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3 **Title**

4 High-resolution topographical information improves tree-level storm damage models

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17 **Abstract**

18 Storms cause major forest disturbances in Europe. The aim of this study was to model tree-level
19 storm damage probability based on the properties of tree and its environment and to examine
20 whether fine-scale topographic information is connected to the damage probability. We used data
21 documenting effects of two autumn storms on over 17000 trees on permanent Finnish National
22 Forest Inventory plots. The first storm was associated with wet snow fall that damaged trees,
23 while exceptionally strong winds and gusts characterized the second storm. During the storms
24 soils were unfrozen and deciduous trees without leaves. Generalized linear mixed models were
25 used to study how topographical variables calculated from digital elevation models (DEM) with
26 resolutions of 2 and 10 m (TOPO2 and TOPO10) were related to damage probability, in addition
27 to variable groups for tree (TREE) and stand (STAND) characteristics. We compared models
28 containing different variable groups with Akaike Information Criteria. The best model contained
29 variable groups TREE, STAND and TOPO2. Increase in slope steepness calculated from the
30 high-resolution DEM decreased tree-level damage probability significantly in the model. This
31 suggests that the local topography affects the tree-level damage probability and that high-
32 resolution topographical data improves the tree-level damage probability models.

33 **Keywords:** windthrow, wind storm, wind damage, snow damage, digital elevation model

34 **1. Introduction**

35 Changes in climate are expected to have pronounced effects on the disturbance regime of boreal
36 forests (Seidl et al. 2017). In Europe, storms account for a larger amount of forest damage than
37 other disturbance types (Schelhaas et al. 2003), and storm induced damage has increased in
38 Europe over the last 60 years (Gregow et al. 2017). Understanding storm disturbance processes is
39 crucial for predicting climate change effects on forests, as climate induced changes in forest
40 productivity are altered by disturbances (Lindroth et al. 2009, Reyer et al. 2017).

41 Wind damage probability of a tree is affected by its susceptibility to damage and the wind
42 conditions subjected to it. As wind conditions during storms can have high spatial variance, the
43 data about the local wind conditions affecting trees can be difficult to obtain. Local wind
44 conditions are modified by forest management operations, such as thinnings and clear cuttings,
45 in which trees in previously sheltered environments are exposed to stronger winds (Peltola et al.
46 1999, Jalkanen and Mattila 2000). Local variation in wind properties is also influenced by
47 topography, and therefore topographical variables have often been included in statistical models
48 of wind damage (e.g., Laiho 1987, Schmidt et al. 2010, Albrecht et al. 2012, Schindler et al.
49 2012). Suvanto et al. (2016) showed that, when detailed data about the wind conditions during
50 the storms are not available, stand-level storm damage models can be improved by adding
51 topographical variables derived from digital elevation models (DEM), when used in combination
52 with estimated wind direction. Topographical information can also be included in storm damage
53 models indirectly through wind field data, as near-surface wind characteristics are strongly
54 dependent on topography. For example, Jung and Schindler (2016) and Venäläinen et al. (2017)
55 utilized topographical data in developing high resolution wind speed data set for studying forest
56 wind damage risk.

57 Tree susceptibility to damage is affected by properties such as tree species, size and shape.
58 Probability of wind damage has been found to increase with increasing tree height (Lohmander
59 and Helles 1987, Schmidt et al. 2010, Albrecht et al. 2012) and tall trees with relatively small
60 diameter are particularly vulnerable to damage (Peltola et al. 1999). Norway spruce (*Picea abies*
61 (L.) Karst) is considered more vulnerable to wind damage than Scots pine (*Pinus sylvestris* L.)
62 (Peltola et al. 1999, Dobbertin 2002, Valinger and Fridman 2011), as its relatively shallow root
63 system provides a weaker anchorage to the ground (Kalela 1949, Peltola et al. 2000). On the
64 other hand, Scots pine has been found to be more vulnerable to snow damage than Norway
65 spruce, due to differences in the crown shape between the species (Nykänen et al. 1997). In
66 northern Europe, deciduous species have a lower risk of wind damage compared to evergreen
67 conifers, because most storms and the strongest winds occur during autumn and winter when
68 deciduous trees have already shed their leaves and have therefore lower wind loads (Peltola et al.
69 1999). Pathogens that cause wood decay and weaken trees predisposing them to abiotic damage
70 (Whitney et al. 2001, Honkaniemi et al. 2017).

71 Rapidly developing remote sensing methods provide increasingly detailed information about the
72 physical environment, including fine-scale topography. The National Land Survey of Finland
73 (NLS) is conducting a country-wide laser scanning campaign, and the resulting data is used for
74 creating a new 2 meter resolution DEM, which will cover the whole country by 2020 (NLS
75 2017a). Country-wide laser scanning data sets are being produced in other countries as well (e.g.
76 Lantmäteriet 2017, Swisstopo 2017). In studies of forest storm damage, these data sets provide
77 increased accuracy but also enable to consider the fine-scaled variation in topography within the
78 close vicinity of the studied trees. While there are some examples of using fine-scale
79 topographical data for studying wind damage in forests (see Saarinen et al. 2016), most studies

80 have used coarser data to account for topographical variation (e.g., Schmidt et al. 2010, Anyomi
81 and Ruel 2015, Suvanto et al. 2016) or excluded topographical variables from the analysis (e.g.,
82 Valinger and Fridman 2011).

83 The aim of this study was (1) to statistically model the damage probability of an individual tree
84 during storms based on the properties of a tree and its environment and (2) to examine whether
85 fine-scale topographic information is connected to tree-level storm damage probability. To
86 accomplish this, we used an extensive empirical data set documenting damage to trees after two
87 severe autumn storms in 2001, and studied how tree and stand properties, as well as fine-scale
88 and coarse-scale topographical variables were connected to damage probability of trees.

89 **2. Material and methods**

90 *2.1 Storm damage data*

91 The storm damage data set was collected between November 2001 and January 2002 at
92 permanent plots of the Finnish National Forest Inventory (NFI) after two exceptionally severe
93 autumn cyclones Pyry (1.11.2001) and Janika (15.11.2001) (Fig. 1). The storms caused an
94 estimated damage of 7.3 million cubic meters of stemwood (Ihalainen and Ahola 2003). Of the
95 two storms, storm Janika was associated with stronger winds, average wind speed (10 minutes)
96 ranging between 16 to 18 ms⁻¹ and strongest measured gusts in land areas reaching 27.8 ms⁻¹.
97 These were the highest wind speeds measured in land-areas in Finland since autumn 1959 (FMI
98 2001). Storm Pyry had lower wind speeds (in the study area, measured maximum 10 minute
99 average wind speeds up to 12 ms⁻¹ and gusts up to 21.9 ms⁻¹ in land areas, higher close to the sea
100 or large lakes) but was associated with wet snowfall that damaged trees. Snow fall related to
101 storm Pyry lasted three days (30.10.-2.11.2001). The snow load on tree crowns during Pyry was

102 estimated to 30 kg m^{-2} (Zubizarreta-Gerendiain et al. 2012). Soils were unfrozen and broad-
103 leaved trees without leaves during both storms (Ihalainen and Ahola 2003).

104 In the study area, NFI follows a cluster sampling design where clusters are arranged in a grid.
105 Every fourth cluster in the 9th National Forest Inventory in Finland (NFI9) was a permanent
106 cluster containing 10 or 14 plots (Tomppo et al. 2011). On permanent clusters the tree locations
107 on plots were mapped, which enabled the identification of trees in a re-measurement conducted
108 after the storms in 2001. The trees included in plots were selected using angle count sampling
109 with basal area factor 2 and maximum radius of 12.52 m (Tomppo et al. 2011).

110 The storm damage data covers a total of 1826 NFI9 plots in altogether 276 NFI9 permanent
111 clusters in southern and western Finland, and includes a total of 17686 trees of which 220 had
112 been damaged in the storms (Fig. 1, Ihalainen and Ahola 2003, Suvanto et al. 2016). However,
113 we excluded standing trees classified as dead or dying in the NFI9 measurement (287 trees), as
114 well as conifers other than Norway spruce and Scots pine (18 trees). The high-resolution digital
115 elevation model was not available for the whole study area and trees located in the areas of
116 missing data were excluded from the analysis (804 trees). Therefore, the final data set contained
117 16577 trees (of which 202 were damaged) in 1730 NFI9 plots within 267 clusters (Table 1).
118 Different types of storm caused damage were represented in the data set. Most common damage
119 types in the data were uprooting (42 pines, 79 spruces and 4 deciduous trees) and stem breakage
120 (25 pines, 12 spruces and 6 deciduous trees). The rest of the damaged trees were classified as
121 leaning trees (10 pines and 9 spruces), damaged standing trees (2 spruces) or damaged trees that
122 had already been removed and damage type could not be determined (3 pines, 9 spruces and 1
123 deciduous tree).

124 Variables describing stand and tree characteristics were extracted from the storm damage data as
125 well as from the NFI9 data collected at the plots before the storms (1996 to 1999). Stand-level
126 variables included stand age, basal area (BA), type and timing of recent management operations,
127 soil type, presence of decayed standing trees in the stand and presence of new open area within
128 40 meters from the plot center (estimated by the field crew). Only open stand borders in the
129 direction of the storm wind were considered and borders towards permanently open areas, such
130 as lakes and agricultural fields, were not considered. Forest management variables included
131 information about the type of the cutting (thinning or regeneration cutting) and the time of the
132 cutting (last five or last ten years). As clear-cut stands were excluded, regeneration cuttings
133 contained seed and shelter tree cuttings that leave 30 to 300 stems per hectare.

134 Tree-level variables included tree species, tree height, stem diameter at breast height (1.3 m,
135 DBH), relative DBH (the ratio between DBH and the stand average DBH), and height-to-DBH
136 ratio. Tree height was measured in the field only for every seventh tree in each plot. For the rest
137 of the trees we used height predictions based on a model by Eerikäinen (2009), which uses DBH,
138 tree species, and site and stand properties as predictors.

139 We attempted to account for the spatial variation in storm severity by using meteorological data
140 from the storms, i.e. maximum wind speeds in storm Janika and snow accumulation in storm
141 Pyry. However, as the spatial resolution of the available data was low and it was not possible to
142 separate the occurred damage in the data between the two storms, these variables were left out of
143 the final analysis. Insufficiency of coarse scale weather data in predicting storm damage has been
144 shown before, for example, by Schindler et al. (2009).

145 2.3 Topographical variables

146 In the study area, elevation ranges from the sea level to 229 meters above sea level. Elevation
147 increases gradually with distance from the sea and local variations in elevation are relatively low:
148 average difference in elevation between a tree location and its surroundings within one kilometer
149 radius was 5.1 meters while maximum difference was 44.3 meters. Variables describing the
150 topography in the neighborhood of the trees were calculated from the NLS digital elevation
151 models in two resolutions: 2 m (DEM2) and 10 m (DEM10). DEM2 is based on NLS laser
152 scanning data with a point density of at least 0.5 points per square meter, whereas DEM10 is
153 produced with contour lines, ground surface points digitized in a stereo workstation environment
154 and elevational information in the objects of NLS Topographical database. The elevation
155 accuracy is 0.3 meters in DEM2 and 1.4 meters in DEM10 (NLS 2017a, NLS 2017b). The laser
156 scanning data used for producing DEM2 has been collected after the studies storms in year 2001
157 and, therefore, it could not be used for extracting information about tree characteristics in this
158 study.

159 From both DEMs slope angle and direction as well as topographic position index (TPI) with
160 different radii (10, 20 and 30 m for DEM2 and 50, 100, 150, 500 and 1000 m for DEM10) were
161 calculated with the R package *raster* (Hijmans 2016). TPI describes the relative topographical
162 position of a location in relation to its surroundings and is calculated as a difference of elevation
163 in a location to the mean elevation within a defined radius (Guisan et al. 1999, Gallant and
164 Wilson 2000). Negative values of TPI mean that a location is at lower elevation than its
165 surroundings, and thus better shelter from wind, whereas positive values indicate locations
166 higher than their surroundings, and thus higher wind exposure.

167 Values from all topographic variables were extracted for each tree location. To reduce the error
168 in the tree locations, coordinates of the midpoints of the permanent NFI plots were taken from
169 the more recent 11th NFI (2009-2013) with more accurate positioning of the plots. To account for
170 uncertainty in the positioning of the tree locations, a mean (median for slope direction) of the
171 neighboring cells, with cell center within a three meter radius from the tree location, was used for
172 variables calculated from DEM2. Slope direction was transformed into a class variable
173 describing whether the slope was directed towards the storm wind or sheltered from it (using
174 wind direction 337.5° as the main wind direction of the storms was north to north-west. Detailed
175 data of the near-surface wind direction was not available). If slope steepness was lower than 1°
176 slope direction was set to wind side (Fig. 2).

177 *2.4 Statistical methods*

178 Storm damage probability of an individual tree was modeled with a mixed effects logistic model,
179 where the response variable described whether or not a tree was damaged in the storms (0/1).
180 The model was fitted in SAS (version 9.4, SAS Institute Inc. 2017) using procedure GLIMMIX.
181 Random effects were used to account for the hierarchical structure of the data, resulting from the
182 clustered sampling design of the NFI. Two-level nested random effects were used for the
183 intercepts, as trees were located in plots and plots in clusters.

184 In the 9th NFI, the maximum radius of angle count plots was restricted to 12.52 meters. In angle
185 count plots sampling probability is proportional to the basal area of a tree. However, as plot
186 radius was restricted, large trees with a DBH larger than 35.4 cm were underrepresented in the
187 data. Therefore, multi-level weights were used in the model to have the representation of tree
188 sizes match an unrestricted angle count plot. The inverse value of the difference in tree sampling
189 probability between an ordinary angle count plot and the restricted diameter angle count plot was

190 used in calculating tree-level weights. Thus, trees with diameter less than 35.4 cm were assigned
191 weight 1, while for larger trees the weight calculated as $1/(A_{\text{restricted}} / A_{\text{unrestricted}})$, where $A_{\text{restricted}}$
192 was the area of the 12.52 m radius plot and $A_{\text{unrestricted}}$ was the DBH dependent area from which
193 tree would have been included in an angle count plot if the plot radius was not restricted. The
194 weights were then scaled by setting the sum of weights within each plot to correspond to the
195 actual number of measured trees in the plot, following the “method 2” in Pfeffermann et al.
196 (1998) and Rabe-Hesketh and Skrondal (2006). On plot and cluster levels all observations were
197 given weight 1.

198 The independent variables were divided into five variable groups containing variables related to
199 tree characteristics (TREE), stand characteristics (STAND) and topographic characteristic
200 calculated from two different resolution DEMs (TOPO2 and TOPO10). All continuous
201 independent variables were scaled to have a mean of 0 and standard deviation of 1 (Table 3).
202 Thus, the model intercept is interpreted as the expected value when all the continuous predictor
203 variables are set to their means and the coefficient estimates between predictor variables are
204 more comparable to each other. A logarithm transformation was tested for all continuous
205 variables by comparing models with and without transformation with Akaike Information
206 Criteria (AIC, Akaike 1974).

207 Collinearity between independent variables in the final variable groups was checked with
208 Pearson product-moment correlation coefficients between continuous variables. The correlations
209 were well below 0.7 except for the correlation between slope steepness values calculated from
210 DEM2 and DEM10 ($r = 0.73$, $p < 0.001$). When the variables were log-transformed, all the
211 correlations were below 0.7.

212 In a preliminary model selection variables were first chosen based on a priori knowledge of
213 factors affecting storm damage. Different combinations of variables were then tested and
214 variables were excluded from the variable groups if they showed small effect sizes (i.e., had
215 negligible effect on damage probability in the model), counterintuitive coefficient signs (for
216 example, if damage probability were to decrease with increasing wind exposure) or had large p-
217 values. In addition, AIC values of models with and without a variable were compared before a
218 decision was made to exclude variables.

219 Models were fitted with different combinations of variables groups (TREE, STAND, TOPO2,
220 TOPO10) and then compared using AIC, AIC weights (w_i), as well as receiver operating
221 characteristic (ROC) curves and area under curve values (AUC). AIC measures the relative
222 quality of the model, so that lower values of AIC indicate a better model. AIC weights were also
223 calculated for the models. The weights add up to 1 for the considered set of models and are
224 interpreted as the weight of evidence in favor of a model being the Kullback-Leibler best model,
225 assuming that one of the considered models is the best model (Burnham and Anderson 2002).
226 ROC curves and AUC values describe the model's ability to discriminate between damage
227 events and non-events (see Hosmer et al. 2013).

228 **3. Results**

229 In the preliminary model building process several candidate variables were left out of the
230 models. From the TREE variable group DBH, relative DBH and height-to-DBH ratio were
231 excluded and species were grouped to a two-class variable separating coniferous and deciduous
232 species. Stand age, stand basal area, soil type and timing of the last cuttings were left out from
233 the STAND variable group. In addition, type of last cutting was grouped into only two classes

234 where regeneration cutting (for example seed or shelter tree cutting) formed one class and
235 thinning and no cuttings formed another class. In the TOPO groups the topographic position
236 index (TPI) variables as well as the interaction between slope steepness and direction were left
237 out of the final models. The meteorological variables describing the storm conditions were not
238 included in the models, as they were not statistically significant and had illogical, negative
239 coefficients (results not shown). The variables included in the variable groups that were used in
240 the final model comparisons are described in Table 2.

241 The best model, chosen by ranking the alternative models by AIC, contained variable groups
242 describing tree and stand properties and fine-scale topographical information (TREE+STAND+
243 TOPO2, Table 4). The AIC weight (w_i) for the TREE+STAND+TOPO2 model was clearly
244 higher than for the other models. The second ranked model in the AIC comparison also
245 contained TOPO2 variable group (model TREE+STAND+TOPO2+TOPO10, Table 4). In
246 TOPO2 and TOPO10 variable groups slope steepness (SLOPE) had a negative coefficient,
247 implying a decreasing damage probability in steeper slopes (Table 5, only shown for the first
248 ranked model).

249 TREE variables (conifer/deciduous species and height) were the most important single group
250 accounting for damage probability. The other models with only one variable group (TOPO2,
251 STAND, TOPO10) were last in the AIC comparison, with AIC weights close to 0 and low AUC
252 values (Table 4). The coefficients of variables in the TREE group showed an increasing damage
253 probability with increasing tree height for conifers, and lower damage probability, as well as
254 decreasing damage probability with tree height, for deciduous trees (Table 5).

255 The STAND variable group was included in the best model with the lowest AIC (Table 4).
256 Model coefficients showed higher damage probability in the proximity of new open stand

257 borders (OPENAREA) and in stands where regeneration cuttings had been made within ten years
258 (from NFI9 measurement).

259 For the models ranked highest, the AUC values, which describe the models ability to
260 discriminate between damage and non-damage events, were slightly under 0.7, which is often
261 taken as a threshold of acceptable discrimination (Table 4, Fig. 3). The best model to reach the
262 0.7 threshold was TREE + STAND + TOPO2, and similar AUC values were found for other top
263 models of the AIC comparison. The lowest AUC values were found for one variable group
264 models TOPO2 and TOPO10 (Table 4).

265 **4. Discussion**

266 Our results demonstrate that the high-resolution topographical data, describing local variations in
267 topography, provides useful information about the storm damage probability of trees. Fine-scale
268 topographical variables proved to work better than variables calculated from the coarser scale
269 DEM. Using high-resolution data with high elevation accuracy is useful especially in tree-level
270 studies, where it can be used to characterize the local neighborhood of a tree in detail. However,
271 understanding the fine-scaled factors driving tree-level vulnerability to damage is also important
272 for larger scale studies, as shown by Seidl et al. (2014) who found that neglecting spatial and
273 structural within-stand heterogeneity weakened the outcome of wind disturbance models.

274 The use of laser scanning data as a source for elevation models not only enables the
275 improvement of data resolution but also improves the accuracy of the data. Due to the difference
276 in methods in creating the elevation models the high-resolution DEM2 has significantly better
277 elevation accuracy than the older DEM10. This in part also explains the better performance of
278 variables calculated from DEM2 in the storm damage models.

279 Not all studies have found topography to be useful in modeling storm damage. Albrecht et al.
280 (2012) gave three possible explanations for why topography was not found to affect damage
281 probability in their study: (1) variables describing stand and tree characteristics were superior to
282 geographical conditions such as topography, (2) the used variables were not suitable for
283 describing the conditions affecting damage probability, and (3) the data set did not contain
284 extremely exposed sites where the effect of topography would have been clear. While the two
285 first explanations are in line with our results, the third one is not supported by our results. The
286 results showed that topography was connected to storm damage probability, even though our
287 study area is characterized by a gentle topography with only small variations in elevation. This is
288 in contrast with some previous studies suggesting that non-significant effect of topography was
289 caused by low topographic variation of the study area (Anyomi and Ruel 2015, Saarinen et al.
290 2016).

291 The choice of variables calculated from DEMs is crucial for effectively describing the local wind
292 conditions. In addition to topographical variables included in this study effects of topography on
293 wind conditions have been described with different indices, such as distance-limited
294 topographical exposure (TOPEX), which is calculated as sum of maximum angle to the ground
295 in eight directions (Quine and White 1998, Scott and Mitchell 2005). The used spatial scale may
296 also influence the functioning of the used variables. While the interaction of slope steepness and
297 slope direction was found to significantly affect stand-level damage probability in another study
298 using the same data set as used here (Suvanto et al. 2016), only slope steepness was significant in
299 this tree-level study. Slope direction calculated from a high-resolution DEM may vary locally a
300 lot (Fig. 2) and therefore may not describe well the location's exposure to wind. The significant
301 effect of slope steepness may be related to locations with high slope steepness being associated

302 with more variable topography in general, and being therefore more sheltered from wind. In
303 addition, high-resolution slope steepness may be correlated to other variables than wind that are
304 related to storm damage. For example, topography is related to soil properties, which in turn
305 affect the support trees have against uprooting (Peltola et al. 1999).

306 While fine-scale topographical variables were included in the model with lowest AIC, they did
307 not perform well alone (i.e., the TOPO2 model in Table 4). Instead, the results show that of the
308 studied variable groups, tree properties are most clearly linked to storm damage probability, as
309 the TREE model had clearly lower AIC values, higher AIC weights and higher AUC values
310 compared to the other models with only one variable group (Table 4). Similar results
311 emphasizing the importance of tree species and height have been reported in previous studies
312 (Lohmander and Helles 1987, Schmidt et al. 2010, Albrecht et al. 2012).

313 The TREE variable group consisted of tree species group (conifer or deciduous), tree height, and
314 interaction term of these two. Norway spruce and Scots pine were grouped into one class as their
315 difference was not significant in the models. Previous studies have shown differences between
316 the species (Nykänen et al. 1997, Dobbertin 2002, Valinger and Fridman 2011). However, the
317 storm damage in our data set contained both wind and snow related damage. This may have
318 reduced the difference between the two conifer species, as spruce is considered to be more
319 vulnerable to wind and the crown shape of pines may expose them to snow damage. It is also
320 possible that the damaged deciduous trees in the data have been mostly damaged by snow, as the
321 damaged deciduous trees were smaller than average (Table 1) and model results for deciduous
322 trees showed decreasing damage probability with tree height, which is atypical for wind damage.

323 The STAND variable group showed increased damage probability in stands after regeneration
324 cuttings, which in this data are seed and shelter tree cuttings that leave 30 to 300 stems per

325 hectare to the stand. Increased damage probability was also found for trees close to open stand
326 borders (OPENAREA variable). This effect results from increased wind load after the cutting or
327 at newly created stand border on trees that have not been acclimated to strong winds (Lohmander
328 and Helles 1987, Peltola et al. 1999, Jalakanen and Mattila 2000). The model also showed
329 increased damage risk of trees in stands where decay in living trees had been documented. Wood
330 decay decreases stem strength and tree anchorage and, therefore, increases the vulnerability of
331 the tree to wind damage (Honkaniemi et al. 2017). Stand level information about decay was
332 selected in this study instead of tree-level information because wood-decay in living trees is
333 difficult to detect in the field (Mattila and Nuutinen 2007). Yet, if there are trees in the stand that
334 are visibly affected by wood-decaying fungi (e.g. *Heterobasidion* sp.) the probability of decay in
335 other trees in the same stand is also higher.

336 The location accuracy of the trees is a source of uncertainty in the topographical variables as
337 there is necessarily some error involved in the GPS positioning of the NFI plots. In this study, we
338 aimed to control this effect by calculating the high-resolution topographical variables as the
339 average values of grid cells within three meters from the tree location. Yet, it is still likely that
340 inaccuracy in the tree locations causes uncertainty to the DEM2 variables.

341 The statistical significance of individual variables is affected by the size of the data set. Even
342 though the data set is large, the proportion of damaged trees was rather low (~1.2% of the data)
343 in comparison with many other studies (e.g., Schmidt et al. 2010, Kamimura et al. 2016). A
344 larger data set, especially a larger number of damaged trees, would be useful in specifying the
345 factors affecting damage probability.

346 Our results demonstrate the connection between fine-scaled topographical variation in a tree's
347 neighborhood and the storm damage probability of a tree. Topography affects tree damage

348 probability indirectly, through its effects on other factors such as wind and soil characteristics.
349 Thus, the effects of fine-scaled topography should be taken into account in calculation of these
350 variables, as most of the available data sets are based on input data of coarser resolution than the
351 DEMs used in this study (e.g., Jung and Schindler 2016, Venäläinen et al. 2017). When high-
352 resolution topographical information is available, it should be considered in future studies of
353 storm damage in forests, either as topographical variables or as an inputs for variables describing
354 the direct factors affecting the damage probability.

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491

492 **Table 1.** Details about the storm damage data: number of trees, DBH (cm) and tree height (m) in
 493 damaged and undamaged trees for different species.

	No damage	Damage	All
Number of trees			
All species	16375	202	16577
Scots pine	6447	80	6527
Norway spruce	6793	111	6904
Broad-leaved	3135	11	3146
DBH (mean \pm st. deviation)			
All species	20.07 \pm 9.35	23.98 \pm 9.89	20.11 \pm 9.37
Scots pine	20.64 \pm 8.83	21.42 \pm 7.98	20.65 \pm 8.82
Norway spruce	21.45 \pm 9.46	26.88 \pm 10.30	21.54 \pm 9.50
Broad-leaved	15.87 \pm 8.93	13.36 \pm 5.04	15.86 \pm 8.92
Height (mean \pm st. deviation)			
All species	16.34 \pm 5.85	18.91 \pm 5.87	16.37 \pm 5.86
Scots pine	15.57 \pm 5.47	16.56 \pm 4.90	15.58 \pm 5.46
Norway spruce	17.47 \pm 5.92	21.05 \pm 5.85	17.53 \pm 5.93
Broad-leaved	15.47 \pm 6.06	14.54 \pm 3.21	15.47 \pm 6.05

494

495 **Table 2.** Description of variable groups and independent variables used in the final models. In
 496 categorical variables the class mentioned first is used as the reference class in the models (i.e.
 497 parameters are estimated only to the other classes).

	data type	units/classes	data source
TREE			
Species group (SPECIES)	categorical	conifer, deciduous	NFI9
Tree height (HEIGHT)	numeric	cm	NFI9
STAND			
Cutting in the last 10 years (CUTTYPE)	categorical	none or thinning, regeneration cutting	NFI9, storm data
Decay in stand	categorical	absent, present	NFI9
New open area in wind direction (OPENAREA)	categorical	absent, present	storm data
TOPO2			
Slope steepness (SLOPE)	numeric	degrees	DEM2
TOPO10			
Slope steepness (SLOPE10)	numeric	degrees	DEM10

498 NFI9 – 9th National Forest Inventory, storm data – described in section 2.1, DEM2 – 2 m
 499 resolution digital elevation model, DEM10 – 10 m digital elevation model.

500 **Table 3.** Parameters used for scaling the continuous variables in the final models. Scaling to
501 mean 0 and standard deviation 1 were calculated as $X_{\text{scaled}} = (X - \mu) / \sigma$.

Variable	μ	σ
log(HEIGHT)	2.72	0.43
log(SLOPE + 0.1)	1.24	0.82
log(SLOPE10 + 0.1)	0.58	1.55

502

503 **Table 4.** Comparison of models with AIC, difference in AIC compared to the best model
 504 (Δ AIC), AIC weights (w_i) and AUC. For the explanations of the variable groups, see Table 2.

Model	AIC	Δ AIC	w_i	AUC
TREE + STAND + TOPO2	1551.58	0.00	0.48	0.70
TREE + STAND + TOPO2 + TOPO10	1553.44	1.86	0.19	0.70
TREE + STAND	1554.22	2.64	0.13	0.70
TREE	1554.37	2.79	0.12	0.66
TREE + STAND + TOPO10	1554.92	3.34	0.09	0.70
STAND	1576.76	25.18	0.00	0.62
TOPO2	1577.12	25.54	0.00	0.51
TOPO10	1579.51	27.93	0.00	0.53

Table 5. The fixed effect results and the covariance parameter estimates for the random effects (clusters and plots nested within clusters) of the best model. For the explanations of the fixed effects variables, see Table 2. Note that continuous variables were scaled before model fitting, parameters used in scaling can be found in Table 3.

Fixed effects	Estimate	St. Error	DF	t value	Pr > t
Intercept	-9.92	0.36	266	-27.95	<.001
TREE					
SPECIES _{deciduous}	-1.32	0.60	262	-2.19	0.030
log(HEIGHT)	0.55	0.17	16303	3.23	0.001
SPECIES _{deciduous} : log(HEIGHT)	-0.76	0.26	16303	-2.87	0.004
STAND					
OPENAREA	0.93	0.25	154	3.67	<.001
CUTTING _{regeneration}	1.17	0.51	71	2.29	0.025
DROT	0.84	0.42	57	2.01	0.050
TOPO2					
log(SLOPE)	-0.36	0.15	16303	-2.48	0.013
Random effects	Estimate	St. Error			
Cluster	2.68	0.79			
Plot (Cluster)	34.00	5.27			

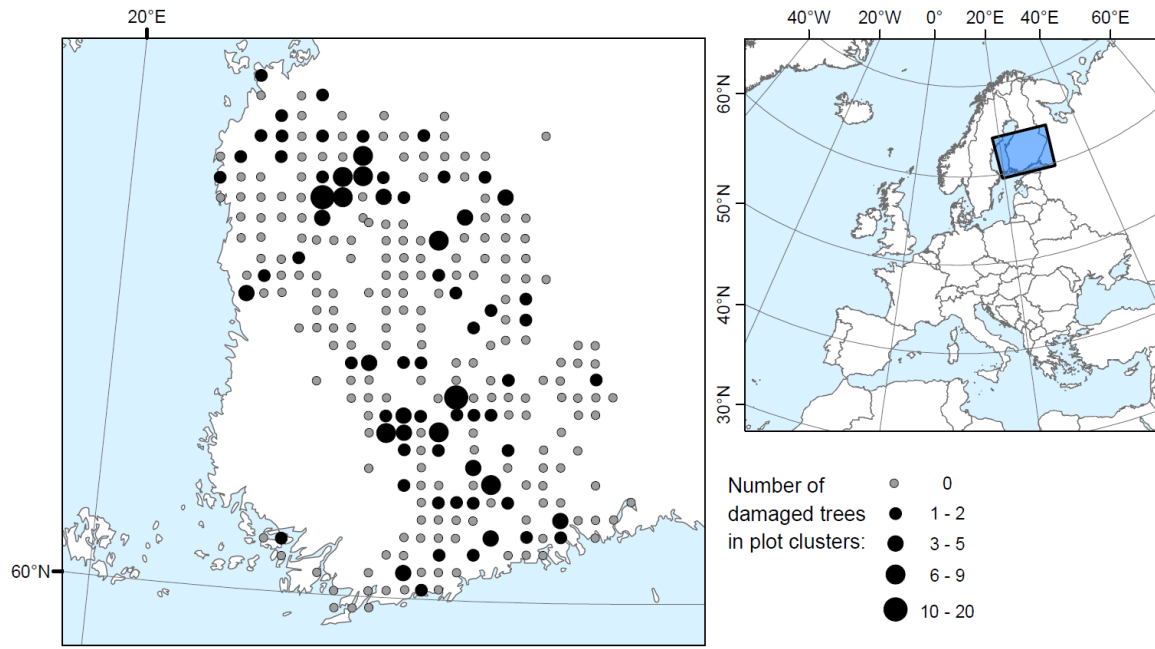


Figure 1. Map of the study area. The NFI9 plots where the storm damage data is collected from are shown in the figure on the left, the size of the dot refers to number of damaged trees in each plot cluster.

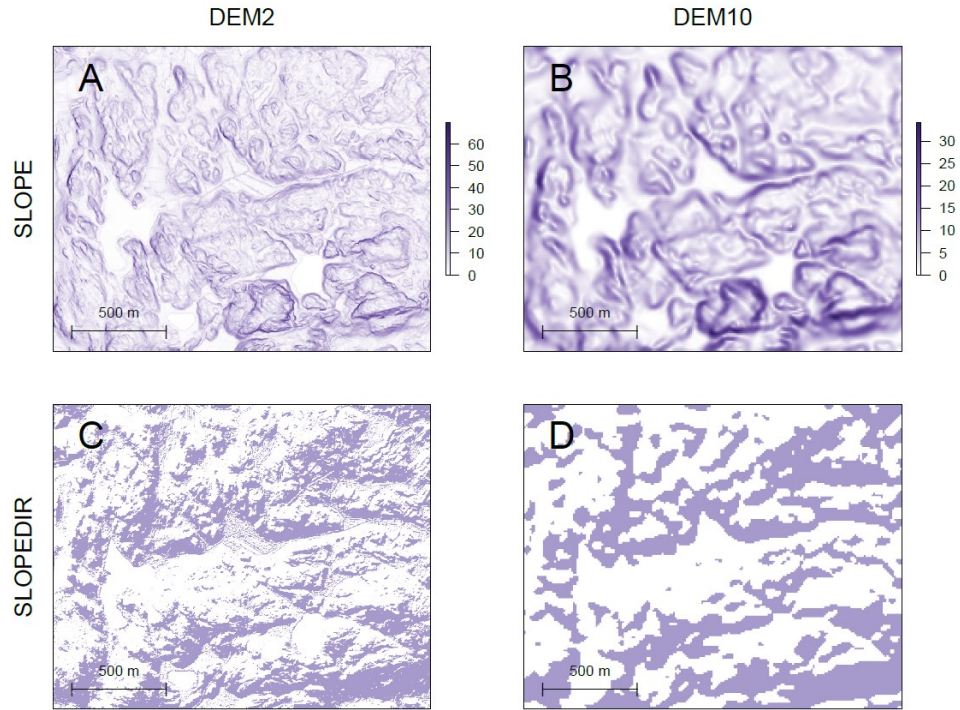


Figure 2. Examples of the topography variables calculated for the same area from digital elevation models (DEM) with different resolutions: Slope steepness (degrees) calculated from 2 meter resolution DEM (DEM2) (A) and 10 meter resolution DEM (DEM10) (B), slope direction, with shelter side shown as shadowed, calculated from DEM2 (C) and DEM10 (D). Top of the figures are towards north.

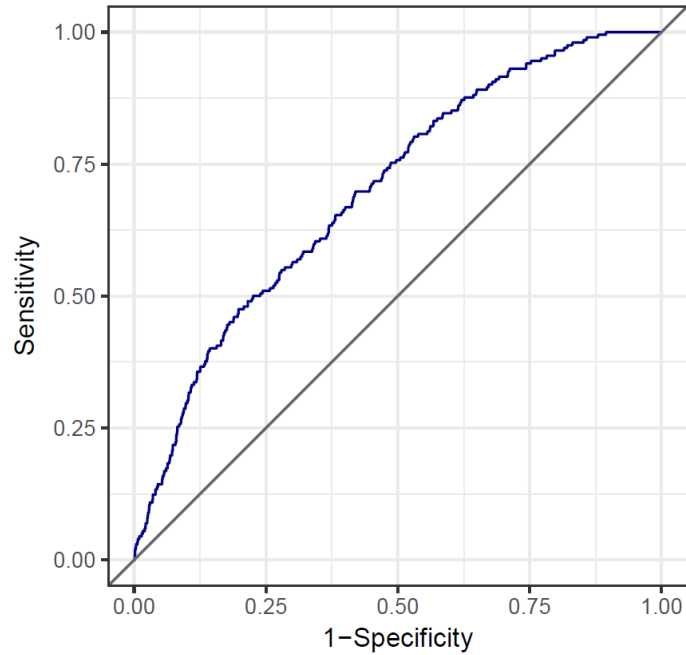


Figure 3. ROC curves of the model with the lowest AIC. The curve illustrates the discrimination ability of the model and shows the model's sensitivity (true positive rate) and 1-specificity (false positive rate) with different classification thresholds. Area under the curve (AUC) = 0.70.