

1 **Reconstructing the ancestral phenotypes of great apes and**  
2 **humans (Homininae) using sub-species level phylogenies**

3 Keaghan Yaxley<sup>1</sup> and Robert Foley<sup>2</sup>

4 <sup>1</sup>Leverhulme Centre for Human Evolutionary Studies, University of Cambridge, UK

5 <sup>2</sup>Leverhulme Centre for Human Evolutionary Studies, University of Cambridge, UK

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## 9 **Abstract**

10 By their close affinity, the African great apes are of interest to the study of human evolution.  
11 While numerous researchers have described the ancestors we share with these species with  
12 reference to extant great apes, few have done so with phylogenetic comparative methods.  
13 One obstacle to the application of these techniques is the within-species phenotypic variation  
14 found in this group. Here we leverage this variation, modelling common ancestors using  
15 Ancestral State Reconstructions (ASRs) with reference to subspecies level trait data. A  
16 subspecies level phylogeny of the African great apes and humans was estimated from full-  
17 genome mtDNA sequences and used to implement ASRs for fifteen continuous traits known  
18 to vary between great ape subspecies. While including within-species phenotypic variation  
19 increased phylogenetic signal for our traits and improved the performance of our ASRs,  
20 whether this was done through the inclusion of subspecies phylogeny or through the use of  
21 existing methods made little difference. Our ASRs corroborate previous findings that the Last  
22 Common Ancestor (LCA) of humans, chimpanzees and bonobos was a chimp-like animal,  
23 but also suggest that the LCA of humans, chimpanzees, bonobos and gorillas was an animal  
24 unlike any extant African great ape.

## 25 **Keywords**

26 African Apes - Ancestral State Reconstruction - Apes - Bayesian - Comparative Biology -  
27 Homininae - Human Evolution - Last Common Ancestor - Phylogenetics - Phenotypic  
28 Variation

## 29 **Introduction**

30 **Since the time of Darwin and Huxley, the relationship between humans and apes has been a**  
31 **source of both controversy and information about human evolution. Since the establishment**

32 of the techniques of molecular evolutionary biology, the phylogenetic relationships have been  
33 clarified. This has not led to the closing down of an area of research, but rather has opened up  
34 new opportunities. In particular, phylogenetic techniques allow the reconstruction of  
35 ancestral states – for example, the nature of the last common ancestor of humans and  
36 chimpanzees. However, these techniques are sensitive to the domains of data selected, the  
37 amount of within and between taxon variation, and the pattern of evolution across the  
38 branches. Here we reconstruct hominin ancestral states across several domains and explore  
39 the influence of sub-species patterning among the apes.

#### 40 *The Homininae*

41 Humans, the four species of African great apes - chimpanzees (*Pan troglodytes*), bonobos  
42 (*Pan paniscus*) and the eastern and western gorillas (*Gorilla gorilla* and *Gorilla beringei*) -  
43 and their extinct relatives comprise a monophyletic clade usually referred to as the subfamily  
44 Homininae. Many studies have compared these species in order to make inferences about the  
45 evolutionary history of humans, with a considerable effort being dedicated to describing the  
46 Last Common Ancestor of chimpanzees, bonobos and humans (LCA<sub>H-P</sub>). Typically, this has  
47 involved referential modelling - treating an extant ape as analogous to the LCA<sub>H-P</sub> for at least  
48 some traits of interest. Chimpanzees tend to be the preferred candidate (Pilbeam &  
49 Lieberman, 2017), but bonobos have also been put forward (Parish, De Waal, & Haig, 2006),  
50 and others have argued that the fossil species like *Ardipithecus ramidus* point to a more  
51 generalised ape, unlike any modern species (Lovejoy, 2009; Lovejoy *et al.*, 2009a,b; White *et*  
52 *al.*, 2009, 2015). Some studies used a more formal phylogenetic method, but did not use  
53 Ancestral State Reconstructions (ASRs) (Wrangham, 1987; Foley & Lee, 1989).  
54 ASRs are a class of phylogenetic comparative methods which use the trait data and  
55 phylogeny for a set for taxa to estimate the state of a trait in the last common ancestor of

56 those taxa. ASRs are routinely applied to published phylogenies to test macroevolutionary  
57 hypotheses (for recent examples see Limeri & Morehouse, 2016; Pereira *et al.*, 2017; De  
58 Meester, Huyghe, & Van Damme, 2019; Audino, Serb, & Marian, 2019). Yet only a handful  
59 of studies have applied these to the Homininae (Duda & Zrzavy, 2013; Herlyn, 2016;  
60 Schroeder & von Cramon-Taubadel, 2017). For example, Herlyn (2016) through maximum  
61 parsimony inferred ancestral states for the primates, including the Homininae, based on  
62 published species-level, while Duda and Zrzavy (2016) undertook a species-level  
63 reconstruction of 65 discrete character traits for all extant apes, using both maximum  
64 likelihood and maximum parsimony techniques.

65 One obstacle to the use of ASRs with the Homininae is the considerable amount of within-  
66 species phenotypic variation. For example, between populations chimpanzees are known to  
67 vary in mean body size for both male and female individuals (Smith & Jungers, 1997;  
68 Grabowski, Hatala, & Jungers, 2018), group size (Furuichi, 2009) and tool use (McGrew,  
69 2010a). Phenotypic variation is particularly well documented for cultural behaviour in the  
70 Homininae, with different research sites reporting different suites of putatively cultural tools  
71 and behaviours in chimpanzees (Whiten *et al.*, 1999; Sanz & Morgan, 2007; Langergraber *et*  
72 *al.*, 2011), bonobos (Hohmann & Fruth, 2003) and, most recently, gorillas (Robbins *et al.*,  
73 2016). If we were simply to take the species mean these traits we would (1) sacrifice  
74 information about the variation in a population and (2) risk attributing a trait value to a  
75 species which is not actually represented in any living population.

76 However, there are ways that these challenges can be overcome. Firstly, ASR methods now  
77 exist that can incorporate phenotypic variation into their analysis, allowing researchers to  
78 assign multiple trait values to a single tip (Pagel *et al.*, 2004; Felsenstein, 2008; Bruggeman,  
79 Heringa, & Brandt, 2009; Goolsby, Bruggeman, & Ané, 2017). Secondly, much of the

80 phenotypic variation reported among the Homininae is found between the genetically and  
81 geographically distinct subspecies of both gorillas and chimpanzees. Given this, it is  
82 plausible that the problem of phenotypic variation can be overcome by (1) using ASR  
83 techniques that explicitly account for it, and (2) treating subspecies rather than species as the  
84 operational taxonomic unit. In fact, a few such studies already exist (McGrew, 2010b;  
85 Schroeder & von Cramon-Taubadel, 2017). We also expect the inclusion of subspecies to  
86 improve the performance of most ASRs as increasing taxon sampling is known to reduce the  
87 uncertainty of ancestral state estimates (Alisbury & Kim, 2001). Thus, we propose that the  
88 application of phylogenetic comparative methods to the Homininae, may be improved by the  
89 inclusion of subspecies-level trait data and a subspecies phylogeny.

#### 90 *A topology for Homininae subspecies*

91 While once a topic of debate, the topology of the Homininae is now well understood  
92 (Goodman, 1963; Sarich & Wilson, 1967; Wilson & Sarich, 1969; Sibley & Ahlquist, 1987;  
93 Lebedev *et al.*, 2000; Stone *et al.*, 2002; Salem *et al.*, 2003; Thalmann *et al.*, 2006; Becquet  
94 *et al.*, 2007; Caswell *et al.*, 2008; Gonder *et al.*, 2011). In particular, molecular studies have  
95 untangled the relationships among the four purported subspecies of chimpanzees - Western  
96 (*Pan troglodytes verus*), Central (*Pan troglodytes troglodytes*), Eastern (*Pan troglodytes*  
97 *schweinfurthii*) and the Nigeria-Cameroon chimpanzee (*Pan troglodytes ellioti*) (Goldberg &  
98 Ruvolo, 1997; Gonder, Disotell, & Oates, 2006; Gonder *et al.*, 2011; Oates, Groves, &  
99 Jenkins, 2009; Hey, 2010; Wegmann & Excoffier, 2010; Bjork *et al.*, 2011; Prado-Martinez  
100 *et al.*, 2013) - and the four subspecies of gorilla - the Western lowland and cross-river  
101 varieties (*Gorilla gorilla gorilla* and *Gorilla gorilla diehli*), and the Eastern lowland and  
102 mountain varieties (*Gorilla beringei graueri* and *Gorilla beringei beringei*) (Anthony *et al.*,  
103 2007; Prado-Martinez *et al.*, 2013; Das *et al.*, 2014). While split time estimates for the

104 Homininae phylogeny are still being debated (Hobolth et al., 2011; Prüfer et al., 2012;  
105 Langergraber et al., 2012; Scally & Durbin, 2012; Moorjani et al., 2016a; Moorjani, Gao, &  
106 Przeworski, 2016b; Besenbacher et al., 2019) - we now have a well resolved subspecies-level  
107 topology with which to implement phylogenetic comparative methods (Figure 1).

108 In this study we use subspecies-level trait data to implement an ASR of the Homininae. We  
109 do this with a view to (1) determining whether including subspecies helps to account for  
110 within-species phenotypic variation, and (2) describing the trait states of the Homininae  
111 ancestral species ( $LCA_{H-P}$  and  $LCA_{G-HP}$ ), using several continuous traits relating to  
112 morphology, life history, sociality, behaviour and ranging.

## 113 **Methods -**

### 114 *Collating trait data -*

115 This study uses subspecies level trait data to reconstruct the ancestral trait values of the  
116 Homininae LCAs. Data were collated for fourteen different continuous traits from 22  
117 different studies published between 1999 and 2016. A description of each of these traits is  
118 provided in Table 1, while Table 2 summarises the observations collected for each trait  
119 indicating if data were missing for any taxa and the study from which the data were sourced.

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Table 1 - Definitions of all of the traits reconstructed in this study. Where appropriate these definitions have been sourced from the relevant literature.

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**Cultural traits** - behavioural traits which have been proposed as putatively cultural because they vary between research sites and meet other criteria (Whiten *et al.*, 1999).

**Body size** - the average mass of both male and female adults in kilograms (kg).

**Effective population size ( $N_e$ )** - the size of an idealised population that would give rise to the rates of inbreeding and changes in gene frequencies observed in the population of interest (Wang, Santiago, & Caballero, 2016).

**Census population size** - the estimated number of wild individuals.

**Day journey distance** - the average distance (km) that individuals travel per day.

**Home range** - the total area ( $\text{km}^2$ ) in which a group move and live. Typically contains all necessary resources.

**Infant mortality** - the percentage of infants who die in their first year of life.

**Interbirth interval** - the average number of months between births for female individuals.

**Gestation length** - the average number of days between fertilisation and birth.

**Age at weaning** - the average age in days of juveniles that they cease to wean from their mothers.

**Age at first reproduction** - the average age in years at which individuals begin their first reproduction.

**Community size** - the average number of individuals in temporally stable groups, for chimpanzees, bonobos and humans these differ to party size (Lehmann & Boesch, 2004).

**Party size** - the average number of individuals in temporary subgroups, typically associated with fission-fusion societies such as those of chimpanzees (Lehmann & Boesch, 2004).

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Table 2 - The trait observations for Homininae taxa, including the source references. The rows represent each of the traits included in the study, while the columns represent the eleven taxa included in the phylogenetic analysis: *H. sapiens* (Hs), *P. t. verus* (Ptv), *P. t. troglodytes* (Ptt), *P. t. ellioti* (Pte), *P. t. schweinfurthii* (Pts), *P. paniscus* (Pp), *G. g. gorilla* (Ggg), *G. g. diehli* (Ggd), *G. b. beringei* (Gbb), *G. b. graueri* (Gbg) and *Po. abelii* (Poa) . A + indicates that data were available for the taxa while multiple + indicate the number of sites from which observations were taken, while a - indicates that no data were available for this taxa (continued next page)..

Trait	Hs	Ptv	Ptt	Pte	Pts	Pp	Ggg	Ggd	Gbb	Gbg	Poa
Cultural surveys	+1*	++++2,3	++2,4,5	-	+++++ 2,3	+6	+++7	-	++7	-	++8♦
Body size male (kg)	+1	+9	+9	-	+9	+9	+9	-	+9	+9	+9
Body size female (kg)	+1	+9	+9	-	+9	+9	+9	-	+9	+9	+9
Effective population size	+10	+10	+10	+10	+10	+10	+10	+10	-	+10	+10
Census population size	+11	+12	+12	+12	+12	+12	+12	+12	+12	+12	+12
Day journey distance (km <sup>2</sup> )	+11	++14	-	-	++14	++14	+14	-	+14	-	+14
Home range (km <sup>2</sup> )	+11	++14	-	-	++14	++14	+14	-	+14	-	+14
Infant mortality 1yr (%)	+1	+13	-	-	+13	+13	+13	-	+13	+13	+13
Interbirth Interval (months)	+1	++15	-	-	+++15	++15,17	+7	-	+15	+4	+16
Gestation length (days)	+18	+13	-	-	++13	+13	++13	-	++13	++13	++13†
Age at weaning (days)	+1	+13	-	-	+++13	+13	+13	-	+13	+13	+13†



Table 3.2- continued.

Trait	Hs	Ptv	Ptt	Pte	Pts	Pp	Ggg	Ggd	Gbb	Gbg	Poa
Age at first reproduction (years)	+ <sup>1</sup>	++ <sup>13</sup>	-	-	+++ <sup>13</sup>	+ <sup>13</sup>	-	-	+ <sup>13</sup>	-	+ <sup>13</sup>
Party Size	+ <sup>1†</sup>	++ <sup>19</sup>	+ <sup>19</sup>	-	+++++ <sup>19</sup>	++ <sup>20</sup>	+ <sup>21∇</sup>	-	-	-	+ <sup>22∇</sup>
Community Size	+ <sup>1‡</sup>	++ <sup>19</sup>	+ <sup>29</sup>	-	+++++ <sup>29</sup>	++ <sup>20</sup>	+ <sup>21∇</sup>	-	-	-	+ <sup>22∇</sup>

1 - Marlowe (2010)

2 - Whiten *et al.* (1999)

3 - Langergraber *et al.* (2011)

4 - Whiten *et al.* (2001)

5 - Sanz and Morgan (2007)

6 - Hohmann and Fruth (2003)

7 - Robbins *et al.* (2016)

8 - van Schaik *et al.* (2003)

9 - Smith and Jungers (1997)

10 - Prado-Martinez *et al.* (2013)

11 - Marlowe (2005)

12 - IUCN Red List Assessments (Robbins *et al.*, 2008; Bergl *et al.*, 2016; Fruth *et al.*, 2016; Humle *et al.*, 2016; Maisels *et al.*, 2016a,b; Oates *et al.*, 2016; Plumptre *et al.*, 2016; Singleton *et al.*, 2017)

13 - Reichard and Berelli (2008)

14 - Dunbar (2000)

15 - Boesch and Boesch-Acherman (2000)

16 - Shumaker *et al.* (2008)

17 - De Lathouwers and van Elsacker (2005)

18 - Jukic *et al.* (2013)

19 - Wrangham (2000)

20 - Furuichi (2009)

21 - Parnell (2002)

22 - van Schaik (1999)

\* No cultural survey available for *H. sapiens*. Hadza tool kit was used as a proxy.

♦ Survey data were also collected for four *Po. pygmaeus* sites

† No data were available for *Po. abelii* so *Po. pygmaeus* was used instead.

‡ The mean size of female Hadza foraging parties was used (5.31).

∇ The distinction between communities and parties is not meaningful for gorillas and orangutans, therefore the same value was used in both traits.

‡ The mean Hadza camp size was used (30.4).

123 *H. sapiens* are a member of the Homininae, and thus it is important that this species is  
124 included in our analyses. However, collecting trait data for humans presents a particular  
125 challenge compared to other hominids. While gorillas, chimpanzees and bonobos all exhibit  
126 some phenotypic and cultural variation between populations, it pales in comparison to the  
127 variation found within and between contemporary human societies (Foley & Lahr, 2011).  
128 Rather than attempt to capture this variation, we opted to use a single small-scale human  
129 society to represent all traits. The Hadza of Tanzania were selected not because they  
130 represented the ancestral human state, but because they occur in areas close to those in which  
131 extinct hominins lived (Lahr & Foley, 2016). Given their lifestyle and locality, we might  
132 expect that the Hazda operate under similar environmental constraints (Marlowe, 2010), at  
133 least providing some limits to the scale differences between contemporary humans and the *H.*  
134 *sapiens* lineage the study attempts to reconstruct. Unless otherwise noted, these data were  
135 sourced from Marlowe (2005, 2010).

#### 136 *Collecting cultural trait data*

137 Of the data collected, these included putatively cultural traits reported in chimpanzees  
138 (Whiten *et al.*, 1999, 2001; Sanz & Morgan, 2007), bonobos (Hohmann & Fruth, 2003),  
139 gorillas (Robbins *et al.*, 2016) and orangutans (van Schaik *et al.*, 2003). To define a  
140 behavioural trait as cultural, these studies all employed the criteria first developed by Whiten  
141 *et al.* (1999) - behaviours that were present in some communities, but absent from others  
142 without an obvious ecological explanation, were defined as cultural. This resulted in a data  
143 set of 98 putatively cultural behaviours taken from 24 separate study sites. In order to  
144 summarise these data as a continuous variable, we used two different metrics, calculating  
145 both for all 24 sites. The first metric, hereafter referred to as the Cultural Count, was simply  
146 the number of cultural behaviours expressed at each of the study sites. The second metric was

147 a modification of Shannon's  $H$  (Shannon, 1948) designed to measure the cultural diversity of  
148 each research site. To make Shannon's  $H$  suitable for the cultural data we treated each  
149 research site as equivalent to a community, each trait as a species and the frequency scores of  
150 those traits (absent, present, habitual, customary) as their abundances. Because the different  
151 cultural traits are not comparable between all studies, Shannon's  $H$  was calculated across all  
152 sites within each genus using the R package *Vegan* v.2.5-2 (Oksanen *et al.*, 2018). We also  
153 note that because these cultural surveys have not been applied to human populations, we  
154 cannot measure cultural diversity for *H. sapiens*, and thus data are missing for this taxon.

### 155 *Estimating the subspecies level phylogeny*

156 In order to implement a subspecies-level phylogenetic estimate for the Homininae, we took  
157 full genome mtDNA sequence data from the Great Ape Genome Project (Prado-Martinez *et*  
158 *al.*, 2013), randomly selecting **one sequence** for each Homininae species and subspecies,  
159 except *G. b. beringei* for which there was no sequence available. We also selected a full  
160 genome mtDNA sequence for the Sumatran Orangutan (*Po. abelii*) to act as the out-group in  
161 our phylogenetic analyses. Sequence identification and accession numbers can be viewed in  
162 the supplementary materials (S2).

163 Sequences were aligned using MUSCLE (Edgar, 2004) executed via the sequence  
164 management software Geneious (Kearse *et al.*, 2012). The final alignment was 15,495 bases  
165 long. To account for **within-genome rate variation**, the alignment was partitioned into non-  
166 coding and the first, second and third codon positions of coding regions. PartitionFinder2  
167 (Guindon *et al.*, 2010; Lanfear *et al.*, 2012, 2016) was used to select substitution models for  
168 each of the four partitions (full details in supplementary materials, S2).

169 Phylogenetic inference was implemented under a Bayesian framework using BEAST v.2.4.8  
170 (Bouckaert *et al.*, 2014). To deal with between lineage rate variation we used the lognormal  
171 uncorrelated relaxed clock model (Drummond *et al.*, 2006). The clock model was calibrated  
172 by the divergence date of the human and chimpanzee-bonobo lineages - the prior for the  
173 calibration took the form of a log-normal distribution with a lower hardbound of 6 Myr, a  
174 mean of 7 Myr and no upper bound. These parameters encompass the range of split time  
175 estimates reported in two recent studies (Moorjani *et al.*, 2016a; Besenbacher *et al.*, 2019).  
176 The Markov chain Monte Carlo was set to fifty million generations with trees sampled every  
177 fifty thousand generations. The first 20 per cent of these samples were discarded as burnin.  
178 We extracted the Maximum Clade Credibility (MCC) tree from our final posterior  
179 distribution of 801 trees (Figure 2) and attached a tip for *G. b. beringei* halfway along the  
180 terminal branch of its sister subspecies *G. b. graueri*.

### 181 *Ancestral State Reconstructions*

182 Before any ASRs were conducted, all trait data were transformed using the natural log so as  
183 to be expressed on a ratio scale, ensuring that the model would be reconstructing relative  
184 changes in trait values rather than absolute changes. ASRs were implemented within a  
185 Maximum Likelihood framework using the statistical language and environment R (R  
186 Development Core Team, 2008) and the package Phylopars (Bruggeman *et al.*, 2009;  
187 Goolsby *et al.*, 2017). Phylopars allows for ASRs even when data is missing for some tips,  
188 using stochastic mapping procedures to assign a value to tip based on its phylogenetic  
189 position and the overall distribution of trait values. This is preferable to simply pruning tips  
190 where data is missing and compounding the effects of incomplete taxon sampling (for  
191 discussion see Pybus & Harvey, 2000; Rosenberg & Kumar, 2001; Wiens & Tiu, 2012).  
192 Moreover, Phylopars can handle multiple observations of the same trait for a given tip by

193 assuming autocorrelation between those observations, thus allowing for within-subspecies  
194 variation to be included in the analyses.

195 ASRs were implemented under three different evolutionary models - Brownian Motion (BM)  
196 Ornstein-Uhlenbeck (OU) and Early Burst (EB). The best fitting model was selected using  
197 the Akaike Information Criterion (AIC). From this best fitting model, we then extracted  
198 ancestral state values and 95 per cent confidence intervals at each node in the phylogeny and  
199 for each trait.

#### 200 *Phylogenetic signal and significance testing*

201 As part of the ASR procedure for each trait we estimated Pagel's  $\lambda$  (1994) using Phylopars.  
202 Pagel's  $\lambda$  estimates phylogenetic signal - the tendency for related taxa to express similar traits  
203 - by comparing the correlation of traits among taxa to the correlation that expected under  
204 Brownian motion ( $\lambda = 1$ , correlation exactly as expected under a Brownian evolutionary  
205 model, and  $\lambda = 0$ , no correlation). To determine whether the estimated phylogenetic signal  
206 was statistically meaningful, we used a loglikelihood-ratio test, comparing the likelihood of  
207 the MCC tree to that of a null or star phylogeny (i.e.  $\lambda = 0$ ).

208 We also took an alternative measure of phylogenetic signal, Blomberg's  $K$  (2003). Like  
209 Pagel's  $\lambda$ , Blomberg's  $K$  describes the phylogenetic signal of a trait compared to the signal  
210 expected under a Brownian motion model of character evolution. Unlike  $\lambda$ ,  $K$  is capable of  
211 distinguishing cases where phylogenetic signal is greater than expected under Brownian  
212 motion ( $K < 1$ , signal lower than expected;  $K = 1$ , signal exactly as expected;  $K > 1$ , signal  
213 higher than expected). Unfortunately, Blomberg's  $K$  could not be calculated using Phylopars,  
214 and thus where there are multiple or missing observations for a tip we use the imputed mean  
215 trait values that were calculated by Phylopars to measure  $\lambda$ . The function phylosig from the R

216 package phytools (Revell, 2012) was used to measure Blomberg's  $K$  for each of our traits and  
217 we tested whether  $K$  was significant using the randomisation test described by Blomberg *et*  
218 *al.* (2003), hereafter referred to as Blomberg's test.

219 We note that Münkemüller *et al.* (2012) demonstrated that both tests have limited power and  
220 are susceptible to false-positives when estimated for small trees (< 20 tips). Additionally,  
221 while  $\lambda$  and  $K$  both measure phylogenetic signal, they do so differently -  $\lambda$  using maximum-  
222 likelihood to find the parameter value that best explains the data and  $K$  comparing the amount  
223 of observed variance to that expected under Brownian motion (Kamilar & Cooper, 2013) -  
224 and as such often can produce inconsistent results (Münkemüller *et al.*, 2012). Therefore, as  
225 the phylogeny used in this study has eleven tips, we believe it is prudent to only treat traits  
226 where both significance tests returned  $p$ -values  $\leq 0.05$  as phylogenetically significant.

227 In addition to the two measures of phylogenetic signal, we also applied Pagel's  $\delta$   
228 transformation to each of the traits (Pagel, 1999b,a). Pagel's  $\delta$  is sensitive to variation in the  
229 rate of evolution over time ( $\delta < 1$ , the overall rate of evolution has slowed towards the tips;  $\delta$   
230  $= 1$ , rate is constant across the tree;  $\delta > 1$ , the rate has accelerated towards the tips).

### 231 *Comparing subspecies- and species-level analyses*

232 To assess the effect of subspecies level trait data on phylogenetic signal, we first created a  
233 species level version of our MCC tree. We then calculated  $\lambda$  and  $K$  on this species level tree  
234 but only for the traits that were found to be phylogenetically significant. We also applied  
235 the best fitting model, determined by the subspecies analysis, to the species tree and extracted  
236 the median variance in the ancestral state estimates for every node shared between the two  
237 trees.

238 To assign trait values for these tests, we used two different approaches: (1) for each species'  
239 tip we drew a trait value at random from its descendent subspecies - thus to assign male body  
240 size to *P. troglodytes*, we randomly selected a value from all of the body sizes reported for  
241 the four subspecies. We repeated this process one hundred times for each of the traits; (2) we  
242 simply treated all subspecies data as multiple observations for the relevant species. Thus, to  
243 assign male body sizes to *P. troglodytes*, we used all of the observations reported for the four  
244 subspecies of chimpanzee.

## 245 Results

### 246 *Phylogenetic signal for species versus subspecies trees*

247 For thirteen of the fifteen traits  $\lambda$  was greater than 0.9, suggesting that the MCC subspecies  
248 tree was a strong predictor of the traits' distributions. While  $\lambda$  was high in a majority of  
249 cases, the loglikelihood-ratio tests found that phylogeny was a significant predictor of trait  
250 distribution for only four of the reconstructed traits: male body size ( $\chi^2 = 10.75$ ,  $df = 1$ ,  $p$ -  
251 value =  $< 0.01$ ) and female body size ( $\chi^2 = 5.92$ ,  $df = 1$ ,  $p$ -value =  $< 0.01$ ), community size  
252 ( $\chi^2 = 5.94$ ,  $df = 1$ ,  $p$ -value =  $< 0.01$ ) and gestation length ( $\chi^2 = 4.64$ ,  $df = 1$ ,  $p$ -value = 0.03).

253 For eight of the fifteen traits  $K$  was greater than one, again suggesting that there was high  
254 phylogenetic signal for several of the collated traits. Blomberg's test also found this signal to  
255 be significant for all traits except census and effective population size, and infant mortality  
256 (for  $p$ -values see Table S4.1). All of the traits that were found to be significant by the  
257 loglikelihood-ratio tests were also found to be significant for Blomberg's test. Thus, we treat  
258 only these traits - male and female body size, community size and gestation length - as  
259 phylogenetically significant.

260 Neither cultural index was found to be phylogenetically significant although signal was high  
261 for cultural count ( $\lambda = 0.99$ ;  $K = 2$ ). To explore whether our decision to use the Hadza toolkit  
262 as a proxy for cultural count in *H. sapiens* was affecting our analysis (42 tools, twice as large  
263 than any other site included in the study), we reran the ASR omitting the Hadza toolkit.  
264 Phylogenetic signal ( $\lambda = 0.63$ ;  $K = 0.3$ ) was lower when the Hadza toolkit was omitted and  
265 remained insignificant ( $\chi^2 = 0.09$ ;  $df = 1$ ;  $p$ -value = 0.76). For a brief discussion of the  
266 phylogenetic signal and reconstruction of the cultural indices see the supplementary material,  
267 S3.

268 Model choice was relatively consistent between traits. For the vast majority of traits (twelve  
269 of fifteen), Brownian Motion (BM) was found to be the best fitting model with the lowest  
270 AIC value. Census size and effective population size were best explained by an OU model of  
271 evolution, while community size was the only trait in which an EB model was selected.

272 For three of the phylogenetically significant traits,  $\delta$  was found to be greater than one (male  
273 body size,  $\delta > 2.99$ ; female body size,  $\delta > 2.99$ ; gestation length,  $\delta = 1.4$ ), while community  
274 size was found to lower than one ( $\delta = 0.14$ ). In fact, for male and female body size  $\delta$   
275 exceeded the maximum value of the parameter space (2.99). Figure 3 shows the  $\delta$   
276 transformed trees for each of the four significant traits, plotted against the MCC tree. For  $\delta$   
277 values for non-significant traits see Table S3.1.

278 *Subspecies- compared to species-level analyses*

279 The median value of the permuted species-level  $\lambda$  was considerably lower than the median  
280 estimates for the subspecies tree for all traits except community size, while the median value  
281 of the permuted species-level  $K$  was lower for everything other than female body size (Table



282 3). Similarly, the subspecies-level estimate of  $K$  was higher than the median value of the  
283 species-level estimates for all traits except female body size.).

284 The  $\lambda$  values for the species-level analysis, where all observations were included, were  
285 roughly equivalent to those reported in the subspecies analysis, except for gestation length  
286 which was considerably lower ( $\lambda_{\text{sub}} > 0.99$ ;  $\lambda_{\text{species}} < 0.01$ ). In contrast, the  $K$  values were  
287 much more variable between the two tests, finding similar levels of phylogenetic signal for  
288 male body size ( $K_{\text{sub}} = 0.91$ ;  $K_{\text{species}} = 0.95$ ), but higher signal on the species level tree for  
289 female body size ( $K_{\text{sub}} = 0.41$ ;  $K_{\text{species}} = 1.04$ ), and much lower signal for both gestation  
290 length ( $K_{\text{sub}} = 1.8$ ;  $K_{\text{species}} = 0.51$ ) and community size ( $K_{\text{sub}} = 4.7$ ;  $K_{\text{species}} = 1.34$ ).

291 Figure 4 compares the variance of the reconstructed ancestral estimates in both the  
292 subspecies- and species-level analyses, for each of the four phylogenetically significant traits.  
293 Here we can see that for the  $\text{LCA}_{\text{G-HP}}$ ,  $\text{LCA}_{\text{G}}$ ,  $\text{LCA}_{\text{H-P}}$ ,  $\text{LCA}_{\text{P}}$  and the root of the phylogeny  
294 the variance of the subspecies was overall lower than the variance of the permuted species  
295 analyses. However, we also see that the variance of the subspecies-level analysis and species-  
296 level analysis where all observations were included, are roughly equivalent to one another.

297

298

Table 3 - Comparison of phylogenetic signal of subspecies and species level trait data for the traits where  $\lambda$  and  $K$  were found to be significant. Table includes the  $\lambda$  and  $K$  when calculated using the subspecies tree ( $\lambda_{\text{sub}}$  and  $K_{\text{sub}}$ ), the species tree with all observations ( $\lambda_{\text{species}}$  and  $K_{\text{species}}$ ), the median  $\lambda$  and  $K$  of the permuted species level analyses ( $\lambda_{\text{permute}}$  and  $K_{\text{permute}}$ ) and the 25<sup>th</sup> and 75<sup>th</sup> quantiles, and the number of runs where the  $p$ -value was found to be less than 0.05.

Trait	$\lambda_{\text{sub}}$	$\lambda_{\text{species}}$	$\lambda_{\text{permute}}$ (25 <sup>th</sup> %-75 <sup>th</sup> %)	Sig. runs	$K_{\text{sub}}$	$K_{\text{species}}$	$K_{\text{permute}}$ (25 <sup>th</sup> %-75 <sup>th</sup> %)	Sig. runs
Male body size	0.97	>0.99	0.17 (<0.01-0.79)	4	0.91	0.95	0.29 (0.18-0.49)	9
Female body size	0.91	>0.99	0.82 (<0.01->0.99)	9	0.41	1.04	0.47 (0.29-0.67)	17
Gestation Length	>0.99	<0.01	<0.01 (<0.01-0.74)	0	1.8	0.51	0.3 (0.24-0.5)	0
Community size	>0.99	>0.99	>0.99 (>0.99->0.99)	13	4.7	1.34	1.46 (1.24-1.68)	96

299

300 *Ancestral State Estimates*

301 The results of the analysis suggest that the body size of the LCA<sub>G-HP</sub> for both males  
302 (82.13kgs; 95%CI = 43.03-156.78) and females (51.71kgs; 95%CI = 27.92-95.78) was  
303 roughly intermediate to those found in modern African great apes, and larger than any extant  
304 chimpanzee. Thereafter, the trends diverge (Figure 5). The male and female body sizes of the  
305 gorilla lineage increasing by 8.92kg/Myr and 2.72kg/Myr until the LCA of *Gorilla* (LCA<sub>G</sub>).  
306 In contrast, between the LCA<sub>G-HP</sub> and the LCA<sub>H-P</sub> the human-chimpanzee-bonobo lineage  
307 declined in both female and male body size by 5.44 kg/Myr and 1.69 kg/Myr, respectively.  
308 Body sizes estimated for the LCA<sub>H-P</sub> were 63.07 (95%CI = 36.86-107.94) kg for male  
309 individuals and 45.79 (95%CI = 27.44-76.42) kg for female individuals. While the female  
310 value reported here falls at the upper end of the body sizes reported in *Pan* (33.2kg - 45.8kg),  
311 the ancestral male size is larger than all the values reported in *Pan* (42.7 kg - 59.7 kg) and  
312 even the value reported for *Homo* (53.03kg).

313 The reconstruction also found that the body sizes of bonobos and the chimpanzee subspecies  
314 are highly derived from those of the LCA of bonobos and chimpanzees (LCA<sub>P</sub>), which had a  
315 male body size of 49.33 kg (95%CI = 35.97-67.65) and a female body size of 38.40 kg  
316 (95%CI = 28.42-51.89). In particular, the sister subspecies *P. t. troglodytes* and *P. t.*  
317 *schweinfurthii* appear to have diverged rapidly in body sizes for both sexes since their split.  
318 This is consistent with the very high  $\delta$  values reported for both body sizes (>2.99), which  
319 suggest that much of the evolution of this trait occurred on the shallowest branches of the  
320 phylogeny.

321 Gestation length is highly clustered by genus, with very little change arising among species  
322 and subspecies. The reconstruction suggests that the LCA<sub>G-HP</sub> had a gestation length of  
323 255.21 (95%CI = 235.73-276.3) days, intermediate to those found in modern hominids.

324 Thereafter the gestation length increased in the *Gorilla* lineage (1.66 days/Myr), while the  
325 *Homo* and *Pan* lineage declined until the LCA<sub>H-P</sub> (1.4 days/Myr). The *Pan* lineage continued  
326 to decline (2.75 days/Myr) while the *Homo* lineage increased (2.51 days/Myr), suggesting  
327 that the longer gestation periods of gorillas and humans evolved convergently.

328 According to the best fitting model, the majority of change in community size has occurred  
329 among the deeper branches of the phylogeny. This is also reflected in the comparatively low  
330  $\delta$  value calculated for this trait and the fact that this was the only trait where the EB model  
331 was preferred over BM. While the *Gorilla* lineage community size declined after the  
332 LCA<sub>G-HP</sub> (0.71 individuals/Myr), the *Homo* and *Pan* lineages generally increased towards the  
333 LCA<sub>H-P</sub> (2.11 individuals/Myr). This suggests that the large group sizes of *Pan* and *Homo*  
334 may have evolved convergently. While the group size recorded for *H. sapiens* is slightly less  
335 than those reported for *Pan*, it is worth noting that we use the average Hadza camp site size  
336 and that there is considerable variation in this trait for the Hadza, with some camps exceeding  
337 150 individuals (Marlowe, 2010).

## 338 **Discussion**

### 339 *Phylogenetic signal and taxonomic resolution*

340 We have shown that while phylogenetic signal, measured both  $\lambda$  and  $K$ , may be high for  
341 many traits, it is only significant for body size, community size and gestation length. Our  
342 analyses demonstrate that incorporating subspecies-level trait data, or at least data on  
343 phenotypic variation, increased phylogenetic signal and reduced uncertainty in ancestral state  
344 estimates. However, it did not matter if this phenotypic variation was analysed using a  
345 subspecies level-phylogeny or a method that assumes autocorrelation between multiple  
346 within-species observations.

347 These results should be interpreted cautiously. Phylogenetic signal is a measure of patterning.  
348 It can only tell us if the distribution of traits among a group of related taxa conforms or  
349 departs from the distribution expected under Brownian motion. Simulation studies have  
350 shown that different evolutionary scenarios, with very different dynamics (eg. neutral versus  
351 selection) can produce similar measures of phylogenetic signal (Hansen, Pienaar, & Orzack,  
352 2008; Revell *et al.*, 2008). We also note that just because a trait's phylogenetic signal was  
353 found to be non-significant by the criteria of this study, does not prove that this trait has no  
354 phylogenetic signal. The power of both tests are limited when trees are small (Münkemüller  
355 *et al.*, 2012), such that if our analyses were expanded to a larger sample of primates, we may  
356 find that other traits were phylogenetically significant. In fact, Kamilar and Cooper (2013)  
357 reported significant phylogenetic signal across primates for four of the traits that we report as  
358 non-significant in this study (age at weaning, home range, infant mortality and interbirth  
359 interval), as well as gestation length and body size. Nonetheless, phylogenetic signal is useful  
360 insofar as it allows us to limit our interpretation of our ASRs to those traits where phylogeny  
361 was shown to be a significant predictor of their current distribution.

362 Phylogenetic signal can also be used to interrogate the performance of our subspecies-level  
363 analysis compared to our species level analyses. While phylogenetic signal was generally  
364 higher on the subspecies level analysis compared to the permuted species level analyses (the  
365 exception being community size where estimates remained high on both) the results of the  
366 total data species analysis were essentially the same as the subspecies analysis. This was also  
367 true of the variance in ancestral state estimates for each of the phylogenetically significant  
368 traits.

369 This suggests that while the inclusion of subspecies-level traits data improved the  
370 performance of our ASRs, the inclusion of a subspecies phylogeny made little difference.

371 This is surprising, as we expected phylogenetic signal to be higher on the subspecies tree  
372 because (i) subspecies are similar and only separated by shallow branches, thus conforming  
373 to the expectations of Brownian motion, and (ii) increased taxon sampling has been shown to  
374 reduce the amount of variance in ancestral state estimates (Alisbury & Kim, 2001). Phylopars  
375 handles within-species phenotypic by modelling a layer of variability by assuming  
376 autocorrelation between observations reported for a single tip, in our case a single species  
377 (Felsenstein, 2008; Bruggeman *et al.*, 2009). If these estimates of autocorrelation correct for  
378 structured differences among subspecies, it may mean that functionally they are very similar  
379 to a subspecies level analysis. Regardless, our results speak to the importance of including  
380 and modelling within-species phenotypic variation in ASRs.

#### 381 *Ancestral state estimates*

382 The reconstruction implemented in this study estimates that the body size of the LCA<sub>G-HP</sub>  
383 was broadly intermediate to those of modern African great apes, while the body size of the  
384 LCA<sub>H-P</sub> fell somewhere around the upper limit of those reported in modern *Pan* lineages.  
385 These results are seemingly contrary to the findings of several other studies, both theoretical  
386 and empirical, that suggest that the body sizes of the LCA<sub>G-HP</sub> and LCA<sub>H-P</sub> were equivalent  
387 to modern chimpanzees (Pilbeam, 1996; Richmond & Strait, 2000; Grabowski & Jungers,  
388 2017; Pilbeam & Lieberman, 2017).

389 Intriguingly our estimates for the body size of the LCA<sub>H-P</sub> are similar to those estimated for  
390 some of the oldest known fossil hominin species. Grabowski (2018) used the scaling  
391 relationships between body mass and osteological traits in chimpanzees to estimate a body  
392 size average of 45 kg for the hominin genus *Orrorin* and a range of 41.9-59.3 kg for genus  
393 *Ardipithecus*. While this study's estimate for male body size is greater than either reported by  
394 Grabowski, the ASR also predicts that male body size declined rapidly over the *Homo*

395 lineage, meaning that our estimate may in fact be on track to reach that of the two archaic  
396 Hominins. The  $LCA_{H-P}$  female body size however, which falls comfortably within  
397 Grabowski's estimates, declines at a much slower rate of only 0.28 kg/Myr.

398 We have to be cautious when interpreting these ancestral state estimates, as they represent a  
399 hypothetical value derived from a necessarily simplistic model of trait evolution. A BM  
400 model given enough evolutionary time, will tend to produce ancestral values that are the  
401 intermediate to those found in the sampled taxa. If the large size of male gorillas was driven  
402 by strong positive selection, this rate may not be captured in a reconstruction, leading to  
403 overestimated ancestral values. Nonetheless, what these results do show is that even under a  
404 deliberately simplistic model, we predict some evolutionary change across the branch  
405 connecting the  $LCA_{G-HP}$  to the  $LCA_{H-P}$ .

406 We should also look to deeper evolutionary history to interpret these findings. While the  
407 body size estimates of the  $LCA_{G-HP}$  are similar to those reported in extant Ponginae (Smith &  
408 Jungers, 1997), like *H. sapiens* the Sumatran, Bornean (*Po. pygmaeus*) and Tapanuli (*Po.*  
409 *tapanuliensis*) orangutans are relics of a once diverse lineage. Ponginae fossil species show  
410 considerable body size variation and include the largest known hominoid *Gigantopithecus*  
411 *blacki* (Zhang, Harrison, & Yingqi Zhang, 2017). Additionally, one of the most frequently  
412 commented features of the hominid fossil record is the striking variation in the sizes of these  
413 fossil species (Pilbeam & Gould, 1974; Jungers & Susman, 1984; Jungers *et al.*, 2016;  
414 Pilbeam & Lieberman, 2017; Grabowski *et al.*, 2018) and body size is known to be labile in  
415 primates more generally (Smith & Jungers, 1997; Grabowski *et al.*, 2018). If changes in body  
416 size are prolific among the Ponginae and the hominins, we might expect that they should also  
417 be frequent in the deeper history of the Hominae. Future ASRs of body size in the  
418 Hominae could be improved by including data for fossil hominins (Finarelli & Flynn,

419 2006), however this would require reliable estimates of both the species' body sizes  
420 (Grabowski *et al.*, 2015) and their place on the phylogeny (Collard & Wood, 2000).

421 The results of this analysis suggest that both the *Gorilla* and *Homo* lineages have experienced  
422 a convergent increase in the length of gestation, while *Pan* has steadily declined. This pattern  
423 is broadly consistent with those found in female body size, and thus some of the change in  
424 gestation length may be a consequence of allometry. Kamilar and Cooper (2013), who found  
425 strong phylogenetic signal for gestation length across 213 primates species, also suggested  
426 that this was a likely consequence of a correlation between life history and body mass. To  
427 explicitly measure the evolutionary correlation among these traits, an additional ASR would  
428 need to be implemented that modelled the evolution of both traits simultaneously. In *Homo*,  
429 selection for larger infant brain size may have also played a role in lengthening gestation  
430 (Cunnane & Crawford, 2003)

431 It is worth noting that while gestation periods are clearly delineated between genera in our  
432 dataset, other studies have reported considerable variation in this trait among some  
433 Homininae species. For example, Jukic *et al.* (2013) reported that the gestation length range  
434 in healthy human births is 37 days (247-284 days), encompassing most of the range of our  
435 dataset, and Roof *et al.* (2005) reported that the mean gestation period of captive  
436 chimpanzees was 217.3 days (n = 272 female chimpanzees), approximately eleven days  
437 shorter than those reported in our data set. If all species of Homininae showed high  
438 phenotypic plasticity for gestation period, then it would be difficult to determine how much  
439 of the interspecific differences in this trait are plastic responses to these species living in  
440 different environments.

441 Community size was particularly interesting as it was the only phylogenetically significant  
442 traits for which BM was not the best fitting model. Instead, the EB (Harmon *et al.*, 2010),



443 was favoured. EB described an evolutionary scenario where a period of rapid trait evolution  
444 is followed by a slowdown or stasis. The ASR itself estimates that the community size of the  
445  $LCA_{G-HP}$  was approximately intermediate to that of extant African great apes. Thereafter, the  
446 trait diverges, with the gorilla lineage rapidly evolving smaller community sizes, while the  
447 community size of the chimpanzee-bonobo-human lineage increases. This trend for larger  
448 communities continues convergently for both the chimpanzee-bonobo and human lineage  
449 after they diverge at the  $LCA_{H-P}$ .

450 As with body size, it is worthwhile considering an alternative scenario which could produce  
451 similar ancestral estimates to those reported here. In this scenario the community size of the  
452  $LCA_{G-HP}$  is that of gorillas, or near enough, and after divergence the community size of the  
453 gorilla lineage remains relatively unchanged, while the chimpanzee-bonobo-human lineage is  
454 subject to strong directional selection for larger communities. The EB model, which is an  
455 extended BM model where the magnitude of dispersion decreases through time (Harmon *et*  
456 *al.*, 2010), would not distinguish this scenario from one where the  $LCA_{G-HP}$  is intermediate to  
457 the extant African great apes.

#### 458 *Conclusion*

459 The aims of this study were to reconstruct the ancestral states of the Homininae for a variety  
460 of continuous traits known to vary among subspecies, and to assess the performance of  
461 subspecies-level ASRs. To do this, we collated published data on traits from different  
462 domains that were known to vary among subspecies, and reconstructed the hominoid  
463 evolutionary history using Bayesian phylogenetic inference techniques. The inclusion of  
464 phenotypic variation at the levels of species and subspecies, lead to generally higher  
465 phylogenetic signal and lower uncertainty for our ancestral state estimates. However, it is not  
466 clear whether including a subspecies phylogeny is preferable to using techniques that model

467 autocorrelation among within-species observations. Our best models estimate that for the  
468 phylogenetically significant traits the  $LCA_{H-P}$  was broadly similar to a chimpanzee, while the  
469  $LCA_{G-HP}$  exhibited some important differences, including larger body sizes, a longer gestation  
470 period and smaller communities. Future research should focus on including fossil evidence in  
471 ASRs as this adds additional evidence regarding the evolution of morphology even  
472 behavioural traits (Lister, 2014), and can reduce uncertainty (Finarelli & Flynn, 2006).  
473 However, this would require reliable phylogenetic hypotheses regarding the relationship of  
474 extant species to extinct ones. Phylogenetic techniques that integrate both molecular and  
475 morphological data to reconstruct the evolutionary history - so called 'Total Evidence  
476 Phylogenies' (Ronquist *et al.*, 2012; Ronquist, Lartillot, & Phillips, 2016; Wood *et al.*, 2013)  
477 - represent a promising avenue.

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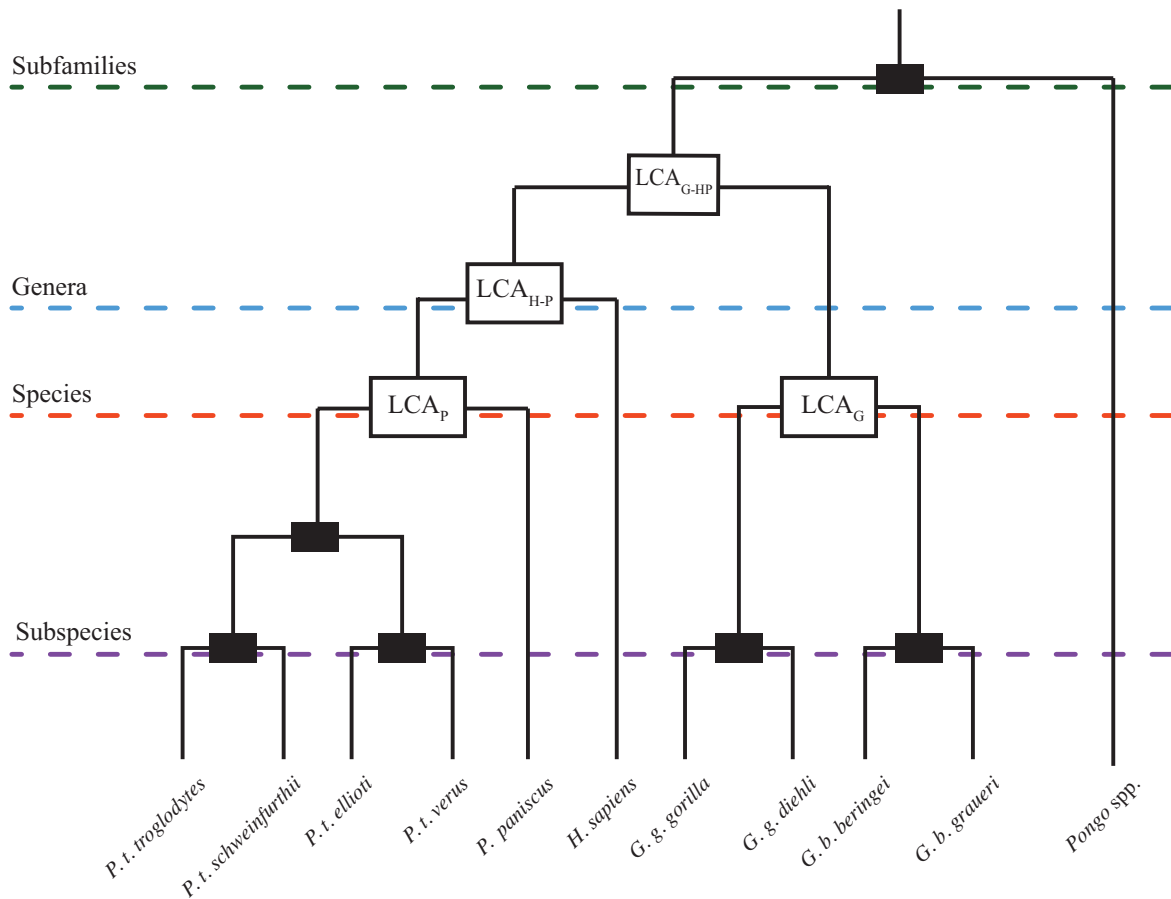


Figure 1. A subspecies level topology of the Hominidae. Each of the LCAs is identified by a white box placed at the relevant node. These include the last common ancestor of the Hominae (LCA<sub>G-HP</sub>), of humans, chimpanzees and bonobos (LCA<sub>H-P</sub>), of chimpanzees and bonobos (LCA<sub>P</sub>) and of eastern and western gorillas (LCA<sub>G</sub>). The smaller black boxes indicate the locations of common ancestors that were not explicitly discussed in this chapter. The coloured dashed lines define the points at which all lineages of a specified taxonomic level have been established. Thus, by the green line, all subfamilies are established as independent lineages, by the blue line all genera, by the red line all species and by purple line all subspecies. The lengths of the branches are for illustrative purposes and do not reflect any measure of evolutionary distance.

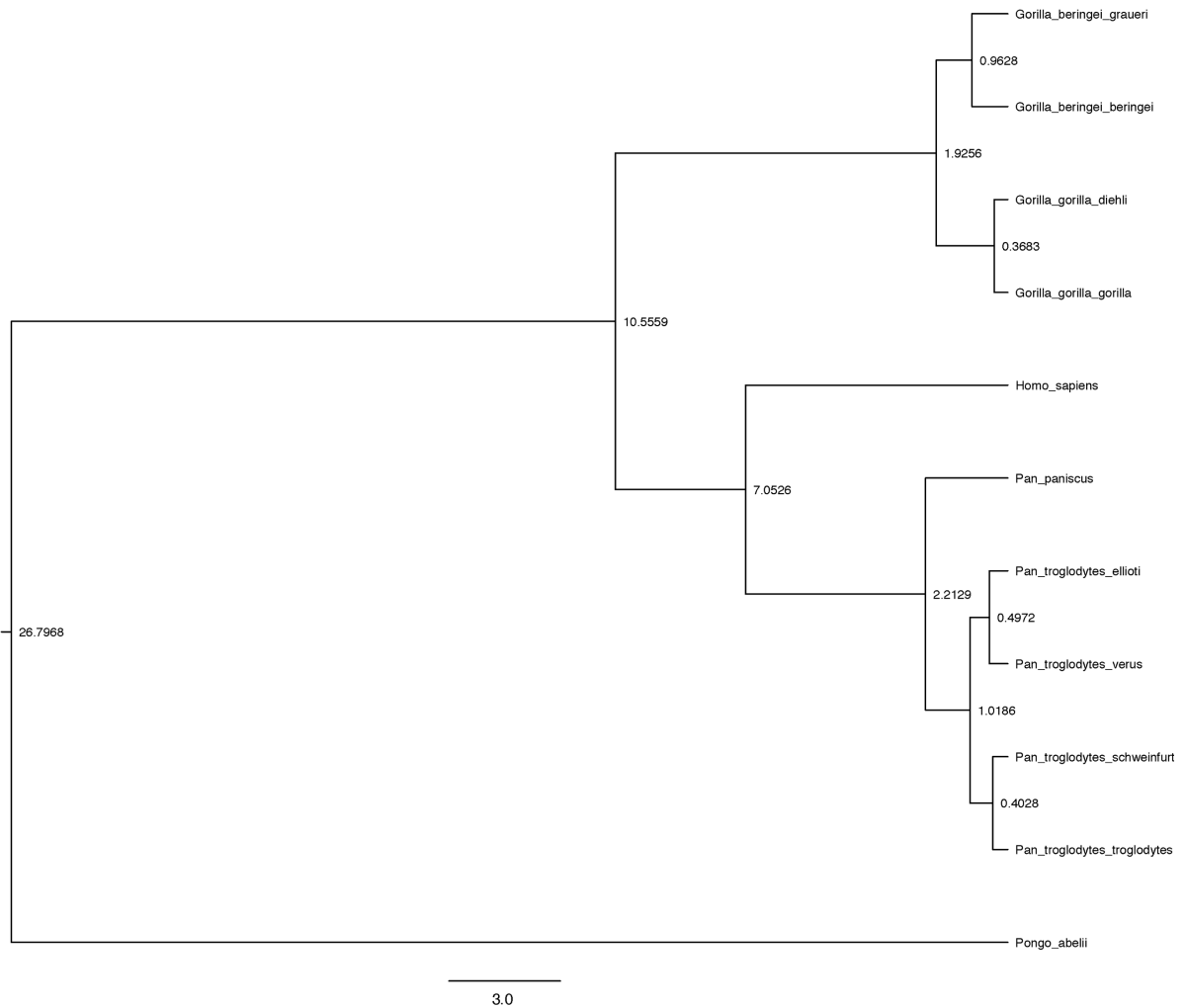


Figure 2. MCC tree extracted from the posterior sample of 801 trees. Estimated divergence times are given for each of the nodes in millions of years ago. A full mtDNA genome was not available for *G. b. beringei* so its tip was fixed half way along the *G. b. graueri* tip, thus the divergence date given for the *G. beringei* has not been derived using the molecular clock model and should be interpreted with caution.

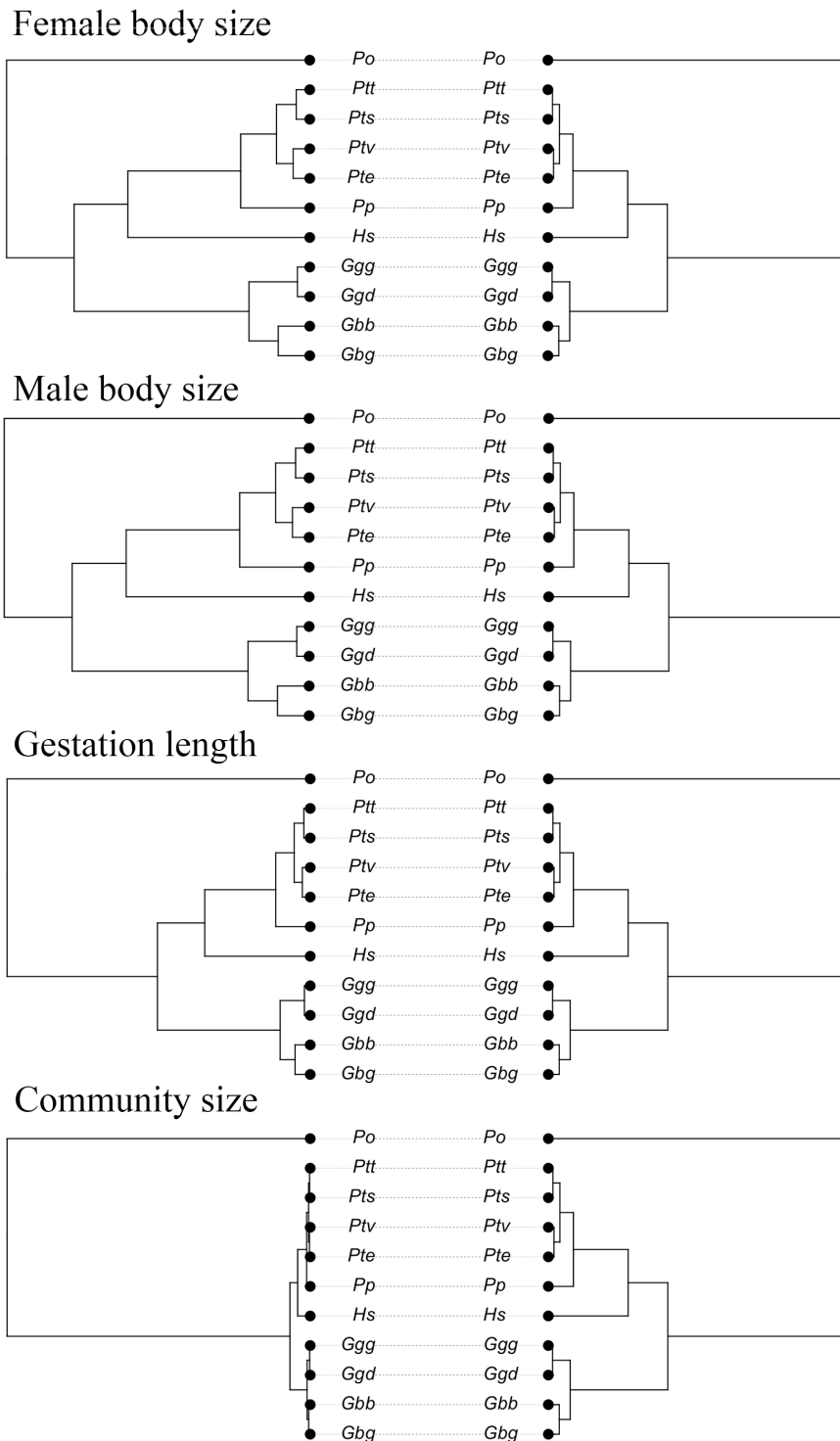


Figure 3. The MCC tree transformed by the  $\delta$  of each trait (left) compared to the original MCC tree (right). Branch lengths on the transformed trees are now relative to the amount of evolutionary change that occurred along each branch. Here we can see that for body size and gestation length much of the evolution in the traits has occurred on the shallower branches of the tree. In contrast, community size shows that the deeper branches are most evolutionarily significant, as the average community size of the outgroup *Po. abelii* is considerably smaller than any value reported for the Homininae.

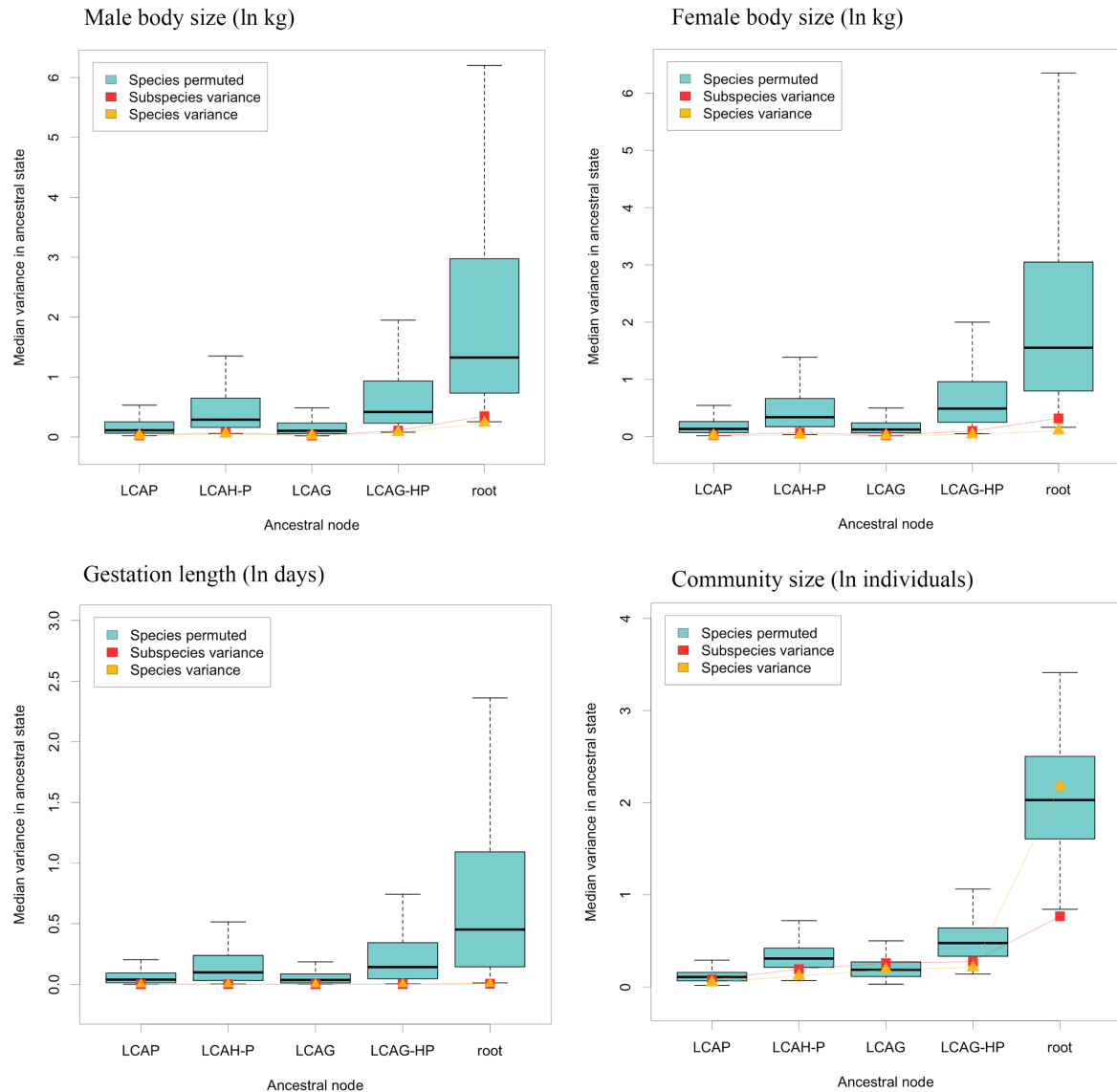


Figure 4. Variance for the permuted species level analysis (blue boxplots), the total data species level analysis (yellow lines and points), and the subspecies analysis (red lines and points). Here we can see that while both the total data species and the subspecies analysis generally outperform the permuted analyses, neither appears to be consistently better than the other.

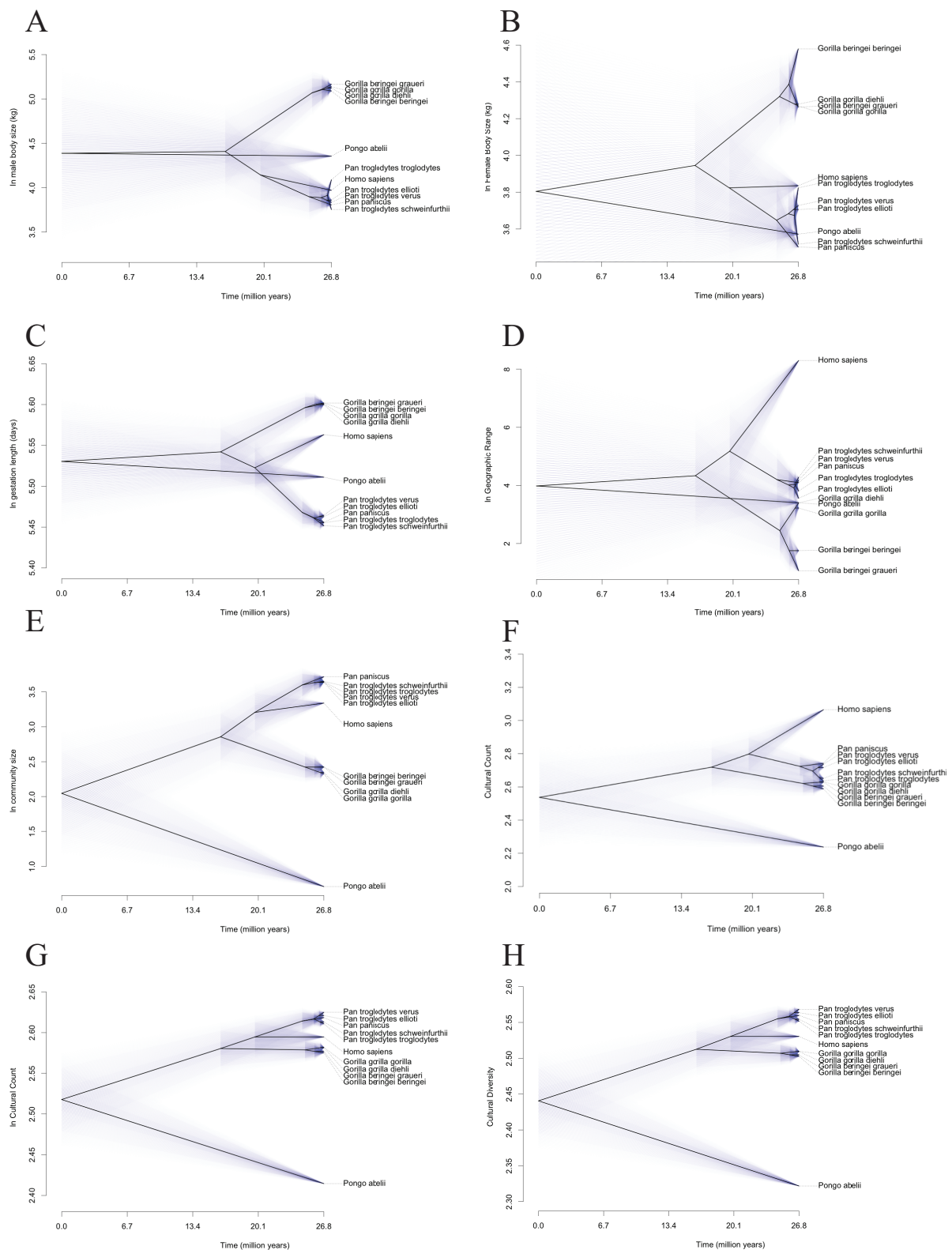


Figure 5. Density phenograms for traits including (A) male body size, (B) female body size, (C) gestation length, (D) geographic range, (E) community size, (F) cultural count, (G) cultural count without Hadza an

# Appendices

## S1- Phylogenetic reconstruction using BEAST

### *S1.1 - Files relevant to phylogenetic estimates*

The phylogenetic reconstructions involved the use of several programs. To ensure that these procedures are reproducible a number of files have also been made available in the GitHub repository. These include:

- The nexus alignment produced with MUSCLE of the ten mtDNA full genomes sequences ([alignment.nex](#)).
- The xml files used to implement the BEAST2 analysis ([trimmed\\_samples\\_alignment.xml](#))
- The log file for the BEAST analysis ([trimmed\\_samples\\_alignment.log](#))
- All 1001 trees in nexus format ([trimmed\\_samples\\_tree.trees](#))

The mtDNA sequences that were originally published in Prado-Martinez et al.<sup>1</sup> were accessed via the website of the Great Ape Genome Program (<http://biologiaevolutiva.org/greatape>).

Table S1.1 includes the identification numbers and individual names for each of the mtDNA sequences used in this study.



Table S1.1 includes the taxa name, sample name and identification number for each of these sequences.

Table S1.1 - Individual names and identification numbers for each of the mtDNA sequences sourced from the Great Ape Genome Project.

Taxa	Individual's Name	Identification Number
<i>G. b. graueri</i>	Mkubwa	9732
<i>G. g. diehli</i>	Nyango	B646
<i>G. g. gorilla</i>	Kowali	9749
<i>P. paniscus</i>	Kumbuka	A928
<i>P. t. troglodytes</i>	Valliant	A957
<i>P. t. verus</i>	Bosco	9668
<i>P. t. ellioti</i>	Akawya-Jean	LWC2
<i>P. t. schweinfurthii</i>	Vincent	10037
<i>Po. abelii</i>	Elsie	A947
<i>H. sapiens</i>	Unidentified (Dai)*	HGDPO1307

\* The human sample is only identified by ethnicity.

The raw sequence data are also available through the Sequence Read Archive (SRA) ([SRP018689](#) and [PRJNA189439](#)).

### *S1.2 - Partitioning process*

In order to account for genome level substitution rate variation, the mtDNA sequences used in this study were partitioned by the non-coding regions and the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> codon positions of the coding regions. Using the alignment management software Geneious v.11.1.2<sup>2</sup>, we assigned these partitions manually, comparing an annotated full human mitochondrial genome (supplied through Geneious, accession number EU54545435) to the alignment of the ten hominid sequences. This allowed us to identify each coding and non-coding region in the mtDNA sequences and annotate them accordingly. We then further partitioned the coding regions by codon position. Here, we assume that patterns of molecular evolution have been similar within each of these partitions.

To select the appropriate substitution models for each of the four partitions we employed the model fitting programme PartitionFinder2<sup>3-5</sup>. PartitionFinder2 estimates independent models of molecular evolution for each user defined partition. We used PartitionFinder to find the best fitting substitution model for each of the four partitions using the Akaike's Information Criteria (AIC). We only fit substitution models that could be implemented in the phylogenetic reconstruction software BEAST<sup>6</sup>. The executable file for used to parameterises PartitionFinder can be found on the GitHub repository ([Partition\\_finder.cfg](#)).

The best fitting substitution model at all partitions was the Generalized Time Reversible model (GTR)<sup>7</sup>. GTR is one of the most flexible and parameter-rich substitution models, allowing for rates to differ for all transitions and transversions and to change over time. The parameters for each of the partitions' GTR models are summarised in Table S1.2. The output file of PartitionFinder, which describes the best fitting substitution models for each of the partitions is available on the GitHub repository ([best\\_scheme.txt](#)).

Table S1.2 - Best fitting partition scheme according to PartitionFinder

Partition	Best Model	Proportion Invariant	Gama	Starting rates
Non-Coding	GTR	+	+	Estimated
1 <sup>st</sup> Coding Position	GTR	-	+	Estimated
2 <sup>nd</sup> Coding Position	GTR	+	+	Estimated
3 <sup>rd</sup> Coding Position	GTR	+	+	Estimated

### 1.3 - Parameterising the BEAST analysis

Here we present all parametrisation choices I made for the BEAST. While substitution models were implemented separately for each partition under the models described by PartitionFinder, the tree and molecular clock models were linked for each partition. This forces the BEAST to find the best consensus for both topology and branch lengths based on the information provided by the separate substitutions models. This ensures that BEAST provides one phylogenetic estimate that best reflects the species tree, rather than a separate tree for each of the four partitions.

For each partition, we implemented the substitution model suggested by PartitionFinder. Where suggested, gamma categories were set to four, allowing BEAST to draw from four separate substitution rates for every branch on the phylogeny. The shape of this distribution was estimated by the programme itself. Where suggested, the proportion of invariable sites was automatically estimated for each partition: however, a starting value of 0.2 was given for each. Finally, the rates of specific transversions and transitions (i.e. the substitution matrix) were all estimated by BEAST rather than being derived empirically from the frequency of each nucleotide within the alignment.

We selected the log normal relaxed clock model <sup>6</sup> to make divergence-time estimates. The model was parameterised so that a different rate of molecular evolution could be estimated for every branch on the phylogeny. This was done to account for rate variation among lineages. In order to obtain absolute time scale estimates we calibrated the divergence date of the human, chimpanzee and bonobo lineages. To do so, we assigned a lognormal distribution as a prior from which the calibration could be drawn. We gave this distribution a median value of seven million years, a lower soft-bound of six million years and an upper soft-bound of ten million years, reflecting the spread of dates suggested by previous studies. This meant that for each run of the BEAST analysis a calibration date was drawn from this distribution.

The Monte Carlo Markov Chain (MCMC) was set to fifty million generations. Trees and chain logs were sampled every fifty thousand generations, creating a posterior distribution of 1001 trees. The first two thousand trees sampled were discarded as burnin.

## **S2 - Analyses scripts**

The analyses were implemented in R. The scripts for executing each of these analyses as well as the original data can be accessed via a GitHub repository at:

[https://github.com/keaghanjames/Reconstructing\\_the\\_Homininae](https://github.com/keaghanjames/Reconstructing_the_Homininae)

### S3 - $\lambda$ , $K$ and $\delta$ values and test statistics

Table S3.1 - For each of the reconstructed traits we present the  $\lambda$  value, the  $\chi^2$  and  $p$ -values of the loglikelihood-ratio test, the  $K$  value, the  $p$ -value of the Blomberg's test, and the  $\delta$  value.

Trait	$\lambda$	$\chi^2$	$\lambda$ $p$ -value	$K$	$K$ $p$ -value	$\delta$
Age at first reproduction	> 0.99	1.43	0.23	1.44	< 0.01	1.20
Age at weaning	> 0.99	3.44	0.06	1.21	< 0.01	2.55
Female body size	0.91	5.92	0.01	0.41	< 0.01	> 2.99
Male body size	0.97	10.75	< 0.01	0.91	< 0.01	> 2.99
Census population size	< 0.01	< -0.01	1	0.05	0.86	> 2.99
Community size	> 0.99	5.94	0.01	4.78	< 0.01	0.14
Day journey length	> 0.99	2.31	0.13	0.86	< 0.01	1.89
Effective population size	< 0.01	< -0.01	1	0.05	0.77	> 2.99
Gestation length	> 0.99	4.64	0.03	1.82	< 0.01	1.40
Home range	> 0.99	0.69	0.41	0.85	< 0.01	0.85
Infant mortality	0.47	< 0.01	1	0.25	0.04	> 2.99
Interbirth interval	> 0.99	3.81	0.05	1.37	< 0.01	0.50
Party size	> 0.99	2.70	0.10	4.47	< 0.01	0.11
Culture count	0.99	0.05	0.81	2.03	< 0.01	0.90
Culture count*	0.63	0.09	0.76	0.34	0.06	> 2.99
Culture diversity	0.86	0.20	0.66	1.40	< 0.01	1.17

\* Culture count data without observations for *H. sapiens*

## S4 - Reconstruction of cultural traits

Cultural count data were found to have a strong phylogenetic signal ( $\lambda = 0.99$ ;  $K = 2$ ) the  $\lambda$  of cultural diversity was low (0.32), while the  $K$  was relatively high (1.41). Moreover, neither trait's  $\lambda$  was found to be phylogenetically significant when compared to the null star-phylogeny. While both measures increased in the Homininae after their divergence with Ponginae, the number of cultural traits has declined since the  $LCA_{G-HP}$  for all lineages except that of *H. sapiens*. From this we might infer that the  $LCA_{G-HP}$  was a more culturally proficient animal than chimpanzees, bonobos or gorillas. However, this may reflect that the BM model is inadequate when the evolution of a trait is under strong and consistent directional selection. If the *H. sapiens* lineage experienced a high degree of selection for cultural traits, then the rate of evolution along that branch may in fact exceed what can be explained by a BM model. This would cause the BM model to overestimate the rates on all other branches leading to the  $LCA_{G-HP}$  and give ancestral state estimates which are essentially the intermediate of *H. sapiens* and all other tips on the tree.

This seems a likely explanation as we used the Hadza tool kit as a proxy for the cultural trait count in humans. The size of the kit is 42 tools, larger than any other site included in the study, the next highest being the 26 chimpanzee traits recorded at the northern and southern Tai Forests sites. To investigate the influence of this effect, we reran the analysis, this time omitting the Hadza toolkit. Thus, the *H. sapiens* tip was treated as missing data and assigned a value using the stochastic mapping procedure. This can be thought of as modelling an evolutionary scenario in which the human cultural capacity is unremarkable - they are just another great ape in this respect. Under this model there is a far more consistent trend towards increased cultural counts across the Homininae evolutionary history. However, phylogenetic signal ( $\lambda = 0.63$ ;  $K = 0.3$ ) was weaker compared to the version which included the Hadza toolkit and remained insignificant compared to the star phylogeny ( $\chi^2 = 0.09$ ;  $df = 1$ ;  $p\text{-value} = 0.76$ ). We note that the trends in cultural diversity and cultural count without the Hadza toolkit are nearly identical. This may reflect that the overall driver in cultural diversity is actually the number of traits, as the simplest way to make a cultural repertoire more diverse is innovation.

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