

1 **Can the Common Fisheries Policy achieve Good Environmental Status in exploited**  
2 **ecosystems: the west of Scotland demersal fisheries example**

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20

21 **Abstract**

22

23 The latest reform of the Common Fisheries Policy (CFP) which regulates the exploitation of  
24 fish stocks in European waters entails a move from the traditional single stock management  
25 towards Ecosystem Based Fisheries Management (EBFM). Meanwhile the Marine Strategy  
26 Framework Directive dictates that Good Environmental Status (GES) should be achieved in  
27 European waters by 2020. Here we apply an EBFM approach to the west of Scotland demersal  
28 fisheries which are currently facing several management issues: depleted stocks of cod (*Gadus*  
29 *morhua*) and whiting (*Merlangius merlangus*), increased predation from grey seals  
30 (*Halichoerus grypus*), and large bycatch of juvenile whiting by crustacean fisheries. A food  
31 web ecosystem model was employed to simulate the outcomes of applying the traditional single  
32 stock fishing mortalities (F), and management scenarios which explored F ranges in accord  
33 with the CFP recommendation. Ecosystem indicators were calculated to assess the performance  
34 of these scenarios towards achieving GES. Our results highlight the importance of considering  
35 prey-predator interactions, in particular the impact of the top predators, cod and saithe  
36 (*Pollachius virens*), on juvenile cod and whiting. The traditional single stock approach would  
37 likely recover cod, but not whiting. Exploring the F ranges revealed that a drastic reduction of  
38 juvenile whiting bycatch is necessary for the whiting stock to recover. Predation from grey  
39 seals had little impact overall, but did affect the timing of cod and whiting recovery. With the  
40 exception of whiting, little difference was observed between the single stock scenario, and the  
41 best scenario identified towards achieving GES. The findings advocate for the use of ecosystem  
42 modelling alongside the traditional, single stock assessment model used for tactical decision  
43 making in order to inform management.

44

45 **Keywords:** Common Fisheries Policy; Ecosystem Based Fisheries Management; ecosystem  
46 modelling; Ecopath with Ecosim; Good Environmental Status

47

## 48 **1. Introduction**

49

50 The exploitation of fish stocks in European waters is regulated by the Common Fisheries Policy  
51 (CFP). Since its creation in the 1970s this long-standing policy has been through several  
52 reforms, the latest of which took effect on January 1<sup>st</sup> 2014 (EC, 2013). This latest reform  
53 proposes a new framework to manage European fisheries, and amongst several new initiatives,  
54 it highlights a need to move from traditional single-stock management towards an ecosystem  
55 approach to fisheries (EAF) (Prellezo and Curtin, 2015). EAF originated from the principle of  
56 sustainable development and aims at both human and ecosystem well-being (Garcia et al.,  
57 2003). The implementation of EAF can vary between an Ecosystem Approach to Fisheries  
58 Management (EAFM) in which ecosystem aspects are given consideration when taking  
59 management decisions, to Ecosystem-Based Fisheries Management (EBFM) in which  
60 ecosystem health becomes a management goal included in trade-offs when pursuing competing  
61 management objectives (Patrick and Link, 2015). Most importantly, EBFM prioritises the  
62 wellbeing of ecosystems over economic and social objectives since wellbeing is considered a  
63 prerequisite for the last two objectives (Murawski et al., 2008).

64

65 While the new CFP advocates for the implementation of EBFM, it remains largely unclear how  
66 to include conservation objectives within management measures in practice (Prellezo and  
67 Curtin, 2015). The CFP currently aims to fish at levels consistent with achieving Maximum  
68 Sustainable Yield (MSY) for all exploited stocks (EC, 2011). In northern European waters,  
69 these fishing levels are proposed by the International Council for the Exploration of the Sea  
70 (ICES) which delivers annual scientific advice for the management of northern European fish  
71 stocks. This advice provides biological reference points for each stock, including the level of  
72 fishing mortality (F) needed to achieve MSY ( $F_{MSY}$ ).  $F_{MSY}$  is defined on a single-stock

73 approach, meaning that it is calculated individually for a stock based on its own status only,  
74 regardless of the status of other stocks. However, this contradicts EBFM (Prellezo and Curtin,  
75 2015), where the interactions between species should be taken into account when defining safe  
76 harvest levels for fish stocks. In fact, while  $F_{MSY}$  has long been considered a desirable  
77 exploitation level for single stocks (Schaefer, 1954), its performance in mixed fisheries, where  
78 several stocks are caught simultaneously by the same fleet, has been challenged (Walters et al.,  
79 2005), largely due to the fact that it is virtually impossible to apply  $F_{MSY}$  simultaneously to all  
80 stocks in mixed fisheries (Kumar et al., 2017; Larkin, 1977). Nevertheless, despite this  
81 criticism recent empirical studies have shown that the current MSY approach has succeeded in  
82 leading European fish stocks towards recovery (Cardinale et al., 2013; Fernandes and Cook,  
83 2013). This suggests that the traditional single stock  $F_{MSY}$  values for European stocks may not  
84 be too far off the harvest levels needed to achieve sustainable mixed fisheries, potentially  
85 facilitating the transition towards EBFM. For example, Froese et al. (2008) have shown that  
86 EBFM can be achieved by improving existing single-stock management.

87

88 In addition to the traditional advice and corresponding single stock  $F_{MSY}$  values, ICES now  
89 also provides  $F_{MSY}$  ranges for most stocks in European waters, which consist of upper ( $F_{MSY}$   
90 <sub>upper</sub>) and lower ( $F_{MSY}$  <sub>lower</sub>) F boundaries around  $F_{MSY}$  within which fishing mortality is deemed  
91 sustainable (ICES, 2016a, 2015). These ranges are a recent addition to the ICES advice and  
92 were requested by the European Commission in order to develop long-term management plans  
93 with quantifiable targets.  $F_{MSY}$  ranges should be precautionary and also ensure that they deliver  
94 no more than a 5% reduction in long-term yield. Whilst they do not originate from a proper  
95 multispecies approach such as the one used by the mixed fisheries advice (ICES, 2017), the  
96  $F_{MSY}$  ranges do provide some leeway around the single stock  $F_{MSY}$  values which are usually  
97 difficult to apply simultaneously to all stocks. In mixed fisheries, the Total Allowable Catch

98 (TAC) derived from  $F_{MSY}$  for the least abundant stock is most likely to be reached before the  
99 TACs of more abundant stocks are exhausted. Such a situation typically leads to over-quota  
100 discarding, a practice no longer allowed as the landings obligation is phased in for European  
101 fisheries (EC, 2015a). As a result, it has been proposed that in mixed fisheries the most  
102 vulnerable stock with the lowest  $F_{MSY}$  should determine the limit of exploitation for all other  
103 stocks caught in the same fishery (EC, 2011). However, such an approach is likely to result in  
104 a ‘choke species’ scenario leading to the under-exploitation of other stocks and ultimately  
105 jeopardising the fishery (Baudron and Fernandes, 2015).

106

107 Another regulation of European waters is the Marine Strategy Framework Directive adopted  
108 in 2008 (EC, 2008) which states that all member states should reach Good Environmental  
109 Status (GES) by 2020 (EC, 2009). Although achieving GES differs from achieving EBFM,  
110 GES measures the performance towards most of the biological and environmental attributes of  
111 EBFM (Ramírez-Monsalve et al., 2016). GES is defined by 11 descriptors. Descriptors 1  
112 (biodiversity), 3 (commercial species), and 4 (food webs) directly relate to fisheries and are  
113 therefore particularly relevant for EBFM. In order to integrate these GES descriptors into an  
114 EBFM framework, indicators are needed to inform whether GES criteria are met for each  
115 descriptor. Developing meaningful ecosystem indicators can be challenging due to a lack of  
116 relevant data. However, ecosystem indicators for descriptors 1, 3 and 4 can be derived from  
117 biomass and/or catch data which are available for most species in ecosystems found in EU  
118 waters (Coll et al., 2016; Gascuel et al., 2016; Kleisner et al., 2015; Reed et al., 2017). In  
119 addition, the information a single ecosystem indicator can provide is limited: it is therefore  
120 preferable to use a portfolio of indicators to fully assess each descriptor (Samhuri et al., 2009).  
121 Lastly, GES indicators also need to be informative. Ideally, what constitutes GES should be  
122 defined for each indicator in order to assess whether an ecosystem has reached GES or not

123 based on indicator values. For example, Link (2005) proposed reference points for some  
124 ecosystem indicators, in which case the examination of indicators' trends relative to the  
125 reference point values can then be used as a basis for management recommendations (Jennings  
126 and Rice, 2011). However, not all ecosystem indicators have clearly defined reference points,  
127 and these reference points are not transferable across ecosystems with different characteristics  
128 (Heymans et al., 2014).

129

130 EBFM can benefit from ecosystem modelling in order to explore policy options where  
131 management objectives (e.g. diversity, abundance of non-target species, etc.) involve multiple  
132 species and their trophic interactions which cannot be assessed with single-species models  
133 (Christensen and Walters, 2005). Plagányi (2007) reviewed available ecosystem models  
134 spanning a wide range of complexity levels from minimum realistic models to whole ecosystem  
135 ones. This latter category includes Ecopath with Ecosim (EwE), a food web ecosystem model  
136 (Christensen and Walters, 2004). EwE is the most applied tool for modelling marine  
137 ecosystems (Colléter et al., 2015) and can be used to investigate marine policy issues such as  
138 GES (Piroddi et al., 2015). However, it is crucial to demonstrate that a model can replicate  
139 historical trends in ecosystems in order to make plausible predictions in response to novel  
140 situations before any management decision can be based upon it (Christensen and Walters,  
141 2005). Of the vast number of EwE models that have been published, only a few have been  
142 calibrated using historical time series of data and even fewer have been employed for  
143 management purposes (Heymans et al., 2016). One EwE model fulfilling these two criteria was  
144 recently published for the west of Scotland ecosystem (Alexander et al., 2015; Serpetti et al.,  
145 2017).

146

147 The west of Scotland ecosystem (WoS) located in ICES Division VIa is home to numerous  
148 valuable species of finfish and shellfish that support four fisheries: an inshore crustacean  
149 fishery targeting the valuable Norway lobster (*Nephrops norvegicus*); a mixed demersal fishery  
150 targeting cod (*Gadus morhua*), haddock (*Melanogrammus aeglefinus*) and whiting  
151 (*Merlangius merlangus*) on the continental shelf; a fishery for monkfish (*Lophius piscatorius*),  
152 hake (*Merluccius merluccius*) and saithe (*Pollachius virens*) in the deeper waters of the shelf  
153 edge; and a pelagic fishery targeting mainly mackerel (*Scomber scombrus*) and herring (*Clupea*  
154 *harengus*) (ICES, 2016b, 2016c, 2016d, 2016e, 2016f, 2016g). In 2014, these fisheries  
155 contributed to 35% of the total value of all commercial species caught in Scotland, totalling  
156 £182.5 million (The Scottish Government, 2015) and are, therefore, important for the Scottish  
157 fishing industry. However the WoS fisheries are currently facing several management issues.  
158 First, the stocks of cod and whiting are depleted and their Total Allowable Catches (TACs)  
159 have been set to zero since 2012 and 2006 respectively (ICES, 2016c). Secondly, the extensive  
160 bycatch of juvenile gadoids by the crustacean fishery is thought to jeopardise gadoid stocks,  
161 whiting in particular (ICES, 2016c). Thirdly, the population of grey seals (*Halichoerus grypus*),  
162 a top predator in the WoS, has been increasing steadily over the last two decades (SCOS, 2015).  
163 While Alexander et al. (2015) suggest that excessive exploitation rates rather than an increase  
164 in predators were to blame for the collapse of cod and whiting, increased predation from seals  
165 seems to have offset the reduction of fishing pressure on VIa cod (Cook et al., 2015) and is  
166 likely to hamper the recovery from low stock sizes (Cook and Trijoulet, 2016). The complexity  
167 of the WoS food web and the mixed fisheries it supports, coupled with management challenges  
168 and the availability of an ecosystem model, makes the WoS an ideal case study to assess the  
169 performance of EBFM in achieving specific management goals such as GES.

170



171 Here, we reviewed and updated the EwE model for WoS with the latest data available and  
172 repeated the calibration procedure to extend the hindcasting period from 1985 to 2013. We  
173 used this model to explore the  $F_{MSY}$  ranges of the demersal stocks by performing forward  
174 simulations of every possible combination of fishing mortalities within these ranges.  
175 Additional exploitation scenarios were performed to investigate the impact of juvenile whiting  
176 bycatch by the crustacean fishery and grey seals predation. For each scenario, ecosystem  
177 indicators related to GES descriptors 1, 3 and 4 were calculated. Outputs from the models were  
178 analysed to assess whether the single stock  $F_{MSY}$  and/or  $F_{MSY}$  ranges implemented by the CFP  
179 could achieve GES in WoS the demersal fishery. Management measures required to recover  
180 the cod and whiting stocks were also identified.

181

182

## 183 **2. Material and methods**

184

### 185 ***2.1. The model***

186

187 The model was built using EwE software version 6.5 released in July 2016 ([www.ecopath.org](http://www.ecopath.org)).  
188 EwE consists of two components: (i) Ecopath, a mass-balance model accounting for energy  
189 transfers in the ecosystem which depicts a ‘snapshot’ of the ecosystem in a given year; and (ii)  
190 Ecosim, the dynamic component which allows for temporal simulations based on Ecopath.  
191 Ecosim is based on the foraging arena theory (Ahrens et al., 2012), and each prey-predator  
192 interaction is defined by a vulnerability parameter that describes whether the interaction is  
193 bottom-up (vulnerability < 2), top-down (vulnerability > 2), or neither bottom-up nor top-down  
194 (vulnerability = 2) controlled. Both Ecopath (Christensen and Pauly, 1992; Polovina, 1984;  
195 Walters et al., 1997) and Ecosim (Christensen and Walters, 2004; Walters and Christensen,

196 2007) have been documented extensively, and further details can be found in the publications  
197 above.

198

199 The EwE model for WoS used in this study was first built by Haggan and Pitcher ( 2005), then  
200 updated by Bailey et al. (2011) and Alexander et al. (2015). It was recently updated and  
201 extended by Serpetti et al. (2017) who introduced species-specific thermal preference functions  
202 in order to drive the model with ocean temperature. The impact of temperature is beyond the  
203 scope of this study (see Serpetti et al. (2017) for more details). Here, we built on the model  
204 published by Alexander et al. (2015) by applying the same update as done by Serpetti et al.  
205 (2017), minus the inclusion of temperature as a driver. The area modelled corresponds to the  
206 continental shelf of ICES Division VIa within the 200 m depth contour and covers ~110,000  
207 km<sup>2</sup> (Fig.1). The model comprises 41 functional groups (Table S1) spanning ~ five trophic  
208 levels consisting of three marine mammals, seabirds (as a single group), 23 fish, five  
209 invertebrate groups, one cephalopod group, two zooplankton, three benthos, two primary  
210 producers, and one detritus group. The model has five fishing fleets: demersal trawl, *Nephrops*  
211 trawl, other trawl, potting and diving, and pelagic trawl. The cod, haddock and whiting groups  
212 are split between juvenile (age 0 and 1) and adult (age 2 and above). The model start year in  
213 Ecopath is 1985 (see Bailey et al. (2011), Alexander et al. (2015) and Serpetti et al. (2017) for  
214 more details about Ecopath parameters). Ecopath parameter values employed are given in  
215 Tables S1-4.

216

## 217 ***2.2. Update***

218

219 The update of Ecopath consisted of two steps. Firstly, the 1985 biomass starting values of  
220 groups for which data were available were updated using the latest stock assessments (Table

221 S1) while the total catch of each functional group was updated with the latest landings (Table  
222 S2) and discards (Table S3) data (where available). In addition, the growth parameter (i.e.  $K$   
223 from the von Bertalanffy growth function) used to model the growth of the three multi-stanza  
224 groups (cod, haddock and whiting) was updated by fitting a von Bertalanffy growth function  
225 to age-length keys obtained from the ICES DATRAS database  
226 ([https://datras.ices.dk/Data\\_products/Download/Download\\_Data\\_public.aspx](https://datras.ices.dk/Data_products/Download/Download_Data_public.aspx)) for those three  
227 groups. Secondly, the diet matrix used by Ecopath was updated. Adjusting the diet matrix is a  
228 powerful and surprisingly underused way to improve EwE models (Ainsworth and Walters,  
229 2015). To improve the model goodness of fit, the diet matrix was updated following these  
230 consecutive steps: (i) the data and proxies used by Bailey et al. (2011) and Alexander et al.  
231 (2015) to build the diet matrix were reviewed; (ii) the diet composition of each group was  
232 checked individually against existing literature for unusual prey; (iii) when unusual  
233 prey/predator links were found these were removed and/or amended based on (in the following  
234 order): available literature; the DAPSTOM database (Pinnegar, 2014); the diet matrices of the  
235 EwE models from two neighbouring and closely related ecosystems, North Sea (Mackinson  
236 and Daskalov, 2007) and Irish Sea (Lees and Mackinson, 2007). The updated diet matrix  
237 obtained through these three consecutive revisions is given in Table S4. To ensure a coherent  
238 and ecologically sound mass-balance, the pre-balance (PREBAL) analysis depicted by Link  
239 (2010) was applied to the updated Ecopath model.

240

241 To update Ecosim, the time series of biomass, catch, and fishing mortalities driving the model  
242 were updated (from 1985 onwards) and extended (up to 2013) for as many groups as possible  
243 using the latest data available. While catch time series were handled on an absolute scale in the  
244 calibration process, biomass time series are handled on relative scale: having the correct  
245 biomass trend is, therefore, more important than having the correct range of values. To this end

246 it was deemed preferable to estimate the biomass time series directly from scientific survey  
247 data rather than from assessment model estimates, whenever possible. For demersal and  
248 benthic groups, biomass time series were calculated using bottom trawl surveys data obtained  
249 from the ICES DATRAS database following the method from Baudron and Fernandes (2015)  
250 with the exception of cod, haddock and whiting for which stock assessment estimates (ICES,  
251 2014a) were necessary to obtain separate biomass time series for both stanzas. For Norway  
252 lobster, abundance estimates from underwater TV surveys (ICES, 2014a) were summed across  
253 the three functional units within the model area (FU 11, 12 and 13) and used as biomass time  
254 series. Since pelagic species are not effectively captured by bottom trawl surveys, whenever  
255 possible other data sources were preferred to get reliable biomass trends. For herring, total  
256 stock biomass estimates from acoustic surveys available for the subarea VIa north which  
257 comprises the bulk of the VIa stock (ICES, 2014b) were used. For mackerel, horse mackerel  
258 *Trachurus trachurus* and blue whiting *Micromesistius poutassou*, total stock biomass estimates  
259 for the western shelf (ICES, 2014c) were scaled down to VIa using the average proportion of  
260 landings realised in this area. For grey seals, estimates of pup production from Inner and Outer  
261 Hebrides (SCOS, 2015) were summed and used as biomass trend. For harbour seals, pup count  
262 values were only available every five years (SCOS, 2015) but were preferred to model  
263 estimates as the biomass trend indicator. Abundances values of small (< 2 mm) and large (> 2  
264 mm) zooplankton, and phytoplankton Colour Index (PCI) were obtained from the Sir Alister  
265 Hardy Foundation for Ocean Science (SAHFOS). The PCI constitutes a semi-quantitative  
266 representation of the total phytoplankton biomass (Batten and Walne, 2011).

267

268 Catch time series for both stanzas of cod, haddock and whiting were obtained from stock  
269 assessment reports as these include discards and are corrected for misreporting. Contrary to  
270 cod and whiting assessed in VIa, haddock is now assessed for both areas IV and VIa (ICES,

271 2014d). As a result, it was assumed that 9.5 % of northern shelf haddock catches are realised  
272 in VIa as this is the threshold managers agreed upon when splitting the TAC between areas IV  
273 and VIa (EC, 2015b). For all other groups, 1985-2013 time series of VIa landings were  
274 obtained from STATLANT (STATLANT, [http://ices.dk/marine-data/dataset-](http://ices.dk/marine-data/dataset-collections/Pages/Fish-catch-and-stock-assessment.aspx)  
275 [collections/Pages/Fish-catch-and-stock-assessment.aspx](http://ices.dk/marine-data/dataset-collections/Pages/Fish-catch-and-stock-assessment.aspx)) and 2003-2013 discard rates were  
276 obtained from STECF (<https://stecf.jrc.ec.europa.eu/reports>) to estimate the 2003-2013 catch  
277 time series. The catch time series for 1985-2002 were estimated by inversely applying 2003-  
278 2013 average discard rates to 1985-2002 landings time series. In EwE, F corresponds to the  
279 exploitation rate which is the catch to biomass ratio (C/B). To get F time series, biomass time  
280 series were adjusted so that the 1985 starting values correspond to the 1985 biomass estimates  
281 from Ecopath before calculating C/B to ensure sensible F values: since biomass values resulting  
282 from standardised survey sampling are often much smaller than those estimated from stock  
283 assessments, the initial value derived from Ecopath was used. Lastly, the “feeding time  
284 adjustment rate” was set to 0.5 for mammal groups as suggested by Christensen *et al.* (2008)  
285 and to 0.2 for juvenile stanzas which still feed on egg content in early life stages while the  
286 default value of 0 was used for all other groups. The time series of biomass, catch, F, and forced  
287 catches (i.e. catches used to drive the model for groups for which F could not be calculated due  
288 to lack of either C or B) inputs used to fit Ecosim are given in Tables S5-8.

289

### 290 **2.3. Parameterisation**

291

292 For the model to be reliable enough for EBFM it is essential that Ecosim captures the food web  
293 processes. This is shown by the ability to reproduce historical trends in biomass and catches  
294 when historical fishing mortalities are applied. Ecosim includes a ‘fit to time series’ module  
295 which identifies the prey-predator interactions most sensitive to changes in vulnerability

296 (Tomczak et al., 2012). The calibration then consists of adjusting these vulnerabilities until the  
297 best ‘fit’ of the model outputs to historical time series is achieved. Goodness-of-fit is assessed  
298 by the sum of squared differences between the predicted and observed values on a  $\log_{10}$  scale  
299 (Christensen et al., 2008). The fitting procedure described in Alexander *et al.* (2015) was  
300 applied and the following model scenarios were tested (see Mackinson et al. (2009) for more  
301 details):

302

- 303 (i) Baseline: no fishing or environmental forcing and vulnerabilities set at 2
- 304 (ii) Baseline + trophic effects: same as (i) except vulnerabilities are adjusted to fit the  
305 data
- 306 (iii) Baseline + environmental forcing: same as (i) except the ‘fit to time series’  
307 identifies a time series of values (forcing function) that improves the fit by  
308 impacting the predicted biomasses through primary production (subsequent  
309 analyses can be performed to link the forcing function to existing environmental  
310 drivers). This forcing function is a spline curve, and the maximum number of spline  
311 points tested was limited to five so as to not over-parameterise the model (Tomczak  
312 et al., 2012), as done by Alexander et al. (2015).
- 313 (iv) Baseline + trophic effects + environmental forcing: combination of (ii) and (iii)
- 314 (v) Fishing: fishing mortalities are included to drive the model, no environmental  
315 forcing and vulnerabilities set at 2
- 316 (vi) Fishing + trophic effects: fishing mortalities are included to drive the model and  
317 vulnerabilities are adjusted to fit the data
- 318 (vii) Fishing + environmental forcing: combination of (iii) and (v)
- 319 (viii) Fishing + trophic effects + environmental forcing: combination of (vi) and (vii)

320

321 The best candidate was selected with Akaike's Information Criterion (AIC) which identifies  
322 the best trade-off between goodness-of-fit and number of parameters (Mackinson et al., 2009).  
323 Instead of manually selecting the number of vulnerabilities to adjust prior to running the 'fit to  
324 time series' module (Alexander et al., 2015; Tomczak et al., 2012), an automated stepwise  
325 fitting procedure (Scott et al., 2016) was used. This 'stepwise fitting' module has been included  
326 in the latest release of the EwE software (version 6.5) and allows for testing every possible  
327 combination of parameters by automatically running the 'fit to time series' with successive  
328 increments of the number of vulnerabilities and/or spline points of the forcing function for each  
329 candidate model (ii) to (viii). The stepwise fitting procedure tested 1,990 model interactions  
330 based on 28 time-series of relative biomasses, 22 time-series of catches, 22 time-series of F  
331 and 9 time-series of forced catches with a total of 1,355 observations (observed data points)  
332 estimating a maximum number of 49 parameters (based only on independent time-series). The  
333 fitting procedure searched for vulnerability parameters "by predator" for all iterations assuming  
334 the same top-down or bottom up control of the predator on all its prey (Scott et al., 2016).

335

#### 336 ***2.4. Management scenario simulations***

337

338 Once parameterised, the best candidate model was used to explore the possible management  
339 scenarios for the WoS demersal fishery which adhere to the current CFP recommendations.  
340 The six demersal species considered here for the demersal fishery are cod, haddock, whiting,  
341 saithe, hake, monkfish. Saithe and hake are part of larger groups, pollock and large demersals  
342 respectively, composed of more than one species (Table S9). According to Bailey et al. (2011),  
343 the pollock group is largely dominated by the saithe (97%) and the large demersals group by  
344 hake (ca. 60%, although given recent estimates from Baudron and Fernandes (2015), this  
345 proportion is likely to be much higher). The groups pollock and large demersals were therefore

346 considered here as being representative of these two single species, and are hereafter referred  
347 to as saithe and hake. Forward simulations were performed for a period of 20 years (i.e. 2014-  
348 2033) for each scenario. Firstly, a status quo scenario ( $F_{\text{status quo}}$ ) was performed by keeping  $F$   
349 equal to the last historical value ( $F_{2013}$ ) for all species in the model (Table 1) and used as a  
350 reference level. Secondly, a  $F_{\text{MSY}}$  scenario was performed by applying the single stock  $F_{\text{MSY}}$   
351 values from ICES (Table 1). Only cod and whiting have stocks with a corresponding  $F_{\text{MSY}}$   
352 defined for area VIa, in which the model area is located. For other species, the  $F_{\text{MSY}}$  defined  
353 for stock areas which encompass area VIa were used as best available proxies (Table 1). Lastly,  
354 the  $F_{\text{MSY}}$  ranges were explored for demersal species, whilst single stock  $F_{\text{MSY}}$  values were  
355 applied to Norway lobster and pelagic species. Akin to single stock  $F_{\text{MSY}}$  values, the best  
356 available proxies were used when needed (Table 1). The  $F_{\text{MSY}}$  ranges were explored by  
357 simulating, for each species, the  $F_{\text{MSY upper}}$  and  $F_{\text{MSY lower}}$  boundaries and  $F$  values in between  
358 these two boundaries with a 0.05 increment (Fig. 2a). In order to investigate management  
359 strategies likely to recover cod and whiting, the  $F_{\text{MSY lower}}$  boundaries simulated were lowered  
360 to  $F=0.05$ , this value corresponding to the observed residual  $F$  experienced by species not  
361 targeted by fisheries (e.g., juvenile cod, see Table S7). Since haddock is also located on the  
362 shelf and likely to be caught together with these two species, the cod  $F_{\text{MSY}}$  range was also  
363 applied to haddock (Fig. 2a). The  $F_{\text{MSY}}$  ranges simulated therefore differed slightly from the  
364 ones given by ICES, but did however encompass them (Table 1). To investigate the impact of  
365 reducing juvenile whiting bycatch by the crustacean fishery, the  $F_{\text{MSY}}$  range applied to adult  
366 whiting was also applied to juvenile whiting in order to simulate a reduction from  $F_{\text{status quo}}$  of  
367 0.17 (Table S7) down to  $F=0.05$  (Fig. 2a). To investigate the impact of a reduction in predation  
368 by grey seals, 5% and 10% culls were simulated by applying  $F$ s of 0.05 and 0.10 to grey seals,  
369 in addition of the current no cull ( $F=0$ ) situation (Fig. 2a). Simulations were carried out for all  
370 possible combinations of  $F$ s within the  $F_{\text{MSY}}$  ranges tested, resulting in 180,000 scenarios being



371 explored in addition to the  $F_{\text{status quo}}$  and  $F_{\text{MSY}}$  scenarios. These simulations were performed  
372 using the Multisim plugin from the EwE software (Steenbeek et al., 2016).

373

## 374 **2.5. GES indicators**

375

376 To assess whether the management scenarios tested achieve GES, and further identify which  
377 scenario is most likely to achieve GES, the following ecosystem indicators (hereafter referred  
378 to as GES indicators) were calculated using the model outputs for all scenarios.

379

### 380 *2.5.1. Biomass*

381

382 GES implies that all fish stocks are harvested sustainably and therefore within safe biological  
383 limits: the spawning stock biomass (SSB, i.e. of adults) should be above biological reference  
384 points. The stocks of cod and whiting which are currently depleted are the only two stocks with  
385 the biological reference points biomass limit ( $B_{\text{lim}}$ ) and precautionary biomass ( $B_{\text{pa}}$ ) defined  
386 for area VIa (cod:  $B_{\text{lim}} = 14,000$  t,  $B_{\text{pa}} = 22,000$  t; whiting:  $B_{\text{lim}} = 31,900$  t,  $B_{\text{pa}} = 44,600$  t) in  
387 which the model area is located (ICES, 2016c). The biomass outputs from the model were  
388 therefore used as indicators, in conjunction with the biological reference points, to assess  
389 whether each scenario led to the cod and whiting stocks remaining depleted (biomass  $< B_{\text{lim}}$ ),  
390 being at risk ( $B_{\text{lim}} < \text{biomass} < B_{\text{pa}}$ ), or recovering (biomass  $> B_{\text{pa}}$ ). This indicator relates to the  
391 GES descriptor 3: commercial species.

392

### 393 *2.5.2. Shannon's diversity index*

394

395 Shannon's diversity index (SI) is an indicator of biodiversity commonly used to assess the  
396 impact of fishing on food webs (Gascuel et al., 2016). This indicator was calculated following  
397 the formula from Shannon (1948):

398

$$399 \quad SI = \sum_G (P_G \cdot \log_2(P_G)) \quad (1)$$

400

401 where  $P_G$  is the proportion in weight of the functional group  $G$  in the biomass. This indicator  
402 relates to the GES descriptor 1: biodiversity.

403

#### 404 *2.5.3. Marine trophic index*

405

406 The marine trophic index (MTI) is an indicator of the trophic structure of the upper (trophic  
407 level 3.25 and above) part of the food web which includes most commercial fish species and  
408 therefore is expected to be impacted the most by fishing (Pauly and Watson, 2005). This  
409 indicator was calculated as follows:

410

$$411 \quad MTI = \sum(TL_G \cdot W_G) / \sum W_G \quad (2)$$

412

413 where  $TL_G$  is the trophic level of the functional group  $G$  (for groups with a trophic level  $\geq 3.25$ ),  
414  $W_G$  is the weight of the functional group  $G$  in the biomass. This indicator relates to the GES  
415 descriptor 4: food webs.

416

#### 417 *2.5.4. Mean maximum length*

418

419 The mean maximum length (MML) is an indicator of the species composition of the food web  
420 where fishing is expected to lead to a decline in the proportion of large species (Shin et al.,  
421 2005). This indicator was calculated as follows:

422

$$423 \quad MML = \sum(W_G \cdot L_{\infty G}) / \sum W_G \quad (3)$$

424

425 where  $W_G$  is the weight of the functional group  $G$  present and  $L_{\infty G}$  is the asymptotic length of  
426 the functional group  $G$  obtained by averaging  $L_{\infty}$  values obtained from Fishbase (Froese and  
427 Pauly, 2017; [www.fishbase.org](http://www.fishbase.org)) across species in each functional group (Table S9). This  
428 indicator relates to the GES descriptor 4: food webs.

429

#### 430 *2.5.5. Food web evenness index*

431

432 The Food Web Evenness index (FWE) is an indicator of biodiversity which, unlike Shannon's  
433 diversity index, not only considers the overall diversity of species but also a balanced biomass  
434 distribution across trophic levels and evenness of species within each trophic level. This  
435 indicator is obtained by inverting either the Canberra or the Bray-Curtis dissimilarity index,  
436  $BC$ , calculated based on the dissimilarity of the expected and observed biomass of a functional  
437 group  $G$ , as follows:

438

$$439 \quad BC = (\sum_G |B_{Ge} - B_{Go}|) / \sum_G (B_{Ge} + B_{Go}) \quad (4)$$

440

441 where  $B_{Ge}$  and  $B_{Go}$  are the expected and observed biomass of the functional group  $G$  within its  
442 trophic level, respectively. The expected biomass is calculated by defining a reference state of  
443 'food web evenness' in which group biomasses are decreasing with increasing trophic levels,

444 and all groups within a trophic level have equal biomasses (for more details please refer to  
445 Appendix A). An advantage of FWE is that it is independent of the total biomass in the system.  
446 Therefore FWE only tracks relative changes in species biomasses, i.e. in the compositional  
447 diversity of the community. This indicator relates to the GES descriptor 1: biodiversity.

448

## 449 ***2.6. Identify the best GES scenario***

450

451 Apart from the biomass indicator for which thresholds (i.e.  $B_{lim}$  and  $B_{pa}$ ) are defined for the  
452 depleted stocks of cod and whiting, none of the four GES indicators used to assess descriptors  
453 1 and 4 have clear thresholds defined above which GES is considered reached. Instead, for  
454 these four indicators (H, MTI, MML, FWE) it was simply considered that the higher the value  
455 the better, and that a scenario achieving high values across these four indicators is more likely  
456 to achieve GES than a scenarios achieving lower values (Coll et al., 2016; Kleisner et al., 2015;  
457 Reed et al., 2017). Therefore, in order to identify the scenario most likely to achieve GES  
458 (hereafter referred to as best GES scenario) the following framework was applied:

459 (i) To achieve GES, a scenario should recover the depleted stocks of cod and whiting  
460 within safe biological limits (i.e. above  $B_{pa}$ )

461 (ii) The recovery of depleted stocks should be achieved as early as possible

462 (iii) Among scenario(s) that satisfy conditions (i) and (ii), the best GES scenario is the  
463 one achieving the highest values overall across the four GES indicators H, MTI,  
464 MML, and FWE. The best GES scenario was identified through the following three  
465 steps:

466 a. firstly, the amplitude of the time series of all four GES indicators was  
467 standardised by subtracting the mean and dividing by the standard deviation;

- 468                   b. secondly, for each indicator, the difference between each scenario's value  
469                   reached in 2033 and the maximum across all scenarios was calculated;
- 470                   c. thirdly, the best GES scenario is the one with the smallest sum of differences  
471                   across the four GES indicators.

472

## 473 ***2.7. Model uncertainty***

474

475 In order to investigate the impact of parameter uncertainty on the reliability of the model  
476 outputs, Monte-Carlo simulations were performed to assess the sensitivity of Ecosim to  
477 uncertainty in the following Ecopath inputs: biomass, production to biomass ratio,  
478 consumption to biomass ratio, and ecotrophic efficiency (Heymans et al., 2016). The model  
479 identified as the best GES scenario was run with the parameter value for each of these inputs  
480 randomly selected from within 10% of the original value, as done by Serpetti et al. (2017). 100  
481 runs were performed, and the confidence interval around the time series of biomass outputs  
482 were determined by calculating the 5% and 95% quantiles.

483

484

## 485 **3. Results**

486

### 487 ***3.1. Hindcast***

488

489 Once the updated Ecopath model was successfully balanced, PREBAL (Link, 2010)  
490 diagnostics were carried out and confirmed that: the biomass slope on a log scale declines by  
491 ca. 5 – 10% with increasing trophic levels; predator/biomass ratios are <1; and vital rates  
492 decline with increasing trophic levels (Appendix B). These diagnostics suggest that the Ecopath

493 model is ecologically sound (Link, 2010). The structure of the updated Ecopath food web is  
494 depicted in Figure 3, and the final balanced model parameters can be found in Table S1.

495

496 The best fitted model with the lowest AIC was achieved when fishing, trophic effects and  
497 environmental forcing were applied (Model 8, see Table 2). This model improved the fit by  
498 62% compared to the baseline model. Adding fishing alone improved the fit by 25%, while the  
499 combination of fishing and trophic effects reduced the sum of squares by 61%. Adding a  
500 forcing function further reduced the sum of squares by 1%, resulting in the lowest AIC. The  
501 environmental forcing function on primary producers identified by the fitting procedure is a  
502 spline curve with three spline points. Correlations between this forcing function and  
503 environmental indices North Atlantic Oscillation (NAO) and Atlantic Multidecadal Oscillation  
504 (AMO), as well as the Sea Surface Temperature (SST) were explored with Pearson product  
505 moment correlation tests. SST data was obtained from the Hadley Centre HadISST dataset  
506 (<http://www.metoffice.gov.uk/hadobs/hadisst/>), while NAO and AMO data were obtained  
507 from NOAA (<https://www.esrl.noaa.gov/psd/data/timeseries/>). While correlations with SST  
508 and NAO were marginally (cor. = 0.107, p = 0.046) and not significant (cor. = -0.099, p =  
509 0.066) respectively, AMO was the index most correlated with the forcing function with a highly  
510 significant correlation (cor. = 0.583, p < 0.001, Fig. S1). As a result, a smoothed AMO index  
511 obtained by fitting a Loess (local regression) smoother with a span of 0.5 (Fig. S1c) was  
512 substituted with the three spline point curve in the model and used as the environmental forcing  
513 function on producers.

514

515 The best model (model 8, see Table 2) performed fairly well in reproducing the historical  
516 biomass trends of most functional groups over the hindcast period (1985-2013), particularly  
517 for demersal species such as cod, whiting, saithe and monkfish (Fig. 4). Biomass trends were

518 also fairly well captured for *Nephrops* and pelagic species except in early years (1985-1990)  
519 for mackerel and horse mackerel. The historical biomass trends of grey seals was not captured  
520 as well, although the model did produce an increasing trend as observed from the historical  
521 data. The confidence intervals calculated from the Monte-Carlo simulations were reasonably  
522 narrow for a majority of groups, but did reveal large uncertainties around the estimates of cod,  
523 haddock and whiting due to the top-down and bottom-up interactions between the adult and  
524 juvenile stages of these multi-stanza groups as previously noted by Serpetti et al. (2017). The  
525 model also reproduced the observed catch trends for most groups apart from monkfish over the  
526 1990-2000 period (Fig. S2). Catches of hake, mackerel and *Nephrops* were slightly  
527 overestimated, while blue whiting catches were slightly underestimated over the 1995-2000  
528 period. The model showed mixed results regarding the ability to reproduce historical trends of  
529 GES indicators (Fig. 5). Historical values for the two food web indicators, MML and MTI,  
530 were well matched apart from a peak in the mid-2000s largely driven by the large increase in  
531 hake biomass (Fig. 4). The two diversity indicators SI and FWE, however, were overestimated  
532 by the model, especially SI. Nevertheless, the model outputs did reproduce the shape of the  
533 historical trends to some extent, indicating that the GES indicators returned by the model can  
534 be used to compare management scenarios to one another.

535

### 536 **3.2. Forecast**

537

538 No forward projections of the AMO index are available. However, this index has been  
539 increasing over the model hindcast period (1985-2013), is known to follow a cyclical pattern,  
540 and is now approaching a cooling phase (Kotenev et al., 2011). Thus, the mirror values of the  
541 smoothed AMO index over 1985-2013 (Fig. S1c) were used as best available proxy and applied

542 as the environmental forcing function of primary producers over the simulation period (2014-  
543 2033) when simulating the management scenarios, as done by Serpetti et al. (2017).

544

545 The  $F_{\text{status quo}}$  scenario revealed little to no change for most species biomass (Fig. 4) and catch  
546 (Fig. S2) levels compared to the last historical year: cod and whiting remained depleted, while  
547 other species either remained on par with 2013 levels or quickly reached a plateau, except  
548 herring and horse mackerel which kept declining over the simulation period. The  $F_{\text{MSY}}$  scenario  
549 entailed an increase in  $F$  for all species expect cod, herring and horse mackerel (Table 1). This  
550 led to a recovery of cod SSB above  $B_{\text{pa}}$  and an increase in horse mackerel biomass but did not  
551 stop herring biomass from decreasing despite temporarily curbing the decline. Single stock  
552  $F_{\text{MSY}}$  values did not recover whiting SSB which remained well below  $B_{\text{lim}}$ . However, despite  
553 experiencing a  $F$  three times greater, whiting achieved a higher SSB with  $F_{\text{MSY}}$  ( $F=0.18$ ) than  
554 with  $F_{\text{status quo}}$  ( $F=0.06$ ). Similar observations were made for haddock which experienced an  
555 increase from  $F_{\text{status quo}} = 0.17$  to  $F_{\text{MSY}} = 0.19$ . This is most likely due to a reduction in the  
556 predation pressure from the piscivorous top predators saithe, monkfish and hake which all  
557 experienced substantial biomass reductions under  $F_{\text{MSY}}$ . Grey seals also suffered from a  
558 reduction in biomass despite experiencing no cull under  $F_{\text{MSY}}$ , likely due to a reduction in food  
559 supply caused by the lower biomass overall across fish species, in particular the important  
560 preys saithe and hake (Fig. S3). Catches realised under  $F_{\text{MSY}}$  were greater than under  $F_{\text{status quo}}$   
561 across all species except *Nephrops*, suggesting that  $F_{\text{MSY}}$  would lead to higher yield even for  
562 species experiencing a reduction in  $F$ .

563

564 Out of the 180,000 scenarios tested to explore the  $F_{\text{MSY}}$  ranges, only 260 recovered both the  
565 stocks of cod and whiting above  $B_{\text{pa}}$  by 2033 (Table S10). Out of these 260 scenarios, the  
566 earliest date at which recovery above  $B_{\text{pa}}$  was achieved for both depleted stocks differed among



567 the levels of seal cull considered: 10 scenarios achieved recovery in 2027 with no seal cull, 20  
568 scenarios achieved recovery in 2028 with a 5% seal cull, and 5 scenarios achieved recovery in  
569 2029 with a 10% seal cull. These 35 scenarios are hereafter referred to as recovery scenarios.  
570 Culling grey seals had no effect on how quickly the depleted stocks recovered above  $B_{lim}$ : cod  
571 and whiting reached the threshold in 2021 and 2024 at the earliest, respectively, regardless of  
572 the level of culling applied here. However, culling grey seals had an effect on how quickly the  
573 depleted stocks recovered above  $B_{pa}$ . Cod reached the threshold in 2022 with a 10% cull, a year  
574 earlier than with a 5% cull or no cull. In contrast, the recovery of whiting above  $B_{pa}$  appeared  
575 slower with higher levels of culling, with the threshold reached in 2027 without cull while a  
576 5% and 10% cull led to the threshold being reached in 2028 and 2029 respectively.

577

578 The fishing mortalities applied in the 35 recovery scenarios are displayed in grey in Figure 2b  
579 and the corresponding biomass trajectories in Figure 4. The recovery of the cod and whiting  
580 stocks was achieved with  $F$  values within the  $F_{MSY}$  ranges from ICES, with the exception of  
581 whiting which required a much lower  $F$  (Fig. 2b). Although these 35 recovery scenarios did  
582 achieve the recovery of both cod and whiting above  $B_{pa}$ , for both species the increase in  
583 biomass plateaued around 2030 after which it started decreasing again, with the whiting SSB  
584 dipping below  $B_{pa}$  by 2033 in all recovery scenarios (Fig. 4). Extending the simulation until  
585 2100 as done by Serpetti et al. (2017) revealed that, while the cod SSB remained above  $B_{pa}$   
586 after the ecosystem reached equilibrium, the whiting SSB fluctuated around  $B_{pa}$  before  
587 stabilising between  $B_{lim}$  and  $B_{pa}$  by 2060 (Fig. S4). This suggests that the scenarios identified  
588 as achieving the fastest recovery of cod and whiting above  $B_{pa}$  may not maintain whiting within  
589 sustainable limits in the long term. The large uncertainty around whiting biomass estimates  
590 prevents any firm conclusions, with ca. half of the confidence interval being above  $B_{pa}$  (and ca.  
591 two thirds above  $B_{lim}$ ) by 2100. Out of the 35 recovery scenarios, the recovery of both cod and

592 whiting was only achieved when the highest F of the ranges explored was applied to cod  
593 (F=0.25) and saithe (F=0.42), and the lowest possible F (0.05) applied to both adult and juvenile  
594 whiting. In contrast, recovery was achieved with all possible F values of the range explored for  
595 monkfish and grey seals which indicate that these two top predators did not hinder the cod and  
596 whiting stocks recovery, although the predation from grey seals had a slight impact on the date  
597 when  $B_{pa}$  was reached for these two stocks, as detailed above.

598

599 The 35 recovery scenarios all resulted in similar values of GES indicators across the simulation  
600 period, with the exception of the FWE index which showed more variability across scenarios  
601 (Fig. 5). As a result, the scenario identified as the best GES scenario was also the one returning  
602 the highest FWE values. Both the best GES scenario and the  $F_{MSY}$  scenario produced similar  
603 trajectories for all GES indicators over the simulation period, except for the FWE index  
604 between 2014 and 2025. However, for all GES indicators the best GES scenario either slightly  
605 outperformed the  $F_{MSY}$  scenario (e.g. SI), or caught up with it by 2033 (e.g. MML). Both the  
606 best GES and  $F_{MSY}$  scenarios resulted in lower values than the  $F_{status\ quo}$  scenario for the two  
607 food web indicators, MML and MTI, although for MTI all three scenario ended up with similar  
608 values in 2033. This is likely due to the high biomasses of saithe and hake observed under the  
609  $F_{status\ quo}$  scenario, with the abundance of these two large top predator species resulting in high  
610 MML and MTI values despite the low biomasses of other large top predators such as cod and  
611 whiting. In contrast, the best GES and  $F_{MSY}$  scenarios both resulted in higher values than the  
612  $F_{status\ quo}$  scenario for the two biodiversity indicators SI and FWE, indicating that these two  
613 scenarios led to a more diverse and even species composition of the WoS ecosystem.

614

615 The best GES scenario identified via the GES indicators was achieved when the highest F of  
616 the ranges explored for haddock (F=0.25) and monkfish (F=0.41) were applied, while an F

617 slightly above the middle of the range explored ( $F=0.35$ ) was applied to hake (Fig. 2c). While  
618 the non-culled biomass of grey seals did not prevent the recovery of cod and whiting, despite  
619 slightly impacting the date when this recovery was achieved as explained above, the best GES  
620 scenario was achieved when a 5% cull was applied to grey seals. This indicates that, while the  
621 predation from grey seals does not prevent stock recovery, it does have an impact, however  
622 small, on the food web structure and biodiversity of the WoS ecosystem. Apart from grey seals  
623 which experience a 5% cull under the best GES scenario, the best GES and  $F_{MSY}$  scenarios  
624 produced similar biomass trajectories which were actually closely aligned for most species with  
625 one major exception, whiting, which did not recover under the  $F_{MSY}$  scenario (Fig. 4). Likewise,  
626 apart from cod and haddock which experienced higher  $F$  values under the best GES scenario,  
627 the catch trajectories produced by the best GES and  $F_{MSY}$  scenarios were also similar, even for  
628 whiting which experienced a much lower  $F$  (0.05) under the best GES scenario the  $F_{MSY}$  (0.18)  
629 scenario (Fig. S2).

630

631

#### 632 **4. Discussion**

633

634 The results from the model simulations suggest that the single stock  $F_{MSY}$  values currently  
635 advised by ICES, if applied to all stocks in WoS, would likely recover cod whilst achieving  
636 catches on par with historical levels for most species. This management scenario would also  
637 lead to an increase in whiting SSB, but would fail to recover this stock to within safe biological  
638 limits, suggesting that the current  $F_{MSY}$  value for whiting in ICES area VIa is incompatible with  
639 this stock's recovery. In contrast, the results from the simulations exploring the  $F$  ranges used  
640 in this study suggest that it would be possible to recover both cod and whiting stocks by  
641 applying  $F$  within these ranges. However, two crucial conditions were necessary for the

642 recovery of both these depleted stocks to happen. Firstly, the recovery of whiting required that  
643 the lowest possible  $F$  ( $F = 0.05$ ) of the ranges explored was applied to both juvenile and adult  
644 whiting. Due to the depleted status of the VIa whiting stock, adult whiting is no longer actively  
645 targeted in WoS and is currently experiencing an  $F_{\text{status quo}}$  of ca. 0.06 due to bycatch. Juvenile  
646 whiting, on the other hand, is caught as bycatch by the small meshed crustacean fishery  
647 targeting the highly valuable *Nephrops* (the crustacean fishery account for 77% of the discards  
648 of age 0 and age 1 (i.e., juvenile) groups), and is currently experiencing an  $F_{\text{status quo}}$  of ca. 0.17  
649 as a result (ICES, 2016c). Our results strongly suggest that a substantial reduction in the  
650 bycatch of juvenile whiting by the crustacean fishery is essential to the recovery of the VIa  
651 whiting stock. This contradicts the previous findings from Alexander et al. (2015) who  
652 concluded that there is insufficient bycatch from the crustacean fishery to prevent the recovery  
653 of whiting. While measures to prevent bycatch of juvenile whiting by the crustacean fishery  
654 could potentially jeopardise one of the most profitable fisheries in WoS, they will soon become  
655 a CFP requirement as the landings obligation is being phased in for demersal stocks (EC,  
656 2015a), with whiting already identified to become a choke species for the crustacean fishery in  
657 WoS (ICES, 2016c).

658

659 The second requirement for the recovery of cod and whiting we identified is that the  
660 simultaneous recovery of cod and whiting was achieved only when the highest possible  $F$  from  
661 the ranges explored were applied to cod ( $F = 0.25$ ) and saithe ( $F = 0.42$ ). Both cod and saithe  
662 are piscivorous top predators (trophic level ca. 4) of the WoS ecosystem. Saithe, along with  
663 mackerel, is one of the main predators of both juvenile cod (Fig. 6a) and juvenile whiting (Fig.  
664 6b), and the increasing saithe biomass over the historical period has led to an increase in  
665 predation pressure on these two juvenile stanzas. Scenarios with the highest  $F$ s on saithe  
666 therefore resulted in a decrease in predation mortality on juvenile cod and whiting, thus

667 enabling these two species to recover. Likewise, cod is the main predator of whiting (Fig. 6c)  
668 and the third most prevalent predator of juvenile cod after saithe and mackerel (Fig. 6a).  
669 Applying the highest possible F on cod therefore limited the increase in predation mortality on  
670 whiting, thus enabling the recovery of whiting, whilst also limiting cannibalism on juvenile  
671 cod and facilitating the recovery of cod. These results suggest that reducing the biomass of  
672 saithe, the main predator of juvenile cod and whiting, together with limiting the increase of  
673 cod, the main predator of whiting, are necessary to recover both VIa cod and whiting stocks.  
674 The fact that the recovery of cod and whiting, two piscivorous top predators, seems  
675 unattainable without curbing the increase of another piscivorous top predator, saithe, indicates  
676 that it may not be possible to simultaneously maximise the biomass of all demersal piscivorous  
677 top predators of the WoS ecosystem (which also include hake and monkfish). Therefore, it may  
678 be necessary to identify the optimum balance between these species to achieve sustainable  
679 stocks statuses and a healthy food web.

680

681 The concept of ‘balanced fishing’ was first introduced by Garcia et al. (2012) and has gained  
682 momentum in recent years as EBFM garnered more attention, although it remains a hotly  
683 debated topic (ICES, 2014e). The intricacies and consequences of prey-predator interactions in  
684 exploited ecosystems, and the importance of considering them in the management of mixed  
685 fisheries are particularly relevant at a time when improved stewardship in the management of  
686 European fisheries is leading to the recovery of most commercial stocks (Fernandes and Cook,  
687 2013) resulting in the increase in the biomass of many top predator as they approach their MSY  
688 status, with knock-on implications for prey-predator interactions (ICES, 2016h, 2014e). For  
689 example, the recovery of the northern hake stock has led to a large increase in the biomass of  
690 this top predator across most of northern Europe, including WoS (Baudron and Fernandes,  
691 2015), with repercussions on prey-predator interactions such as the increased competition with

692 saithe for access to their common prey, as documented in the North Sea (Cormon et al., 2016).  
693 Although a similar increase has yet to be reported for saithe, the biomass trend from survey  
694 data presented here suggest that this species has been increasing continuously from 1985 to  
695 2013 in WoS, whilst fish stock recoveries have been linked to a decline in fishing exploitation  
696 and associated harvest rates in ICES area VI overall, and the neighbouring ICES area V for  
697 saithe specifically (Jayasinghe et al., 2015). The possible application of ‘balanced fishing’ in  
698 European fisheries and its consequences for ecosystems are currently being investigated by the  
699 ICES Working Group on the Ecosystem Effects of Fishing Activities who concluded that, as  
700 fish stock recoveries are expected to have significant trophic effects, ecosystem models such  
701 as the one employed here could be used to predict the ecological consequences of stock  
702 rebuilding (ICES, 2016h).

703

704 Implementing a cull of grey seals, the main predator of cod and one of the main predators of  
705 gadoid fish species in WoS, had little impact overall on the recovery of cod and whiting. Both  
706 species were able to recover when no cull was applied, an observation consistent with the  
707 previous findings from Alexander et al. (2015) who concluded that the rise in grey seals  
708 biomass had not led to the collapse of these species. This observation contradicts, however, the  
709 findings from a recent modelling study which suggests that the sustained high mortality due to  
710 increased predation from grey seals is preventing the recovery of the VIa cod stock (Cook et  
711 al., 2015). Reducing the grey seals population by 5% every year had no impact of the recovery  
712 of cod, however a 10% reduction led to cod recovering within safe biological limits a year  
713 earlier. While the difference is small, this observation is consistent with another recent  
714 modelling study showing that the VIa cod stock recovery under current levels of grey seals  
715 predation is possible although it would remain precarious (Cook and Trijoulet, 2016). Our  
716 results showed that a yearly 10% decrease in grey seals biomass led to a slightly earlier cod

717 recovery, suggesting that an increase in grey seals biomass would potentially delay the  
718 recovery, a finding consistent with Serpetti et al. (2017) who identified grey seals as exerting  
719 a top-down control on their prey. We also showed that a decrease in grey seals biomass could  
720 be detrimental for the whiting recovery: the increase in cod biomass associated with a decrease  
721 in grey seals biomass would increase predation mortality on whiting, thus delaying its recovery.  
722 This potential impact has not yet been reported for whiting in WoS and highlights the need for  
723 considering prey-predator interactions in the management of exploited ecosystems, as  
724 previously mentioned. Lastly, the best GES scenario identified here included a 5% cull of grey  
725 seals, further demonstrating the impact of the abundance of top predators on the food web  
726 structure and diversity. However, the small differences observed between scenarios with and  
727 without grey seals cull, coupled with the fact that the absence of cull did not prevent the  
728 recovery of cod and whiting, do not provide enough support for culling grey seals as a  
729 management measure.

730

731 The performance of the exploitation scenarios simulated here towards achieving GES was  
732 assessed based on five indicators which only related to three out of the eleven GES descriptors:  
733 biodiversity (two indicators), commercial species (one indicator) and food webs (two  
734 indicators). GES was therefore not comprehensively assessed in this study as many descriptors  
735 were omitted from the analyses since it was not possible to model them due to lack of data  
736 (e.g., descriptor 10: Marine litter) or lack of processes included in the model (e.g., descriptor  
737 5: Eutrophication). In addition, apart from the biomass indicator for which reference points are  
738 defined for the two depleted stocks, the biodiversity and food web indicators employed here  
739 have no clearly established thresholds to enable assessing whether GES is reached (i.e.,  
740 indicator > threshold). This is further complicated by the fact that there is currently no stringent  
741 framework that uses indicators in assessing GES criteria (Queirós et al., 2016). Lastly, one of

742 the two food web indicators employed, MTI, was calculated using fixed trophic levels per  
743 species, a practice not as efficient as the use of variable trophic levels which better detects the  
744 impact of fishing pressure (Reed et al., 2017). These drawbacks were mitigated through the use  
745 of two indicators (i.e., diversity and food web) and the use of an ad-hoc approach to identify  
746 the best scenario. Notwithstanding these caveats, the use of a food web ecosystem model  
747 combined with biomass thresholds enabled the identification of the management measures  
748 necessary to recover the depleted stocks of cod and whiting, thus addressing the most pressing  
749 environmental issue in WoS fisheries. Whether or not these management measures would also  
750 lead to GES for the WoS ecosystem is ambiguous. This is due to the caveats listed above, but  
751 also to the fact that, although the two biodiversity indicators increased under the best  
752 management scenario identified here compared to status quo, the two food web indicators  
753 decreased. This suggests that it might not be possible to simultaneously maximise both the  
754 biodiversity and the food web trophic structure (as measured by MML and MTI). With both  
755 biodiversity and trophic structure potentially impacting the WoS ecosystem resilience to  
756 fishing and other pressures, GES may only be achieved through appropriate trade-offs between  
757 these two descriptors. Nonetheless, the approach employed here (i.e., using biodiversity and  
758 food web indicators derived from food web ecosystem model simulations) has been  
759 successfully used in previous studies investigating the performance of fishing management  
760 scenarios towards the contrasting objectives of MSY and GES (Lynam and Mackinson, 2015;  
761 Stähler et al., 2016). Here, the chosen indicators replicated historical trends, suggesting that  
762 perhaps they could be used to explore future trends and compare candidate scenarios to one  
763 another in order to inform management decisions. Such an approach is employed, for example,  
764 when using surveillance indicators for which there is insufficient information to establish a  
765 clear target (Shephard et al., 2015). Future work using greater model complexity could achieve  
766 comprehensive assessments of GES. For instance, Alexander et al. (2016) have developed a



767 EwE model for WoS built on their previous work (Alexander et al., 2015) which includes a  
768 spatial component. Such a model could allow, for example, mapping trawl fishing activities in  
769 WoS and investigating descriptor 6 (Sea-floor integrity), thus improving on the GES  
770 assessment presented here.

771

772 The Ecopath model presented here entailed an update of the mass balance model from  
773 Alexander et al. (2015), as well as extensive changes to the diet matrix. This updated model  
774 was recently employed by Serpetti et al. (2017) to assess the long-term impacts of rising sea  
775 temperatures on WoS fisheries. In addition, the data time series used to update the Ecosim  
776 hindcast period from 1985-2008 to 1985-2013 included biomass trends derived from survey  
777 data for saithe and monkfish, where previously proxies derived from stock assessment model  
778 estimates were used (Bailey et al., 2011). This improves the credibility of the model since using  
779 raw data avoids the uncertainty and possible errors associated with estimates produced by  
780 statistical models (Dickey-Collas et al., 2014), especially when these statistical models were  
781 designed for different areas than the model area considered here. Another update was the  
782 inclusion of biomass time series of zooplankton and phytoplankton used to fit the model. This  
783 addition contributes to further improving the credibility of the model by constraining the model  
784 calibration at multiple trophic levels, a practice shown to lead to a better and more credible  
785 parameterisation especially when both fishing and environmental effects are considered  
786 (Mackinson, 2014). Overall, the updated model showed an improvement of the fit, with the  
787 hindcast better reproducing the historical biomass trends of most species compared to the  
788 hindcast shown in Alexander et al. (2015) whilst being similar to the hindcast shown by Serpetti  
789 et al. (2017). Most importantly, the updated model seems to behave more realistically when  
790 performing forward simulations. When reducing  $F$ , the biomass estimates produced by the  
791 updated model showed a gradual increase, as expected in complex ecosystems where trophic

792 interactions may buffer the impact of a decrease in  $F$ . In contrast, the results shown in  
793 Alexander et al. (2015) showed a sudden increase in the annual biomass of cod and whiting of  
794 several thousands of tonnes within a couple of years when a reduction in  $F$  was applied. Whilst  
795 not disputing the magnitude of the biomass increase observed by Alexander et al. (2015), such  
796 an increase within such a short time seems rather unrealistic. The time scale within which the  
797 updated model recovers seems more realistic which is a necessary component when testing  
798 fishing management strategies and their impact (Lynam and Mackinson, 2015) such as the date  
799 when depleted stocks recover, as investigated here.

800

801 Ecosystem modelling is a valuable tool for the implementation of EBFM. The inclusion of  
802 multiple species spanning several trophic levels and their trophic interactions is necessary to  
803 investigate the impact of management strategies on environmental and conservation objectives  
804 such as GES (Christensen and Walters, 2005). Yet, as these conservation objectives become a  
805 requirement while the latest CFP reform steers European fisheries management away from the  
806 traditional approach and towards EBFM, ecosystem modelling tools are still scarcely used in  
807 tactical fisheries management which remains very much single stock orientated (Skern-  
808 Mauritzen et al., 2015). EwE has benefited from a continuous development spanning over 30  
809 years (Villasante et al., 2016) and has been successfully employed on numerous occasions to  
810 investigate marine policy issues (Christensen and Walters, 2004; Coll  ter et al., 2015), with  
811 recent examples including the investigation of the impact of fisheries management strategies  
812 on GES (Lynam and Mackinson, 2015; St  bler et al., 2016), as implemented in this study.  
813 However, the use of EwE as a fisheries management tool has been heavily criticised (Plag  nyi  
814 and Butterworth, 2004), since major pitfalls in the application of EwE can produce misleading  
815 predictions about the direction of change caused by management strategies simulated, let alone  
816 their magnitude (Christensen and Walters, 2004). In addition, it has been shown that EwE

817 models can produce significantly different results from the same analyses depending on how  
818 the model has been calibrated (Mackinson, 2014), indicating that such models should be  
819 employed with care, particularly when investigating policy issues. The model employed here  
820 has been improved four times since its development (Alexander et al., 2015; Bailey et al., 2011;  
821 Haggan and Pitcher, 2005; Serpetti et al., 2017). While the model is able to reproduce historical  
822 biomass and catch, suggesting that it successfully captures the dynamics of the WoS food web,  
823 many assumptions were made during the parameterisation process. Therefore, the model  
824 presented here cannot, in its present state, be employed to make tactical management decisions  
825 (e.g., setting a Total Allowable Catch) due to the number of uncertainties (e.g., parameter  
826 uncertainty) linked to the various processes it describes. Indeed, the sensitivity of the model to  
827 parameter uncertainty led to large uncertainties being observed around the biomass estimates  
828 of cod and whiting, the two species on which scenario selection was based. In addition,  
829 extending the simulation beyond the period of interest until the ecosystem reached equilibrium  
830 revealed that the scenarios identified as achieving the fastest recovery of cod and whiting may  
831 not maintain whiting within sustainable limits in the long term although no firm conclusions  
832 could be drawn owing to the aforementioned large uncertainties around biomass estimates.  
833 However, the model could be used to evaluate trade-offs between species, fisheries, and human  
834 uses' impacts which is central to the ecosystem approach (Kaplan and Marshall, 2016). We  
835 suggest that it is useful in an EBFM context, possibly alongside the use of traditional tactical  
836 models (e.g. stock assessment), to explore various 'what if' scenarios, as done here, to inform  
837 managers on the likely future trends of biomass and ecosystem indicators.

838

839

## 840 **5. Conclusion**

841

842 Using a food web ecosystem model to simulate management scenarios accounted for prey-  
843 predator interactions whilst investigating biodiversity and food web indicators related to GES  
844 descriptors. Our results suggest that the single stock  $F_{MSY}$  values currently advised by ICES  
845 would recover the VIa cod stock, providing that  $F_{MSY}$  is applied to all stocks in VIa, but would  
846 fail to recover the VIa whiting stock. The exploration of alternative management scenarios led  
847 to the identification of the exploitation levels required to recover both the cod and whiting  
848 stocks, and revealed that two conditions are necessary for these recoveries to happen. Firstly,  
849 a reduction in the  $F$  experienced for juvenile whiting was necessary to recover whiting,  
850 indicating that a reduction in the bycatch of juvenile whiting by the crustacean fishery is needed  
851 for the VIa whiting stock to recover. Secondly, the simultaneous recovery of cod and whiting  
852 was achieved only when the highest possible  $F$ s were applied to both cod, the main predator of  
853 whiting, and saithe, the main predator of juvenile cod and whiting, highlighting the need to  
854 consider the impact of prey-predator interactions when managing fish stocks. The best GES  
855 scenario identified here resulted in biomass trajectories similar to the ones achieved with the  
856 single stock  $F_{MSY}$  scenario, with the exception of whiting which did not recover under this latter  
857 scenario. Likewise, the GES indicators trajectories achieved by the best GES scenario were  
858 broadly similar to the ones achieved by the single stock  $F_{MSY}$  scenario. Most importantly, the  
859 recovery of the cod and whiting stocks were achieved with  $F$  values within the  $F_{MSY}$  ranges  
860 identified by ICES for the six demersal stock considered here, with the exception of whiting.  
861 This suggests that the current management measures enforced in European fisheries by the CFP  
862 could achieve GES in the WoS ecosystem, provided that existing management issues such as  
863 the bycatch of whiting by the crustacean fishery are resolved, and that prey-predator  
864 interactions are accounted for, a component which will increasingly be taken into consideration  
865 as European fisheries management is evolving towards EBFM.

866

867

868 **6. Acknowledgements**

869

870 Alan R. Baudron, Niall G. Fallon and Paul G. Fernandes were funded by the Horizon 2020  
871 European research project MareFrame (grant No. 613571). Natalia Serpetti and Johanna J.  
872 Heymans were funded by the Natural Environment Research Council and Department for  
873 Environment, Food and Rural Affairs under the Marine Ecosystems Research Programme  
874 (MERP) (grant No. NE/L003279/1). We thank two anonymous reviewers for their insightful  
875 comments.

876

877

878 **7. References**

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1146



1147 **8. Tables**

1148

1149 **Table 1.** Fishing mortalities for the main west of Scotland commercial species used in the  
 1150 model simulations with corresponding references.  $F_{\text{status quo}}$  corresponds to the last historical F  
 1151 value observed (i.e.  $F_{2013}$ ).  $F_{\text{MSY}}$  corresponds to the single stock F value from ICES supposed  
 1152 to achieve MSY. For demersal species, the  $F_{\text{MSY lower}}$  and  $F_{\text{MSY upper}}$  values from ICES defining  
 1153 the  $F_{\text{MSY range}}$  are also given with their corresponding references (\*for monkfish, since no  $F_{\text{MSY}}$   
 1154  $\text{range}$  values are defined for the stock comprising ICES area VIa the  $F_{\text{MSY range}}$  values for ICES  
 1155 areas IIXc and IXa were used instead as best available proxy).

1156

Fishery	Species	$F_{\text{status quo}}$	$F_{\text{MSY}}$	Reference	$F_{\text{MSY lower}}$	$F_{\text{MSY upper}}$	Reference
Demersal	Cod	0.60	0.17	ICES, 2016c	0.11	0.25	ICES, 2016a
	Whiting	0.06	0.18	ICES, 2016c	0.15	0.18	ICES, 2016a
	Haddock	0.17	0.19	ICES, 2016d	0.18	0.19	ICES, 2016d
	Saithe	0.07	0.36	ICES, 2016d	0.20	0.42	ICES, 2015
	Hake	0.04	0.28	ICES, 2016g	0.18	0.45	ICES, 2016a
	Monkfish	0.14	0.31	ICES, 2016g	0.18*	0.41*	ICES, 2016a
Pelagic	Herring	0.21	0.16	ICES, 2016f			
	Mackerel	0.13	0.22	ICES, 2016e			
	Horse mackerel	0.30	0.09	ICES, 2016e			
	Blue whiting	0.11	0.30	ICES, 2016e			
Crustaceans	Nephrops	0.08	0.109	ICES, 2016c			

1157

1158

1159 **Table 2.** Comparison of the eight candidate models fitted with the stepwise fitting procedure showing the total number parameters estimated (equal  
 1160 to the sum of the number of vulnerabilities and the number of spline points of the forcing function estimated), the model sum of squares (SS), the  
 1161 percentage of reduction of SS compared to the baseline model, and the Akaike Information Criterion (AIC). The best fitted model is highlighted  
 1162 in bold.

1163

Model	Description	Number of vulnerabilities	Number of spline points	Total number of parameters estimated	SS	AIC	Fitting: % improvement SS
1	Baseline	0	0	0	1620.04	242.07	-
2	Baseline + trophic effects	0	0	0	1620.04	242.07	0
3	Baseline + environmental forcing	0	5	5	1550.87	192.99	4
4	Baseline + trophic effects + environmental forcing	34	5	39	1177.68	-109.68	27
5	Fishing	0	0	0	1219.31	-142.97	25
6	Fishing + trophic effects	29	0	29	626.61	-985.70	61
7	Fishing + environmental forcing	0	5	5	1113.15	-256.37	31
<b>8</b>	<b>Fishing + trophic effects + environmental forcing</b>	<b>24</b>	<b>3</b>	<b>27</b>	<b>614.30</b>	<b>-1016.76</b>	<b>62</b>

1164

1165 **9. Figure legends**

1166

1167 **Figure 1.** Shelf area of the west of Scotland (blue) included in the model.

1168

1169 **Figure 2. a:** Fishing mortalities used to perform forward simulations, together with the  $F_{MSY}$   
1170  $_{range}$  from ICES and the  $F_{MSY}$   $_{range}$  explored with the model. **b:** Fishing mortalities achieving the  
1171 earliest recovery of cod and whiting above  $B_{pa}$  across all levels of seal cull (no cull, 5% cull  
1172 and 10% cull) together with the  $F_{MSY}$   $_{range}$  values from ICES. **c:** Fishing mortalities identified  
1173 for the scenario achieving the best GES indicator values overall together with the  $F_{MSY}$   $_{range}$   
1174 values from ICES.

1175

1176 **Figure 3.** Food web structure of the model. Nodes represent functional groups within the  
1177 ecosystem; the size of the node is proportional to the biomass it represents. Biomass flows enter  
1178 a node from the bottom and exit a node from the top and are scaled to flow proportion. The y-  
1179 axis indicates the trophic level of the functional groups.

1180

1181 **Figure 4.** Biomass outputs from the model plotted with the observed biomass data time series  
1182 used to fit the model (black dots). From 1985 to 2013, the black line shows the outputs from  
1183 the model hindcast. From 2014 to 2033, outputs from the forward simulation are shown for the  
1184 status quo scenario (in black),  $F_{MSY}$  scenario (in red), scenarios achieving the earliest recovery  
1185 of cod and whiting above  $B_{pa}$  (in grey) across all levels of seal cull (no cull, 5% cull and 10%  
1186 cull), and the scenario achieving the best GES indicator values overall (in green). Scenarios  
1187 with the earliest cod and whiting recovery were achieved with only one  $F$  for some groups  
1188 (e.g., whiting), but several possible  $F$  values for others (e.g., monkfish, see Fig. 2) resulting in  
1189 several grey lines over the simulation period. The grey shaded area shows the confidence

1190 interval around the model hindcast from 1985 to 2013, and around the best GES scenario (in  
1191 green) from 2014 to 2033.

1192

1193 **Figure 5.** GES indicators calculated from the model outputs plotted with the values calculated  
1194 from observed data (black dots). From 1985-2013, the black line shows the GES indicators  
1195 calculated from the model hindcast. From 2014 to 2033, GES indicators calculated from the  
1196 forward simulations outputs are shown for the status quo scenario (in black),  $F_{MSY}$  scenario (in  
1197 red), scenarios achieving the earliest recovery of cod and whiting above  $B_{pa}$  (in grey) across all  
1198 levels of seal cull (no cull, 5% cull and 10% cull), and the scenario achieving the best GES  
1199 indicator values overall (in green).

1200

1201 **Figure 6.** Predation mortality ( $\text{year}^{-1}$ ) under the single stock  $F_{MSY}$  scenario experienced by  
1202 juvenile cod (a), juvenile whiting (b) and whiting (c).

1203

1204 **Supplementary figure S1.** The three spline points forcing function (in grey) from the best  
1205 model identified by the fitting procedure plotted together with the environmental indices **a:** Sea  
1206 Surface Temperature (SST), **b:** North Atlantic Oscillation (NAO) and **c:** Atlantic Multidecadal  
1207 Oscillation (AMO). On each panel, the index smoothed values and the obtained by fitting a  
1208 Loess (local regression) smoothing curve with a span of 0.5 (thick black line) are shown  
1209 alongside the raw values (thin black line) for easier visual comparison with the trend of the  
1210 forcing function.

1211

1212 **Supplementary Figure S2.** Catch outputs from the model plotted with the observed biomass  
1213 data time series used to fit the model (black dots). From 1985-2013, the black line shows the  
1214 outputs from the model hindcast. From 2014 to 2033, outputs from the forward simulation are

1215 shown for the status quo scenario (in black),  $F_{MSY}$  scenario (in red), scenarios achieving the  
1216 fastest recovery of cod and whiting above  $B_{pa}$  (in grey) across all levels of seal cull (no cull,  
1217 5% cull and 10% cull), and the scenario achieving the best GES indicator values overall (in  
1218 green). Scenarios with the earliest cod and whiting recovery were achieved with only one F for  
1219 some groups (e.g., whiting), but several possible F values for others (e.g., monkfish) resulting  
1220 in several grey lines over the simulation period.

1221

1222 **Supplementary Figure S3.** Comparison of the temporal changes in the diet composition (in  
1223 % of prey consumed) of grey seals between the status quo scenario (top panel) and the  $F_{MSY}$   
1224 scenario (bottom panel).

1225

1226 **Supplementary Figure S4.** Biomass outputs from model simulations extended to 2100 to  
1227 allow for the ecosystem to reach equilibrium. The observed biomass data time series used to  
1228 fit the model are shown with black dots. From 1985 to 2013, the black line shows the outputs  
1229 from the model hindcast. From 2014 to 2100, outputs from the forward simulation are shown  
1230 for the status quo scenario (in black),  $F_{MSY}$  scenario (in red), scenarios achieving the earliest  
1231 recovery of cod and whiting above  $B_{pa}$  (in grey) across all levels of seal cull (no cull, 5% cull  
1232 and 10% cull), and the scenario achieving the best GES indicator values overall (in green).  
1233 Scenarios with the earliest cod and whiting recovery were achieved with only one F for some  
1234 groups (e.g., whiting), but several possible F values for others (e.g., monkfish) resulting in  
1235 several grey lines over the simulation period. The grey shaded area shows the confidence  
1236 interval around the model hindcast from 1985 to 2013, and around the best GES scenario (in  
1237 green) from 2014 to 2100.

1238