

# Polar Biology

## Models of arctic-alpine refugia highlight importance of climate and local topography

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<b>Abstract:</b>	<p>This study aims to determine the effects of environmental factors on the distribution and species richness of refugia for arctic-alpine vegetation. We will assess the main drivers for the arctic-alpine refugia in our study areas in N Europe, defined as isolated pockets with multiple species occurrences outside their main distribution area, and how well they can be modelled. The study is based on a comprehensive vascular plant distribution data set combined with abiotic environmental data at a resolution of 1 km<sup>2</sup>. Cross-validated Boosted Regression Tree (BRT) modelling was employed to examine the effects of the climatic, topographic and geologic variables on refugia distribution and refugia species richness. Model testing was performed incrementally, i.e. first climate alone, then with additions of topography or geology, and concluding with a model including all predictors.</p> <p>All refugia distribution models (climate-only and different predictor combinations) performed well with mean area under curve (AUC) values higher than 0.85 and true skill statistics (TSS) values higher than 0.57. The inclusion of topography significantly improved model performance for both refugia distribution and refugia species richness. Climate has a central role in controlling the occurrence of refugia. However, topographic variables aid in recognizing the locally heterogeneous environments that sustain refugia. Refugia are thus driven by joint impacts of climatic and topographic factors that determine local thermal and moisture conditions. Our study demonstrates that the spatial patterns of refugia can be successfully modelled but emphasizes a need for high-quality data sampled at resolutions reflecting significant environmental gradients.</p>
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2 **Models of arctic-alpine refugia highlight importance of climate and local topography**

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15

16 **Abstract**

17 This study aims to determine the effects of environmental factors on the distribution and species richness of  
18 refugia for arctic-alpine vegetation. We will assess the main drivers for the arctic-alpine refugia in our study  
19 areas in N Europe, defined as isolated pockets with multiple species occurrences outside their main distribution  
20 area, and how well they can be modelled. The study is based on a comprehensive vascular plant distribution data  
21 set combined with abiotic environmental data at a resolution of 1 km<sup>2</sup>. Cross-validated Boosted Regression Tree  
22 (BRT) modelling was employed to examine the effects of the climatic, topographic and geologic variables on  
23 refugia distribution and refugia species richness. Model testing was performed incrementally, i.e. first climate  
24 alone, then with additions of topography or geology, and concluding with a model including all predictors.

25 All refugia distribution models (climate-only and different predictor combinations) performed well with mean  
26 area under curve (AUC) values higher than 0.85 and true skill statistics (TSS) values higher than 0.57. The  
27 inclusion of topography significantly improved model performance for both refugia distribution and refugia

28 species richness. Climate has a central role in controlling the occurrence of refugia. However, topographic  
29 variables aid in recognizing the locally heterogeneous environments that sustain refugia. Refugia are thus driven  
30 by joint impacts of climatic and topographic factors that determine local thermal and moisture conditions. Our  
31 study demonstrates that the spatial patterns of refugia can be successfully modelled but emphasizes a need for  
32 high-quality data sampled at resolutions reflecting significant environmental gradients.

33

34 **Keywords:** General Boosted Model; GBM; spatial modelling; species distribution models; refugium; high-  
35 latitude environments.

36

## 37 INTRODUCTION

38 Climate change is predicted to cause notable changes in high-latitude environments, causing fragmentation and  
39 structural changes in habitats and ultimately leading to local extinctions and range shifts of plant species  
40 (Ashcroft 2010; IPCC 2007; Root et al. 2003). Some species may, however, be able to persist in refugia (Skov  
41 and Svenning 2004). In general, refugia are considered as suitable locations for species to retreat to in  
42 unfavorable periods and re-disperse from if suitable environmental conditions return (Dobrowski 2011; Keppel  
43 et al. 2012). Projected climatic changes in high-latitude environments call for increased attention to the  
44 identification of locations that act as present-day refugia or could function as refugia in the future.

45           Two main lines of reasoning are relevant here. First, a number of studies call for the protection  
46 of contemporary and future refugia (Bush 1996; Noss 2001; Mawdsley et al. 2009) as they are increasingly  
47 considered as a means to reduce the impacts of environmental change on biota (Médail and Diadema 2009;  
48 Dobrowski 2011) and biodiversity (Barnosky 2008; Rull 2009; Ashcroft 2010; Vegas-Vilarrúbia et al. 2012).  
49 Second, identifying the main drivers of refugia occurrence and species richness, and developing models for  
50 these relationships, is of particular importance in the preservation of arctic ecosystems (Reside et al. 2013; Shoo  
51 et al. 2013) as the distribution of contemporary refugia can also provide decisive clues for determining the most  
52 probable locations of future refugia.

53           Despite growing interest in the supportive role of refugia in the face of climate change, our  
54 knowledge remains insufficient in regards to a number of research issues. In particular, refugia for cold-adapted

55 species have not been well documented (Bennett and Provan 2008; Stewart et al. 2010) and there is great  
56 uncertainty regarding the conditions governing their subsistence (Dobrowski 2011; Moritz and Agudo 2013).  
57 The importance of filling this gap in knowledge is enhanced by the fact that there are no on-going attempts to  
58 quantify contemporary refugia in arctic-alpine northern Europe, a region likely to experience notable changes in  
59 climate (ACIA 2004). This study aims to fill in such research gaps by incorporating extensive data sets with  
60 modern geoinformatics and spatial modelling tools to investigate the spatial patterns of contemporary refugia  
61 and their predictability. For the purposes of this study, we define refugia as isolated pockets of multiple species  
62 occurring outside a main distribution area. Based on this definition we will model and quantify the effects of  
63 climatic, topographic and geologic variables on the distribution and species richness of refugia for arctic-alpine  
64 plant species outside their main range area, starting with baseline climate-only models and building up to more  
65 complicated models, ultimately including predictors from all three variable categories. To achieve this we  
66 analyzed an extensive data set collected in north-western Finland and Norway, a region where refugia may be  
67 expected to strongly influence current and future vegetation patterns.

## 68 **MATERIALS AND METHODS**

### 69 **Study area**

70 The study area is located in northern Fennoscandia between 67°N and 69°N (Fig. 1 a). The climate in the region  
71 is sub-arctic and strongly affected by its location at the edge of the Eurasian continent, the influence of the Polar  
72 Front and the warm North Atlantic current, and the proximity of the Scandes Mountains (Fig. 1 b: Tikkanen  
73 2005; Aalto et al. 2014). Mean July temperature varies from 1.3 °C to 12.6 °C and mean annual precipitation  
74 from 423mm to 593mm (1981 – 2010 averages) (Aalto et al. 2014). Along with the noticeable climatic  
75 gradients, the area is characterized by strong topographic and geologic gradients. Elevational differences range  
76 from 72 to 1365 m.a.s.l. The vegetational gradient of the study area runs from spruce and Scots pine dominated  
77 forests in the south to mountain birch in the north, with tundra-like shrub-dominated vegetation above the tree-  
78 line (Sormunen et al. 2010; Aalto and Luoto 2014).

## 79 **Data**

### 80 **Refugia species**

81 A vegetation data set consisting of 2081 1 km<sup>2</sup> cells in north-western Finland served as the basis for this study.  
82 The species data was collected by professional and voluntary amateur botanists and refined using scientific  
83 literature and herbaria (Ryttäri and Kettunen 1997; Rassi et al. 2001). Two dependent variables were derived  
84 from the species data: (1) binomial refugia distribution and (2) refugia species richness.

85           Though refugia are species specific (Bennett and Provan 2008; Stewart et al. 2010), the  
86 favourable environmental conditions supporting refugia may overlap for several species (Keppel et al. 2012).  
87 Consequently, sites harbouring several refugia species simultaneously are potentially very valuable for future  
88 conservation planning. Arctic-alpine species (hereafter called refugia species) were inferred from our floristic  
89 data set as species with more than two thirds of their study area distribution in the arctic-alpine Scandes  
90 Mountains (Fig. 1; see Online Resource 1 for species list). This set of refugia species was then used to detect  
91 contemporary arctic-alpine refugia in the study region outside their main distribution area in the Scandes  
92 Mountains. As our focus was in building models for the refugia, the 1 km<sup>2</sup> cells located in the Scandes  
93 Mountains (which were used to infer refugia species) were disregarded from the subsequent model building  
94 (grey points in Fig. 1). Consequently, a total of 1552 cells were included in the calibration of our refugia models  
95 modelling (white points in Fig. 1). From the retained 1552 cells we appointed those with observations of  
96 multiple ( $\geq 5$ ) refugia species as refugia cells. The presence of multiple refugia species is a way of repeatedly  
97 identifying them as refugia and enables us to determine the most important predictors.

### 98 **Environmental predictors**

99 We used an extensive environmental 1 x 1 km data grid matching the species data and encompassing the entire  
100 study area to quantify dominant refugia predictors. A total of 11 ecologically appropriate and theoretically  
101 meaningful climatic, geologic and topographic variables (Körner 1999; Skov and Svenning 2004; Dobrowski  
102 2011; Scherrer and Körner 2011; Graae et al. 2012; Reside et al. 2013) were chosen for modelling both refugia  
103 distribution and species richness (Table 1). We assume refugia distribution to be linked to the physical

104 environment represented by these variables and, consequently, refugial species to show distinct relationships  
105 with one or more of the environmental factors considered here (Guisan et al. 1998).

106           The climate data set, comprising of observations from 61 stations in northern Fennoscandia,  
107 was acquired from the national observation networks of Finland (Finnish Meteorological Institute), Sweden  
108 (Swedish Meteorological and Hydrological Institute 2012) and Norway (the Norwegian Meteorological Institute  
109 2012). The temporal coverage corresponds to the recording period of the species data (1971 – 2000). Three  
110 mean climatic variables were calculated from monthly mean temperature and precipitation values (see Aalto et  
111 al. 2014): (1) growing degree days (*GDD3*; growing conditions); (2) freezing degree days (*FDD*; overwintering  
112 conditions) and; (3) water balance (*WAB*; available moisture). Two variables describing extreme temperatures  
113 were constructed alongside mean conditions as they may be especially characteristic of high-latitude  
114 environments (Aalto et al. 2014). The predicted rapid increase in extreme temperature events (Meehl and  
115 Tebaldi 2004) make them increasingly relevant for studies of ecological systems (Pimm 2009). In our study,  
116 daily minimum and maximum temperatures were used to delineate annual measures of extreme absolute  
117 temperatures. Lowest absolute minimum temperatures ( $T_{min}$ ; coolest within-cell sites) represent winter  
118 conditions, where colder temperatures are needed for the persistence of cold-adapted northern species. Lowest  
119 absolute maximum temperatures ( $T_{max}$ ; the coolest within-cell sites within a warmer matrix) represent sites that  
120 remain relatively cool when the surrounding area warms up in the summer, i.e. conditions that are necessary for  
121 the survival of northern species under the warming climate.

122           Topography can also exert a strong influence on growing conditions and the distribution of  
123 potential refugia (Ackerly et al. 2010; Austin and Van Niel 2011; Scherrer and Körner 2011; Keppel et al.  
124 2012). The topographic variables used here were based on an Aster -derived digital elevation model (DEM;  
125 spatial resolution 25 m<sup>2</sup>; Land Survey of Finland, 2013) and calculated following Aalto and Luoto (2014). Three  
126 topography-based variables were selected: (1) incoming potential solar *radiation* (surface temperature  
127 conditions (McCune and Keon 2002)); (2) topographic wetness index (*TWI*; availability of soil moisture from  
128 upslope areas (Beven and Kirky 1979)); and (3) *slope* angle (slope processes). These variables are good proxies  
129 for the microclimates of rugged terrain (Guisan and Zimmermann 2000; Dobrowski 2011) and  
130 geomorphological processes (Randin et al. 2009) affecting species distributions in high-latitude landscapes.

131 *Slope* and *TWI* have also been found to be good predictors of soil moisture (Penna et al. 2009), which is a key  
132 driver of vegetation properties (le Roux et al. 2013).

133 Geology influences vegetation through soil properties (Guisan et al. 1998; Austin and Van Niel  
134 2010). Three geologic variables were chosen for this study: (1) *calcareousness* (soil pH, shown to improve the  
135 predictive power of species distribution models (Dubuis et al. 2012)), (2) *soil diversity* (variability of growing  
136 substrate: rock, sand, peat, till) and (3) *rock cover* (cliffs, rocky outcrops, scree; considered here as its own  
137 variable as it can be significant in predicting species distributions in harsh environments (Guisan et al. 1998)).  
138 The geological predictors used here were reclassified from a digital database (Geological Survey of Finland:  
139 2010) and transformed following Aalto and Luoto (2014).

#### 140 **Data analysis**

141 We combined species distribution data with environmental predictors to determine the drivers of refugia  
142 and their species richness in our study area. Predictor and response variable relationships were quantified using  
143 boosted regression tree (BRT) modelling, a form of regression capable of modelling complex nonlinear  
144 functions (Elith et al. 2008). Comparative analyses have rated BRT performance highly (Elith et al. 2006;  
145 Heikkinen et al. 2012). BRTs simultaneously use numerous trees and consider all predictors as well as  
146 interactions to improve model performance and predictive ability (Elith et al. 2006, 2008; De'ath 2007;  
147 Leathwick et al. 2008). BRTs compute the relative influence of each variable based on capacity to reduce  
148 overall model deviance and contribution to predictive ability. Higher relative influence values point to stronger  
149 effects of the predictor on the response variable (De'ath et al. 2007; Elith et al. 2008). In the first phase of the  
150 modelling process, models were calibrated using only 1 km<sup>2</sup> grid cells with available vegetation data. All  
151 statistical analyses were performed using the statistical software R (version 3.0.2; R Foundation for Statistical  
152 Computing, Vienna, AT).

153 The BRT models (interaction depth = 4, number of iterations/ trees = 3000) were run using the  
154 *gbm* -package (Ridgeway 2013). Models were built to assess the importance of different environmental variable  
155 groups and to evaluate the relative influence of individual variables on refugia. The response variables, (a)  
156 refugia distribution and (b) refugia species richness, were fitted with identical sets of environmental predictors  
157 (Fig. 2) using Bernoulli and Poisson distributions, respectively.



158                   Eight different models were run following the methodology of Guisan and Zimmermann (2000)  
159 and le Roux et al. (2012; 2013) to assess model transferability: projections were cross-validated with 999 runs,  
160 each time selecting a different 70% random data sample while verifying model accuracy against the remaining  
161 30%. We assessed the predictive power of the refugia distribution models by comparing the observed and  
162 predicted refugia occurrences by calculating the mean values of the area under the curve of a receiver operating  
163 characteristic plot (AUC; Fielding and Bell 1997) and the true skill statistics (TSS; Allouche et al. 2006) based  
164 on the evaluation runs. AUC values generally range from a random (AUC 0.5) to a perfect fit (AUC 1.0), with  
165 AUC values higher than 0.7 deemed a fair fit (see Swets 1988). A TSS value of 1 indicates perfect agreement;  
166 zero or below indicates a performance no better than random (Allouche et al. 2006). The models for refugia  
167 species richness were examined with the same cross-validation procedure but using Spearman's rho ( $\rho$ ) analysis.  
168 A non-parametric Wilcoxon's test was employed to examine whether explanatory power and predictive  
169 accuracy differed significantly between models.

170                   With the exception of temperature extremes ( $T_{max}$  and  $T_{min}$ ) and *soil diversity*, all variables are  
171 expressed as mean values, as calculated for the 1 km<sup>2</sup> cells included in the study. Some correlations exist  
172 between the extreme temperature variables, *GDD3* and *WAB*. *Slope* is also strongly correlated with *TWI*, as the  
173 former is used to calculate the latter (Online Resource 2).

174                   In the second phase of the modelling process, we produced final prediction maps to illustrate the  
175 spatial predictions of the contemporary refugia for a wider area, i.e. the region in which the 1552 1 km<sup>2</sup> cells  
176 used in model calibration are embedded. Here, the derived models were fitted to the environmental data  
177 covering the entire study area with 1 km<sup>2</sup> grid cells (n=25 766), thus enabling us to predict refugia occurrence  
178 for the whole area.

## 179 **RESULTS**

### 180 **Refugia distribution**

181 We identified 109 1 km<sup>2</sup> grid cells harbouring refugia based on the species data available for our study area (Fig.  
182 3). Refugia occurrence resembles a proximal distribution with few outliers situated diffusely in the south, thus  
183 showing a gradual decrease in refugia with distance to the main distribution area (Fig. 3).

184                   The mean AUC values for all models are higher than 0.85, indicating that all combinations of  
185 predictor variables are fairly good at predicting refugia distribution (Fig. 4a). Model TSS values demonstrate a  
186 moderately good explanatory power for the studied variables (Allouche et al. 2006). However, statistically  
187 significant differences between the models were evident. Adding topography to the climate-only model  
188 significantly improved predictive power, making the climate + topography model (Fig. 4a ii) the best  
189 combination of predictors for refugia distribution according to AUC values. The full model (Fig. 4a iv),  
190 however, has the highest mean TSS value. Additions of geologic variables improve the climate model only  
191 according to TSS values (Fig. 4a iii).

192                   The importance of climatic predictors is pronounced (Fig. 5). *WAB* was constantly shown as the  
193 most influential variable within all models of refugia distribution, with areas of high *WAB* promoting extreme  
194 habitats (Online Resource 4: Fig. 1). Refugia are located in 1 km<sup>2</sup> cells which have sites that become neither too  
195 hot in summers nor too cold during winters, i.e. they host less extreme environments in regards to  $T_{max}$  and  $T_{min}$   
196 (Fig. 5; Online Resource 4: Fig. 1). Correlations are also evident between refugia distribution and topographic  
197 predictors. The importance of *slope* indicates that refugia are more often found in steeper than flatter terrain  
198 (Fig. 5; Online Resource 4: Fig. 1). Improvements to model performance from the inclusion of geologic  
199 variables were indistinct and minor (Fig. 5). Model projections for refugia occurrence across the entire study  
200 area are visualized in Figure 6. These prediction maps mirror results seen in Figures 4a and 5. They visually  
201 demonstrate climatic significance at this scale of analysis, similarities between models, and a slight increase in  
202 the detail of the spatial pattern of refugia distribution, especially to the south, resulting from the addition of local  
203 topographic predictors to climate-only models.

#### 204 **Refugia species richness**

205                   The environmental variables studied here fare better in explaining refugia species richness than refugia  
206 distribution (mean Spearman's rho ( $\rho$ ) values 0.53 and 0.37, respectively) (Online Resource 4: Fig. 2). All mean  
207 Spearman's rho ( $\rho$ ) values are higher than 0.50, suggesting that all variable combinations are fairly good  
208 predictors of refugia species richness with marginally significant differences between models (Fig. 4b). The sole  
209 exception to significantly improve predictive power was the addition of topography to the climate model (Fig.  
210 4b ii).

211                   Refugia species richness is prolifically affected by the *WAB* gradient (Fig. 5), the most  
212 important variable in the full model.  $T_{max}$  is the most important climatic variable when effects of topography are  
213 not directly accounted for, with more species favouring less extreme temperatures in regards to both extreme  
214 temperature variables. The topographic variable *slope* has the most relevant influence on refugia species  
215 richness, followed by the effects of climatic conditions (Fig. 5). A clear threshold exists with topographically  
216 heterogeneous areas where slopes steeper than 15° display greater refugia species richness (Online Resource 4:  
217 Fig. 2). The geological variables consistently showed the weakest overall explanatory power for refugia species  
218 richness (Fig. 5).

## 219 **DISCUSSION**

220 To decipher where refugia might be located in the future and why, we must develop robust models to predict  
221 their current distributions and ascertain the key drivers underlying them. This study provides promising results  
222 for this task as we were successfully able to locate and model contemporary refugia, as well as infer factors  
223 affecting their suitability for multiple refugia species based on climatic, topographic and geologic parameters.  
224 Our results suggest that useful predictive models for refugia distribution can be developed by relating key  
225 environmental features with species occurrences, thus highlighting the significance of spatially explicit species'  
226 data and reliable, fine-resolution climate and environmental data (Austin and Van Niell 2010, 2011). We echo  
227 notions put forth by Luoto and Heikkinen (2008) and Austin and Van Niell (2011) concerning the inclusion of  
228 topography leading to more robust estimates of species distributions.

229                   Noticeable trends and the pronounced contributions of certain variables enabled us to  
230 distinguish suitable physical drivers of contemporary refugia. Trends included refugia preference to  
231 environments differing from regional means (e.g. Taberlet and Cheddadi 2002; Ackerly et al. 2010), locations  
232 with steep slopes or moist soil conditions (e.g. Rull 2009; Dobrowski 2010), cooler or shorter growing seasons  
233 and coolest within-cell meso-climates (e.g. Dobrowski et al. 2010), all landscape features supporting refugia  
234 development and boosting refugial biodiversity in the studied arctic-alpine region. Variables indicating suitable  
235 moisture conditions and the presence of relatively cool sites (Olson et al. 2012) and slopes were constantly  
236 identified as the most controlling and influential factors for both refugia distribution and species richness with a  
237 pooled variable influence between 63 – 79% in all models.

238 Our results suggest that climate is a key determinant of refugia at the meso-scale. Derived  
239 prediction maps show that the probabilities of refugia occurrence over the whole study region are mainly low  
240 (<5%). This, along with the high relevance of climatic variables, reinforces the cautionary remarks on how well  
241 climate trajectories will enable the re-dispersal of refugia species (Hannah et al. 2014). Still, refugia provide one  
242 of the most promising means to support species survival under adverse climates (Birks and Willis 2008; Keppel  
243 et al. 2012). In our results, all climate-only model predictions were improved by the incorporation of topography  
244 and the importance of the most influential variables relies on the inclusion of both climatic and topographic  
245 predictor sets. This provides clear support for the complementary significance of local factors for refugial  
246 persistence (Sormunen et al. 2010). Moisture conditions were the most important predictors of refugia  
247 distribution while the effects of slope was integral to explaining refugia species richness, suggesting that while  
248 climate is key in controlling where refugia occur, topographic factors enable the persistence of multiple species  
249 in these refugia.

250 Cells with refugia in our study area are characterized by moister conditions resulting from high  
251 precipitation or low evapotranspiration, which is in agreement with a number of earlier studies (Armbruster et  
252 al. 2007; Thomas Fickert 2007; Ackerly et al. 2010). Growing season temperatures were of higher relative  
253 importance than overwintering temperatures, suggesting that the avoidance of summer time temperature highs is  
254 critical for arctic-alpine refugia. Despite the significance of mean growing conditions, the refugia in our study  
255 appear to be more affected by climatic extremes. The relative influence of growing conditions is surpassed by  
256 the presence of relatively cooler sites in all models, possibly displaying the climatic stability offered by more  
257 oceanic climates (Aalto et al. 2014) and reflected in the proximal distribution of the refugia. These results,  
258 concordant with research in different climatic conditions (Noss 2001; Shoo et al. 2010; Ashcroft and Gollan  
259 2013), show that refugia may thus be more susceptible to changes in climatic extremes than fluctuations in  
260 seasonal temperatures. Refugia provide species with cooler locales when temperatures reach their maximum:  
261 lowest maximum temperatures of refugia are, on average, 2.2°C cooler than non-refugial cells (Online Resource  
262 2).

263 Topography has a clear effect on the extreme temperatures of the region (Aalto et al. 2014),  
264 seen in our results through the importance of the lowest maximum temperatures when topography is not taken  
265 into account. Our results showcase the importance of refugial cooling effects for arctic-alpine species, possibly

266 resulting in increased temperature gradients and thereby leading to more diverse habitats (Fridley 2009;  
267 Ashcroft 2010). Consequently, the importance of refugia can be seen as two-fold: protecting species from  
268 environmental change as well as increasing environmental diversity.

269           Steeper slopes provide ideal habitats for many refugia species (Online Resource 4: Figs. 1 and  
270 2). Slope-related factors have been shown to effect biodiversity (Körner 2005; Bennie et al. 2006), possibly  
271 manifesting through topographic influence on climate, such as steeper slopes decoupling local climates from the  
272 regional (Hampe et al. 2013). The role of steep landforms in the study area as well as in the adjacent Scandes  
273 Mountains may become increasingly vital for species persistence in the future. This issue is linked with the  
274 question on how earth surface processes (ESPs; e.g. active geomorphic processes related to slope) might  
275 influence refugia, especially as they have been shown to improve species richness and distribution model  
276 accuracy for arctic–alpine species in particular (Luoto and le Roux 2014). Improvements to model performance  
277 from the inclusion of geologic predictors were minor and indistinct, suggesting that geologic data is not essential  
278 for refugia modelling at the meso-scale (here, resolution of 1 km<sup>2</sup>) (Anderson and Ferree 2010). Another  
279 potential explanation is that the effect of geological conditions may be imperative only for individual refugia  
280 species and thus remain undetected in multi-species analyses.

281           More generally, due to the spatial scale of this study it cannot be determined whether the  
282 significance of the factors deemed here as important for refugia is direct or indirect. Though both coarse and  
283 fine scale processes are relevant for assessing changes in species' distributions under changing climates, fine  
284 scale analysis would capture more precise effects of current and forthcoming changes on biota. Refugia species  
285 richness was more accurately predicted than occurrence, emphasizing the importance of considering refugia in  
286 terms of biodiversity conservation (Taberlet and Cheddadi 2002) and yet, adversely, underlining difficulties in  
287 locating refugia for individual species and the need to prioritise species at greatest risk (Skov and Svenning  
288 2004). Our results support the notion that single refugia are not necessarily suitable for multiple at-risk species,  
289 so potential differences between refugia must be carefully considered.

290           The moderate explanatory power of the refugia distribution models might be explained by  
291 issues of temporality and spatiality: firstly, our models do not capture refugia dynamics (see Hannah et al. 2014)  
292 and secondly, occurrences of some refugia, particularly those inhabited by threatened species or including

293 particularly sensitive habitats, may be governed by factors operating at finer scales than those employed here  
294 (Brown 2010). Though our use of meso-scale climate data goes some way in addressing issues of scale, our  
295 quantification of contemporary refugia relies on the identification method used, and as such cannot be used to  
296 address issues of long-term climate change (Ashcroft et al. 2012). Refugia connectivity is greater in areas closer  
297 to the main distribution area (Fig. 3), but whether this is due to limitations by environmental conditions or poor  
298 species' dispersal ability is difficult to judge. The ability of species to disperse into previously unoccupied *ex*  
299 *situ* refugia should also be addressed, especially in light of the proximal nature of refugia occurrence in the area.

300

## 301 **CONCLUSIONS**

302 Climate alone has significant control on arctic-alpine plant refugia, though refugia species also appear to favour  
303 topographically heterogeneous environments. Modifications to broader environmental conditions through local  
304 features create fundamental environmental conditions that support refugia species, such as cooler climates  
305 resulting from a high water balance, as well as steep slopes and avoidance of extreme temperatures. As predictor  
306 effects on refugia species richness are bound to include species-specific responses, it is important to predict  
307 which species are most likely to be restricted to refugia in the future. Our results provide interesting avenues for  
308 further research, in which finer scale species data combined with measures of local climate, topography and  
309 other appropriate variables could give a more detailed outlook on the futures of these arctic-alpine species.  
310 However, already the findings of this study demonstrate the importance of appropriately scaled species' and  
311 environmental data at suitable resolutions and, by mapping contemporary refugia, provide a template for  
312 developing a better understanding of the processes governing refugia in changing arctic-alpine landscapes.

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317 vegetation data and Juha Aalto for helping with gathering the environmental data and data analysis.

318 **Supplementary material**

319 Additional supporting information may be found in the online version of this article:

320 **Online Resource 1** List of the refugia species (species with more than two thirds of their study area distribution  
321 occurring in the arctic-alpine Scandes Mountains) used in this analysis

322 **Online Resource 2** Variable correlation matrix showing Spearman's rho ( $\rho$ ) values between explanatory  
323 variables

324 **Online Resource 3** Table showing variable coefficients

325 **Online Resource 4** Response curves predicted by the full models for refugia distribution (Fig. 1) and refugia  
326 species richness (Fig. 2)

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451 **Figure captions**

452 **Fig. 1** The location and average temperature conditions (1971 – 2000: Finnish Meteorological Institute) of the  
 453 study area in northern Fennoscandia with the locations of the sites with available plant distribution data: sites  
 454 within the Scandes Mountains (dark grey points) were used to infer refugia species based on species distribution  
 455 data (white points) and were subsequently excluded from analysis. The dashed line in the main map shows the  
 456 study area subset seen in Fig. 3

457 **Table 1** Descriptions of the environmental variables used in this study showing mean, minimum (Min) and  
 458 maximum (Max) values

459 **Fig. 2** The structure of the models (i. – iv.) used to explain (a) refugia distribution and (b) refugia species  
460 richness

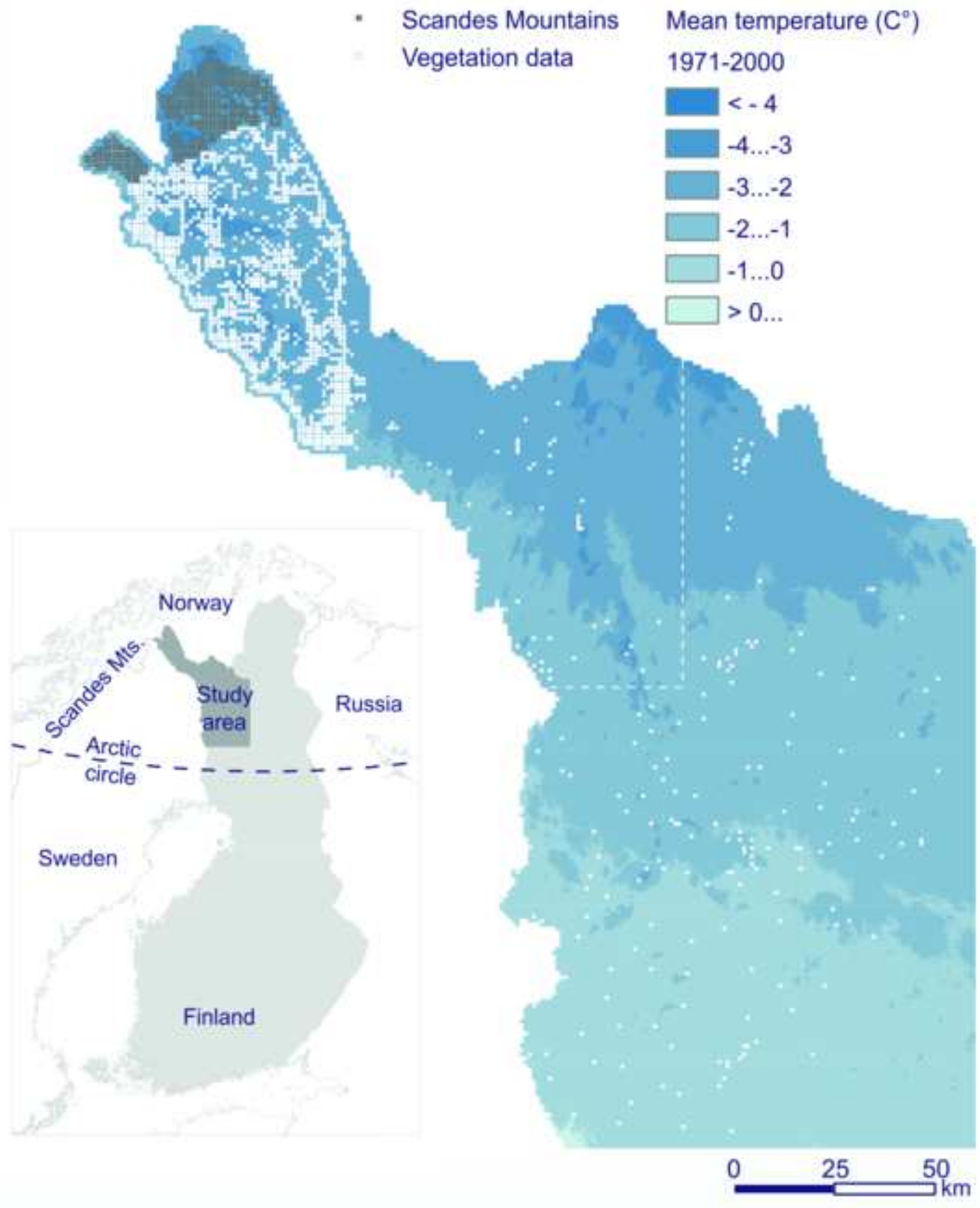
461 **Fig. 3** The locations of the refugia (black points) in relation to the three most influential variables: (a) mean  
462 water balance (*WAB*: mm), (b) lowest maximal temperatures ( $T_{max}$ : °C) and (c) mean slope (*Slope*: degrees). The  
463 subset of the study area used in this visualization can be observed in the dashed line in the main map of Fig. 1

464 **Fig. 4** Model accuracy in **a)** predicting refugia occurrence. Mean AUC (area under the curve of a receiver  
465 operating characteristic plot), TSS (true skill statistic) and Wilcoxon signed rank test p-values indicating change  
466 in the models predictive ability when adding either or both the topography/geology group to the climate model;  
467 and, **b)** predicting refugia species richness. Spearman's rank correlation coefficients ( $r_s$ ) and Wilcoxon signed  
468 rank test p-values indicating change in the models predictive ability when adding either or both the  
469 topography/geology group to the climate model. Change in predictive ability is indicated by asterisks. \*\*\*  
470 Highly significant change in predictive ability ( $p < 0.001$ ); \*\* significant change ( $p < 0.01$ ); \* marginally  
471 significant change ( $p < 0.05$ ); ns, no significant change

472 **Fig. 5** Variable influence (%) for all BRT models (i – iv) for refugia distribution (a) and refugia species richness  
473 (b). The y-axis for each panel shows the relative influence of each variable within the model. Clim., Topo. and  
474 Geo. indicate the individual variables comprising the climatic, topographic and geologic factors. High relative  
475 influence corresponds to a strong influence of a predictor on the response variable

476 **Fig. 6** The predicted occurrence of refugia across the whole study area using (a) climatic; (b) climatic and  
477 topographic; (c) climatic, topographic and geologic variables. Red indicates cells where model predictions  
478 indicate a high probability of refugia occurrence; blue specifies cells where the model predicts a low probability  
479 of refugia occurrence. Black marks indicate the refugia discovered in this study

Figure  
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**I) Refugia a) distribution, b) species richness =  
*GDD3 + FDD + WAB + Tmax + Tmin*  
(climate model)**

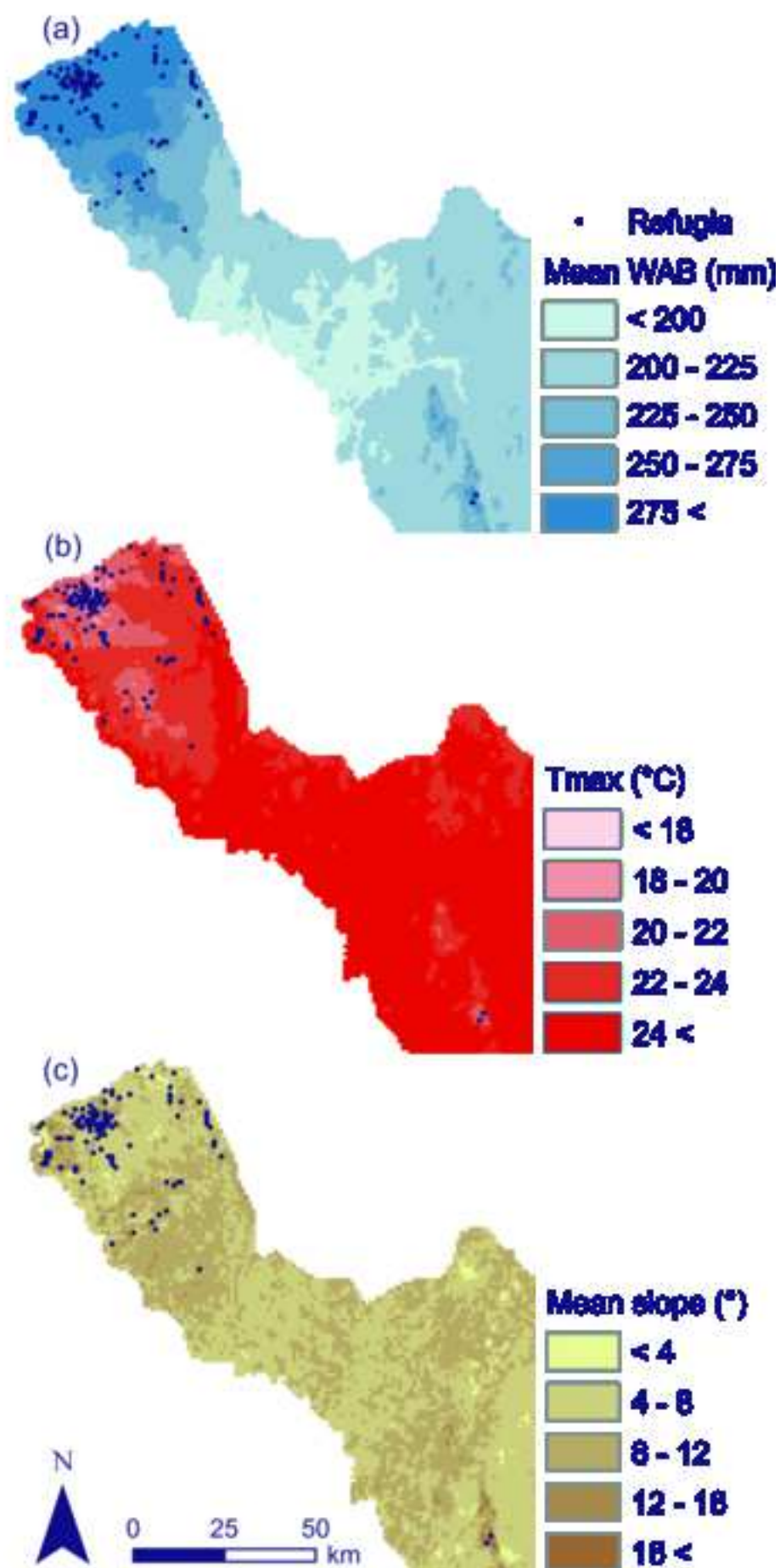
**II) Refugia a) distribution, b) species richness =  
climate model + *TWI + slope + radiation*  
(climate + topography model)**

**III) Refugia a) distribution, b) species richness =  
climate model + *rock cover + soil diversity + calcareousness*  
(climate + geology model)**

**IV) Refugia a) distribution, b) species richness =  
climate model + *TWI + slope + radiation +  
rock cover + soil diversity + calcareousness*  
(full model)**

Figure

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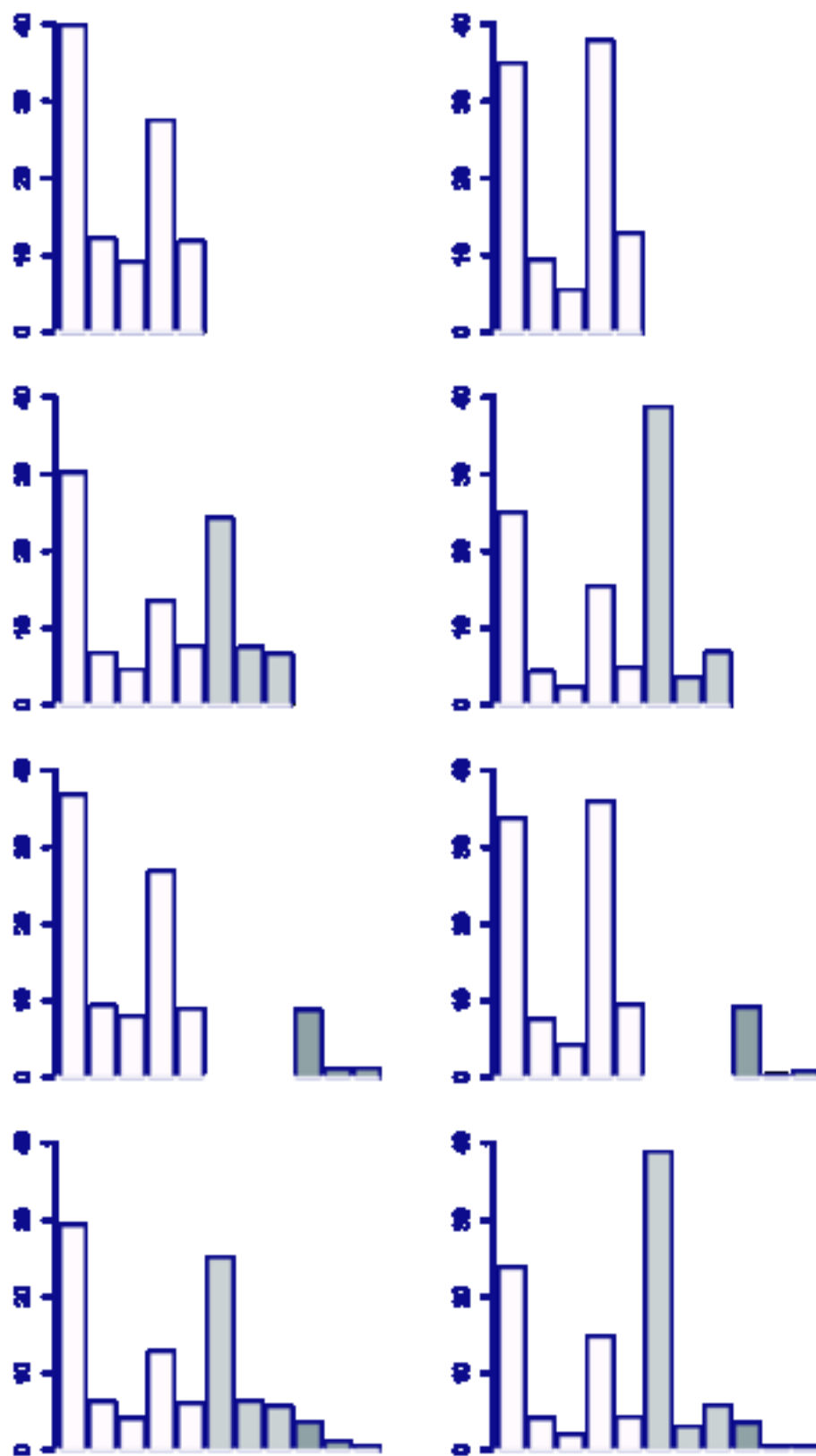
**(a)**

<b>(I) Climate-only</b>		<b>(II) Climate + topography</b>		<b>(III) Climate + geology</b>	
AUC	TSS	AUC	TSS	AUC	TSS
0.8563	0.5772	0.8644***	0.5989***	0.8555ns	0.5844***
<b>(iv) Full model</b>					
AUC	TSS				
0.8634ns/***	0.5993ns/***				

**(b)**

<b>(I) Climate-only</b>		<b>(II) Climate + topography</b>		<b>(III) Climate + geology</b>	
correlation		correlation		correlation	
0.506		0.5064*		0.5049ns	
<b>(iv) Full model</b>					
correlation					
0.5066ns/***					

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Table

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Category	Variable	Description	Unit	Mean	Min	Max
Climate	GDD3	Growing degree days (accumulated daily temperature sum above 3°C)	°C	623.6	267.7	1117.6
	FDD	Freezing degree days (accumulated daily temperature below 0°C)	°C	-1794.9	-2062.5	-1536.9
	WAB	Water balance ( $\Delta$ annual precipitation sum vs. potential evapotranspiration)	mm	259.3	191.9	368.2
	Tmax	Lowest absolute maximum temperature	°C	23.4	18.6	27.4
	Tmin	Lowest absolute minimum temperature	°C	-32.1	-42.4	-24.3
Topography	Slope	Slope angle	Degree	8.0	1.1	22.9
	Radiation	Potential annual direct radiation	MJ/cm <sup>2</sup> /a	0.43	0.28	0.59
	TWI	Topographic wetness index	Index	6.9	5.7	7.9
Geology	Calcareousness	Cover of calcareous substrates	%	8.1	0	49.6
	Soil diversity	Presence of peat, rock, sand and/or silt	Numeric	2.9	1	4
	Rock cover	Cover of rocks	% (scaled)	0	0	1

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