

Interfacing MHD Single Fluid and Kinetic Exospheric Solar Wind Models and Comparing Their Energetics

Sofia-Paraskevi Moschou^{1,2,3} ·
Viviane Pierrard^{2,4} · Rony Keppens¹ ·
Jens Pomoell⁵

© Springer ●●●

Abstract

An exospheric kinetic solar wind model is interfaced with an observation-driven single fluid magnetohydrodynamic (MHD) model. Initially, a photospheric magnetogram serves as observational input in the fluid approach to extrapolate the heliospheric magnetic field. Then semi-empirical coronal models are used for estimating the plasma characteristics up to a heliocentric distance of 0.1AU. From there on a full MHD model which computes the three-dimensional time-dependent evolution of the solar wind macroscopic variables up to the orbit of the Earth is used. After interfacing the density and velocity at the inner MHD boundary, we compare with the results of a kinetic exospheric solar wind model based on the assumption of Maxwell and Kappa velocity distribution functions for protons and electrons respectively, as well as with *in situ* observations at 1AU. This provides insight on more physically detailed processes, such as coronal heating and solar wind acceleration, that naturally arise by inclusion of suprathermal electrons in the model. We are interested in the profile of the solar wind speed and density at 1AU, in characterizing the slow and fast source regions of the wind and in comparing MHD with exospheric models in similar conditions. We calculate the energetics of both models from low to high heliocentric distances.

✉ S.P. Moschou
sofia.moschou@cfa.harvard.edu

- ¹ Centre for mathematical Plasma Astrophysics, Department of Mathematics, KU Leuven, Celestijnenlaan 200B, 3001 Heverlee, Belgium
- ² Royal Belgian Institute for Space Aeronomy, 3 Avenue Circulaire, B-1180 Brussels, Belgium
- ³ Harvard-Smithsonian Center for Astrophysics, 60 Garden Street, Cambridge MA 02138, USA
- ⁴ Université Catholique de Louvain, B-1348 Louvain-La-Neuve, Belgium
- ⁵ Department of Physics, University of Helsinki, Gustaf Hällströmin katu 2a, 00560 Helsinki, Finland

Keywords: Solar wind, Dynamics; Magnetic fields; Plasma, MHD, kinetic

1. Introduction

Solar wind heating and acceleration mechanisms are still subjects of active research. In computational models, physical quantities estimated or observationally inferred close to the Sun serve as boundary or initial conditions that will examine the solar wind evolution and its underlying physics as it propagates through interplanetary space. The solar wind plasma can be studied macroscopically through the magnetohydrodynamic (MHD) approach or microscopically when using the kinetic approach. In the following Subsections 1.1 and 1.2, we briefly review the main aspects of both approaches used in this paper, which are here for the first time interfaced in a global model.

1.1. MHD Heliospheric Modeling

Wang and Sheeley (1990) presented an empirical relation between the expansion of magnetic flux tubes and the solar wind speed, showing that they evolve inversely proportional to each other. This assumption was tested using more than two decades of observations, and can give predictions of the solar wind speed at Earth. The model involves synoptic magnetograms of photospheric field, which allow a Potential Field Source Surface (PFSS, with the source surface typically at $2.5R_{\odot}$) extrapolation which quantifies the expansion factors. It was found that at the Earth's orbit, greater expansion corresponded to magnetic field lines near the centre of coronal holes, which diverge more slowly than the ones coming from the hole boundaries. This is consistent with the fact that lower densities are found in the fast wind regions. Arge and Pizzo (2000) improved the Wang-Sheeley model by using daily updated magnetogram data from the Wilcox Solar Observatory (WSO) and relating the magnetic flux tube expansion factor with the solar wind speed at the source surface, while including effects of stream interactions from the source surface to the Earth. A statistical study which covered three years and compared the Wang-Sheeley model predictions with data from the *Wind*¹ satellite was presented. The interplanetary magnetic field (IMF) polarity was properly predicted 75% of the time, while solar wind speeds were within 10-15% of actual values, when a 6-month period with data gaps was removed.

In the computational work of Odstrčil and Pizzo (1999), solar wind variations were examined in the corotating frame with a three-dimensional MHD model with a CME (Coronal Mass Ejection) injection scheme in the streamer belt. Such MHD models take into account magnetic field variations due to the CME interaction with the solar wind during the CME's evolution. The CME movement depends on the background solar wind density and velocity and the vector properties of the solar wind magnetic field and velocity are affected by the passing disturbance. ENLIL (Odstrčil and Pizzo, 1999a,b; Odstrčil, 2003)

¹NASA spacecraft at the L₁ Lagrangian point of the Earth designed for long-term solar wind measurements and its effects on the terrestrial magnetosphere (<https://wind.nasa.gov>).

is a heliospheric MHD model that provides a three-dimensional description of the time-dependent solar wind evolution. It can use the Wang-Sheeley-Arge (WSA) (Wang and Sheeley, 1990; Arge and Pizzo, 2000) semi-empirical model as its boundary condition. The WSA model was used by McGregor *et al.* (2011) to study the solar wind at low heliocentric distances including an empirical method to link the magnetic field information with the velocity at $21.5R_{\odot}$. The new method was cross-validated using the 3D MHD code ENLIL and by comparing the results with observations at 1AU and at further distances as provided by *Ulysses*. The estimation of the solar wind speed at $21.5R_{\odot}$ was indeed better than previous models and it captured both fast and slow solar wind.

Similar to ENLIL, we use a fully 3D MHD code EUHFORIA (European heliospheric forecasting information asset) that from 0.1AU onwards models the evolution of the plasma environment in the inner heliosphere. The code details are discussed in Pomoell and Poedts (2017) and in this paper we adopt it to get the macroscopic description of the solar wind.

1.2. Kinetic Exospheric Models

Exospheric kinetic models are simplified collisionless, stationary models, that are meant to explain the acceleration of the solar wind in a self-consistent way and they were first established by Jockers (1970) and Lemaire and Scherer (1971). The model was one-dimensional and time-independent and provided the state of the solar wind plasma along a magnetic field line. The acceleration of the solar wind was due to the induced electric field even without suprathermal electrons (Maxwellian distribution), but when accounting for the presence of suprathermal electrons, the terminal speed at 1AU increased.

The original exospheric model (Jockers, 1970; Lemaire and Scherer, 1971) assumed Maxwellian velocity distribution functions (VDFs) for protons and electrons and supersonic winds of 300 km s^{-1} could be reached at 1AU with temperatures of the order of 1 MK for both species at the exobase (the distance beyond which collisions become negligible). Nevertheless, it remained difficult for the model to achieve higher bulk velocities, such as the ones observed in the fast solar wind, without increasing the temperature to unrealistic high values (10MK) at the exobase, or by adding other sources of solar wind acceleration. After the induced electric field is calculated, the solar wind acceleration spontaneously follows giving the solution of the solar wind from sub- to supersonic, without any extra energy terms assumed.

A Lorentzian (Kappa) velocity distribution function is used instead of the classic Maxwellian in search for better agreement with observations in Pierrard and Lemaire (1996). Indeed, suprathermal electrons are generally observed in the velocity distribution functions measured *in situ* in the solar wind. Pierrard and Lemaire (1996) have shown that the presence of such suprathermal electrons accelerates the wind to higher bulk velocities, so that no other source of energy needs to be considered to reach the values observed in the high speed solar wind.

Maksimovic, Pierrard, and Lemaire (1997) applied the kinetic model developed by Pierrard and Lemaire (1996) using Kappa VDFs for both electron and proton populations that escape from the Sun to describe the solar wind.

Since the first exospheric model, the semi-analytic kinetic model has been able to describe not only the fast but also the slow solar wind together with their sources, in the cold coronal hole and hot equatorial regions respectively, without unrealistic assumptions of too high temperatures and extra heating in the corona, as required by non-turbulence driven fluid models.

While previous exospheric models placed the exobase at a distance of about 5-10 R_{\odot} , from where on the proton total potential energy was a monotonic function of the heliocentric distance, Lamy *et al.* (2003) calculated the exobase to be positioned at about 1.1-5 R_{\odot} . This deeper location of the exobase, lowered under the radial location of the maximum of the total potential energy of the protons, gives the solar wind the observed acceleration to high velocities. A low exobase leads indeed to higher bulk velocities at 1AU in the case of suprathermal electrons.

Collisionless (exospheric) theoretical models and collisional simulations were compared in Zouganelis *et al.* (2005). Including suprathermal tails in the velocity distribution function of the electrons and employing a self-consistently computed heat flux, the models were able to reproduce fast solar wind speeds. Results of collisional kinetic simulations with non-Maxwellian velocity distribution functions and collisionless exospheric models are in good agreement. Taking into account that the exospheric and collisional models provide comparable results, in this paper we will go a step further and try to interface exospheric models with MHD ones.

On the way to developing predictive tools and 3D solar wind models, a 2D observationally driven kinetic exospheric solar wind model was developed by Pierrard and Pieters (2014), presenting solar wind variations on the ecliptic plane and how they compare to observations from close to the Sun up to 1AU. For the ecliptic variational study OMNI² observations were used for the time period 26 September to 23 October 2008. The κ parameter was chosen as 2.35 and 3.82 for fast and slow wind respectively, to match bulk speed observations close to the orbit of the Earth. We will present a three dimensional generalization of this exospheric model, *i.e.* we find the solar wind characteristics along a collection of magnetic field lines each passing through a point on the spherical shell at the exobase level in latitude and longitude (θ, ϕ) .

The basic principles, boundary conditions, physical assumptions and computational methods used by the MHD and the exospheric kinetic models are described in Section 2. The specific criteria and the observational data that are chosen for this work are explained and presented in Section 3. The interfacing method as well as explicit results of both approaches and their energetics are discussed in Section 4, while in Section 5 we compare the two approaches and we close by discussing the main conclusions of the study in Section 6.

²Multi-source data set for the near Earth solar wind of combined and normalized observational data from ACE (*Advanced Composition Explorer*), Wind, IMP 8 (*Interplanetary Monitoring Platform*) and GOES (*Geostationary Operational Environmental Satellite*) satellite missions.

2. Models

2.1. MHD Modeling: EUHFORIA

The inner heliosphere model EUHFORIA (Pomoell and Poedts, 2017) is used for our MHD approach. EUHFORIA is a three-dimensional observationally driven model providing an accurate description of the large-scale time-dependent solar wind including transient events such as CMEs. As such, it allows to inject CMEs at the inner radial boundary at 0.1AU as a time-dependent boundary condition. Apart from CMEs, the variables at the inner radial boundary are constructed in order to capture the large-scale variations in the solar wind for the particular time period under study. This is accomplished using a model for the coronal magnetic field and employing empirical relations between the coronal magnetic topology and the state of the solar wind. The magnetic field model consists of a potential field source surface (PFSS) model in the low corona coupled with a current sheet model higher in the corona. The PFSS model requires a magnetogram to be provided as input. To finally compute the super-sonic state of the solar wind at 0.1AU, empirical relations inspired by the success of the WSA (Wang-Sheeley-Arge) model (Wang and Sheeley, 1990; Arge and Pizzo, 2000) are used.

The MHD model is able to provide density and speed profiles at the Earth's orbit, it allows for slow and fast solar wind source region tracing, and can serve as the MHD counterpart in a comparison project together with kinetic exospheric models that correspond to similar initial and boundary conditions at 0.1AU. This will be our first goal in this paper, and the way the two approaches are coupled will be described next.

2.1.1. MHD Equations, Methods and Schemes

EUHFORIA uses a finite volume discretization scheme to solve the hyperbolic conservative MHD equations. The equations solved are those of ideal MHD with gravity included as a source term in the equations of momentum and energy:

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0, \quad (1)$$

$$\frac{\partial(\rho \mathbf{v})}{\partial t} + \nabla \cdot \left[\rho \mathbf{v} \mathbf{v} + \left(p + \frac{B^2}{2\mu_0} \right) \mathcal{I} - \frac{1}{\mu_0} \mathbf{B} \mathbf{B} \right] = \rho \mathbf{g}, \quad (2)$$

$$\frac{\partial \mathcal{E}}{\partial t} + \nabla \cdot \left[\left(\mathcal{E} + p - \frac{B^2}{2\mu_0} \right) \mathbf{v} + \frac{1}{\mu_0} \mathbf{B} \times (\mathbf{v} \times \mathbf{B}) \right] = \rho \mathbf{v} \cdot \mathbf{g}, \quad (3)$$

$$\frac{\partial \mathbf{B}}{\partial t} - \nabla \times (\mathbf{v} \times \mathbf{B}) = 0, \quad (4)$$

$$\nabla \cdot \mathbf{B} = 0, \quad (5)$$

$$\mathcal{E} = \frac{p}{\gamma - 1} + \frac{\rho v^2}{2} + \frac{B^2}{2\mu_0}, \quad \gamma = 1.5 \quad (6)$$

where ρ is the mass density, \mathbf{v} the velocity vector, \mathbf{g} the gravitational acceleration, \mathbf{B} the magnetic field vector, p the thermal pressure, γ the polytropic index,

\mathcal{E} the total energy density, μ_0 the magnetic permeability and \mathcal{I} the unit tensor. Note that we are working in the inertial frame, so no Coriolis nor centrifugal forces need to be added in Equation 2. The polytropic index is chosen to be slightly smaller than the expected $\gamma = 5/3$ value for a monatomic gas. This causes a finite energy to be injected into the system in the form of heat (see *e.g.* Pomoell and Vainio, 2012, and references therein). The use of either a non-adiabatic polytropic index or explicit source terms in the momentum and energy equation to drive the solar wind and heat the corona have been used in several works. In EUHFORIA, the reduced polytropic index is used in order to slightly accelerate the solar wind further out in the heliosphere, from the speed values at the boundary at 0.1AU. The single fluid MHD description still leaves freedom to vary γ , which allows to account for expected deviations from the monatomic ideal gas value of 5/3. For a discussion of more self-consistent models that attempt to capture and explain the physical mechanisms resulting in the observed coronal heating and acceleration, we refer to Cranmer (2012).

The employed numerical grid is uniform in spherical coordinates, with the number of cells in r , θ , ϕ chosen to be 800, 60, 180, respectively. The outer boundary is set at 2AU. Further details of the numerical solution scheme are described in Pomoell and Poedts (2017).

2.1.2. Boundary Conditions

The essential input to EUHFORIA is a synoptic magnetogram. We select a magnetogram from the GONG (Global Oscillation Network Group) standard synoptic data product, which are available with one hour cadence from GONG. The chosen magnetogram corresponds closely to Carrington Rotation (CR) 2059. During this Carrington rotation, an equatorial coronal hole was visible near the central meridian to about 60° degrees west. The solar wind plasma state at 0.1AU in EUHFORIA is determined using a semi-empirical approach similar to the Wang-Sheeley-Argge model. The method consists of constructing a model of the coronal magnetic field consisting of a PFSS extrapolation in the low corona while the "Schatten" Current Sheet is used from $2.5R_\odot$ to 0.1AU. The solar wind speed is then given through an empirical relation which is a function of the magnetic flux tube expansion factor. The formula used in this work is given by

$$V(f_s) = 240.0 + 675.0(1 + f_s)^{-0.22} \text{km s}^{-1}, \quad (7)$$

where f_s is given by

$$f_s = \left(\frac{R_\odot}{r} \right)^2 \frac{B_r(R_\odot, \theta_0, \phi_0)}{B_r(r, \theta, \phi)} \quad (8)$$

and it quantifies the expansion factor of the flux tube from the photospheric footpoint $(R_\odot, \theta_0, \phi_0)$ of the specific field line to its position further outwards (r, θ, ϕ) at a heliocentric distance r (Wang *et al.*, 1997). As explained in Wang *et al.* (1997), the expansion factor takes values greater or equal to unity for flux divergence more rapid than or equal to r^2 , respectively. Simple scaling laws that are functions of V are used in order to determine the plasma density and

temperature. For further details of the empirical model, see Pomoell and Poedts (2017).

2.2. Kinetic Exospheric Model

For the kinetic component of our analysis, we are using an exospheric model, which is a way to simulate low density plasmas, where the importance of collisions is limited. The solar atmosphere is considered to have a collision-dominated barosphere at low altitude (below $1.1-10R_{\odot}$ according to Lamy *et al.*, 2003) and a collisionless exosphere, which is the region modeled kinetically. These regions are separated by a surface called the exobase r_0 , beyond which collisions become negligible. This exobase level is defined as the altitude where the particle mean free path l_f and the local density scale height H become equal, *i.e.* where the dimensionless Knudsen number $K_n = l_f/H$ is equal to unity. The kinetic model³ (Lemaire and Scherer, 1971; Pierrard and Lemaire, 1996; Maksimovic, Pierrard, and Lemaire, 1997; Lamy *et al.*, 2003) gives different temperatures for electrons and protons as indeed observed (Lemaire and Pierrard, 2001) and can include different characteristics of any other ion species.

Sources of the fast solar wind are considered to be coronal holes and in these regions the electron VDFs are assumed to correspond to a Lorentzian function with a small κ -value and thus have a large suprathermal tail (Maksimovic, Pierrard, and Lemaire, 1997). The low speed solar wind usually comes from equatorial regions, with larger κ -values. When $\kappa \rightarrow \infty$ the VDF tends to a Maxwellian. In this work, we consider two particle species, namely electrons and protons and therefore their respective exobase levels need to be defined. The proton exobase is located where the Coulomb mean free path for the protons $l_{f,p}$ according to Spitzer (1962) as estimated for coronal values by Maksimovic, Pierrard, and Lemaire (1997) is equal to the local density scale height H , where

$$l_{f,p} \approx 7.2 \times 10^7 \frac{T_p^2}{n_e}, \quad H = \left(-\frac{d \ln n_e}{dr} \right)^{-1}, \quad (9)$$

where all the quantities are in SI. The proton mean free path is shown in Figure 1 as a function of the proton temperature and the electron number density. For the electrons, a similar electron exobase height can be estimated from the Coulomb mean free path in a plasma consisting only of electrons and protons:

$$l_{f,e} = 0.416 \left(\frac{T_e}{T_p} \right)^2 l_{f,p}, \quad (10)$$

as in Maksimovic, Pierrard, and Lemaire (1997) for a hydrogen plasma, assuming that the electrons have the mean thermal velocity $(8k_B T_e/m_e \pi)^{1/2}$, with $l_{f,e}, l_{f,p}$ in meters and T_e, T_p in kelvin. A crude estimate of the scale height can

³A 1D version of the kinetic exospheric model developed by the group in IASB-BIRA and collaborators can be found in CCMC (<http://ccmc.gsfc.nasa.gov/models/exo.php>) and it can run online for user-defined setups.

be obtained assuming hydrostatic equilibrium in a stratified atmosphere with isothermal plane parallel layers. This is not the case for the solar wind, since expansion is taking place and rather hydrodynamic conditions apply, but it gives a good approximation of the order of magnitude of the scale height.

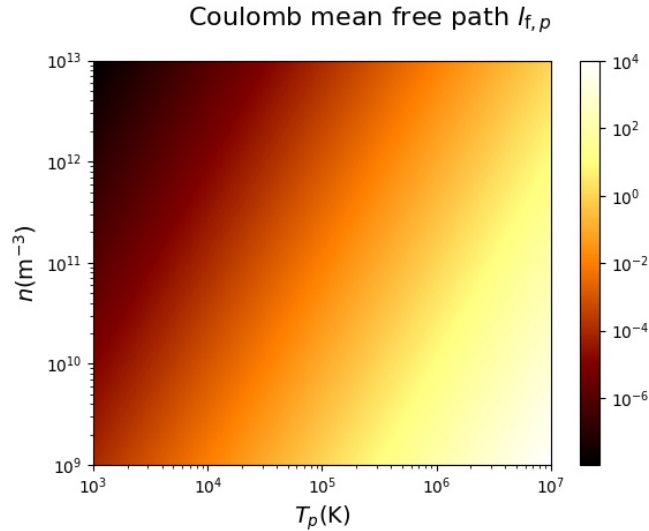


Figure 1. The Coulomb mean free path $l_{f,p}$ in solar radii as a function of proton temperature and electron density. This figure quantifies the variations in Equation 9.

When we adopt the same proton and electron temperature in the above formulae, the electron mean free path becomes smaller than the proton one $l_{f,e} < l_{f,p}$, such that the electron collisions are more important for higher altitudes and thus the proton exobase is found at lower altitudes (Maksimovic, Pierrard, and Lemaire, 1997). In this study, we make the assumption that both populations have the same exobase altitude, and we choose it to correspond to the source surface location $r_{0,p} = r_{0,e} = r_0 = 2.5R_{\odot}$ where we by construction obtain purely radial magnetic fields. The comparison between l_f and H shows anyway that the collisions become negligible already at very low radial distances in the solar corona. Some indicative values for the different source regions on the Sun, namely coronal hole and equatorial regions, are estimated by Hundhausen (1968) and Withbroe (1988). Figure 1 illustrates the Coulomb mean free path $l_{f,p}$ as a function of temperature and number density to show the possible positions of the exobase. According to Lamy *et al.* (2003), the exobase for equatorial regions is estimated to be at about $5 - 10R_{\odot}$, whereas for coronal holes the exobase is estimated to be positioned at about $1.1 - 5R_{\odot}$. Scudder and Karimabadi (2013) have shown that suprathermal particles are already collisionless for $K_n > 0.01$, due to the velocity dependence of the mean free path of the particles. This shows that it is not especially important that the exobase is chosen to correspond exactly to the level where $K_n = 1$, but it will appear where the density gradient is very sharp and thus where the plasma becomes collisionless to a good approximation.

2.2.1. Velocity Distribution Functions

When collisions are ignored as in the exospheric theory developed by Lemaire and Scherer (1971), the Fokker-Planck equation reduces to the Vlasov equation for the evolution of the velocity distribution function:

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{r}} + \mathbf{a} \cdot \frac{\partial f}{\partial \mathbf{v}} = 0. \quad (11)$$

Our kinetic exospheric model works by constructing stationary solution to the Vlasov equation, starting from an exact stationary solution for protons and electrons prescribed at the exobase. Kinetic models based on this equation were developed and are discussed in Maksimovic, Pierrard, and Lemaire (1997) for radial magnetic field lines and in Pierrard *et al.* (2001) taking into account the spiral interplanetary magnetic field topology. It was shown in Maksimovic, Pierrard, and Lemaire (1997) that the specific moments in the solar wind, namely densities and temperatures, as well as the electrostatic potential characteristics from the corona to the interplanetary space are already well described, agreeing with observations at 1AU, when the collision term is neglected, since the collisions would rather modify the temperature anisotropies.

Apart from the Maxwellians the generalised Lorentzian or Kappa function is also a solution of the Vlasov equation and can be used as a boundary condition to study the effect of suprathermal particles on the kinetic moments. Observations suggest that the velocity distribution functions of the electrons have strong suprathermal tails. We therefore assume a Lorentzian VDF for the electrons and a Maxwellian VDF for the protons at the exobase:

$$f_{\text{Maxwell}}^p(r_0, v) = n_p(r_0) \left(\frac{m_p}{2\pi k_B T_p(r_0)} \right)^{3/2} \exp \left(-\frac{m_p v_p^2}{2k_B T_p(r_0)} \right), \quad (12)$$

$$f_{\text{kappa}}^e(r_0, v) = \frac{n_e(r_0)}{2\pi\kappa^{3/2}} \left(\frac{m_e}{2k_B T_e(r_0)} \right)^{3/2} A(\kappa) \left(1 + \frac{m_e v_e^2}{2k_B T_e(r_0)\kappa} \right)^{-(\kappa+1)}, \quad (13)$$

where

$$A(\kappa) = \frac{\Gamma(\kappa + 1)}{\Gamma(\kappa - 1/2)\Gamma(3/2)}. \quad (14)$$

We note in passing that the moments of the Lorentzian VDF are not well defined for every κ value, but rather every i th moment is defined for $\kappa > (i + 1)/2$ (Pierrard and Lemaire, 1996). Suprathermal protons have almost no influence on the solar wind velocity, so for them a Maxwellian VDF can suffice (Maksimovic, Pierrard, and Lemaire, 1997).

Liouville's theorem (Goldstein, Poole, and Saffo, 2002) implies that any function that depends on the constants of motion of a collection of particles satisfies the Vlasov equation. The relevant constants of motion in this study are the total energy and the magnetic moment. Knowing the velocity distribution functions for our particle species at the exobase, the velocity distribution as a function of the radial distance can be deduced from energy conservation (Pierrard and Lemaire, 1996). Thereby, the electron and proton VDFs can be computed as a

function of radius and speed along a purely radial magnetic field line. The analytic expressions for the kinetic moments of the exospheric models were derived for a Maxwellian VDF by Lemaire and Scherer (1971) and for a Lorentzian VDF by Pierrard and Lemaire (1996). As explained above the exospheric model used in this paper includes only radial velocities along open radial magnetic field lines.

Like in previous exospheric models, it is assumed that there are no particles coming from the interplanetary space to the Sun. The anisotropy of the distribution leads to the solar wind flux. The density, temperature and κ -index are determined at the exobase by either the MHD model or constrained *via* observations. The model provides then the velocity distribution function at any other distance as well as the rest of the kinetic moments. We use the code and the analytical expressions for the Maxwellian and the κ distributions by Pierrard and Lemaire (1996). Provided the number density, the electron and proton temperatures and the κ index for the electron VDF at the exobase, the quasi-neutrality and zero-current conditions are solved iteratively at a fixed radial distance r_m using a Newton-Raphson scheme. The value of r_m is iteratively modified using a dichotomy method until the electric field is found to be continuous within a predefined tolerance (Lamy *et al.*, 2003).

On the other hand, EUHFORIA solves the 3D MHD equations taking self-consistently stream interactions into account thereby providing a v_ϕ for any point, whereas in the kinetic model we impose that this velocity is constant on each spherical shell. The kinetic model thus proceeds without accounting for stream interactions instead keeping the same topology of fast and slow solar wind sources at every radial distance as at the exobase, reducing the computational time. As was argued in Pierrard *et al.* (2001), the effects due to rotation as compared to the purely radial case change only the estimated proton and electron temperatures and their anisotropies. More specifically, the spiral structure predicts higher electron temperatures T_e and lower proton temperatures T_p than the radial case, but the number density, the electric potential and the bulk speed remain almost unaffected up to $300R_\odot$. It's worth noting here that stream interactions are once again not taken into account. Therefore, in this study we use the radial magnetic field topology and simply rotate by v_ϕ each spherical shell to account for solar rotation.

The advantage of the kinetic model used in this paper is the direct quantification of species-specific temperature profiles, densities, speeds, energy fluxes *etc.* once the electric potential is calculated. Even if $T_e = T_p$ is chosen at the exobase, the kinetic model self-consistently calculates the species-specific heating with distance and the two temperatures depart from each other. The T_e is indeed observed to be different than T_p at 1AU for slow and fast wind cases, *e.g.* as reported in Lemaire and Pierrard (2001).

3. Observational Input: Cases and Selection Criteria

Several missions have observed, or continue to observe the Sun, as well as measure the physical parameters that characterize the solar wind, at different heliocentric distances as well as heliographic latitudes. They provide high

resolution data, not only in the ecliptic plane, but also at higher latitudes, requiring simulations that explain and predict the behavior of the solar wind not only in the ecliptic plane, but rather in three dimensions. In this study we will be using OMNI data, and data from the *Ulysses* spacecraft due to its large latitudinal coverage. We based our synoptic magnetogram and solar state selection on the following criteria, that allow us to perform a crosscheck on their prediction ability with available spacecraft observations: i) quiet Sun periods (since the exospheric models are particularly tailored to quiet Sun conditions); ii) the presence of equatorial coronal holes, such that significant differences between high and slow speed wind may be expected at the orbit of the Earth; iii) position of *Ulysses* for combination of simulations and different spacecraft observations from different angles/telescopes; and iv) very few CME events according to the available catalogue CACTUS⁴.

In this study we focus on the year 2007, as it was a mostly quiet Sun year coinciding with the third orbit of *Ulysses*. For the global 3D comparison we focus on the period July-August 2007, when *Ulysses* crossed the ecliptic plane. We have confirmed the relative paucity of CME events in the selected time period using the CACTUS CME list.

4. Analysis and Results

4.1. Constraining the Kinetic Model using EUHFORIA

First, we constrain the input to the kinetic model based on data-driven results at the inner radial boundary of EUHFORIA at $21.5R_{\odot}$ and examine both models' efficiency at capturing the solar wind bulk quantities by comparing with observations at 1AU and at 1.4AU (the *Ulysses* orbit). We compare the results of the MHD and kinetic models after interfacing their velocities and densities at the inner MHD boundary at $21.5R_{\odot}$.

4.1.1. EUHFORIA

In Figure 2 we show a slice at the equator (left panels) and a slice in latitude (right panels) that corresponds to the mass density scaled with the inverse square of the heliocentric distance, and the radial speed also indicating the positions of Mercury, Venus, Earth, Mars and the STEREO (*Solar Terrestrial Relations Observatory*) A spacecraft. The grey part of the colorscale used in the Figure corresponds to high velocities and densities that occur especially during CME events. The velocity ranges occurring at this time are roughly from 350 to 650 km s^{-1} with a clear separation between streams of different speeds, forming a clear Parker spiral-like configuration. There is a configuration of several distinct high and slow speed streams. We can see the different streams with high density associated to low speed and vice versa, while the highest speed captured is around 650km s^{-1} and corresponds to a density similar to the one measured at 1AU.

⁴More information can be found at <http://sidc.oma.be/cactus/>.

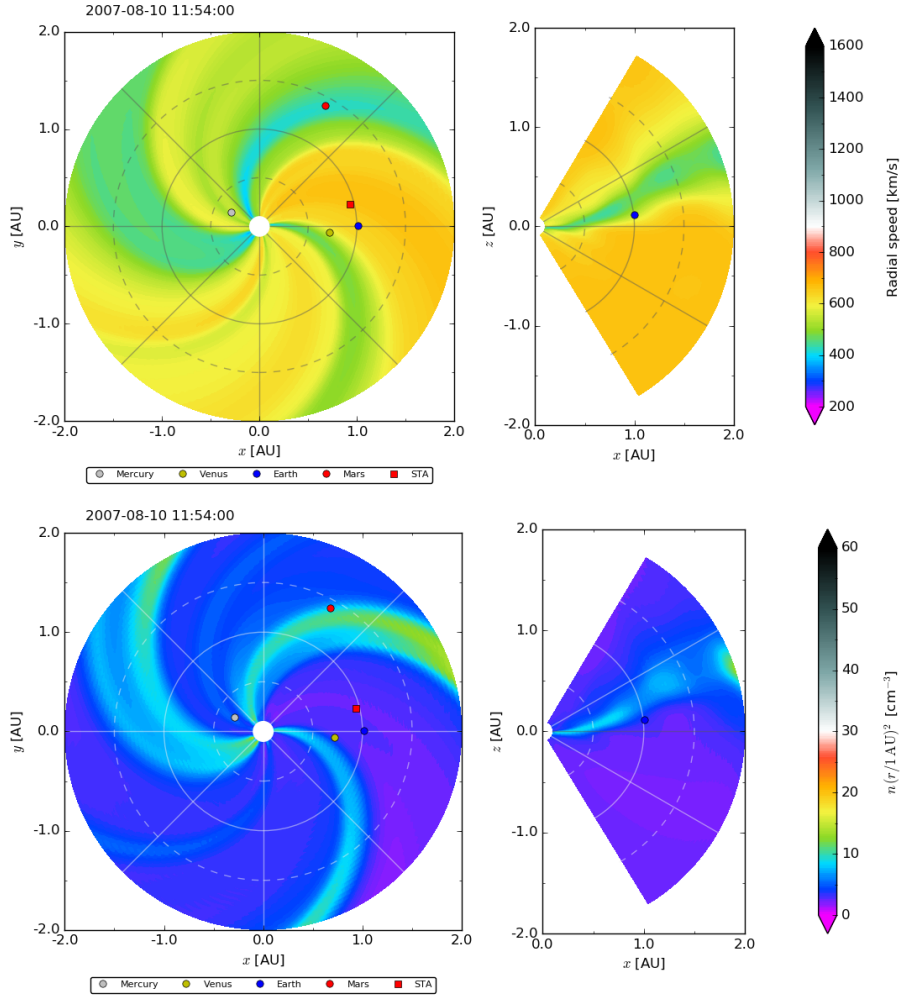


Figure 2. EUHFORIA longitudinal and latitudinal variations of the radial velocity and the number density of the solar wind corresponding to the top and bottom rows, respectively for CR2059 of August 2007. *Left panels:* on the equatorial plane and *Right panels:* meridional plane including Earth.

The highest density contrast with respect to the one measured at 1AU is about 10. The latitudinal panels suggest that there is compression and rarefaction as the plasma flows outwards with the corresponding speed and density. We thus conclude that the plasma is not following exactly the ideal Parker spiral moving on perfect cones as it expands, but following a rather more complicated motion, as the simulation is observation-driven and the photospheric magnetogram shows a complex topology, as discussed previously.

In Figure 3, we show the components of the velocity and magnetic field in the spherical (r, θ, ϕ) basis at two different distances, 0.1AU and 1AU, as functions of

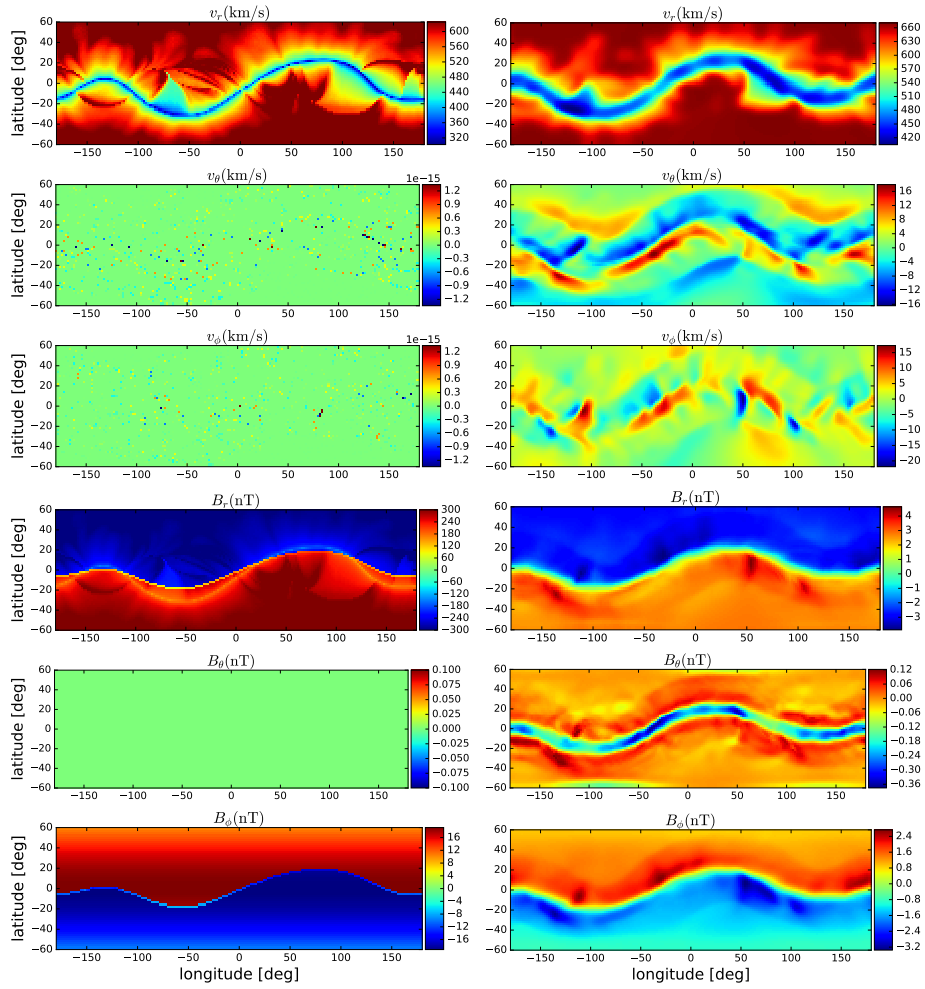


Figure 3. EUHFORIA longitudinal and latitudinal variations of the three velocity and the three magnetic field components at 0.1AU (*left panels*) and at 1AU (*right panels*) for a standard magnetogram centered at 10 August 2007.

heliospheric longitude and latitude, as calculated by EUHFORIA. We observe a clear and narrow undulating current sheet showing clear discrimination between low- and high-latitude solar wind. This undulating sheet is characterized by lower velocity than other regions at 0.1AU. It separates the outward (southern hemisphere) and inward (northern hemisphere) magnetic field topologies. As the solar wind propagates outwards, the interactions between streams of different speeds become increasingly important with the sharp features at 0.1AU turn into more diffused, smoothed and extended ones at larger distances. At the Earth's orbit the current sheet and thus the slow speed region is thicker covering about 10° in latitude. The speed difference at 1AU with respect to the inner boundary is

about 50km s^{-1} . The θ , ϕ -components of the velocity increase in magnitude from zero to about $\approx 15\text{km s}^{-1}$ at the Earth's orbit, roughly following the pattern that the slow speeds appear at small latitudes contrary to the high solar wind speeds. The radial component of the magnetic field decreases by about 2 orders of magnitude from the inner boundary to the orbit of the Earth showing a broader current sheet ($\approx 5^\circ$), where the magnetic field is close to zero. The θ -component of the magnetic field increases from zero to about 0.36 nT in magnitude up to 1AU. On the other hand, the B_ϕ decreases by almost a factor of 8 up to 1AU and is at both distances opposite in polarity to the radial magnetic field, thus having positive polarity at the North Pole and negative at the South. In all the depicted quantities the rotation of the plasma shows as the entire structure in the 2D maps moves to the left.

In Figure 4, we show the velocity (first row), the density (second row) and the temperature (third row) variation at three different distances, 0.1AU, 1AU, and at the orbit of *Ulysses* at 1.4 AU, *i.e.* $\approx 300R_\odot$, corresponding to left, middle and right columns, respectively. Most of the acceleration has already taken place at 1AU and only a small increase is happening above that distance due to the heating implemented by the reduced polytropic index ($\gamma = 1.5$) as the wind flows away from the Sun. The density decreases by 2 orders of magnitude from the inner boundary of the MHD simulation to the Earth's orbit and only by 50% from there onwards up to 1.4AU. The current sheet, seen as the high density structure that appears in the middle of the Figures in the second row seems to have expanded from 2° at the inner boundary to about 10° from there on, while it diffuses. The temperature decreases by a factor 8 from the inner boundary up to 1AU and only by 30% up to *Ulysses'* orbit. There is a temperature reversal captured, in the sense that while at 0.1AU the equatorial region appears cold and the poles hot, the opposite is happening from 1AU onwards, with the temperature shows a peak at a longitude of -100° . The current sheet region gets very diffused outwards and from the Earth's orbit outwards appears discontinuous in this temperature view of the expanding plasma.

4.1.2. Interfacing

In order to interface the two models at 0.1AU, we run the kinetic model up to $21.5R_\odot$ with $r_0 = r_s = 2.5R_\odot$, $T_e = 1\text{MK}$, $T_p = 1\text{MK}$, using 600 κ -indexes in the range [2,8] with step 0.01 and with $n_e = n_p = 3 \times 10^{10}\text{m}^{-3}$ (Lamy *et al.*, 2003). With the results we create a matrix with solar wind speeds at $21.5R_\odot$ and by comparison with the EUHFORIA results for v_r , we estimate the appropriate κ for every speed at the internal boundary of the MHD run. We obtain a 2D map of $N_\theta \times N_\phi$ values of $\kappa(\theta, \phi)$ each corresponding to a field line. From the matrix, we also compare the number density given by EUHFORIA at the same distance (0.1AU) with the number density given by the kinetic model and get a scaling factor that we assume to be valid throughout all the considered radial distances (see Appendix A of Lamy *et al.*, 2003). Thereby, we can estimate the appropriate initial density at the exobase that would give us the same density with EUHFORIA at 0.1AU. For the temperatures, the relation is more complicated, but Lamy *et al.* (2003) have demonstrated that the

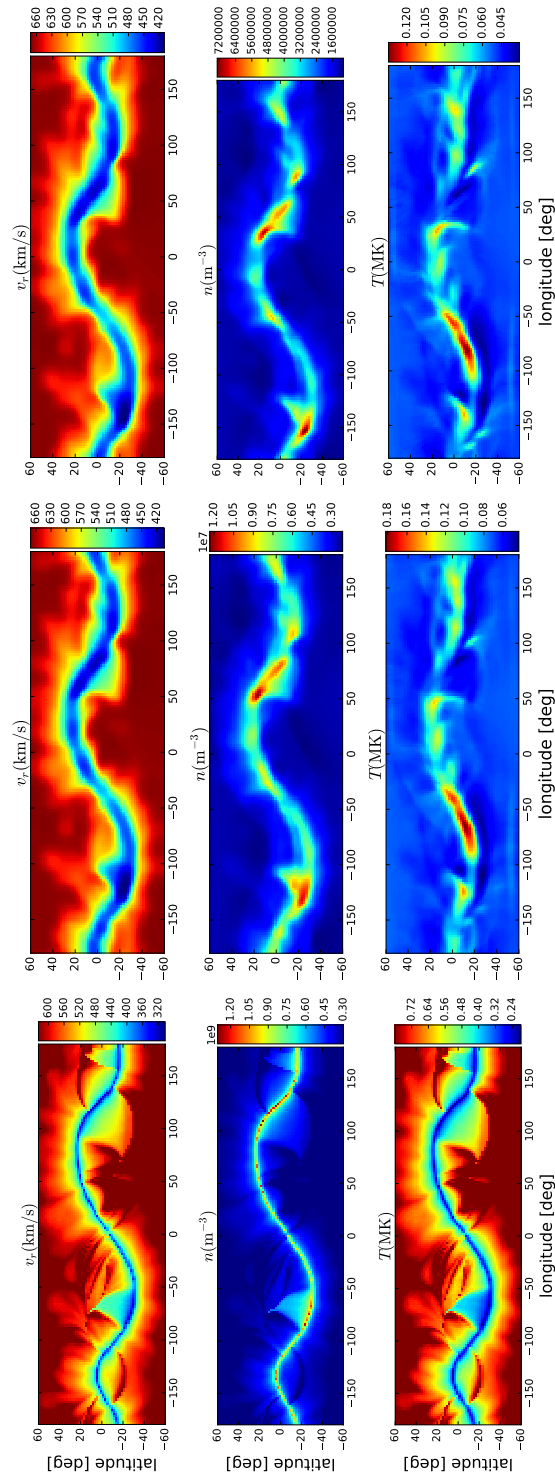


Figure 4.: EUHFORIA longitudinal and latitudinal variations of the radial velocity (first row), the number density (second row) and the temperature (third row) at 0.1AU (left panels), at 1AU (middle panels) and at 1.4AU (right panels). They can be contrasted with their kinetic counterparts in Figure 5.

temperature does not affect the kinetic moments as much as the κ -indexes and the exobase altitude even for extreme changes (1–2MK) and thus for convenience we take $T_e = T_p = 1\text{MK}$ for all the latitudes and longitudes at $r_0 = 2.5R_\odot$ and focus instead on the κ and the density parameters. Note that EUHFORIA is not solving the MHD equations below 0.1AU, while the kinetic model provides results at any distance above the exobase and especially in the crucial region close to the Sun where the solar wind is being accelerated.

4.1.3. Kinetic Model

Similar to Figure 4, in Figure 5, we show the velocity (first row), the density (second row), the electron temperature (third row) and the proton temperature (fourth row) at three different distances, 0.1AU, 1AU, and at the orbit of *Ulysses* at 1.4AU, *i.e.* $\approx 300R_\odot$, corresponding to the left, middle and right columns, respectively. Unlike the MHD results discussed previously, in the kinetic approach the sharp structures that appear at the interfacing boundary (0.1AU) remain unchanged as the plasma moves outwards, as we don't account for stream interactions. In other words, neighboring streams with different speeds will not interact as they propagate and they will keep the same topological features up to large radial distances. The κ indexes corresponding to the kinetic velocities of this simulation lie in the range (2, 4). The bulk speed accelerates more than in the MHD case, reaching terminal speeds about 100 km s^{-1} higher. Thus in the kinetic approach, where the acceleration is self-consistent and due to the induced electric field, the acceleration is more efficient than in the MHD approach, where semi-empirical schemes are used to accelerate the wind. Similarly to the MHD case, the terminal speed is reached at 1AU and from there on the acceleration is very slow up to 1.4AU. The density decreases faster by 20% at 1AU, to reach the orbit of *Ulysses* 30% smaller than the MHD case. The temperatures of the electron and proton populations are depicted in the last two rows. We have started at the exobase by setting both temperatures equal to 1MK independent of longitude and latitude. The electron temperature drops by a factor 5 at the orbit of the Earth and it doesn't change much up to 1.4AU, while the proton temperature decreases by a factor 2 and remains about the same up to the orbit of *Ulysses*. The temperature of the MHD plasma is always in between the electron and proton temperatures, being one order of magnitude smaller than the electron and one order of magnitude larger than the proton temperature. The MHD temperature is not the average between the two particle species temperatures, since the two species are not in thermodynamic equilibrium.

4.1.4. Models Versus Observations

In Figure 6, the speed, number density and temperature of the solar wind are shown for i) the MHD model, ii) the kinetic model and iii) near-Earth observations using the OMNI dataset. From the speed plot we conclude that both models reproduce the number of peaks, their position with respect to each other and have a similar width. The most prominent difference between the models and the observations appears at the double peak of August 13th. EUHFORIA

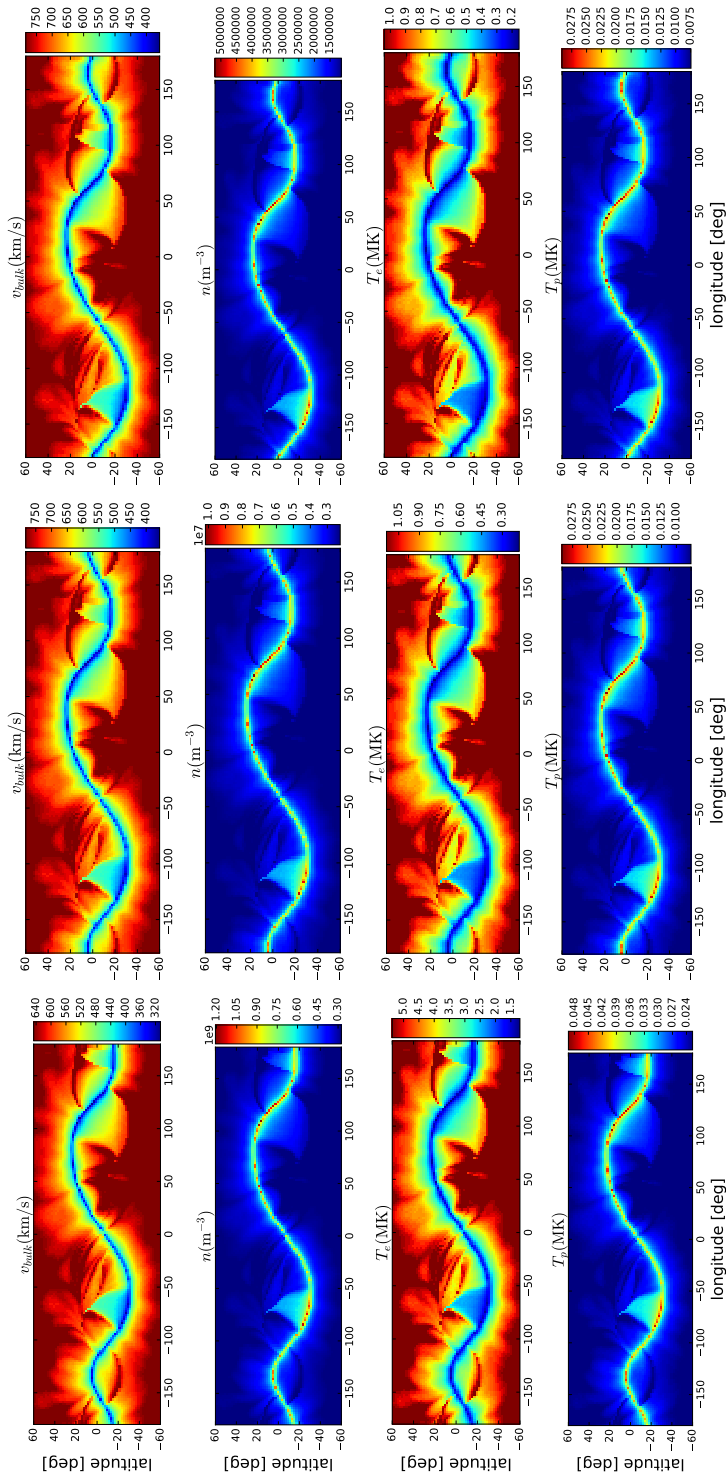


Figure 5.: Kinetic results in the form of color maps in heliographic longitude and latitude from top to bottom rows: of the bulk speed, the number density, the electron and proton temperatures of the solar wind respectively at 0.1AU (*left panels*), at 1AU (*middle panels*) and at *Ulysses'* orbit 1.4AU (*right panels*). These can be contrasted directly with the MHD counterparts in Figure 4.

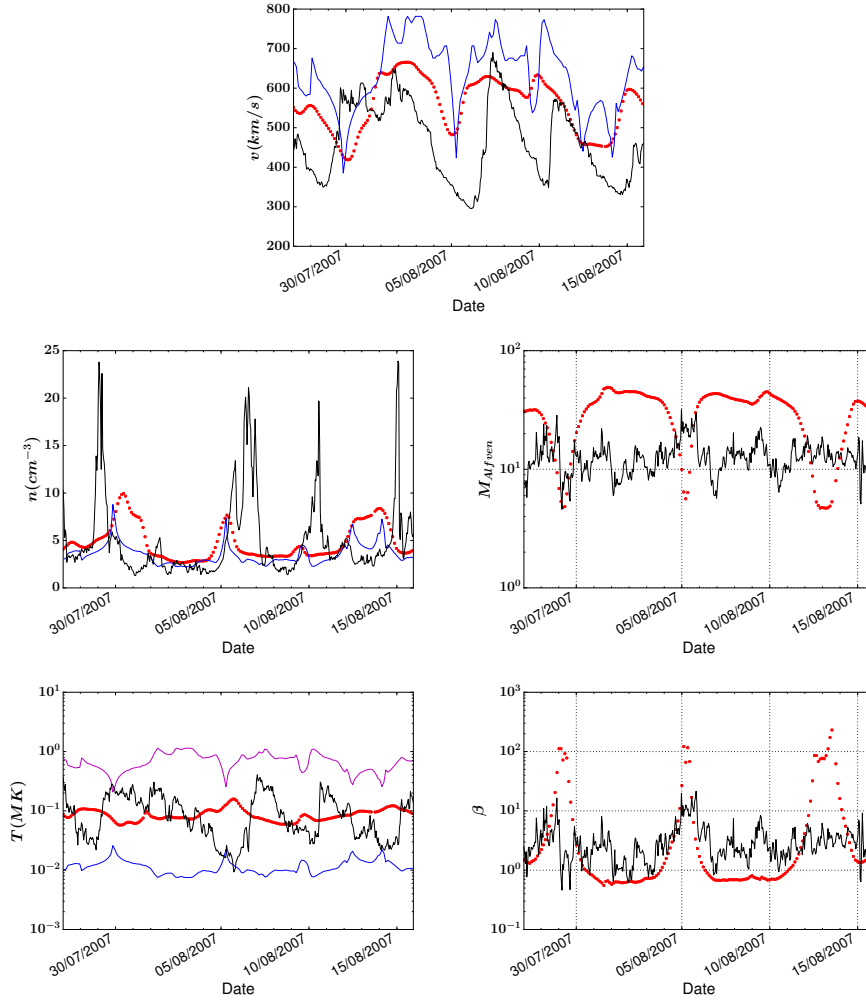


Figure 6. Longitude cut-out as given by EUHFORIA and kinetic code at 1AU for i) the speed, ii) the number density, iii) the Alfvénic Mach number, iv) the proton temperature of the solar wind and v) the plasma β respectively, together with *in-situ* observations. Blue corresponds to the kinetic model, red to the MHD model and black denotes the OMNI observations, whereas in the temperature panel magenta is the kinetic electron temperature curve and blue the kinetic proton temperature.

reproduces peaks of similar amplitude as observed, but showing a higher global minimum at about 400 km s^{-1} . The heights of the peaks and their relative ratio to one another are not reproduced exactly by any model. The kinetic model systematically overestimates the speeds varying from 400 to 800 km s^{-1} . This can be explained by the fact that the acceleration in that model is more efficient than the MHD case and continues at a significant rate at distances larger than 0.1 AU to about $50 R_{\odot}$. This indicates that the final velocity obtained with the

kinetic model can be improved by adapting the boundary conditions, for instance by lowering the empirical solar wind speed close to the Sun. The second panel shows the number density variations for the models and the observations. We observe that both models and the OMNI observations of the number density agree roughly in order of magnitude and in number of peaks, with the peaks not coinciding perfectly. The peak amplitudes are about 2.5 times larger in the observations than in both models, that are in agreement with one another. For the Alfvénic Mach number (third panel), we conclude that the Alfvénic Mach number of the MHD simulation is about a factor 3 higher than the average measured value most of the time, with three lows that reach lower than the observed values. The average observed proton temperature agrees with the EU-HFORIA plasma temperature in order of magnitude, varying from the kinetic proton temperature T_p at its minimum to the kinetic electron temperature T_e at its maximum, while it is located between the two species' temperatures, about an order of magnitude larger than the kinetic proton temperature and an order of magnitude smaller than the kinetic electron temperature, at all times. The variation profile of the observations doesn't match with any model. Finally, the plasma β shown in the final panel for the MHD simulation lies most of the time at the lower limit of the observed one, with three peaks that reach values of about a factor 3 higher than the observed highest values, showing the opposite trend when compared to the Alfvénic Mach number shown in the third panel. Thus we conclude that, in the MHD simulation the magnetic field is higher with respect to the plasma pressure and the number density than the one indicated by observations at 1AU.

The same case corresponding to CR 2059 was analyzed in a comparative study published in Gressl *et al.* (2014) for different observational inputs and MHD modeling schemes. None of their models seemed to accurately represent observation. The case directly comparable to ours was the one using GONG magnetograms and the WSA empirical model and ENLIL for the MHD modeling (dark red curve of lower panel in Figure 3). Before 27 July their maxima are not synchronous nor do they reach the same values, but after that the model captures the times of the peaks, but underestimates their value on the panel in the figure showing the speed.

For a further comparison of the models with respect to observations, we overplot in Figure 7 observations from *Ulysses* at 1.4AU and at latitudes between -10° and 5° together with the results of the kinetic and MHD models. In the first panel (top left), we show the trajectory of *Ulysses* during the period of interest. At the same 3D heliographic spherical coordinates we extract the quantity of interest from the MHD and the kinetic model to accommodate further comparisons. More specifically, for each (r, θ, ϕ) position of *Ulysses* at each hour of a specific date, we choose the closest available point for the specific resolution of each model. In the second panel, we show the observed speed by *Ulysses* with black, together with the kinetic prediction in blue and the MHD results in red. All three exhibit different time profiles for each case. The kinetic model systematically overestimates the speeds reaching maximum values of about 800 km s^{-1} , whereas the MHD model ranges from 400 to 650 km s^{-1} , while at the same period the *Ulysses* measurements lie between 300 and 650 km s^{-1} . The peaks

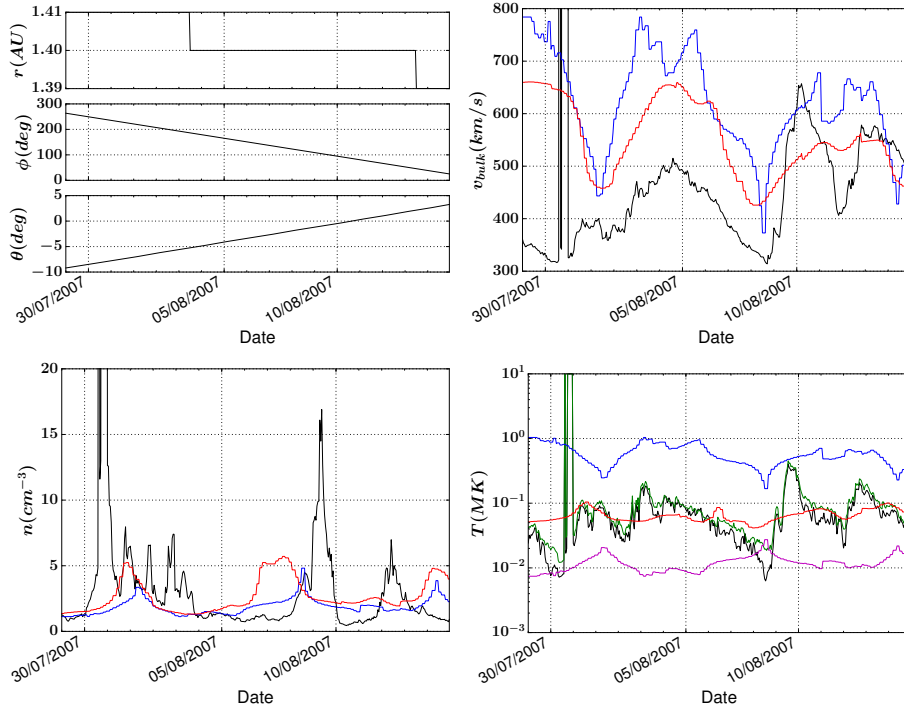


Figure 7. Time variations as measured by *Ulysses* (black) and calculated by the kinetic (blue) and the MHD (red) model, for i) *top left panel*: the position of *Ulysses* in heliographic coordinates, ii) *top right panel*: the speed, iii) *bottom left panel*: the number density and iv) *bottom right panel*: the *Ulysses* small (black) and large (green) proton, the kinetic proton (magenta), the kinetic electron (blue) and MHD (red) temperature.

for both models are not well synchronized with the measured temporal velocity profiles. For the density the two models don't reproduce the observed number of peaks and they are not synchronized either. The number densities of the kinetic and MHD models agree with each other varying from $1-5 \text{ cm}^{-3}$ whereas the observed number density profile is much more variable with a number of peaks and reaching densities of 20 cm^{-3} . The average observed proton temperature⁵ agrees with the plasma temperature predicted by the MHD model and lies in between the kinetic proton (magenta) and kinetic electron (blue) temperatures of the kinetic model, being one order of magnitude smaller than the electron and one order of magnitude larger than the proton temperatures. No model reproduces in high accuracy the temporal variations of the observed temperature

⁵As shown in Figure 7, there are two different proton temperatures estimated, that in general bracket the real temperature at 1AU. As T -large we denote the integral in the 3D velocity space of the distribution over all measured angles and energy bandwidths. The T -small is calculated by the sum over all angles for a determined energy, then summing the moments of the estimated spectrum of the plasma and by taking the radial component of the temperature tensor (<http://www.cosmos.esa.int/web/ulysses/swoops-ions-user-notes>).

profile. The models show some correspondence with the *Ulysses* observations and the correct orders of magnitude are roughly reproduced, but certainly there is need for improvement. To reach better agreement with observations, we can modify the parameters in the semi-empirical model of EUHFORIA to better adjust and reproduce the measurements in each case individually.

4.1.5. Heat Flux

Pomoell and Vainio (2012) presented an analysis of the different ways that energy source considerations can be used in MHD solar wind models. A generalized formulation of the energy Equation 3 with an extra energy source term at the right hand side S was examined for different cases of S . The relevant models studied therein include i) a model with a polytropic index with spatial dependence $\Gamma(r)$ and ii) a model with a polytropic index fixed at $\gamma = 5/3$ constant in the entire coronal volume. In particular, the authors showed that a steady-state solar wind solution accelerated by a given non-adiabatic polytropic wind can equivalently be re-written using an energy source term, given by:

$$S = \nabla \cdot \left[\mathbf{v} P \left(\frac{1}{\Gamma - 1} - \frac{1}{\gamma - 1} \right) \right], \quad (15)$$

with \mathbf{v} , P and Γ being obtained by the model, as explained in Pomoell and Vainio (2012), with the non-adiabatic index $\Gamma(r) = 1.5$ for our case.

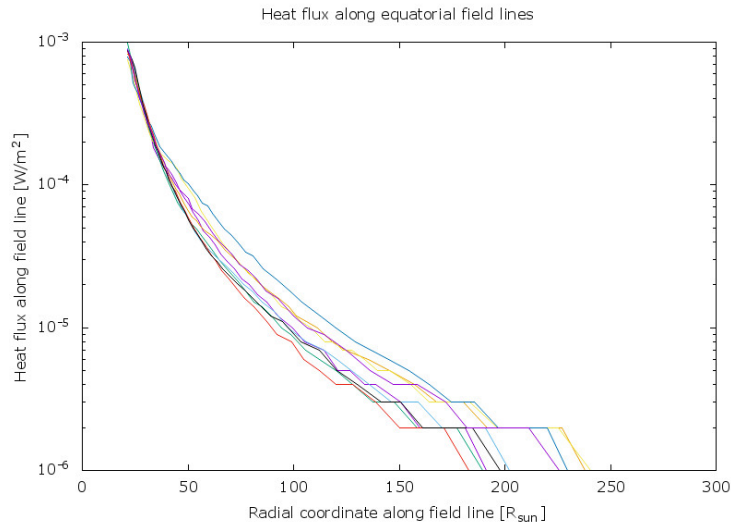


Figure 8. Heat flux along magnetic field lines in the equatorial plane as given by EUHFORIA.

In this section, we will discuss the heat flux of the MHD and the kinetic models. According to the formulation presented above we have that the analytic expression of the energy flux responsible for accelerating the wind in the MHD

model is given by $vP\left(\frac{1}{\Gamma-1} - \frac{1}{\gamma-1}\right)$. In Figure 8, we present the heat flux of the MHD model along selected magnetic field lines as a function of distance from 0.1AU to 2AU in the equatorial plane.

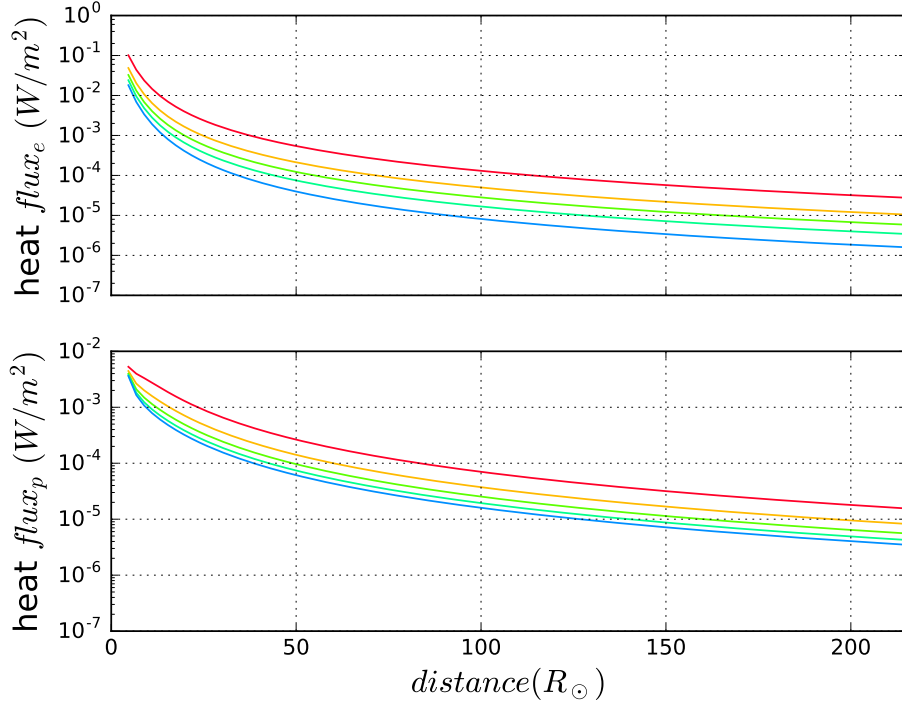


Figure 9. Electron (top panel) and proton (bottom panel) heat fluxes for CR2059 with red, orange, light green, green and blue curves corresponding to κ values of 3, 4, 5, 6 and 7, respectively.

In Figure 9, we illustrate the radial profiles of the electron (top panel) and proton (bottom panel) heat fluxes, as calculated by the kinetic model for an exobase at $2.5R_{\odot}$, 1MK electron and proton temperatures at the exobase $T_e = T_p = 1\text{MK}$ and the same densities as our default case for κ -indexes 3, 4, 5, 6 and 7 corresponding to red, orange, light green, green and blue respectively. We observe that the more suprathermal particles are present, *i.e.* lower κ , the higher the heat flux is. The electron heat flux is higher by an order of magnitude than the proton heat flux close to the Sun and it decreases faster than the proton heat flux with the heliocentric distance to reach similar values at 1AU. We conclude that the differences between the proton heat flux curves corresponding to different κ -indexes are smaller than the respective electron flux curves. In general, the heat fluxes are overestimated by exospheric models, since the corresponding VDFs have the highest possible anisotropy due to lack of collisions. As shown in Zouganelis *et al.* (2005), exospheric models and kinetic simulations that include collisions are in good agreement, making the exospheric model a convenient

tool for the study of weakly collisional plasmas. Both the exospheric model and the collisional simulations found heat fluxes several times larger than the classical value, suggesting that the classical formulation is not appropriate for weakly collisional plasmas, since it was based on the assumption of a collision-dominated medium. If one really needs to prescribe a realistic heat flux, then collisions need to be taken into account and further improvements in the kinetic model need to be considered. The inclusion of interactions, through *e.g.* Alfvén or whistler waves, will decrease the anisotropies and it will improve the higher moments, *i.e.* temperatures and heat fluxes for the considered species (Pierrard, Lazar, and Schlickeiser, 2011; Pierrard *et al.*, 2014; Voitenko and Pierrard, 2015). Using such sophisticated schemes to improve the heat flux agreement with observations is outside of the scope of this paper, due to i) the consequent increased computational expense, ii) the fact that the temperatures are not the most important geo-effective parameters, making these improvements rather impractical for future operational space weather applications. Thus, the heat flux profiles for the electron and protons, that are quantified by the kinetic model (Figure 9) can serve as upper limits and are more physics-based than the MHD heating prescriptions that are based on the empirical determination of the polytropic index value.

The heat flux profiles along the magnetic field lines of the MHD model are in agreement in order of magnitude with the profiles of the protons of the kinetic model closer to the Sun, but they drop faster further out reaching electron heat flux values at the orbit of the Earth. Our results for the heat flux for the MHD model depicted in Figure 8 are roughly in agreement with the literature (Hellinger *et al.*, 2013; Štverák, Trávníček, and Hellinger, 2015). In accordance to the kinetic model results, the electron heat flux is higher than the proton heat flux according to Hellinger *et al.* (2013) and Štverák, Trávníček, and Hellinger (2015), respectively, with our results roughly being closer to the electron energetics profile, but at 1AU approaching the heat flux values expected for the protons.

5. Discussion

In this study, we are interested in making a first comparison between single fluid and kinetic models, that have the potential to be used in space weather applications. The two models are very different in nature, making use of very different formulations for the plasma physics. EUHFORIA is a single fluid code that uses the MHD equations to describe the plasma. Whereas the kinetic model used in this study begins by making an observationally-inspired assumption for the VDFs of the electron and proton species, that are considered to constitute the solar wind plasma, and based on that all the physically interesting quantities are calculated as moments over the velocity space. EUHFORIA is observationally-driven and provides three-dimensional information that accounts for stream interactions and complicated magnetic topologies. The kinetic model is a semi-analytic model solving for the plasma characteristics along a magnetic field line and accounting for heating and acceleration in a self consistent way. Note that

the electric field (used in the kinetic model) is hidden in the pressure term (as shown by Parker, 2010). Parker explained that the momentum equation of the electrons is given by

$$\frac{dp_e}{dr} + neE_{LS} = 0, \quad (16)$$

which is the hydrodynamic condition, with E_{LS} the Lemaire-Scherer electric field (Lemaire and Scherer, 1971). In Parker (2010), Parker showed that the electric field of Lemaire-Scherer is in the fluid approach given by

$$E_{LS} = -\frac{1}{ne} \frac{dp_e}{dr} = \frac{m_p}{e} \left(v \frac{dv}{dr} + \frac{GM_\odot}{r^2} \right), \quad (17)$$

which finally, taking into account that the Pannekoek-Rosseland electric field is $E_{PR} = \frac{m_p g}{e}$, is written as

$$E_{LS} = E_{PR} + \frac{m_p v}{e} \frac{dv}{dr}. \quad (18)$$

The Lemaire-Scherer electric field (used in exospheric models) is several times larger than the Pannekoek-Rosseland one, corresponding to hydrodynamic equilibrium that is used to describe the solar wind expansion. It is able to lift and accelerate to supersonic speeds the initially slow and heavy protons through trapping the fast and light electrons, while keeping the quasi-neutrality and almost zero-current condition. Any difference in the bulk speeds of the two populations would lead to the generation of a current, which due to Ampère's law has to remain small.

From Figure 4, we deduce that at large radial distances from the Sun, the MHD current sheet gets expanded and becomes thicker, evolving from about 2° at the inner boundary to about 10° at 1AU, while the fine structures that appear in the 0.1AU longitudinal and latitudinal map get diffused and smoothed. On the contrary, in Figure 5 we see that the fine structures and the current sheet size don't change under the kinetic approach, as there are no stream interactions taken into account. Both models give speeds of the same order of magnitude at every altitude and have most of their acceleration taking place already before 1AU. The kinetic model shows a more efficient acceleration, reaching terminal speeds of about 100 km s^{-1} larger than the MHD one up to 1AU. The acceleration in the MHD approach is taking place due to the reduced polytropic index, while in the kinetic approach the acceleration is related to the induced electric field that assures quasi-neutrality and equal outward electron and proton fluxes. Regarding the number density, both models give densities of the same order of magnitude, with the kinetic model though showing a sharper profile that decreases faster outwards. The number density of the kinetic model is 20% and 30% lower at 1AU and 1.4 AU respectively than the MHD number density. Moreover, for the temperature in the MHD approach, as is shown in the bottom row of Figure 4, there is a reversal of the hot-cold regions from the inner boundary up to large distances. A faster cooling takes place at higher latitudes, making the initially colder equatorial region appear hotter at large radial distances with

respect to the rest of the latitudes. Furthermore, the temperature ranges fall by a factor 4 from 0.1AU up to 1AU, and continue decreasing by 30% up to the orbit of *Ulysses*. For the kinetic approach, the proton temperature only falls by factor two up to the Earth’s orbit and seems constant up to 1.4 AU, while the electron temperature drops by a factor 5 up to 1AU and doesn’t seem to vary much from there on. Contrary to the MHD single fluid results, in the kinetic case there is no temperature profile change and the cooling seems to take place uniformly at all latitudes. There is a structure close to the equator at a heliographic longitude of -70° that appears like a spike in contact with the current sheet in both Figures 4 and 5. In Figure 4 though, in the middle and right panels, corresponding to large distances, that spike appears to change inclination from pointing to the left of the figure at the panels corresponding to 0.1AU to pointing to the right from 1AU outwards, unlike Figure 5, where the spike’s inclination remains unchanged. This difference is then likely due to stream interactions that are captured in the 3D MHD model, while these are excluded in the essentially 1D, radial field kinetic approach.

6. Conclusions

After the parallelization of the kinetic model and its use in a quasi-3D approach we linked it to more robust 3D MHD models and compared both to observations at 1AU, using similar boundary conditions at $21.5R_\odot$. When the two models were compared, starting from the same boundary conditions, the kinetic model gives systematically higher speeds than the MHD model at large radial distances. This is due to the fact that the acceleration of the solar wind continues at a higher rate in the kinetic model after $21.5R_\odot$. The acceleration mechanism in the kinetic model is due to the induced electric field that assures quasi-neutrality and prevents charge separation and also ”bounds” the two species to move with the same bulk speed. There is no explicit heating term in the MHD equations used by EUHFORIA. The MHD model accelerates further the solar wind due to the reduced polytropic index γ , but at a very slow rate accounting for an acceleration of about 50 km s^{-1} from 0.1AU to 1AU.

The exospheric models overestimate the heat flux, especially for the electrons that have a thermal speed comparable to their bulk speed, but such a heat flux can be improved by inclusion of interactions through waves, *e.g.* Alfvén or whistler waves (Pierrard, Lazar, and Schlickeiser, 2011; Pierrard *et al.*, 2014; Voitenko and Pierrard, 2015). The heat flux calculated by the kinetic exospheric model can be used as an upper limit for more physically-driven heat flux prescriptions in a global MHD model. The heat flux of the kinetic model is in qualitative agreement with other studies (Hellinger *et al.*, 2013; Štverák, Trávníček, and Hellinger, 2015) and the heat flux profile of the MHD models close to the Sun resembles the proton profile, only to decrease faster outwards resembling the electron profile at 1AU.

In the exospheric model the fast electrons are slowed down and the protons are accelerated by the Lemaire-Scherer electric field that eventually leads to

the observed supersonic solar wind. As shown by Parker (2010) the acceleration of the solar wind in collisionless plasmas to supersonic values lies in the hydrodynamic equation in combination to the mass ratio between electrons and protons. According to Parker, the exospheric model describes a very efficient heat transport mechanism with an electron temperature that decreases very slowly at large distances and through the induced electric field it elevates the protons and causes the transonic solar wind.

There is some agreement in the high- and slow-speed streams in the velocity profiles for both OMNI and *Ulysses* observations. The high speed positions of the models are better synchronized for OMNI rather than *Ulysses* observations, as we showed earlier. The number densities of both models were approximately in the same order of magnitude with the observed ones at 1AU and at the orbit of *Ulysses*. The MHD temperature is one order of magnitude smaller than the electron temperature T_e and one order of magnitude larger than the proton temperature T_p of the kinetic model. The average observed proton temperatures agreed with the temperature predicted by the MHD model in order of magnitude and thus were also lying in the range between the electron and proton kinetic temperatures.

In this study we assumed that the κ -value is independent of the radial distance, but observations such as Maksimovic *et al.* (2005) indicate that the κ can actually change as the distance from the Sun increases. However, the fitting model used in Maksimovic *et al.* (2005) was not with a Kappa distribution for the full range, but a sum of a Maxwellian for the core and a Kappa function for the halo, so that the parameter κ does not represent the same quantity as in the model used in the current study. The density ratio between the core and the halo remains constant with the distance, as analyzed by Pierrard *et al.* (2016). A more realistic exobase profile with temperatures having a latitudinal and even longitudinal variation can be taken into account and will be the next step towards a fully 3D kinetic numerical code of the solar corona and the solar wind.

The kinetic model is a semi-analytic model ignoring stream interactions and thus conserves the slow and fast wind distributions for every radial distance. Accounting for stream interactions as the solar wind propagates outwards will be one of the main points of interest for a more realistic 3D model. Shocks associated to sharp velocity gradients can be included in an empirical way or by using more sophisticated kinetic models including collisions and wave-particle interactions (see *e.g.* Pierrard, 2012). Here we have ignored the spiral shape of the magnetic field in the calculation of the moments in the kinetic model, adopting purely radial magnetic fields, because as argued in Pierrard *et al.* (2001) this aspect won't affect the main average quantities apart from the temperature anisotropies. But in the future we are planing on including the spiral magnetic field effects in a similar study. Pierrard *et al.* (2001) quantified the effect that the spiral magnetic field topology has on the particle temperatures and their anisotropies. More specifically, in the radial case the electron temperature is slightly underestimated, whereas the opposite is true for the proton species. The temperature anisotropies are overestimated by the kinetic model in comparison to observations (Lemaire and Pierrard, 2001). Another important aspect that

can be improved and would provide a deeper comparison between operational MHD codes and kinetic exospheric ones, would be to change the formulation of the kinetic model, so that boundary conditions for the speed, the density and temperature directly from MHD models can be used at each grid point including the magnetic field information. These aspects will allow us to reach more fundamental conclusions about the two different models and will upgrade the kinetic exospheric model into a computational equivalent to the robust 3D MHD code. When the 3D magnetic field topology from the source surface, through the Schatten current sheet region, and throughout the region covered by the MHD model, is used directly within the kinetic description, we can use its predicted heat fluxes and higher order moment information to turn the model into a self-consistent hybrid kinetic-MHD modeling tool.

Acknowledgments SPM acknowledges financial support by the FWO and NASA Living with a Star grant number NNX16AC11G. This research was supported by projects GOA/2015 – 014 (KU Leuven, 2014–2018), and the Interuniversity Attraction Poles Programme initiated by the Belgian Science Policy Office (IAP P7/08 CHARM).

Conflict of Interest The authors declare that they have no conflict of interest.

References

- Arge, C.N., Pizzo, V.J.: 2000, Improvement in the prediction of solar wind conditions using near-real time solar magnetic field updates. *J. Geophys. Res.* **105**, 10465. DOI. ADS.
- Cranmer, S.R.: 2012, Self-Consistent Models of the Solar Wind. *Space Sci. Rev.* **172**, 145. DOI. ADS.
- Goldstein, H., Poole, C.P., Safko, J.L.: 2002, *Classical mechanics*. ADS.
- Gressl, C., Veronig, A.M., Temmer, M., Odstrčil, D., Linker, J.A., Mikić, Z., Riley, P.: 2014, Comparative Study of MHD Modeling of the Background Solar Wind. *Sol. Phys.* **289**, 1783. DOI. ADS.
- Hellinger, P., Trávníček, P.M., Štverák, Š., Matteini, L., Velli, M.: 2013, Proton thermal energetics in the solar wind: Helios reloaded. *J. Geophys. Res.(Space Physics)* **118**, 1351. DOI. ADS.
- Hundhausen, A.J.: 1968, Direct Observations of Solar-Wind Particles. *Space Sci. Rev.* **8**, 690. DOI. ADS.
- Jockers, K.: 1970, Solar Wind Models Based on Exospheric Theory. *A&A* **6**, 219. ADS.
- Lamy, H., Pierrard, V., Maksimovic, M., Lemaire, J.F.: 2003, A kinetic exospheric model of the solar wind with a nonmonotonic potential energy for the protons. *J. Geophys. Res.(Space Physics)* **108**, 1047. DOI. ADS.
- Lemaire, J., Pierrard, V.: 2001, Kinetic Models of Solar and Polar Winds. *Ap&SS* **277**, 169. DOI. ADS.
- Lemaire, J., Scherer, M.: 1971, Simple Model for an Ion-Exosphere in an Open Magnetic Field. *Physics of Fluids* **14**, 1683. DOI. ADS.
- Maksimovic, M., Pierrard, V., Lemaire, J.F.: 1997, A kinetic model of the solar wind with Kappa distribution functions in the corona. *A&A* **324**, 725. ADS.
- Maksimovic, M., Zouganelis, I., Chaufray, J.-Y., Issautier, K., Scime, E.E., Littleton, J.E., Marsch, E., McComas, D.J., Salem, C., Lin, R.P., Elliott, H.: 2005, Radial evolution of the electron distribution functions in the fast solar wind between 0.3 and 1.5 AU. *J. Geophys. Res.(Space Physics)* **110**, A09104. DOI. ADS.
- McGregor, S.L., Hughes, W.J., Arge, C.N., Owens, M.J., Odstrčil, D.: 2011, The distribution of solar wind speeds during solar minimum: Calibration for numerical solar wind modeling constraints on the source of the slow solar wind. *J. Geophys. Res.(Space Physics)* **116**, A03101. DOI. ADS.

- Odstrčil, D., Pizzo, V.J.: 1999, Distortion of the interplanetary magnetic field by three-dimensional propagation of coronal mass ejections in a structured solar wind. *J. Geophys. Res.* **104**, 28225. DOI. ADS.
- Odstrčil, D.: 2003, Modeling 3-D solar wind structure. *Adv. Space Res.* **32**, 497. DOI. ADS.
- Odstrčil, D., Pizzo, V.J.: 1999a, Three-dimensional propagation of CMEs in a structured solar wind flow: 1. CME launched within the streamer belt. *J. Geophys. Res.* **104**, 483. DOI. ADS.
- Odstrčil, D., Pizzo, V.J.: 1999b, Three-dimensional propagation of coronal mass ejections in a structured solar wind flow 2. CME launched adjacent to the streamer belt. *J. Geophys. Res.* **104**, 493. DOI. ADS.
- Parker, E.N.: 2010, Kinetic and Hydrodynamic Representations of Coronal Expansion and The Solar Wind. *Twelfth International Solar Wind Conference* **1216**, 3. DOI. ADS.
- Pierrard, V.: 2012, *Kinetic Models of Solar Wind Electrons, Protons and Heavy Ions*, 221. DOI.
- Pierrard, V., Lemaire, J.: 1996, Lorentzian ion exosphere model. *J. Geophys. Res.* **101**, 7923. DOI. ADS.
- Pierrard, V., Pieters, M.: 2014, Coronal heating and solar wind acceleration for electrons, protons, and minor ions obtained from kinetic models based on kappa distributions. *J. Geophys. Res.(Space Physics)* **119**, 9441. DOI. ADS.
- Pierrard, V., Lazar, M., Schlickeiser, R.: 2011, Evolution of the Electron Distribution Function in the Whistler Wave Turbulence of the Solar Wind. *Sol. Phys.* **269**, 421. DOI. ADS.
- Pierrard, V., Issautier, K., Meyer-Vernet, N., Lemaire, J.: 2001, Collisionless model of the solar wind in a spiral magnetic field. *Geophys. Res. Lett.* **28**, 223. DOI. ADS.
- Pierrard, V., Borremans, K., Stegen, K., Lemaire, J.F.: 2014, Coronal Temperature Profiles Obtained from Kinetic Models and from Coronal Brightness Measurements Obtained During Solar Eclipses. *Sol. Phys.* **289**, 183. DOI. ADS.
- Pierrard, V., Lazar, M., Poedts, S., Štverák, Š., Maksimovic, M., Trávníček, P.M.: 2016, The Electron Temperature and Anisotropy in the Solar Wind. Comparison of the Core and Halo Populations. *Sol. Phys.* **291**, 2165. DOI. ADS.
- Pomoell, J., Poedts, S.: 2017, Euhforia heliospheric modeling. *submitted to JSWSC*.
- Pomoell, J., Vainio, R.: 2012, Influence of Solar Wind Heating Formulations on the Properties of Shocks in the Corona. *ApJ* **745**, 151. DOI. ADS.
- Scudder, J.D., Karimabadi, H.: 2013, Ubiquitous Non-thermals in Astrophysical Plasmas: Restating the Difficulty of Maintaining Maxwellians. *ApJ* **770**, 26. DOI. ADS.
- Spitzer, L.: 1962, *Physics of Fully Ionized Gases*. ADS.
- Štverák, Š., Trávníček, P.M., Hellinger, P.: 2015, Electron energetics in the expanding solar wind via helios observations. *J. Geophys. Res.(Space Physics)* **120**(10), 8177. 2015JA021368. DOI. <http://dx.doi.org/10.1002/2015JA021368>.
- Voitenko, Y., Pierrard, V.: 2015, Generation of Proton Beams by Non-uniform Solar Wind Turbulence. *Sol. Phys.* **290**, 1231. DOI. ADS.
- Wang, Y.-M., Sheeley, N.R. Jr.: 1990, Solar wind speed and coronal flux-tube expansion. *ApJ* **355**, 726. DOI. ADS.
- Wang, Y.-M., Sheeley, N.R. Jr., Phillips, J.L., Goldstein, B.E.: 1997, Solar Wind Stream Interactions and the Wind Speed-Expansion Factor Relationship. *ApJ* **488**, L51. DOI. ADS.
- Withbroe, G.L.: 1988, The temperature structure, mass, and energy flow in the corona and inner solar wind. *ApJ* **325**, 442. DOI. ADS.
- Zouganelis, I., Meyer-Vernet, N., Landi, S., Maksimovic, M., Pantellini, F.: 2005, Acceleration of Weakly Collisional Solar-Type Winds. *ApJ* **626**, L117. DOI. ADS.