

A family of embedding spaces

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Abstract

We study $\text{Emb}(S^j, S^n)$ the space of C^∞ -smooth embeddings of spheres in spheres, $\mathcal{K}_{n,j}$ the space of ‘long’ embeddings of \mathbb{R}^j in \mathbb{R}^n , and spaces of embeddings of spheres in euclidean space $\text{Emb}(S^j, \mathbb{R}^n)$, and their framed analogues. We describe some of the basic features of these spaces: their first non-trivial homotopy-groups, actions of operads of cubes on the most elementary of these spaces, some natural maps between these spaces and their properties. In the process, we give a new geometric description to Haefliger’s knots, showing, among other things, that a graphing/spinning construction analogous to the Litherland deform-spun knot construction gives an isomorphism of groups $\pi_2 \mathcal{K}_{4,1} \rightarrow \pi_0 \text{Emb}(S^3, S^6)$.

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1 Introduction

This paper was motivated by a rather elementary observation: both $\pi_2\mathcal{K}_{4,1}$ and $\pi_0\mathcal{K}_{6,3}$ are infinite-cyclic groups, so perhaps there is a geometrically-inspired isomorphism between the two. We show that a graphing construction $\Omega^2\mathcal{K}_{4,1} \rightarrow \mathcal{K}_{6,3}$ induces an isomorphism $\pi_2\mathcal{K}_{4,1} \rightarrow \pi_0\mathcal{K}_{6,3}$. More generally we will study graphing constructions of the form $\Omega^i\mathcal{K}_{n,j} \rightarrow \mathcal{K}_{n+i,j+i}$. We describe how these maps fit in with some of the basic geometric properties of these spaces: their first non-trivial homotopy groups, the ‘concatenation’ monoid structure and the actions of operads of little cubes on $\mathcal{K}_{n,j}$ and their framed analogues $\text{EC}(j, D^{n-j})$.

Section 2 briefly covers the most elementary relationships between the spaces $\mathcal{K}_{n,j}$, $\text{Emb}(S^j, S^n)$, $\text{Emb}(S^j, \mathbb{R}^n)$, $\text{Emb}(D^j, D^n)$, $\mathcal{P}_{n,j}$ and the framed spaces $\text{EC}(j, D^{n-j})$ and $\text{PEC}(j, D^{n-j})$.

Section 3 begins with a ‘motivational’ proof of an old theorem of Haefliger’s, that $\pi_0\text{Emb}(S^j, S^n)$ is a group provided $n - j > 2$, the group operation being connect-sum. The proof is via a permutation of the main concepts of Haefliger’s original argument: A homotopy-equivalence $\text{Emb}(S^j, S^n) \simeq \text{SO}_{n+1} \times_{\text{SO}_{n-j}} \mathcal{K}_{n,j}$ reduces the problem to the monoid structure of $\pi_0\mathcal{K}_{n,j}$. There is a fibration $\mathcal{K}_{n,j} \rightarrow \mathcal{P}_{n,j} \rightarrow \mathcal{K}_{n-1,j-1}$ where $\mathcal{P}_{n,j}$ is a pseudoisotopy embedding space of discs. A similar homotopy-equivalence $\text{Emb}(D^j, D^n) \simeq \text{SO}_n \times_{\text{SO}_{n-j}} \mathcal{P}_{n,j}$ tells us that $\pi_1\mathcal{K}_{n-1,j-1} \rightarrow \pi_0\mathcal{K}_{n,j}$ is onto provided $\text{Emb}(D^j, D^n)$ is connected. That $\text{Emb}(D^j, D^n)$ is connected for $n - j > 2$ is a classical theorem originally due to Smale.

Section 4 investigates the extent to which the fibration $\mathcal{K}_{n,j} \rightarrow \mathcal{P}_{n,j} \rightarrow \mathcal{K}_{n-1,j-1}$ is equivariant with respect to an action of the operad of $(j - 1)$ -cubes. These actions extend in a natural way to an action of the operad of j -cubes on appropriate spaces of ‘framed’ embeddings $\text{EC}(j, D^n) \rightarrow \text{PEC}(j, D^n) \rightarrow \text{EC}(j - 1, D^n)$, which ultimately lead us in Section 5 to a $(j + 1)$ -cubes equivariant fibre-sequence $\Omega\text{PEC}(j, D^n) \rightarrow \Omega\text{EC}(j - 1, D^n) \rightarrow \text{EC}(j, D^n)$. At present the iterated loop-space structures of the spaces $\text{EC}(j, D^n)$ are largely mysterious. There are two very different theorems that have something to say about these spaces. One is Morlet’s Comparison Theorem which says $\text{EC}(j, D^0) \simeq \Omega^{j+1}(PL(j)/O_j)$ where $PL(j)$ is a suitable space of PL-automorphisms of \mathbb{R}^j , and O_j is the corresponding orthogonal group. The other, a theorem of the author’s, says that $\text{EC}(1, D^2) \simeq \mathbb{Z} \times \mathcal{C}_2(\mathcal{P} \sqcup \{*\})$, where $\mathcal{P} \subset \mathcal{K}_{3,1}$ is the subspace of long knots which are prime. $\mathcal{C}_2(\mathcal{P} \sqcup \{*\})$ indicates the free 2-cubes object on the space $\mathcal{P} \sqcup \{*\}$. Both theorems describe the iterated loop space structure of some of the spaces $\text{EC}(j, D^n)$, but the disparity in the answers is rather perplexing. In a sense, this paper is an attempt to construct a few elementary connections between the various spaces $\{\text{EC}(j, D^n) : j, n > 0\}$.

In Section 5 we give a geometric interpretation of the first non-trivial homotopy groups of the spaces $\mathcal{K}_{n,j}$ provided $2n - 3j - 3 \geq 0$. In Proposition 5.1 we show that the map $\Omega\mathcal{K}_{n-1,j-1} \rightarrow \mathcal{K}_{n,j}$ induced from the fibration $\mathcal{K}_{n,j} \rightarrow \mathcal{P}_{n,j} \rightarrow \mathcal{K}_{n-1,j-1}$ is homotopic to $\text{gr}_1 : \Omega\mathcal{K}_{n-1,j-1} \rightarrow \mathcal{K}_{n,j}$

$$(\text{gr}_1 f)(t_0, t_1, \dots, t_{j-1}) = (t_0, f(t_0)(t_1, \dots, t_{j-1})).$$

In the above formula, we think of a loop space ΩX as being a space of functions $f : \mathbb{R} \rightarrow X$ such that $f(\mathbb{R} \setminus \mathbf{I}) = *$. We show this map is epic between the 1st non-trivial homotopy groups of $\Omega\mathcal{K}_{n-1,j-1}$ and $\mathcal{K}_{n,j}$ respectively. The main technical ingredients we need are some computations of Sinha, Scannell and Turchin, together with the dissertation of Goodwillie. The first non-trivial homotopy group of $\mathcal{K}_{n,1}$ is $\pi_{2n-6}\mathcal{K}_{n,1} \simeq \mathbb{Z}$, and we describe this isomorphism as a signed count of quadriseccants, in direct analogy with a previous paper with Conant, Scannell and Sinha [11].

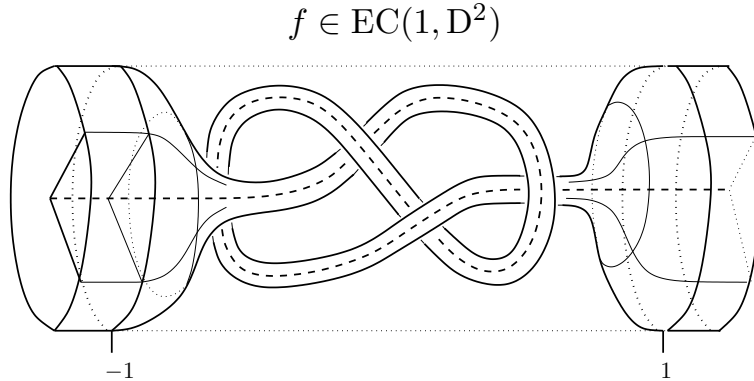
Section 6 mentions, in a very terse fashion, some basic results on the homotopy-type of the spaces $\mathcal{K}_{n,j}$. We describe what is known about several natural maps of the form: $\mathcal{K}_{n,j} \rightarrow \mathcal{K}_{n+1,j}$, $\mathcal{K}_{n,j} \rightarrow \Omega\mathcal{K}_{n+j,j}$, and $\mathcal{K}_{n,j} \rightarrow \Omega\mathcal{K}_{n,j-1}$.

We start with definitions of the spaces studied and notation.

Definition 1.1 • $D^n := \{x \in \mathbb{R}^n : |x| \leq 1\}$ is called either the unit n -disc, respectively. $\partial D^n = S^{n-1}$.

- $\mathbf{I} = [-1, 1] = D^1$ is the standard interval.
- The space of proper embeddings of a disc in a disc is denoted $\text{Emb}(D^j, D^n)$. We put no requirements on the embeddings other than being proper, ie: $f : D^j \rightarrow D^n$ satisfies $f(D^j) \cap \partial D^n = f(\partial D^j)$. All our embedding spaces will be endowed with the weak C^∞ -topology [35].
- The space of embeddings of a j -sphere in an n -sphere $\text{Emb}(S^j, S^n)$.
- $\mathcal{K}_{n,j}$, the space of long-embeddings of \mathbb{R}^j in \mathbb{R}^n . This is the space of all embeddings $f : \mathbb{R}^j \rightarrow \mathbb{R}^n$ such that $f(t_1, t_2, \dots, t_j) = (t_1, t_2, \dots, t_j, 0, \dots, 0)$ provided $(t_1, \dots, t_j) \notin \mathbf{I}^j$.
- Let $\mathcal{P}_{n,j}$ denote the space of embeddings $f : \mathbb{R}^k \rightarrow \mathbb{R}^n$ such that:
 - $f(t_1, t_2, \dots, t_j) = (t_1, t_2, \dots, t_j, 0, \dots, 0)$ for $(t_1, \dots, t_j) \notin [-1, \infty) \times \mathbf{I}^{j-1}$
 - for all $t_1 \geq 1$ $f(t_1, t_2, \dots, t_j) = (t_1, g(t_2, \dots, t_j))$ where $g \in \mathcal{K}_{n-1, j-1}$ is fixed and depends only on f .

In the literature, $\mathcal{P}_{n,j}$ is either given the notation $PE(D^{j-1}, D^{n-1})$ [21], $C(D^{j-1}, D^{n-1})$ [20] or $cemb(D^{j-1}, D^{n-1})$ [23], and is either called the pseudoisotopy embedding space, or concordance embedding space respectively. We will call it the pseudoisotopy embedding space.



- $EC(j, M)$ is defined to be the space of embeddings $f : \mathbb{R}^j \times M \rightarrow \mathbb{R}^j \times M$ such that $\text{supp}(f) \subset \mathbf{I}^j \times M$. Here, $\text{supp}(f) = \{x \in \mathbb{R}^j \times M : f(x) \neq x\}$. ‘EC’ stands for ‘cubically-supported embeddings’. These embeddings are not required to be proper.
- $PEC(j, M)$ is the space of embeddings $f : \mathbb{R}^j \times M \rightarrow \mathbb{R}^j \times M$ such that $\text{supp}(f) \subset [-1, \infty) \times \mathbf{I}^{j-1} \times M$ and there exists some function $g \in EC(j-1, M)$ such that $f(t_1, t_2, \dots, t_j, m) = (t_1, g(t_2, \dots, t_j, m))$ for all $(t_1, t_2, \dots, t_j, m) \in [-1, \infty) \times \mathbb{R}^{j-1} \times M$. Here the letters ‘PEC’ stand for cubically-supported embedding pseudo-isotopy space.

- We will say a diagram of two maps $A \rightarrow B \rightarrow C$ is a homotopy fibre sequence if there exists a commutative diagram

$$\begin{array}{ccccc} A & \longrightarrow & B & \longrightarrow & C \\ \downarrow & & \downarrow & & \downarrow \\ F & \longrightarrow & E & \longrightarrow & B \end{array}$$

such that $F \rightarrow E \rightarrow B$ is a fibration and the vertical maps are homotopy-equivalences.

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2 Basic relations between embedding spaces

This section describes some basic relationships between the spaces: $\mathcal{K}_{n,j}$, $\text{EC}(j, M)$, $\text{Emb}(S^j, S^n)$, $\text{Emb}(S^j, \mathbb{R}^n)$, $\text{Emb}(D^j, D^n)$, $\mathcal{P}_{n,j}$ and $\text{PEC}(j, M)$. The essential spirit of the results is that all homotopy questions about these spaces reduce to studying the spaces $\mathcal{K}_{n,j}$ and $\mathcal{P}_{n,j}$.

Given a proper embedding $f : D^j \rightarrow D^n$ one could restrict that embedding to the boundary and get an embedding of $f|_{\partial D^j} : S^{j-1} \rightarrow S^{n-1}$. On a global level, this restriction defines a function:

$$\text{Emb}(D^j, D^n) \rightarrow \text{Emb}(S^{j-1}, S^{n-1})$$

which is a fibration [62]. If N is an embedded S^{j-1} in S^{n-1} , N is said to be smoothly-slice [41] if there exists a properly embedded manifold $M \subset D^n$, M diffeomorphic to D^j , so that $\partial M = N$. Thus the above fibration is onto the components of $\text{Emb}(S^{j-1}, S^{n-1})$ consisting of the embeddings whose images are smoothly-slice knots. In this paper, as in this example, fibrations are not required to have constant fibres, nor are fibrations required to be onto.

We give $\text{Emb}(S^{j-1}, S^{n-1})$ the base-point of the standard inclusion $S^{j-1} \equiv S^{j-1} \times \{0\}^{n-j} \subset S^{n-1}$. With this base-point, the fibre of the above fibration has the homotopy-type of $\mathcal{K}_{n,j}$.

Similarly, there is a fibration $\mathcal{K}_{n,j} \rightarrow \mathcal{P}_{n,j} \rightarrow \mathcal{K}_{n-1,j-1}$.

Proposition 2.1 *There are homotopy-equivalences:*

$$\begin{aligned} \text{Emb}(D^j, D^n) &\simeq \text{SO}_n \times_{\text{SO}_{n-j}} \mathcal{P}_{n,j} \\ \text{Emb}(S^{j-1}, S^{n-1}) &\simeq \text{SO}_n \times_{\text{SO}_{n-j}} \mathcal{K}_{n-1,j-1} \end{aligned}$$

Moreover, the homotopy fibre sequence $\mathcal{K}_{n,j} \rightarrow \text{Emb}(D^j, D^n) \rightarrow \text{Emb}(S^{j-1}, S^{n-1})$ fits into a commutative diagram of 6 homotopy fibre sequences:

$$\begin{array}{ccccc} \mathcal{K}_{n,j} & \longrightarrow & \mathcal{P}_{n,j} & \longrightarrow & \mathcal{K}_{n-1,j-1} \\ \downarrow & & \downarrow & & \downarrow \\ \mathcal{K}_{n,j} & \longrightarrow & \text{Emb}(D^j, D^n) & \longrightarrow & \text{Emb}(S^{j-1}, S^{n-1}) \\ \downarrow & & \downarrow & & \downarrow \\ * & \longrightarrow & V_{n,j} & \longrightarrow & V_{n,j} \end{array}$$

Proof The homotopy equivalence $\text{Emb}(S^{j-1}, S^{n-1}) \simeq \text{SO}_n \times_{\text{SO}_{n-j}} \mathcal{K}_{n-1, j-1}$ was given in [12]. We apply the same ideas to study $\text{Emb}(D^j, D^n)$. Let $B \subset \partial D^j$ be an embedded $(j-1)$ -disc. Consider the bundle $\text{Emb}(D^j \text{ rel } B, D^n) \rightarrow \text{Emb}(D^j, D^n) \rightarrow \text{Emb}(B, S^{n-1})$ given by restriction to B . The base-space has the homotopy-type of $V_{n, j} \simeq \text{SO}_n / \text{SO}_{n-j}$ and there is a natural map [12]

$$\text{SO}_n \times_{\text{SO}_{n-j}} \text{Emb}(D^j \text{ rel } B, D^n) \rightarrow \text{Emb}(D^j, D^n).$$

This is a homotopy-equivalence since it is a map of fibrations, which is a homotopy-equivalence on the base and fibres respectively. \square

We note a basic fact about homotopy-fibres.

Proposition 2.2 *Let $p : E \rightarrow B$ be a fibration. Let $b \in B$ and $e \in E$ be the base-points of E and B respectively, with $p(e) = b$. Take e to be the base-point of $F = p^{-1}(b)$. Let $i : F \rightarrow E$ be inclusion. Let $R(F) = \{(a, h) : a \in F, h : [0, 1] \rightarrow E, h(0) = p(a)\}$ then the map $R(i) : R(F) \rightarrow E$ given by evaluation $h(1)$ is a fibration, and $\pi_F : R(F) \rightarrow F$ given by projection onto F is a homotopy-equivalence. The fibre of the map $R(i) : R(F) \rightarrow E$ is the space $HF(i) = \{h : [0, 1] \rightarrow E, h(0) \in F, h(1) = e\}$, and the map $p_* : HF(i) \rightarrow \Omega B$ given by post-composition with p is a weak homotopy-equivalence, giving a fibration:*

$$\Omega E \rightarrow HF(i) \rightarrow F$$

and a homotopy-commutative diagram

$$\begin{array}{ccccc} & & F & \xrightarrow{i} & E & \xrightarrow{p} & B \\ & & \uparrow \simeq \pi_F & & \uparrow R(i) & & \\ \Omega E & \longrightarrow & HF(i) & \longrightarrow & R(F) & & \end{array}$$

We mention what is known about the fibrations $\text{EC}(j, D^n) \rightarrow \mathcal{K}_{n+j, j}$. This result is a compilation of observations due to Goodwillie (unpublished), Sinha, Turchin and Salvatore.

Proposition 2.3 *The homotopy fibre sequence*

$$\Omega^j \text{SO}_n \rightarrow \text{EC}(j, D^n) \rightarrow \mathcal{K}_{n+j, j}$$

is trivial for $j = 1$, and also for $n \leq 2$. There is a pull-back diagram of homotopy fibre sequences:

$$\begin{array}{ccc} \Omega^j \text{SO}_n & \longrightarrow & \Omega^j \text{SO}_n \\ \downarrow & & \downarrow \\ \text{EC}(j, D^n) & \longrightarrow & P\Omega^{j-1} \text{SO}_n \\ \downarrow & & \downarrow \\ \mathcal{K}_{n+j, j} & \longrightarrow & \Omega^{j-1} \text{SO}_n \end{array}$$

Where $\Omega^j \text{SO}_n \rightarrow P\Omega^{j-1} \text{SO}_n \rightarrow \Omega^{j-1} \text{SO}_n$ is the path-loop fibration of the space $\Omega^{j-1} \text{SO}_n$.

The classifying map $\mathcal{K}_{n+j, j} \rightarrow \Omega^j \text{SO}_n$ factors as a composite

$$\begin{array}{ccc} & \Omega^j V_{n+j, j} & \\ SH \nearrow & & \searrow \text{mono} \\ \mathcal{K}_{n+j, j} & \longrightarrow & \Omega^{j-1} \text{SO}_n \end{array}$$

where ‘ SH ’ is the Smale-Hirsch map, $V_{n+j,j}$ is the Stiefel manifold of linearly independent j -frames in \mathbb{R}^{n+j} , and ‘ $mono$ ’ is the looping of a certain classifying map.

Framed and unframed pseudoisotopy embedding spaces are more directly related, as the forgetful map $PEC(j, D^n) \rightarrow \mathcal{P}_{n+j,j}$ is a homotopy-equivalence.

Proof The observation of the existence of the above pull-back diagram first appears in Turchin’s work [77]. Turchin also observed that $\mathcal{K}_{n+j,j} \rightarrow \Omega^{j-1}SO_n$ factors through the Smale-Hirsch map, where $mono : \Omega^j V_{n+j,j} \rightarrow \Omega^{j-1}SO_n$ is the $(j-1)$ -fold looping of the composite $\alpha \circ \beta$ in the diagram:

$$\begin{array}{c}
 \Omega G_{n+j,n} \xrightarrow{\alpha} SO_n \longrightarrow V_{n+j,n} \\
 \uparrow \cong \perp \\
 \Omega G_{n+j,j} \\
 \uparrow \beta \\
 \Omega V_{n+j,j} \\
 \uparrow \\
 \Omega SO_j
 \end{array}$$

Where $\Omega G_{n+j,n} \rightarrow SO_n \rightarrow V_{n+j,n}$ is the backing-up of the fibration $SO_n \rightarrow V_{n+j,n} \rightarrow G_{n+j,n}$ where $G_{n+j,n}$ is the Grassmanian of n -planes in \mathbb{R}^{n+j} .

Goodwillie (unpublished) and Sinha [72] observed that $SH : \mathcal{K}_{n,1} \rightarrow \Omega V_{n+1,1}$ is null-homotopic, where their proof is simply an application of the definition of the derivative. We will give a natural extension of their proof in Proposition 2.4. \square

The homotopy-class of the Smale-Hirsch map $SH : \mathcal{K}_{n,j} \rightarrow \Omega^j V_{n,j}$ is not so well understood. One would expect it to be highly non-trivial as Haefliger [28] has shown that the kernel of the map $\pi_0 \mathcal{K}_{n,j} \rightarrow \pi_j V_{n,j}$ is the co-kernel of $\pi_{n+1}(SO, SO_{n-j}) \rightarrow \pi_{n+1}(G, G_{n-j})$, where $SO = \varinjlim (SO_1 \rightarrow SO_2 \rightarrow SO_3 \rightarrow \dots)$ is the stable special orthogonal group, G_i is the space of degree one maps of S^{i-1} , and $G = \varinjlim (G_1 \rightarrow G_2 \rightarrow G_3 \rightarrow \dots)$.

Proposition 2.4 *The Smale-Hirsch map $SH : \mathcal{K}_{n,j} \rightarrow \Omega^j V_{n,j}$ fits into a homotopy-commutative diagram*

$$\begin{array}{ccc}
 \mathcal{K}_{n,j} & \xrightarrow{SH} & \Omega^j V_{n,j} \\
 & \searrow & \nearrow \Omega^j(i) \\
 & \Omega^j V_{n-1,j-1} &
 \end{array}$$

where $i : V_{n-1,j-1} \rightarrow V_{n,j}$ is the fibre-inclusion of the fibration $V_{n-1,j-1} \rightarrow V_{n,j} \rightarrow S^{n-1}$.

Proof Consider the commutative diagram of spaces and maps:

$$\begin{array}{ccccc}
 \mathcal{K}_{n,j} & \longrightarrow & \mathcal{P}_{n,j} & \longrightarrow & \mathcal{K}_{n-1,j-1} \\
 \downarrow SH & & \downarrow SH & & \downarrow SH \\
 \Omega^j V_{n,j} & \longrightarrow & \Omega^{j-1} HF(i) & \longrightarrow & \Omega^{j-1} V_{n-1,j-1}
 \end{array}$$

Here $HF(i)$ is the homotopy-fibre of i . By Proposition 2.2 we can identify $HF(i)$ with ΩS^{n-1} , thus $\Omega^{j-1}HF(i) \simeq \Omega^j S^{n-1}$.

The Smale-Hirsch map $SH : \mathcal{P}_{n,j} \rightarrow \Omega^j S^{n-1}$ is given by differentiation in the vertical direction. The map $h : [0, 3] \times \mathbb{R}^j \times \mathcal{P}_{n,j} \rightarrow S^{n-1}$ given by:

$$h(t, x_1, \dots, x_j, f) = \begin{cases} n(\frac{\partial f}{\partial x_1}(x_1, \dots, x_j)) & t = 0 \\ n(f(x_1 + t, x_2, \dots, x_j) - f(x_1, \dots, x_j)) & 0 < t \leq 2 \\ p_{t-2}(n(f(x_1 + 2, x_2, \dots, x_j) - f(x_1, \dots, x_j))) & 2 \leq t \leq 3 \end{cases}$$

is a null-homotopy of the Smale-Hirsch map, giving the result. Here, $p : [0, 1] \times S^{n-1} \setminus \{-1\} \rightarrow S^{n-1} \setminus \{-1\}$ is a deformation-retraction of $S^{n-1} \setminus \{-1\}$ to $\{1\} \subset S^{n-1}$. $n : \mathbb{R}^n \setminus \{0\} \rightarrow S^{n-1}$ is the function $n(v) = \frac{v}{|v|}$. \square

Lastly, we relate $\text{Emb}(S^j, \mathbb{R}^n)$ to $\mathcal{K}_{n,j}$. For this proposition we will identify \mathbb{R}^n with S^n via stereographic projection. If we consider SO_{n+1} to be a SO_n -bundle over S^n , then we can identify the sub-bundle over \mathbb{R}^n with $\mathbb{R}^n \times \text{SO}_n$.

Proposition 2.5 [12] *Let $C \rtimes \mathcal{K}_{n,j} = \{(p, f) : f \in \mathcal{K}_{n,j}, p \in \mathbb{R}^n \setminus \text{img}(f)\}$. There is a homotopy-equivalence*

$$\text{SO}_n \times_{\text{SO}_{n-j}} (C \rtimes \mathcal{K}_{n,j}) \rightarrow \text{Emb}(S^j, \mathbb{R}^n)$$

provided $n - j > 1$. Given $f \in \mathcal{K}_{n,j}$ let $\hat{f} \in \text{Emb}(S^j, S^n)$ be the one-point compactification of f . A pair $(A, p) \in \text{SO}_n \times \mathbb{R}^n$ can naturally be considered an element $T_{(A,p)} \in \text{SO}_{n+1}$. The homotopy-equivalence is given by the map which sends $(A, p, f) \in \text{SO}_n \times (C \rtimes \mathcal{K}_{n,j})$ to $T_{(A,p)} \circ \hat{f} \in \text{Emb}(S^j, \mathbb{R}^n)$.

3 A motivational proof

We prove for $n - j > 2$ every proper embedding of D^j in D^n is isotopic, through proper embeddings, to a linear inclusion, ie: $\pi_0 \text{Emb}(D^k, D^n) = 0$. This is an old result for which there are several references [36, 28]. Our proof is ‘elementary’ in the sense that it uses only elementary theorems about handlebody decompositions of manifolds which can be found in textbooks [43, 57]. An elementary corollary is that $\pi_0 \mathcal{K}_{n,j} \simeq \pi_0 \text{Emb}(S^j, S^n)$ is a group, since the map $\pi_1 \mathcal{K}_{n-1, j-1} \rightarrow \pi_0 \mathcal{K}_{n,j}$ is an epic map of monoids.

Proposition 3.1 *The spaces $\text{Emb}(D^j, D^n)$ and $\mathcal{P}_{n,j}$ are path-connected provided $n - j > 2$.*

Proof Let $e : D^j \rightarrow D^n$ be a proper embedding, and let U be an open tubular neighbourhood of $e(D^j)$. Let $F \simeq S^{n-j-1}$ be a fibre for the unit normal bundle of e . Since $n - j > 2$, the inclusion $F \hookrightarrow D^n \setminus U$ is a homotopy-equivalence.

- Assume $n \geq 5$. By the minimal handle presentation theorem we know $D^n \setminus U$ has a handle presentation with precisely two handles: one a 0-handle and the other a $(n - j - 1)$ -handle. So $D^n \setminus U$ is a D^{j+1} -disc bundle over F and therefore a tubular neighbourhood of $F \hookrightarrow D^n \setminus U$. As a bundle, $D^n \setminus U$ is trivial since F bounds a disc. So we have a diffeomorphism $D^n \setminus U \simeq S^{n-j-1} \times D^{j+1}$. Consider the dual handle presentation of

$D^n \setminus U$. This allows us to think of $D^n \setminus U$ as $S^{n-j-1} \times S^j \times \mathbf{I}$, union a $(j+1)$ -handle and an n -handle. Consider a handle presentation for $S^{n-j-1} \times S^j$. By the Whitney trick [43, 57], the core sphere of the $(j+1)$ -handle attachment can be isotoped to intersect the belt-sphere of the j -handle transversely in a point. Thus one can isotope the core-disc for the $(j+1)$ -handle attachment to be a submanifold $M \subset D^n$, $M \simeq D^{j+1}$ with $\partial M = A \cup e(D^j)$ with $A \subset \partial D^n$ a disc. M provides us with an isotopy from $e(D^j)$ to a linear embedding of D^j in D^n .

- Consider $n \leq 4$. The path-connectivity of $\text{Emb}(D^1, D^4)$ is well-known and appears in many places, for example, it is a special case of Proposition 5.6.

□

As a point of comparison, $\pi_0 \mathcal{K}_{j+2,j}$ is never a group [64, 41, 34, 67]. To see this, let $f \in \mathcal{K}_{j+2,j}$ be a knot whose complement C_f has a non-trivial fundamental group, then if $g \in \mathcal{K}_{j+2,j}$ is any knot, $\pi_1 C_{f\#g} \simeq \pi_1 C_f *_{\mathbb{Z}} \pi_1 C_g$, in particular, $\pi_1 C_f$ is a subgroup of $\pi_1 C_{f\#g}$ (see for example Proposition 2.3.4 of [88]).

$\pi_0 \mathcal{K}_{j+1,j}$ is known to be trivial for all j except perhaps $j = 3$. This follows from the topological Schoenflies theorem [7, 8, 53] and uniqueness of smooth structures on D^{j+1} [74, 43]. Of course, $\mathcal{K}_{j,j}$ is always a group.

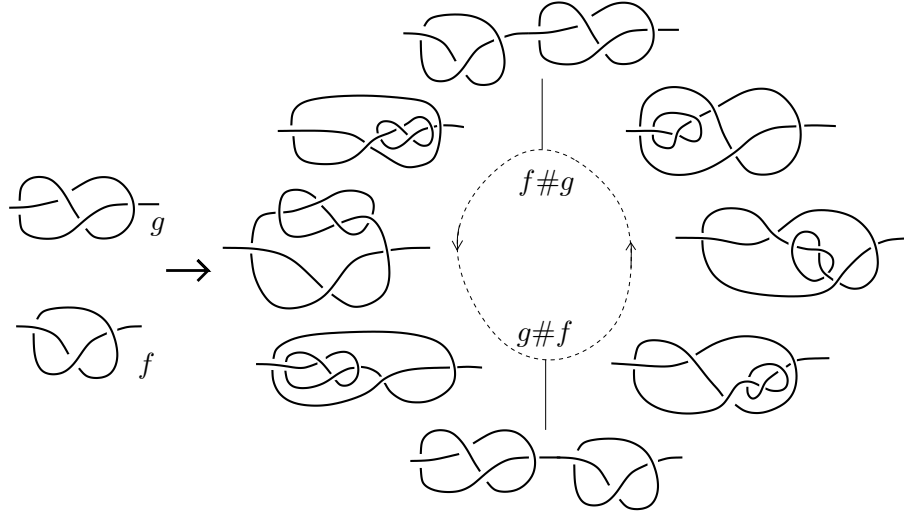
Proposition 3.1 is a very special case of the results in Goodwillie's dissertation [20] where he gets sharp lower-bounds on the connectivity of arbitrary pseudoisotopy embedding spaces.

4 Actions of operads of little cubes on embedding spaces

The work of Boardman, Vogt and May [6, 51, 52] gives one a very simple criterion for recognising if a space X has the homotopy-type of an n -fold loop-space, being that X admits an action of the operad of little n -cubes, and that the induced monoid structure on $\pi_0 X$ is that of a group. A good reference for operads relevant to topology is the book of Markl, Shnider and Stasheff [48].

There is an action of the operad of j -cubes on the spaces $\text{EC}(j, M)$ and $\mathcal{K}_{n,j}$ given by concatenation (see Definition 4.2). The first instance of an action of the operad of $(j+1)$ -cubes on any space of the form $\text{EC}(j, M)$ was given by Morlet [59]. Morlet's 'Comparison Theorem' states that $\text{EC}(j, *) \simeq \Omega^{j+1}(PL_j/O_j)$ (see [14] for a proof). Here PL_j is the group of PL-automorphisms of \mathbb{R}^j (given a suitable topology) and O_j is the group of linear isometries of \mathbb{R}^j .

The first 'hint' of a higher cubes action on the spaces $\text{EC}(j, M)$ for M non-trivial would perhaps be the work of Schubert [67]. Schubert demonstrated that the connect-sum pairing turns $\pi_0 \mathcal{K}_{3,1}$ into a free commutative monoid on the isotopy-classes of prime long knots, where the demonstration of commutativity involved 'pulling one knot through another'.



In ‘little cubes and long knots’ [9] this idea was extended to construct a $(j + 1)$ -cubes action on the spaces $\text{EC}(j, M)$ for an arbitrary compact manifold M . By an elementary construction, this also gave an action of the operad of $(j + 1)$ -cubes on $\mathcal{K}_{n,j}$ for all $n - j \leq 2$. Schubert’s theorem that $\pi_0\mathcal{K}_{3,1}$ is a free commutative monoid over the isotopy classes of prime long knots was extended to the theorem that $\mathcal{K}_{3,1}$ is a free 2-cubes object over the based space $\mathcal{P} \sqcup \{*\}$ where $\mathcal{P} \subset \mathcal{K}_{3,1}$ is the subspace of prime long knots.

The freeness result $\mathcal{K}_{3,1} \simeq \mathcal{C}_2(\mathcal{P} \sqcup \{*\})$ implies that the group-completion of $\mathcal{K}_{3,1}$, $\Omega B\mathcal{K}_{3,1}$ has the homotopy-type of $\Omega^2\Sigma^2(\mathcal{P} \sqcup \{*\})$ [51]. Moreover, one can compute (recursively) the homotopy-type of the path-components of \mathcal{P} by the theorems in [10]. For applications, see [12].

There is a major ‘conceptual gap’ between the Morlet Comparison Theorem and the above result on $\Omega B\mathcal{K}_{3,1}$. This gap is one of the motivations of this paper.

In this section we define actions of operads of little cubes on various pseudo-isotopy embedding spaces, extending previous constructions [9].

Definition 4.1 • A (single) little n -cube is a function $L : \mathbf{I}^n \rightarrow \mathbf{I}^n$ such that $L = l_1 \times \cdots \times l_n$ where each $l_i : \mathbf{I} \rightarrow \mathbf{I}$ is affine-linear and increasing ie: $l_i(t) = a_it + b_i$ for some $0 \leq a_i < 1$ and $b_i \in \mathbb{R}$.

- Let CAut_n denote the monoid of affine-linear automorphisms of \mathbb{R}^n of the form $L = l_1 \times \cdots \times l_n$ where $l_i : \mathbb{R} \rightarrow \mathbb{R}$ affine linear and increasing, and $L(\mathbf{I}^n) \subset \mathbf{I}^n$.
- Given a little n -cube L , we sometimes abuse notation and consider $L \in \text{CAut}_n$ by taking the unique affine-linear extension of L to \mathbb{R}^n .
- The space of j little n -cubes $\mathcal{C}_n(j)$ is the space of maps $L : \sqcup_{i=1}^j \mathbf{I}^n \rightarrow \mathbf{I}^n$ such that the restriction of L to the interior of its domain is an embedding, and the restriction of L to any connected component of its domain is a little n -cube. Given $L \in \mathcal{C}_n(j)$, denote the restriction of L to the i -th copy of \mathbf{I}^n by L_i . By convention $\mathcal{C}_n(0)$ is taken to be a point. This makes the union $\sqcup_{j=0}^\infty \mathcal{C}_n(j)$ into an operad, called the operad of little n -cubes \mathcal{C}_n [51].

- There is an action of CAut_n on $\text{EC}(n, M)$ given by

$$\begin{aligned} \mu : \text{CAut}_n \times \text{Emb}(\mathbb{R}^n \times M, \mathbb{R}^n \times M) &\rightarrow \text{Emb}(\mathbb{R}^n \times M, \mathbb{R}^n \times M) \\ \mu(L, f) &= (L \times \text{Id}_M) \circ f \circ (L^{-1} \times \text{Id}_M) \end{aligned}$$

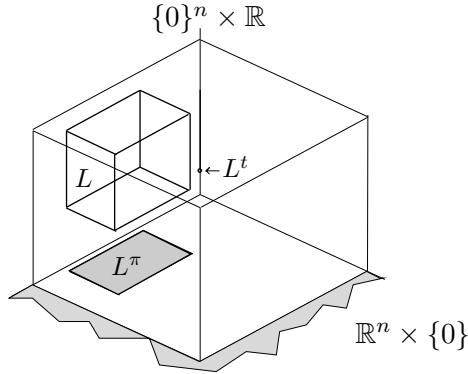
In the above formula, L^{-1} is the inverse of L in the group of affine-linear isomorphisms of \mathbb{R}^n . We write the above action as $\mu(L, f) = L.f$. There is an action of CAut_j on $\mathcal{K}_{n,j}$ defined in the same way.

We now define an ‘obvious’ j -cubes action on $\mathcal{K}_{n,j}$ and $\text{EC}(j, M)$. The associated multiplication in $\pi_0\mathcal{K}_{n,j}$ is called the connect-sum operation.

Definition 4.2 $k_i : \mathcal{C}_j(i) \times (\mathcal{K}_{n,j})^i \rightarrow \mathcal{K}_{n,j}$, $k_i : \mathcal{C}_j(i) \times \text{EC}(j, M)^i \rightarrow \text{EC}(j, M)$ is defined by the rule $k_i(L_1, \dots, L_i, f_1, \dots, f_i) = L_1.f_1 \circ \dots \circ L_i.f_i$.

We will give an extension of the above j -cubes action on $\text{EC}(j, M)$ to a $(j+1)$ -cubes action in the next definition.

Definition 4.3 • Given j little $(n+1)$ -cubes, $L = (L_1, \dots, L_j) \in \mathcal{C}_{n+1}(j)$ define the j -tuple of (non-disjoint) little n -cubes $L^\pi = (L_1^\pi, \dots, L_j^\pi)$ by the rule $L_i^\pi = l_{i,1} \times \dots \times l_{i,n}$ where $L_i = l_{i,1} \times \dots \times l_{i,n+1}$. Similarly define $L^t \in \mathbf{I}^j$ by $L^t = (L_1^t, \dots, L_j^t)$ where $L_i^t = l_{i,n+1}(-1)$.



- The action of the operad of little $(n+1)$ -cubes on the space $\text{EC}(n, M)$ is given by the maps $\kappa_j : \mathcal{C}_{n+1}(j) \times \text{EC}(n, M)^j \rightarrow \text{EC}(n, M)$ for $j \in \{1, 2, \dots\}$ defined by

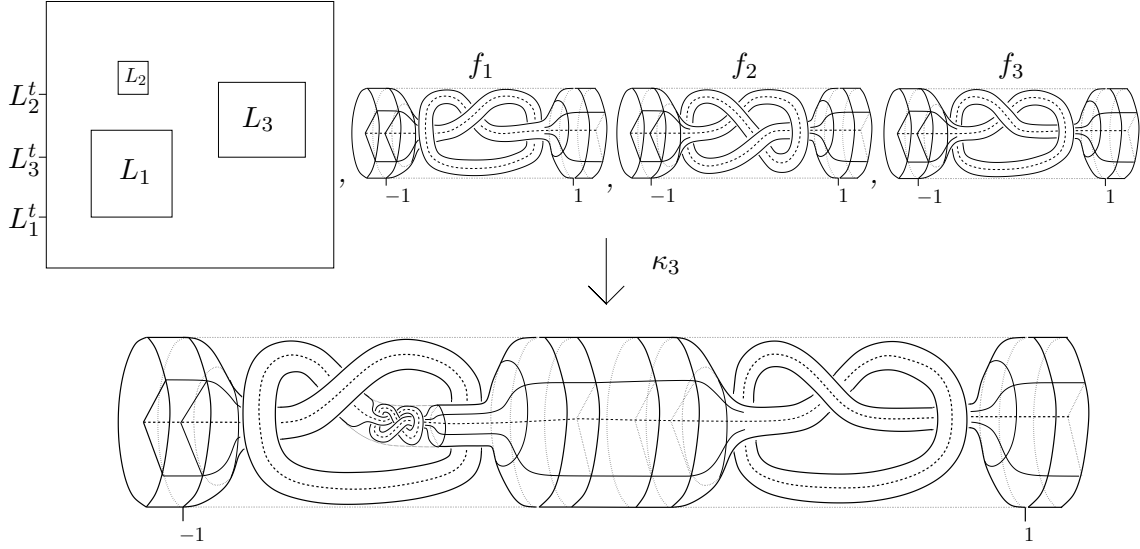
$$\kappa_j(L_1, \dots, L_j, f_1, \dots, f_j) = L_{\sigma(1)}^\pi.f_{\sigma(1)} \circ L_{\sigma(2)}^\pi.f_{\sigma(2)} \circ \dots \circ L_{\sigma(j)}^\pi.f_{\sigma(j)}$$

where $\sigma : \{1, \dots, j\} \rightarrow \{1, \dots, j\}$ is any permutation such that $L_{\sigma(1)}^t \leq L_{\sigma(2)}^t \leq \dots \leq L_{\sigma(j)}^t$. The map $\kappa_0 : \mathcal{C}_{n+1}(0) \times \text{EC}(n, M)^0 \rightarrow \text{EC}(n, M)$ is the inclusion of a point $*$ in $\text{EC}(n, M)$, defined so that $\kappa_0(*) = \text{Id}_{\mathbb{R}^n \times M}$.

Theorem 4.4 [9] For any compact manifold M and any integer $n \geq 0$ the maps κ_j for $j \in \{0, 1, 2, \dots\}$ define an action of the operad of little $(n+1)$ -cubes on $\text{EC}(n, M)$.

In the definition of $\text{EC}(n, M)$, if one replaces the condition that the support of f is contained in $\mathbf{I}^n \times M$ with it being contained in $D^n \times M$ one obtains a homotopy-equivalent space $\text{ED}(n, M)$ and by the same constructions in Definition 4.3, one also obtains an action of the operad of unframed little $(n+1)$ -discs on $\text{ED}(n, M)$.

Example 4.5



$L_1^t < L_3^t < L_2^t$ so $\sigma = (23)$ and $\kappa_3(L_1, L_2, L_3, f_1, f_2, f_3) = L_1^\pi \cdot f_1 \circ L_3^\pi \cdot f_3 \circ L_2^\pi \cdot f_2$, which explains why we see the figure-8 knot ‘inside’ of the trefoil on the left hand side of the picture.

We give an analogous action of \mathcal{C}_n on $\text{PEC}(n, M)$.

Definition 4.6 $\kappa_j : \mathcal{C}_n(j) \times \text{PEC}(n, M)^j \rightarrow \text{PEC}(n, M)$ for $j \in \{1, 2, \dots\}$ is defined by

$$\kappa_j(L_1, \dots, L_j, f_1, \dots, f_j) = L_{\sigma(1)} \cdot f_{\sigma(1)} \circ L_{\sigma(2)} \cdot f_{\sigma(2)} \circ \dots \circ L_{\sigma(j)} \cdot f_{\sigma(j)}$$

where $\sigma : \{1, \dots, j\} \rightarrow \{1, \dots, j\}$ is any permutation such that $L_{\sigma(1)}^t \leq L_{\sigma(2)}^t \leq \dots \leq L_{\sigma(j)}^t$.

Proposition 4.7 The maps κ_* define an action of the operad of little n -cubes on $\text{PEC}(n, M)$.

Proof We need to verify the three axioms of a cubes action.

- (1) Identity. Let $Id_{\mathbb{I}^n}$ be the identity n -cube, then $\kappa_1(Id_{\mathbb{I}^n}, f) = Id_{\mathbb{I}^n} \cdot f = f$ by design.
- (2) Symmetry. We need to verify that $\kappa_n(L, \alpha, f, \alpha) = \kappa_n(L, f)$.

Let

$$\kappa_j(L, f) = L_{\sigma(1)} \cdot f_{\sigma(1)} \circ L_{\sigma(2)} \cdot f_{\sigma(2)} \circ \dots \circ L_{\sigma(j)} \cdot f_{\sigma(j)}$$

and

$$\kappa_j(L, \alpha, f, \alpha) = L_{\alpha\sigma'(1)} \cdot f_{\alpha\sigma'(1)} \circ L_{\alpha\sigma'(2)} \cdot f_{\alpha\sigma'(2)} \circ \dots \circ L_{\alpha\sigma'(j)} \cdot f_{\alpha\sigma'(j)}$$

Thus we are assuming let $\sigma, \sigma' \in S_n$ satisfy $L_{\sigma(1)}^t \leq \dots \leq L_{\sigma(n)}^t$ and $L_{\alpha\sigma'(1)}^t \leq \dots \leq L_{\alpha\sigma'(n)}^t$. Up to the ambiguity in our choice of σ and σ' we can assume $\sigma' = \alpha^{-1}\sigma$, giving the result.

- (3) Associativity. We need to verify the diagram below commutes:

$$\begin{array}{ccc} \mathcal{C}_n(m) \times \left(\mathcal{C}_n(j_1) \times \text{PEC}(n, M)^{j_1} \times \dots \times \mathcal{C}_n(j_m) \times \text{PEC}(n, M)^{j_m} \right) & \longrightarrow & \mathcal{C}_n(m) \times \text{PEC}(n, M)^m \\ \downarrow & & \downarrow \\ \mathcal{C}_n(j_1 + \dots + j_m) \times \text{PEC}(n, M)^{j_1 + \dots + j_m} & \longrightarrow & \text{PEC}(n, M) \end{array}$$

Given something in the top-left corner, consider what it maps to in the bottom-right corner, going around both ways. One gets a composite of functions of the form $L_i \cdot L_{i,p} \cdot f_{i,p}$ in some order. The point being, either way around the diagram, one gets a giant composite of the same collection of functions, perhaps in different orders. The point being, the order of composition is irrelevant as our definition only allows re-ordering of functions with disjoint supports.

□

Proposition 4.8 *Both the fibre-inclusion and projection maps in the fibration*

$$\mathrm{EC}(n, M) \rightarrow \mathrm{PEC}(n, M) \rightarrow \mathrm{EC}(n-1, M)$$

are maps of little n -cubes objects.

Proof We need to check equivariance of the map $\mathrm{PEC}(n, M) \rightarrow \mathrm{EC}(n-1, M)$, where to be precise, we are identifying $\{1\} \times \mathbb{R}^{n-1} \times M$ with $\mathbb{R}^{n-1} \times M$ via the map $(1, t_2, t_3, \dots, t_n, m) \mapsto (t_2, t_3, \dots, t_n, m)$.

If we restrict

$$\kappa_j(L_1, \dots, L_j, f_1, \dots, f_j) = L_{\sigma(1)} \cdot f_{\sigma(1)} \circ L_{\sigma(2)} \cdot f_{\sigma(2)} \circ \dots \circ L_{\sigma(j)} \cdot f_{\sigma(j)}$$

to $\{1\} \times \mathbb{R}^{n-1} \times M$ we get the composite

$$L_{\sigma(1)}^\pi \cdot f_{\sigma(1)|\{1\} \times \mathbb{R}^{n-1} \times M} \circ L_{\sigma(2)}^\pi \cdot f_{\sigma(2)|\{1\} \times \mathbb{R}^{n-1} \times M} \circ \dots \circ L_{\sigma(j)}^\pi \cdot f_{\sigma(j)|\{1\} \times \mathbb{R}^{n-1} \times M}$$

which is just

$$\kappa_j(L_1, \dots, L_j, f_{1|\{1\} \times \mathbb{R}^{n-1} \times M}, \dots, f_{j|\{1\} \times \mathbb{R}^{n-1} \times M})$$

□

5 Knot graphing and spinning

In this section we investigate the ‘graphing’ maps

$$\mathrm{gr}_1 : \Omega\mathcal{K}_{n-1, j-1} \rightarrow \mathcal{K}_{n, j}, \quad \mathrm{gr}_1 : \Omega\mathrm{EC}(j-1, \mathbb{D}^n) \rightarrow \mathrm{EC}(j, \mathbb{D}^n)$$

defined in the introduction. We compute their effect on the first non-trivial homotopy groups of $\Omega\mathcal{K}_{n-1, j-1}$ and $\mathcal{K}_{n, j}$ respectively and show they are equivariant with respect to an action of an operad of cubes.

Proposition 5.1 *The fibrations*

$$\mathrm{EC}(j, \mathbb{D}^n) \rightarrow \mathrm{PEC}(j, \mathbb{D}^n) \rightarrow \mathrm{EC}(j-1, \mathbb{D}^n) \quad \text{and} \quad \mathcal{K}_{n, j} \rightarrow \mathcal{P}_{n, j} \rightarrow \mathcal{K}_{n-1, j-1}$$

‘back up’ to homotopy fibre sequences

$$\Omega\mathrm{PEC}(j, \mathbb{D}^n) \rightarrow \Omega\mathrm{EC}(j-1, \mathbb{D}^n) \rightarrow \mathrm{EC}(j, \mathbb{D}^n) \quad \text{and} \quad \Omega\mathcal{P}_{n, j} \rightarrow \Omega\mathcal{K}_{n-1, j-1} \rightarrow \mathcal{K}_{n, j}$$

where the fibre-inclusions $\mathrm{gr}_1 : \Omega\mathrm{EC}(j-1, \mathbb{D}^n) \rightarrow \mathrm{EC}(j, \mathbb{D}^n)$ and $\mathrm{gr}_1 : \Omega\mathcal{K}_{n-1, j-1} \rightarrow \mathcal{K}_{n, j}$ are given by the formulae $(\mathrm{gr}_1 f)(t_0, t_1, \dots, t_{j-1}, m) = (t_0, f(t_0)(t_1, \dots, t_{j-1}, m))$ and $(\mathrm{gr}_1 f)(t_0, t_1, \dots, t_{j-1}) = (t_0, f(t_0)(t_1, \dots, t_{j-1}))$ respectively. $\mathrm{gr}_1 : \Omega\mathrm{EC}(j-1, \mathbb{D}^n) \rightarrow \mathrm{EC}(j, \mathbb{D}^n)$ commutes with the action of the operad of little $(j+1)$ -cubes on the domain and range respectively.

Proof In the case of the fibration $\text{EC}(j, \mathbb{D}^n) \rightarrow \text{PEC}(j, \mathbb{D}^n) \rightarrow \text{EC}(j-1, \mathbb{D}^n)$

$$HF(i) = \{f : [0, 1] \rightarrow \text{PEC}(j, \mathbb{D}^n), f(0) = Id_{\mathbb{R}^j \times \mathbb{D}^n}, f(1) \in \text{EC}(j, \mathbb{D}^n)\}$$

and the map $HF(i) \rightarrow \Omega\text{EC}(j-1, \mathbb{D}^n)$ defined in Proposition 2.2 is a weak homotopy equivalence. All embedding spaces are dominated by CW-complexes [63], and if a space is dominated by a CW-complex, it has the homotopy-type of a CW-complex [85]. The class of spaces having the homotopy-type of CW-complexes is closed under the kinds path and loop-space constructions given above [56], thus $HF(i) \rightarrow \Omega\text{EC}(j-1, \mathbb{D}^n)$ is a homotopy-equivalence as both of the spaces involved have the homotopy-type of CW-complexes.

We compute an explicit homotopy-inverse for $\Omega\text{EC}(j-1, \mathbb{D}^n) \rightarrow HF(i)$. Let $b_{\epsilon, t} : \mathbb{R} \rightarrow \mathbb{R}$ be a C^∞ -smooth function such that $b_{\epsilon, t}(x) = t$ for all $x \geq t$, $b_{\epsilon, t}(x) = x$ for all $x \leq t - \epsilon$ with $b'_{\epsilon, t}(x) > 0$ for $x \in (-\infty, t)$. Consider an element of $f \in \Omega\text{EC}(j-1, \mathbb{D}^n)$ to be a function from $f : \mathbb{R} \rightarrow \text{EC}(j-1, \mathbb{D}^n)$ that is constant the base-point outside of $[-1, 1]$. Given $f \in \Omega\text{EC}(j-1, \mathbb{D}^n)$ we define $\tilde{f} \in HF(i)$

$$\tilde{f}(t)(t_1, \dots, t_j, m) = (t_1, f(b_{\epsilon, 2t-1}(t_1))(t_2, t_3, \dots, t_j, m))$$

This is a homotopy-inverse since the composite $\Omega\text{EC}(j-1, \mathbb{D}^n) \rightarrow HF(i) \rightarrow \Omega\text{EC}(j-1, \mathbb{D}^n)$ is homotopic to the identity (take $\epsilon \rightarrow 0$). The composite $\Omega\text{EC}(j-1, \mathbb{D}^n) \rightarrow HF(i) \rightarrow \text{EC}(j, \mathbb{D}^n)$ is also homotopic to gr_1 , by taking $\epsilon \rightarrow 0$.

We can now verify that $\text{gr}_1 : \Omega\text{EC}(j-1, \mathbb{D}^n) \rightarrow \text{EC}(j, \mathbb{D}^n)$ is a map of $(j+1)$ -cubes objects. First, we describe the $(j+1)$ -cubes action on $\Omega\text{EC}(j-1, \mathbb{D}^n)$ induced from the j -cubes action on $\text{EC}(j-1, \mathbb{D}^n)$. Given i little $(j+1)$ -cubes $L = (L_1, \dots, L_i)$ let $L^\alpha = (L_1^\alpha, \dots, L_i^\alpha) \in \mathcal{C}_1(1)^i$ be their projections on the 1st coordinate, and let $L^\beta = (L_1^\beta, \dots, L_i^\beta) \in \mathcal{C}_j(1)^i$ be their projections on the remaining j -coordinates. The $(j+1)$ -cubes action on $\Omega\text{EC}(j-1, \mathbb{D}^n)$ is given by κ' defined below:

$$\kappa'_i(L_1, \dots, L_i, F_1, \dots, F_i) := \kappa_i(L_1^\beta, \dots, L_i^\beta, L_1^\alpha.F_1, \dots, L_i^\alpha.F_i) \quad (1)$$

$$= L_{\sigma(1)}^{\beta\pi}.L_{\sigma(1)}^\alpha.F_{\sigma(1)} \circ L_{\sigma(2)}^{\beta\pi}.L_{\sigma(2)}^\alpha.F_{\sigma(2)} \circ \dots \circ L_{\sigma(i)}^{\beta\pi}.L_{\sigma(i)}^\alpha.F_{\sigma(i)} \quad (2)$$

Here $L_i^\alpha.F_i$ is the \mathcal{C}_1 -action on $\Omega\text{EC}(j-1, \mathbb{D}^n)$ and L_i^β acts on this via the \mathcal{C}_j -action on $\text{EC}(j-1, \mathbb{D}^n)$. The composition operation \circ is induced from composition, and $\sigma \in S_i$ is any permutation such that $L_{\sigma(1)}^{\beta t} \leq L_{\sigma(2)}^{\beta t} \leq \dots \leq L_{\sigma(i)}^{\beta t}$.

Consider applying the map gr_1 :

$$\text{gr}_1 : \Omega\text{EC}(j-1, \mathbb{D}^n) \ni F \longmapsto ((t_0, t, v) \longmapsto (t_0, F(t_0)(t, v))) \in \text{EC}(j, \mathbb{D}^n)$$

Observe that $\text{gr}_1(L_{\sigma(p)}^{\beta\pi}.L_{\sigma(p)}^\alpha.F_{\sigma(p)}) = L_{\sigma(p)}^\pi.\text{gr}_1(F_{\sigma(p)})$ thus

$$\text{gr}_1(\kappa'_i(L_1, \dots, L_i, F_1, \dots, F_i)) = L_{\sigma(1)}^\pi.\text{gr}_1(F_{\sigma(1)}) \circ L_{\sigma(2)}^\pi.\text{gr}_1(F_{\sigma(2)}) \circ \dots \circ L_{\sigma(i)}^\pi.\text{gr}_1(F_{\sigma(i)}) \quad (3)$$

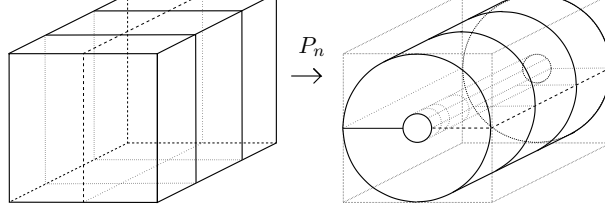
$$= \kappa_i(L_1, \dots, L_i, \text{gr}_1(F_1), \dots, \text{gr}_1(F_i)) \quad (4)$$

since gr_1 commutes with \circ . \square

We explore the connection between the maps gr_1 and the Litherland deform-spun knot construction.

Given a topological space X , we will denote the space of continuous functions $f : S^1 \equiv \mathbb{R}/2\mathbb{Z} \rightarrow X$ by LX , and call LX the free loop space on X . Consider the map $P_n : \mathbf{I}^n \rightarrow \mathbf{I}^n$ given by

$(t_1, t_2, \dots, t_n) \mapsto (\frac{t_2+2}{3} \cos(\pi t_1), \frac{t_2+2}{3} \sin(\pi t_1), t_3, \dots, t_n)$ P_n is an embedding on the interior of \mathbf{I}^n , and is globally one-to-one except for the equality $P_n(-1, t_2, t_3, \dots, t_n) = P_n(1, t_2, \dots, t_n)$.



Definition 5.2 Given $f \in L\mathcal{K}_{n-1, j-1}$, if $f' : \mathbb{R}^j \rightarrow \mathbb{R}^n$ is the function $f'(t_0, t_1, \dots, t_{j-1}) = (t_0, f(t_0)(t_1, \dots, t_{j-1}))$, observe that $P_n \circ f' \circ P_j^{-1}$ is defined on the image of P_j , and on $\partial \text{img}(P_j)$ it agrees with the standard inclusion $\mathbb{R}^j \rightarrow \mathbb{R}^n$. Let $\text{gr}_1(f) \in \mathcal{K}_{n, j}$ be the unique extension of $P_n \circ f' \circ P_j^{-1}$ such that $\text{gr}_1(f)|_{\mathbb{R}^j \setminus \text{img}(P_j)}$ agrees with the standard inclusion $\mathbb{R}^j = \mathbb{R}^j \times \{0\}^{n-j} \subset \mathbb{R}^n$ on $\overline{\mathbb{R}^j \setminus \text{img}(P_j)}$.

Proposition 5.3 *The diagram*

$$\begin{array}{ccc} L\mathcal{K}_{n-1, j-1} & \xrightarrow{\text{gr}_1} & \mathcal{K}_{n, j} \\ \uparrow & \nearrow \text{gr}_1 & \\ \Omega\mathcal{K}_{n-1, j-1} & & \end{array}$$

is homotopy-commutative.

Proof There exists a 1-parameter family $P_n(t) : \mathbf{I}^n \rightarrow \mathbf{I}^n$ for $t \in [0, 1]$ satisfying $P_n(0) = P_n$, $P_n(1) = Id_{\mathbf{I}^n}$, such that for all $t \in (0, 1]$ the function $P_n(t) : \mathbf{I}^n \rightarrow \mathbf{I}^n$ is an embedding. Substituting $P_n(t)$ for P_n in the definition of $\text{gr}_1 : L\mathcal{K}_{n-1, j-1} \rightarrow \mathcal{K}_{n, j}$ gives the desired homotopy. \square

We assume for all $f \in \mathcal{K}_{n, j}$, $\text{img}(f) \cap (\mathbb{R}^n \setminus D^n) = \mathbb{R}^j \setminus D^n$. Technically, this condition describes a subspace of $\mathcal{K}_{n, j}$ but since it is homotopy-equivalent, it causes no harm. Consider the fibration $\text{Diff}(D^n, f) \rightarrow \text{Diff}(D^n) \rightarrow \mathcal{K}_{n, j}(f)$ given by restriction. The map $\text{Diff}(D^n) \rightarrow \mathcal{K}_{n, j}$ is null homotopic. So the induced map $\Omega\mathcal{K}_{n, j}(f) \rightarrow \text{Diff}(D^n, f)$ gives an injection $\pi_{i+1}\mathcal{K}_{n, j}(f) \rightarrow \pi_i\text{Diff}(D^n, f)$ for all i . Given an element $g \in \pi_1\mathcal{K}_{n, j}(f)$, let $\tilde{g} \in \pi_0\text{Diff}(D^n, f)$ be the corresponding element.

Proposition 5.4 *Given $f \in \mathcal{K}_{n-1, j-1}$ and $g \in \pi_1\mathcal{K}_{n-1, j-1}(f)$ as above, denote the 1-point compactification of $\text{gr}_1 g$ by $\overline{\text{gr}_1 g} \in \text{Emb}(S^j, S^n)$. Provided $\tilde{g} \in \pi_0\text{Diff}(D^{n-1}, f)$ corresponds to g under the map $\pi_1\mathcal{K}_{n-1, j-1}(f) \rightarrow \pi_0\text{Diff}(D^{n-1}, f)$, the manifold pair*

$$[(\partial D^{n-1}, \partial D^{j-1}) \times D^2] \cup [(D^{n-1}, \text{img}(f) \cap D^{n-1}) \times_{\tilde{g}} S^1]$$

(called the \tilde{g} -spun knot, as in [19, 47, 41]) is diffeomorphic to the pair $(S^n, \text{img}(\overline{\text{gr}_1 g}))$.

Proof Let $U \simeq D^2 \times S^{n-2}$ be a closed tubular neighbourhood of $\overline{\{0\}^2 \times \mathbb{R}^{n-2}} \subset \mathbb{R}^n$, then $(\overline{S^n \setminus U}, \text{img}(\overline{\text{gr}_1 g}))$ is diffeomorphic to the pair $[(D^{n-1}, \text{img}(f) \cap D^{n-1}) \times_{\tilde{g}} S^1]$. \square

Definition 5.5 An element $f \in \pi_0 \mathcal{K}_{n,j}$ is said to have (Gromoll) degree i if $f \in \text{img}(\text{gr}_i)$ and $f \notin \text{img}(\text{gr}_{i+1})$. If f is not in the image of gr_1 , we say it has degree 0.

The terminology of ‘degree’ comes from the pseudoisotopy theory of discs and spheres [4, 5, 84, 25]. In the next proposition we compute the first non-trivial homotopy groups of the spaces $\mathcal{K}_{n,j}$, $\text{Emb}(S^j, S^n)$, and $\text{Emb}(S^j, \mathbb{R}^n)$. The key step is to show that every element of $\pi_0 \mathcal{K}_{n,j}$ has degree at least $n - j - 2$, which reduces to Goodwillie’s dissertation.

Proposition 5.6 (1) $\mathcal{K}_{n,j}$ is $(2n - 3j - 4)$ -connected for all n and j . If we assume that $2n - 3j - 3 \geq 0$, then the first non-trivial homotopy group of $\mathcal{K}_{n,j}$ is in dimension $2n - 3j - 3$ and $\pi_{2n-3j-3} \mathcal{K}_{n,j} \simeq \begin{cases} \mathbb{Z} & j = 1 \text{ or } n - j \text{ is odd} \\ \mathbb{Z}_2 & j > 1 \text{ and } n - j \text{ is even} \end{cases}$

(2) $\text{Emb}(S^j, S^n)$ is $\min\{(2n - 3j - 4), (n - j - 1)\}$ -connected. If we let $m = \min\{2n - 3j - 3, n - j - 1\}$, provided $2n - 3j - 3 \geq 0$ the first non-trivial homotopy-group of $\text{Emb}(S^j, S^n)$ is in dimension m and is isomorphic to:

$$\pi_m \text{Emb}(S^j, S^n) \simeq \begin{cases} \mathbb{Z} & 2n - 3j - 3 < n - j - 1, (j = 1 \text{ or } n - j \text{ odd}) \\ \mathbb{Z} & 2n - 3j - 3 > n - j - 1, n - j \text{ even} \\ \mathbb{Z}_2 & 2n - 3j - 3 < n - j - 1, j > 1 \text{ and } n - j \text{ even} \\ \mathbb{Z}_2 & 2n - 3j - 3 > n - j - 1, n - j \text{ odd} \\ \mathbb{Z} \oplus \mathbb{Z}_2 & 2n - 3j - 3 = n - j - 1 \end{cases}$$

(3) $\text{Emb}(S^j, \mathbb{R}^n)$ is $\min\{2n - 3j - 4, n - j - 2\}$ connected for all n and j . Provided $2n - 3j - 3 \geq 0$ the first non-trivial homotopy group of $\text{Emb}(S^j, \mathbb{R}^n)$ is in dimension $m = \min\{2n - 3j - 3, n - j - 1\}$ and is given by:

$$\pi_m \text{Emb}(S^j, \mathbb{R}^n) \simeq \begin{cases} \mathbb{Z} & 2n - 3j - 3 < n - j - 1, (j = 1 \text{ or } n - j \text{ odd}) \\ \mathbb{Z}_2 & 2n - 3j - 3 < n - j - 1, j > 1 \text{ and } n - j \text{ even} \\ \mathbb{Z} & 2n - 3j - 3 > n - j - 1 \\ \mathbb{Z}^2 & 2n - 3j - 3 = n - j - 1, (j = 1 \text{ or } n - j \text{ odd}) \\ \mathbb{Z} \oplus \mathbb{Z}_2 & 2n - 3j - 3 = n - j - 1, j > 1 \text{ and } j \text{ even} \end{cases}$$

(4) The space $\mathcal{P}_{n,j}$ is $(2n - 2j - 5)$ -connected, and $\text{Emb}(D^j, D^n)$ is $\min\{(2n - 2j - 5), (n - j - 1)\}$ -connected for all n and j .

Proof (4) The result on $\mathcal{P}_{n,j}$ follows directly from Goodwillie’s dissertation [20]. The result on $\text{Emb}(D^j, D^n)$ is then a corollary of Proposition 2.1.

(1) There is a computation of the 3rd stage of the Goodwillie tower for $\mathcal{K}_{n,1}$ in [11]. This is a $(2n - 6)$ -connected map $\mathcal{K}_{n,1} \rightarrow AM_3$. AM_3 is shown to have the homotopy-type of the 3-fold loop-space on the homotopy fibre of the inclusion $S^{n-1} \vee S^{n-1} \rightarrow S^{n-1} \times S^{n-1}$. The first non-trivial integral homology group of $\mathcal{K}_{n,1}$ is computed by Victor Turchin [78] (see the computations for the homology of the complexes $CT_0 D^{\text{even}}$ and $CT_0 D^{\text{odd}}$ for $j = 4, i = 2$), $H_{2n-6}(\mathcal{K}_{n,1}; \mathbb{Z}) \simeq \mathbb{Z}$, thus $\pi_{2n-6} \mathcal{K}_{n,1} \simeq \mathbb{Z}$ by the Hurewicz Theorem. We inductively compute the first non-trivial homotopy groups of $\mathcal{K}_{n,j}$. Consider the fibre-sequence $\mathcal{K}_{n+1,j+1} \rightarrow \mathcal{P}_{n+1,j+1} \rightarrow \mathcal{K}_{n,j}$ with base-case $j = 1$. Thus, for all $j \geq 2$ $\pi_{2n-6-j} \mathcal{K}_{n+1,j+1} \simeq \pi_{2n-6} \mathcal{K}_{n,1} / \text{img}(\pi_{2n-6} \mathcal{P}_{n+1,2})$. When $j = 2n - 6$ this gives $\pi_0 \mathcal{K}_{3n+j-6, 2n-5} \simeq \pi_{2n-6} \mathcal{K}_{n,1} / \text{img}(\pi_{2n-6} \mathcal{P}_{n+1,2})$. Haefliger’s [28] has shown that $\pi_0 \mathcal{K}_{2n+j-6, 2n-5} \simeq \mathbb{Z}$ if n is even and \mathbb{Z}_2 if n is odd, giving the result.

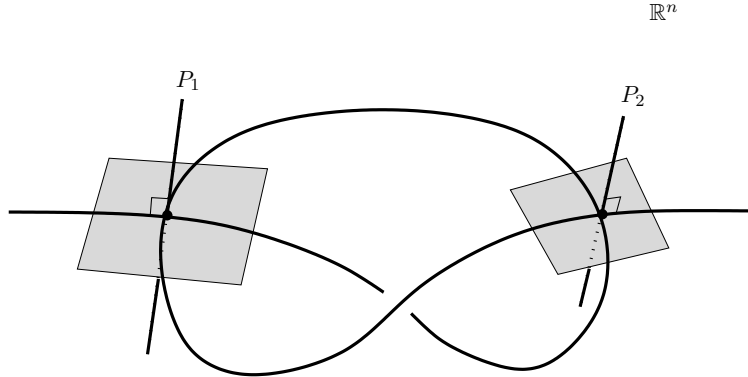
(2) Proposition 2.1 gives us a homotopy equivalence $\text{Emb}(S^j, S^n) \simeq \text{SO}_{n+1} \times_{\text{SO}_{n-j}} \mathcal{K}_{n,j}$. Since $\text{SO}_{n+1}/\text{SO}_{n-j} \cong V_{n+1,j+1}$ is $(n-j-1)$ -connected, the homotopy LES of the fibration $\mathcal{K}_{n,j} \rightarrow \text{Emb}(S^j, S^n) \rightarrow V_{n+1,j+1}$ tells us that $\text{Emb}(S^j, S^n)$ is $\min\{n-j-1, 2n-3j-4\}$ -connected. Since the bundle $\text{Emb}(S^j, S^n) \rightarrow V_{n+1,j+1}$ is split, we can compute the first non-trivial homotopy group of $\text{Emb}(S^j, S^n)$ directly.

(3) For $\text{Emb}(S^j, \mathbb{R}^n)$ we use the homotopy equivalence $\text{Emb}(S^j, \mathbb{R}^n) \simeq \text{SO}_n \times_{\text{SO}_{n-j}} (C \rtimes \mathcal{K}_{n,j})$ from Proposition 2.5. The bundles $C \rtimes \mathcal{K}_{n,j} \rightarrow \mathcal{K}_{n,j}$ and $\text{SO}_n \times_{\text{SO}_{n-j}} (C \rtimes \mathcal{K}_{n,j}) \rightarrow V_{n,j}$ are split, so the computation follows as in case (2). \square

Proposition 5.6 proves that Munson's lower bound [61] on the connectivity of $\text{Emb}(S^j, \mathbb{R}^n)$ of $\min\{2n-3j-4, n-j-2\}$ is sharp.

We devote the rest of this section to a geometric description of the generator of $\pi_{2n-6}\mathcal{K}_{n,1}$.

Take a 'long' immersion $f : \mathbb{R} \rightarrow \mathbb{R}^3 \subset \mathbb{R}^n$ having two regular double points $f(t_1) = f(t_3)$, $f(t_2) = f(t_4)$ with $t_1 < t_2 < t_3 < t_4 \in \mathbb{R}$. Let Tf_i be the tangent space to $\text{img}(f)$ at t_i . Let P_1 be the orthogonal complement to $Tf_1 \oplus Tf_3$, and P_2 the orthogonal complement of $Tf_2 \oplus Tf_4$.



P_1 and P_2 are $(n-2)$ -dimensional, so if S_1 and S_2 are the unit sphere of P_1 and P_2 respectively they are both $(n-3)$ -dimensional. There is a 'resolution function' $r : S_1 \times S_2 \rightarrow \mathcal{K}_{n,1}$ given by perturbing f near the double points via a bump-function prescribed by $(v_1, v_2) \in S_1 \times S_2$. We claim r is a generator of $H_{2n-6}(\mathcal{K}_{n,1}; \mathbb{Z})$.

To verify that r generates $H_{2n-6}(\mathcal{K}_{n,1}; \mathbb{Z})$ one could work back through the computations of Turchin and Vassiliev [78, 81]. We supply an alternative 'geometric' argument which is inspired by the work [11]. The idea is to construct an integral co-homology class $\nu_2 \in H^{2n-6}(\mathcal{K}_{n,1}; \mathbb{Z})$ such that if $x \in H_{2n-6}(\mathcal{K}_{n,1}; \mathbb{Z})$ is suitably represented by a manifold then $\nu_2(x)$ is a signed count of the number of alternating quadriscants along the family of long knots x .

Definition 5.7 Given two points $x, y \in \mathbb{R}^n$ let $[x, y]$ denote the oriented line segment in \mathbb{R}^n , starting at x and ending at y . An alternating quadriscant in $C_4(\mathbb{R}^n)$ is a point $(x_1, x_2, x_3, x_4) \in C_4(\mathbb{R}^n)$ such that $[x_1, x_4] \subset [x_3, x_2]$ as an oriented subinterval. We are using the notation $C_k M = \{x \in M^k : x_i \neq x_j \forall i \neq j\}$.

Let $AQ_n \subset C_4(\mathbb{R}^n)$ denote the set of all alternating quadriscants. Let $C'_4(\mathbb{R}) = \{t \in C_4(\mathbb{R}) : t_1 < t_2 < t_3 < t_4\}$. Given $f \in \mathcal{K}_{n,1}$ let $AQ_n(f) \subset C'_4(\mathbb{R})$ denote the pull-back of AQ_n . More generally, if $f : X \rightarrow \mathcal{K}_{n,1}$ define $AQ_n(f) \subset X \times C'_4(\mathbb{R})$ as the pull-back of AQ_n .

Given an closed, oriented $(2n - 6)$ -dimensional manifold X and a map $f : X \rightarrow \mathcal{K}_{n,1}$ such that $f_* : X \times C'_4(\mathbb{R}) \rightarrow C_4(\mathbb{R}^n)$ is transverse to AQ_n , $AQ_n(f) \subset X \times C_4(\mathbb{R})$ is a 0-dimensional submanifold with oriented normal bundle. A well-defined integer invariant of f , $\nu_2(f) \in \mathbb{Z}$ would then be given by the difference of the number of positively oriented points vs. the number of negatively oriented points. The sign of each point of $AQ_n(f)$ could be computed by a formula analogous to the one in Proposition 6.2 of [11].

In the next two lemmas, we prove that every $f : X \rightarrow \mathcal{K}_{n,1}$ is approximated by \tilde{f} such that \tilde{f}_* is transverse to AQ_n , thus $\nu_2(f)$ is well-defined.

Lemma 5.8 *Given $f \in \mathcal{K}_{n,1}$, let $Nf = \{(t, v) : t \in \mathbb{R}, v \in \mathbb{R}^n, v \perp \text{img}(f'(t))\}$ be the normal bundle of f , and let $N_\epsilon f \subset Nf$ the disc-bundle of radius ϵ . Let $\text{exp}_{(\epsilon, f)} : N_\epsilon f \rightarrow \mathbb{R}^n$ be the exponential map $(t, v) \mapsto f(t) + v$. Let $\Gamma : \mathcal{K}_{n,1} \rightarrow (0, \infty]$ be the exponential radius function, $\Gamma(f) = \sup\{\epsilon : \text{exp}_{(\epsilon, f)} \text{ is an embedding}\}$. We claim Γ is continuous.*

Proof Given $f \in \mathcal{K}_{n,1}$ with $\Gamma(f)$ finite, at least one of the two following statements are true.

- (1) The derivative of $\text{exp}_{(\Gamma(f), f)}$ has a critical point on its boundary. These are called focal-points of f (see [58] §6) and are known to occur at distances $1/\kappa_{f(t)}$ from $f(t)$ where $\kappa_{f(t)}$ is the curvature of f at t .
- (2) There are points $(t_1, v_1), (t_2, v_2) \in N_{\Gamma(f)}f$ with $t_1 < t_2$ such that $f(t_1) + v_1 = f(t_2) + v_2$ with $\Gamma(f) = |v_1| = |v_2|$.

In case (1), $\min_{t \in \mathbb{R}}\{1/\kappa_{f(t)}\}$ is a continuous function of $f \in \mathcal{K}_{n,1}$ since κ only depends on the 1st and 2nd derivatives of f .

All functions f that satisfy case (2) have a neighbourhood that also satisfy case (2). To see this, make the definition $\xi_{n,i} = \{(L, v) : L \in G_{n,i} \text{ and } v \in L^\perp\}$ here $G_{n,i}$ is the Grassman manifold of i -dimensional subspaces of \mathbb{R}^n . We think of $\xi_{n,i}$ as the space of affine i -dimensional subspaces of \mathbb{R}^n , since one obtains every i -dimensional subspace uniquely as a sum $L + v$. Intersection defines a continuous function $C_2(\xi_{n,n-1}) \rightarrow \dot{\xi}_{n,n-2}$ where $\dot{\xi}_{n,n-2}$ is the one-point compactification of $\xi_{n,n-2}$. \square

Given $f : X \rightarrow \mathcal{K}_{n,1}$ with X compact, we call $\min\{\Gamma(f(x)) : x \in X\}$ the exponential radius of f .

Lemma 5.9 *Every $x \in H_{2n-6}(\mathcal{K}_{n,1}; \mathbb{Z})$ represented by a manifold $f : M \rightarrow \mathcal{K}_{n,1}$ can be perturbed so that f_* is transverse to AQ_n . Thus ν_2 is a well-defined element of $H^{2n-6}(\mathcal{K}_{n,1}; \mathbb{Z})$.*

Proof Let R be the exponential radius of f . Let $b_\epsilon : \mathbb{R} \rightarrow \mathbb{R}$ be a C^∞ -smooth function such that $b_\epsilon(x) = 0$ for all $|x| \geq \epsilon$, $b_\epsilon(x) > 0$ for all $x \in (-\epsilon, \epsilon)$, and $b(0) = 1$. Let $b_{\epsilon,t}(x) = b_\epsilon(x - t)$.

Partition the interval \mathbf{I} into m equal-length sub-intervals I_1, \dots, I_m such that for every $x \in X$, the convex hull of $f(x)(I_j)$ is contained in $\nu_{R/2}f(x)$. We can do this because there is a uniform upper bound on $|f(x)'(t)|$ for all $x \in X$, $t \in \mathbb{R}$, and let $\epsilon = 2/m$. Consider the function $\tilde{f} : X \times (\mathbb{R}^n)^k \times \mathbb{R} \rightarrow \mathbb{R}^n$ given by $\tilde{f}(x, v_1, \dots, v_k, t) = f(t) + \sum_{i=1}^k b_{\epsilon, t_i}(t)v_i$. In some neighbourhood U of 0 in $(\mathbb{R}^n)^k$, the restriction of \tilde{f} to $X \times U \times \mathbb{R}$ is adjoint to a map $\tilde{f} : X \times U \rightarrow \mathcal{K}_{n,1}$, this is because embeddings form an open subset of the space of all ‘long’ smooth maps from \mathbb{R}

to \mathbb{R}^n [35]. Consider a point (x, T) of $AQ_n(\bar{f})$. Let $T = (t_1, t_2, t_3, t_4)$. For each i , t_i and t_{i+1} cannot both be elements of some common I_j .

Thus $\bar{f}_* : X \times U \times C'_4(\mathbb{R}) \rightarrow C_4(\mathbb{R}^n)$ is transverse to AQ_n . By the Transversality Theorem [26], f can be approximated by a map $X \rightarrow \mathcal{K}_{n,1}$ such that the induced map $X \times C_4(\mathbb{R}) \rightarrow C_4(\mathbb{R}^n)$ is transverse to AQ_n . \square

Proposition 5.10 $\nu_2(r) = \pm 1$, thus $H_{2n-6}(\mathcal{K}_{n,1}; \mathbb{Z})$ is generated by r .

Proof In our picture of the ‘immersed trefoil’ $f : \mathbb{R} \rightarrow \mathbb{R}^3 \subset \mathbb{R}^n$ there are no quadriseccants, excepting the ‘degenerate’ one consisting of the double-points. Thus, only one point of the image of r contains a quadriseccant – the one which is an embedded trefoil in \mathbb{R}^3 . \square

Since $\mathcal{K}_{n,1}$ is $(2n-7)$ -connected, by the Hurewicz Theorem $\pi_{2n-6}\mathcal{K}_{n,1} \simeq \mathbb{Z}$ is generated by any map $\tilde{r} : S^{2n-6} \rightarrow \mathcal{K}_{n,1}$ homologous to r . Attachment of an $(n-3)$ -handle to $S_1 \times S_2 \times [0, 1]$ along $S_1 \times \{*\} \times \{1\}$ gives a cobordism between $S_1 \times S_2$ and S^{2n-6} . Since $r|_{S_1 \times \{*\}}$ is null, r extends over the cobordism. Let \tilde{r} be the restriction of this cobordism to S^{2n-6} .

6 Survey

So far, much of this paper has been devoted to studying the map $\text{gr}_1 : \Omega\mathcal{K}_{n,j} \rightarrow \mathcal{K}_{n+1,j+1}$. As we have seen, these maps fit into the pseudoisotopy formalism in a rather natural way. We mention other natural maps between the spaces $\mathcal{K}_{n,j}$ and some of their basic properties.

Proposition 6.1 *The natural inclusion $\mathbb{R}^n \rightarrow \mathbb{R}^{n+1}$ induces an inclusion $i : \mathcal{K}_{n,1} \rightarrow \mathcal{K}_{n+1,1}$. There exists a map $\tilde{i} : \mathcal{K}_{n,1} \rightarrow \Omega\mathcal{K}_{n+1,1}$ and a commutative diagram*

$$\begin{array}{ccc} \mathcal{K}_{n,1} & \xrightarrow{i} & \mathcal{K}_{n+1,1} \\ & \searrow \tilde{i} & \nearrow p \\ & \Omega\mathcal{K}_{n+1,1} & \end{array}$$

where $p : \Omega\mathcal{K}_{n+1,1} \rightarrow \mathcal{K}_{n+1,1}$ is an evaluation map, meaning that if $f \in \Omega\mathcal{K}_{n+1,1}$, then $p(f) = f(0)$. p is null-homotopic, thus i is null-homotopic.

Proof Let $b_t : \mathbb{R} \rightarrow \mathbb{R}$ be a C^∞ -smooth function satisfying $\text{supp}(b_t) \subset [t-1, t+1]$, with $b_t(x) \geq 0$ for all $x \in \mathbb{R}$, and $b'_t(x) = 0$ precisely when $x \in (\mathbb{R} \setminus (t-1, t+1)) \cup \{t\}$. Let $B_t(x) = (0, 0, \dots, 0, b_t(x)) \in \mathbb{R}^{n+1}$.

Given $f \in \mathcal{K}_{n,1}$, consider the function $F : \mathbf{I} \times [0, 1] \times [0, 1] \times \mathbb{R} \rightarrow \mathbb{R}^{n+1}$ defined as

$$F(t, a, c, x) = a(i(f)(x)) + c(B_t(x))$$

we define

$$\tilde{i}(f)(x) = \begin{cases} \frac{1}{2}F(1, 1, 3t, 2x) & 0 \leq t \leq \frac{1}{3} \\ \frac{1}{2}F(1, 2-3t, 1, 2x) & \frac{1}{3} \leq t \leq \frac{2}{3} \\ \frac{1}{2}F(1, 0, 3-3t, 2x) & \frac{2}{3} \leq t \leq 1 \\ \frac{1}{2}F(-1, 1, -3t, 2x) & \frac{-1}{3} \leq t \leq 0 \\ \frac{1}{2}F(-1, 2+3t, 1, 2x) & \frac{-2}{3} \leq t \leq \frac{-1}{3} \\ \frac{1}{2}F(-1, 0, 3+3t, 2x) & -1 \leq t \leq \frac{-2}{3} \end{cases}$$

\square

At present it is not known if $\tilde{i} : \mathcal{K}_{n,1} \rightarrow \Omega\mathcal{K}_{n+1,1}$ is null-homotopic. The adjoint of \tilde{i} , $\Sigma\mathcal{K}_{n,1} \rightarrow \mathcal{K}_{n+1,1}$ is the direct-analogue of the ‘Freudenthal suspension map for configuration spaces’ [17] $\Sigma C_k\mathbb{R}^n \rightarrow C_k\mathbb{R}^{n+1}$ which is known to induce an isomorphism on the 1st non-trivial homology groups provided $n > 1$, thus one might expect \tilde{i} to be non-trivial. But $\Sigma\mathcal{K}_{n,1}$ and $\mathcal{K}_{n+1,1}$ do not have isomorphic first homology groups, $\Sigma^2\mathcal{K}_{n,1}$ and $\mathcal{K}_{n+1,1}$ do. Thus one might suspect that there are two distinct null-homotopies of \tilde{i} that give an essential map $\mathcal{K}_{n,1} \rightarrow \Omega^2\mathcal{K}_{n+1,1}$. It is not immediately clear how to construct such a map, if one exists.

There are null-homotopies of the inclusions $\mathcal{K}_{n,j} \rightarrow \mathcal{K}_{n+j,j}$ for all $j > 0$ defined analogously.

Question 6.2 • For each n and j , what is the smallest i such that $\mathcal{K}_{n,j} \rightarrow \mathcal{K}_{n+i,j}$ is null-homotopic?

- Is there a ‘Freudenthal suspension map’ $\Sigma^2\mathcal{K}_{n,j} \rightarrow \mathcal{K}_{n+1,j}$ provided $2n - 3j - 3 \geq 0$? or $j = 1$?

Another natural map relating the spaces $\mathcal{K}_{n,j}$ has the form $R : \mathcal{K}_{n,j} \rightarrow \Omega\mathcal{K}_{n,j-1}$. Given $f \in \mathcal{K}_{n,j}$, let $\tilde{f} \in \Omega\mathcal{K}_{n,j-1}$ is given by $\tilde{f}(t)(t_1, \dots, t_{j-1}) = f(t_1, \dots, t_{j-1}, t) - (0, \dots, 0, t)$. Clearly if $2n - 3j - 3 \geq 0$ this map is exactly $(2n - 3j - 3)$ -connected. Analogous maps in pseudoisotopy theory have been studied using the Morlet Disjunction Lemma (see for example [20]), but this map does not appear to be studied in any depth. Notice the relation $R \circ \text{gr}_1 = \Omega i$.

Proposition 6.3 There is a homotopy-equivalence $\mathcal{K}_{n,n} \rightarrow \Omega\mathcal{K}_{n,n-1}$.

Proof There are homotopy-equivalences $\mathcal{K}_{n,n} \simeq \text{EC}(n, *)$ and $\mathcal{K}_{n,n-1} \simeq \text{EC}(n-1, \mathbf{I})$ given by the fibrations in Proposition 2.3. Restriction to $\mathbb{R}^{n-1} \times \mathbf{I}$ gives a map $\text{EC}(n, *) \rightarrow \text{EC}(n-1, \mathbf{I})$ which is homotopic to a fibration, whose fibre is $\text{EC}(n, *)^2$. The fibre-inclusion map $\text{EC}(n, *)^2 \rightarrow \text{EC}(n, *)$ is homotopic to the composition operation (the homotopy being given by the $(n+1)$ -cubes action on $\text{EC}(n, *)$), so the homotopy fibre of $\text{EC}(n, *)^2 \rightarrow \text{EC}(n, *)$ is $\text{EC}(n, *)$, but by Proposition 2.2, this is also $\Omega\text{EC}(n-1, \mathbf{I})$. \square

Whether or not $\mathcal{K}_{n,n-1}$ is path-connected is called the smooth Schoenflies problem in dimension n . $\mathcal{K}_{n,n-1}$ is known to be connected for all n except perhaps $n = 4$. For $n = 2$ this is the classical smooth Schoenflies theorem (see for example [2], [70] is a good reference for all things closely related to the classical Schoenflies theorem). For $n = 3$ this is Alexander’s theorem [2]. For $n \geq 5$ it follows from the affirmative solution to the topological Schoenflies theorem [53, 7, 8] plus the uniqueness of smooth structures on a disc [74, 43]. Scharlemann [69] has some partial results in dimension 4 but progress has been very slow in this realm.

A metric g on S^n is said to be round if it has constant sectional curvature, or equivalently, if the isometry group of (S^n, g) acts transitively on the bundle of oriented orthonormal frames of S^n . Let \mathbb{M}^n denote the space of round Riemann metrics on S^n .

Proposition 6.4 \mathbb{M}^n has the same homotopy-type as $\mathcal{K}_{n,n}$.

Proof \mathbb{M}^n is a $\text{Diff}^+(S^n)$ -homogeneous space, where the isotropy subgroup is SO_{n+1} . Proposition 2.1 says $\mathcal{K}_{n,n} \simeq \text{Diff}^+(S^n)/\text{SO}_{n+1}$. \square

Smale [73] and Hatcher [30] have proved that $\mathcal{K}_{n,n}$ is contractible for $n = 2$ and $n = 3$ respectively. That $\mathcal{K}_{1,1}$ is contractible follows from an averaging argument, or equivalently from the ‘length’ classification of connected closed 1-dimensional Riemann manifolds via Proposition 6.4.

In general, $\mathcal{K}_{n,n}$ is an $(n+1)$ -fold loop space [9, 59, 14] whose $(n+1)$ -fold delooping is $PL(n)/O_n$ [14, 59]. As of yet, there have been no direct descriptions of the homotopy-type of $PL(n)/O_n$, and essentially nothing seems to be known about $\mathcal{K}_{4,4}$.

Farrell and Hsiang computed the rational homotopy of $\mathcal{K}_{n,n}$ in a range.

Theorem 6.5 [18] *Provided $0 \leq i < \min\{\frac{n-4}{3}, \frac{n-7}{2}\}$*

$$\pi_i \mathcal{K}_{n,n} \otimes \mathbb{Q} \simeq \begin{cases} \mathbb{Q} & 4|i+1 \\ 0 & \text{otherwise} \end{cases}$$

The reason for the bound $i < \min\{\frac{n-4}{3}, \frac{n-7}{2}\}$ is that this is Igusa’s stable range [37]. Roughly this is where $\pi_i \mathcal{P}_{n,n}$ can be related to K-theory.

Antonelli, Burghlea and Kahn [4, 5] have shown that $H_* \mathcal{K}_{n,n}$ is not finitely-generated for $n \geq 7$.

The spaces $\mathcal{K}_{j+2,j}$ are in the realm of ‘traditional’ co-dimension 2 knot theory, on which there is a plethora of literature. The majority of the literature focuses on $\pi_0 \mathcal{K}_{j+2,j}$ in that isotopy classes of knots are the fundamental objects. Some good general references are Kawachi [41], Hillman [34] and Ranicki [64]. Not much is known about the homotopy-type of the components of $\mathcal{K}_{j+2,j}$ for $j > 1$.

Question 6.6 *Let $f \in \mathcal{K}_{j+2,j}$ be a connect-sum of two non-trivial knots. The action of the operad of $(j+1)$ -cubes on $\mathcal{K}_{j+2,j}$ gives a map $S^j \rightarrow \mathcal{K}_{j+2,j}(f)$. Is this map essential? Is $\mathcal{K}_{j+2,j}$ free as an object over the operad of $(j+1)$ -cubes?*

The homotopy type of $\mathcal{K}_{3,1}$ has been worked out in the papers of Budney [10, 9] building on the work of Hatcher [29, 31, 32], with [10] being a good reference. In [9] it was shown that Question 6.6 has an affirmative answer. Moreover, $\mathcal{K}_{3,1} \simeq \mathcal{C}_2(\mathcal{P} \sqcup \{*\})$, where $\mathcal{P} \subset \mathcal{K}_{3,1}$ is the subspace of long knots which are prime. This is a space-level analogue of Schubert’s connect-sum decomposition of knots [67]. The homotopy-type of \mathcal{P} was worked out in [10]. The description turns out to be recursive, in terms of an indexing of the components of $\mathcal{K}_{3,1}$ by a collection of vertex-labelled trees in [13]. One peculiarity of the homotopy-type of $\mathcal{K}_{3,1}$ is there is a ‘fractal-like’ \mathcal{C}_2 -structure, in the sense that there is a map $\mathcal{K}_{3,1} \times S^1 \rightarrow \mathcal{P}$ which is a homotopy-equivalence onto a subspace of components of \mathcal{P} .

There have been several computations of $\pi_0 \mathcal{K}_{n,j}$. From Proposition 5.6, the first non-trivial homotopy-group of $\mathcal{K}_{n,j}$ is in dimension $2n - 3j - 3$, thus $\pi_0 \mathcal{K}_{n,j} = 0$ for $2n - 3j - 3 > 0$.

Along the $2n - 3j - 3 = 0$ line there is $\pi_0 \mathcal{K}_{3,1}$ which is the free commutative monoid on $\pi_0 \mathcal{P}$ [67]. Provided $j > 1$, there are Haefliger’s computations [28]:

$$\pi_0 \mathcal{K}_{n,j} \simeq \begin{cases} \mathbb{Z} & j \equiv 3 \pmod{4} \\ \mathbb{Z}_2 & j \equiv 1 \pmod{4} \end{cases}$$

The generator being given by Haefliger’s Borromean rings construction [27]. This generator is also the image of r via the graphing construction $\text{gr}_{2d-2} : \pi_{2d-2} \mathcal{K}_{d+2,1} \rightarrow \pi_0 \mathcal{K}_{n,j}$ (see Propositions 5.6, 5.10) where $n = 3d, j = 2d - 1$.

The work of Haefliger [28], Milgram [55], Kreck and Skopenkov [44] gives $\pi_0\mathcal{K}_{n,j}$ along the $2n - 3j - 3 = -1$ line, provided $n - j > 2$. Their computations are:

$$\pi_0\mathcal{K}_{n,j} \simeq \begin{cases} 0 & j \equiv 2 \pmod{4} \\ \mathbb{Z}_4 & j \equiv 4 \pmod{8} \\ \mathbb{Z}_2 \oplus \mathbb{Z}_2 & j \equiv 0 \pmod{8} \end{cases}$$

The technique of Haefliger [28] involves two main steps. The first step is the construction of an isomorphism $\pi_0\mathcal{K}_{n,j} \simeq C_j^{n-j}$ where C_j^{n-j} is the group of concordance classes of embeddings of S^j in S^n . This step is formally analogous to our Proposition 3.1. Using a Thom-type construction, Haefliger constructs an isomorphism between C_j^{n-j} and a multi-relative homotopy group $C_j^n \simeq \pi_{j+1}(G; SO, G_{n-j})$ where $SO = \varinjlim (SO_1 \rightarrow SO_2 \rightarrow SO_3 \rightarrow \dots)$ is the stable special-orthogonal group, G_n is the space of degree 1 maps $S^{n-1} \rightarrow S^{n-1}$, and G is the stable analogous $G = \varinjlim (G_1 \rightarrow G_2 \rightarrow G_3 \rightarrow \dots)$. This reduces the computation of $\pi_0\mathcal{K}_{n,j}$ to rather traditional problems common to surgery theory [64]: homotopy groups of spheres and orthogonal groups.

The recent work of Takase [76] proves that any embedding of $S^{4k-1} \rightarrow S^{6k}$ can be extended to an embedding of $(S^{2k} \times S^{2k}) \setminus D^{4k} \rightarrow S^{6k}$. Takase gives a rather explicit formula for determining the isotopy class of an element of $\text{Emb}(S^{4k-1}, S^{6k})$ that simplifies Haefliger's characteristic class computations [27].

The work of Volic, Lambrechts and Turchin [45] gives the homology of $H_*(\mathcal{K}_{n,1}; \mathbb{Q})$ for $n \geq 4$ as the homology of a DGA by showing the collapse of the Vassiliev spectral sequence. Turchin has found a Poisson Algebra structure for this DGA [77, 78], which motivated the author's construction of the 2-cubes action on $\mathcal{K}_{3,1}$. At present the exact relationship with the induced Poisson algebra structure coming from the 2-cubes action on $\mathcal{K}_{n,1}$ given by Salvatore [65] is not known. Nor has the relationship between Salvatore's 2-cubes action on $\text{EC}(1, D^n)$ and the author's [9] been worked-out.

One would assume that constructions having the flavour of Mostovoy's [60] or something like Anderson and Hsiang's 'bounded embedding spaces' [3] could give suitable good geometric models for the iterated classifying-spaces $B^j\mathcal{K}_{n,j}$ that could relate to the two theorems:

- (1) $B\mathcal{K}_{3,1} \simeq \Omega\Sigma^2(\mathcal{P} \sqcup \{*\})$ [9].
- (2) $B^n\mathcal{K}_{n,n} \simeq \Omega(PL(n)/O_n)$ [14, 59].

To be a little less vague, a 'good geometric model' would mean the construction of a space $X_{n,j}$ homotopy-equivalent to $B^j\mathcal{K}_{n,j}$ which is either naturally a subspace of the space embeddings of D^j in D^n or \mathbb{R}^j in \mathbb{R}^n respectively. Ideally, $X_{n,j}$ would be closely related to Salvatore's construction of the iterated classifying space [66].

It would be useful to give a new proof of the Morlet Comparison Theorem $\mathcal{K}_{n,n} \simeq \Omega^{n+1}(PL(n)/O_n)$ that uses the 'innate' \mathcal{C}_{n+1} -action on $\mathcal{K}_{n,n} = \text{EC}(n, *)$ given in Theorem 4.4. A sufficiently clear proof would perhaps inform on how to construct geometric models for all the spaces $B^{j+1}\text{EC}(j, D^n)$.

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