E+T vs. E. (C) Double-
41 immunofluorescence staining for Ki67 (green) and PRL, GH or ACTH (red) in pituitary
42 ultrathin cryo-sections from the E group. Scale bar 10um. Double-positive cells are indicated
43 with an asterisk (*). (D) TUNEL assay from C, T, E and E+T groups. Arrowheads indicate
44 apoptotic cells. Negative control [C-]: pituitary gland section without corresponding primary
45 antibody. Positive control [C+]: pituitary gland section treated with DNAase I. Scale bar
46 20um. Western blot analysis of proliferation and cell cycle-regulator proteins. Representative
47 panels and densitometric analysis of (E) pERK1/2-ERK1/2, (F) Cyclin D1, (G) CDK4 protein
48 expression. * $p < 0.05$ E vs. C or T and ^ $p < 0.05$ E+T vs. E.

49

50 Figure 4:

51 Effect of trastuzumab on the antiproliferative response to TGFB1. (A) Representative images
52 of immunofluorescence labelling for HER2 in GH3 and CaCO2 (positive control) cell lines.
53 White arrowheads indicate the expression of the receptor at the plasma membrane. Scale bar
54 10um. Correlative Light and Electron Microscopy (CLEM): [1] Immunofluorescence images
55 for HER2 detection in ultrathin cryo-sections of GH3 cells (scale bar 10um). [2] CLEM
56 overlay of TEM and the corresponding FLM image. [3] TEM images for the whole section
57 and, at higher magnification, the immuno-gold labelling for selected regions (a, b) shown by
58 white boxes. Gold particles are indicated by black arrows. Cell proliferation analysis in GH3
59 cells, which were treated with TGFB1 (4 ng/ml), Trastuzumab (Trast, 100 mM) or the
60 combination of both factors for 24 h. (B) Representative flow cytometry histograms and
61 quantitative analysis of the proliferation-associated protein Ki67. (C) Representative
62 micrographs and quantification of BrdU uptake. * $p < 0.05$ TGFB1 or Trast vs. C, and $p < 0.05$
63 TGFB1+Trast vs. TGFB1 or Trast. TGFB1 and MAPK-ERK1/2 signaling pathway analysis.
64 Western blot panels and relative quantification of (D) pSMAD2/3-TSMAD2/3 and (E)
65 pERK1/2-ERK1/2 expression from GH3 cell cultures treated with TGFB1, Trastuzumab or
66 their combination for 30 min. (F) Immunoprecipitation (IP) of pSMAD2/3 and pERK1/2 and
67 TBRI immunodetection in total cell extracts of GH3 cell cultures, untreated and treated for 30
68 min with Trastuzumab, TGFB1 or their combination. Input: TBRI or pERK1/2 or pSMAD2/3
69 antibody recognized the antigen in the total cell culture lysate.

70 Figure 5.

71 Model for the cross talk of HER2/ERK1/2 with TGF β 1/SMAD pathways in pituitary GH3
72 cells. Blocking HER2 by trastuzumab inhibits pituitary tumor growth and modulates

73 HER2/ERK1/2 signaling, and consequently the anti-mitogenic TGF β /TBR/SMAD signaling.
74 The HER2/ERK1/2 pathway impinges on the TGF β 1/SMAD2/3 signaling, thereby
75 modulating SMAD2/3 phosphorylation. The association of SMAD2/3-ERK1/2 inhibits the
76 T β RI induced- SMAD2/3 activation, thus counteracting the antimitogenic effect of TGF β 1.

77

78 Supplementary Figure 1. (A) Sequence alignment of the C-terminal of rat and human sHER2.
79 The residues in the binding interface with Trastuzumab are marked with hyphens. An amino
80 acid was defined as interfacial if its C α was within 9Å of any C α of Trastuzumab. (B)
81 Superposition of rat (pdb: 1n8y, blue) and human (pdb:1n8z, green) structures of sHER2. The
82 Trastuzumab molecule is shown on the surface in red and grey. The C α atoms of sHER2 at
83 the binding interface are shown as spheres.

84

TABLE 1: Clinicopathologic and immunohistochemical characteristics of human pituitary adenomas

Case	Gender/age	Clinicopathologic classification	IHC	Ki67 %
1	F/20	Functioning	PRL	1
2	F/38	Functioning	PRL	1
3	F/31	Functioning	PRL	1
4	F/26	Functioning	PRL	1
5	F/58	Functioning	GH	3
6	F/37	Functioning	GH	2
7	F/57	Functioning	GH	2
8	F/27	Functioning	GH	1
9	M/31	Functioning	GH	1
10	F/64	Functioning	GH	N.D.
11	F/32	Functioning	ACTH	5
12	F/62	Functioning	ACTH	2
13	M/63	Non-functioning	Negative	5
14	M/44	Non-functioning	Negative	1
15	F/45	Non-functioning	Negative	3
16	M/58	Non-functioning	Negative	3
17	F/68	Non-functioning	Negative	2
18	M/56	Non-functioning	Negative	5
19	F/62	Non-functioning	Negative	1
20	M/50	Non-functioning	Negative	1

M: male, F: female, GH: Growth hormone; ACTH: Adenocorticotroph hormone.; PRL: Prolactin; N/D: no data

TABLE 2: Immunohistochemical characteristics of human pituitary samples

Case	Gender/age	Clinicopathologic classification	IHC	Ki67 %	HER2%	pHER2	TBRI%	TBRII%
1	F/20	Functioning	PRL	1	10++	0	100	100
2	F/38	Functioning	PRL	1	44+	N/D	100	94
3	F/31	Functioning	PRL	1	27+	N/D	100	0
4	F/26	Functioning	PRL	1	0	0	100	1
5	F/58	Functioning	GH	3	79+++	36.1	51	100
6	F/37	Functioning	GH	2	0	0	52	40
7	F/57	Functioning	GH	2	0	N/D	94	0
8	F/32	Functioning	ACTH	5	80+++	59.1	40	72.8
9	M/63	Non-functioning	Negative	5	0	10.5	16	N/D
10	M/44	Non-functioning	Negative	1	98+++	49.5	26	100
11	F/45	Non-functioning	Negative	3	0	N/D	11	0
12	M/58	Non-functioning	Negative	3	0	N/D	0	80
13	F/54	Non-tumor tissue	N/A	N/A	0	N/D	100	80
14	M/35	Non-tumor tissue	N/A	N/A	0	N/D	28	80
15	M/57	Non-tumor tissue	N/A	N/A	5+	0	60	90

M: male, F: female, GH: Growth hormone; ACTH: Adenocorticotroph hormone; PRL: Prolactin. N/D: no data.
 Staining score: +=low, ++=moderate, +++= strong.

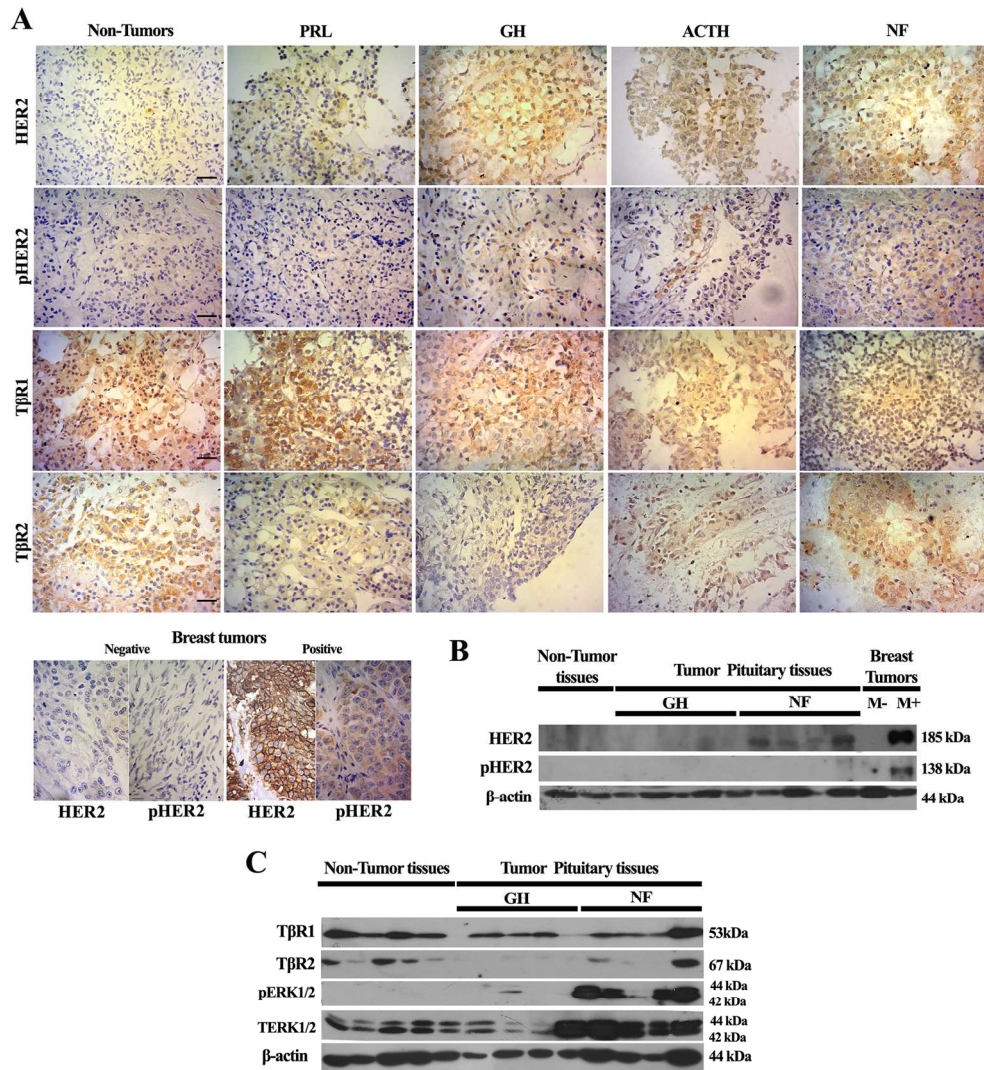


Figure 1: HER2 and TBRs expression in human pituitary adenomas. (A) Representative micrographs of the immunohistochemical analysis of HER2, pHER2, TBR1 and TBR2 expression in human non-tumor and pituitary tumor samples. HER2 positive (M+) and negative (M-) breast tumor samples were used as controls. Scale bar: 20 μ m. Representative western blot images of (B) HER2 and pHER2, (C) TBR1, TBR2, pERK1/2 and T-ERK1/2 expression in non-tumor pituitaries and pituitary adenomas. PRL: PRL-secreting, GH: GH-secreting, ACTH: ACTH-secreting and NF: non-secreting pituitary tumors.†

160x175mm (300 x 300 DPI)

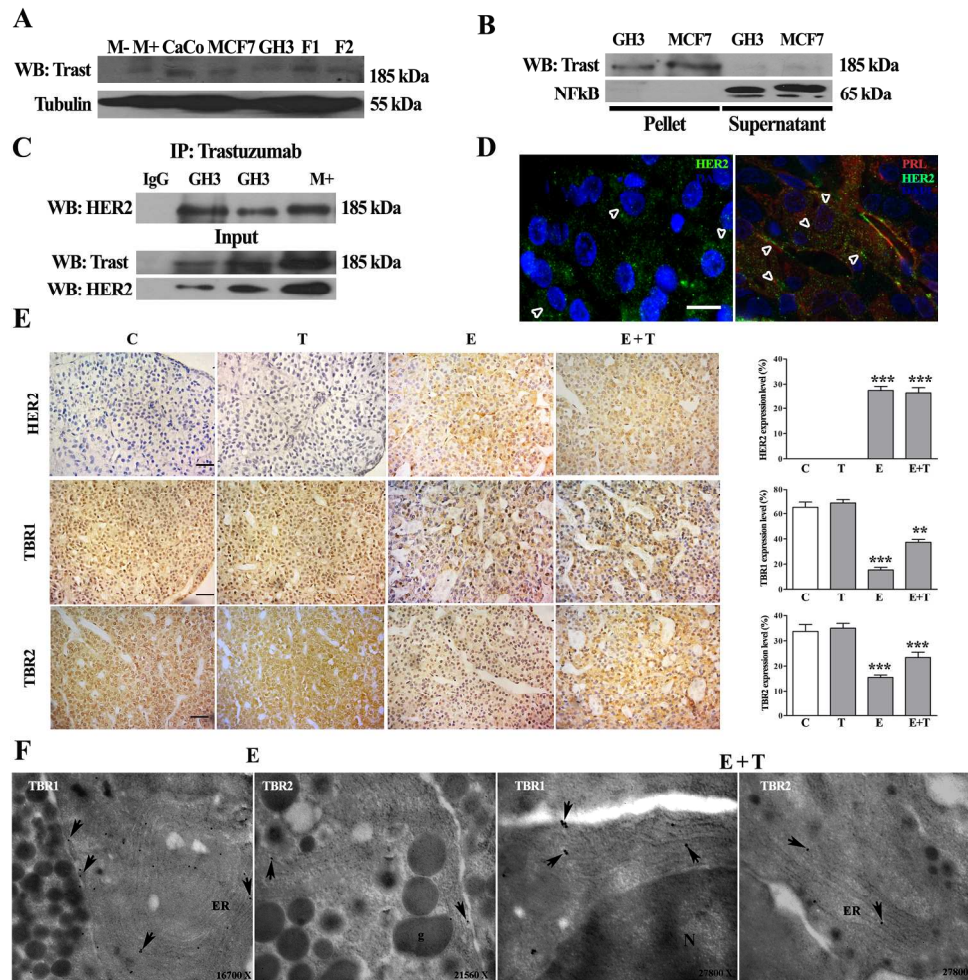


Figure 2:

HER2 and TBR expression in rat pituitary tumors. (A) Western blot detection of HER2 in total extracts from rat pituitary tumors (F1 and F2) and rat GH3 pituitary tumor cells using trastuzumab as the primary antibody. Human HER2+ (M+) breast tumor, CaCo2 and MCF7 lysates were used as positive controls and human HER2- (M-) breast tumor as negative control. (B) Analysis of cell-surface proteins by biotinylation. Trastuzumab recognized the HER2 receptor in GH3 and MCF7 pellet samples, as confirmed by the presence of a band at 185 kDa, corresponding to the molecular weight of HER2, without any expression in the supernatant fractions as expected. The same result was observed using anti-HER2 as primary antibody. The expression of NFkB was used as negative control of cell surface proteins, with its presence being observed only in the supernatant fractions, indicating the purity of the isolation. (C) Trastuzumab/HER2 interactions in rat GH3 cells and in human breast tumor sample with HER2 amplification by immunoprecipitation assays. The HER2 receptor was precipitated in an immune complex with trastuzumab as primary antibody, and then anti-HER2 antibody was used for the immunoblotting. (D) Immunofluorescence staining for HER2 and PRL on cryo-sections of a rat pituitary tumor. On the left panel, white arrowheads indicate HER2 expression at the plasma membrane, while double positive (HER2-PRL) cells are shown on the right panel. Scale bar: 20µm. (E) Representative micrographs and quantification of immunohistochemistry staining for HER2, TBR1 and TBR2 in the following groups: control [C], trastuzumab [T], estrogen-induced rat pituitary tumors [E] and estrogen-induced rat pituitary tumors treated with trastuzumab (6 mg/kg) for the last 15 days of estrogen exposure [E+T]. Scale bar: 20 µm. *** p<0.001 E vs. C. (F) Immuno-gold labelling for TBR1 and TBR2 on E and E+T groups. Arrows show the localization of TBR1 and TBR2 at the plasma membrane and the endoplasmic reticulum (ER).

199x199mm (300 x 300 DPI)

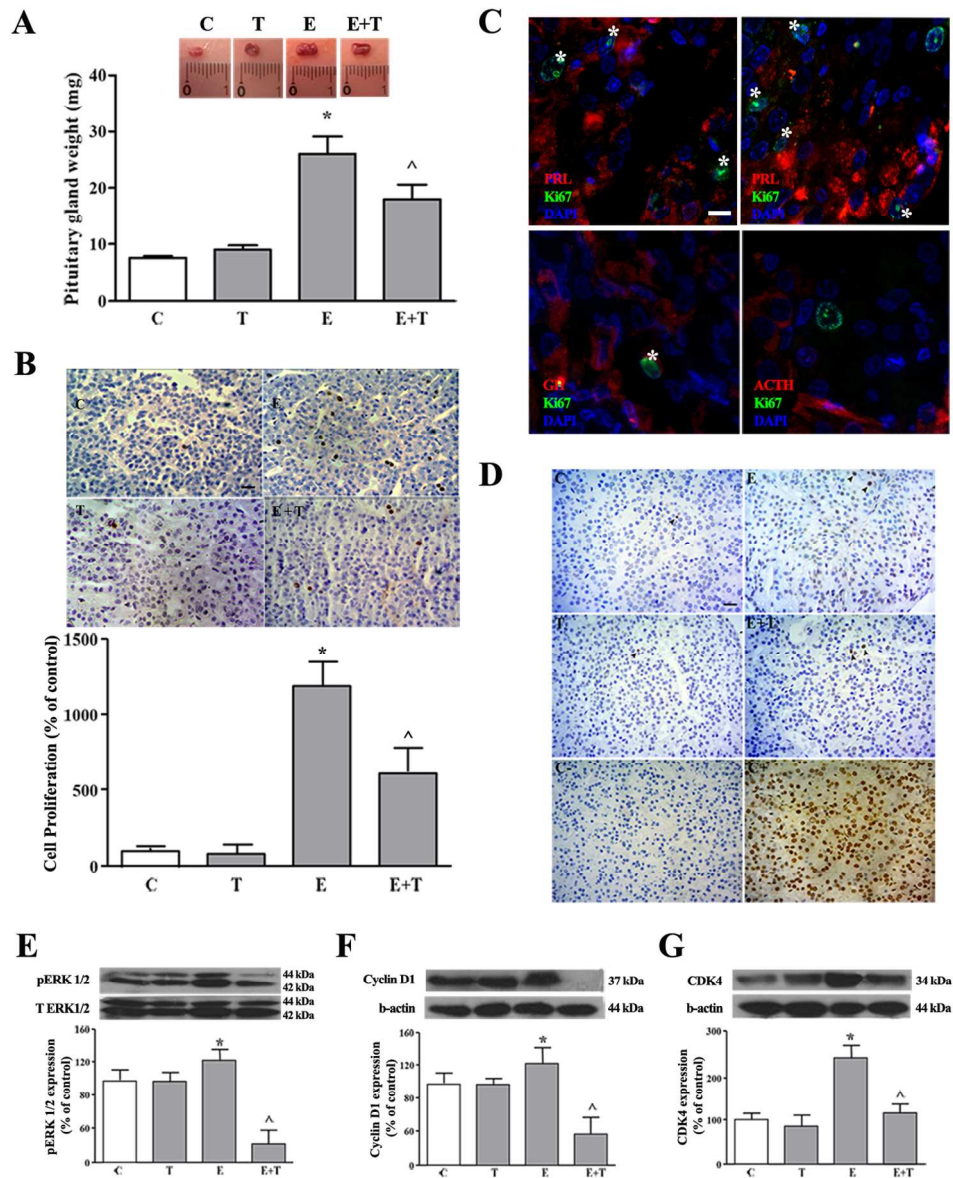


Figure 3:

Effect of trastuzumab treatment on rat experimental pituitary tumors. (A) Pituitary gland weight (mg) and the corresponding representative photographs from C, T, E and E+T groups. * $p < 0.05$ E vs. C or T and ^ $p < 0.05$ E+T vs. E. (B) Representative micrographs and quantitative analysis of immunohistochemistry staining for Ki67 as a proliferation marker. Scale bar 20 μ m. * $p < 0.05$ E vs. C or T and ^ $p < 0.05$ E+T vs. E. (C) Double-immunofluorescence staining for Ki67 (green) and PRL, GH or ACTH (red) in pituitary ultrathin cryo-sections from the E group. Scale bar 10 μ m. Double-positive cells are indicated with an asterisk (*). (D) TUNEL assay from C, T, E and E+T groups. Arrowheads indicate apoptotic cells. Negative control [C-]: pituitary gland section without corresponding primary antibody. Positive control [C+]: pituitary gland section treated with DNAase I. Scale bar 20 μ m. Western blot analysis of proliferation and cell cycle-regulator proteins. Representative panels and densitometric analysis of (E) pERK1/2-ERK1/2, (F) Cyclin D1, (G) CDK4 protein expression. * $p < 0.05$ E vs. C or T and ^ $p < 0.05$ E+T vs. E.

119x148mm (300 x 300 DPI)

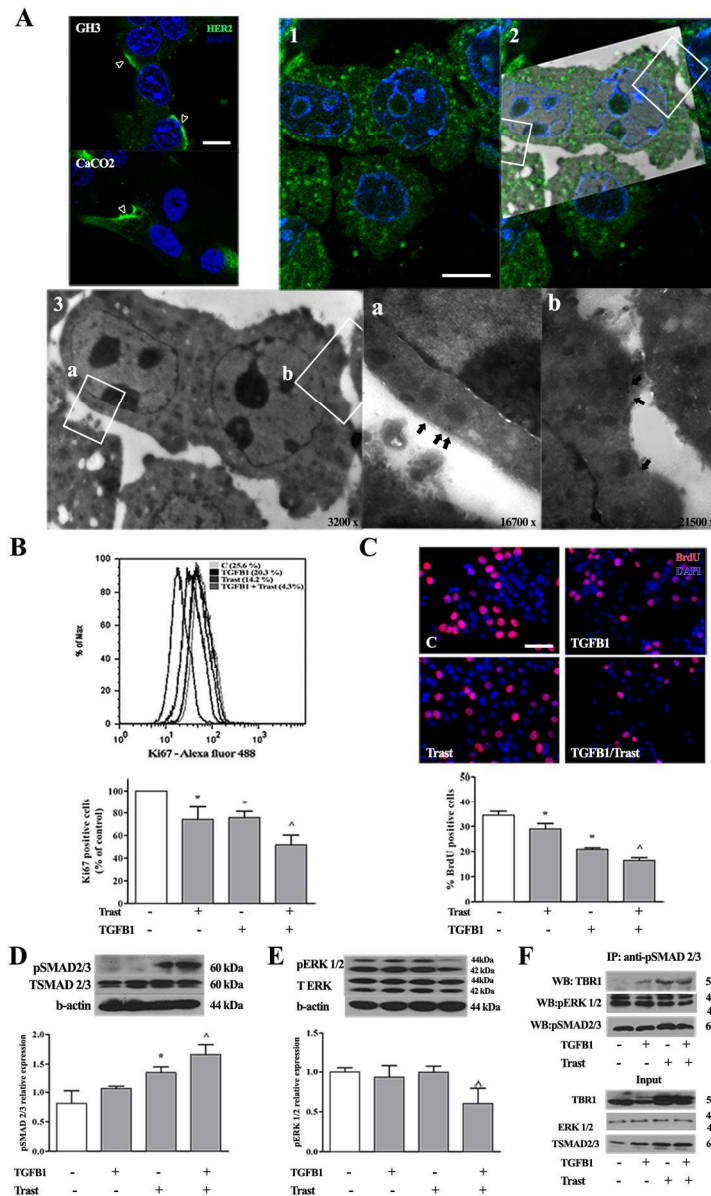


Figure 4:

Effect of trastuzumab on the antiproliferative response to TGFB1. (A) Representative images of immunofluorescence labelling for HER2 in GH3 and CaCO2 (positive control) cell lines. White arrowheads indicate the expression of the receptor at the plasma membrane. Scale bar 10µm. Correlative Light and Electron Microscopy (CLEM): [1] Immunofluorescence images for HER2 detection in ultrathin cryo-sections of GH3 cells (scale bar 10µm). [2] CLEM overlay of TEM and the corresponding FLM image. [3] TEM images for the whole section and, at higher magnification, the immuno-gold labelling for selected regions (a, b) shown by white boxes. Gold particles are indicated by black arrows. Cell proliferation analysis in GH3 cells, which were treated with TGFB1 (4 ng/ml), Trastuzumab (Trast, 100 nM) or the combination of both factors for 24 h. (B) Representative flow cytometry histograms and quantitative analysis of the proliferation-associated protein Ki67. (C) Representative micrographs and quantification of BrdU uptake. * $p < 0.05$ TGFB1 or Trast vs. C, and ^ $p < 0.05$ TGFB1+Trast vs. TGFB1 or Trast. TGFB1 and MAPK-ERK1/2 signaling pathway analysis. Western blot panels and relative quantification of (D) pSMAD2/3-TSMAD2/3 and (E) pERK1/2-

ERK1/2 expression from GH3 cell cultures treated with TGFB1, Trastuzumab or their combination for 30 min.
(F) Immunoprecipitation (IP) of pSMAD2/3 and pERK1/2 and TBRI immunodetection in total cell extracts of GH3 cell cultures, untreated and treated for 30 min with Trastuzumab, TGFB1 or their combination. Input: TBRI or pERK1/2 or pSMAD2/3 antibody recognized the antigen in the total cell culture lysate.

119x190mm (300 x 300 DPI)

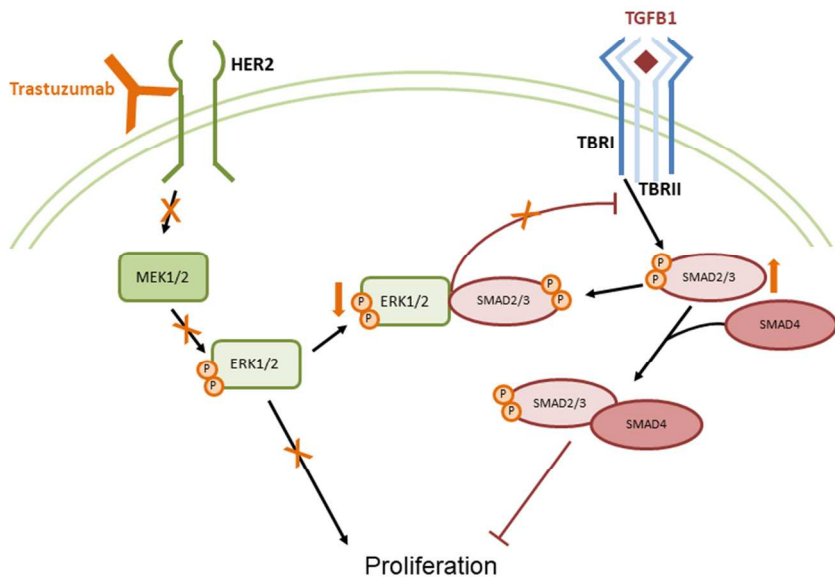


Figure 5.
Model for the cross talk of HER2/ERK1/2 with TGFβ1/SMAD pathways in pituitary GH3 cells. Blocking HER2 by trastuzumab inhibits pituitary tumor growth and modulates HER2/ERK1/2 signaling, and consequently the anti-mitogenic TGFβ/TBR/SMAD signaling. The HER2/ERK1/2 pathway impinges on the TGFβ1/SMAD2/3 signaling, thereby modulating SMAD2/3 phosphorylation. The association of SMAD2/3-ERK1/2 inhibits the TβRI induced- SMAD2/3 activation, thus counteracting the antimitogenic effect of TGFβ1.

254x190mm (96 x 96 DPI)