

Absorbing the Structural Rules in the Sequent Calculus with Additional Atomic Rules

Franco Parlamento · Flavio Previale

Received: date / Accepted: date

Abstract We show that if the structural rules are admissible over a set \mathcal{R} of atomic rules, then they are admissible in the sequent calculus obtained by adding the rules in \mathcal{R} to **G3[mic]**. Two applications to pure logic and to the sequent calculus with equality are presented.

Keywords Sequent Calculus · Structural Rules · Atomic Rules · Admissibility · Equality

Mathematics Subject Classification (2000) 03F05

1 Introduction

A multisuccedent sequent calculus for intuitionistic logic free of structural rules was presented in [2] and a detailed proof of their admissibility, based on [1], appeared in [5]. A single succedent version of that calculus was adopted in [7]. In all cases the proof of the admissibility of the structural rules relies, as for the classical **G3** system, on the hight-preserving admissibility of the contraction rule. When additional atomic rules are added to the calculus the hight-preserving admissibility of the contraction rule may fail. Such is the case for example for the following rules Ref and Repl for equality, introduced

F. Parlamento
Department of Mathematics, Computer Science and Physics
University of Udine, via Delle Scienze 206, 33100 Udine, Italy
Tel.: +39-0432558400
orcid 0000-0003-1430-960X
E-mail: franco.parlamento@uniud.it

F. Previale
Department of Mathematics
University of Turin, via Carlo Alberto 10, 10123 Torino, Italy

in [4] and adopted in the second edition [8] of [7]

$$\frac{t = t, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} \text{ Ref} \qquad \frac{s = r, P[x/s], P[x/r], \Gamma \Rightarrow \Delta}{s = r, P[x/s], \Gamma \Rightarrow \Delta} \text{ Repl}$$

For example

$$a = f(a), a = f(a) \Rightarrow a = f(f(a))$$

has derivations of height equal 1 in the systems obtained by adding Ref and Repl to **G3[mic]** namely

$$\frac{a = f(a), a = f(a), a = f(f(a)) \Rightarrow a = f(f(a))}{a = f(a), a = f(a) \Rightarrow a = f(f(a))}$$

but $a = f(a) \Rightarrow a = f(f(a))$ cannot have a derivation of height less than or equal 1 in such a system.

For that reason, in such cases, to prove the admissibility of the structural rules we have to follow a route somewhat different from the one used in [4] (see also [5] and [6]), and from the one followed in [8] for extensions of their single succedent **G3[mic]** calculus with rules for which height preserving admissibility of the contraction rule is ensured. The basic idea is to eliminate context-sharing cuts first, with the eliminability of contraction obtained as a consequence, due to its immediate derivability from context-sharing cut.

Actually we will show that we can proceed in that way for any set \mathcal{R} of atomic formulae of the following form:

$$\frac{\mathbf{Q}_1, \Gamma_1 \Rightarrow \Delta_1, \mathbf{Q}'_1 \quad \dots \quad \mathbf{Q}_n, \Gamma_n \Rightarrow \Delta_n, \mathbf{Q}'_n}{\mathbf{P}, \Gamma_1, \dots, \Gamma_n \Rightarrow \Delta_1, \dots, \Delta_n, \mathbf{P}'}$$

where $\mathbf{Q}_1, \mathbf{Q}'_1, \dots, \mathbf{Q}_n, \mathbf{Q}'_n, \mathbf{P}, \mathbf{P}'$ are sequences (possibly empty) of atomic formulae and $\Gamma_1, \dots, \Gamma_n, \Delta_1, \dots, \Delta_n$ are finite sequences (possibly empty) of formulae that are not active in the rule.

More precisely, letting $\mathbf{G3[mic]}^{\mathcal{R}}$ denote the calculi obtained by adding to **G3[mic]** the rules in \mathcal{R} and Cut_{cs} the context-sharing cut rule, we will show that any derivation in $\mathbf{G3[mic]}^{\mathcal{R}} + Cut_{cs}$ can be transformed into a derivation in the same system in which the rules in \mathcal{R} and the Cut_{cs} rule are applied before any logical rule. From that it will follow that if the structural rules are admissible in the calculus that contains only the initial sequents and the rules in \mathcal{R} , then they are admissible in $\mathbf{G3[mic]}^{\mathcal{R}}$ as well. For $\mathcal{R} = \emptyset$ we have that the height preserving admissibility of the weakening rules and the height-preserving invertibility of the logical rules suffice for the eliminability of context-sharing cut, without having to obtain the admissibility of contraction first, and, as a consequence, for the admissibility of the Cut -rule in **G3[mic]**. For $\mathcal{R} = \{\text{Ref}, \text{Repl}\}$ we obtain that the structural rules are admissible in $\mathbf{G3[mic]}^{\mathcal{R}}$, thus extending the result proved in [4] in the case t, r and s are restricted to be constants.

2 Preliminaries

The sequent calculus **G3c** in [3] has, in the notations in [8], the following initial sequents and rules, where P is an atomic formula and A, B stand for any formula in a first order language (function symbols included) and Γ and Δ are finite multisets of formulae :

Initial sequents

$$P, \Gamma \Rightarrow \Delta, P$$

Logical rules

$$\begin{array}{c} \frac{A, B, \Gamma \Rightarrow \Delta}{A \wedge B, \Gamma \Rightarrow \Delta} L\wedge \qquad \frac{\Gamma \Rightarrow \Delta, A \quad \Gamma \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \wedge B} R\wedge \\[10pt] \frac{A, \Gamma \Rightarrow \Delta \quad B, \Gamma \Rightarrow \Delta}{A \vee B, \Gamma \Rightarrow \Delta} L\vee \qquad \frac{\Gamma \Rightarrow \Delta, A, B}{\Gamma \Rightarrow \Delta, A \vee B} R\vee \\[10pt] \frac{\Gamma \Rightarrow \Delta, A \quad B, \Gamma \Rightarrow \Delta}{A \rightarrow B, \Gamma \Rightarrow \Delta} L\rightarrow \qquad \frac{A, \Gamma \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \rightarrow B} R\rightarrow \\[10pt] \frac{}{\perp, \Gamma \Rightarrow \Delta} L\perp \\[10pt] \frac{A[x/t], \forall x A, \Gamma \Rightarrow \Delta}{\forall x A, \Gamma \Rightarrow \Delta} L\forall \qquad \frac{\Gamma \Rightarrow \Delta, A[x/a]}{\Gamma \Rightarrow \Delta, \forall x A} R\forall \\[10pt] \frac{A[x/a], \Gamma \Rightarrow \Delta}{\exists x A, \Gamma \Rightarrow \Delta} L\exists \qquad \frac{\Gamma \Rightarrow \Delta, \exists x A, A[x/t]}{\Gamma \Rightarrow \Delta, \exists x A} R\exists \end{array}$$

In **G3i** the rules $L\rightarrow$, $R\rightarrow$ and $R\forall$ are replaced by:

$$\begin{array}{c} \frac{A \rightarrow B, \Gamma \Rightarrow \Delta, A \quad B, \Gamma \Rightarrow \Delta}{A \rightarrow B, \Gamma \Rightarrow \Delta} L^i\rightarrow \qquad \frac{A, \Gamma \Rightarrow B}{\Gamma \Rightarrow \Delta, A \rightarrow B} R^i\rightarrow \\[10pt] \frac{\Gamma \Rightarrow A[x/a]}{\Gamma \Rightarrow \Delta, \forall x A} R^i\forall \end{array}$$

In both **G3c** and **G3i**, a does not occur in the conclusion of $L\exists$ and $R\forall$.

Finally **G3m** is obtained from **G3i** by replacing $L\perp$ by the initial sequents $\perp, \Gamma \Rightarrow \Delta, \perp$.

G3[mic] denotes any of the systems **G3m**, **G3i** or **G3c**.

The left and right weakening rules, LW and RW have the form:

$$\frac{\Gamma \Rightarrow \Delta}{A, \Gamma \Rightarrow \Delta} LW \quad \frac{\Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, A} RW$$

The left and right contraction rules, LC and RC have the form:

$$\frac{A.A, \Gamma \Rightarrow \Delta}{A, \Gamma \Rightarrow \Delta} LC \quad \frac{\Gamma \Rightarrow \Delta, A, A}{\Gamma \Rightarrow \Delta, A} RC$$

The cut rule and the context-sharing cut rule, Cut and Cut_{cs} have the form:

$$\frac{\Gamma \Rightarrow \Delta, A \quad A, \Lambda \Rightarrow \Theta}{\Gamma, \Delta \Rightarrow \Lambda, \Theta} Cut \quad \frac{\Gamma \Rightarrow \Delta, A \quad A, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} Cut_{cs}$$

The additional atomic rules that we will consider are of the form described in 1.

2.1 Separated derivations

In all the systems considered the weakening rules are height-preserving admissible. For left weakening it suffices to add A to the antecedent of every sequent in a given derivation of $\Gamma \Rightarrow \Delta$, modulo a possible renaming of the proper variables in the $L\exists$ and $R\forall$ inferences, to obtain a derivation of the same height of $A, \Gamma \Rightarrow \Delta$. In the classical case one can proceed in the same way also for right weakening, while in the minimal or intuitionistic case one uses induction on the height of derivation, taking advantage of the possibility of adding an arbitrary context on the right in the applications of $R^i \rightarrow$ and $R^i \forall$.

Definition 1 For a set of additional atomic rules \mathcal{R} , let $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}}$ be the sequent calculus obtained from $\mathbf{G3}[\mathbf{mic}]$ by adding the rules in \mathcal{R} . With \mathcal{R} we will denote also the logic-free subcalculus of $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}}$, that contains only the initial sequents $P, \Gamma \Rightarrow \Delta, P$ and the rules in \mathcal{R} .

Definition 2 Let \mathcal{R} be any set of atomic rules. An \mathcal{R} -inference is any application of a rule in \mathcal{R} . An \mathcal{R} -derivation is a derivation in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}}$.

Definition 3 A derivation in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}} + Cut_{cs}$ is said to be *separated* if no logical inference precedes an \mathcal{R} or Cut_{cs} -inference.

Our first goal is to show that every derivable sequent in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}} + Cut_{cs}$ has a separated derivation in the same system. Derivations without logical inferences are trivially separated. For such derivations we have the following useful fact.

Lemma 1 *If $\Gamma \Rightarrow \Delta$ has a derivation \mathcal{D} in \mathcal{R} , then there is a subsequent $\Gamma^\circ \Rightarrow \Delta^\circ$ of $\Gamma \Rightarrow \Delta$, with atomic formulae only, that has a derivation \mathcal{D}° in the same system, with only atomic sequents, such that $h(\mathcal{D}^\circ) \leq h(\mathcal{D})$.*

Proof The claim is proved by a straightforward induction on the height of derivations, thanks to the height-preserving admissibility of the weakening rules. \square

Lemma 2 *If the conclusion of a classical logical inference has a derivation in \mathcal{R} of height bounded by h , then also its premisses have derivations in \mathcal{R} of height bounded by h . The same holds in the minimal and intuitionistic case with the exception of rules $R \rightarrow$ and $R\forall$.*

Proof By the previous lemma, there is a derivation \mathcal{D}° of height bounded by h of an atomic subsequent $\Gamma^\circ \Rightarrow \Delta^\circ$ of the conclusion of the logical inference. Being atomic $\Gamma^\circ \Rightarrow \Delta^\circ$ does not contain the principal formula of the logical inference we are interested in and its premiss or premisses can be obtained from \mathcal{D}° by weakening. \square

Proposition 1 *a) Height-preserving separated invertibility of the logical rules in $\mathbf{G3c}^\mathcal{R} + Cut_{cs}$*

If the conclusion of a logical inference has a separated derivation of height bounded by h , then also its premisses have separated derivations of height bounded by h .

b) The same holds for $\mathbf{G3[mi]}^\mathcal{R} + Cut_{cs}$, except for the rules $R \rightarrow$ and $R\forall$.

Proof If the given derivation \mathcal{D} reduces to an initial sequent or to an instance of $L\perp$ or it ends with a logical inference that does not introduce the principal formula of the rule R to be proved invertible, then the argument is the same as for the $\mathbf{G3[mic]}$ systems (see [2], [5]). For example if \mathcal{D} has the form:

$$\frac{\frac{\mathcal{D}_0}{E \rightarrow F, A \rightarrow B, \Gamma \Rightarrow \Delta, E} \quad \frac{\mathcal{D}_1}{F, A \rightarrow B, \Gamma \Rightarrow \Delta}}{A \rightarrow B, E \rightarrow F, \Gamma \Rightarrow \Delta}$$

and the rule to be proved invertible is an $L^i \rightarrow$ with principal formula $A \rightarrow B$, then a derivation of the same height as \mathcal{D} of its first premiss $A \rightarrow B, E \rightarrow F, \Gamma \Rightarrow \Delta, A$ is obtained by height preserving weakening applied to \mathcal{D} . As far as the second premiss, i.e. $B, E \rightarrow F, \Gamma \Rightarrow \Delta$ is concerned, by induction hypothesis there is a separated derivation \mathcal{D}'_0 of height bounded by the height of \mathcal{D}_0 , of $B, E \rightarrow F, \Gamma \Rightarrow \Delta, E$ and a separated derivation \mathcal{D}'_1 , of height bounded by the height of \mathcal{D}_1 , of $B, F, \Gamma \Rightarrow \Delta$. Then:

$$\frac{\frac{\mathcal{D}'_0}{B, E \rightarrow F, \Gamma \Rightarrow \Delta, E} \quad \frac{\mathcal{D}'_1}{B, F, \Gamma \Rightarrow \Delta}}{B, E \rightarrow F, \Gamma \Rightarrow \Delta}$$

is a separated derivation of $B, E \rightarrow F, \Gamma \Rightarrow \Delta$ with height bounded by $h(\mathcal{D})$.

If the last inference does introduce the principal formula of R , we only need, in addition, to note that the subderivations of a separated derivation are themselves separated.

If \mathcal{D} ends with an \mathcal{R} or Cut_{cs} -inference, then \mathcal{D} , being separated, does not contain any logical inference, and the previous Lemma applies. \square

Proposition 2 *If the premisses of an \mathcal{R} -inference R have a separated derivation, then its conclusion also has a separated derivation.*

Proof If all the separated derivations of the premisses end with an \mathcal{R} or with a Cut_{cs} -inferences, they are all free of logical inferences and it suffices to apply to such premisses the rule R to obtain the desired separated derivation. Otherwise we select a derivation of a premiss that ends with a logical inference and proceed by a straightforward induction on the sum of the heights of derivations. For example suppose that R has the following two premisses: 1) $Q_1, \Gamma'_1, A \vee B \Rightarrow \Delta_1, Q'_1$, with separated derivation \mathcal{E}_1 , that ends with an $L\vee$ -inference with principal formula $A \vee B$, and 2) $Q_2, \Gamma_2 \Rightarrow \Delta_2, Q'_2$ with separated derivation \mathcal{E}_2 and that the conclusion of R is $P, \Gamma'_1, A \vee B, \Gamma_2 \Rightarrow \Delta_1, \Delta_2, P'$. \mathcal{E}_1 has the form:

$$\frac{\mathcal{E}_{10} \quad \mathcal{E}_{11}}{Q_1, \Gamma'_1, A \Rightarrow \Delta_1, Q'_1 \quad Q_1, \Gamma'_1, B \Rightarrow \Delta_1, Q'_1} \frac{}{Q_1, \Gamma'_1, A \vee B \Rightarrow \Delta_1, Q'_1}$$

We can apply the induction hypothesis to \mathcal{E}_{10} paired with \mathcal{E}_2 to obtain a separated derivation of:

$$a) \quad P, \Gamma'_1, A, \Gamma_2 \Rightarrow \Delta_1, \Delta_2, P'$$

and to \mathcal{E}_{11} paired with \mathcal{E}_2 to obtain a separated derivation of:

$$b) \quad P, \Gamma'_1, B, \Gamma_2 \Rightarrow \Delta_1, \Delta_2, P'.$$

Then it suffices to apply the same last $L\vee$ -inference of \mathcal{E}_1 to $a)$ and $b)$ to obtain the desired separated derivation of

$$P, \Gamma'_1, A \vee B, \Gamma_2 \Rightarrow \Delta_1, \Delta_2, P'.$$

\square

Lemma 3 *If the premisses $\Gamma \Rightarrow \Delta, A$ and $A, \Gamma \Rightarrow \Delta$ of a Cut_{cs} -inference have separated derivations in $\mathbf{G3c[mic]}^{\mathcal{R}} + Cut_{cs}$, one of which is free of logical inferences, then its conclusion has a separated derivation in the same system.*

Proof If both derivations are free of logical rules then it suffices to apply a Cut_{cs} -inference to their endsequents. Otherwise we distinguish two cases.

Case 1 \mathcal{D} is a derivation without logical inferences of $\Gamma \Rightarrow \Delta, A$ and \mathcal{E} is a separated derivation containing logical inferences of $A, \Gamma \Rightarrow \Delta$, so that it ends with a logical inference.

We have to find a separated derivation of $\Gamma \Rightarrow \Delta$. By Lemma 1 there is an atomic subsequent $\Gamma^\circ \Rightarrow \Delta^\circ$ of $\Gamma \Rightarrow \Delta, A$ such that $\Gamma^\circ \Rightarrow \Delta^\circ$ has a derivation \mathcal{D}° without logical inferences. If A does not occur in Δ° , then a separated derivation, actually a derivation without logical inferences, of $\Gamma \Rightarrow \Delta$ can be obtained directly by weakening the conclusion of \mathcal{D}° . On the other hand if A occurs in Δ° , then A is atomic so that the principal formula of the last logical inference of \mathcal{E} is different from A . We can then apply the induction hypothesis to \mathcal{D}° , appropriately weakened, and to the immediate subderivation(s) of \mathcal{E} and then the same last logical inference of \mathcal{E} . For example if \mathcal{E} has the form:

$$\frac{\mathcal{E}_0 \quad A, E, F, \Gamma' \Rightarrow \Delta}{A, E \wedge F, \Gamma' \Rightarrow \Delta}$$

by Lemma 1 we have a derivation \mathcal{D}' without logical inferences of $E, F, \Gamma' \Rightarrow \Delta, A$. By induction hypothesis applied to \mathcal{D}' and \mathcal{E}_0 we obtain a separated derivation of $E, F, \Gamma' \Rightarrow \Delta$, from which the desired separated derivation of $E \wedge F, \Gamma' \Rightarrow \Delta$ is obtained by means of the last $L\wedge$ -inference of \mathcal{E} .

Case 2. \mathcal{D} is a separated derivation containing logical inferences, so that it ends with a logical inference, of $\Gamma \Rightarrow \Delta, A$ and \mathcal{E} is a derivation without logical inferences of $A, \Gamma \Rightarrow \Delta$. By Lemma 1 there is an atomic subsequent of $\Gamma^\circ \Rightarrow \Delta^\circ$ of $A, \Gamma \Rightarrow \Delta$ with a derivation \mathcal{E}° without logical inferences. If A does not occur in Γ° , then $\Gamma \Rightarrow \Delta$ can be derived without logical inferences by weakening the conclusion of \mathcal{E}° . Otherwise A is atomic, so that it is not the principal formula of the last inference of \mathcal{D} , and we can apply the induction hypothesis to the immediate subderivation(s) of \mathcal{D} to conclude as in the previous case. \square

Proposition 3 *If the premisses $\Gamma \Rightarrow \Delta, A$ and $A, \Gamma \Rightarrow \Delta$ of a Cut_{cs} -inference have separated derivation in $\mathbf{G3c[mic]}^{\mathcal{R}} + Cut_{cs}$, then its conclusion has a separated derivation in the same system.*

Proof Let \mathcal{D} and \mathcal{E} be separated derivations of $\Gamma \Rightarrow \Delta, A$ and $A, \Gamma \Rightarrow \Delta$ respectively. We have to find a separated derivation of $\Gamma \Rightarrow \Delta$.

By the previous Lemma we can assume that both \mathcal{D} and \mathcal{E} end with a logical rule, and proceed by a principal induction on the height (of the formation tree) of A and a secondary induction on $h(\mathcal{D}) + h(\mathcal{E})$.

Classical case

Case 1 A is not principal in (the last inference of) \mathcal{D} . If \mathcal{D} reduces to an instance of $L\perp$, the same holds for $\Gamma \Rightarrow \Delta$.

Case 1. $L\wedge$. \mathcal{D} is of the form

$$\frac{\mathcal{D}_0 \quad E, F, \Gamma' \Rightarrow \Delta, A}{E \wedge F, \Gamma' \Rightarrow \Delta, A}$$

so that the endsequent of \mathcal{E} has the form $A, E \wedge F, \Gamma' \Rightarrow \Delta$. By Proposition 1 there is a separated derivation \mathcal{E}' of $A, E, F, \Gamma' \Rightarrow \Delta$ such that $h(\mathcal{E}') =$

$h(\mathcal{E})$. By the (secondary) induction hypothesis applied to \mathcal{D}_0 and \mathcal{E}' there is a separated derivation of $E, F, \Gamma' \Rightarrow \Delta$, from which the required separated derivation of $\Gamma \Rightarrow \Delta$ can be obtained by means of the last $L\wedge$ -inference of \mathcal{E} . In the following we will express the argument as follows:

$$\frac{\frac{E, F, \Gamma' \Rightarrow \Delta, A}{E \wedge F, \Gamma' \Rightarrow \Delta, A} \quad A, E \wedge F, \Gamma' \Rightarrow \Delta}{E \wedge F, \Gamma' \Rightarrow \Delta}$$

is transformed into:

$$\frac{E, F, \Gamma' \Rightarrow \Delta, A \quad \frac{A, E \wedge F, \Gamma' \Rightarrow \Delta}{A, E, F, \Gamma' \Rightarrow \Delta} \text{inv}}{\frac{E, F, \Gamma' \Rightarrow \Delta}{E \wedge F, \Gamma' \Rightarrow \Delta} \text{ind}}$$

In this case the principal formula of the last logical inference of \mathcal{D} occurs in the endsequent of \mathcal{E} where it can be inverted, producing a separated derivation of a sequent identical to the premiss of the last inference of \mathcal{D} , except that the cut formula A is shifted from the succedent to the antecedent. We can then apply the secondary induction hypothesis to produce a sequent to which the last logical inference of \mathcal{D} can be applied, yielding the required separated derivation. The same kind of argument applies to all the remaining cases. For example:

Case 1. $L \rightarrow$

$$\frac{\frac{\Gamma' \Rightarrow \Delta, E, A \quad F, \Gamma' \Rightarrow \Delta, A}{E \rightarrow F, \Gamma' \Rightarrow \Delta, A} \quad A, E \rightarrow F, \Gamma' \Rightarrow \Delta}{E \rightarrow F, \Gamma' \Rightarrow \Delta}$$

is transformed into:

$$\frac{\Gamma' \Rightarrow \Delta, E, A \quad \frac{A, E \rightarrow F, \Gamma' \Rightarrow \Delta}{A, \Gamma' \Rightarrow \Delta, E} \text{inv}}{\Gamma' \Rightarrow \Delta, E \quad \frac{F, \Gamma' \Rightarrow \Delta, A \quad \frac{A, E \rightarrow F, \Gamma' \Rightarrow \Delta}{A, F, \Gamma' \Rightarrow \Delta} \text{inv}}{\frac{E \rightarrow F, \Gamma' \Rightarrow \Delta}{E \rightarrow F, \Gamma' \Rightarrow \Delta} \text{ind}}$$

Case1 $R \rightarrow$

$$\frac{\frac{\Gamma, E \Rightarrow \Delta', F, A}{\Gamma \Rightarrow \Delta', E \rightarrow F, A} \quad A, \Gamma \Rightarrow \Delta', E \rightarrow F}{\Gamma \Rightarrow \Delta', E \rightarrow F}$$

is transformed into:

$$\frac{\Gamma, E \Rightarrow \Delta', F, A \quad \frac{A, \Gamma \Rightarrow \Delta', E \rightarrow F}{A, \Gamma, E \Rightarrow \Delta', F} \text{inv}}{\frac{\Gamma, E \Rightarrow \Delta', F}{\Gamma \Rightarrow \Delta', E \rightarrow F} \text{ind}}$$

Case 1 $R\forall$

$$\frac{\frac{\Gamma \Rightarrow \Delta', E[x/a], A}{\Gamma \Rightarrow \Delta', \forall x E, A} \quad A, \Gamma \Rightarrow \Delta', \forall x E}{\Gamma \Rightarrow \Delta', \forall x E}$$

is transformed into:

$$\frac{\Gamma \Rightarrow \Delta', E[x/a], A \quad \frac{A, \Gamma \Rightarrow \Delta', \forall x E}{A, \Gamma \Rightarrow \Delta', E[x/a]} \text{inv}}{\Gamma \Rightarrow \Delta', E[x/a]} \text{ind} \\ \Gamma \Rightarrow \Delta', \forall x E$$

Case 2. A is not principal in (the last inference of) \mathcal{E} . If \mathcal{E} reduces to an instance of $L\perp$, the same holds for $\Gamma \Rightarrow \Delta$. All the other cases are treated dually to Case 1. For example:

Case 2. $R\wedge$

$$\frac{E \wedge F, \Gamma' \Rightarrow \Delta, A \quad \frac{A, E, F, \Gamma' \Rightarrow \Delta}{A, E \wedge F, \Gamma' \Rightarrow \Delta}}{E \wedge F, \Gamma' \Rightarrow \Delta}$$

is transformed into:

$$\frac{\frac{E \wedge F, \Gamma' \Rightarrow \Delta, A}{E, F, \Gamma' \Rightarrow \Delta, A} \text{inv} \quad A, E, F, \Gamma' \Rightarrow \Delta}{\frac{E, F, \Gamma' \Rightarrow \Delta}{E \wedge F, \Gamma' \Rightarrow \Delta}} \text{ind}$$

In the above cases all the applications of ind refer to the secondary induction hypothesis.

Case 3. A is principal in (the last inferences of) both \mathcal{D} and \mathcal{E} .

Case 3. \wedge :

$$\frac{\frac{\Gamma \Rightarrow \Delta, B \quad \Gamma \Rightarrow \Delta, C}{\Gamma \Rightarrow \Delta, B \wedge C} \quad \frac{B, C, \Gamma \Rightarrow \Delta}{B \wedge C, \Gamma \Rightarrow \Delta}}{\Gamma \Rightarrow \Delta}$$

is transformed into:

$$\frac{\Gamma \Rightarrow \Delta, C \quad \frac{\frac{\Gamma \Rightarrow \Delta, B}{C, \Gamma \Rightarrow \Delta, B} \text{w} \quad B, C, \Gamma \Rightarrow \Delta}{C, \Gamma \Rightarrow \Delta} \text{ind}}{\Gamma \Rightarrow \Delta} \text{ind}$$

In this case the second application of ind refers necessarily to the principal induction hypothesis, which is possible since $h(C) < h(B \wedge C)$, independently of the height of the separated derivation of the second premiss $C, \Gamma \Rightarrow \Delta$, previously obtained by the (secondary suffices) induction hypothesis. Of the

remaining cases we deal with the Case 3 \forall in which A is a universal formula, leaving the others to the reader.

$$\frac{\frac{\Gamma \Rightarrow \Delta, B[x/a]}{\Gamma \Rightarrow \Delta, \forall x B} \quad \frac{\forall x B, B[x/t], \Gamma \Rightarrow \Delta}{\forall x B, \Gamma \Rightarrow \Delta}}{\Gamma \Rightarrow \Delta}$$

is transformed into:

$$\frac{\frac{\Gamma \Rightarrow \Delta, B[x/a]}{\Gamma \Rightarrow \Delta, B[x/t]} \text{Sub}[a/t] \quad \frac{\frac{\frac{\Gamma \Rightarrow \Delta, B[x/a]}{\Gamma \Rightarrow \Delta, \forall x B}}{B[x/t], \Gamma \Rightarrow \Delta, \forall x B}^w \quad \forall x B, B[x/t], \Gamma \Rightarrow \Delta}{B[x/t], \Gamma \Rightarrow \Delta} \text{ind}}{\Gamma \Rightarrow \Delta} \text{ind}$$

where $\text{Sub}[a/t]$ yields the result of replacing a by t throughout the separated derivation of $\Gamma \Rightarrow \Delta, B[x/a]$. For the result of such a replacement to be a derivation it is required that the parameters used as proper in the $L\exists$ and $R\forall$ -inferences of the given derivation be renamed, if necessary, so as not to occur in t .

Intuitionistic case

Case 1. A is not principal in \mathcal{D} . We only need to replace Case1. $L \rightarrow$, $R \rightarrow$ and $R\forall$ with the following:

Case 1. $L^i \rightarrow$

$$\frac{\frac{E \rightarrow F, \Gamma' \Rightarrow \Delta, E, A}{E \rightarrow F, \Gamma' \Rightarrow \Delta, A} \quad \frac{F, \Gamma' \Rightarrow \Delta, A}{A, E \rightarrow F, \Gamma' \Rightarrow \Delta}}{E \rightarrow F, \Gamma' \Rightarrow \Delta}$$

is transformed into:

$$\frac{\frac{E \rightarrow F, \Gamma' \Rightarrow \Delta, E, A}{E \rightarrow F, \Gamma' \Rightarrow \Delta, E} \quad \frac{\frac{A, E \rightarrow F, \Gamma' \Rightarrow \Delta}{A, E \rightarrow F, \Gamma' \Rightarrow \Delta, E}^{\text{inv}}}{E \rightarrow F, \Gamma' \Rightarrow \Delta} \text{ind} \quad \frac{F, \Gamma' \Rightarrow \Delta, A}{F, \Gamma' \Rightarrow \Delta} \text{ind} \quad \frac{A, E \rightarrow F, \Gamma' \Rightarrow \Delta}{A, F, \Gamma' \Rightarrow \Delta}^{\text{inv}}}{E \rightarrow F, \Gamma' \Rightarrow \Delta} \text{ind}$$

Case 1. $R^i \rightarrow$

$$\frac{\frac{E, \Gamma \Rightarrow F}{\Gamma \Rightarrow \Delta', E \rightarrow F, A} \quad A, \Gamma \Rightarrow \Delta', E \rightarrow F}{\Gamma \Rightarrow \Delta', E \rightarrow F}$$

is transformed into:

$$\frac{E, \Gamma \Rightarrow F}{\Gamma \Rightarrow \Delta', E \rightarrow F}$$

Case 1. $R^i \forall$.

$$\frac{\frac{\Gamma \Rightarrow E[x/a]}{\Gamma \Rightarrow \Delta', \forall x E, A} \quad A, \Gamma \Rightarrow \Delta', \forall x E}{\Gamma \Rightarrow \Delta', \forall x E}$$

is transformed into:

$$\frac{\Gamma \Rightarrow E[x/a]}{\Gamma \Rightarrow \Delta', \forall x E}$$

Case 2 A is not principal in \mathcal{E} and Case 1. does not occur, so that A is principal in the last inference of \mathcal{D} . If the rule of the last inference of \mathcal{E} is invertible in \mathcal{D} , i.e. if it is not a $R^i \rightarrow$ or $R^i \forall$ -inference then we proceed as in Case 2 of the classical case. If \mathcal{E} ends with a $R^i \rightarrow$ or $R^i \forall$ -inference, we may assume that A is principal in \mathcal{D} , for, otherwise, Case 1 would apply, and distinguish cases according to the form of A .

Case 2. $R^i \rightarrow, \wedge$

$$\frac{\frac{\Gamma \Rightarrow \Delta', E \rightarrow F, B \quad \Gamma \Rightarrow \Delta', E \rightarrow F, C}{\Gamma \Rightarrow \Delta', E \rightarrow F, B \wedge C} \quad \frac{B \wedge C, E, \Gamma \Rightarrow F}{B \wedge C, \Gamma \Rightarrow \Delta', E \rightarrow F}}{\Gamma \Rightarrow \Delta', E \rightarrow F}$$

is transformed into:

$$\frac{\Gamma \Rightarrow \Delta', E \rightarrow F, C \quad \frac{\frac{\Gamma \Rightarrow \Delta', E \rightarrow F, B}{C, \Gamma \Rightarrow \Delta', E \rightarrow F, B} \text{w} \quad \frac{\frac{B \wedge C, E, \Gamma \Rightarrow F}{B \wedge C, \Gamma \Rightarrow \Delta', E \rightarrow F} \text{inv}}{B, C, \Gamma \Rightarrow \Delta', E \rightarrow F} \text{ind}}{C, \Gamma \Rightarrow \Delta', E \rightarrow F} \text{ind}}{\Gamma \Rightarrow \Delta', E \rightarrow F} \text{ind}$$

Case 2. $R^i \rightarrow, \vee$

$$\frac{\frac{\Gamma \Rightarrow \Delta', E \rightarrow F, B, C}{\Gamma \Rightarrow \Delta', E \rightarrow F, B \vee C} \quad \frac{B \vee C, E, \Gamma \Rightarrow F}{B \vee C, \Gamma \Rightarrow \Delta', E \rightarrow F}}{\Gamma \Rightarrow \Delta', E \rightarrow F}$$

is transformed into:

$$\frac{\Gamma \Rightarrow \Delta', E \rightarrow F, B, C \quad \frac{\frac{B \vee C, E, \Gamma \Rightarrow F}{B \vee C, \Gamma \Rightarrow \Delta', E \rightarrow F} \text{inv}}{C, \Gamma \Rightarrow \Delta', E \rightarrow F} \text{w}}{\Gamma \Rightarrow \Delta', E \rightarrow F, B} \text{ind} \quad \frac{B \vee C, \Gamma \Rightarrow \Delta', E \rightarrow F}{B, \Gamma \Rightarrow \Delta', E \rightarrow F} \text{ind}}{\Gamma \Rightarrow \Delta', E \rightarrow F} \text{ind}$$

Case 2 $R^i \rightarrow, \rightarrow$

$$\frac{\frac{B, \Gamma \Rightarrow C}{\Gamma \Rightarrow \Delta', E \rightarrow F, B \rightarrow C} \quad \frac{B \rightarrow C, E, \Gamma \Rightarrow F}{B \rightarrow C, \Gamma \Rightarrow \Delta', E \rightarrow F}}{\Gamma \Rightarrow \Delta', E \rightarrow F}$$

is transformed into:

$$\frac{\frac{\frac{B, \Gamma \Rightarrow C}{\Gamma \Rightarrow F, B \rightarrow C}}{E, \Gamma \Rightarrow F, B \rightarrow C}^w \quad B \rightarrow C, E, \Gamma \Rightarrow F}{\frac{E, \Gamma \Rightarrow F}{\Gamma \Rightarrow \Delta', E \rightarrow F}}_{\text{ind}}$$

Case 2 $R^i \rightarrow, \forall$

$$\frac{\frac{\Gamma \Rightarrow B[x/a]}{\Gamma \Rightarrow \Delta', E \rightarrow F, \forall x B} \quad \frac{\forall x B, E, \Gamma \Rightarrow F}{\forall x B, \Gamma \Rightarrow \Delta', E \rightarrow F}}{\Gamma \Rightarrow \Delta', E \rightarrow F}$$

is transformed into:

$$\frac{\frac{\frac{\Gamma \Rightarrow B[x/a]}{\Gamma \Rightarrow F, \forall x B}}{E, \Gamma \Rightarrow F, \forall x B}^w \quad \forall x B, E, \Gamma \Rightarrow F}{\frac{E, \Gamma \Rightarrow F}{\Gamma \Rightarrow \Delta', E \rightarrow F}}_{\text{ind}}$$

Case 2 $R^i \rightarrow, \exists$

$$\frac{\frac{\Gamma \Rightarrow \Delta', E \rightarrow F, \exists x B, B[x/t]}{\Gamma \Rightarrow \Delta', E \rightarrow F, \exists x B} \quad \frac{\exists x B, E, \Gamma \Rightarrow F}{\exists x B, \Gamma \Rightarrow \Delta', E \rightarrow F}}{\Gamma \Rightarrow \Delta', E \rightarrow F}$$

is transformed into:

$$\frac{\Gamma \Rightarrow \Delta', E \rightarrow F, \exists x B, B[x/t] \quad \frac{\frac{\exists x B, E, \Gamma \Rightarrow F}{\exists x B, \Gamma \Rightarrow \Delta', E \rightarrow F, B[x/t]}^w}{\Gamma \Rightarrow \Delta', E \rightarrow F, B[x/t]}_{\text{ind}} \quad \frac{\frac{\frac{\exists x B, E, \Gamma \Rightarrow F}{\exists x B, \Gamma \Rightarrow \Delta', E \rightarrow F}}{B[x/a], \Gamma \Rightarrow \Delta', E \rightarrow F}^{\text{inv}}}{\frac{B[x/t], \Gamma \Rightarrow \Delta', E \rightarrow F}{\Gamma \Rightarrow \Delta', E \rightarrow F}}_{\text{ind}} \text{Sub}[a/t]$$

Case 2. $R^i \forall$. Similar to Case2. $R^i \rightarrow$. For example:
(Case 2 $R^i \forall, \rightarrow$)

$$\frac{\frac{B, \Gamma \Rightarrow C}{\Gamma \Rightarrow \Delta', \forall x E, B \rightarrow C} \quad \frac{B \rightarrow C, \Gamma \Rightarrow E[x/a]}{B \rightarrow C, \Gamma \Rightarrow \Delta', \forall x E}}{\Gamma \Rightarrow \Delta', \forall x E}$$

is transformed into:

$$\frac{\frac{B, \Gamma \Rightarrow C}{\Gamma \Rightarrow E[x/a], B \rightarrow C} \quad B \rightarrow C, \Gamma \Rightarrow E[x/a]}{\frac{\Gamma \Rightarrow E[x/a]}{\Gamma \Rightarrow \Delta', \forall x E}}_{\text{ind}}$$

and (Case 2 $R^i\forall, \forall$)

$$\frac{\frac{\Gamma \Rightarrow B[x/b]}{\Gamma \Rightarrow \Delta', \forall x E, \forall x B} \quad \frac{\forall x B, \Gamma \Rightarrow E[x/a]}{\forall x B, \Gamma \Rightarrow \Delta', \forall x E}}{\Gamma \Rightarrow \Delta', \forall x E}$$

is transformed into:

$$\frac{\frac{\Gamma \Rightarrow B[x/b]}{\Gamma \Rightarrow E[x/a], \forall x B} \quad \forall x B, \Gamma \Rightarrow E[x/a]}{\Gamma \Rightarrow E[x/a]} \text{ind} \\ \Gamma \Rightarrow \Delta', \forall x E$$

Case 3. A is principal in both \mathcal{D} and \mathcal{E} . The only difference with respect to the classical case concern \rightarrow and \forall .

Case 3i. \rightarrow

$$\frac{\frac{B, \Gamma \Rightarrow C}{\Gamma \Rightarrow \Delta, B \rightarrow C} \quad \frac{B \rightarrow C, \Gamma \Rightarrow \Delta, B \quad C, \Gamma \Rightarrow \Delta}{B \rightarrow C, \Gamma \Rightarrow \Delta}}{\Gamma \Rightarrow \Delta}$$

is transformed into:

$$\frac{\frac{B, \Gamma \Rightarrow C}{\Gamma \Rightarrow \Delta, B, B \rightarrow C} \quad B \rightarrow C, \Gamma \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, B} \text{ind} \quad \frac{B, \Gamma \Rightarrow C \quad \frac{C, \Gamma \Rightarrow \Delta}{C, B, \Gamma \Rightarrow \Delta}^w}{B, \Gamma \Rightarrow \Delta} \text{ind} \\ \Gamma \Rightarrow \Delta \text{ind}$$

Case 3i. \forall

$$\frac{\frac{\Gamma \Rightarrow B[x/a]}{\Gamma \Rightarrow \Delta, \forall x B} \quad \frac{\forall x B, B[x/t], \Gamma \Rightarrow \Delta}{\forall x B, \Gamma \Rightarrow \Delta}}{\Gamma \Rightarrow \Delta}$$

is transformed into:

$$\frac{\frac{\Gamma \Rightarrow B[x/a]}{\Gamma \Rightarrow B[x/t]} \text{Sub}[a/t] \quad \frac{\Gamma \Rightarrow B[x/a]}{\Gamma \Rightarrow \Delta, \forall x B} \quad \frac{B[x/t], \Gamma \Rightarrow \Delta, \forall x B}{\forall x B, B[x/t], \Gamma \Rightarrow \Delta}^w}{\Gamma \Rightarrow \Delta, B[x/t]}^w \quad \frac{B[x/t], \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} \text{ind} \\ \Gamma \Rightarrow \Delta \text{ind}$$

□

From Proposition 2 and 3 by a straightforward induction argument we have the following separation property for $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}} + \text{Cut}_{cs}$.

Proposition 4 *Every derivation in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}} + \text{Cut}_{cs}$ can be transformed into a separated derivation of its endsequent.*

Theorem 1 *If the structural rules are admissible in \mathcal{R} , then they are admissible in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}}$ as well.*

Proof Let \mathcal{D} be a derivation in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}} + RLW + RLC + Cut$. We have to show that the applications of the RLW , RLC and Cut can be eliminated from \mathcal{D} . \mathcal{D} can be transformed into a derivation \mathcal{D}' in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}} + Cut_{cs}$ of the same endsequent. For, the application of the Cut -rule can be replaced by applications of the weakenings and the Cut_{cs} rule.

The applications of the contraction rules can be replaced by derivations from its premiss and initial sequents using the Cut_{cs} -rule. More precisely, as far as left contraction is concerned, the subderivations of \mathcal{D} of the form

$$\frac{\mathcal{E} \quad F, F, \Gamma \Rightarrow \Delta}{F, \Gamma \Rightarrow \Delta}$$

can be replaced by:

$$\frac{\mathcal{I} \quad F, \Gamma \Rightarrow F \quad \mathcal{E} \quad F, F, \Gamma \Rightarrow \Delta}{F, \Gamma \Rightarrow \Delta}$$

where, in case F is not atomic, \mathcal{I} is a derivation in $\mathbf{G3m}$ or in $\mathbf{G3i}$. Similarly for right contraction.

Finally the applications of the weakening rules can be eliminated by their (height-preserving) admissibility in all the systems considered.

Thus from \mathcal{D} we obtain a derivation \mathcal{D}' in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}} + Cut_{cs}$, that by Proposition 4, can be transformed into a separated derivation \mathcal{D}'' of the endsequent of \mathcal{D} . Thus, to obtain the desired derivation in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}}$ of the endsequent of \mathcal{D} , it suffices to eliminate the applications of Cut_{cs} in the initial subderivations of \mathcal{D}'' belonging to $\mathcal{R} + Cut_{cs}$, which is possible if the contraction and the cut rule are admissible in \mathcal{R} . \square

2.2 Admissibility of the Structural Rules

Corollary 1 *The structural rules are admissible in $\mathbf{G3}[\mathbf{mic}]$*

Proof By Theorem 1, with $\mathcal{R} = \emptyset$, it suffices to note that the sequents that can be derived from initial sequents by means of the structural rules are themselves initial sequents. \square

Following [3] we let $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}} = \mathbf{G3}[\mathbf{mic}]^{\mathcal{R}}$ for $\mathcal{R} = \{\text{Ref}, \text{Repl}\}$.

Corollary 2 *The structural rules are admissible in $\mathbf{G3}[\mathbf{mic}]^{\mathcal{R}}$*

Proof For the admissibility of left contraction we proceed by induction on the height of derivations to show that a derivation \mathcal{D} of $A, A, \Gamma \Rightarrow \Delta$ in \mathcal{R} can be transformed into a derivation of $A, \Gamma \Rightarrow \Delta$ in \mathcal{R} . That is immediate if \mathcal{D} reduces to an initial sequent. If $h(\mathcal{D}) > 0$, the conclusion is a straightforward consequence of the induction hypothesis except when \mathcal{D} has the form:

$$\frac{s = r, s = r, E[x/r], \Gamma' \Rightarrow \Delta}{s = r, s = r, \Gamma' \Rightarrow \Delta} \text{Repl}$$

and A is $s = r$ which coincides also with $E[x/s]$. We may assume that there is exactly one occurrence of x in E . Then E can have the form $x = r$ or r can have the form $r^\circ[x/s]$ and E the form $s = r^\circ$. In the former case the induction hypothesis applied to \mathcal{D}_0 , whose endsequent is

$s = r, s = r, r = r, \Gamma' \Rightarrow \Delta$, yields a derivation of $s = r, r = r, \Gamma' \Rightarrow \Delta$, from which we obtain $s = r, \Gamma' \Rightarrow \Delta$ by an application of Ref. In the latter case \mathcal{D} has the form:

$$\frac{\mathcal{D}_0 \quad s = r^\circ[x/s], s = r^\circ[x/s], s = r^\circ[x/r^\circ[x/s]], \Gamma' \Rightarrow \Delta}{s = r^\circ[x/s], s = r^\circ[x/s], \Gamma' \Rightarrow \Delta} \text{Repl}$$

and can be transformed into:

$$\frac{\mathcal{D}_0 \quad s = r^\circ[x/s], s = r^\circ[x/s], s = r^\circ[x/r^\circ[x/s]], \Gamma' \Rightarrow \Delta}{s = r^\circ[x/s], s = r^\circ[x/s], \Gamma' \Rightarrow \Delta} \text{Repl} \quad \text{w}$$

$$\frac{s = r^\circ[x/s], s = s, s = r^\circ[x/s], \Gamma' \Rightarrow \Delta}{s = r^\circ[x/s], s = s, \Gamma' \Rightarrow \Delta} \text{Repl}$$

$$\frac{s = r^\circ[x/s], s = s, \Gamma' \Rightarrow \Delta}{s = r^\circ[x/s], \Gamma' \Rightarrow \Delta} \text{Ref}$$

Since the rules of \mathcal{R} do not modify the succedent of their premiss, the admissibility of the cut rule follows by a straightforward induction on the height of the derivation of its first premiss. \square

References

1. R. Dyckhoff, Dragalin's proof of cut-admissibility for the intuitionistic sequent calculi **G3i** and **G3i'**, Research Report CS/97/8 - Computer Science Division, St. Andrews University (1997)
2. A. Dragalin, Mathematical Intuitionism: Introduction to Proof Theory, Translation of mathematical monographs 67, Russian original of 1979, American Mathematical Society (1988)
3. S. Negri, Glivenko sequent classes in the light of structural proof theory, Arch. Math. Logic, 55 (4), 461-473 (2016)
4. J. von Plato, S. Negri, Cut Elimination in the Presence of Axioms, Journal, The Bulletin of Symbolic Logic, 4 (4), 418-435 (1998)
5. J. von Plato, S. Negri, Structural Proof Theory, 257. Cambridge University Press, Cambridge (2001)
6. J. von Plato, S. Negri, Proof Analysis. Cambridge University Press, Cambridge (2011)
7. A.S. Troelstra, H. Schwichtenberg, Basic Proof Theory, 343. Cambridge University Press, Cambridge (1996)
8. A.S. Troelstra, H. Schwichtenberg, Basic Proof Theory 2nd ed., 417. Cambridge University Press, Cambridge (2000)